

## Article

## Exploring Authentic Skim and Nonfat Dry Milk Powder Variance for the Development of Nontargeted Adulterant Detection Methods Using NIR Spectroscopy and Chemometrics

Lucy Botros, Joseph Edward Jablonski, Claire Chang, Marti Mamula Bergana, Paul Wehling, James M. Harnly, Gerard Downey, Peter de Boves Harrington, Alan R. Potts, and Jeffrey C Moore

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1 **Exploring Authentic Skim and Nonfat Dry Milk Powder Variance for the Development of**  
2 **Nontargeted Adulterant Detection Methods Using NIR Spectroscopy and Chemometrics**

3

4 Lucy L. Botros,<sup>†\*</sup> Joseph Jablonski,<sup>§</sup> Claire Chang,<sup>§</sup> Marti Mamula Bergana,<sup>‡</sup> Paul Wehling,<sup>\*\*</sup>  
5 James M. Harnly,<sup>††</sup> Gerard Downey,<sup>‡‡</sup> Peter Harrington,<sup>§§</sup> Alan R. Potts,<sup>†</sup> Jeffrey C. Moore<sup>†</sup>

6

7 <sup>†</sup>US Pharmacopeial Convention, 12601 Twinbrook Parkway, Rockville, MD 20852-1790 USA

8 <sup>§</sup>US Food and Drug Administration, Center for Food Safety and Applied Nutrition, Division of  
9 Food Processing Science and Technology, 6502 South Archer Road, Bedford Park, IL 60501

10 USA

11 <sup>‡</sup>Abbott Nutrition, Division of Abbott Laboratories, 3300 Stelzer Road, Columbus, OH 43219

12 USA

13 <sup>\*\*</sup>General Mills, Inc., 330 University Avenue SE, Minneapolis, MN 55414 USA

14 <sup>††</sup>US Department of Agriculture, Agricultural Research Service, Beltsville Human Nutrition  
15 Research Center, Food Composition and Methods Development Laboratory, Building 161,

16 BARC-East, Beltsville, MD 20817 USA

17 <sup>‡‡</sup>Teagasc Food Research Centre Ashtown, Ashtown, Dublin 15, Ireland

18 <sup>§§</sup>Ohio University, Center for Intelligent Chemical Instrumentation, Department of Chemistry  
19 and Biochemistry, Clippinger Laboratories, Athens, OH 45701-2979 USA

20

21 Corresponding Author

22 \*Phone: +1 301 230 7421. Fax: +1 301 816 8142. E-mail: lyb@usp.org.

23

24 **ABSTRACT:** A multinational collaborative team led by the US Pharmacopeial Convention is  
25 currently investigating the potential of NIR spectroscopy for nontargeted detection of adulterants  
26 in skim and nonfat dry milk powder. The development of a compendial method is challenged by  
27 the range of authentic or nonadulterated milk powders available worldwide. This paper  
28 investigates the sources of variance in 41 authentic bovine skim and nonfat milk powders as  
29 detected by NIR diffuse reflectance spectroscopy and chemometrics. Exploratory analysis by  
30 principal component analysis and varimax factor rotation revealed significant variance in  
31 authentic samples and highlighted outliers from a single manufacturer. Spectral preprocessing  
32 and outlier removal methods reduced ambient and measurement sources of variance, most likely  
33 linked to changes in moisture together with sampling, preparation, and presentation factors.  
34 Results indicate that significant chemical variance exists in different skim and nonfat milk  
35 powders that will likely affect the performance of adulterant detection methods by NIR  
36 spectroscopy.

37

38 **Keywords.** Skim milk powder, nonfat dry milk, melamine, NIR spectroscopy, chemometrics,  
39 PCA, varimax, compendial, diffuse reflectance, variance.

40

41 **INTRODUCTION**

42 Skim milk powder (SMP) and nonfat dry milk (NFDM) are important food ingredients and  
43 sources of nutrition with more than 9 billion pounds estimated to be produced globally in 2011.<sup>1</sup>  
44 Numerous testing standards exist for both of these ingredients and other milk derivatives, but no  
45 authoritative testing standards currently exist for verifying the identities and integrities of these  
46 ingredients. This was underscored by the tragic 2008 melamine adulteration incident involving  
47 milk powders, which highlighted vulnerabilities in existing food safety and quality assurance  
48 systems that were not capable of guarding against the possibility of unknown adulterants.<sup>2-4</sup>

49  
50 A workshop on this topic was convened by the United States Pharmacopeia (USP) in 2009  
51 entitled “Food Protein Workshop—Developing a Toolbox of Analytical Solutions to Address  
52 Adulteration.”<sup>5</sup> One of the key outcomes from the meeting was a need for standardized and  
53 reliable non-targeted screening procedures combined with multivariate statistical analysis tools  
54 to assess food ingredients rich in protein, such as milk and plant protein-derived ingredients, in  
55 quality assurance (QA) and quality control (QC) settings. Such procedures would become useful  
56 tools to allow authentication of ingredients based on a qualitative comparison with a library of  
57 milk powders, with the expectation that adulterated samples would classify as outliers and as  
58 such be considered nonauthentic. This nontargeted approach has the potential to significantly  
59 advance a solution to the age-old problem of using targeted methods to detect adulteration—as  
60 those responsible for adulteration are constantly evolving and engineering new, previously  
61 unknown adulterants to circumvent existing targeted QC methods.

62

63 Several promising analytical methods, including near infrared (NIR) spectroscopy, are currently  
64 being investigated by a USP-led collaborative research project aimed at developing and  
65 validating a toolbox of methods to detect adulteration in SMP and NFDM.<sup>6</sup> Benefits of NIR  
66 spectroscopy compared to other technologies include its ready availability, low cost, high  
67 throughput, and robust and rapid analytical measurements. However, developing these  
68 nontargeted classification methods is complicated by the potential physicochemical variability of  
69 pure, nonadulterated milk powder ingredients in commerce worldwide. Such variations are well  
70 known to broaden the range and classification boundaries of authentic ingredients, thereby  
71 decreasing the method's sensitivity for detecting lower concentration adulterants. This problem  
72 is especially true with NIR diffuse reflectance spectroscopy, which already exhibits typical  
73 detection limits on the order of 0.1%, where physical properties influence the resulting spectra  
74 and chemical signatures are not well resolved.

75  
76 The basic compositional variability of SMP and NFDM (e.g., total protein, lactose, water, fat,  
77 and ash) is thought to be somewhat limited by the standardization of raw milk used to produce  
78 these powders and international standardization efforts for product compositions. Little is known,  
79 however, of the variability of minor chemical constituents, such as milk metabolites, small-  
80 molecule additives, and protein composition in commercial SMP and NFDM, and their influence  
81 on NIR spectra. For raw fluid milk, factors reported to influence these minor constituents include:  
82 raw milk geographic origin, animal origin (e.g., bovine versus water buffalo) and breed, season,  
83 and animal diet.<sup>7</sup> For further processed ingredients like milk powders, processing parameters  
84 such as preheating temperatures, concentrate heating temperatures, drying temperatures, and  
85 drying equipment (e.g., spray versus drum driers), may also introduce additional chemical and

86 physical differences that are measurable by NIR spectroscopy. This was confirmed by a study  
87 that reported that heat treatment type (low, medium, or high heat) could be discriminated by NIR  
88 spectroscopy and chemometrics.<sup>8</sup> More research is therefore needed to better characterize the  
89 NIR variance of commercial SMP and NFDM and determine how this variance may affect the  
90 performance of nontargeted NIR analysis methods for detecting adulteration.

91  
92 Understanding the repeatability and reproducibility of a NIR measurement is an important  
93 consideration when developing classification methods for detecting adulteration. Advanced NIR  
94 platforms for solid-phase reflectance spectroscopy are available and have been designed to  
95 reduce the effects of instrumental variance. The use of standard materials to monitor and verify  
96 instrumental calibration, like wavelength accuracy, photometric linearity and accuracy, and noise,  
97 is also common practice to ensure performance. However, extraneous features can still be  
98 manifest in NIR spectra from other sources of measurement variance, including ambient  
99 conditions and sample presentation parameters. For example, ambient temperature changes can  
100 have significant effects on NIR spectra for materials involving hydrogen bonding or containing  
101 water. A difference of a few degrees may result in significant spectral changes such as peak  
102 intensities and absorbance shifts. Hygroscopic materials are also sensitive to humidity, as the  
103 NIR spectrum is known to have broad intense bands related to water absorption. Presentation of  
104 the sample to the measurement interface can also introduce variability. The material particle size  
105 and diameter of the sample cup can alter the scattering effects on the spectra, while the  
106 homogeneity and measured surface area of the sample can also influence the accuracy of the  
107 measurement.

108

109 In this study, variance of NIR spectra from 41 different bovine skim milk powders and nonfat  
110 dry milk powders was explored using principal component analysis and varimax rotation  
111 methods. Experimental design was controlled in such a way as to either reduce the influence of  
112 NIR measurement variance or monitor well-known sources of variance. Resulting spectral data  
113 were then interpreted for influential sources of variance using principal component score trends  
114 and spectral signatures in rotated principal component loadings. Chemical analysis of samples of  
115 interest is also reported to support the interpretations of the rotated principal components.

116

## 117 **MATERIALS AND METHODS**

118 **Milk Powder Samples.** A total of 41 milk powders, including 19 skim milk and 22 nonfat dry  
119 milk, were acquired from eight suppliers produced between August 2008 and May 2012.  
120 Certificates of analysis indicated product origin details (including production sites and lot  
121 numbers), and processing conditions (condensing temperatures labeled as high, medium, and low  
122 heat). Proximate chemical composition was also indicated on the certificates including levels of  
123 moisture (%), fat (%), and protein (%). A detailed summary of all milk powders studied and their  
124 supplied attributes and properties is provided in Table 1.

125

126 **NIR Spectral Measurement.** Fourier transform (FT) near infrared spectra were acquired at the  
127 US Food and Drug Administration, Center for Food Safety and Applied Nutrition, Division of  
128 Food Processing Science and Technology, with a PerkinElmer Frontier FT-NIR system  
129 (Waltham, MA, USA) fitted with the NIRA reflectance accessory (diffuse reflectance). A 12 mm  
130 diameter spot was illuminated on the sampling interface, while the spinning cup feature of the  
131 reflectance accessory was enabled during acquisition. Each resulting percent reflectance (% R)

132 NIR spectrum was an average of 32 scans at  $4\text{ cm}^{-1}$  resolution, over a spectral range between  
133 1000 and 2500 nm ( $4000$  and  $10000\text{ cm}^{-1}$ ).

134  
135 Instrument performance was internally verified daily by vendor-specific tests in transmittance (T)  
136 mode, including the “Abscissa Check” (wavelength accuracy) and the “Ordinate Check”  
137 (photometric response); both checks used an internal polystyrene standard for comparison  
138 against spectra acquired at calibration. Photometric noise was also verified daily to be within  
139 specification using the “Noise Check,” which calculated root mean square noise (RMS, %T),  
140 peak-to-peak noise (%T), and baseline trending over a specified range.

141  
142 A background scan (99% Spectralon diffuse reflectance standard) was acquired at the beginning  
143 of the experiment per software requirements and all automatic prompts for additional  
144 background scans were disabled for the remainder of the experiment. However, extra reflectance  
145 standard measurements were incorporated into the experimental design as independent samples.  
146 Spectra of a USP NIR suitability reference standard (USP, Cat. No. 1457844, Lot No. G0K264,  
147 Rockville, MD, USA) and a 99% Spectralon diffuse reflectance standard (Labsphere, Cat. No.  
148 AS-01160-060, North Sutton, NH, USA) were acquired at specified intervals on each day of  
149 analysis. These spectra were used to monitor the drift in wavelength accuracy and correct for  
150 drift in photometric intensity, independent of the system’s internal requirements. A tolerance for  
151 agreement for wavelength accuracy per USP general chapter <1119><sup>9</sup> is  $\pm 1\text{ nm}$  for peaks  
152 between 70 and 2000 nm and  $\pm 1.5\text{ nm}$  for peaks between 2000 and 2500 nm. Four wavelength  
153 peaks were measured across the spectral range (1261.1, 1536.2, 1971.2, and 2313.1 nm) and  
154 wavelength peak maxima were determined using a center of gravity algorithm<sup>10</sup>.



155

156 **Sample Analysis.** NIR spectra of six subsamples for each milk powder sample were acquired in  
157 a randomized order on three consecutive days of analysis with two subsamples per milk powder  
158 being acquired on each day of analysis. Stock samples were stored in sealed glass jars, and  
159 remixed by multiple inversions between subsampling. For each milk powder subsample, a 1.0–  
160 1.5 cm thick (about 25 g) portion was evenly distributed into a 100-mm dish (PerkinElmer, Cat  
161 No., L1181257, Oakbrook, IL, USA) by gently swirling, taking care not to impact any surfaces,  
162 so as to not alter the natural particle size distribution. The dish was placed on the sampling  
163 interface of the reflectance accessory and covered for each measurement. Since the same dish  
164 was used for each subsample, it was thoroughly cleaned between measurements by pouring out  
165 the milk powder and removing the excess particles with a vacuum and Kimwipe™ tissue.

166

167 In addition, on each day of analysis, six replicate measurements were acquired for randomly  
168 selected subsamples with the sample remaining on the sampling interface between replicates.  
169 While variance contributions from subsampling and instrumental repeatability are expected to be  
170 relatively small in comparison to the variance associated with the chemical and physical  
171 differences between samples, it is nonetheless necessary to characterize these contributions.  
172 Reflectance standards were acquired at intervals of every six milk powder subsamples. Spectral  
173 acquisition included 246 unique subsample spectra, 105 additional replicate spectra (not included  
174 in exploratory principal component analysis described in the following section), and 84 reference  
175 standard spectra, for a total of 435 spectra.

176

177 **Principal Component Analysis and Varimax Rotation.** Principal component analysis (PCA)  
178 was used to explore the variance in the repeatability of the NIR measurement, milk powder  
179 subsamples, and NIR spectra of the 41 commercial milk powder samples (MATLAB 2012, The  
180 Mathworks Inc., Natick, MA, USA, and PLS\_toolbox 5.2, Eigenvector Research Inc.,  
181 Wenatchee, WA, USA). PCA is an exploratory chemometrics method that aims to reduce the  
182 dimensionality of data from a large number of original measurements (e.g., 6000 variables in an  
183 NIR spectrum) to a small number of principal components (typically, the first 3–5 components),  
184 with the remaining, higher-order components typically reflecting measurement noise. The  
185 reduction is calculated such that each principal component (PC) is orthogonal to its preceding  
186 component and explains the largest percentage of the total variance in the remaining data set. For  
187 example, the first PC accounts for the largest percentage of total variance; the second PC  
188 explains the largest percentage of the remaining variance, and so on. Principal components can  
189 be expressed as a linear combination of the original spectral variables, where each variable is  
190 weighted based on its variance contribution for that PC and can be plotted graphically as the  
191 variable loading plot. Similarly, each sample can be projected onto each PC loading and can be  
192 plotted using its score or projection onto the principal component.

193  
194 Varimax rotation, an orthogonal rotation method, is used to rotate the principal components so  
195 that groups of variables will load onto a single rotated component instead of being distributed  
196 across several principal components. The rotated component is referred to as a factor and may  
197 correspond to a factor in the experimental design or property of the data; this may aid the  
198 spectral interpretation to chemical or physical sources of variance.<sup>11</sup> The interpretation is  
199 simplified because after a varimax rotation, original variables that contribute variance in multiple

200 relevant (or retained) PCs tend to be expressed in a single rotated component. Generally, the  
201 varimax solution means that each component has a small number of heavily-weighted spectral  
202 variables and a large number of insignificant spectral variables.

203

204 **Exploratory Chemical Analysis.** Six of the aforementioned milk powders (highlighted in Table  
205 1) were selected for further characterization and chemical analysis by high-pressure size  
206 exclusion chromatography (HPSEC) for estimation of denatured protein. The HPSEC method  
207 utilized a Shodex Protein Column KW-803(8 × 300 mm, maintained at 25 °C), with a mobile  
208 phase of 0.05 M NaH<sub>2</sub>PO<sub>4</sub> and 0.15 M NaCl at pH 7.0 (flow rate = 0.3 mL/min). Separated  
209 analytes were detected at 214 nm with a total run time of 75 min. Samples were also analyzed for  
210 levels of  $\epsilon$ -N-[furoylmethyl]-L-lysine (furosine), as an early stage marker for Maillard browning,  
211 by liquid chromatography (LC-UV)<sup>12</sup> following acid hydrolysis.

212

## 213 **RESULTS AND DISCUSSION**

214 **Wavelength Accuracy.** Spectral peak positions of the USP NIR system suitability standard were  
215 determined using a custom written center of gravity script in MATLAB. Deviations from the  
216 expected wavelength positions of 1261.1, 1536.2, 1971.2, and 2313.1 nm, as provided by the  
217 USP system suitability standard certificate at a bandwidth of  $\pm 2$  nm, were calculated. All peaks  
218 were demonstrated to be within tolerance of  $\pm 1$  nm for peaks below 2000 nm and  $\pm 1.5$  nm for  
219 peaks above 2000 nm, and no distinct trends between days of analysis were observed.

220 **Photometric Intensity.** Deviations in spectral profiles of the 99 % reflectance standard were  
221 observed over the consecutive days of analysis. Trends of this spectral variance were explored by  
222 PCA, where the data was mean-centered prior to analysis. Figures 1a –c contain reflectance

223 standard scores for PCs 1 through 3 plotted against their sequential acquisition in time.  
224 Respective loading plots of these PCs (Figures 1d–f) explain 99.7 % of the spectral variance, and  
225 demonstrate contributions from a sloping baseline and broad spectral features centered at ~1400  
226 nm and ~1930 nm. While the cause of the baseline slope is uncertain, the latter features are  
227 typical of ambient moisture which has known absorbance bands in those regions. Principal  
228 components (4 and 5), which accounted for less than 0.3% of the total variance, possessed some  
229 features between 2200 and 2400 nm (data not shown). These absorbance features can be  
230 attributed to artifacts present on the standard or sampling interfaces, and were only observed for  
231 3 of the 99% reflectance standard measurements acquired on day 1.

232  
233 Reflectance standard measurements are typically used in calculating double beam “pseudo-  
234 absorbance” spectra, and are intended to correct for instrumental drift and ambient variance  
235 contributions in sample spectra. PCA of single beam milk powder spectra ( $N = 351$ , %R mean-  
236 centered spectra) showed clear moisture band contributions in PC5 through PC7 loading plots  
237 (i.e., greater than 0.1 % variance), similar to those observed in the reference standard. Baseline  
238 sloping effects were also observed in 3 of the 4 first principal components calculated from this  
239 data set. As a result, milk powder absorbance spectra ( $A$ ) were calculated using equation 1,  
240 where the reflectance standard spectrum ( $R_{RS}$ ) used for the correction was that which was  
241 acquired just prior to the reflectance milk powder spectrum ( $R_{milk}$ ).

242

$$243 \quad A = -\log_{10} \left( \frac{R_{milk}}{R_{RS}} \right) \quad [1]$$

244

245 While the relationship between absorbance and diffuse reflectance is not accurately defined by  
246 equation 1 (for reasons not discussed in this report<sup>9,13</sup>), for the purposes of this application, the  
247 estimate or “pseudo-absorbance” will be considered sufficient. After this conversion, principal  
248 component contributions of ambient moisture bands and sloping baselines were no longer  
249 observed in any of the first seven PCs of the milk powder absorbance spectra.

250

251 Note, subsample measurements ( $N = 18$ ) that were corrected with the 3 outlier 99% reflectance  
252 standard spectra (as described previously) had also exhibited extraneous features between 2200  
253 and 2400 nm, which was not present before the double-beam absorbance calculation. These  
254 resulting subsample absorbance spectra were removed from all subsequent data analyses.

255

#### 256 **Milk Powder Variance by Chemometrics.**

257 **Preprocessing.** Resulting “pseudoabsorbance” spectra (6001 variables/spectrum) were further  
258 corrected using standard preprocessing algorithms applied to NIR spectral data, including  
259 standard normal variate (SNV) correction and first derivative transformation using a Savitzky–  
260 Golay algorithm (window size = 35 points, third-order polynomial fit). End points of all spectra  
261 were subsequently removed from the spectral dataset (20 points from both higher and lower  
262 wavelength ends). Preprocessing methods employed are used to correct for any potential  
263 physical phenomena or interferences that result in unwanted signal variability that may not be  
264 corrected by instrument calibration methods. For example, diffuse reflectance spectra of  
265 powdered samples often contain effects due to light scatter from particles within the sample;  
266 these effects are manifest as a multiplicative interference across the NIR spectrum. The  
267 magnitude of the multiplicative scatter is a function of particle size and its distribution. Typical

268 preprocessing techniques used to correct this include multiplicative scatter correction (MSC) or  
269 SNV transformation. SNV generally provides the same results as the more commonly used MSC  
270 method, without the need for a reference spectrum. For each spectrum, the mean value of all  
271 variables (e.g. absorbance values) is subtracted from each variable. Each mean-subtracted  
272 variable is then divided by the standard deviation of all variables for that spectrum.

273 Particle size can also influence the spectral pathlength (or light beam penetration) as a result of  
274 variations in sample packing, bulk density, and sample thickness; this is manifested as a constant  
275 background in the NIR spectrum. Derivatives are often used to reduce this effect, where the  
276 background of first derivative spectra is converted to a constant level, correcting constant  
277 baseline offsets. The additional benefit of derivative preprocessing is its ability to emphasize  
278 small shoulders and peaks so that the resulting spectra have more pronounced features. These  
279 attributes may be useful when targeting small changes in intensity. Savitzky–Golay convolutions  
280 are often used to calculate derivative spectra<sup>14</sup>, where at each variable in a spectrum, a  
281 polynomial of specified order is fit to the number of points (window) surrounding the variable.  
282 An estimate for the value of that variable is calculated from the derivative of the fitted function.  
283 The algorithm moves to the next point along the spectrum and performs the same calculation  
284 using the same window size and polynomial order. Since fewer data points are fitted near the  
285 end-points of a spectrum, the approximation of the polynomial fit and subsequent derivative can  
286 introduce unusual features in this region, and are often removed from the spectral dataset.

287 However, the challenge of applying a derivative is the interpretation of the resulting spectrum  
288 because peaks and features are no longer visually intuitive. It is helpful to remember that first  
289 derivative spectra have peaks at regions of maximum slope in the original spectrum and cross the  
290 zero line at locations of peak maxima/minima in the original spectrum.

291  
292 The additional advantage of using these preprocessing methods is that both SNV and first  
293 derivative transformation were shown to be effective in removing variability introduced between  
294 replicate and subsample measurements of the same milk powder material (as evaluated by PCA),  
295 indicating that the major source of variance between replicate measurements is from light  
296 scattering and pathlength effects, while minor sources were attributed to random noise  
297 contributions. The NIR spectra are also mean-centered so that absolute magnitudes are not  
298 considered in a multivariate analysis, but only relative changes to the mean. This preprocessing  
299 step is often used prior to PCA.

300  
301 ***PCA and Varimax Rotation.*** Principal component analysis was applied to the 228 preprocessed  
302 milk powder spectra from 41 unique milk powder samples with either 5 or 6 subsamples each  
303 (18 subsamples had been previously removed from the data set, see description under  
304 *Photometric Intensity*). Score plots were explored for unique clustering patterns for various  
305 classification categories, including day of analysis, SMP versus NFD, supplier, and  
306 condensing temperatures (high, medium, and low heat). No clear trends were observed in many  
307 of these categories, except for the resolved clustering of particular samples, S081, S082, S086,  
308 and S145 along PC 1, and S116 along PC 2 (Figure 2). Interestingly, samples S081, S082, and  
309 S086 were manufactured by the same supplier, while S145 exhibited a lower moisture content  
310 than the majority of the milk powder samples (mean  $\pm$  std =  $3.61 \pm 0.47\%$ , S0145 = 1.80%). An  
311 additional cluster of samples, S033, S051, S053, S055, S107, was observed in covariance of PC1  
312 and PC2, however, no single sample property could be attributed to this cluster, even though the  
313 majority of these samples were low heat processed samples.

314  
315 Five principal components were retained from the PCA, capturing 60.60% of the total variance,  
316 and were rotated using the varimax factor rotation algorithm (Figures 3a–e). Interpretations of  
317 the rotated components revealed features related to chemical sources of variance, including water  
318 and R–OH combination band contributions for PC 1 (1450, 1940 nm), a distinguishing lactose  
319 spectrum for PC 2, other sugar contributions for PC 3, lipids (fats) and protein contributions for  
320 PC 4, and additional C–H combination band contributions in PC 5.<sup>15–18</sup> Few signal contributions  
321 from below 1400 nm were observed in these principal components, demonstrating the limited  
322 sensitivity in the third overtone region of the NIR spectrum. While some contributions were  
323 observed at ~1400 nm, these small features can generally be attributed to moisture.

324  
325 Based on these interpretations, principal component analysis of targeted spectral regions on the  
326 spectral data set (228 spectra) was analyzed to confirm the chemical sources of variance for the  
327 resolved samples in Figure 2. Score and loadings plots (Figure 4a–c) from PCA of NIR spectra  
328 between 2200 and 2500 nm, the C–H combination band region, demonstrated significant  
329 discrimination of supplier B samples S081, S082, S086, and even, S087, based on the covariance  
330 structure of PC 1 and PC 2 (not varimax rotated). Absorbance bands in this region are most  
331 likely correlated to lactose, fat, and protein content, and are typically used for quantitative  
332 determination of these constituents. Score and loading plots from PCA of NIR spectra between  
333 1700 and 2200 nm resolved similar sample clusters as observed for the full spectral window,  
334 again emphasizing the major contributions of both moisture (~1930 nm) and R–OH (~2000 nm)  
335 combination bands in discriminating the same samples, S081, S082, and S086. The spectral band  
336 for the R–OH stretch (2000 nm) is most likely associated with functional groups in sugars



337 (lactose, etc.), and may also suggest that the source of variance in the spectral bands above 2200  
338 nm is also correlated to this chemical source of variance since similar milk powder samples are  
339 discriminated in both regions.

340

341 **Milk Powder Variance by Exploratory Chemical Analysis.** Milk powders, S081, S082, S086,  
342 S087, S091, and S096 were selected for further characterization by chemical analysis; 4 of which  
343 were discriminated by PCA, while the other 2 samples clustered near the center of the PCA  
344 space (along first 5 PCs). Basic compositional analysis showed no difference between these  
345 samples for total protein (total nitrogen content), total fat, total lactose, total ash, and total sugars  
346 (data not shown). Additional chemical analysis for aggregated protein and furosine levels (Table  
347 2) suggested a correlation to condensing temperature, where a direct relationship was observed  
348 between the heat level and aggregated protein, and between the heat level and furosine  
349 concentration. Both correlations are theoretically expected since an increase in condensing  
350 temperatures can cause changes in the tertiary structures of milk proteins leading to denaturation  
351 and aggregation.<sup>19</sup> The extent of the Maillard reaction can also be catalyzed by heat, and an  
352 increase in furosine, a byproduct of this reaction, is expected.<sup>20</sup> While these results are expected,  
353 they do not support the clustering patterns observed in the PCA space. Additional sources of  
354 variance are thought to contribute to the separation of these samples, and further characterization  
355 of these milk powders is required. One possibility that should be explored is the presence at low  
356 levels of chemical food additives which are authorized in international standards for addition to  
357 milk powders.<sup>21</sup> Exploring these and other unknown sources of variance could be investigated  
358 using targeted assays for specific chemical additives and multivariate approaches by Raman and  
359 NMR spectroscopy.

360  
361 This study has demonstrated that appropriate experimental design and spectral preprocessing can  
362 reduce the instrumental and measurement sources of variance in NIR spectra of skim and nonfat  
363 dry milk powders, thus providing the basis for a robust compendial method for authentication.  
364 However, defining boundary conditions for classifying authentic milk powder is still challenged  
365 by the unknown chemical sources of variance that discriminate between authentic milk powders.  
366 In addition, the development of specifications is limited by the number and source of authentic  
367 milk powders, as the 41 samples analyzed here do not necessarily represent the population of  
368 commercially available milk powders in the United States and other countries. Finally, the  
369 sensitivity in detecting adulterants present in samples is still unknown; potentially broad  
370 specifications may reduce the capability of such methods to detect any low-level adulterants  
371 present in skim and nonfat dry milk powder.

372

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376 study. They also thank Stefan Schuber of USP for editorial assistance.

377

### 378 **ABBREVIATIONS USED**

379 A, Absorbance; FT, Fourier transform; HPSEC, high-pressure size-exclusion chromatography;  
380 LC-UV, liquid chromatography–ultraviolet (detection method); MSC, multiplicative scatter  
381 correction; NFDM, nonfat dry milk; NIRA, near-infrared reflectance accessory; NIR, near-  
382 infrared spectroscopy; NMR, nuclear magnetic resonance; PCA, principal component analysis;

383 PC, principal component; QA, quality assurance; QC, quality control; R, reflectance; RMS, root  
384 mean square; SMP, skim milk powder; SNV, standard nominal variate; *T*, transmittance; USP,  
385 US Pharmacopeia

386

387

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**FIGURE CAPTIONS**

**Figure 1.** Principal component analysis of 99% reflectance standard acquired on three consecutive days of analysis ( $N = 42$ ). Scores plots of a) PC 1; b) PC2; c) PC3; and their respective loadings plots in d–f.

**Figure 2.** Score plot of PC1 versus PC2 from PCA of NIR spectra of 41 varying milk powders from 8 different suppliers (A–H) and their subsample measurements (total = 228 spectra). Spectra were preprocessed using standard normal variate (SNV) correction and first-derivative transformation using a Savitzky–Golay algorithm (window size = 35 points, third-order polynomial fit).

**Figure 3.** Varimax rotated loading plots of a) PC 1; b) PC 2; c) PC 3; d)PC 4; e)PC 5, from PCA of NIR spectra of 41 varying milk powders from 8 different suppliers and their subsample measurements (total = 228 spectra). Spectra were preprocessed using standard normal variate (SNV) correction and first-derivative transformation using a Savitzky–Golay algorithm (window size = 35 points, third-order polynomial fit).

**Figure 4.** PCA of NIR spectra between 2200 and 2500 nm of 41 varying milk powders from 8 different suppliers (A–H), and their subsample measurements (total = 228 spectra). Score plots of a) PC 2 versus PC 1 and their loading plots in b) PC 1; c) PC 2. Spectra were preprocessed using standard normal variate (SNV) correction and first-derivative transformation using a Savitzky–Golay algorithm (window size = 35 points, third-order polynomial fit).

## TABLES

**Table 1. Certificates of analysis data from 41 milk powder samples acquired from eight suppliers produced between August 2008 and May 2012.**

Sample Code	Particle Size ( $\mu\text{m}$ )			Supplier	Class of Milk Powder	Process Type (LH = Low Heat; MH = Medium Heat; HH = High Heat)	Production Location	Production Country	Production Date	Moisture Content (%)	Fat Content (%)	Protein Content (%)
	d(0.1)	d(0.5)	d(0.9)									
S021	9.6	36.6	86.8	A	NFDM	LH	A-1	USA	7/12/2010	3.6	0.65	35.67
S022	15.7	60.7	133.4	A	SMP	-	A-1	USA	2/27/2010	3.8	0.4	33.71
S023	16.2	51.2	112	A	NFDM	MH	A-1	USA	5/5/2010	3.3	0.67	35.4
S024	7.3	32.6	87.7	A	NFDM	MH	A-1	USA	5/5/2010	3.1	0.66	35.56
S030	14.5	42.2	86.2	A	NFDM	HH	A-2	USA	7/18/2010	3.87	1.05	-
S031	-	-	-	A	NFDM	HH	A-2	USA	11/16/2009	3.49	0.69	-
S032	-	-	-	A	NFDM	LH	A-2	USA	6/19/2010	3.92	0.99	-
S033	11.1	36.6	77.2	A	NFDM	LH	A-2	USA	2/26/2010	3.71	0.95	-
S047	10.7	40.2	92.8	A	NFDM	LH	A-1	USA	6/7/2010	3.68	0.59	35.5
S051	13.2	45.9	105.6	A	NFDM	LH	A-1	USA	-	-	-	-
S053	18.2	54.6	124	A	NFDM	LH	A-1	USA	-	-	-	-
S054	16.7	68.1	152.8	A	NFDM	LH	A-1	USA	-	-	-	-
S055	17.6	54.9	124.1	A	NFDM	LH	A-1	USA	8/26/2008	3.63	0.83	35.69
S061	16.4	57.8	124.9	H	NFDM	LH	H-1	USA	3/8/2011	3.4	0.54	-
S068	17.2	58.6	124.7	H	NFDM	LH	H-1	USA	2/21/2011	3.46	0.573	-



S070	15.5	57.2	122	H	NFDM	LH	H-1	USA	2/7/2011	3.294	0.62	-
S076	13.2	43.5	94.4	A	NFDM	HH	A-2	USA	1/14/2011	3.5	0.61	-
S077	20.5	65.5	139.5	A	SMP	LH	A-1	USA	2/21/2011	4	0.58	33.4
S080	11.5	42.6	110.8	B	SMP	LH	B-1	USA	3/27/2011	4	0.65	34.29
S081 <sup>b</sup>	21.5	69.4	196.9	B	NFDM	HH	B-2	USA	3/9/2011	3.17	0.75	35.44
S082 <sup>b</sup>	29.8	123.8	432.9	B	NFDM	LH	B-2	USA	2/27/2011	3.59	0.66	36.09

<sup>a</sup>median diameter of d(0.5), with 90% of volume distribution below a diameter of d(0.9), and 10% of volume distribution below a diameter of d(0.1).

<sup>b</sup>samples characterized by HPSEC and LC-UV.

“-” indicates unknown entry.

**Table 1 (continued). Certificates of analysis data from 41 milk powder samples acquired from eight suppliers produced between August 2008 and May 2012.**

Sample Code	Particle Size (µm)			Supplier	Class of Milk Powder	Process Type (LH = Low Heat; MH = Medium Heat; HH = High Heat)	Production Location	Production Country	Production Date	Moisture Content (%)	Fat Content (%)	Protein Content (%)
	d(0.1)	d(0.5)	d(0.9)									
S084	10.6	37.3	89.2	B	SMP	MH	B-1	USA	1/30/2011	3.66	0.6	34.06
S085	11.1	40.5	99	B	SMP	MH	B-1	USA	3/8/2011	3.85	0.69	34.22
S086 <sup>b</sup>	23.6	80.9	245.8	B	NFDM	HH	B-2	USA	1/15/2011	3.58	0.7	35.64
S087 <sup>b</sup>	19.8	77.9	193.2	B	NFDM	LH	B-2	USA	3/7/2011	3.29	0.62	35.84

S089	15.3	53.2	143.6	B	NFDM	MH	B-3	USA	3/12/2011	3.6	0.78	35.73
S091 <sup>b</sup>	16	68	231.4	B	NFDM	MH	B-3	USA	12/26/2010	3.78	0.95	36.31
S093	20.1	64.2	146.3	A	NFDM	LH	A-1	USA	2/1/2011	3.8	0.76	36.04
S094	10.1	36.3	89.8	A	NFDM	MH	A-1	USA	2/13/2011	3.8	0.77	35.9
S095	23.4	82.3	187.6	D	SMP	MH	D-1	New Zealand	10/20/2010	3.9	1	32.7
S096 <sup>b</sup>	17.3	57.8	125	B	SMP	MH	B-4	USA	2/8/2011	3.96	0.67	34.12
S097	15.3	50.7	110.8	B	SMP	LH	B-4	USA	3/12/2011	3.78	0.69	34.3
S098	13.7	41.6	89.9	B	SMP	LH	B-4	USA	8/29/2010	3.92	0.75	34.4
S106	21.8	66	148.8	E	SMP	MH	E-1	Ireland	8/17/2010	3.82	0.95	37
S107	22.8	65.3	135.1	E	SMP	MH	E-1	Ireland	5/15/2010	4.49	0.95	35.7
S108	14.9	51.5	116.2	G	NFDM	-	-	-	-	-	-	-
S110	12.7	37.6	77.3	G	NFDM	-	-	-	-	-	-	-
S116	13.1	39	83.2	C	SMP	MH	C-1	Denmark	4/2/2011	4	0.5	-
S117	15.2	39.7	89.8	C	SMP	MH	C-1	Denmark	3/15/2011	4	0.07	-
S145	-	-	-	F	NFDM	LH	F-1	USA	5/12/2012	1.8	0.01	-
S149	-	-	-	F	NFDM	HH	F-1	USA	5/15/2012	2.37	0.02	-

<sup>a</sup>median diameter of d(0.5), with 90% of volume distribution below a diameter of d(0.9), and 10% of volume distribution below a diameter of d(0.1).

<sup>b</sup>samples characterized by HPSEC and LC-UV.

“-” indicates unknown entry.

**Table 2. HPSEC data for approximation of protein aggregation and LC–UV data for determination of furosine (an early stage marker for Maillard browning) of 6 selected samples from Table 1.**

<b>Sample Code (LH = Low Heat; MH = Medium Heat; HH = High Heat)</b>	<b>Aggregated Protein (% of Total Protein)</b>	<b>Furosine (mg/100 g)</b>
S081 (HH)	27%	242
S082 (LH)	12%	163
S086 (HH)	28%	215
S087 (LH)	11%	152
S091 (MH)	23%	137
S096 (MH)	19%	105

## FIGURES

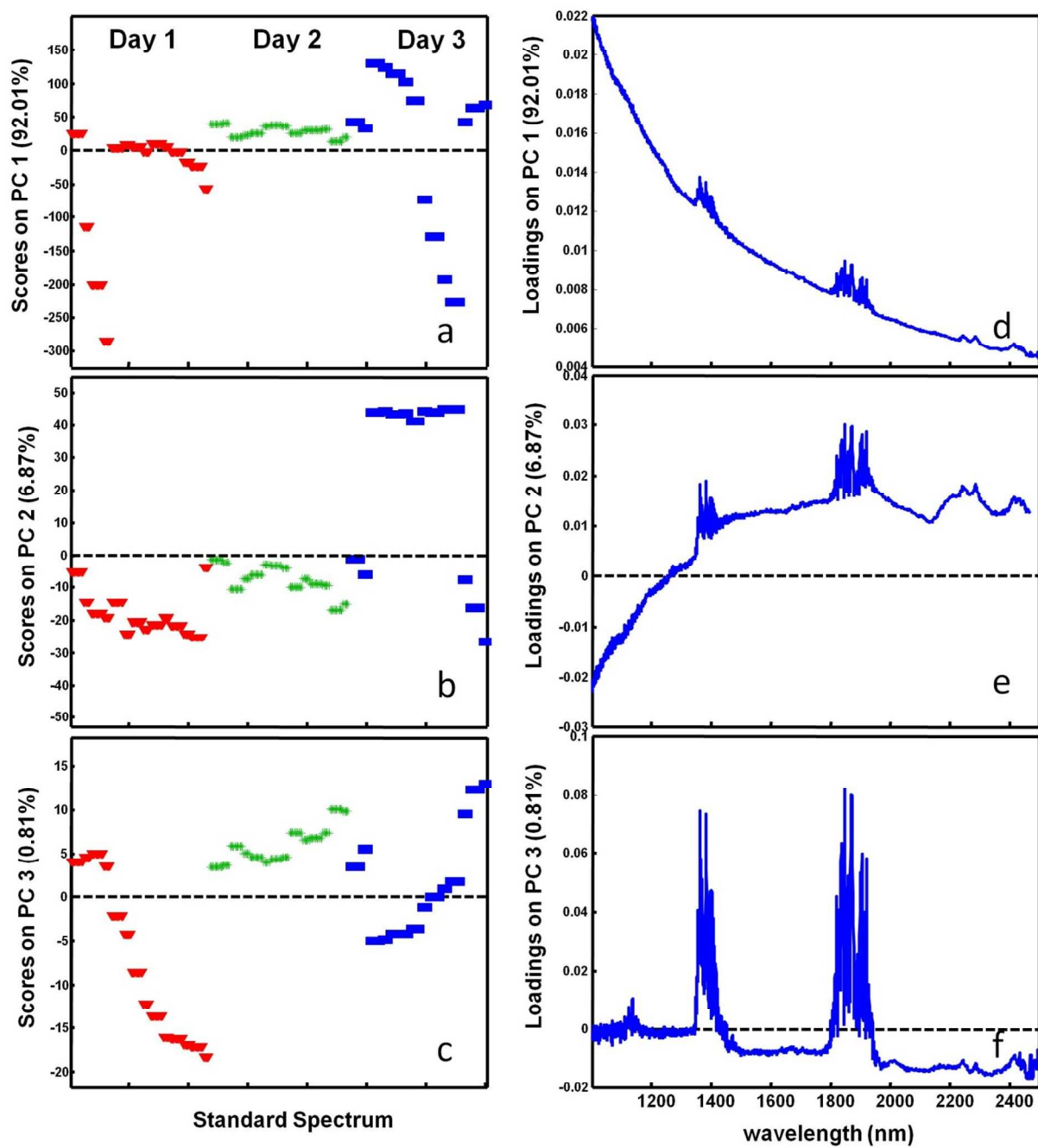


Figure 1

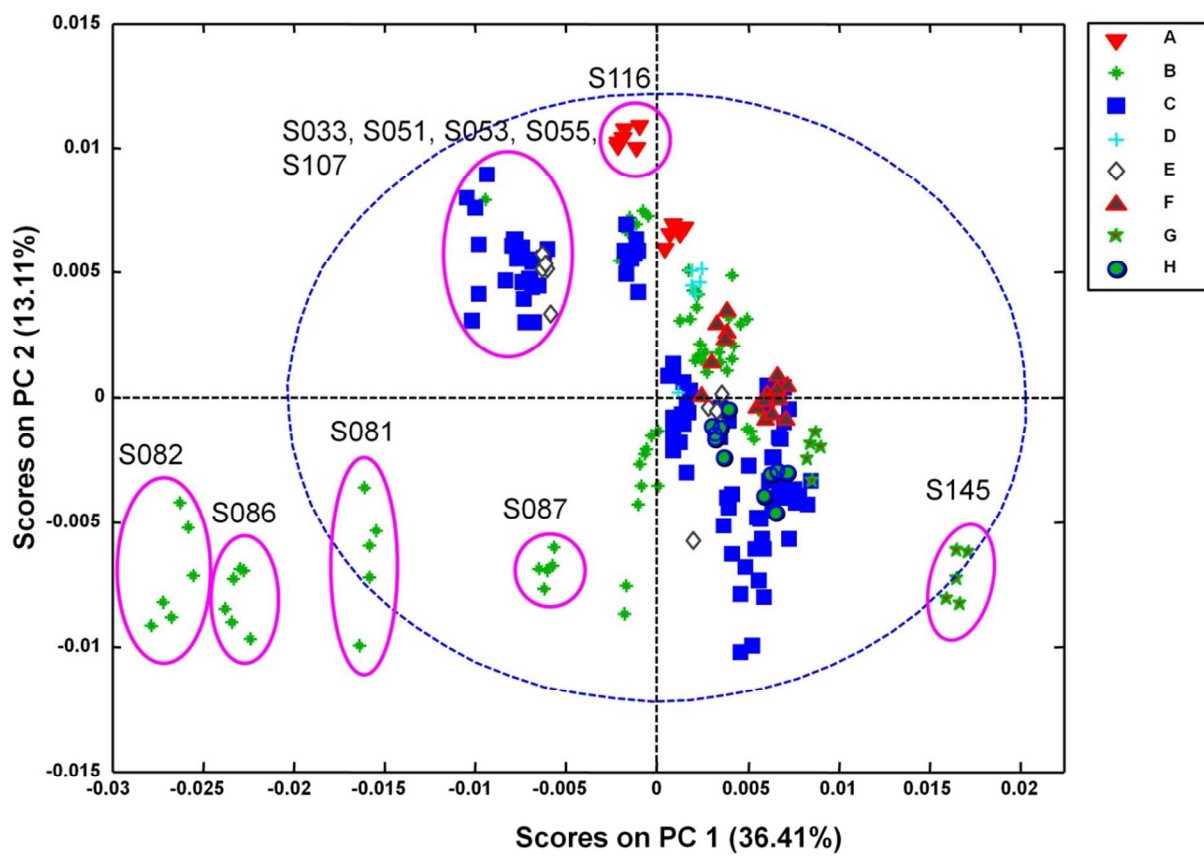


Figure 2

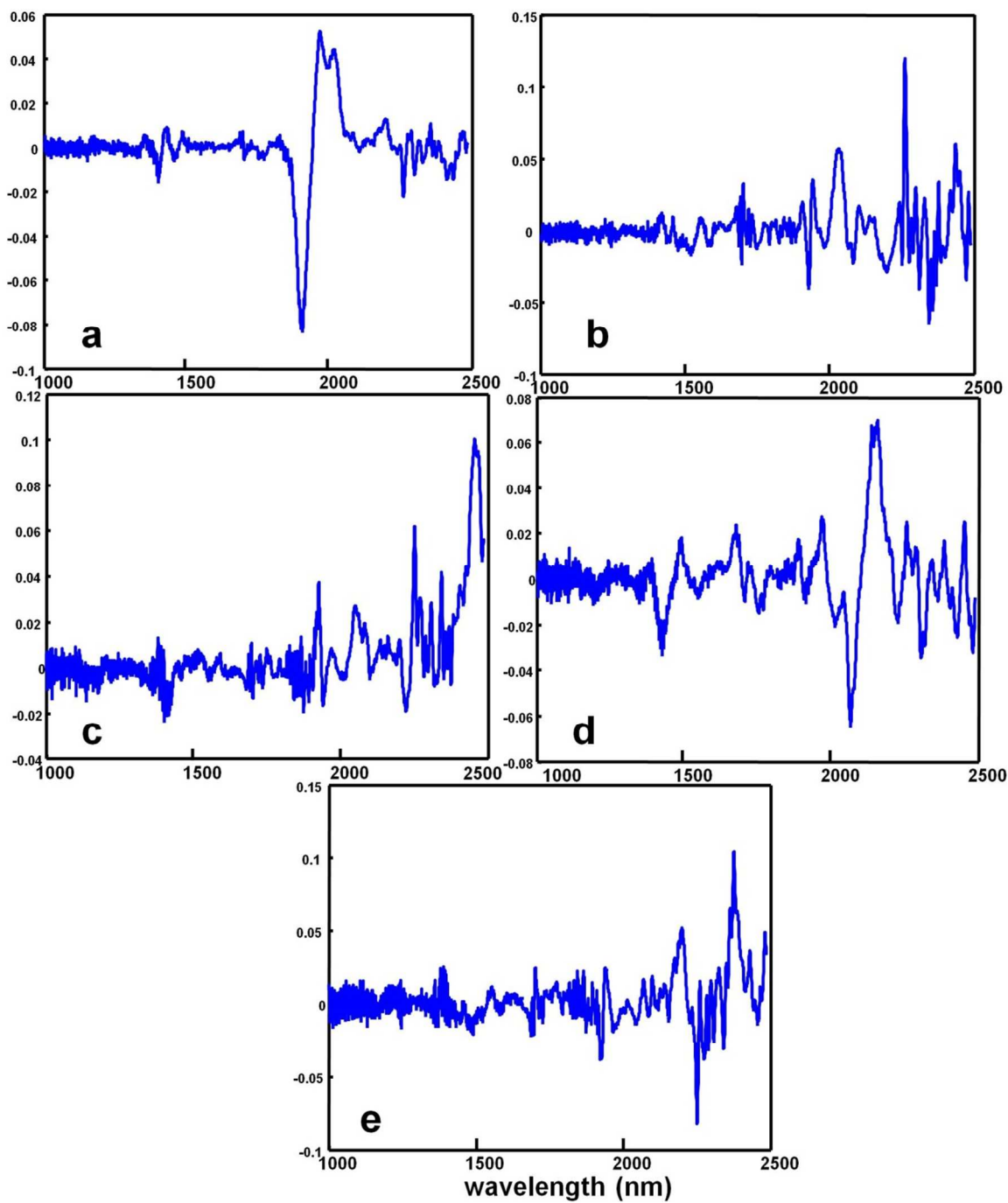


Figure 3

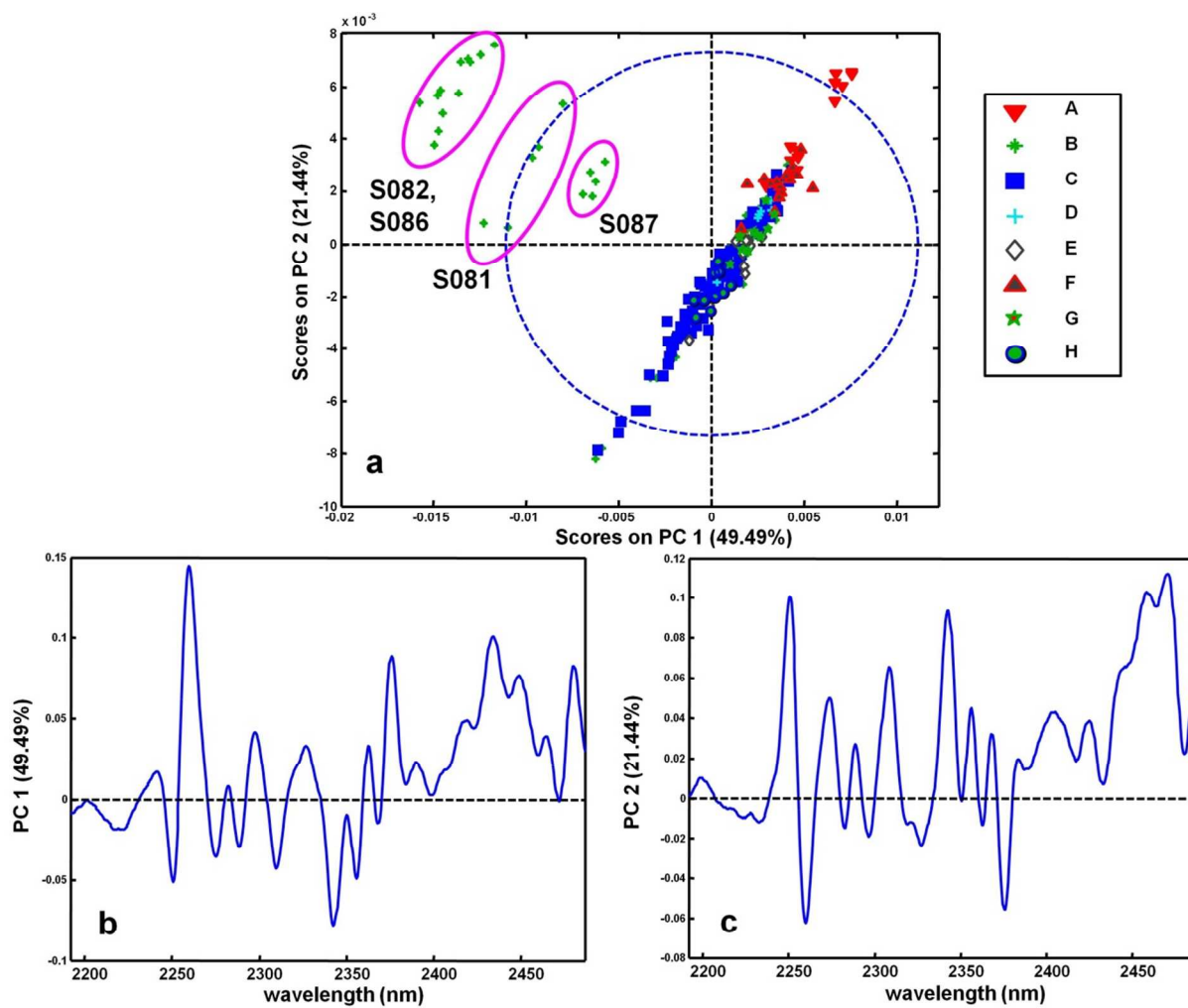
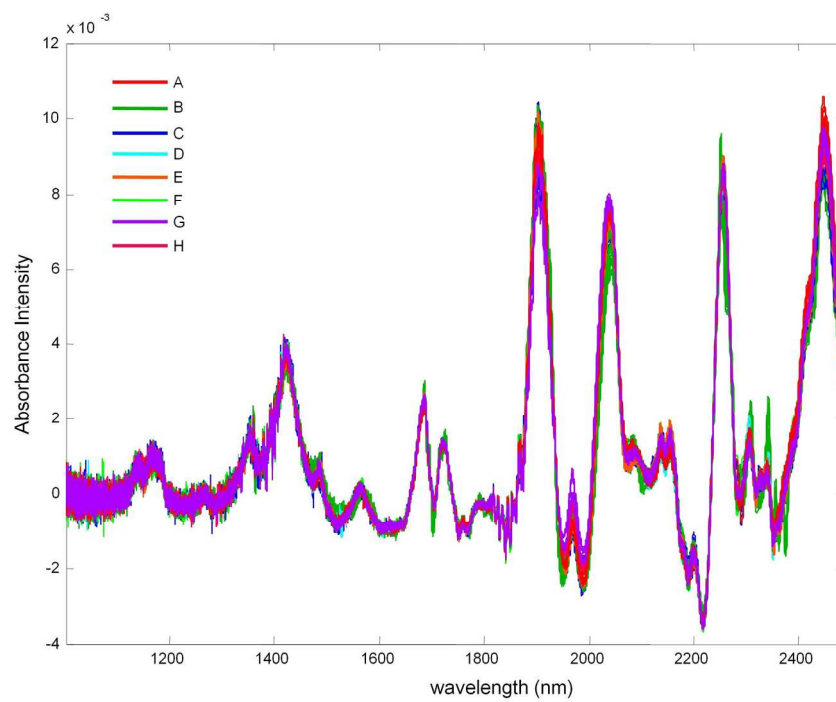


Figure 4



190x142mm (300 x 300 DPI)