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Development of a Sustainable Catalytic Ester Amidation Process

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KEY WORDS: Amidation, Green Solvents, Replacement Bases, Reaction Screening, Design of Experiments.

ABSTRACT: We describe the development of a sustainable ester amidation process. Base and solvent screening, combined with the application of Design of Experiments methodology was employed to identify an optimized set of reaction conditions using a sustainable protocol. Utilizing these optimized conditions, treatment of a range of ester derivatives with amino alcohols in the presence of a catalytic quantity of an K_3PO_4 deploying *iso*-propanol as solvent results in the highly efficient generation of a range of amido-alcohol derivatives in good to excellent yield, accompanied with excellent Reaction Mass Efficiency (RME).

INTRODUCTION

From consideration of both biology and synthesis, the amide functional group is a ubiquitously encountered motif.[1,2] It represents the fundamental linking unit in peptides and proteins and is

frequently encountered in small molecules, particularly in a pharmaceutical setting. Accordingly, considerable efforts have been, and continue to be, invested in the synthesis of amide-containing molecules. A number of recent reviews[3–5] have underlined the frequency at which amide bond formation is executed in Medicinal Chemistry laboratories, with this single reaction accounting for a significant fraction of all transformations performed in this setting. Consequently, reliable methods for the synthesis of the amide bond are keenly sought. Preeminent among these approaches are use of stoichiometric reagents to facilitate the formal condensation of an acid and an amine.[6]

Despite the wide utility of this approach, and as intimated by Alfonsi[7] and co-workers at Pfizer, this overall strategy of using stoichiometric reagents is tainted with a number of issues from a green chemistry perspective. Notably, uronium salts such as HATU[8] have significant by-products which are disproportionate in relation to the overall transformation being carried out, offering very low atom economy and associated reaction mass efficiencies.[9] Based on this, in recent years there have been concerted efforts made to develop catalytic approaches to amide condensations.[10–15] Such methodology offers greater reaction efficiency metrics, together with minimising environmental impact.

To this end, we recently reported a base-catalysed process for the conversion of unactivated ester derivatives to amido-alcohol adducts through reaction with amino alcohol derivatives (Figure 1).[16] The reaction proceeds through an initial transesterification event which is mediated by exogenous base, followed by rearrangement to the thermodynamically more desirable amide product. This reaction manifold was demonstrated to be of wide utility and of relevance to the preparation of biologically active compounds. Having stated this, and based on consideration of the principles enunciated by Alfonsi on the development of green chemistry

tools,[7] we reasoned there was scope to address both the green credentials and scalability of the process to furnish a reaction with the optimum balance of utility, greenness, and fitness for scale-up. In this paper, and as part of our ongoing interests in the advancement and uptake of green synthesis in a Discovery Chemistry setting,[17–19] we report our efforts to develop a significantly more sustainable process and demonstrate its applicability to the synthesis of a range of lead-like substrates.

EXPERIMENTAL

General Experimental Procedure A for Initial Base and Solvent Screening

To an oven-dried Schlenk tube containing the appropriate base (0.28 mmol, 0.2 equiv) and solvent (1.4 mL, 1 M) was added methyl benzoate, (178 μL, 1.42 mmol, 1 equiv) and ethanolamine (86 μL, 1.42 mmol, 1 equiv). The reaction mixture was stirred at 40 °C for 22 h. The reaction mixture was sampled at 4, 8 and 22 hour time points and the conversion was determined by HPLC with reference to an internal standard. Full details are provided in the Supporting Information.

General Experimental Procedure B for Optimization of K_3PO_4-Catalysed Reaction

To an oven-dried Schlenk tube containing K_3PO_4 (0.1 – 0.3 equiv) and i-PrOH (0.5 – 2 M) was added methyl benzoate, (178 μL, 1.42 mmol, 1 equiv) and ethanolamine (86 μL, 1.42 mmol, 1 equiv). The reaction mixture was stirred at the required temperature (20 – 60 °C) for 8 – 22 hours. The reaction mixture was sampled at the end of the required reaction time and the conversion was determined by HPLC with reference to an internal standard. Refer to the Supporting Information for full details.

General Experimental Procedure C for the Synthesis of Amido Alcohols via Base-Catalysed Amide Bond Formation

For example: Compound 3. N-(2-hydroxyethyl)benzamide. To an oven-dried Schlenk tube containing K_3PO_4 (90 mg, 0.43 mmol, 0.3 equiv) and *i*-PrOH (700 µL) was added methyl benzoate (178 µL, 1.42 mmol, 1 equiv) and ethanolamine (86 µL, 1.42 mmol, 1 equiv). The reaction mixture was stirred at 60 °C for 22 h then concentrated to a residue that was purified by silica solid phase extraction (5% methanol/CPME) to afford the title compound (188 mg, 80%). Full characterization of this and all compounds synthesized is provided in the Supporting Information.

RESULTS AND DISCUSSION

In an effort to enhance the profile of the process shown in Figure 1 to achieve a balance of utility, sustainability and scalability we focused on two major considerations: (i) choice of base; and (ii) solvent selection. The condensation reaction had previously been enabled with the phosphazene base BEMP (2-*tert*-butylimino-2-diethylamino-1,3-dimethylperhydro-1,3,2-diazaphosphorine).[20] While this was effective and could be deployed catalytically, we sought to identify a more sustainable and cost-effective replacement.

In order to identify a suitable alternative catalyst, we embraced the rubric of Adams[21] who utilized a scoring system based on health, safety and environmental risk phrases in constructing reagent guides designed to reduce impact to the environment in drug discovery and development. Accordingly, we made assessment of environment, health and safety scores using risk phrases to identify replacements for BEMP which also possessed an acceptable balance of

practicality for use. Based on this, we elected to screen the inorganic bases K_3PO_4 and K_2CO_3, triethylamine and the carbonate mineral hydrotalcite.[22] Additionally, we chose to screen the clay-derived reagent montmorillonite as it had previously been shown to facilitate transesterification reactions,[23] hence could, in principle, catalyze the first step of our process.

The second aspect of our study centered around the solvent deployed in the condensation. Previous work by Constable[24] has indicated that solvents constitute around 56% of the materials used to prepare an API, underlining the importance of this factor in designing a sustainable process. Accordingly, considerable effort has been invested towards the identification of more environmentally acceptable solvent systems, with a number of analyses appearing in the literature in recent years.[7,25–27] While the existing process was not intensive in its use of solvent as it is carried out at relatively high (2 M) concentration, we were mindful of the need to identify a replacement solvent. Indeed, recent analysis by Henderson *et al*[25] has suggested that there is an urgent need to replace acetonitrile with a more sustainable alternative. From consideration of this analysis, we selected either existing or emerging substitutes: 2-methyltetrahydrofuran (2-MeTHF),[28] *iso*-propyl alcohol (*i*-PrOH), cyclopentylmethyl ether (CPME),[28–30] and *tert*-butyl methyl ether (TBME).[31] Although there is limited evidence of a potential carcinogenic effect when using high quantities of TBME,[32] only small amounts were used in this study and it was concluded that the sustainable properties outweighed the potential risks. Other potential solvents such as MeOH and acetone were discounted on the basis of possible competing side reactions occurring (transesterification and imine formation, respectively).

Having made appropriate selections in relation to acceptable bases and solvents, we initiated our optimization campaign by screening combinations of solvent and base for their efficacy in a model reaction between methyl benzoate **1** and ethanolamine **2** to furnish the amide **3** (Figure 2).

Using the conditions and reagents outlined in Figure 2, conversions for each reaction were determined by HPLC and a temporal profile for each combination constructed. Based on this analysis, the most promising alternative base identified was K_3PO_4, which demonstrated appreciable conversion (*ca.* 35%) to amide **3** in two of the replacement solvents (CPME and *i*-PrOH, Figure 3). Although there is potential for eutrophication associated with the phosphate species,[33] the quantities used in the current setting are essentially negligible in comparison to, for example, that used in agriculture. Against this background, the scale at which the chemistry is carried out goes some way to allaying this specific concern, particularly given the reaction is catalytic in nature. The remaining two sustainable solvents exhibited comparatively lower conversions (ca. 15 – 20%) and were therefore deemed less suitable as replacements for acetonitrile.

With appropriate replacement base catalyst and solvents in hand, we next turned our attention to optimizing the yield of the process shown in Fig. 2. In order to achieve this in an expedient manner which could simultaneously explore the effects of multiple parameters, we employed the technique of Design of Experiments.[34] In this study, we used a two-level, half-fractional factorial design to probe the following variables: temperature (20 – 60 °C), concentration (0.5 – 2 M), reaction time (8 – 22 h) and catalyst loading (10 – 30 mol%). Table 1 indicates the specific set of conditions employed, including two centre points in the design to allow for estimation of error (Entries 4 and 8). Although CPME showed similar efficacy in the screening study, we elected to run the optimisation using *i*-PrOH as solvent based on the comparatively lower cost of the latter.

Inspection of the dataset generated from the factorial design process suggested that good conversion to product **3** could be achieved when using elevated temperatures and 30 mol% catalyst loading. Indeed, more detailed examination of the data using a response surface indicated that these two effects were the most important in terms of influencing conversion (Figure 4).

Having identified the most important parameters in controlling the overall reaction efficiency, we next evaluated the reaction of **1** and **2** using 30 mol% K_3PO_4 in *i*-PrOH (2 M) at 60 °C for 22 h. Pleasingly, this furnished the target amide **3** in 80% isolated yield, representing a substantial enhancement in the efficiency of the process. Interestingly, increasing the reaction temperature to 80 °C did not prove to be more effective, with 71% conversion to **3** measured under these conditions. In this case, the bis-acylated ethanolamine derivative **4** (Fig. 5) could be isolated which may potentially account for the observed reduction in yield.

With optimal conditions using more sustainable reagents identified, we next focused on exemplifying the process on a range of diverse substrates (Table 2). In keeping with the optimisation phase of the programme, we sought to retain a 1:1 stoichiometry of ester to amino alcohol derivative in order to make efficient use of these building blocks and to offer enhanced Reaction Mass Efficiency (RME, *vide infra*). These substrates were selected in order to enable ready comparison with our first generation process[16] enabling us to gauge the effectiveness of the current, more sustainable protocol. In any discovery chemistry setting, chromatographic purification of final compounds is usually required prior to undertaking a bioassay in order to have confidence in the data generated. We were cognizant of the significant contribution that eluents used in this process make to overall waste, so accordingly we also sought to modify the solvent system used in the purification process. Based on results recently generated in our

laboratories,[17] in the current study we were able to replace the CH_2Cl_2/MeOH eluent system used previously with the more environmentally acceptable CPME/MeOH pairing (see Experimental Section and Supporting Information for further details).

We initially surveyed a number of aromatic derivatives (entries 1 – 4) and established good to excellent yields with this substrate class using the conditions shown. Turning our attention to heteroaromatic ester derivatives (entries 5 – 9), we again noted smooth conversion to the desired amide products with excellent isolated yields generally being observed. Also within this substrate class, we demonstrated that variation of the amino alcohol derivative was tolerated (entries 7, 8, and 9) with both propanolamine and (*S*)-2-pyrrolidinemethanol being more than competent substrates for our optimised process. Focusing on a third substrate class, we then demonstrated that alkyl ester derivatives proved to be similarly effective substrates (entries 10 – 14) with isolated yields residing in the good to excellent range. In the final aspect of our exemplification study, we examined peptide derived substrates in our reaction system. Subjecting Boc-Gly-OMe and phenylalaninol to our optimised conditions furnished the dipeptoid **18** (entry 15) in good yield. Extending this approach to the more sterically demanding substrate Boc-Phe-OMe was successful in delivering the target dipeptoid **19** (entry 16), albeit in modest yield in comparison to **18**. Having stated this, product **19** could be prepared with negligible erosion of chiral integrity (d.r = 98:2), indicating the potential utility of our method when using enantioenriched esters as substrates. Additionally, the measured diastereomeric ratio obtained with the sustainable process is superior to that obtained with the first generation protocol (d.r. = 86:14), highlighting another potential advantage. Overall, consideration of the isolated yields obtained with this new and sustainable coupling protocol indicates that the current method is an extremely viable alternative compared to the previously developed approach.[16] Indeed,

examination of the average yield for this newly developed protocol compared with the previous methodology (84 and 86%, respectively) again indicates the utility of the more sustainable approach.

In addition to isolated yields, we also sought to analyse the efficiency of the optimised reaction from a green chemistry perspective. To this end, we focused on the RME metric proposed by Curzons *et al*[9] which is not only an expression of yield but reflects the molar quantities of reactants, as well as the general ethos of atom economy. This analysis would then offer some means of quantifying how 'green' the overall process is. Appraisal of the data suggests that the RME values for the transformations in Table 2 are generally good (with the exception of entries 9 and 16). The mean RME value calculated by Curzons for a series of amide bond forming reactions was 62%.[9] By comparison, the majority of the exemplars in Table 2 are in excess of this value, suggesting a much more efficient process compared to this earlier benchmark. This trend is reinforced upon calculation of the average RME within this dataset which reveals a value of 70%, again demonstrating a highly favourable efficiency when compared to metrics available in the literature.

In the last phase of our study we sought to demonstrate the utility of the optimized reaction upon scale-up. Accordingly, we targeted the preparation of compounds **8** and **13** on a 10 mmol scale. Gratifyingly, this provided gram-quantities of products in acceptable yields (92% and 85%, respectively), with calculated RME values again above the high watermark established by Curzons[9] (76% and 72% for **8** and **13**, respectively). This initial study bodes well for adapting the optimized process on larger scales in a discovery chemistry setting.

In summary, through a combination of reaction screening and statistically driven design, we have developed a robust and green set of conditions for the conversion of unactivated ester

derivatives to amide containing products using a base-mediated protocol. The reaction conditions have been applied to a range of products in generally good to excellent yields, accompanied by highly encouraging efficiency metrics from a sustainability perspective. In addition, the effectiveness of the reaction on scale has also been demonstrated. Based on all of the above, we believe that this new process will be of considerable utility in the preparation of lead and drug molecules in a sustainable manner.
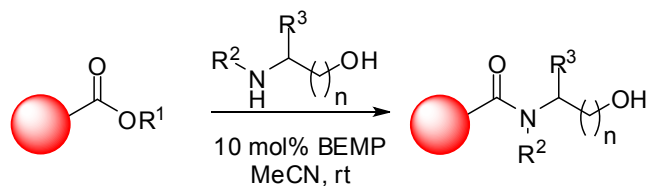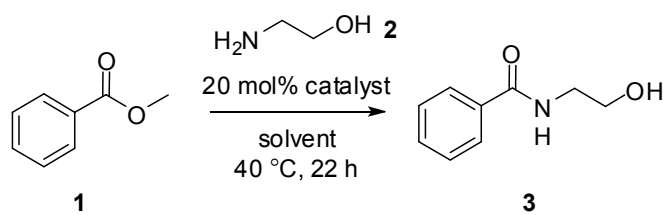
FIGURES

Figure 1. Base-mediated amidation of esters with amino alcohols.

Catalyst	Solvents
K_3PO_4	CPME
K_2CO_3	TBME
Et_3N	i-PrOH
montmorillonite	2-MeTHF
hydrotalcite	

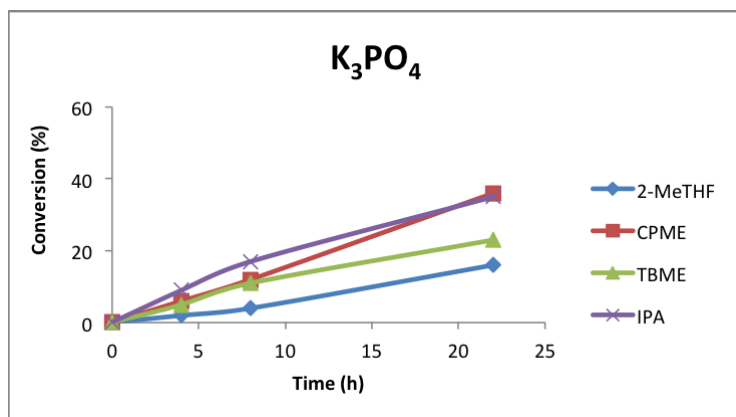**Figure 2**. Screening of alternative solvents and bases.

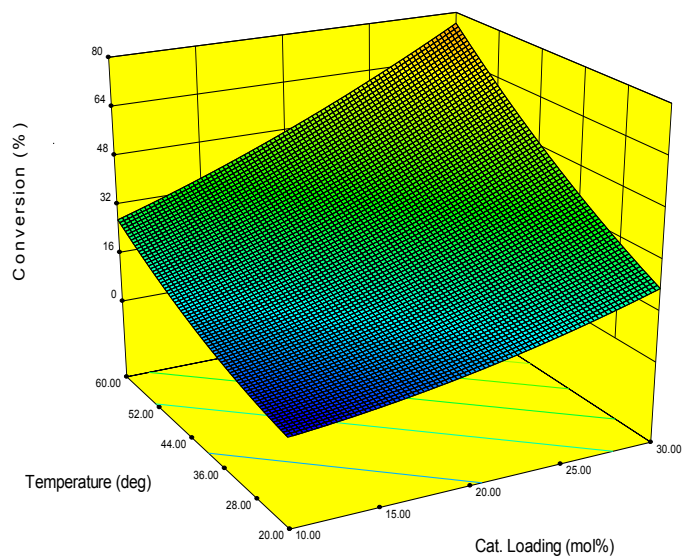**Figure 3.** K_3PO_4 mediated conversion of **1** to amide **3**

Figure 4. Response surface modelling the effects of catalyst loading and temperature for the conversion of **1** to **3**.
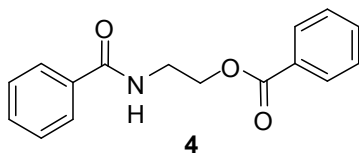

**Figure 5**. Bis-acylated ethanolamine by-product

Table 1. Factorial design for the optimisation of the conversion of **1** to **3**.

Entry	Time (h)	Temp (°C)	Conc. (M)	Cat. Loading (mol%)	Conversion (%)[a]
1	8	60	0.5	30	31
2	22	60	0.5	10	17
3	8	20	2	30	8
4	15	40	1.25	20	19
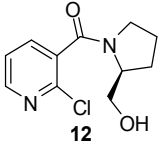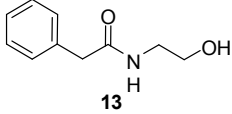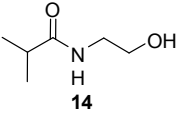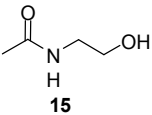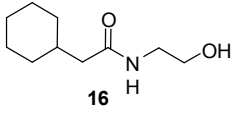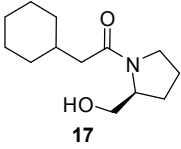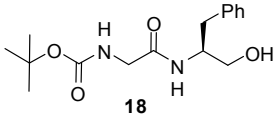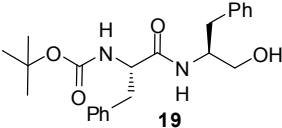
5	22	20	0.5	30	14
6	8	60	2	10	37
7	22	60	2	30	82
8	15	40	1.25	20	20
9	8	20	0.5	10	3
10	22	20	2	10	5

[a] Determined by HPLC using an internal standard.

Table 2. Exemplification of substrate scope.
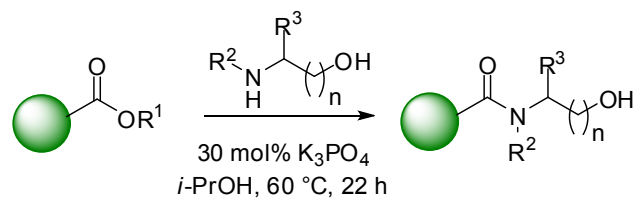
Entry	Product	Yield (%)[a,b]	RME (%)[c]
1	**3**	80 (87)	67
2	**5**	75 (60)	64
3	**6**	98 (95)	86
4	**7**	73 (n.d.)[d]	63
5	**8**	100 (94)	83
6	**9**	100 (99)	79
7	**10**	84 (86)	73
8	**11**	88 (89)	75

9	**12**	61 (87)	54
10	**13**	99 (99)	84
11	**14**	100 (99)	79
12	**15**	98 (97)	75
13	**16**	100 (100)	85
14	**17**	67 (40)	59
15	**18**	66 (75)	60
16	**19**	42 (80)	39

[a] Isolated yield. [b] Figures in parenthesis represent isolated yields obtained from the progenitor methodology (Figure 1 and Ref. 16). [c] Reaction Mass Efficiency. [d] n.d. = not determined.

ASSOCIATED CONTENT

Supporting Information available: Full experimental procedures and analytical data for all compounds. This material is available free of charge via the Internet at http://pubs.acs.org.

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript

Notes

The authors declare no competing financial interests.

ABBREVIATIONS

API, active pharmaceutical ingredient; BEMP, 2-*tert*-butylimino-2-diethylamino-1,3-dimethylperhydro-1,3,2-diazaphosphorine; CPME, cyclopentylmethyl ether; HATU, *O*-(7-azabenzotriazol-1-yl)-*N*,*N*,*N'*,*N'*-tetramethyluronium hexafluorophosphate; RME, reaction mass efficiency; TBME, *tert*-butyl methyl ether.

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Development of a Sustainable Catalytic Ester Amidation Process

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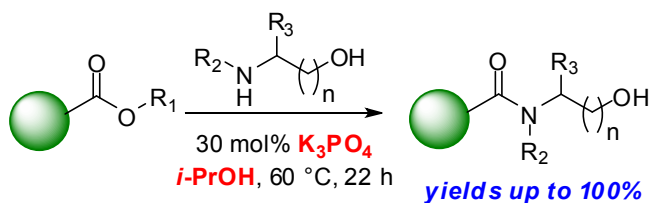
We report the development of a catalytic and sustainable amidation process enabling conversion of unactivated ester derivatives into amido-alcohol products.