## Search for More Declarativity Backward Reasoning for Rule Languages Reconsidered

## Simon Brodt François Bry Norbert Eisinger

Institute for Informatics, University of Munich, Oettingenstraße 67, D-80538 München, Germany http://www.pms.ifi.lmu.de/

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# Rule Languages & Declarativity

#### Declarativity - The Greatest Advantage of Rule Languages

- Separates between
  - What is the problem?
  - *How* is the problem solved?
- Built-in problem-solving
  - $\Rightarrow$  Allows to concentrate on problem-specification
- Add and modify rules easily
- Supports rapid prototyping and stepwise refinement
- Finding solutions where no explicit algorithm is known
- Adaption to frequently changing prerequisites

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## Rule Languages & Search

#### An inference engine depends on

- a logical system with reasonable soundness & completeness properties
- a search method which
  - preserves (most of) these properties
  - provides an adequate degree of efficiency

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# Rule Languages & Search

#### Necessary Design Decisions

- tuple-oriented vs. set-oriented
- forward vs. backward reasoning
- . . .

#### No Special Assumptions for this Paper

- Complete and space-efficient search method for rule-engines
- Particularly applicable to
  - Backward reasoning with and without memorization
  - Forward reasoning approaches with some goal guidance

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## Not Much Choice

## • Depth-First-Search (D-search)

- Breadth-First-Search (B-search)
- Iterative Deepening
- Iterative Broadening
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## Desiderata for Search Methods

## Completeness on finite and infinite search trees. Every node in the search space is visited after a finite number of steps.

Polynomial space complexity  $O(d^c)$ 

*c* = *constant* 

d = maximum depth reached so far (or of the entire tree if it is finite

Linear time complexity O(n)

n = number of nodes visited at least once (or of the entire tree, if it is finite)

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## Traditional Methods Fail

#### D-search

#### Incomplete on infinite trees

#### **B-search**

Exponential space-complexity in the depth of the tree

#### Iterative Deepening

Frequent re-evaluation

#### Iterative Broadening

Incomplete on infinite trees Frequent re-evaluation

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## Sensible Compromise? (Prolog)

- Use D-search
- Give rule authors some control to avoid infinite dead ends (e.g. ordering of the rules, ...)

#### Declarativity gets lost

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## Search & Declarativity

#### Term Representation for Natural Numbers

- zero represents 0
- succ(X,Y) can provide the predecessor X to any Y representing a nonzero natural number

#### Program

nat(zero)	$\leftarrow$	
nat(Y)	$\leftarrow$	<pre>succ(X,Y) ^ nat(X)</pre>
$nat_2(X,Y)$	$\leftarrow$	$nat(X) \land nat(Y)$
less(X,Y)	$\leftarrow$	"reasonably defined"

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## Problem 1 – Incomplete Enumerations

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#### Queries

$$(1) \leftarrow nat(X)$$

$$\leftarrow \texttt{nat}_2(X,Y)$$

#### Expected Results

- Enumeration of N
- 2 Enumeration of  $\mathbb{N} \times \mathbb{N}$

#### Prolog's Results

- Enumeration of N
- 2 Enumeration of  $\{0\} \times \mathbb{N}$

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## Problem 2 – Non-Commutativity

#### Program

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#### (Assume Single-Answer-Mode)

→ 1	less	(zero	,X)	$\wedge$	$\mathtt{nat}_2$	(Χ,	Y	)
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 $\leftarrow$  nat<sub>2</sub>(X,Y)  $\land$  less(zero,X) 2



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## Reason – Incomplete Search

#### SLD-resolution is fine

Perfectly sound and complete with any literal selection function.

**Problem: Incompleteness of D-search** The problems would not arise with a complete search method

Choose iterative deepening?

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# Problem 3 – Inefficiency on Functional Rule Sets

### Program

even(zero)	$\leftarrow$			
even(Y)	$\leftarrow$	<pre>succ(X,Y)</pre>	$\wedge$	odd(X)
odd(Y)	$\leftarrow$	<pre>succ(X,Y)</pre>	$\wedge$	even(X)

### Query

 $\leftarrow$  constant(X)  $\land$  even(X)

### constant(X) binds X to some fixed, large number $n \in \mathbb{N}$ .

Expected Runtime O(n) Runtime with Iterative-Deepening

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# A New Algorithm – D&B-search

### • Integrates D-search and B-search

- Complete on finite and infinite trees
- Linear space complexity in depth for basic algorithm
- Non-repetitive
- Family of algorithms in parameter c with
  - Complete for *c* > 0
  - Polynomial space-requirement  $O(d^c)$  in depth for  $c < \infty$
  - D-search and B-search as extreme cases
- Only simple datastructures needed
- Properties are proved

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# Overview



2 Search & Partial Ordering



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# D&B-search



- The Basic Algorithm
- The D&B-Family
- 2 Search & Partial Ordering

## 3 Conclusion

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The Basic Algorithm The D&B-Family



### D-search starts

- D-search passes depth bound  $f_0$
- B-search completes level 0 (no work to do)
- D-search passes depth bound  $f_1$
- B-search completes level 1
- D-search passes depth bound  $f_2$
- B-search completes level 2

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### Generally

- D-search passes depth bound f<sub>i+1</sub> only if the level *i* has been completed
- B-search completes the level *i* only if depth bound *f<sub>i</sub>* has been passed

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## Observations

- D-search advances exponentially faster than B-search
- The number of nodes to be stored is only polynomial in the maximum depth (if *f<sub>i</sub>* is exponential in *i*)

The Basic Algorithm The D&B-Family



- D-search starts
- D-search passes depth bound  $f_0$
- B-search completes level 0 (no work to do)
- D-search passes depth bound  $f_1$
- B-search completes level 1
- D-search passes depth bound  $f_2$
- B-search completes level 2

## Observations

- D-search advances exponentially faster than B-search
- The number of nodes to be stored is only polynomial in the maximum depth (if *f<sub>i</sub>* is exponential in *i*)

The Basic Algorithm The D&B-Family



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The Basic Algorithm The D&B-Family

# D&B-search – Idea

- Alternate D-search with B-search
- Rotation is controlled by a sequence  $f_0, f_1, f_2, \ldots$  of depth bounds
  - Defined by a function  $\mathbb{N} \to \mathbb{N}$ ,  $i \mapsto f_i$

• 
$$i < f_i < f_{i+1}$$

 $f_i = 2^i$  for the examples

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The Basic Algorithm The D&B-Family

# D&B-search – Pivot-Nodes and Pivot-Sets



- Pivot-node  $s_i$ : earliest node at depth  $f_i$
- Pre-pivot-set  $S_0$ : nodes earlier than  $s_0$
- $D_i$ : nodes earlier than  $s_{i+1}$
- B<sub>i</sub> : nodes at depth i
- Inter-pivot-set S<sub>i+1</sub> = (D<sub>i</sub> ∪ B<sub>i</sub>) \X<sub>i</sub> is expanded in-between s<sub>i</sub> and s<sub>i+1</sub>
- $X_i = S_0 \cup s_0 \cup \ldots \cup S_i \cup s_i$
- *Post-pivot-set R* : the rest of the nodes

The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

# D&B-search – Pivot-Nodes and Pivot-Sets



A node is "earlier" than another if (unrestricted) D-search would expand it first

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

# D&B-search – Complete Infinite Tree



- D-search expands all nodes in  $S_0$
- D-search passes s<sub>0</sub>
- $S_1$  is finished
- D-search passes s<sub>1</sub>
- B-search expands the rest of  $B_1$
- $S_2$  is finished
- D-search passes s<sub>2</sub>
- B-search expands the rest of  $B_2$

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•  $S_3$  is finished

The Basic Algorithm The D&B-Family

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Image: Image:

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family



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The Basic Algorithm The D&B-Family

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#### Observation

D&B-search expands the nodes in the order  $S_0, s_0, S_1, s_1, \ldots, S_i, s_i, \ldots, R$ 

Simon Brodt, François Bry, Norbert Eisinger

Search for More Declarativity

The Basic Algorithm The D&B-Family

## D&B-search – Finite Tree



- $S_2$  is finished
- D-search expands s<sub>2</sub>
- D-search reaches the max. depth in *R* (no *s*<sub>3</sub> in this tree)

- B-search may complete B<sub>2</sub>
- D-search continues R
- D-search continues R
- D-search finishes R
- Search is finished

The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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Image: Image:

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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- Most of the tree is expanded by D-search

The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

## D&B-search – Non-Complete Infinite Tree



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- D-search passes s<sub>2</sub>
- B-search completes B<sub>2</sub>
- S<sub>3</sub> is finished
- D-search passes s<sub>3</sub>
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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family



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The Basic Algorithm The D&B-Family



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The Basic Algorithm The D&B-Family



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The Basic Algorithm The D&B-Family



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The Basic Algorithm The D&B-Family



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The Basic Algorithm The D&B-Family

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- D-search "vanishes" in the earliest infinite branch
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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

## D&B-search – Adaptivity

### D&B-Search

behaves almost like D-search on finite trees

• behaves almost like B-search on infinite trees

⇒ has a kind of built-in adaptivity behaves like the "best" uninformed search method for the tree

Similar effect when D-search and iterative-deepening are combined

The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

## The D&B-Family

#### Assume that the tree's branching factor is bounded by $b\in\mathbb{N}$

- Parameterise the function  $f_i$  with  $c \in \mathbb{N} \cup \{\infty\}$
- Idea:  $f_{c,i} := \lfloor b^{\frac{1}{c}} \rfloor$
- To get monotonicity:  $f_{c,i} := \lfloor b^{\frac{l}{c}} \rfloor + i$

The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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$$f_{c,i} := \lfloor b^{\frac{i}{c}} \rfloor + i$$

#### Properties

- For  $1 \le c \le \infty$  the algorithm is complete (for c = 0 it is not)
- For  $1 \le c < \infty$  its space complexity is  $O(d^c)$
- For c = 0 it corresponds to D-search because  $f_{0,0} = \infty$ . The pre-pivot-set  $S_0$  contains all nodes of the whole tree.
- For  $c = \infty$  it corresponds to B-search because  $f_{\infty,i} = i + 1$ . All sets  $D_i \setminus X_i$  are empty, thus  $S_{i+1} = B_i \setminus \{s_i\}$

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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The Basic Algorithm The D&B-Family

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$$f_{c,i} := \lfloor b^{\frac{i}{c}} \rfloor + i$$

#### Properties

- For  $1 \le c \le \infty$  the algorithm is complete (for c = 0 it is not)
- For  $1 \le c < \infty$  its space complexity is  $O(d^c)$
- For c = 0 it corresponds to D-search because  $f_{0,0} = \infty$ . The pre-pivot-set  $S_0$  contains all nodes of the whole tree.
- For  $c = \infty$  it corresponds to B-search because  $f_{\infty,i} = i + 1$ . All sets  $D_i \setminus X_i$  are empty, thus  $S_{i+1} = B_i \setminus \{s_i\}$

The Basic Algorithm The D&B-Family

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# The D&B-Family

- c expresses how much memory is invested in completeness
- Almost abitrary gradation between the two extremes D-search (c = 0) and B-search ( $c = \infty$ )
- Space complexity polynomial in depth
- Time complexity linear in size
- c can be used as parameter for a *single* implementation
- c may be adapted even during the traversal

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### Search & Partial Ordering

### D&B-search



### 3 Conclusion

- Transforms problems on search algorithms to problems on partial orderings
- Idea: Nodes ordered by their first occurrence
- Partial orderings are a well-studied field
  - precise notation
  - Powerful instruments for proofs
    - (e.g. the arithmetic for ordinal numbers)
- Powerful characterization of completeness
- Finite and infinte trees are covered uniformly

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A search algorithm is complete iff for each depth *i* there is a depth  $f_{i+1} > i$  so that none of the nodes at depth  $f_{i+1}$  is expanded before every node at depth *i* has been expanded.



### D&B-search

- $B_i \subseteq S_{i+1} \cup X_i$
- S<sub>i+1</sub> ∪ X<sub>i</sub> is completed before s<sub>i</sub>, the first node at depth f<sub>i+1</sub>
- ⇒ D&B-search is complete

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### D&B-search

2 Search & Partial Ordering



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### D&B-search

### • Novel Search method: Integrating D-search and B-search

- Ratio of D-search and B-search balanced by a parameter
- Family of algorithms in parameter c
  - D-search and B-Search as borderline cases
  - Complete in all non-borderline cases
  - Non-repetitive, i.e. time complexity is linear in size
  - Space complexity is polynomial in depth. Polynomial depends on parameter *c*
- Formal proofs of these properties
- Built-in adaption to the searched tree
- Better than running D-Search and B-Search in parallel
- Implementation in form of detailed pseudo-code
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### **Theoretical-Framework**

- Based on partial orderings
- Covers finite and infinite trees uniformly
- High analytic power, concise and precise proofs

### Future work

- Combine D-search and iterative deepening to D&I-search by the same principle
  - Behaves (almost) like D-search on finite trees
  - Behaves (almost) like iterative-deepening on infinite trees
  - Achieved by the same depth bounds  $f_i$  as for D&B-search
- Same for other combinations
- Prototype implementation
- Empirical comparison to other uninformed search methods
   → Focus: Logic programming applications using backward reasoning approaches with and without memorization

# **Thank You**

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