A Hybrid Multi-Distance Phase and Broadband Spatially Resolved Spectrometer and Algorithm for Resolving Absolute Concentrations of Chromophores in the Near-Infrared Light Spectrum

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Abstract For resolving absolute concentration of tissue chromophores in the human adult brain with near-infrared spectroscopy it is necessary to calculate the light scattering and absorption, at multiple wavelengths with some depth resolution. To achieve this we propose an instrumentation configuration that combines multi-distance frequency and broadband spectrometers to quantify chromophores in turbid media by using a hybrid spatially resolved algorithm. Preliminary results in solid phantoms as well as liquid dynamic homogeneous and inhomogeneous phantoms and *in-vivo* muscle measurements showed encouraging results.

1 Introduction

Reflectance near-infrared spectroscopy (NIRS) is a technique for characterizing turbid media that has become widely used in medical diagnostics. In particular the *in-vivo* determination of the optical properties of the human muscle, breast and brain is of the utmost importance.

Typically in biological tissue, the near infrared absorbers and major intrinsic chromophores which need to be quantified are hemoglobin, cytochrome-c-oxidase and water. Many researchers rely on a small number of discrete wavelengths to recover the concentrations of these constituents from non-invasive *in-vivo* measurements, usually above and below the isosbestic wavelength of oxygenated $(HbO₂)$ and deoxygenated (HHb) hemoglobin (near 800nm). Recovery of all intrinsic chromophores in tissue is a somewhat ill-posed problem; the measurements are often hampered by errors due to poor signal-to-noise ratio, wide range of possible chromophores concentrations, difficulties in and requirement for instrument calibration, poor depth resolution and inhomogeneous structure of the tissue being investigated. To help overcome these problems it can be useful to use multispectral and multi-distance measurements of NIR light attenuation to resolve absorption and scattering.

This paper reports on a novel approach to calculate absolute concentrations of chromophores in turbid media such as biological tissue by fusing multi-distance frequency resolved and multi-distance broadband near-infrared spectroscopy with a hybrid spatially resolved algorithm.

2 Methods

2.1 The Instrument

The instrumentation configuration consisted of two spectrometers (Fig. 1). The first is a four wavelength (690, 750, 790, 850nm) multi-distance frequency domain (110MHz) spectrometer (MDFD), which is a modification of the commercially available OxiplexTSTM from ISS Inc (Champaign, IL, USA). This instrument allows for the determination of the average value (dc), amplitude (ac) and phase (Φ) of the modulated intensity at two different source-detector distances (3 and 3.5cm) at each wavelength. This multi-distance method affords the quantitative assessment of the absorption (μ_a) and reduced scattering (μ_s) coefficients by using the paired ac and Φ data [1].

The second instrument is a multi-distance broadband spectrometer (MDBBS). It consists of a white light source that utilizes a 50W halogen bulb and a short pass filter to minimize temperature effects. The spectrograph is based on a configuration of lenses (rather than mirrors), and is comprised of a 50×50mm grating with 300 grooves per mm that is blazed at 1000nm (to optimize the reflection in NIR). The light spectrum is detected by a CCD camera (PIXIS:512f, Princeton Instruments), with chip dimensions of 12.3×12.3mm that corresponds to 512×512 pixels with a pixel size of 24×24 µm. In order to use most of CCD chip the current design involves four detector fibres with different diameters, where the diameter of the furthest detector (3.5cm) is 3mm, the diameter for the second detector (3cm) is 2mm and 1mm for the two closest detectors (2.5cm and 2cm). In addition to that the geometry of the fibre bundles on the distal end was changed from round to approximate oval in order to decrease the area blocked by the slit. The optical bandwidth of the MDBBS is 564nm (504-1068nm) and the mean wavelength resolution is 4nm. The MDBBS is an upgrade of an early design of a Lens Imaging Spectrograph (or LImS) developed earlier [2].

The two instruments measure sequentially with the frequency domain system providing the synchronization trigger. The measurement cycle consists of an MDBBS measurement followed by an MDFD measurement, resulting in a minimum sampling rate of 1Hz and a maximum sampling rate of 0.25Hz.

2.2 The Algorithm

The MDBBS measures light attenuation at several wavelengths $(A_{(\lambda n)})$ at four distances. From these measurements we calculate the slope of light attenuation versus distance, ρ ($\partial A_{(\lambda n)}/\partial \rho$). Using the MDFD derived values of scattering for the four wavelengths and assuming scattering is following a power function versus wavelength we can derive estimates of μ_s^2 _(λ_n) at all other wavelengths. One then can calculate absorption ($\mu_{a(\lambda n)}^{SRS}$) from the spatially resolved spectroscopy (SRS) methodology using Eq. (1.1) [3]. To obtain the absolute broadband hybrid absorption $(\mu_{a(\lambda n)}^{Hybrid})$ we use the MDFD derived $\mu_{a(MDFD)}$ for the four wavelengths to scale the $\mu_{a(\lambda n)}^{\text{SRS}}$ by minimizing the mean squared difference between them.

$$
\mu_{a(\lambda n)}^{SRS} = \frac{1}{3 \cdot \mu_{s(\lambda n)}^{\prime}} \cdot \left(\ln 10 \cdot \frac{\partial A_{(\lambda n)}}{\partial \rho} - \frac{2}{\rho} \right)^2 \tag{1.1}
$$

where $\mu_{a(\lambda n)}^{RS}$ is the absorption, $\mu_{s(\lambda n)}^{S}$ is the reduced scattering, $(\partial A_{(\lambda n)} / \partial \rho)$ is the slope of light attenuation versus optode distance and ρ is the mean optode distance.

3 Results

3.1 Solid Homogeneous Phantoms

The instrumentation and methodology was first tested on homogeneous solid phantoms (Block A and B) with known absorption and scattering properties.

Wavelengths (nm)								
	Real μ_a	Real μ _s	μ _a (MDFD)	μ _s (MDFD)	$\mu_a^{\overrightarrow{\mathrm{Hybrid}}}$	μ_s Hybrid		
Block A	(cm^{-1})	(cm^{-1})	(cm^{-1})	(cm^{-1})	(cm^{-1})	(cm^{-1})		
690	0.115	10.9	$0.112(-3%)$	$10.8(-1%)$	$0.109(-5%)$	$10.9(0\%)$		
750	0.112	10.2	$0.110(-2\%)$ 10.1 (-1%)		$0.111(-1%)$	$10.3(1\%)$		
790	0.109	9.9	$0.107(-2%)$ 9.7 (-2%)		$0.109(0\%)$	$10.0(1\%)$		
850	0.106	9.5	$0.106(0\%)$	9.6(2%)	$0.104(-2%)$	$9.5(0\%)$		
Block B								
690	0.146	5.1	$0.146(0\%)$	5.1 (0%)	$0.143(-2%)$ 5.0 $(-1%)$			
750	0.144	4.7	$0.144(0\%)$	$4.7(-1%)$	$0.144(0\%)$	$4.7(0\%)$		
790	0.143	4.5	$0.143(0\%)$	$4.5(0\%)$	$0.143(0\%)$	4.5 $(1%)$		
850	0.142	4.3	$0.139(-2%)$ 4.3 (0%)		$0.141(-1\%)$ 4.3 (0%)			

Table 1.1 Comparison of absorption and scattering between real and measured values for Block A and Block B (the percentage values inside the parenthesis show the error).

3.2 Dynamic Homogeneous and Inhomogeneous Phantoms

To further test the accuracy of the hybrid instrument and algorithm, measurements were performed in dynamic liquid phantoms. First a diluted absorbing dye (ADS842MC) was dissolved in steps of 3, 7.5 and 15ml in a small tank containing 2850ml of deionized water mixed with 150ml of aqueous scattering suspension (Intralipid-20%). Measurements were done (see Fig. 2(a)) with the optodes of the hybrid system slightly submerged below the surface of the solution. Second a small tank with an outside wall made of epoxy resin with thickness of 5mm and fixed absorption coefficient of 0.35cm^{-1} and reduced scattering 14.5cm^{-1} (at 780nm). Measurements were done (see Fig. 2(b)) with the optodes of the hybrid system attached to the outside of the wall and a diluted ADS842MC was dissolved in steps of 1.5, 3.75 and 7.5ml in 1425ml in deionized water mixed with 75ml of aqueous scattering suspension (Intralipid-20%).

Using the specific extinction coefficients of the dye (fitting from 680 to 900nm) and the measured absorption coefficient, the absolute concentration of the dye was calculated and compared with the theoretical expected values. The mean error and standard deviation (SD) between the measured and the expected concentrations of the dye for the homogeneous phantom was 56.4% (83.4%) for the MDFD system and 8.2% (10.2%) for the hybrid system; for the inhomogeneous phantom the errors were 35.6% (40.5%) for the MDFD system and 24.4% (8.2%) for the hybrid system. In both cases the hybrid instrument outperformed the MDFD.

3.3 In-vivo Muscle

The optodes of the hybrid instrument were placed on the forearm muscle of three young volunteers (mean age 24) (see Fig. 3).

Using the absorption coefficients measured as described above we fitted the specific extinction coefficient of HbO₂ and HHb and calculated the concentrations of these chromophores and from this the tissue oxygen saturation $(StO₂)$ (Table 1.2).

Table 1.2 Absolute concentrations of oxy- and deoxy- hemoglobin, total hemoglobin (HbT= $HbO₂ + HHb$) and tissue oxygen saturation (StO₂=HbO₂ / HbT).

			$HbO_2(\mu M)$ HHb(μ M) HbT (μ M) StO ₂ (%)	
Study 01 MDFD 28.1		17.4	45.5	61.8
(Female) Hybrid 30.3		18	48.3	62.7
Study 02 MDFD 32.3		28.6	60.9	53
(Female) Hybrid 35.4		28.1	63.5	55.8
Study 03 MDFD 58.9		37.6	96.5	61
(Male)	Hybrid 60.2	38.5	98.7	60.9

4 Discussion

The central novelty and innovation of this methodology is that by using the MDFD derived μ_a and μ_s' values we can convert the MDBBS slope measurements of attenuation to measurements of absolute μ _a across the NIR wavelength range. Broadband μ_a will allow a more accurate quantification of chromophores such as cytochrome-c-oxidase with less cross talk from $HbO₂$ and HHb [4]. We have tested the instrumentation and methodology in solid phantoms, homogeneous and inhomogeneous dynamic liquid phantoms and human muscle. The results were encouraging and this technology will soon be used for studies in human adult volunteers and patients undergoing neurocritical care.

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Fig. 1 Instrumentation diagram and experimental set up for dynamic homogeneous phantom studies also showing the configuration of the optodes from both systems.

Fig. 2 Measurements of absorption coefficient with the MDFD and hybrid instrument in the **(a)** homogeneous liquid phantom and **(b)** inhomogeneous liquid phantom.

Fig. 3 Absolute broadband absorption from *in-vivo* muscle measurements in three volunteers during rest.