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TECHNIQUES TO DETECT AND AVERT ADVANCED SOFTWARE CONCURRENCY  
BUGS

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DISSERTATION

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# Abstract

Multicore machines have become pervasive and, as a result, parallel programming has received renewed interest. Unfortunately, writing correct parallel programs is notoriously hard. One challenging problem is how to ship correct programs. Dynamic analysis tools are very useful to find errors in parallel programs by automatically analyzing the runtime information. They often capture errors from well-tested programs.

However, existing dynamic analysis tools suffer from two problems: high false positive rate and high overhead. High false positive rate means lots of errors reported by the dynamic analysis tool may be benign or non-existent. For example, lots of data races detected by a race detection tool could be relatively benign data races. Also, many dynamic software analyses cause orders-of-magnitude slowdowns, which users cannot tolerate at runtime.

This dissertation contains three parts. The first two parts propose two different schemes to reduce the false positives and overhead of race detecting tools. These two schemes can detect and tolerate two different types of harmful races with low overhead: asymmetric data races and IF-condition data races.

An asymmetric data race occurs when at least one of the racing threads is inside a critical section. Our proposal to detect and tolerate asymmetric data races is called Pacman. It exploits cache coherence hardware to temporarily protect the variables that a thread accesses in a critical section from other threads's requests.

An IF-condition data race is one where a memory location accessed by a thread (T1) in the control expression of an IF statement suffers a race while T1 is executing the THEN or ELSE clauses. T1 may or may not access again the location in the THEN or ELSE clauses. Our second

proposal presents two techniques to handle IF-condition races dynamically. They rely on simple code transformations and, in one case, on additional hardware help.

The third part proposes a general hardware framework to provide fine-grained memory monitoring with low overhead. This mechanism can be used to reduce the overhead of many dynamic software analyses.

Overall, this dissertation aims at designing novel schemes to reduce the false positive rate and overhead of dynamic software analyses in order to make parallel programs more robust.

*To my loving parents.*

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# Chapter 1

## Introduction

Multi-processor systems, which at one point were limited to the domain of large data centers and supercomputing centers, are now pervasive. With the advent of chip multi-processors (CMPs), now they are everywhere from cell phones to embedded systems. This change has been as abrupt as it is wide-spread and programmers are still struggling to adjust to the new reality of having to learn parallel programming in order to take advantage of the increased performance. However, unlike sequential programming, parallel programming has its own unique set of problems, also known as concurrency bugs [27]. Concurrency bugs can cause a whole host of problems including crashes, the generation of incorrect results, and security vulnerabilities. Hence, in recent years, lots of specialized hardware schemes have been proposed to help programmers detect and avoid concurrency bugs [33, 34, 44, 46, 78].

Dynamic analysis tools can be used to detect software errors by monitoring the runtime state of a program and observing the situations that may only arise during actual execution [18].

### 1.1 Data Race Detection

Data race is one of the most common types among various types of concurrency bugs. A data race happens when two threads access the same variable without any intervening synchronization and at least one of the accesses is a write. Figure 1.1 shows an example of data race. Here, two threads update the same shared variable *count* without any synchronization operations. And therefore, an incorrect situation could happen:  $T_2$  first reads *count* to *num*, increments it by 1. But before  $T_2$  stores the result back to *count*, thread  $T_1$  reads the original value of *count* and updates *count*. As

a result, the value of *count* is only increased by 1, not by 2. This is a *Data Race* which causes a concurrency bug.

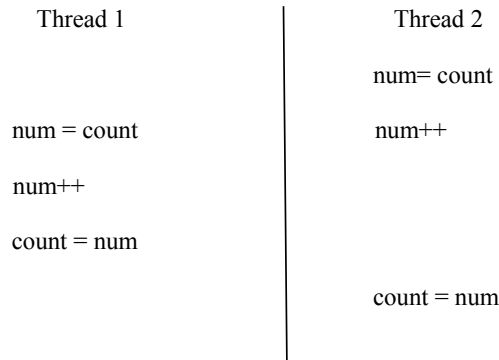


Figure 1.1: An example of a data race.

Data race debugging can be very hard and, therefore, the topic has received much attention(e.g., [9, 24, 31, 36, 38, 39, 41, 44, 45, 52, 55, 60, 68, 74, 78]). At the same time, industry also develops several commercial software tools for race detection (e.g., [24, 60]). Thanks to this, the state of the art in data race debugging has made giant strides in the last decade. However, most race tools lack specificity for the important data races. According to previous works [36, 16], some data races are more harmful than others. It is important to focus first on the most harmful data races — those that can cause the program to crash or to generate incorrect results.

In order to better understand the characteristics of harmful races, we do a comprehensive study of bugs that have been reported for well-known codes and fixed by developers. According to our study, asymmetric data races and If-condition races are two of the main types of harmful data races.

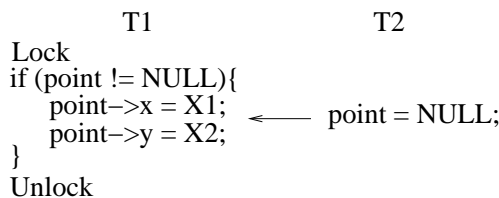


Figure 1.2: Example of an asymmetric data race.

Figure 1.2 shows an example of an asymmetric data race. In this example, the programmer tries

to use Lock and Unlock to make the critical section atomic. But unfortunately, the programmer forgets to put  $T_2$ 's access in the critical section. As a result, the program will crash due to the NULL-point access in  $T_1$ . As shown in the example, an asymmetric data race happens when at least one of the racing threads is inside a critical section.

The high frequency of asymmetric data races is confirmed by Microsoft researchers in [?], who claim that they frequently encounter them in software development. They provide two intuitive sources of asymmetric data races. One source is code developed by good software developers that has to share memory state with less-tested code developed outside of the house e.g., various device drivers. A second source is legacy. Specifically, a library may have been written assuming a single-threaded environment, but later the requirements change to multithreading. This requires that all the threads acquire a lock before accessing shared state. Unfortunately, some corner cases are missed.

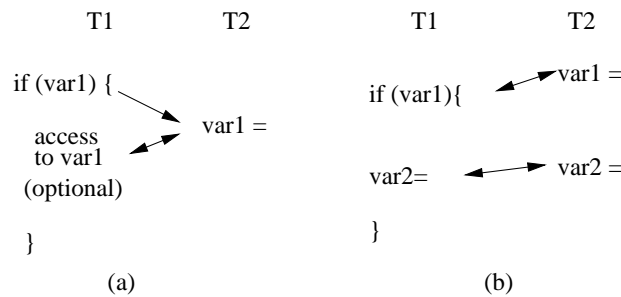


Figure 1.3: An example of *IF-condition* data race

An example of IF-condition data race is shown in Figure 1.3. An IF-condition data race is one where a memory location accessed by a thread (T1) in the control expression of an IF statement suffers a race while T1 is executing the THEN or ELSE clauses. T1 may or may not access again the location in the THEN or ELSE clauses. It is easy to see that an IF-condition data race is not intentionally inserted by the programmer, since the data race could break the assumption which the programmer made.

## 1.2 Other Dynamic Analysis

Besides race detection analysis, different dynamic analyses are designed for memory leak, buffer overflow, etc. For example, dynamic dataflow analyses mark shadow values with the memory addresses, propagate them with the execution of the program, and check their status. Lots of widely used tools such as Valgrind's Memcheck tool use dataflow analyses to do the memory check and dynamic heap bounds check.

One common problem for these dynamic analyses especially for the software analyses is performance overhead. For instance, Valgrind's Memcheck tool has 20X overhead and the overhead for taint analysis could be up to 150X.

The high overheads of these dynamic analyses make the tools hard to use by programmers in practice. It would be useful to design a scheme to accelerate these dynamic software analyses.

## 1.3 Proposed Approaches

We propose two approaches to detect and prevent asymmetric data races and IF-condition data races. Besides, we also propose a approach to design a hardware framework which can accelerate multiple dynamic software analyses.

Pacman [46] is proposed to detect and prevent asymmetric data races with minor hardware support. It exploits cache coherence hardware to temporarily protect the variables that a thread accesses in a critical section from other threads' requests. To reduce the hardware cost, it uses hardware address signatures [8]. Unlike the previous, software-based schemes, Pacman induces negligible slowdown, needs no compiler or (in the baseline design) OS support, and requires no application source code changes.

Our second proposal, Falcon is a combination of two schemes: SW-IF and HW-IF. SW-IF is a purely software approach to detect the IF-Condition races. HW-IF is trying to not only detect but also prevent the IF-Condition races. It uses additional hardware to achieve low performance overhead.

The third proposal, TouchStone, is a new hardware framework to speed up multiple dynamic software analyses. Unlike previous approaches, our scheme uses a novel hardware - pooled bloom filters to provide fast fine-grained memory protection which is used by several dynamic analyses.

In general, our goals are to improve correctness and make parallel programming easier.

# Chapter 2

## Tolerating Asymmetric Data Races with Unintrusive Hardware

### 2.1 Introduction

Data races are arguably the most common type of concurrency bug. They occur when two threads access the same variable without any intervening synchronization and at least one of the accesses is a write. Debugging data races can be notoriously hard and, as a result, there is much research in this area (e.g., [14, 35, 36, 55]). In practice, it is easy to get bogged down uncovering the large majority of the races that are relatively harmless [15, 36] (so-called benign races) at the expense of the harmful ones that cause program crashes, machine hangs, or incorrect program results.

One class of data race that is both common and likely harmful is the *Asymmetric* data race. It occurs when at least one of the racing threads is inside a synchronization-protected critical section [50]. In this case, while a thread (call it *safe*) is accessing shared variables inside a critical section, a second thread (call it *unsafe*) races in, corrupting the state or reading inconsistent state. For example, Figure 2.1 shows a case where thread T1 is the safe thread. These races are common in bug reports, and can often appear in well-tested codes that interact with third-party or legacy routines [50]. They are likely harmful because the data being corrupted is critical data already protected by synchronization. Interestingly, these races have received little attention [49, 50].

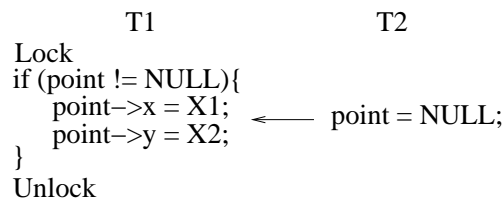


Figure 2.1: Example of an asymmetric data race.

Application	Source	Description	Outcome
Apache 1.1 Beta	Bug number 1507	AppenderAttachableImpl object should be protected by synchronization in AsyncAppender.getAllAppenders	Exception
MySQL 6.0	Bug number 48930	lock.state is updated by two different threads holding different mutexes	System hangs
Mozilla-JS	Bug number 622691	The write to cx→runtime→defaultCompartmentIsLocked is not consistently protected by the lock	Incorrect result
Mozilla-XPConnect	Bug number 557586	One thread sets gLock to null before another thread drops the lock	Segmentation fault
Mozilla-Video/Audio	Bug number 639721	mInfo is written by nsBuiltinDecoderReader without its lock while mInfo is read from HaveNextFrameData with a lock	Incorrect result
Pbzip2-0.9.4	Paper [71, 76]	main() frees fifo→mut without protection	Segmentation fault
Windows Kernel	Case study 2 in slides of [15]	Two threads access the same structure with different mutexes	Incorrect result
Windows Kernel	Case study 3 in slides of [15]	parentFdoExt→idleState is not protected by a lock	Incorrect result
Windows Kernel	Real data race example in [15]	gReferenceCount is updated without protection	Incorrect result
Trie benchmark	An example in [49]	The prefix match function reads the leaf field of the root object without acquiring a lock on the trie	Incorrect result

Table 2.1: Real examples of harmful asymmetric data races.

The conventional approach to cope with data races is to detect and remove them through extensive in-house testing. A complementary approach is to *tolerate* the remaining races during production runs. This approach includes techniques that prevent the race from manifesting or that modify the interleaving in a way that minimizes the chances of it (e.g., [47, 66, 73]). This approach is attractive because, even after extensive in-house testing, races have been shown to remain in the code after deployment. Moreover, even for harmful bugs, it takes a long time between the detection of the bug in the field and the release of a fix by the manufacturer [71]. In the meantime, tolerating the race would be beneficial.

Asymmetric data races are good candidates for race-tolerance. Indeed, the structure of the race already suggests a way to minimize the potential harm of the race: prevent the unsafe thread from corrupting the state or reading inconsistent state while the safe one is in the critical section. This technique is attractive because, unlike many race-tolerance techniques, it requires no correct-run training. Moreover, for those asymmetric races caused by third party or legacy code interfering with well-tested code, race-tolerance may be the only option, as the unsafe thread code may be unavailable.

There are only two proposals that specifically target asymmetric data races: ToLeRace [50] and ISOLATOR [49]. They both use race tolerance and are software-based. When the safe thread enters a critical section, the software makes a copy of the data in the critical section and redirects the safe thread’s accesses to the copy. In addition, it may also protect the accesses to the page that contains the original data. Unfortunately, these approaches slow down execution and require

significant compiler, operating system (OS), or application changes.

To address these issues, this chapter proposes the first scheme to tolerate asymmetric data races in production runs with *negligible* execution overhead. The scheme, called *Pacman*, exploits cache coherence hardware to temporarily protect the variables that a thread accesses in a critical section from other threads' requests. Unlike prior schemes for asymmetric races, Pacman induces negligible slowdown, needs no support from the compiler or (in the baseline design) from the OS, and requires no application source code changes — although small changes are needed in some libraries. Pacman's hardware is largely unintrusive, since it is concentrated in a module in the global network, rather than in the cores. Finally, Pacman embodies a primitive that can be applied to other software development and debugging uses.

We evaluate Pacman for the SPLASH-2, PARSEC, Sphinx3, and Apache codes. We show that it has negligible execution overhead. Moreover, we uncover two unreported asymmetric races.

## 2.2 Asymmetric Races: Common & Harmful

The focus of this chapter is a common and likely harmful type of data race called *Asymmetric*. This is a data race where at least one of the racing threads is inside a synchronization-protected critical section [49, 50]. In addition, we are interested in efficiently *tolerating* them in production runs.

Harmful asymmetric data races are common in the real world. To assess their frequency, we examined over 50 harmful data race bugs from bug libraries of open source software and from Microsoft reports. We define harmful as being a bug that the user wants fixed — as opposed to the many data races explicitly created by the programmer for performance. Of the over 50 harmful races, we found 10 that are asymmetric. They are shown and described in Table 2.1.

The high frequency of asymmetric data races is confirmed by Microsoft researchers in [49, 50], who claim that they frequently encounter them in software development. They provide two intuitive sources of asymmetric data races. One source is code developed by good software developers



that has to share memory state with less-tested code developed outside of the house — e.g., various device drivers. A second source is legacy. Specifically, a library may have been written assuming a single-threaded environment, but later the requirements change to multithreading. This requires that all the threads acquire a lock before accessing shared state. Unfortunately, some corner cases are missed.

Asymmetric data races are likely harmful. Indeed, all of the ones shown in Table 2.1 that come from bug libraries have been confirmed as bugs in the libraries, and fixed in future releases of the software. In addition, the fact that the programmer protected one thread’s accesses to the racy variables in a critical section suggests that these are important variables. The atomicity of the critical section accesses, as intended by the programmer, is broken through accesses from other threads; this is likely to be harmful.

### **2.2.1 Our Goal**

Our goal is to *tolerate* asymmetric data races in production runs without needing training tests. This approach is complementary to conventional in-house data-race debugging. It is motivated by four facts. First, even after extensive testing, data race bugs appear in released code. Second, it often takes years between the time when a bug is detected in the field and when a fix is available from the vendor [71]. Third, for the fraction of asymmetric races caused by third party or (perhaps) legacy code, fixing the bug may not be a feasible option because the source code may be unavailable. Finally, the structure of these races already suggests a way to minimize their potential harm: prevent the unsafe thread from corrupting the state or reading inconsistent state while the safe one is in the critical section.

## 2.3 Pacman: Tolerating Asymmetric Races

### 2.3.1 Overview of the Idea

We want to prevent unsafe threads from corrupting the state or reading inconsistent state while the safe thread is in the critical section. We must ensure that an access  $A$  from an unsafe thread that conflicts with an access inside the critical section is ordered in the same way with respect to all of the accesses in the critical section. As shown in Figure 2.2(a), the first write by T2 can proceed, but the second one has to be prevented until after the unlock. Similarly, the first read by T2 in Figure 2.2(b) can proceed, but the second one has to wait until after the unlock.

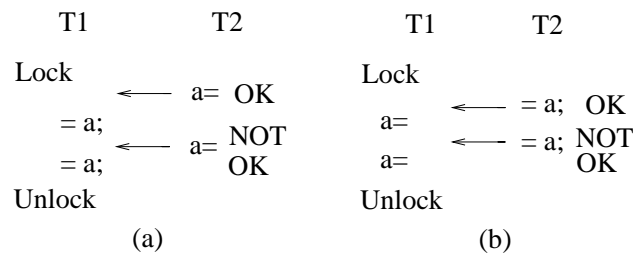


Figure 2.2: General approach to handle asymmetric data races.

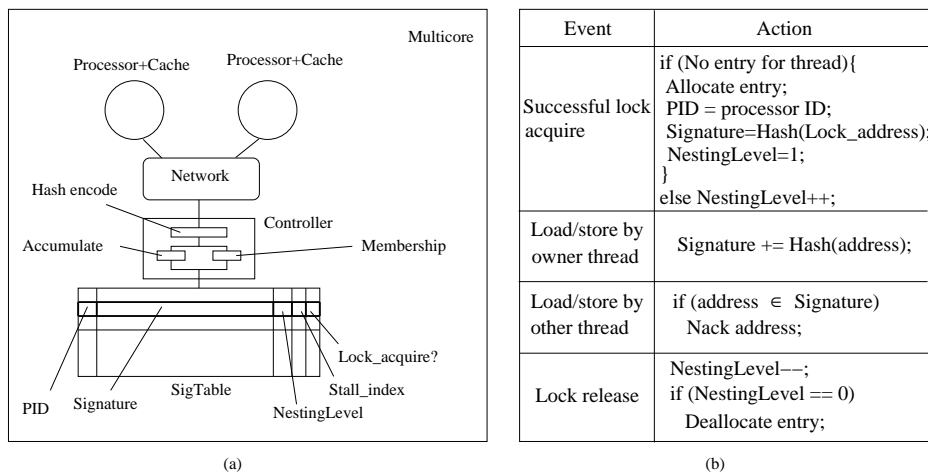


Figure 2.3: SigTable organization (a) and operation (b).

The idea behind Pacman is to leverage the hardware cache coherence protocol in a multiproces-

sor to temporarily protect the variables that a thread is accessing in a critical section. The hardware performs two concurrent actions. One is to record the addresses of (a subset of) the variables that the safe thread is accessing while executing a critical section. In fact, to a large extent, we only need those addresses that can be observed by the cache coherence protocol, as we will see. The second action is to reject any requests from the unsafe threads that conflict with these variables, until the safe thread leaves the critical section.

For efficiency, Pacman does not record the addresses in a table. Instead, it uses a Bloom filter [5] to encode them into a hardware address signature. Moreover, to make the hardware as unintrusive as possible, the signature is stored in a module called *SigTable* that is connected to the on-chip network and sees all coherence transactions. Physically, the SigTable is associated with the bus controller in a bus-based multiprocessor, or is distributed across the different directory modules in a directory-based multiprocessor. Since multiple processors may be executing critical sections concurrently, the SigTable stores as many signatures as critical sections are in progress.

The application code is unmodified. However, Pacman assumes that the critical section entry and exit points of safe threads are marked in the code with synchronization macros or libraries. Inside these macros or libraries, Pacman makes sure that there is a network access, implemented as part of the synchronization operation as we will see. As a result, the SigTable always knows when a processor enters and exits a monitored critical section.

In this section, we describe Pacman's basic operation and the two key aspects that affect the ability to tolerate data races: caches and stalls.

### **2.3.2 Pacman's Basic Operation**

The SigTable is a hardware table that stores the addresses accessed by each in-progress critical section, and prevents accesses by other processors to these addresses. In this discussion, we describe a centralized SigTable, as it would be used in a bus-based system; later, in Section 2.5.4, we outline a distributed one to be used in a directory-based system. Figure 2.3(a) shows the SigTable, which has one entry (a row) for each in-progress critical section. In each entry, the two main fields are

*PID* and *Signature*. *PID* is the ID of the processor currently executing the critical section that owns the entry. In Section 2.5, we virtualize the SigTable. The *Signature* field contains (in an encoded form) the addresses of the lines accessed by the thread in the critical section so far and observed by the SigTable. A controller at the SigTable input takes the addresses of protocol transactions, hash-encodes them with a hardware Bloom filter [5], and may accumulate them into signatures and/or check them for membership in signatures [8].

The SigTable operates as follows. When a lock acquire successfully grabs a lock, the SigTable allocates a new entry for the critical section, sets *PID* to the requesting processor ID and, after clearing *Signature*, it inserts the hashed physical address of the lock in it. After this, at every load and store issued by the thread that is not intercepted by the cache, the SigTable hash-encodes the address of the line accessed and accumulates it in *Signature*. During this time, network accesses by other threads are hashed and checked for membership in *Signature*. If there is a match, the request is Nacked (negative-acknowledged) to the requester, which will retry. Finally, when the thread releases the lock, the SigTable deallocates the entry.

Pacman flattens nested critical sections, accumulating all the addresses accessed in the nest in the *Signature*. To support this feature, SigTable entries have a *NestingLevel* field. On a successful lock acquire, if the processor does not own a SigTable entry yet, the SigTable proceeds as above and sets *NestingLevel* to 1; otherwise it increments *NestingLevel*. On a lock release, the SigTable decrements *NestingLevel* and, if it is zero, deallocates the entry. Figure 2.3(b) lists the overall SigTable operation.

With this approach, Pacman isolates the critical section from unsafe threads. Note that Pacman needs no compiler support, no OS support, and no source code changes. Moreover, it has negligible execution overhead for the safe thread.

Nacks are often used in cache coherence protocols, to avoid having to buffer messages that cannot be processed immediately [20]. While they can cause traffic hot spots in pathological cases, the probability of an asymmetric race is low enough that there is no need to provide any contention management mechanism.

Finally, while Pacman has a transactional memory (TM) [21] flavor, it needs none of TM’s key mechanisms such as speculation, rollback, timestamp support or contention management.

### 2.3.3 Cache Effects

Since the SigTable is placed in the network, it does not see the accesses intercepted by the caches. To capture the required information to guarantee the atomicity of the critical sections, it relies only on the transactions induced by the cache coherence protocol — plus some small extensions that we will explain. We now show why this is the case. In the following discussion, we assume a basic MESI cache coherence protocol. Other protocols may require slightly different considerations.

Figure 2.4 shows two simple patterns. In Figure 2.4(a), thread T1 writes to line  $x$  and misses in the cache. SigTable records the address. Any subsequent read or write to  $x$  by T2 requires a coherence transaction, which is observed and Nacked by the SigTable. In Figure 2.4(b), T1 reads  $x$  and misses in the cache. SigTable records the address. If T2 reads  $x$ , there may or may not be a coherence transaction. If there is, the access will be Nacked; otherwise, it will not. Either situation is fine because two reads do not conflict. However, if T2 writes  $x$ , there is a coherence transaction that will be Nacked by the SigTable.

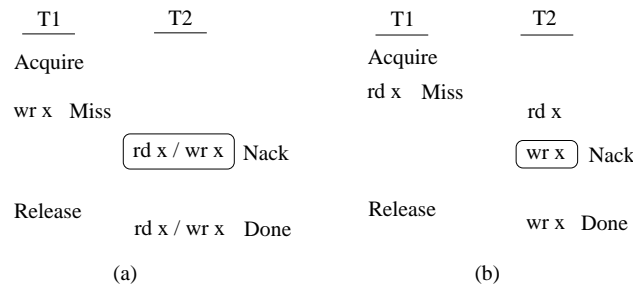


Figure 2.4: Examples to help understand Pacman’s operation.

The more involved cases involve three issues: cache state before entering the critical section, cache displacements during the critical section, and synchronization operations. We consider each in turn.

## Cache State Prior to Entering the Critical Section

Before the safe thread enters the critical section, some of the lines in its cache may be in a state that enables the processor to access them silently during the critical section. There are two cases: when  $x$  was Dirty (or Exclusive) in T1's cache in Figures 2.4(a) and (b), and when  $x$  was Shared in T1's cache in Figure 2.4(b). In these cases, SigTable will not observe T1's access to  $x$ .

None of the two cases prevents SigTable from ensuring the atomicity of the critical section. Consider the case when  $x$  was Dirty (or Exclusive) in T1. When T2 attempts to access the line and misses, the coherence protocol forces T1 to write back the line. When the SigTable sees that a processor with a SigTable entry writes back a line, it assumes that the processor had accessed the line. Consequently, while allowing the line to be written to memory, it inserts the line's address in the entry's Signature and Nacks the requesting (unsafe) processor — hence ensuring critical section atomicity. No functional change to the caches or coherence protocol is needed. If T1 had not accessed the data in the critical section, Pacman acts conservatively but not incorrectly.

Consider now the case when  $x$  is Shared in T1. When T2 attempts to write the line, the hardware issues a coherence transaction that invalidates T1's copy. For this case, Pacman requires a simple hardware extension. Specifically, it requires that T1's cache informs, in its response to the invalidation, that indeed, it has invalidated a line. When the SigTable sees that a processor with a SigTable entry has invalidated a line, it assumes that the processor had accessed the line. Consequently, it inserts the line's address in the Signature for the entry and Nacks the requesting (unsafe) processor. Again, if T1 had not read the line in the critical section, Pacman acts conservatively but correctly.<sup>1</sup>

Supporting this change is simple. In a directory-based protocol, when a cache invalidates a line, it must set a bit in the invalidation acknowledgment returned to the directory. In a snoopy-based protocol, the cache must set a bit in the bus that is visible to the SigTable. This hardware change and all the other processor/cache modifications required by Pacman will be summarized in

---

<sup>1</sup>In all of these cases, a Naked write has already invalidated the line from all the caches. This can hurt performance slightly if caches have to re-access the data. However, this occurs only once.

Section 2.3.3.

### Cache Displacements During the Critical Section

Consider the case when, as a processor executes a critical section, its cache displaces a line that was in the cache before the processor entered the critical section. Such line is not in the Signature, but must be conservatively put there as the processor may have accessed it silently during the critical section.

There are two cases, namely that the displaced line is Dirty or not. If it is, the case is easy: as the line is automatically written back to memory, the SigTable sees that the source processor owns an existing SigTable entry and inserts the address in the Signature.

If the line is not Dirty, the coherence protocol would not trigger a line writeback. Therefore, we propose to modify the cache controller to send a notification to the network when the cache displaces a clean line inside a (monitored) critical section. The notification carries the address of the line. When the SigTable sees such a notification from a processor that owns a SigTable entry, it conservatively accumulates the address in the Signature. The extra traffic created is small, since critical sections are typically short. Overall, this extension is like the Replacement Hint transaction sometimes used in directory protocols [11], except that it only needs to occur while the processor is inside a critical section.

This is the most significant hardware modification required by Pacman, as summarized in Section 2.3.3. However, it can be implemented easily. Specifically, the controller for the last level of private cache has a counter register called *Mode*. When *Mode* is not zero, the cache is in *Notification* mode, and it sends a notification message at every displacement of a non-Dirty line. Every successful lock acquire operation for a monitored critical section increments the *Mode* register, while every release for it decrements it. This ensures that, in nested critical sections, the cache remains in Notification mode throughout the outermost critical section. Increments and decrements can be supported with a write to a register in the cache controller. Such writes can be performed inside acquire and release macros or libraries, such as those of M4 [29] or OpenMP [12].

## Synchronization Operations

The SigTable must see all of the successful acquires and all of the releases. This is because they may allocate/deallocate a SigTable entry and update the Signature and NestingLevel fields. The coherence protocol ensures that the SigTable sees these synchronization operations except in the cases when they hit a cache line in state Dirty or Exclusive. So, we must ensure that, in these cases, a notification access is also issued to the network that the SigTable sees.

To accomplish it, we propose to augment the implementation of the acquire and release instructions. If a successful acquire or a release operation proceeds *without* needing a network access, the hardware issues a notification message to the network. An alternative design would involve not changing the acquire or release instructions and adding an explicit uncached write inside the synchronization macros or libraries. While this design is simpler, it would add more overhead to the synchronization operation. Still, overheads may be tolerable, especially if one is willing to identify the potentially problematic critical sections and only monitor those.

Unsuccessful acquires do not need to be observed by the SigTable.

Pacman is compatible with modern processors that speculatively read past an acquire before the acquire completes. The SigTable may be unallocated and, therefore, unable to capture the loaded address. The effect is the same as if the load had hit in the cache (Section 2.3.3).

## Summary of Cache Hierarchy Modifications

Table 2.2 summarizes the functional modifications that Pacman requires in the cache hierarchy and coherence protocol. We believe that these modifications are modest. All of the other modifications are unintrusive because they are part of the SigTable module.

When a cache invalidates a clean line, it sets a bit that is visible to SigTable.
In Notification mode, the last-level private cache sends a notification message when it displaces a clean line.
A successful acquire or a release that are fully intercepted by the cache issue a notification message to the network.

Table 2.2: Pacman functional modifications in the cache hierarchy and coherence protocol.



### 2.3.4 Multiple Stalls and Deadlock

Pacman temporarily stalls unsafe threads by Nacking their conflicting requests. We now consider the case when multiple threads are Nacked and show how deadlock can occur and is handled.

#### Multiple Thread Stalls

It is possible that two (or more) threads send Nacks to each other and end up all stalling. This situation can occur due to three reasons: some race bugs where all of the threads synchronize, false sharing and false positives. Figure 2.5 shows two examples of the first case. In Figure 2.5(a), two threads T0 and T1 acquire two different locks L0 and L1, respectively. Inside the critical sections, both threads access the same two variables g0 and g1 in different order. The timing is so unfortunate that each thread accesses one variable and then receives a Nack on attempting to access the second variable. We have formed a cross-thread stall cycle and no thread can make progress.

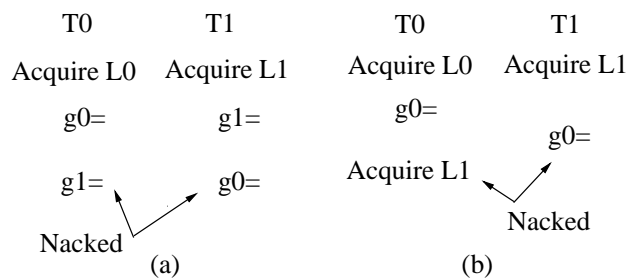


Figure 2.5: Examples of data race bugs where all the threads synchronize and lead to deadlock.

In Figure 2.5(b), the two threads T0 and T1 acquire two different locks L0 and L1, respectively, and then access the same variable g0. T0 succeeds and T1 gets Nacked. Then, the thread that succeeds (T0) attempts to acquire the lock of the other, stalled thread (T1). We have a cross-thread stall cycle as before, except that one of the two dependences in the cycle is for a lock variable.

The second source of cross-thread cycles leading to deadlock is pathological cases of false sharing of lines. For example, it occurs in a pattern similar to Figure 2.5(b) except that T1, rather than accessing variable g0, accesses a variable that shares a line with g0. Recall that the SigTable

is in the network and can only see line addresses.

The third source of cross-thread cycles leading to deadlock is pathological cases of false-positive dependences between threads, due aliasing in the signatures or due to the cache state prior to entering the critical section (Sections 2.3.3 and 2.3.3). However, since critical sections tend to be small, false positives are typically not very significant.

### **Making Forward Progress in Pacman**

Pacman uses *hardware* to detect a deadlock cycle *as soon as* the memory access that closes the cycle occurs. This approach is much faster than the software-based timeout approach of ISOLATOR. Moreover, at that point, Pacman's hardware breaks the cycle by allowing one the stalled threads to perform one memory access. Such access enables forward progress.

To support the algorithm, we add two fields to each row of the SigTable (Figure 2.3(a)). First, *Stall\_index* tells if the thread that owns the entry is being Nacked. Specifically, *Stall\_index* stores the index of the SigTable entry that sends Nacks to the owner thread. If the owner thread is not being Nacked, this field is null. Second, when *Stall\_index* is not null, the *Lock\_acquire?* bit is set if the owner thread is being Nacked while trying to acquire a lock. This bit will detect the case of Thread T0 in Figure 2.5(b).

When an access by processor  $P_i$  is Nacked by entry  $j$  of the SigTable, the SigTable hardware checks if  $P_i$  also has an entry in the SigTable. If so, it sets that entry's *Stall\_index* to  $j$  and, if appropriate, sets the *Lock\_acquire?* bit. Then, the SigTable hardware follows the *Stall\_index* pointer by checking entry  $j$  in the SigTable and reading its own *Stall\_index*. If, by following the *Stall\_index* pointers in this way, the hardware ends up in entry  $i$ , it has detected a cycle. At that point, the hardware needs to decide which thread among those in the cycle is allowed to perform one access without being Nacked. A simple approach is to pick one of the threads that holds locks requested by other threads (such as T1 in Figure 2.5(b)). Such threads are detected from the *Lock\_acquire?* bit of other entries, and they need to make progress to break the cycle. If there is no such thread, the hardware picks one thread at random. The next time that the SigTable sees a

request from the picked thread, it does not Nack it.

### Breaking the Atomicity of Critical Sections

With the algorithm described, Pacman immediately finds and breaks any deadlock — unless it was already present in the original application. However, by letting one stalled thread complete one access, it can conceivably break the atomicity of a critical section. To understand the problem, we consider each of the three sources of deadlock listed in Section 2.3.4.

In the first case (some race bugs where all of the threads synchronize), Pacman can potentially break the atomicity of one of the critical sections. While Pacman could be designed to break only the atomicity of unsafe threads, such an approach would not work for all the race bugs. An example is when T1 in Figure 2.5(b) is the unsafe thread. Overall, given the very low probability of breaking atomicity in this way, we do not attempt to avoid it.

In the third case (false positives), letting one thread proceed does not break the atomicity of any critical section.

In the second case (false sharing), atomicity can potentially be broken unless special care is taken. To see why, consider Figure 2.6, which is slightly modified over Figure 2.5(a). In this example, variables  $g0$  and  $g0'$  share the same cache line, while  $g1$  and  $g1'$  share another line. Because of false sharing, threads T0 and T1 deadlock. By breaking the deadlock through letting T1 read  $g0'$ , Pacman is allowing the line to go to T1's cache. Right after the critical section, T1 could attempt to silently access  $g0$  from its cache, which could break T0's atomicity.

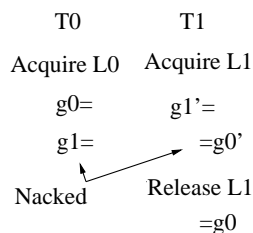


Figure 2.6: Atomicity could be broken due to false sharing.

To prevent this case from occurring, we could augment Pacman so that, when the SigTable lets

one access break a deadlock, it marks it as non-cacheable. The requesting processor would be allowed to use (read or write) the word, but its cache would not be allowed to keep the line. As a result, accesses to other words would miss in the cache. This extension would avoid breaking atomicity when false sharing occurs between words. However, a more elaborate solution would be needed when false sharing occurs between bytes of the same word. Given the very low probability of breaking atomicity due to false sharing, Pacman does not include this support.

## 2.4 Discussion

Pacman's unique goal makes it very different from hardware-based race detectors [31, 34, 44, 45, 78]. In these schemes, the goal is to characterize and debug races. Moreover, false positives are highly undesirable. Hence, these schemes tend to use more expensive hardware, such as per-word access information, epoch IDs in coherence messages, and even rollback. Pacman's goal is to *tolerate* asymmetric races in production runs. Since we are not debugging, it is fine to have some false positives (e.g., due to aliasing in signatures) if they are handled fast. A false positive in Pacman simply slows down a thread a little bit. The result is cheaper, less intrusive hardware. Still, Pacman could be used as a detection tool for asymmetric races. Indeed, the number of false positives we found is very low (as we show in the evaluation) and the number of false negatives is likely negligible.

Pacman provides a powerful primitive: dynamically and selectively prevent accesses to a set of addresses by certain processors. It can be used in security and performance/correctness debugging. For example, it can enforce atomic regions and detect atomicity violations, or provide watchpoint capability.

Pacman is fastest when critical sections are small, which is the norm in many codes. However, we believe that it is also very useful for beginner programmers, who tend to write long critical sections. The long critical sections will be protected and the program will run safely, although slower.

It is possible that a malicious thread could attempt to use Pacman to deny access to other threads, by remaining inside a critical section and filling up a signature. This problem can be detected with a watchdog timer, or by counting the number of Nacks triggered by a critical section.

Pacman has a few limitations. One is that it needs to be able to identify (monitored) critical section entry and exit points. To do so, we have assumed synchronization macros or libraries, but certain types of code are not written in this way. Second, the fact that all of the successful acquires and releases need to access the SigTable can slow down codes where the same thread repeatedly executes the same, short critical section. We have not seen this case but it is possible.

A final limitation is that Pacman is not designed for some unusual types of critical sections. They include million-instruction critical sections. They also include patterns where a thread spins on a flag inside a critical section, waiting for a racy thread to set the flag (Figure 2.7). We feel that this pattern is bad programming style. In any case, for these types of critical sections, the compiler or programmer can disable Pacman or use plain synchronization. Alternatively, Pacman can have a watchdog timer or a Nack-counting mechanism that detects the problem and allows the write(s).

<p>T0</p> <p>Acquire L0</p> <p>while (flag==0){</p> <p>...</p> <p>Release L0</p>	<p>T1</p> <p>flag=1;</p>
--	--------------------------

Figure 2.7: Unusual pattern that Pacman does not handle.

## 2.5 Implementation Issues

### 2.5.1 Pacman Module

The Pacman module is a hardware module connected to the on-chip network (Figure 2.8(a)). It comprises the SigTable and its controller. The controller is composed of two simple hash blocks (*H-Blocks*) and the Cycle Detection & Breakup module. The latter chases the *Stall\_index* links as

described in Section 2.3.4 to detect and break deadlocks.

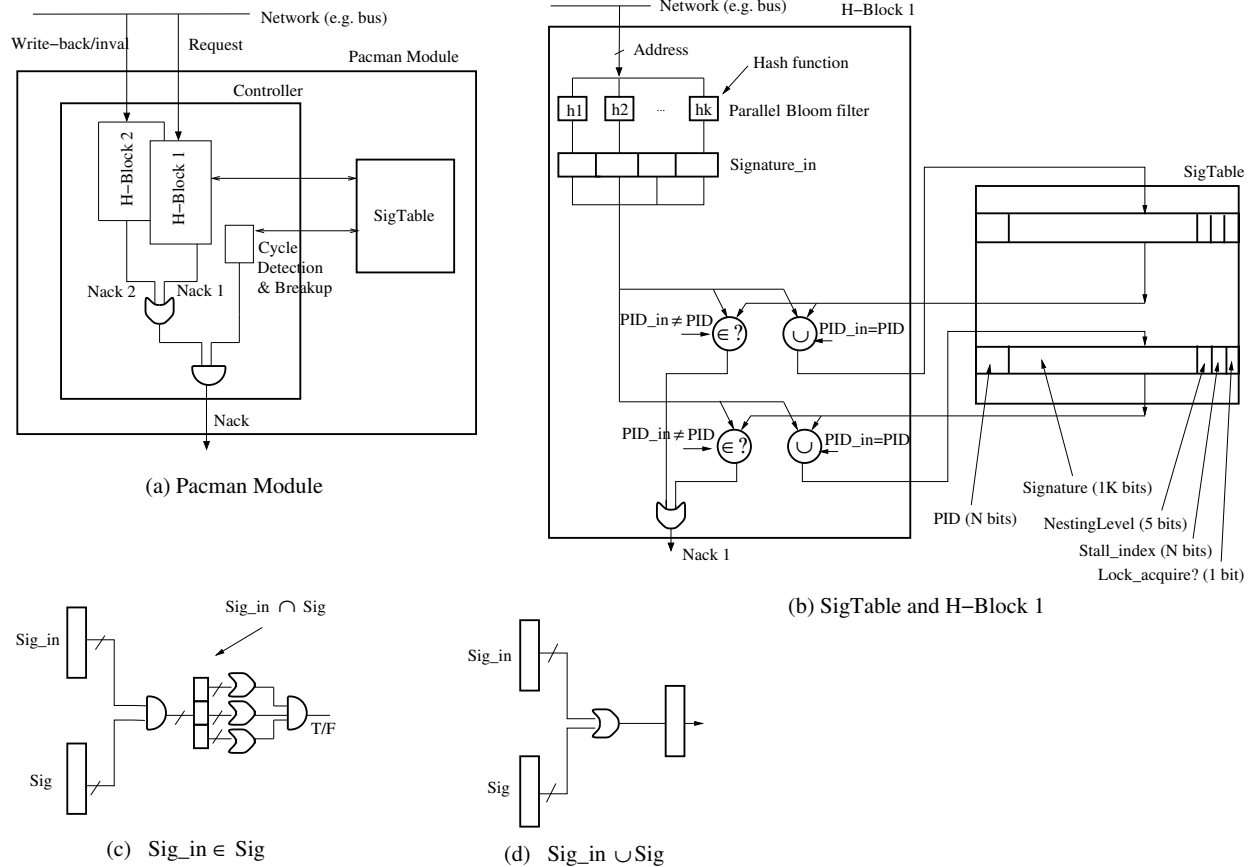


Figure 2.8: Implementation of the Pacman module.

Figure 2.8(b) shows  $H\text{-Block}_1$  and the  $\text{SigTable}$ .  $H\text{-Block}_1$  takes the address of an incoming request transaction and encodes it into a signature using a parallel Bloom filter [5] ( $\text{Signature}_{in}$  in Figure 2.8(b)). The signature is then tested for membership in valid  $\text{SigTable}$  entries from other processors ( $\in$  in Figure 2.8(b)). This operation involves a bit-wise AND operation to get the intersection and then a check for zero [8] (Figure 2.8(c)). If any membership test is positive, the  $\text{Nack}_1$  signal is raised. Otherwise, if the requesting processor owns a  $\text{SigTable}$  entry (or a new one needs to be allocated), the signature is bit-wise ORed with the correct  $\text{SigTable}$  entry ( $\cup$  in Figure 2.8(b) and expanded in Figure 2.8(d)). Overall,  $H\text{-Block}_1$ 's operations can be performed in 2-3 cycles and are hidden under the first half of the bus transaction.

In the second half of the bus transaction, when the caches have finished snooping, the bus

may receive a write back or invalidation response (Section 2.3.3). H-Block<sub>2</sub> (not shown in detail) checks if the processor ID that writes back or is invalidated has a SigTable entry. If so, it bit-wise ORs the hashed address with the correct signature and raises Nack<sub>2</sub>. H-Block<sub>2</sub>'s operation takes 1-2 cycles. If Nack<sub>1</sub> or Nack<sub>2</sub> is raised and the Cycle Detection and Breakup module does not prevent it, a Nack signal is returned on the bus.

All of the operations of the Pacman module except for cycle detection are simple enough to be overlapped with the bus transaction. In a directory protocol, they overlap with directory module accesses. The cycle detection may take over 10 cycles, which is acceptable since it is done in the background.

Figure 2.8(b) also shows the sizes of the SigTable's fields. The size of PID and Stall\_index depend on how many threads we may need to monitor at a time. For Signature, we found that, with 1,024 bits, false positives are typically less than 1%. For NestingLevel, we allocate 5 bits, which is enough for our programs.

Finally, the Pacman module is enabled and disabled by the *Pacman\_on* and *Pacman\_off* commands, respectively. They can be implemented as writes to memory-mapped registers. These commands can be used to exclude the program regions that are serial or otherwise uninteresting.

## **2.5.2 Virtualization: Thread Pre-emption and Migration during Critical Section Execution**

While executing a critical section, a thread can be pre-empted and even migrated to another processor. In an advanced design that requires OS support, we would like that (i) while a thread is pre-empted in a critical section, we keep protecting its critical section, and (ii) when it resumes in a potentially different processor, we keep accumulating its accesses in the same signature. To support this, when the OS pre-empts a thread from processor *i*, it checks the SigTable for an entry with PID equal to *i*. If it finds one, it changes its PID field. Specifically, if the thread will not run anywhere, it sets the PID field to a special code (e.g., *OUT*); if it will run on processor *j*, it sets the

PID field to  $j$ .

With this algorithm, if a thread gets pre-empted and is not running, it still has its critical section protected from asymmetric races. Indeed, its SigTable entry is still valid and coherence messages are checked against its signature. The checks may result in sending Nacks. Then, when the thread is scheduled on a different processor, its accesses are still accumulated into the same old signature.

This approach is efficient, since there is no copying or saving/restoring of SigTable entries. Moreover, the hardware is kept simple, since it always does the same thing: accumulate accesses from processor  $i$  into the SigTable entry tagged with PID  $i$ . Stall\_index does not get stale, since it contains a table index.

If the program has more threads than processors, there may be several SigTable entries with a PID equal to OUT. In addition, at a given time, the SigTable entries may belong to threads from several *different programs*. Pacman works correctly because it uses physical addresses.

There is an issue with the cache state left behind by a thread that migrates while executing a critical section. Recall from Section 2.3.3 that the thread may have entered the critical section with cache state that it later accessed while in the critical section without notifying the SigTable. We showed that Pacman (conservatively) captures this information at cache displacements or at writebacks/invalidations triggered by other processors. However, if we now migrate the thread, we cannot capture such events.

To keep the design simple, we accept this limitation. This means that Pacman misses the few cases listed in Sections 2.3.3 and 2.3.3 for threads that migrate while in a critical section. A more aggressive approach would be to write back to memory all the dirty cache lines at the time the thread migrates while in a critical section. The addresses of these writebacks would be put in the signature. A more drastic approach would be not to allow migration during critical section execution. Overall, since critical sections are typically small, migration during their execution is rare and does not justify additional actions. Like all data-race handling techniques, Pacman is a best-effort approach.



### **2.5.3 Extensions for Multithreaded Processors**

Multithreaded processors have multiple hardware contexts and run multiple threads at a time. It is possible that different threads executing on different contexts of the same processor concurrently execute different critical sections. In this environment, Pacman requires an extension where the messages sent by processors to the SigTable include both the processor ID and the hardware context ID within the processor. Similarly, SigTable entries have both a PID and a ContextID field.

The cache-state issues of Sections 2.3.3 and 2.3.3 are handled conservatively. If multiple contexts in a processor are concurrently executing critical sections, any writeback, invalidation, or displacement that needs to insert an address in a signature, does insert it in all the SigTable entries owned by that processor.

Since the SigTable is connected to the network, it can only observe data sharing across processors, not across contexts in a processor. Consequently, for Pacman to tolerate races as advertised, a program can only use one context per processor — although multiple programs can use the multiple contexts of a processor. To allow a program to use multiple contexts in a processor, bigger changes would be needed, such as stalling all the other threads in the processor when one thread is executing a critical section.

### **2.5.4 Extensions for a Distributed SigTable**

The discussion so far assumed a centralized SigTable, which is reasonable for a snoopy protocol. To use Pacman in a system with a directory-based protocol, we need to distribute the SigTable across the different directory modules. Since such a design is outside our scope, we only outline it.

Like the directory, the SigTable naturally lends itself to a distributed environment, with partitions based on address ranges. Consequently, each directory module has an associated SigTable module, which is in charge of the range of physical addresses assigned to the local directory module. When a thread enters a critical section, the hardware allocates an entry for the processor in

all the SigTable modules; when it exits it, all the entries are deallocated. When a thread misses on an address, the request naturally reaches the home directory of that address. There, the address is checked against the entries in the local SigTable module using the usual algorithm. The SigTable modules in the other directory modules are not checked.

## 2.6 Conclusions

This chapter proposed Pacman, the first scheme designed to tolerate asymmetric data races in production runs with negligible execution overhead. Pacman leverages cache coherence hardware to temporarily protect the variables that a thread accesses in a critical section. Unlike the previous, software-based schemes, Pacman induces negligible slowdown, needs no compiler or (in the baseline design) OS support, and requires no application source code changes — although small changes are needed in some libraries. Moreover, its hardware is unintrusive since it is concentrated in a module in the network, rather than in the cores. We evaluated Pacman for SPLASH-2, PARSEC, Sphinx3, and Apache and showed that it has negligible overhead. Moreover, we uncovered two unreported asymmetric data races.

Pacman provides a hardware primitive for dynamically and selectively preventing accesses by certain processors to a set of addresses. This primitive can have several uses in performance and correctness debugging.

# Chapter 3

## Falcon: Dynamically Detecting and Tolerating *IF-Condition* Data Races

### 3.1 Introduction

Data races are one of the most common concurrency bugs. A data race occurs when two threads access the same memory location without any intervening synchronization and at least one of the accesses is a write. Data race debugging can be very hard and, therefore, the topic has received much attention (e.g., [9, 14, 35, 36, 43, 52, 55, 75]). Thanks to this, the state of the art in data race debugging has made giant strides in the last decade. Unfortunately, commercial race-detection tools (e.g., [24, 60]) still suffer from several limitations. Two of the most vexing ones are the lack of specificity and the high runtime overhead.

The first issue refers to the lack of focus on the key data races. If we run a commercial race-detection tool on a large software system, we typically obtain a long list of data races. While it can be argued that all data races are undesirable, for productivity reasons, it is imperative to focus the program developer’s attention on those races that truly cause program malfunctioning — at least first.

The high runtime overhead — often 100x or more — burdens the program developer, who needs to run the race detector multiple times during development. The overhead results from the tool’s desire to provide a complete analysis. Recently, there have been proposals for race detectors that use program sampling (e.g., [6, 30]) or hardware support (e.g., [34, 78]). These are promising approaches, although they come with shortcomings in race detection ability or hardware cost.

One approach that should guide the evolution of race detectors is examining the types of bugs reported in the bug databases of popular software systems [27]. By studying what are the races

reported, one can develop race debugging methods that are both specific (i.e., target the types of reported races) and fast.

In this work, we have analyzed the data races reported in the bug databases of popular software systems. We find that a type of data race that we call *IF-condition* race occurs fairly often. An IF-condition data race is one where a memory location accessed by a thread (*T1*) in the control expression of an IF statement suffers a race while *T1* is executing the THEN or ELSE clauses. *T1* may or may not access again the location in the THEN or ELSE clauses. Figure 3.1(a) shows an example.

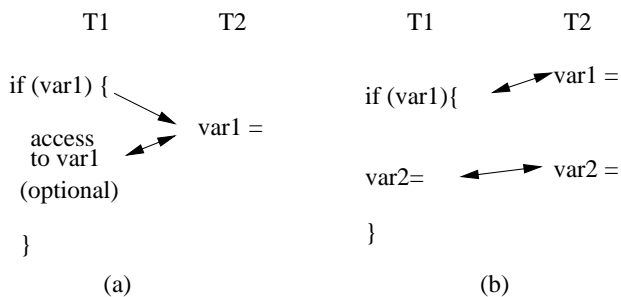


Figure 3.1: Structure of an *IF-condition* data race (a), where the arrow heads show the order of the accesses. Chart (b) shows examples of *IF-related* data races, to be discussed later.

The insight behind focusing on IF-condition races is that statements in the THEN or ELSE clauses are control dependent on the IF control expression. Hence, the program is likely to malfunction if the value of the expression does not hold until the completion of the IF statement. In other words, it is likely that the programmer implicitly assumed that there is atomicity between the accesses in the control expression and the accesses in the THEN or ELSE clauses. A data race on locations accessed in the control expression breaks such atomicity.

If one considers the control expression to be the "Check" and the THEN or ELSE clauses to be the "Action" of an IF statement, IF-condition races share a similar pattern with what are called TOCTTOU (time of check to time of use) [64, 69] races. The difference is that while TOCTTOU races apply to conditions in the file system between competing processes, IF-condition races apply to multi-threaded programs.

IF-condition race detection allows for an extremely efficient and lightweight implementation.

Since IF-condition races are associated with a particular program structure, they are easy to spot and check with a fast and specific test. Importantly, there is *no need* for profiling or training runs to be able to find the targets for the checks — simple compiler analysis of the code structure is typically enough.

In this chapter, in addition to proposing IF-condition races as a cost-effective target for future race detectors, we present two techniques to handle them. One technique is entirely software-based and detects the races during the code testing phase (*SW-IF*). The other relies on additional hardware and is able both to detect and to prevent the races during production runs (*HW-IF*). Both techniques rely on simple code transformations by the compiler and need no profiling.

Our techniques use the compiler to identify IF statements with control expressions that contain accesses to shared locations. Then, in *SW-IF*, the compiler inserts code to check that the value of the expression has not been changed by a racing thread. The check is performed in the THEN and ELSE clauses, either before the first write to one of these locations or, if there is no such write, at the end of the clauses. In *HW-IF*, the compiler inserts code to “watch” the shared locations that participate in an IF’s control expression. During the IF’s execution, the local processor can access the watched locations, but any remote processor that attempts to do it gets a failed memory access signal and has to retry. This simple mechanism effectively prevents other threads from breaking the atomicity of the IF-condition with respect to the rest of the IF statement.

We evaluate *SW-IF* and *HW-IF* using a variety of applications. We show that these techniques are effective at finding new data race bugs with a low false positive rate and run with low overhead. Specifically, *HW-IF* finds 5 new (unreported) IF-condition race bugs in the Cherokee web server and the Pbzip2 application; *SW-IF* finds 3 of them. In addition, 8-threaded executions of the SPLASH-2 applications show that, on average, *SW-IF* adds 2% execution overhead, while *HW-IF* adds less than 1%.

The contributions of this work include: (1) identifying a new type of common, important data race type called IF-condition, (2) proposing two new techniques to detect and prevent these bugs, and (3) showing that these techniques find several new bugs with a low false positive rate and very

little execution overhead.

## 3.2 Background on Race Detection

There has been substantial research on the topic of data race detection (e.g., [24, 9, 13, 14, 31, 35, 36, 38, 43, 44, 45, 52, 55, 60, 68, 74, 78]), which includes the proposal for software tools for race detection (e.g., [24, 52, 55, 60, 74]) and the proposal for special hardware structures in the machine (e.g., [13, 31, 34, 44, 45, 78]).

In general, there are two approaches to find data races, namely lockset algorithms, such as in Eraser [55], and happened-before algorithms, such as in Thread Checker [24]. The lockset approach is based on the idea that all accesses to a given shared variable should be protected by a common set of locks. This approach tracks the set of locks held while accessing each variable. It reports a violation when the currently-held set of locks (lockset) at two different accesses to the same variable have a null intersection.

The happened-before approach relies on identifying concurrent epochs. An epoch is a thread's execution between two consecutive synchronization operations. Each thread uses a vector clock to order its epochs relative to the other threads' epochs. In addition, each variable has a timestamp that records at which epoch it was last accessed. When a thread accesses the variable, it compares the variable's timestamp to its own clock, to determine the relationship between the two corresponding epochs: either one happened before the other, or the two overlap. In the latter case, we have a race.

In this work, rather than taking and extending these algorithms, we use an approach similar to Lu *et al.*'s [27]. Specifically, we focus on understanding the data race patterns reported in the bug databases of popular software systems. Then, we propose race-handling algorithms that are both specific for important types of races and fast.

Application	# Reported Data Races	Bug ID	# IF-Related Data Races	# IF-Condition Data Races	Language
Apache	26	25520,21287,45605, 49986-1, 49986-2, 49985, 47158, 48790, 1507,31018, 45608, 44178, 254653, 49972, 40681, 40167, 728, 41659, 37458, 36594, 37529, 40170, 46211, 44402, 46215, 50731	21	20	C, C++, Java
MySQL	13	644, 791, 2011, 3596, 12848, 52356, 19938, 24721, 48930, 42101, 59464, 56324, 45058	12	8	C, C++
Mozilla	11	622691, 341323, 13377, 225525, 342577, 52111, 224911, 325521, 270689, 73761, 124923	9	8	C, C++
Redhat (glibc)	2	2644,11449	0	0	C
Java SDK	1	6633229	1	1	Java
Pbzip2	1	Data race from [73]	1	1	C++
Total	54	—	44	38	—

Table 3.1: Data races studied. They are obtained from the bug databases of popular software systems.

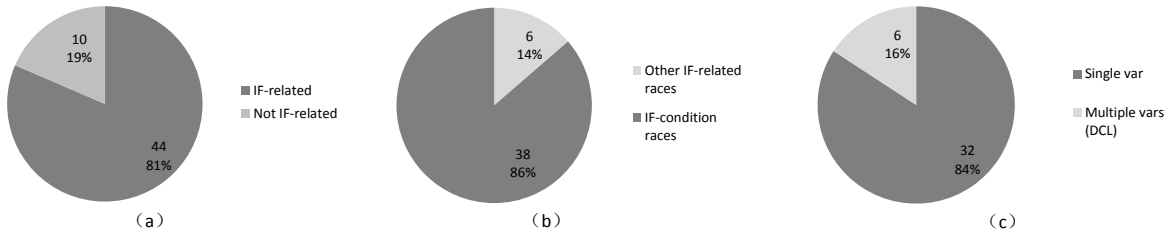


Figure 3.2: Classification of: reported data races (a), IF-related data races (b), and IF-condition data races (c).

### 3.3 IF-Condition Races & Their Frequency

We mined the bug report databases of Apache, MySQL, Mozilla, the glibc library of Redhat, JAVA SDK, and Pbzip2, and found 54 reported data race bugs. They are listed in Table 3.1. To obtain these bugs, we went over the entire bug databases of the applications and collected those that met the following conditions: 1) programmers used the words “race condition” in the description of the bug and 2) it was relatively easy to pinpoint the race in the source code according to the description. Based on an analysis of the data, we define two types of data races:

- *IF-Related Data Race*: Race where at least one of the accesses in the race happened inside an IF statement — regardless of where the access happened inside the statement or when the race happened. Figure 3.1(b) illustrates two such races.
- *IF-Condition Data Race*: Race where a memory location accessed by a thread ( $T1$ ) in the control

expression of an IF statement suffers a race while *TI* is executing the THEN or the ELSE clauses. *TI* may or may not access again the location inside the THEN or ELSE clauses. Figure 3.1(a) shows an example. IF-condition races are a subset of IF-related races.

As shown in Table 3.1, we find that, of all the reported data races, 44 (or 81%) are IF-related data races. In addition, Table 3.1 also shows that, out of these 44 IF-related data races, 38 (or 86%) are IF-condition data races. Moreover, in an attempt to characterize these IF-condition data races further, we examine whether they involve a single or multiple racing variables — of which at least one is accessed in the IF’s control expression. We find that 32 out of these 38 bugs (or 84%) have a single racing variable. There are 6 bugs that involve 2 racing variables. These bugs are all double-checked lock (DCL) [56] races. Figures 3.2(a)-(c) show the overall data.

Overall, the data shows that IF-condition data race detection covers a wide range of data race bugs — 70% of the race bugs in the selected applications. Our techniques, both SW-IF and HW-IF, target all IF-condition data races, regardless of the number of variables involved. Consequently, they can have a large impact when applied.

As a side note, the careful reader might notice that an IF-condition race can be signaled even when shared locations in the IF-condition are not technically involved in a data race. This can happen when the control expression of an IF is protected by a lock *L* which does not extend to the end of the entire IF statement. The IF-condition race occurs when another thread modifies the control expression (while acquiring *L*) when the THEN or ELSE clause of the IF statement is still executing. While this is technically not a data race, this still compromises the atomicity of the IF-condition with respect to the IF statement, and hence is classified as an IF-condition race and is fully detectable by our scheme. However, we did not find any examples of this scenario during analysis of the bug databases.



## 3.4 SW-IF: Detecting IF-Condition Data Races

### 3.4.1 Main Idea

The idea is to use the compiler to identify IF control expressions that can potentially be a source of data races, and check that their values do not change inside the IF statements due to writes by other threads. This is done by inserting checks at the end of their respective THEN and ELSE clauses. Sometimes, however, the compiler is forced to insert the check earlier, before the THEN or ELSE ends, because local writes can modify the value of the control expression. We call the locations in the program where the compiler inserts these checks *Confirmation* points.

More formally, for a given IF statement, call  $E$  the control expression,  $E(SL)$  the set of potentially shared locations accessed in  $E$ , and  $E(L)$  the set of all locations accessed in  $E$ . The IF statement containing  $E$  is considered for race detection only if  $E(SL)$  is not empty. If so, the compiler sequentially searches each of the THEN and ELSE clauses for any statement that might potentially perform a write to  $E(L)$ . If it finds any, a Confirmation point is placed before the first such write. If the compiler cannot find a candidate write, a Confirmation point is placed at the end of the THEN or ELSE clause. There is at most one Confirmation point in each of the THEN and ELSE clauses. This is so to reduce overhead.

At a Confirmation point, the compiler inserts code that recomputes  $E$ . If the result of recomputing  $E$  is different than the one attained when  $E$  was first executed in the IF's control expression, then a race bug is declared. Figure 3.3(a) shows the high-level idea.

Like DataCollider [15], this approach is a best-effort one. Even in the presence of a data race bug, this scheme may miss it because of timing reasons (the external processor's racing access has not yet occurred when  $E$  is recomputed at the Confirmation point) or because although some variables in  $E$  changed value, expression  $E$  returns the same value. In the following, we present the algorithm, and then discuss the limitations.

### 3.4.2 Algorithm

We use the Cetus source-to-source compiler [26] to analyze and transform the code. The structure of our transformation algorithm, called *SW-IF*, is shown in Figure 3.3(b). The algorithm is performed in two steps: “Add Check” and “Add Delay”. “Add Check” identifies the Confirmation points and inserts the checks. This is the only step used if we want to run *SW-IF* with minimal overhead. “Add Delay” is a pass that is useful if we want to force different program interleavings and we can tolerate more overhead. It considers the Confirmation points selected by “Add Check” and decides if it introduces delays at those points to force different interleavings.

#### **Adding Checks.**

In the first step, the compiler needs to identify all the IF statements that will be augmented with Confirmation points. We call this set the *Monitor* set. Such a set starts with all the IF statements that have control expressions that potentially access shared locations. Cetus is able to tell when accesses reference provably private locations. IF statements where  $E$  contains only accesses to provably private locations are not part of the Monitor set.

*SW-IF* removes from the Monitor set those IF statements where  $E$  includes writes. *SW-IF* does not support writes because, otherwise, when it recomputes  $E$  at a Confirmation point, it would have side effects. The only exception to this rule is when  $E$  only contains the ++ or - - operator, and Cetus can prove that there is no aliasing. In this case, the IF statement is kept in the Monitor list, and  $E$  will be recomputed at the Confirmation point without the ++ or - - operation.

*SW-IF* also removes from the Monitor set those IF statements where  $E$  contains a function call. *SW-IF* does this to avoid unwanted side effects at Confirmation points, or the need to perform expensive interprocedural analysis to analyze function side effects. The exception are C standard functions that do not write variables, such as string compare (`strcmp` and `strncmp`) and absolute (`abs`). In general, neither writes nor procedure calls are common in control expressions.

For each IF statement in the Monitor set, *SW-IF* finds the Confirmation points of the THEN

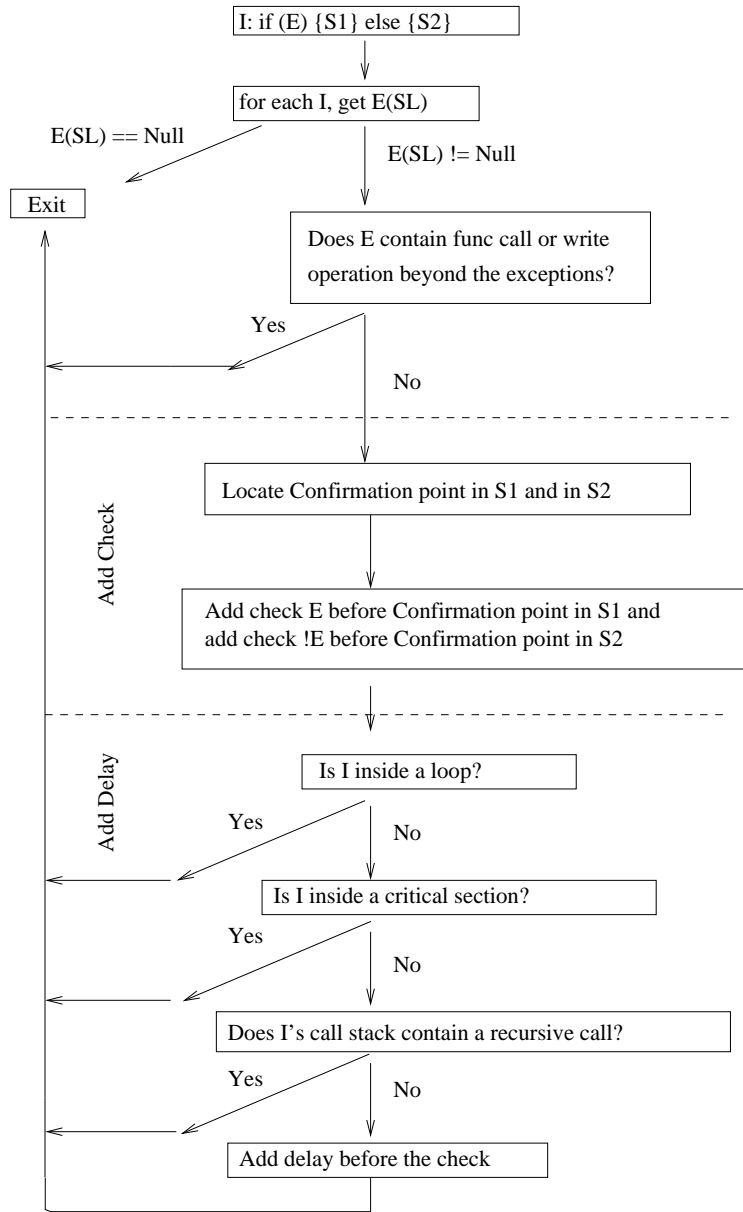
```

if(var==0){
    ...
    if(!(var==0)) ← Check expression
    printf("bug found\n");
    var = 1;
    ...
}

```

Confirmation point

(a)



(b)

Figure 3.3: High-level structure of the *SW-IF* algorithm.

<pre> for(i=0; i&lt;MAX; i++){   if (var==0){     Do not add delay here.     if (!(var==0)) ...   } } </pre> <p style="text-align: center;">(a)</p>	<pre> Lock (l)   if (var==0){     Do not add delay here.     if (!(var==0)) ...   } Unlock (l) </pre> <p style="text-align: center;">(b)</p>	<pre> foo (n){   bar();   foo(n-1); } bar(){   if (var==0){     Do not add delay here.     if (!(var==0)) ...   } } </pre> <p style="text-align: center;">(c)</p>
---	--	---

Figure 3.4: Three types of IF statements where *SW-IF* does not insert delays.

and ELSE clauses. Then, it inserts there the recomputation of  $E$  and  $!E$ , respectively. Finding the Confirmation point boils down to finding the first potential write to  $E$  within the THEN or ELSE clause and for this, we rely on the alias analysis provided by Cetus. We make an exception for function call statements however. Limitations of Cetus in analyzing the side effects of function calls causes the placement of Confirmation points to be too conservative if placed before every call that might potentially modify  $E$ . Hence, we insert a Confirmation point before only the function calls that have a high probability of modifying  $E$ , that is, functions with arguments that contain addresses of or references to variables in  $E$ . Also, if the IF statement includes a loop and the loop contains a potential write to  $E$ , *SW-IF* places the Confirmation point before the loop. If no potential writes are found, the Confirmation is inserted at the end of the THEN or ELSE clause.

### Adding Delays.

In a development scenario, we may want to precede each Confirmation point check with a small sleeping delay, so that we can potentially force a different interleaving and uncover a bug. Consequently, in the “Add Delay” step, *SW-IF* selects the IF statements within the Monitor set that can additionally be instrumented with delays. We call the resulting set the *Delay&Monitor* set. To obtain the Delay&Monitor set, *SW-IF* removes three types of IF statements from the Monitor set (Figure 3.4). Adding delays in these IF statements could potentially add too much overhead.

The first two types of IF statements to remove are those inside loops or critical sections. We use Cetus’ intermediate representation tree to check interprocedurally if there are loops or critical sections enclosing the IF statement. The third type of IF statements to remove are those whose call stack contains a recursive function. This case is identified using the strongly-connected component

analysis in Cetus.

### 3.4.3 Limitations

*SW-IF* is attractive because, while being an entirely software-only solution, the impact on execution speed is almost negligible, allowing it to be used extensively with many input sets and test cases. In fact, it can be left on during the entire testing phase with the programmer barely noticing any slowdown in the debugging cycle. However, it may suffer from false negatives (i.e., miss a data race) and, in some unusual cases, false positives (i.e., incorrectly declare a data race).

There are three scenarios where *SW-IF* can suffer false negatives. One is when races cause one or more locations in  $E$  to change values but the overall value of  $E$  remains the same. *SW-IF* chooses to check the value of the whole  $E$  rather than of each shared variable in  $E$  because it assumes that the programmer only relies on  $E$  being invariant — although *SW-IF* may be missing a race. The second scenario is when a potential modification of  $E$  forces *SW-IF* to place the Confirmation point early, and the race happens after that point. The final scenario is when unsupported writes or function calls in  $E$  prevent *SW-IF* from inserting a Confirmation point and a race happens. False negatives caused *SW-IF* to miss some real data race bugs in the applications we tested.

The false positives occur when the thread executing the IF statement updates locations in  $E$  before the place in the code where *SW-IF* put the Confirmation check. In this case, the check will incorrectly declare a data race. As mentioned in Section 3.4.2, these cases can occur due to function side effects on function calls that Cetus chose not to insert Confirmation points. Figure 3.5 shows such an example where  $F$  modifies  $*p$  as a side effect, and the check in the end subsequently signals a data race. However, we found this scenario to be very rare. In fact, in our experiments with real applications, *SW-IF* did not experience any false positives.

The top part of Table 3.2 summarizes the sources of false negatives and false positives for *SW-IF*.

*SW-IF* is also limited in that it can only detect, not prevent, IF-condition data races. By the time it detects the race at the Confirmation point, the race has already happened. At most, *SW-IF*

```

If (*p ==q){
    F(); // *p is written in F
    Confirmation Point
}

```

Figure 3.5: Example of false positive in *SW-IF*.

Mode	False Negatives (Failure to Signal a Data Race)	False Positives (Incorrectly Signal a Data Race)
SW-IF	Occasional: <ul style="list-style-type: none"> <li>• Races in E that do not change overall value of E</li> <li>• Potential writes to E that force Confirmation point early and race happens later</li> <li>• Unsupported writes or functions in E that prevent insertion of Confirmation point and race happens</li> </ul> Rare:	Rare: <ul style="list-style-type: none"> <li>• Before the Confirmation point, the local thread modifies the value of E without the compiler being able to analyze it.</li> </ul>
HW-IF	Rare: <ul style="list-style-type: none"> <li>• Inability to watch the side effects of functions called in E and race happens there</li> <li>• Simplified support for monitoring nested IFs</li> <li>• Race under special conditions: breaking a deadlock and pre-empting a thread</li> </ul>	Harmless: <ul style="list-style-type: none"> <li>• Due to simplified AWT hardware: <ul style="list-style-type: none"> <li>– “False sharing” data races</li> <li>– Read-read conflicts</li> </ul> </li> </ul>

Table 3.2: False positives and false negatives in *SW-IF* and *HW-IF*.

can raise an exception or print an error message.

To address the limitations of *SW-IF*, we now propose *HW-IF*, which augments it with some hardware support.

## 3.5 HW-IF: Detecting & Preventing IF-Condition Data Races

### 3.5.1 Main Idea

In *HW-IF*, the compiler searches for IF statements that can cause data races as before. But this time, instead of Confirmation points, the compiler inserts, right before the IF statement, code to “watch” all the shared locations that are accessed in the control expression. The hardware envisioned has a functionality that goes beyond that of the current watchpoints provided by x86 processors [23]. Specifically, we envision that the local processor can still access the watched locations. However, any remote processor that attempts to access them will get a failed memory access signal that

will prompt the hardware to retry the access — transparently to the software. At the end of the IF statement, the compiler inserts code to stop watching the memory locations. At that point, the accesses from the other processors will succeed. Overall, *HW-IF* detects and prevents data races inside the THEN and ELSE clauses on the shared locations that are accessed in the control expression.

### 3.5.2 Operation of HW-IF

A simple design of *HW-IF* is shown in Figure 3.6(b). For simplicity, we consider a bus-based multicore, although more scalable organizations can be designed. As part of the bus controller, there is a hardware table called the Address Watch Table (AWT). Each AWT entry contains information about one watched memory location. Specifically, it contains the address of the cache line containing the watched location and the ID of the “owner” processor.

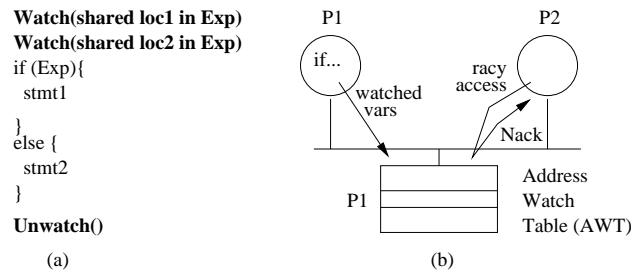


Figure 3.6: Code augmented by the compiler for *HW-IF* (a) and hardware needed (b).

The compiler augments the code as in Figure 3.6(a). For each shared location read or written in the control expression, the compiler emits a *Watch* instruction. If the expression has a function call, the *Watch* instructions are for the addresses passed as arguments to the function. A *Watch* instruction for an address allocates an entry in the AWT with the address. The resulting bus access has the same effect as a bus write by the issuing processor in an invalidation-based protocol. Specifically, any cache (other than the issuing one) with a copy of the corresponding cache line has to write it back to memory (if its state was Dirty) and gets the line invalidated (irrespective of the state it was in).

In practice, this operation does not add much execution overhead. The reason is that the control expressions in the majority of IF statements access few shared locations. For our applications, a control expression accesses on average 1.6 shared locations.

From then on, during the execution of the IF statement, when a processor other than the owner tries to reference a location being watched, it misses in its cache and issues a bus access. As shown in Figure 3.6(b), the AWT observes the access. If the requested address matches an address in one of AWT's entries and the requester is not the owner of the entry, the AWT returns a failed-access transaction (called negative-acknowledge or Nack). A Nack prompts the requesting processor's hardware to automatically retry the access, transparently to the software. This will continue until the owner processor removes the address from the AWT. The owner processor can always access the watched locations, either from its cache or from memory. Its bus access is not Nacked by the AWT.

At the end of the IF statement, the owner processor issues an *Unwatch* instruction, which clears all the AWT entries that it owns. A subsequent access from any processor to the previously-watched locations now succeeds.

For simplicity, nested IF statements are flattened out, which means that the watched locations of all the nesting levels have equal status, and the first *Unwatch* clears all the entries of the owner processor. As a result, the remaining parts of the outer IF nest lose the ability to watch their addresses.

Overall, the proposed *HW-IF* design emphasizes hardware simplicity. We can improve its efficiency at the expense of more complicated hardware. For example, we can improve IF nesting support by including hardware counters in the AWT that are incremented and decremented at each monitored IF entry and exit. Similarly, we can eliminate the continuous Nack and retry with hardware support. However, our evaluation shows that this *HW-IF* design is already highly effective.



### 3.5.3 Discussion

*HW-IF* is attractive because it has an overhead low enough to be used on-the-fly, and can both detect and prevent data races. This means that *HW-IF* can *prevent bugs from manifesting in a production environment*. In addition, *HW-IF* suffers very few false negatives (i.e., failures to signal data races) and the false positives that it may have (i.e., incorrect signaling of data races) are usually harmless.

*HW-IF* has very few false negatives because it has none of those present in *SW-IF*: (i) since it constantly monitors all accesses to shared locations in *E*, it detects data races even if *E* does not change value; (ii) it monitors IF statements from beginning to end, and so has no false negatives due to premature Confirmations; and (iii) it monitors IF statements even when *E* includes write operations and function calls, since *E* is not re-evaluated at any Confirmation point. The only false negatives occur due to the inability to watch the side effects of functions called in *E*, and the non-ideal behavior of nested-IF monitoring.

False positives can still happen, but they typically cause nothing but a slight delay in a cache line access. There are two sources of false positives, which result from our choice of a simple design for the AWT. The first source is the fact that the AWT deals with cache line addresses, and false sharing can cause it to signal a data race even when there is none. This issue can be avoided by designing an AWT that works at word or byte granularity. The second source of false positives is read-read conflicts on addresses accessed in *E*, which induce Nacks from the AWT. This issue can be avoided by building the AWT with two types of entries: one watching data that the owner processor will write, and one for data it will only read. This AWT would not Nack reads by other processors to the latter type. Overall, since false positives are inexpensive, we tolerate these cases.

The bottom part of Table 3.2 summarizes the sources of false negatives and false positives for *HW-IF*.

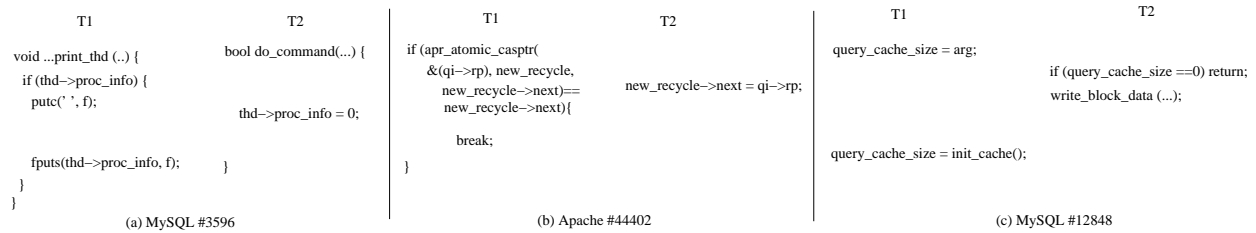


Figure 3.7: Various examples of IF-related data races. The race in (c) is not an IF-condition race.

### 3.5.4 HW-IF Implementation Issues

#### Deadlock Effects.

Whenever there is a mechanism for one processor to stall a second one, as in the case of *HW-IF*, one must watch for possible deadlocks. In *HW-IF*, a deadlock may occur in two cases, which may lead to false positives and are easily handled. The first one appears when two processors are executing IF statements, both allocate AWT entries, and the timing is such that each ends up waiting for the other. Specifically, processor *P1* references a variable that is being watched by *P2* (it is in AWT's *P2* entry) and gets Nacked, while *P2* references a variable watched by *P1* and gets Nacked. This may occur, for example, when the control expressions of the two IF statements have common variables, or when the variables are different but share the same cache line (false sharing).

This case is solved by adding a Cycle Detection Vector (CDV) to the AWT controller. The CDV has one entry per processor and each entry contains the ID(s) of the processor(s) that are Nacking that particular processor, if there exists any. An ID is cleared when a Nacking processor executes *Unwatch* and clears all its AWT entries. On each Nack, the CDV hardware attempts to detect a cycle by following the IDs in the vector. If it ends up in the same processor after two or more steps, a deadlock has been detected. If this happens, the AWT controller simply lets one of the bus accesses proceed instead of Nacking it. In the worst case, we are allowing a data race; in the most likely case, it was due to false sharing.

The second case is when a thread *T1* executing an IF statement that is stalling a second one (*T2*) ends up spinning on a synchronization variable owned by *T2*. This case is solved by a small modification to the synchronization library. Specifically, after a processor has spun on a syn-

chronization variable for a long time, the library simply clears the processor's AWT entries by executing *Unwatch*. If the spinning was due to the scenario described, *HW-IF* will break the deadlock by allowing a (potential) data race. Custom user synchronizations can be instrumented in a similar fashion, after identifying them using methods proposed by Tian *et al.* [61]

### **Other Effects.**

There are other issues related to the practical implementation of the *HW-IF* hardware. The first one has to do with thread preemption and context switching. When a thread executing an IF statement with an entry in the AWT is preempted from its processor, the OS clears the processor's AWT entries using *Unwatch*. This avoids the possibility of long spins by other threads. While more advanced solutions are possible that rely on associating process IDs to AWT entries, they are unlikely to be cost-effective.

The second issue is support for multithreaded processors. Multithreaded processors have multiple hardware contexts and run multiple threads at a time. It is possible that different threads executing on different contexts of the same processor concurrently execute different IF statements. For multithreaded environments, *HW-IF* can use an approach similar to the one described by Pacman [46]. The approach requires an extension where the messages sent by processors to the AWT include both the processor ID and the hardware context ID within the processor. Similarly, AWT entries would have both a PID and a ContextID field. However, since the AWT is connected to the network, it can only observe data sharing across processors — not across contexts in a processor. Consequently, for *HW-IF* to tolerate races, a parallel program can only use one context per processor — although multiple programs can use multiple contexts of a processor. To allow a program to use multiple contexts from a processor, bigger changes would be needed, such as stalling all the other threads in the processor when one thread is executing an IF statement.

A final issue has to do with the scalability of the AWT. We have assumed a centralized AWT, which is reasonable for a snoopy protocol. However, in a directory-based protocol, we need to distribute the AWT across different directory modules. Fortunately, like the directory, the AWT

can be easily designed for a distributed environment, which is partitioned based on address ranges. Hence, each directory module has an associated AWT module, which is in charge of the range of physical addresses corresponding to that directory module.

### 3.6 Potential: Detect Existing IF-Condition Bugs

We take the 38 IF-condition data race bugs from Table 3.1 and characterize whether they can potentially be detected with *SW-IF* and/or detected and prevented with *HW-IF*. Our approach is to manually inspect the source code of these bugs and, from it, decide whether they can be handled by our schemes. The result over all the applications is shown in Figure 3.8 as a pie chart.

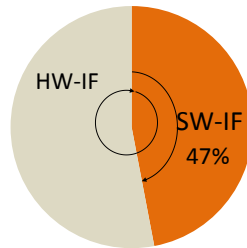


Figure 3.8: Percentage of existing IF-condition data races that can *potentially* be detected by *SW-IF* and *HW-IF*.

From Figure 3.8, we estimate that *SW-IF* could detect 47% of these reported data races given the appropriate interleaving. On the other hand, *HW-IF* could detect and prevent all of them. From this, we can see that *HW-IF* is potentially very effective, and about twice as effective as *SW-IF*.

To understand how we reached these conclusions, Figure 3.7 shows examples of three IF-related data race bugs from Table 3.1. Figure 3.7(a) is a typical IF-condition data race that can be detected by *SW-IF*. It is Bug #3596 from MySQL. In the bug, thread *T1* tests *thd->proc\_info* and then uses it. Meanwhile, *T2* sets *thd->proc\_info* to 0.

Figure 3.7(b) shows an IF-condition data race that cannot be detected by *SW-IF* but can be handled by *HW-IF*. It is Bug #44402 from Apache. There is an IF statement in *T1* and a write statement in *T2*. The control expression in the IF contains a call to *apr\_atomic\_casptr*, which is internally an atomic compare-and-swap between *&(qi->rp)* and *new\_recyle*, pending comparison

of  $\&(qi \rightarrow rp)$  with  $new\_recycle \rightarrow next$ . If successful,  $apr\_atomic\_casptr$  returns the old value of  $\&(qi \rightarrow rp)$ . Between the return of  $apr\_atomic\_casptr$  and the comparison with  $new\_recycle \rightarrow next$ ,  $T2$  intervenes and pollutes  $new\_recycle \rightarrow next$ , causing the bug. Detecting the bug in *SW-IF* is problematic because recomputing the control expression before leaving the THEN clause can cause side-effects due to the compare-and-swap. However, *HW-IF* can easily have the hardware watch all the shared locations accessed in the control expression and prevent the bug.

Finally, Figure 3.7(c) shows an IF-related race that is not an IF-condition race. Hence, it cannot be handled with either scheme. It is Bug #12848 from MySQL. It is an IF-related data race because the race occurs on a variable ( $query\_cache\_size$ ) that is accessed in the control expression of an IF statement. The bug occurs when the two assignments in  $T1$  are interleaved by a call to  $write\_block\_data$  from  $T2$  as in the figure. The  $write\_block\_data$  function tries to write to the cache initialized by  $init\_cache()$ . By the time  $T2$  checks the value of  $query\_cache\_size$ ,  $T1$  has already initialized its value and therefore the return is not executed. However, the cache itself has not yet been initialized when  $T2$  tries to write to it with  $write\_block\_data$ . Neither preventing  $query\_cache\_size$  from changing for the duration of the IF (as in *HW-IF*) nor checking that it has not changed before exiting the IF (as in *SW-IF*) helps.

Table 3.3 repeats the data in Figure 3.8 breaking them down on a per-application basis. We see that *HW-IF* could detect and prevent all the IF-condition races. *SW-IF* could detect a fraction of the races in Apache, MySQL and Mozilla.

Application	# IF-condition Data Races	# Detected by <i>SW-IF</i>	# Detected and Prevented by <i>HW-IF</i>
Apache	20	7	20
MySQL	8	6	8
Mozilla	8	5	8
Redhat (glibc)	0	0	0
Java SDK	1	0	1
Pbzip2	1	0	1
Total	38	18	38

Table 3.3: Bugs *potentially* handled on a per-application basis.

One reason why it is important to support *HW-IF* is the prevalence of a class of data race bugs

called double-checked locking (DCL) [56]. In Figure 3.2(c), we showed that 16% of all reported IF-condition data race bugs were DCL bugs. Figure 3.9 shows a simplified form of the bug from Apache (Bug #47158). In the example, Thread *T1* updates *x* and (as part of *Object()*) *x.m* inside its IF statement. Using *HW-IF* to place *x* inside the AWT prevents *T2* from interleaving with *T1* during the initialization process and, therefore, avoids this bug. *SW-IF* is unable to detect this bug.

T1	T2
<pre> if (x == NULL){   synchronized (this) {     if (x == NULL){       x = new Object();       // initializes x.l, x.m, etc     }   } } </pre>	<pre> if (x !=NULL) {   y= x.m } </pre>

Figure 3.9: Example of a double-checked lock (DCL) bug.

### 3.7 Conclusions

This work analyzed data races reported in bug databases and found that many can be classified as what we call *IF-condition* data races. Focusing on *IF-condition* data races is advantageous in that they have a very low false positive rate due to the implicit atomicity implied by IF statements. Also, their obvious structure allows the implementation of a very efficient data race detector. This chapter introduced how such a detector could be built purely in software (*SW-IF*) or with the help of some hardware (*HW-IF*). *HW-IF* can be used to not only detect races but also to prevent them from happening at runtime.

We evaluated *SW-IF* and *HW-IF* using a variety of applications. We showed that these new techniques are effective at finding new data race bugs and run with low overhead. Specifically, *HW-IF* found 5 new (unreported) IF-condition data race bugs in the Cherokee web server and the Pbzp2 application; *SW-IF* found 3 of them. In addition, 8-threaded executions of the SPLASH-2 applications showed that, on average, *SW-IF* added 2% execution overhead, while *HW-IF* added less than 1%. These minuscule overheads point to the use of both *SW-IF* and *HW-IF* as lightweight

race detectors. *SW-IF* can speed-up the process of code development and testing, while *HW-IF* can be used to avoid races on production runs.

# Chapter 4

## Touchstone: Architecture for Concurrent Multi-level Program Analysis

### 4.1 Introduction

Multicore systems are now pervasive from cell phones to datacenters. To take advantage of their potential, many programmers now have to program in parallel. In addition, with the increased platform connectivity, programming has become more complex, with different software components interacting in subtle and potentially unsecure manners.

Both of these trends call for better tools for program analysis. For example, we need effective tools to identify concurrency bugs such as data races or atomicity violations, to trace security threads through taint analysis, and to pinpoint performance bottlenecks caused by synchronization or load-imbalance. Ideally, these tools should have an overhead low enough to allow them to be used on the fly, without distorting the parallel program's interleaving.

Light-weight microarchitectural support in the multicore is key to enable such set of analysis tools. Current commercial processors provide only minimal hardware support, largely limited to performance counters, watchpoints, and branch buffers [3, 25]. There are, however, a number of proposals in the literature that illustrate what is possible [4, 19, 42, 57, 59, 67, 70, 77] — from fine-grain memory protection, to flexible watchpoints, light-weight taint analysis, and record & deterministic replay.

Many of these proposed microarchitectures for program analysis have low overhead and are flexible. This helps their chance of being adopted in the near future. However, one limitation of most of these schemes is that they have a single use. They have largely been engineered for one usage.



To maximize the chance of adoption, a key capability that we would like to provide is the ability to perform *multiple* analyses on a running application *concurrently*. For example, for a given dynamic parallel execution (and, therefore, a given multi-thread interleaving), we may want to run a data race detector and a taint analyzer. As another example, we may want to run multiple levels of taint analysis concurrently, to better assess the degree of security risk. In addition, unlike a previous proposal that can provide a similar capability with watchpoints [19], we would like to tightly integrate our microarchitecture into the coherence hardware of a multicore. This is key to making the microarchitectural design both low overhead and implementation-realistic.

In this chapter, we propose such a microarchitecture, which we call *Touchstone*. Touchstone is a light-weight architecture for monitoring memory accesses in multithreaded programs. Its main characteristics are that (i) it can monitor accesses for several uses at the same time, and (ii) it leverages the multicores hardware cache coherence to keep its metadata coherent across threads with negligible overhead. We call the idea of performing multiple runtime analyses at the same time *concurrent multi-level analysis*.

Touchstone provides multi-level support for starting to monitor a location, stopping to monitor a location, and checking if a reference accesses a monitored location. Touchstone’s metadata is kept on a per-processor *Security Cache* (SC) in the cache hierarchy that is kept coherent in hardware. To store the monitored addresses compactly, Touchstone introduces the *Pooled Bloom Filter* (PBF). The PBF is a bloom-filter-based hardware structure that dynamically adapts to the number of elements inserted, expanding its size on the fly. This capability is needed for new types of uses, where we do not know in advance the rough number of addresses to be inserted in the filter.

To show Touchstone’s versatility, we evaluate it with three concurrent uses, namely taint analysis based on data flow, taint analysis based on data and control flow, and asymmetric data-race detection. We run the SPLASH-2 codes with 8 threads on a simulated multicore. The results show that Touchstone adds minimal overhead. The execution overhead is, on average, only 3.6%. The storage overhead is at most 7KB in the per-processor SC plus a 17KB overflow area in main mem-

ory. If, instead, we used conventional bloom filters, the corresponding storage overhead would be 12KB in the per-processor SC and a 24KB overflow memory.

## 4.2 Background

In this section, we describe two structures that have been used in the past in the context of microarchitectures for program analysis.

### 4.2.1 Bloom Filter

The Bloom Filter is a space-efficient probabilistic data structure that is used to store a set of elements and test membership of an element on demand [5]. A bloom filter is organized as an array of bits with multiple hash functions that translate the value of an input to positions in the bit array. Initially, all bits in the bloom filter are set to 0, indicating that the bloom filter is empty. The insertion of an element consists of setting to 1 the positions pointed to by the results of the hash functions. A membership test consists of checking whether the bits pointed to by the hashes of an input are all set to 1, in which case a hit is declared. By construction, false negatives on membership tests never occur — that is, checks on already-inserted elements always result in a hit. However, false positives can still occur due to the properties of hash functions. Key to the space efficiency of bloom filters is the fact that this false positive rate can be kept at a manageable level if the set of stored elements is not too large compared to the size of the bit array, regardless of the range of values an element can take.

In recent years, several proposals have used bloom filters to design efficient hardware schemes to store sets of memory locations accessed by a processor. This is done in order to check conflicts with memory accesses in other processors for the purposes of implementing transactions [8, 32, 72], or to analyze memory aliasing behavior for the purposes of optimizations [65]. In all cases, bloom filters can be used because one can make reasonable assumptions about the size of the set of stored memory addresses. Such assumptions enable one to size the bloom filter at design time.

## 4.2.2 Range Cache

The Range cache (RC) is another space-efficient hardware structure that can store large sets of contiguous memory locations [62]. The RC has a list of ranges, where each range consists of a start and an end address. Ranges are automatically merged or split as need arises. The RC has been used to support watchpoints [19]. An RC is a nice complement to a bloom filter, since the latter is good at storing non-contiguous discrete addresses efficiently, while the RC is good at storing contiguous addresses.

## 4.3 Touchstone Architecture

### 4.3.1 Basic Idea

Touchstone is a light-weight architecture for monitoring memory accesses in multithreaded programs. Its main characteristics are that (i) it can monitor accesses for several uses at the same time and that (ii) it leverages a multicore's hardware cache coherence to keep the metadata for a given use coherent across the multiple threads with negligible overhead.

Touchstone is ideal for performing multiple runtime analyses on a program at the same time. We call this idea *concurrent multi-level analysis*. Such analyses can be related to concurrency debugging (such as data race or atomicity violation detection), security (such as taint analysis) or other areas. Thanks to some simple hardware support, Touchstone performs the analyses with negligible performance overhead.

Touchstone provides support for starting to monitor a location, stopping to monitor a location, and checking if a read or write accesses a monitored location. Monitoring and unmonitoring a location is called *Marking* and *Unmarking* the location. Marking and unmarking can apply to a location or to a range of locations while checking is done only on single locations whenever the program performs a memory access.

*Marking* is performed using special instructions which store the marked address in an efficient

and compact representation in hardware structures. On other hand, unmarking is performed entirely in software without involving any special hardware. The details and rationale for this design will become clear later.

*Checking* happens much more frequently compared to marking and unmarking since a check has to happen on every program memory access. Hence, Touchstone automatically performs the check on the location in the background for every load or store without the need to invoke additional check instructions. If the location is marked, then Touchstone automatically invokes a trap handler, which will execute code provided by the user that is specific to a particular use. If the location is not marked, then no special action is taken.

The metadata for Touchstone is kept on a per-processor table called *Security Cache* (SC) in the cache hierarchy of each processor. Thanks to leveraging a multicore’s hardware cache coherence protocol, Touchstone is very useful for analysis of multithreaded programs. For example, one thread may mark an address and then, when a second thread accesses the location, the coherence protocol will supply the information that the location is marked, and the second thread will trap.

In the rest of this section, we describe Touchstone’s ISA support, its hardware structures, its detailed operation, and the limitations.

### 4.3.2 ISA Support

Table 4.1 shows the ISA extensions needed to enable Touchstone. The Touchstone hardware structures are labeled with two IDs: Program ID (PID) and *Security Level* (SL). PID is a per-application ID, while SL is a particular *use* of Touchstone among the several uses that are being supported simultaneously for the given application. For example it can correspond to one of the taint analyses in progress. As can be seen in Table 4.1, there are instructions for a thread to set the PID to a value (*set\_pid*) or read it (*get\_pid*). There are instructions to add a new concurrent use (i.e., a new SL) to Touchstone (*add\_entry*), to remove it (*remove\_entry*), and to check if such an SL exists (*is\_entry\_valid*).

There are two instructions to mark addresses: *mark\_range* adds a range of addresses to the SC

Instruction	Description
<code>set_pid pid</code>	Sets the internal PID register to <i>pid</i> .
<code>get_pid</code>	Gets the value of the internal PID register.
<code>add_entry level</code>	Adds an entry in the SC for PID and the given security level.
<code>remove_entry level</code>	Removes the entry in the SC with PID and the given security level.
<code>is_entry_valid level</code>	Checks whether an entry with the given security level exists in the SC. It is used by the OS to check for entry displacements on context switches.
<code>mark_range begin, end, level</code>	Adds the range of addresses specified by <i>begin</i> and <i>end</i> to the SC for the given PID at the entry given by <i>level</i> .
<code>mark_addr addr, level</code>	Adds the address <i>addr</i> to the SC for the given PID at the entry given by <i>level</i> .
<code>set_trap_handler addr, level</code>	Sets the trap handler for PID and security level to <i>addr</i> .
<code>add_clearance level_bitmap</code>	Adds clearance for the security level given by <i>level_bitmap</i> . All future memory accesses need not perform checks against the entry with PID and <i>level_bitmap</i> .
<code>remove_clearance level_bitmap</code>	Removes clearance for the security level given by <i>level_bitmap</i> . All future memory accesses now need to perform checks against the entry with PID and <i>level_bitmap</i> .

Table 4.1: Extensions to the ISA to enable Touchstone.

for a given PID at the entry given by an SL; `mark_addr` adds one address to the SC for a given PID at the entry given by an SL. As mentioned previously, there are no instructions to perform unmarking. This is because unmarking is done entirely in software, as we will see later.

There are no instructions to check whether a given address is in the SC. This is because the checking happens automatically for all memory accesses, in the background, while the access is being serviced. If the address is found in the SC, a trap is raised when the instruction attempts to retire. The trap results in an invocation of a software handler. As shown in Table 4.1, the software handler is set up by the `set_trap_handler` instruction.

The ISA provides a pair of instructions (`add_clearance` and `remove_clearance`) to quickly enable and disable checks against certain SLs. For example, if a program wishes to disable data race detection for a certain phase of execution (maybe because the phase is single-threaded), all it needs to do is execute `add_clearance` for the SL assigned to data race detection. Security clearances are recorded in the SC and are passed to `add_clearance` and `remove_clearance` in the form of a bitmap with one bit per SL. To provide 32 security levels, a single 32-bit security clearance register suffices.

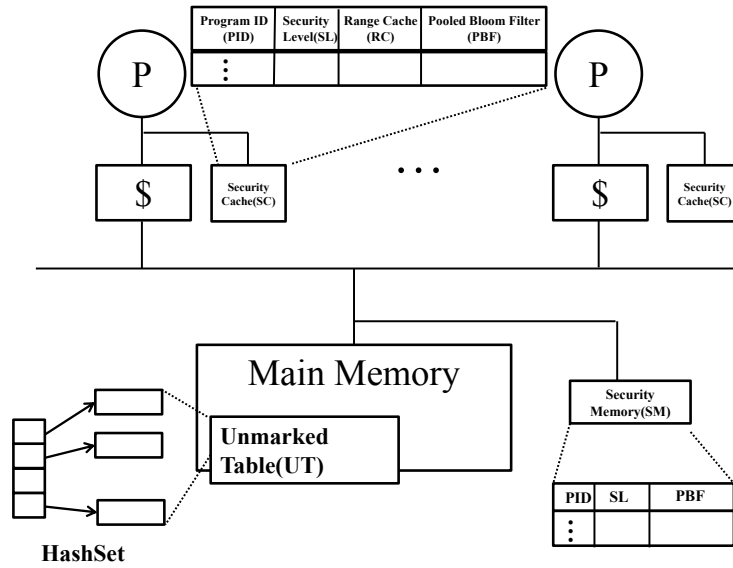


Figure 4.1: Overview of the Touchstone architecture.

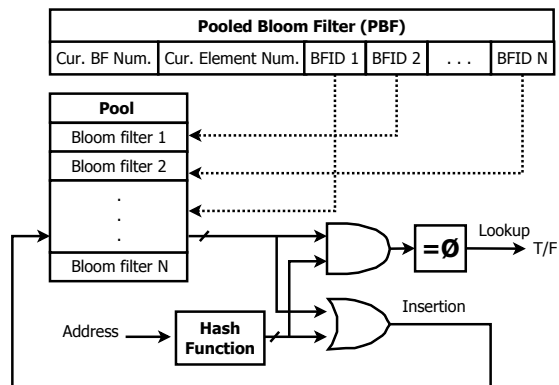


Figure 4.2: Pooled Bloom Filter (PBF) design.

### 4.3.3 Touchstone Structures

Figure 4.1 gives an overview of the Touchstone architecture. The Touchstone hardware structures are the per-processor Security Cache (SC) and the global Security Memory (SM). The SC is a table associated with the cache hierarchy of the processor. It contains the addresses that have been marked by all the active program analyses and have been recently accessed by this processor. The SM is attached to the main memory (or to each memory module in a distributed memory system). It contains the addresses that have been displaced from the SCs of the processors. The sum of all the SCs and the SM contain all the addresses that have been marked by all the active program analyses. Since the SC and SM are manipulated by the cache coherence protocol, they store addresses at line level rather than at word level.

Touchstone also has a software table in main memory called the *Unmarked Table* (UT). We will see that it is used to keep addresses that used to be marked and have since been unmarked.

#### Security Cache

Each entry of the SC has a PID and an SL, which are used to index the SC. In addition, each entry has a growable *Pooled Bloom Filter* (PBF) and a *Range Cache* (RC). These two structures contain the actual marked addresses for the PID and SL pair. Specifically, individual addresses are stored in the PBF, while ranges go to the RC. The program analysis accesses the entry with the PID of the program, and the SL assigned to the analysis, as it marks and checks memory locations. Each processor contains the PID of the currently executing program in a register, so that the corresponding entry can be accessed quickly without referring to the OS.

The SM is structured identically to the SC, except that it does not have the RC field. The SM stores addresses displaced from the SCs, so that the monitoring of these addresses continues uninterrupted. Displacements can happen either due to regular cache displacements (Section 4.3.4) or SC entry displacements (Section 4.5.2).

## Pooled Bloom Filter

Bloom filters are good hardware structures to store addresses in a compact manner. However, as indicated in Section 4.2.1, they only work well when one can make good guesses about the number of addresses to be stored in the filter. Unfortunately, since we want to support a variety of program analyses, we cannot make reasonable assumptions about the number of addresses we may need to store.

For this reason, in this chapter, we propose the *Pooled Bloom Filter* (PBF). The PBF is a novel bloom-filter-based hardware structure that can adapt dynamically to the number of elements inserted, by expanding its size on-the-fly, given a pre-set maximum false positive rate. As a result, we do not need to guess the number of addresses to be stored in advance.

The idea behind the PBF is to keep a pool of available bloom filters (BF) and dynamically increase the number of BFs used if needed. Specifically, first, we use a single BF to collect marked addresses. When the number of addresses inserted reaches a certain threshold, we allocate a second BF to collect more addresses. Before an address can be inserted in the second BF, however, we need to check that it is not already in the first one. This process is repeated with as many BFs as needed. With this PBF, an insertion requires first checking all the earlier BFs. Note that this checking is done in a pipelined manner. Finally, to minimize the amount of hardware, the pool of available BF is shared by all the PBFs in the Security Cache.

Figure 4.2 shows a diagram of a PBF, together with the Pool of BFs shared by all the PBFs. A PBF is composed of three components. First, *Cur. BF Num.* indicates how many BFs are currently allocated to this PBF. Second, *Cur. Element Num.* indicates how many addresses have been inserted into the last BF allocated to this PBF. Finally, a set of *BFID<sub>*i*</sub>* contain the IDs of BFs from the Pool that have been allocated for this PBF. A single PBF can at most utilize all the BFs in the Pool.

Given an address, a PBF supports two operations, namely checking if the address is present (*lookup*), and inserting the address in the PBF (*insertion*). Deletion of addresses is not supported



in hardware, but is supported in software using the UT (Section 4.3.3).

A lookup of an address is done by performing individual lookups on all allocated bloom filters in the series. An individual lookup is performed by hashing the address and intersecting the result with the given bloom filter. If the intersection results in the empty set, the lookup goes on to the next bloom filter, until all bloom filters have been searched or a match has been found. Note that the sequential nature of the lookup can lead to significant delays. This can be ameliorated by adding more ports to the Pool and intersection units to parallelize the lookup. However, we found in our evaluation that a sequential lookup did not impact performance for the configuration we tested, since the latency is rarely in the critical path, and the lookup is performed in a *pipelined* manner.

An insertion is done only after first performing a lookup of the address in the PBF, to avoid inserting duplicate addresses in two different bloom filters in a single PBF. If the lookup does not find a match, the actual insertion is performed on the last bloom filter in the series indicated by *Cur. BF Num.* The insertion is done by unioning the hash of the address into the given bloom filter and re-inserting it back to the pool. Also, *Cur. Element Num.* is incremented to reflect the increase in the number of elements. If the number of elements exceeds a certain threshold, given by the desired false positive rate, a new bloom filter is allocated from the pool and assigned to the next entry in the series and *Cur. BF Num.* is incremented. If the PBF fails to allocate a new bloom filter due to overflow in the pool, the PBF enters a new mode where it starts to insert addresses in existing bloom filters in the series in round robin fashion (the new mode is indicated by a zero value in *Cur. Element Num.*). This ensures a graceful degradation of the false positive rate when the pool has run out of bloom filters.

In this way, the PBF can flexibly allocate and maintain as many bloom filters as are needed to achieve the desired false positive rate, without knowing in advance how many addresses would need to be stored.

## **Range Cache**

The RC portion of an entry is where marked addresses are stored when the program analysis decides to mark a contiguous range of addresses. This is often done when a program reads I/O data into a buffer during data flow analysis, for example. The RC contains a handful of ranges that consists of start and end addresses. In our evaluation, we needed no more than 10 ranges per RC. When two ranges overlap or are contiguous, the hardware automatically detects it and merges them into a single range. As in the case of the PBF, only checking and marking of addresses need be supported by the RC since unmarking is done through the Unmarked Table.

## **Unmarked Table**

The Unmarked Table constitutes the software component of Touchstone. It is essentially a software hash set data structure that stores all the addresses that have been unmarked for a particular process ID and security level. Being a purely software data structure, it resides in regular memory. Addresses are inserted into the table by a software handler whenever a program analysis unmarks a location. Likewise, a software handler searches the table whenever a check in the Security Cache results in a “marked” reply to make sure that the address has not been unmarked previously.

Further discussions on why this particular component was implemented in software and the resulting trade-offs can be found in Section 4.5.1.

### **4.3.4 Detailed Operation**

All RCs pertaining to a particular entry are always kept coherent through broadcasts whenever there is an update to one of the RCs. While broadcasts are typically expensive, we can afford to do this because RC updates typically happen only a handful of times during the initial stages of a program when buffers are allocated and I/O data is read.

On the other hand, PBF updates are much more common, for example due to data propagation in data flow analysis. Hence, keeping PBFs synchronous through broadcasts is not feasible. Instead

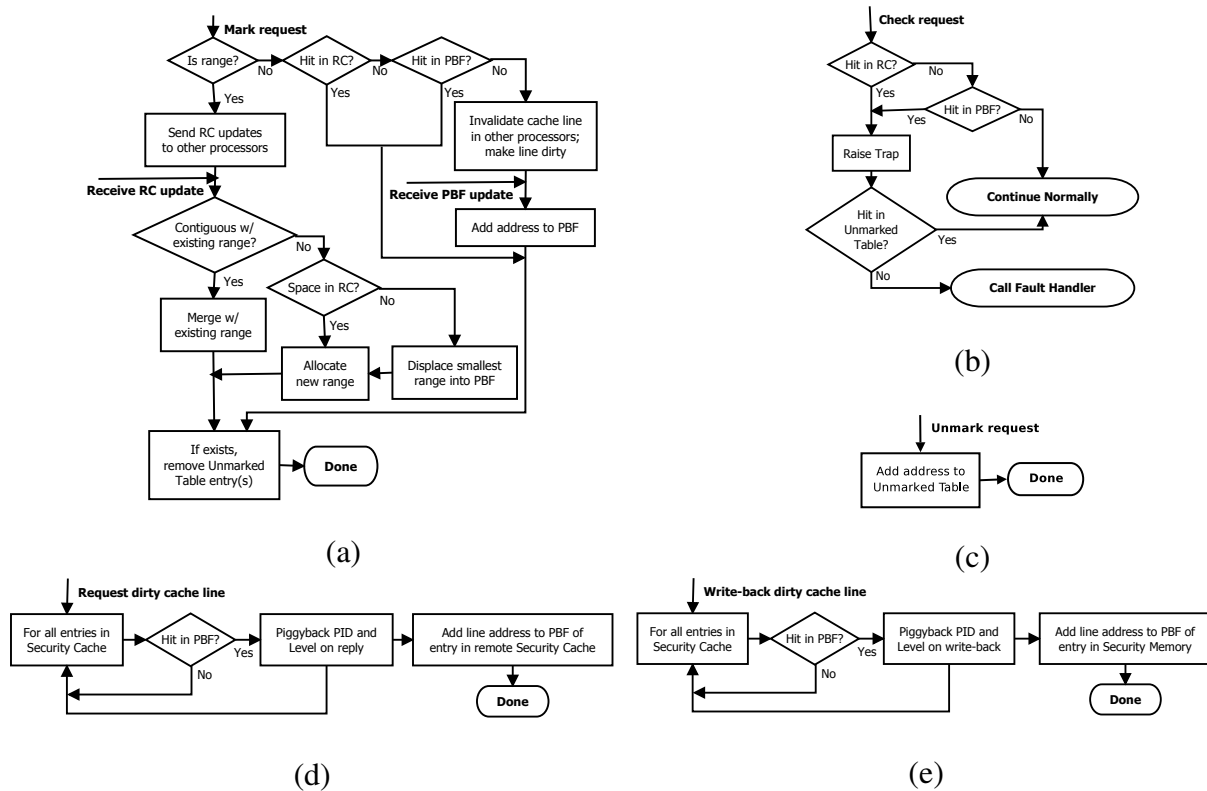


Figure 4.3: Flowcharts for actions taken by the Security Cache when (a) marking, (b) checking, and (c) unmarking memory addresses and when (d) sharing and (e) writing back dirty cache lines.

multiple PBFs in the system pertaining to an entry rely on the cache coherence protocol to keep their contents coherent with respect to one another. The underlying principle is that while the contents of all PBFs do not have to be always up-to-date, it must be up-to-date for all memory locations that are in the processor's local cache. This is so that all accesses to lines that are already in the cache do not generate any extra coherence actions. When a new line is added to the local cache due to a cache miss, the relevant information needed to update the PBF is piggybacked on the cache coherence reply message and the PBF is updated lazily.

The actions and coherence actions required of the Security Cache for various scenarios is summarized in Figure 4.3 in the form of flow charts. External events and coherence events are shown in bold face.

### **Mark Algorithm**

Refer to Figure 4.3(a). A program analysis can request marking of either a single address using the *mark\_addr* instruction, or a range of addresses using the *mark\_range* instruction. The request is forwarded to the appropriate SC entry with the given PID and Security Level.

If the request is due to a *mark\_range* instruction, the range is inserted into the RC of the given entry. If the range is contiguous to or overlaps with an existing range, the requested range is merged into the old range. Otherwise, a new range is allocated in the RC. If the RC is already full, the smallest range in the RC is displaced and all the addresses included in the range is inserted into the PBF of that entry. That way, no information is lost. After the local update of the RC is performed, an RC update message is broadcast to all processors in the system so that they can update their local RCs in the same manner.

If the request is due to a *mark\_addr* instruction, the SC checks whether that address hit in the RC or the PBF. If it does, the address is already included in the SC and nothing more needs to be done. If the result is a miss, the SC marks the cache line that contains the address dirty, if it is not already so, which results in an invalidation of all cache lines in remote processors. This is done to force a coherence request when other processors later attempt to access that cache line, which

serves as a chance to lazily update the address in the SC of that processor. After the coherence action, the SC is ready to add that address to its local PBF.

Before wrapping up, Touchstone invokes a software handler to search for the marked address in the Unmarked Table and removes the entry if found.

Besides the *mark\_addr* and *mark\_range* instructions, there are two more ways an address can be marked in the SC, through coherence events. One is through an RC update broadcast received from a remote SC. The other is through a lazy PBF update message piggybacked when the processor attempts to bring a new line into the local cache. These two cases are handled in an identical way to an explicit mark request with the exception that no further coherence events are generated.

### **Check Algorithm**

Refer to Figure 4.3(b). For every program load or store access, Touchstone performs implicit checks against all relevant SC entries. To this end, all entries with the correct PID and enabled Security Levels are located and lookups of the RCs and PBFs are performed. A hit on either the RC or the PBF results in a trap, after which a software handler is invoked that performs a lookup of the Unmarked Table. A hit in the UT means this address has been unmarked since marking and inserting into the SC, and hence the trap is ignored. A miss in the UT causes Touchstone to return a “marked” reply to the program analysis via a user defined fault handler. Depending on the analysis, the handler may signal a data race, propagate a taint, or perform some other analysis specific action.

Note that these checks are rarely on the critical path of program execution, unless a check results in a trap. No instruction in a program has any data or control dependencies on the result of a check. Hence, as long as the processor pipeline is able to perform the check before it is ready to retire the memory access (and decide whether to raise a trap or not), the check does not slow down execution. Moreover, the check can happen in parallel with the actual memory access and as soon as the address of the access becomes available. This allows the check to happen completely off the critical path in most cases.

## **Unmark Algorithm**

Refer to Figure 4.3(c). Unmarking of addresses happens entirely in software. When a program analysis wants to unmark an address, Touchstone invokes a software handler to simply add that address to the Unmarked Table.

## **Dirty Cache Line Request**

Refer to Figure 4.3(d). The set of dirty cache lines in the local cache of a processor is the set of lines that have potentially been marked by the SC due to an addition to an PBF. So when a dirty cache line is requested by another processor, the cache line address must be looked up in all the PBFs in the SC. This is because the address may not yet have been transferred to other SCs due to Touchstone's lazy approach to coherence. Hence, if the cache line address hits in the PBF of any entry, the PID and the Security Level of that entry needs to be piggybacked onto the reply request. Upon receiving the request, the remote SC adds the address to the PBF of the corresponding entry. Nothing needs to be done for clean cache lines since marking an address in an PBF always entails dirtying the cache line.

## **Dirty Cache Line Writeback**

Refer to Figure 4.3(e). When a dirty cache line is written back from a processor's local cache either due to displacement or a downgrade, Touchstone has to be careful not to lose any monitoring capability. That cache line address may not have been transferred to other SCs yet and when the local cache loses ownership of the line, the SC loses the opportunity to synchronize its contents through piggybacking on coherence requests in the future. Hence, if the cache line address hits in the PBF of any entry in the SC, the PID and the Security Level of that entry needs to be piggybacked onto the write-back request. Upon receiving the request, the Security Memory adds the address to the PBF of the corresponding entry. Later when any other processor requests that cache line, the Security Memory can then piggyback the relevant information on the reply.

### 4.3.5 Limitations

Touchstone allows no false negatives, meaning that all accesses to addresses marked by a program analysis are caught and handled. However, Touchstone can potentially experience false positives.

False positives come from two sources:

- **The nature of bloom filters.** Even as we try to minimize false positives by growing the PBF, bloom filters are by design prone to false positives and hence we can never completely remove them.
- **False sharing.** Since Touchstone leverages cache coherence to achieve its goals, it marks the addresses in the PBF at cache line granularity. Hence, even if the program analysis marked a different word in the cache line, the application might still experience an extraneous trap due to false sharing.

The implication is that Touchstone can only be used in cases where false positives are acceptable. However, it is worth noting that Touchstone is not the only scheme that produces false positives. Previous software and hardware proposals for address tainting suffer from the same problem [17, 22, 58, 63]. Fortunately, many program analyses can tolerate false positives, albeit with some overhead.

For example, false positives in taint analyses can be handled by means of a fast software fault handler which can quickly verify whether a hit in the PBF is a false alarm, as described by Ho et al. [22]. False positives in data race prevention analyses can result in the prevention of certain interleavings but are otherwise harmless, as described by Qi et al. [46]. False positives in data race detection analyses can be verified by applying an exact software analysis on the given address and instruction.

In theory, the target false positive rate of the PBF for a given program analysis could be set individually, putting into consideration the associated overheads. However, such a study is beyond the scope of this work.

## 4.4 Usage Model

This section discusses several potential uses for Touchstone. We mainly focus on two important uses: taint analysis and concurrency bug detection. For taint analysis, we look at a version that uses just data flow analysis and also a version that uses both data flow and control flow analysis. For concurrency bug detection, we look at one important type of concurrency bug: asymmetric data races.

### 4.4.1 Taint Analysis

Taint analysis attempts to enhance security by disallowing certain data to be used for potentially dangerous purposes (as part of a database SQL query for example). To do this, taint analysis “taints” untrusted data at its source and propagates the taint alongside the data as the program executes. The taint has to be propagated whenever a value is transferred from one location to another and hence software implementations suffer from high runtime overheads [10, 48, 40]. Much of the overhead comes from querying whether a memory location has been tainted or not, and every memory access requires a query even if typically only a miniscule proportion of locations are tainted. We can virtually remove this overhead by using Touchstone by using the SC to perform a quick check in the background, without involving the processor.

Taint analysis comes in two flavors. One that relies only on data flow analysis and one that relies on both control flow and data flow analyses. The former propagates a taint only on data dependencies and the latter propagates a taint also on control dependencies. Naturally, the latter provides stronger protection compared to the former but suffers from higher overhead.

```
int a, b, c;  
// only a is tainted at the beginning  
b = a+2;  
c = b*2;
```

Figure 4.4: Example of Data flow analysis



```

int a, x, y;
// only a is tainted at the beginning
If (a>10) {
    x = 1;
}
else {
    x = 2;
}
y = 10;

```

Figure 4.5: Example of Control flow analysis

Figure 4.4 shows an example of propagation through a data dependence. Assume  $a$  is already tainted. As the value in  $a$  gets copied to  $b$  and  $c$ , both locations also get tainted. Figure 4.5 shows an example of propagation through a control dependence. Again, assume that  $a$  is initially tainted. Although  $a$ 's value is not involved in the computation of  $x$ , the outcome of the branch would affect the value of  $x$ . Therefore, the taint is also propagated to location  $x$ . On the other hand,  $y$  would not be tainted because its value doesn't depend on the value of  $a$  in any way.

Typically memory accesses rarely land on tainted locations but all sources of data transfers need to be checked anyway. In Figure 4.4, even if  $a$  was clean to start with, checks have to be performed on  $a$  and  $b$  because they are sources of data transfers. Using Touchstone, software only needs to mark tainted locations and the checks are done automatically by the SC. If an access lands on a tainted location, a trap will be raised and the software handler can take the appropriate action, which may include propagating the taint. When a tainted location is overwritten using clean data, the location is unmarked.

#### 4.4.2 Asymmetric Data Races

Asymmetric data race is a type of harmful race which occurs when at least one of the racing threads is inside a synchronization-protected critical section[46]. Figure4.6 shows an example of asymmetric data race.

In the past, several schemes have been proposed to detect and prevent asymmetric data races[46,

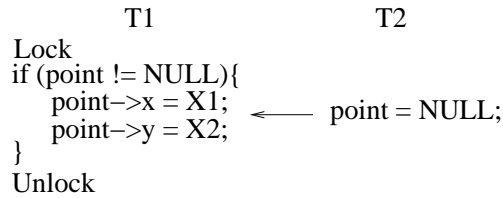


Figure 4.6: Example of an asymmetric data race from[46].

50, 49], with and without specialized hardware support. We can use the Touchstone general purpose hardware to detect asymmetric data races using a similar approach to [46]. When a processor enters a critical section, Touchstone creates an SC entry for race detection and marks all the memory addresses inside the critical section. Another processor that attempts to access the marked addresses will generate a trap and the trap handler can record the data race in a log that can be later viewed by a programmer. The processor in the critical section can prevent traps being raised on its own accesses by using the *add\_clearance* instruction to turn off detection. When the processor exits the critical section, Touchstone would remove the entry that it has created. Note that no unmarking of addresses is performed for this usage.

### 4.4.3 Other Applications

Touchstone can be applied to any other situation where tracking memory locations is needed such as for hybrid transactional memory and for speculative program optimization. The important thing to note is that Touchstone provides multi-level data protection and hence can run multiple analyses concurrently and on demand.

## 4.5 Implementation Issues

### 4.5.1 Unmarking Entries

One might ask why Touchstone uses a software Unmarked Table and not simply remove the address from the PBF instead, which seems the most natural thing to do. The simple answer is that

bloom filters, by design, only allows the insertion of elements and does not allow removal once they are inserted. One might imagine using a counting bloom filter instead, which enables insertion and removal by using an array of counters instead of an array of bits. However, elements can only be safely removed from a counting bloom filter only when one can guarantee that the element has been inserted in the past. Doing a membership test is not a safe guarantee of insertion since membership tests can result in false positives. If an element that has not been inserted is removed, this can result in false negatives which is unacceptable in most program analyses.

Moreover, it is often hard to tell whether an element has been inserted into the PBF or not. In taint analysis for example, if a memory location has been overwritten with untainted data and the address needs to be unmarked, there is no way of knowing for sure whether the location has been tainted in the first place. One could query the SC, but again, membership tests in PBFs can generate false positives.

Hence, using the Unmarked Table to maintain the exact set of unmarked addresses is the only way of avoiding false negatives. While software hash sets can potentially be expensive, two facts work to our advantage: 1) the number of addresses unmarked is typically very small for all the program analyses we have studied which keeps the size of the hash set compact and 2) the proportion of accesses to marked addresses is typically also small and hence the table is not accessed very frequently.

For example, for taint analysis, a study by Qin et al. [48] found that only 1.32% of total memory accesses were to marked addresses. As to asymmetric data race detection, there is no need for unmarking addresses in the first place (See Section 4.4.2).

## **4.5.2 Virtualization / Context Switch**

Since there is only a limited number of entries in the SC, the SC can potentially overflow with enough processes and security levels. At such an event, the least recently used entry with a PID that is different from the currently executing process is selected for displacement. We cannot simply discard the displaced entry however, since the PBF of the entry may contain addresses

that have not yet been transferred to other SCs. Hence, before we discard the entry, we check each dirty cache line in the private cache to see if the line address hits in the PBF of the entry and perform a write-back to memory in that case. This will automatically transfer the address to Security Memory and thus prevent the loss of monitoring capability.

As to the RC of the entry, the contents are already replicated in other processors so monitoring continues uninterrupted. Also, software maintains the list of ranges it marked in the RC separately as part of the process descriptor so that no information is lost.

Touchstone attaches very little additional complexity to context switches. Since SC entries are tagged with PIDs, they need not be swapped out on a context switch and can remain there. Typically, the only thing the OS needs to do on a context switch is to do *set\_pid* to update the PID that is internally stored in the SC. To handle the rare case where an entry for the switched in process has been displaced, the OS issues *is\_entry\_valid* for each security level that is active. In the case where an entry is found missing, the OS adds the entry to the SC again and re-populates its RC with the list of ranges in the process descriptor. The PBF of the entry need not be re-populated since all addresses have been already transferred to the Security Memory at displacement.

## **4.6 Related Work**

### **4.6.1 Memory Protection Schemes**

There are several approaches using hardware to provide memory protection [19, 70, 77, 67, 57, 4, 59]. The most relevant one is a recent work by Greathouse et al. [19]. It demonstrated a hardware scheme to provide an unlimited number of fine-grain data watchpoints for protection. The key idea was to use a range cache and a bitmap cache to protect certain memory addresses. It showed that this approach could be used for several software analyses including data race detection and taint analysis. However, this approach does not support multi-processor systems very well. It uses a software handler to broadcast every update to the range cache and bitmap cache. In comparison,

Touchstone relies on cache coherence to prevent most updates. Also, it requires complex software to do the translation between the range cache and bitmap cache. Moreover, although this work does provide general hardware for multiple software analyses, it is not clear how it can be used for running multiple analysis at the same time. In comparison, Touchstone can use multiple PBFs to run any number of program analyses concurrently.

Mondrian Memory Protection[70](MMP) is a hardware scheme which provides fine-grained inter-process protection and is optimized for applications that do not perform frequent updates. The memory protection information meta-data is stored in main memory and cached in a buffer called PLB. In general, this design is not suitable for applications which need frequent updates. Also, MMP is not design for multi-processor systems and neither can it support multiple concurrent program analyses.

FlexiTaint [67] uses a separate cache(TPC) to separate taint information from the corresponding data. However, on a TPC miss, an exception would be raised and a software handler has to deal with the miss. In comparison, Touchstone uses a hierarchy of Security Caches and Security Memories coupled with cache coherence to avoid expensive exceptions and calls to software handlers in similar situations. Moreover, FlexiTaint requires invasive changes to the processor pipeline to do taint propagation and can only run a single analysis at one time.

Another common method of storing protection data is to put it alongside cache lines and memory [57, 4, 59, 77]. For these approaches, typically they use 2 bits for the security tag so that they can not be used for running multiple analyses concurrently or providing multi-level data protection. And also, these approaches cannot provide no way of quickly marking a range of memory locations.

## **4.6.2 Concurrency Bug Detection Schemes**

In recent years, there have been many hardware schemes proposed for concurrency bug detection, including data race detection and atomicity violation detection [44, 46, 34, 78, 33]. Unfortunately most of them require special hardware to handle a specific concurrency bug. Touchstone provides a

general hardware framework which is flexible enough to be used by software to detect concurrency bugs of various types, even concurrently.

## 4.7 Conclusion

This chapter proposed Touchstone, the first microarchitectural memory-access monitoring scheme that (i) can monitor accesses for several uses at the same time, and (ii) leverages the multicore hardware cache coherence to keep its metadata coherent across threads with negligible overhead. Touchstone provides multi-level support for starting to monitor a location, stopping to monitor it, and checking if a reference accesses it. Touchstone’s metadata is kept on a per-processor Security Cache (SC) in the cache hierarchy that is kept coherent in hardware. To store the monitored addresses compactly, Touchstone introduced the Pooled Bloom Filter (PBF). The PBF is a bloom-filter-based hardware structure that dynamically adapts to the number of elements inserted, expanding its size on the fly. This capability is needed for new types of uses, where we do not know in advance the rough number of addresses to be inserted in the filter.

To show Touchstone’s flexibility, we evaluated it with three concurrent uses: data-flow based taint analysis, data- and control-flow based taint analysis, and asymmetric data-race detection. We ran the SPLASH-2 codes with 8 threads on a simulated multicore. The results showed that Touchstone added minimal overhead. The execution overhead was, on average, only 3.6%. The storage overhead was at most 7KB in the per-processor SC plus 17KB in main memory. The SC could be powered-on gradually, as longer PBFs are needed. If, instead, we used conventional bloom filters, the corresponding storage overhead would be 12KB in the per-processor SC (which would all be powered-on for the whole run) plus 24KB in main memory.

# Chapter 5

## Evaluation

In this chapter we evaluate our work. The chapter will start with the evaluation of Pacman, then Falcon and finally it will end with Touchstone.

### 5.1 Pacman

Architecture	CMP with 4 or 8 processors
Coherence protocol	Snoopy basic-MESI on 64byte bus
Processor type	2-issue, in-order, 1GHz
SigTable parameters	From Figure 2.8. Max: 8 rows
Private L1 cache	32Kbytes, 4-way asso., 64byte lines
Signature size	1,024 bits
Private L2 cache	512Kbytes, 8-way assoc., 64byte lines
Signature structure	8 128-bit Bloom filters with H3
L1 hit latency	2 cycles round trip
Cycle detection latency	4-14 cycles
L2 hit latency	8 cycles round trip
H-Block <sub>1</sub> latency	2 cycles
L2 miss latency	30 cycles round trip to other L2s
H-Block <sub>2</sub> latency	2 cycles
L2 miss latency	250 cycles round trip to memory

Table 5.1: Default architectural parameters.

To evaluate Pacman, we instrument parallel application binaries with Intel’s Pin framework connected to a cycle-by-cycle execution-driven architecture simulator based on SESC [51]. The simulator models a chip multiprocessor (CMP) with 4 or 8 processors. The default parameters of the architecture are shown in Table 5.1. The processors are two-issue, in-order, and overlap memory accesses with instruction execution. Each processor has a private cache hierarchy kept coherent by a basic MESI coherence protocol on an on-chip bus. The bus is connected to the

SigTable and to off-chip main memory. Unless otherwise indicated, the sizes of the fields in a SigTable entry are those shown in Figure 2.8. To generate a signature, Pacman uses 8 128-bit Bloom filters in parallel using the H3 hash function from [53], for a total of 1,024 bits per signature.

Category	Application	# Dynamic CS	CS Insts (%)	#Insts per CS	Max #Insts in CS	#Rd per CS	#Wr per CS	#Clean disps per CS	#Sig addr's per CS	Max # sig addr's in CS	Max CS nesting level
SPLASH-2 Kernels	cholesky	6,957	0.0	30.3	161	10.7	4.7	0.0	6.4	11	1
	fft	32	0.3	33.9	47	11.9	10.5	0.1	5.8	7	1
	lu/contiguous	272	0.0	36.1	47	12.6	10.7	0.0	6.0	7	1
	lu/non_cont.	80	0.0	35.2	47	12.4	10.5	0.1	5.8	8	1
	radix	78	0.0	26.1	47	9.4	8.4	0.0	5.9	7	1
SPLASH-2 Apps	barnes	68,938	0.4	118.1	1,898	40.1	29.3	0.0	11.9	56	1
	fmm	44,622	0.2	142.1	252	54.7	27.9	0.0	13.4	21	1
	ocean/cont.	4,432	0.0	31.5	45	11.8	9.6	0.0	6.8	9	1
	ocean/non_cont.	4,312	0.0	30.9	45	11.8	9.5	0.0	5.9	7	1
	radiosity	273,087	0.9	18.2	1,226	8.7	5.9	0.0	5.6	89	5
	raytrace	95,475	0.3	29.3	6,661	7.5	5.8	0.0	6.0	343	1
	volrend	72,524	0.0	12.1	50	5.0	3.0	0.0	4.9	8	1
	water-nsquared	6,292	0.0	50.3	51	34.4	12.4	0.0	17.0	18	1
	water-spatial	157	0.0	23.8	47	9.6	7.0	0.0	6.0	9	1
PARSEC Kernels	canneal	4	0.0	7.0	10	2.5	3.5	0.0	3.3	4	1
	dedup	17,932	0.1	315.9	802	121.2	67.9	0.1	14.4	33	1
	streamcluster	52,128	0.0	21.0	32	7.1	4.8	0.0	3.2	5	1
PARSEC Apps	blackscholes	0	-	-	-	-	-	-	-	-	-
	bodytrack	8,273	0.0	37.0	1,228	15.6	11.1	0.0	6.9	34	1
	facesim	7,921	0.0	37.0	154	18.0	9.9	0.0	5.4	11	2
	ferret	733	0.0	19.2	44	5.4	7.2	0.0	5.0	9	2
	fluidanimate	2,113,870	0.7	15.9	32	10.2	4.1	0.0	8.0	10	1
	raytrace	73	0.0	7.8	31	2.6	2.3	0.0	2.2	6	1
	swaptions	0	-	-	-	-	-	-	-	-	-
	vips	14,056	0.0	49.0	6,723	18.6	11.8	0.0	8.0	106	23
	x264	4,071	0.0	10.6	39	5.9	1.7	0.0	4.0	6	1
Other Apps	Apache	8,301	0.4	24.4	40	9.7	5.3	0.0	5.6	8	1
	Sphinx3	94,382	3.5	208.5	2,946	86.7	29.1	0.1	6.0	243	2

Table 5.2: Characteristics of the critical sections (CS) in the applications.

For sensitivity analysis, we consider two cache hierarchy models, namely one where each processor only has an L1 cache, and one where it has both a private L1 and a private L2. The first model puts more pressure on Pacman.

We evaluate Pacman with all the 14 SPLASH-2 applications, the 12 PARSEC applications that support pthreads, the Sphinx3 speech recognition software [54], and Apache-2.2.3. The SPLASH-2 codes use their default inputs, while the PARSEC ones use the *simmedium* inputs. For Sphinx3, we use the test input provided, which executes over 500 million instructions, while for Apache, we set up clients that keep sending requests to the server, so that the server executes around 40 million



instructions.

In our evaluation, we slightly modify the Canneal and Ferret applications. At the beginning of Canneal, a thread uses a critical section to initialize a large memory space — even though there is no other active thread at that time. Consequently, we turn off Pacman during that time. In Ferret, each thread initializes a random number generator within a critical section. Since only the seed is a shared variable, we move the local-variable accesses in the random number generator initialization routine outside of the critical section. If we did not do these changes, the statistics on critical section sizes (Section 5.1.1) would be biased. In addition, for Ferret, if we inserted all the local-variable addresses into the signature, we could potentially induce, through address aliasing in the signatures, false positive conflicts with other threads, and unnecessarily stall them.

In the rest of this section, we characterize the critical sections, evaluate the overheads of Pacman, and examine the asymmetric data races discovered by Pacman.

### 5.1.1 Characterization of the Critical Sections

Table 5.2 characterizes the critical sections in all 28 applications on the 4-processor CMP. Column 3 lists the number of dynamic critical sections in each program. Column 4 shows the percentage of the dynamic instructions in the programs that are inside the critical sections. We see that all programs but Sphinx3 execute less than 1% of their instructions in critical sections. The percentage in Sphinx3 is 3.5%. Columns 5 and 6 show the average and maximum number, respectively, of instructions executed per critical section. We see that the applications tend to have modest-sized critical sections. Most applications execute less than 100 instructions per critical section on average. The maximum number of instructions in a critical section reaches nearly 7,000 in Vips. Columns 7-8 list the average number of reads and writes per critical section.

Columns 9-11 correspond to the architecture with only the L1 caches. They show, per critical section, the average number of clean line displacements, and the average and maximum number of *line* addresses included in the signature. We can see that the average number of clean displacements per critical section is close to zero. This means that this effect is minor. The average number of

line addresses included in a signature per critical section is typically less than 10 and, except for a few cases, the maximum number is not much higher. These numbers suggest that the probability of false positives in the signatures is low. Note that for the machine with both L1 and L2 caches, these numbers will be smaller. This is because caches keep more state.

The last column shows the maximum nesting level of critical sections. A value more than one means that the application has nested locks. We can see that most applications have a value of one. Only Radiosity and Vips, which have a recursive structure, have significantly deeper levels.

Overall, given the typical sizes and properties of the critical sections observed, we believe that a simple solution for asymmetric race detection is enough. Pacman provides such a simple solution.

### 5.1.2 Overheads of Pacman

There are two sources of execution overhead in Pacman. The first one is that some processors receive Nacks and have to retry. The second one is additional network traffic created by three event types: a notification message in a clean displacement inside a critical section, a retry after a Nack, and the extra message in a successful lock acquire or release that hits on a cache line that is in Dirty or Exclusive state.

Table 5.3 quantifies these effects for each application. Columns 3-8 show the total number of Nacks observed during the execution of the application. For each application, we performed 3-5 runs, and show the *maximum* number of Nacks seen in any individual run. The data corresponds to the architecture with L1 caches only, which is the worst case. Columns 3-5 correspond to 4-processor runs, while Columns 6-8 correspond to 8-processor runs. For Apache, since the server automatically sets the number of threads to a number larger than 8, we put the data under the 8-thread columns. In each group of three columns, the first one shows the Nacks observed due to true conflicts (i.e., two threads access the same variable), the second one the Nacks due to true conflicts or false sharing, and the last one the Nacks due to true conflicts, false sharing, or false positives.

The number of Nacks is very small. Only FMM and Bodytrack exhibit Nacks due to true

Category	Application	Number of Nacks (L1 only, 4 threads)			Number of Nacks (L1 only, 8 threads)			Increase in traffic with L1 only (%)	Increase in traffic with L1+L2 (%)	Sync hits per dyn inst (%)
		True	True+ FS	True+ FS+FP	True	True+ FS	True+ FS+FP			
SPLASH-2 Kernels	cholesky	0	0	0	0	0	0	0.0	0.0	0.00
	fft	0	0	0	0	0	0	0.0	0.0	0.00
	lu/contiguous	0	0	0	0	0	0	0.0	0.0	0.00
	lu/non_cont.	0	0	0	0	0	0	0.0	0.0	0.00
	radix	0	0	0	0	0	0	0.0	0.0	0.00
SPLASH-2 Apps	barnes	0	2	4	0	2	4	0.0	0.3	0.01
	fmm	1	1	1	1	1	1	0.0	0.1	0.00
	ocean/contiguous	0	0	0	0	0	0	0.0	0.0	0.00
	ocean/non_cont.	0	0	0	0	0	0	0.0	0.0	0.00
	radiosity	0	13	15	0	28	32	1.0	1.4	0.04
	raytrace	0	0	4	0	0	6	0.0	0.1	0.01
	volrend	0	0	0	0	0	0	0.0	0.1	0.00
	water-nsquared	0	0	0	0	0	0	0.0	0.1	0.00
water-spatial	0	0	0	0	0	0	0.0	0.0	0.00	
PARSEC Kernels	canneal	0	0	0	0	0	0	0.0	0.0	0.00
	dedup	0	0	0	0	2	2	0.1	0.2	0.00
	streamcluster	0	0	0	0	0	0	0.0	0.0	0.00
PARSEC Apps	blackscholes	0	0	0	0	0	0	0.0	0.0	-
	bodytrack	1	1	2	1	1	2	0.0	0.0	0.00
	facesim	0	0	0	0	0	0	0.0	0.0	0.00
	ferret	0	0	0	0	0	0	0.0	0.0	0.00
	fluidanimate	0	0	0	0	0	0	1.5	2.4	0.05
	raytrace	0	0	0	0	0	0	0.0	0.0	0.00
	swaptions	0	0	0	0	0	0	0.0	0.0	-
	vips	0	0	2	0	0	3	0.0	0.0	0.00
x264	0	0	0	0	0	0	0.0	0.0	0.00	
Other Apps	Apache	-	-	-	0	3	8	0.3	0.5	0.02
	Sphinx3	0	4	6	0	10	14	0.8	1.1	0.02

Table 5.3: Quantifying the sources of overhead in Pacman.

conflicts. Each of them has one Nack. False sharing and false positives increase the number of Nacks. The highest number is 32 for Radiosity. This is negligible compared to the 454M dynamic instructions executed by Radiosity. Overall, the impact of any processor stall due to Nacks is negligible.

Columns 9-10 show the percentage increase in the network traffic due to the three effects listed above. Column 9 applies to the architecture with L1 caches only, while Column 10 applies to the one with L1 and L2. The data shows that the increase in traffic is very small. In the worst application, the increase is 1.5% for the case of L1 caches and 2.4% for the case of L1 and L2 caches. These low numbers result from the fact that critical sections have a modest size and account for a small fraction of the execution time. Overall, the impact of this extra traffic is negligible.

Column 11 shows the number of successful lock acquires and releases that hit on a cache line

that is in Dirty or Exclusive state and, therefore, introduce an additional bus access. The data corresponds to the architecture with both L1 and L2 caches. The column gives the number of such events as a percentage of dynamic instructions. We can see that, typically, such number is negligible. In the worst application, we have 0.05 such events per 100 instructions. Therefore, the impact of such events is negligible.

Finally, Figure 5.1 shows the increase in the execution time of the applications due to all of the Pacman overheads combined. The data is shown as a percentage of the original execution time of the applications and is plotted for 1, 4 and 8 threads. There is a data point for each program, and a line for the average of them all. The figure shows that, even for 8 threads, the maximum overhead in any application is only 0.4%, while the average is only 0.07%. The figure also shows that, for most applications, the overhead increases slowly with the number of threads. The overhead for 1 thread is due to the extra bus accesses in synchronizations. Overall, the execution time overhead of Pacman is negligible.

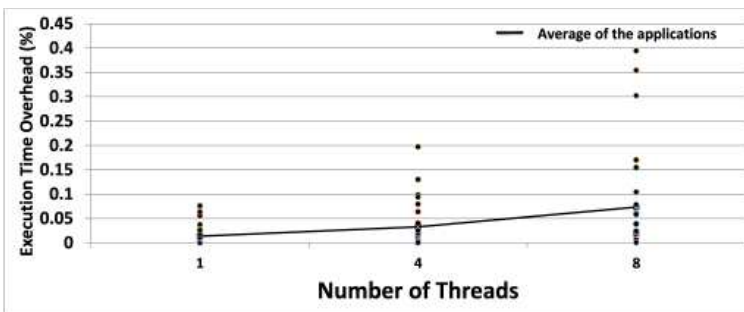


Figure 5.1: Execution time overhead of Pacman.

```

T1                                T2
void ComputeSubTreeCosts(...) {
...
pb=b->parent;                    void ComputeSubTreeCosts(...)
...
Lock
pb->subtree_cost+=b->subtree_cost;
pb->interaction_synch +=1;
...
Unlock
}
                                b->interaction_synch = 0;
                                ...
                                b->subtree_cost += b->cost;
                                ...

```

Figure 5.2: Race discovered in FMM.

### 5.1.3 Unreported Asymmetric Data Race Bugs

Although the SPLASH-2 and PARSEC codes are widely used, Column 3 of Table 5.3 shows that Pacman discovered two true asymmetric data races: one in FMM and one in Bodytrack.

The asymmetric race in FMM is shown in Figure 5.2. It happens in subroutine *ComputeSubTreeCosts*, where multiple threads are accessing a tree structure. When two threads T1 and T2

are concurrently executing the subroutine, it may be that the two point to the same node from two different places ( $pb$  in T1 is the same as  $b$  in T2), and an asymmetric data race can happen.

The asymmetric race in Bodytrack happens between subroutine *Condition::Wait*, where variable *nWakeupTickets* is read and written inside a critical section, and subroutine *Condition::NotifyOne*, where it is written outside any critical section.

## 5.2 Falcon

In this section, we evaluate Falcon including *SW-IF* and *HW-IF*. We start by describing our experimental setup (Section 5.3.1). Then, we characterize the IF statements in the applications (Section 5.2.2), and evaluate the execution overhead of our algorithms (Section 5.2.3), their effectiveness at detecting new data race bugs (Section 5.2.4), and the sensitivity of *SW-IF* to the delay inserted at Confirmation points (Section 5.2.5).

### 5.2.1 Experimental Setup

We use the Cetus source-to-source compiler [26] to analyze and transform applications for *SW-IF* and *HW-IF*. Cetus uses its intermediate representation tree and call graph to find the IF statements that access shared locations. It then instruments them with either Confirmation points or *Watch/Unwatch* instructions.

For *SW-IF*, we run the applications with 8 threads on a desktop with 4 2-context Intel Xeon cores running at 2.33 GHz. For *HW-IF*, since there is no hardware that implements the AWT watchpoint table described in Section 3.5.2, we instrument the application code with PIN and call an execution-driven, cycle-level architectural simulator. The simulator models a chip multiprocessor (CMP) with 4 or 8 processors and a memory subsystem. The simulator intercepts the *Watch* and *Unwatch* instructions and emulates the AWT.

Each AWT entry is 62-bit long, and contains the line address, processor-ID, and Valid bit. Although a watched IF statement only accesses 1.6 locations on average, we conservatively have

100 entries in the AWT. In our experiments, the AWT never gets full. If it did, we would simply discard entries, hence losing some checking ability. The default architectural parameters are shown in Table 5.4.

Architecture	CMP with 4 or 8 processors
Coherence protocol	Snoopy-based MESI on a 64byte bus
Processor type	2-issue, in-order, 1GHz
Private L1 cache	32Kbytes, 4-way asso., 64byte lines
Private L2 cache	512Kbytes, 8-way assoc., 64byte lines
L1 and L2 hit latency	Min. 2 and 8 cycles round trip, respect.
L2 miss latency	Min. 30 cycles round trip to other L2s and 250 cycles round trip to main memory
Watch/Unwatch instr.	Min. 500 cycles (includes main mem. access)
AWT size	100 entries; each entry is 62 bits

Table 5.4: Default architectural parameters.

For the evaluation, we use the following applications. To characterize the IF statements in programs and to quantify the performance overhead of our algorithms, we use the SPLASH-2 applications. The reason is that these codes have a well-defined standard input set, which is useful for measuring performance. As a reference point, we also compare the performance overhead of *SW-IF* and *HW-IF* to that of running Valgrind-3.6.1 [37], which is a popular debugging tool. For Valgrid, we only turn-on its race detection part (Helgrind).

*SW-IF* and *HW-IF* do not find any IF-condition data races in the SPLASH-2 codes. Therefore, we also run our algorithms on Cherokee-0.9.2 [1] and Pbzip2-0.9.4 [2]. Cherokee is a web server written in C, and Pbzip is a parallel data compression application that can be compiled by Cetus after disabling a few macros. Since Cetus can only analyze C programs, we cannot run any of the other applications from Table 3.1 that are written in C++ or Java. The glibc library is written in C. However, testing glibc in a stand-alone manner is not representative. For this reason, we do not do it. We also use Cherokee and Pbzip to explore the sensitivity of the race detection capabilities of *SW-IF* to the length of delay at Confirmation points.

## 5.2.2 IF Statement Characterization

We first try to understand the structure of the IF statements. For this experiment, we use *SW-IF* with delay insertion. Table 5.5 lists the total number of IF statements in the codes and the number of checked (i.e., monitored) IF statements — both the static number seen in the source code and the dynamic number observed at runtime. We see that about a third of all the dynamic IF statements are checked on average.

Apps	# IF Statements		# Checked IF Statements	
	Static	Dynamic	Static	Dynamic
Radiosity	357	12246807	216	3225037
Water_nsquared	63	13210272	39	13134311
Water_spatial	111	8995819	48	4955930
Ocean_con	518	141680	313	16794
Ocean_non	302	141676	235	8170
Cholesky	283	834469	200	715804
FFT	60	804	55	35
LU_cont	92	13620	79	12387
LU_non	64	449	58	285
Radix	51	121	31	45
Barnes	90	1686857	62	777343
FMM	308	33421676	238	1432271
Raytrace	354	8530683	159	3128360
Average	204	6094225	133	2108213

Table 5.5: Static and dynamic number of IF statements.

Figure 5.3 characterizes the dynamic IF statements further. It breaks the number of dynamic IF statements into *Checked*, *SharedNoCheck*, and *PrivateOnly*. *Checked* are those that are instrumented with data race checks; *SharedNoCheck* are those that have a shared location access in the condition expression but are not instrumented due to compiler limitations; *PrivateOnly* are those that only have accesses to private locations in the condition expression and thus do not need checks. The figure shows that *SW-IF* checks almost all of the IF statements that have shared accesses. It misses only 3% of the cases.

Figure 5.4 shows the fraction of checked dynamic IF statements that have delays inserted in them to expose data races. The figure breaks the number of checked dynamic IF statements into those that receive delays (*Delayed*) and those that do not, to minimize overhead. The *Loop*, *Recursive*, and *Lock* categories show the cases where the IF statement did not receive a delay because it was (i) in a loop, (ii) in a recursive call but not in a loop, and (iii) in a critical section but not in any

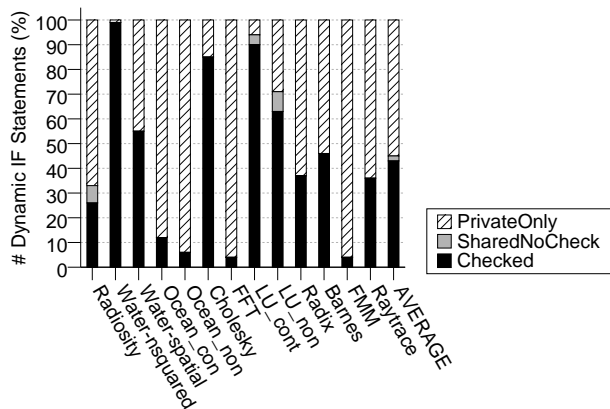


Figure 5.3: Fraction of dynamic IF statements that are checked.

of the prior categories. The figure shows that *SW-IF* inserts delays in about 20% of the dynamic checks on average. The main reason why this number is not higher is due to IF statements inside loops.

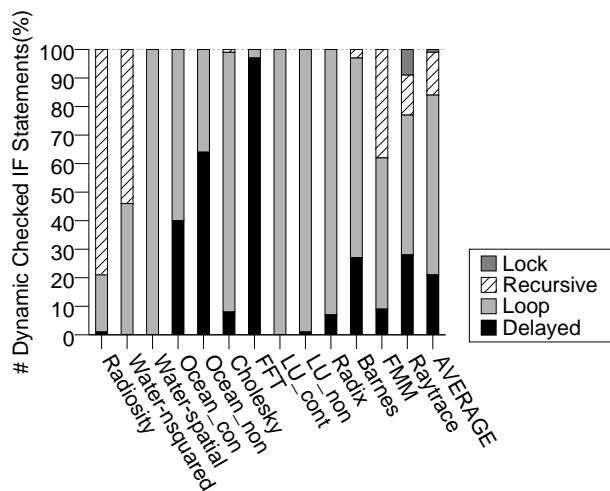


Figure 5.4: Checked dynamic IF statements that receive delays.

Further study shows that up to 45% of all the *static* checks in the source code are instrumented with delays. This indicates that our delay insertion algorithm does a good job of instrumenting many IF statements in the source code. Techniques such as sampling loop iterations for delay insertion can be used to further increase coverage while controlling the overhead.



### 5.2.3 Performance Overhead

We now evaluate the performance overhead of *SW-IF* and *HW-IF*. To evaluate *SW-IF*, we run the instrumented applications natively using 8 threads. We consider two scenarios: *SW-IF* is the binary instrumented with Confirmation points but without any delays; *SW-IFdelay* is the binary instrumented with Confirmation points and 15 microsecond delays for the appropriate Confirmation points as described in Section 3.4. This scenario is for when we want to expose new interleavings. We compare the execution time to running the original uninstrumented binary (*Original*). As a reference, we also show the execution time of the applications running on Helgrind, which is the race detection part of Valgrind. While it is clear that Helgrind is a much more general data race detector than *SW-IF*, it gives a reference data point. The results are shown in Figure 5.5 where, for each application, the bars are normalized to *Original*.

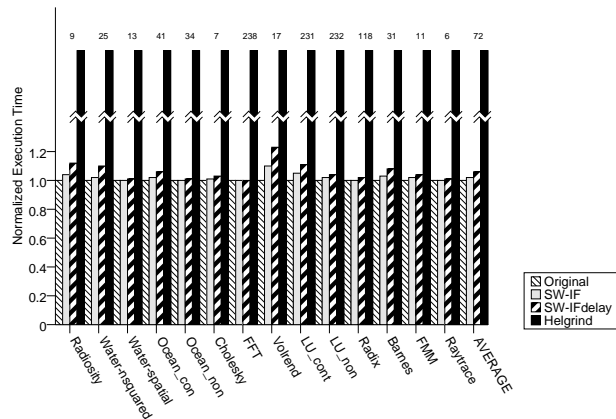


Figure 5.5: Execution time of the applications under *SW-IF*.

From the figure, we see that the average performance overhead of *SW-IF* is 2%. This is a very low overhead, which shows that *SW-IF* could even be used in an on-the-fly production environment. *SW-IFdelay* has an average performance overhead of 6%, which is small enough to keep the turnaround time for debugging and testing very low.

We also see that Helgrind has a much higher performance overhead. This is because it runs sequentially and uses a general algorithm that can find many classes of races.

To evaluate *HW-IF*, we run 4- and 8-threaded applications on the architecture simulator de-

scribed in Section 5.3.1. The results are shown in Figure 5.6. The bars show the execution time overhead of running the application on a machine with *HW-IF* hardware over one without *HW-IF* hardware.

We see that, on average, the execution overhead is less than 1% for both 4 and 8 threads. This makes *HW-IF* perfectly suitable for on-the-fly production environments.

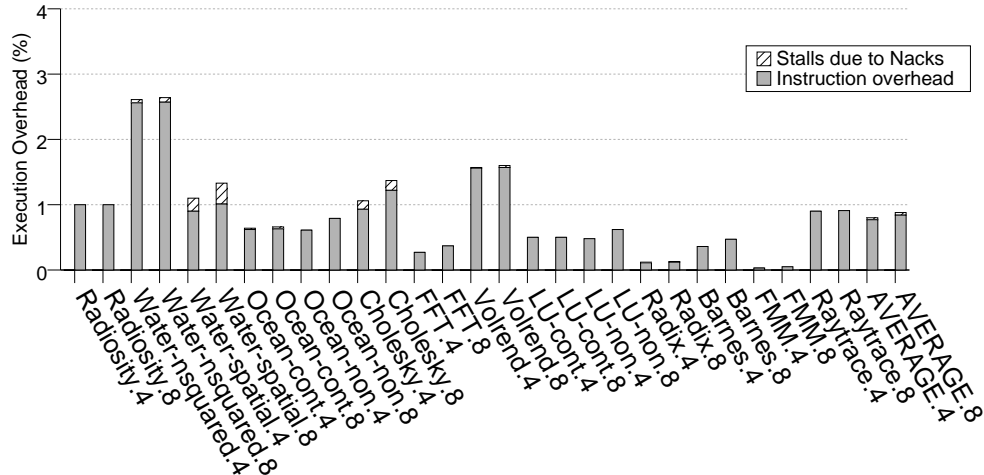


Figure 5.6: Execution time overhead of the programs under *HW-IF*. The figure shows data for 4- and 8-threaded runs.

The execution time overhead shown in Figure 5.6 is broken down into two components: *Stalls due to Nacks* and *Instruction overhead*. The *Stall* overhead is due to delays incurred by processors when they are issuing bus requests that are Nacked by the AWT. The *instruction overhead* refers to slowdowns incurred by processors when they are executing *Watch* and *Unwatch* instructions, or when they suffer additional cache misses due to them. We can see from the results that the stalls due to Nacks cause negligible overhead; the overhead primarily comes from the *Watch* and *Unwatch* instructions.

There is no standard input set for Cherokee and Pbzzip2. However, if we use the same input set as in Yu *et al.* [73], the measured execution time overhead for Cherokee and Pbzzip2 is 3.2% and 1.4%, respectively, for *SW-IFdelay*; 1.1% and 0.6%, respectively, for *SW-IF*; and 0.8% and 0.4%, respectively, for *HW-IF*. These are small overheads.

## 5.2.4 Detecting *New IF-condition Data Race Bugs*

*SW-IF* and *HW-IF* do not find any IF-condition data races in the SPLASH-2 codes. However, they find several in Cherokee and Pbzip, and some of them are *new, unreported bugs*.

Table 5.6 shows the IF-condition data races that our algorithms found in the two applications. The table assigns an ID to each bug, shows whether it is a *new bug*, lists the source code locations of the race, and shows whether *SW-IF* or *HW-IF* can find it.

Bug ID	New Bug?	Locations of the Race	Found?	
			<i>SW-IF</i>	<i>HW-IF</i>
Cherokee-1	Yes	thread.c:327 – server.c:1676	No	Yes
Cherokee-2	Yes	thread.c:57 – bogotime.c:114	Yes	Yes
Cherokee-3	Yes	thread.c:1890 – server.c:1132	No	Yes
Cherokee-4	Yes	thread.c:1945 – server.c:275	Yes	Yes
Cherokee-5	No	bogotime.c:114 – bogotime.c:109	No	Yes
Cherokee-6	No	buffer.c:92 – buffer.c:187	No	Yes
Pbzip2-1	Yes	pbzip2.cpp:704 – pbzip2.cpp:966	Yes	Yes
Pbzip2-2	No	pbzip2.cpp:1044 – pbzip2.cpp:889	No	Yes

Table 5.6: IF-condition data races found. They are classified according to whether they are *new bugs* and whether *SW-IF* or *HW-IF* can find them.

As shown in the table, our algorithms find 6 IF-condition data races in Cherokee and 2 in Pbzip2, of which 5 are *new, unreported bugs*. We have reported these bugs to the software developers. *SW-IF* was able to detect 3 of them while *HW-IF* could detect and prevent all of them.

To understand the new bugs in detail, Figure 5.7 displays the source code and the buggy interleaving for each bug.

In Cherokee-1, *T2* updates shared variable *conns\_num*, which could be aliased to the variable that *T1* reads and writes. *HW-IF* can detect and protect against this bug. However, *SW-IF* cannot because *T2* makes *conns\_num* bigger and, therefore, if we test the *thread->conns\_num > 0* condition later, it will still be true.

In Cherokee-2, *T2* may change *cherokee\_bogonow\_now* before *T1* executes the return in the THEN clause of the IF statement. If so, the rest of the function that contains this IF statement may be incorrectly skipped. This bug can be detected by both *SW-IF* and *HW-IF*.

In Cherokee-3, *T2* can change *srv->wanna\_exit* after *T1* has used it in an IF control expression.

BugID	Source Code	
	T1	T2
Cherokee-1	if (thread->conns_num >0) thread->conns_num--;	conns_num += THREAD(thread) ->conns_num;
Cherokee-2	if(thd->bogo_now == cherokee_bogonow_now) return;	cherokee_bogonow_now = newtime;
Cherokee-3	if(unlikely(srv->wanna_exit)) thd->exit = true;	srv->wanna_exit= true;
Cherokee-4	if ((thd->exit==false)&& ...){ step_MULTITHREAD_block (thd, ...); }	THREAD(i)->exit = true;
Pbzip2-1	if(OutputBuffer[currBlock] .bufsize<1 ll...){ usleep(50000); }	OutputBuffer[blockNum] .bufSize=outSize;

Figure 5.7: Description of the new IF-condition data races found by *SW-IF* and *HW-IF*.

Since the latter contains the function call *unlikely* with potential side-effects, *SW-IF* cannot be used. Hence, only the *HW-IF* scheme can detect this bug.

Cherokee-4 and Pbzip2-1 are similar to Cherokee-2 in that *T2* can change the value of the IF control expression while *T1* is executing the THEN clause. For Cherokee-4, the result would be an unnecessary block, whereas for Pbzip2-1, the result would be an unnecessary sleep. Both bugs can be detected with *SW-IF* and *HW-IF*.

It is important to note that while *SW-IF* missed some IF-condition data races (false negatives) due to the limitations described in Table 3.2, it did not suffer from any false positives: all races reported by *SW-IF* were actual races. On the other hand, *HW-IF* was able to detect and prevent all the IF-condition races that were reported by Helgrind. While *HW-IF* did suffer from the occasional false positive due to false sharing and read-read conflicts in the AWT (as described in Table 3.2), false positives only result in Nacks. These Nacks had negligible impact on performance as we saw in Section 5.2.3.

Finally, Helgrind is also able to find the data races in Table 5.6. However, when we ran Helgrind, we obtained messages for hundreds of data races — many of which have low importance. It took us several days to analyze the log.

### 5.2.5 Sensitivity of SW-IF to Delays

Previous work [15] showed that adding delays at strategic points is beneficial when trying to expose data races. Hence, we do the same experiments as in the previous section with *SW-IF* but insert delays at Confirmation points of the Delay&Monitor set. We add 15us of sleep time at every instance. Our goal is to extend the time that *SW-IF* is able to detect the races.

We find that *SW-IFdelay* finds exactly the same number of data races as the baseline *SW-IF*, namely those of Table 5.6. It seems, therefore, that delays are not important for exposing IF-condition data races for the particular applications we use.

## 5.3 Touchstone

In this section, we evaluate Touchstone.

### 5.3.1 Evaluation Setup

To evaluate Touchstone, we instrument parallel application binaries with Intel’s PIN framework [28] connected to a cycle-by-cycle execution-driven architecture simulator based on SESC [51]. The simulator models a chip multiprocessor (CMP) with 8 processors and a memory subsystem. The default architectural parameters are shown in Table 5.7. The processors are two-issue, in-order, and overlap memory accesses with instruction execution. Each processor has a private cache hierarchy kept coherent by a basic MESI coherence protocol on an on-chip bus. The Security Cache is near the L1 which can be accessed in parallel with the L1.

For the Security Caches, we use a pool of 7 1-Kbyte bloom filters. Each security cache entry contains 10 ranges in the Range Cache and 7 BFIDs in the Pooled Bloom Filter. We target a theoretical 1% false positive rate in the PBF, which translates to a maximum of 850 elements per bloom filter if we assume a random distribution. The latency to access the Security Cache is 2 cycles plus 1 cycle per additional bloom filter in the PBF, due to the sequential nature of lookups.

The RC can be accessed in parallel with the PBF and hence does not add to the latency. As described previously, each PBF initially starts with a single bloom filter and is expanded if needed as more addresses are added.

For the Security Memory, we use a pool of 17 1-Kbyte bloom filters. We reserve more bloom filters in the SM since it typically requires more bloom filters than individual SCs. This is because displaced lines from all SCs in the system need to be stored in the SM. Accordingly, each PBF in the SM has 17 BFIDs. Also, a 500 cycle access latency is added due to the round trip to the memory module where the SM resides in. Otherwise, all other parameters are identical to that for the Security Cache.

Architecture	CMP with 8 processors
Coherence protocol	Snoopy-based MESI on a 64byte bus
Processor type	2-issue, in-order, 1GHz
Private L1 cache	32Kbytes, 4-way asso., 64byte lines
L1 Cache hit latency	2 cycles round trip
Private Security Cache	
Bloom Filter Pool size	7 1-Kbyte bloom filters
Access latency	2 cycles + 1 cycle per additional bloom filter
Private L2 cache	512Kbytes, 8-way assoc., 64byte lines
L2 hit latency	8 cycles round trip
Remote L2 hit latency	30 cycles round trip
Main Memory latency	500 cycles round trip
Security Memory Size	
Security Memory Size	
Bloom Filter Pool size	17 1-Kbyte bloom filters
Access latency	500 cycles + 1 cycle per additional bloom filter

Table 5.7: Default architectural parameters.

We evaluate three usages for Touchstone, taint analysis based on data flow (DF), taint analysis based on data flow and control flow (DFCF) and asymmetric data race detection (AR). For taint analysis, we implemented a system similar to DYTAN [10] optimized using Touchstone. We ran all three program analyses simultaneously for all experiments to test the versatility of Touchstone.

We used the entire suite of SPLASH-2 applications with reference inputs to evaluate the three usage models. For DF and DFCF, we considered all input data to be tainted.

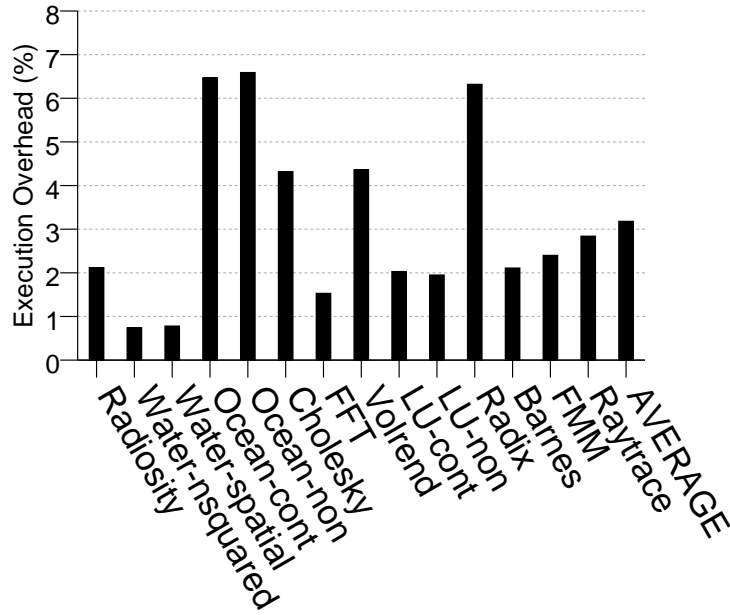


Figure 5.8: Overhead of Touchstone

### 5.3.2 Experimental Results

Figure 5.8 shows the performance overhead of Touchstone when running DF, DFCF and AR at the same time. The baseline is running these analyses with an ideal scheme which performs checks on memory locations with zero overhead. With 8 processors, the maximum overhead in SPLASH-2 is 7.3%, while the average is only 3.6%. The overhead mainly came from software accesses to the Unmarked Table. All accesses that hit in the Security Cache needs to be checked against the Unmarked Table.

We further analyze the overhead in Table 5.8 and Figure 5.9.

Table 5.8 shows the increase in the number of accesses to the L1 and L2 caches. The additional accesses mostly comes from accesses to the Unmarked Table. In the worst case, the number of L1 accesses increases by 8.57%. However, these additional clean table accesses have good locality, and most of them hit the L1 cache. Hence, the increase in L2 accesses is typically lower than the increase in L1 accesses.

Figure 5.9 shows the increase in network traffic on the on-chip bus. Again, most of this traffic came from accesses to the Unmarked Table. Another potential source of traffic is the coherence

actions taken when marking addresses in the PBF. But it turns out that, for taint analysis, almost all locations are written immediately before being marked so the lines cache lines are already dirty by the time Touchstone tries to mark them. Hence this effect was minimal. We measured the traffic in terms of the total number of transactions on the bus. The maximum increase in traffic was 7.6% with the average increase being 4%.

	L1 access increase (%)	L2 access increase (%)
fft	2.25	0.25
barnes	3.10	1.21
cholesk	6.35	4.37
fmm	3.53	1.56
lucontiguous	2.98	0.97
lunon_cont	2.87	0.84
oceancontiguous	7.76	3.45
oceannon_cont	8.57	3.53
radiosity	2.75	0.83
radix	7.58	2.2
raytrace	4.17	2.15
volend	6.41	4.43
water-nsquared	1.08	0.93
water-spatial	1.16	0.87

Table 5.8: Cache access increase percentage.

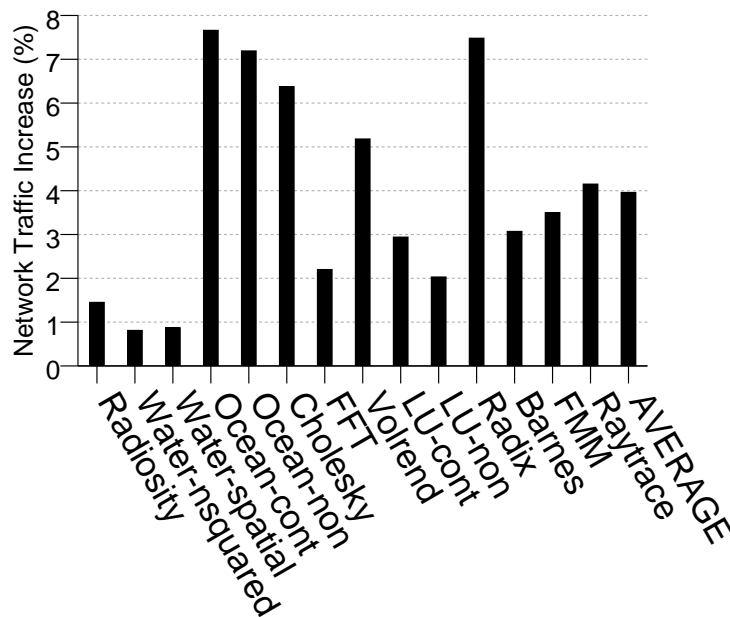


Figure 5.9: Network traffic increase due to Touchstone



### 5.3.3 False Positives

Touchstone doesn't allow false negative. However, false positives can still occur due to encoding in the bloom filter and cache line false sharing. filter, it will cause false positive. The false positive rate is shown in Figure 5.10.

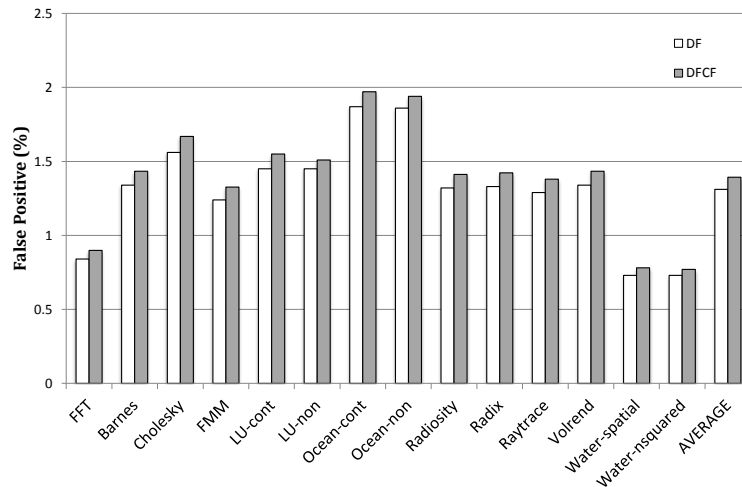


Figure 5.10: False positive of Touchstone

Even though we target a theoretical maximal false positive rate of 1%, sometimes we get a rate that is larger than 1% due to the effects of false sharing. If we did not have false sharing, the false positive rate would always be lower than 1%. In any case, on average we achieve a low false positive rate of 1.3% for DF and 1.4% for DFCF. The false positive number includes accesses to tainted locations that are propagated from a location that has been tainted due to a false positive. The false positive rate for AS was negligible since the number of addresses that needed to be marked in a critical section was very small.

### 5.3.4 Size of Security Cache and Security Memory

Touchstone is the first hardware scheme which uses a growable bloom filter. As more elements are inserted to the Scalable Bloom Filter, more space is allocated to maintain the 1% false positive rate.

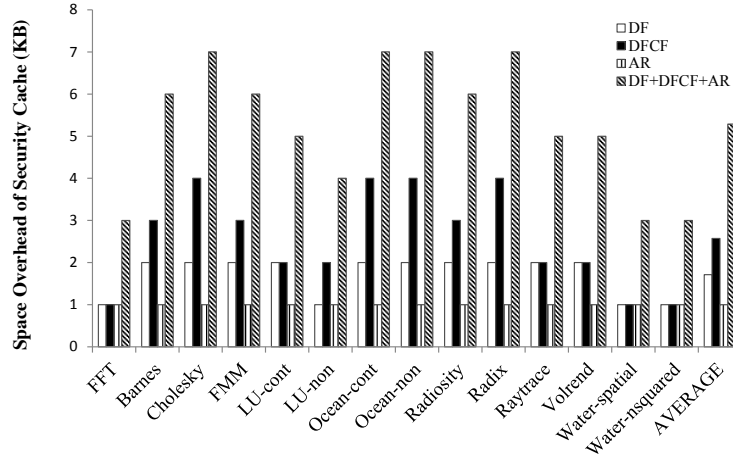


Figure 5.11: Space requirement for Security Cache

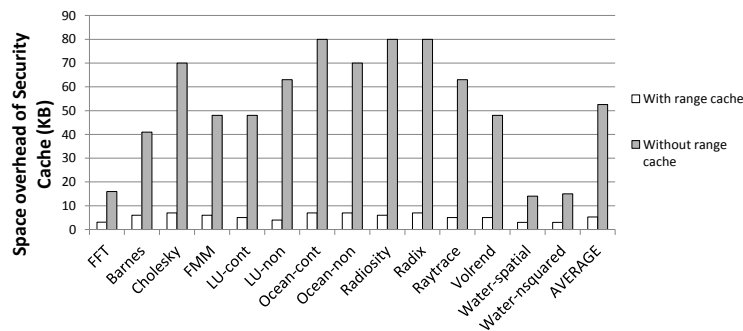


Figure 5.12: Space requirement for Security Cache

Figure 5.11 shows the space requirements for Security Cache to achieve the target 1% false positive rate when DF, DFCF and AR are run separately and when they are run together simultaneously (DF+DFCF+AR). This shows how many bloom filters in the pool were allocated for each scenario, in terms of kilobytes. Since critical sections typically involve a handful of addresses, the space requirement for AR is very small and 1 KB PBFs are always sufficient. The space requirements of DF and DFCF are more significant but the maximum requirement reaches only 4 KB in the worst case and 2.5 KB in the average case.

Due to the flexibility of the pooled structure of the PBFs, Touchstone is able to handle the most stressful case (DF+DFCF+AR) with just 7 bloom filters (or 7 KBs) in the pool. In contrast, had we used regular bloom filters, we would have needed  $4 \text{ KB} * 3 = 12 \text{ KB}$  of space to achieve a similar false positive rate (since the maximum space needed per analysis was 4 KB). The savings

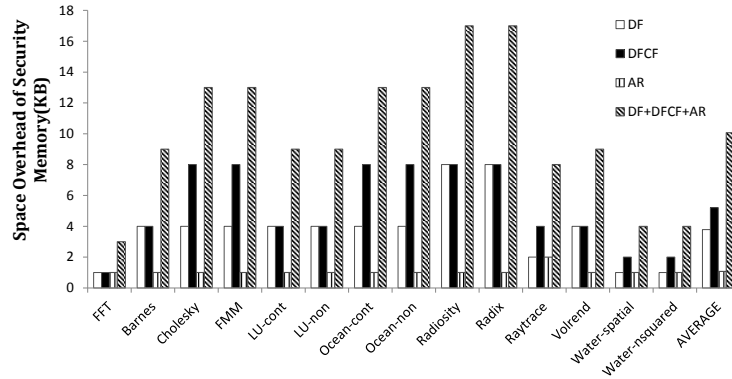


Figure 5.13: Space requirement for Security Memory

in space can increase dramatically as more analyses with differing space requirements are added to the scenario.

Also, note that in the cases where not all 7 bloom filters are used in the pool, the unused bloom filters can be powered down to save energy. This is another dimension in flexibility that is not achievable using regular bloom filters. Even when a bloom filter is populated very sparsely, there is no way to partially power down a single bloom filter. Although we do not evaluate the power requirements directly, one can see that the number of bits that need to be turned on has high variance across applications and scenarios. On average, only 5.3 KB would need to be powered on out of the 7 KB.

Figure 5.13 shows the space requirements for Security Memory using identical scenarios. Using the same reasoning as in the Security Cache, we would have needed  $8 \text{ KB} * 3 = 24 \text{ KB}$  of space using regular bloom filters. Using PBFs, Touchstone was able to achieve a similar false positive rate using just 17 KB of space. Also, on average, only 10.1 KB would need to be powered on out of the 17 KB, resulting in significant energy savings.

Lastly, we evaluate the impact of Range Caches by calculating the space requirements for Security Cache with and without Range Caches in Figure 5.12. We use the most stressful DF+DFCF+AR scenario for the evaluation. Using only PBFs, Security Cache would require much more space. The pool would have to be provisioned for a maximum usage of 80 KB, and on average 53 KB of it would have to be turned on. The main reason is that, in SPLASH-2, the input data we mark as tainted

usually comes in large contiguous data structures such arrays and matrices. These memory locations are conducive to marking using ranges which is much more space efficient compared to PBFs in these cases. This shows that Range Caches are a necessary part of our design.

# Chapter 6

## Conclusion

Parallel programming is hard. Lots of dynamic analyses are designed to ensure programming correctness. This thesis proposes to add some extra hardware that can reduce the false positive and the performance overhead of the dynamic software analyses. First, it proposes to detect asymmetric data races, which is a type of harmful data race. Then it goes on to detect another type of harmful data race - IF-Condition data race. Finally, it proposes a novel hardware framework which can reduce the performance overhead for a set of dynamic analyses.

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