

1 The piercing of the Atlantic Layer by an Arctic shelf
2 water cascade in an idealised study inspired by the
3 Storfjorden overflow in Svalbard

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11 **Abstract**

12 A plume of dense brine-enriched water, resulting from sea ice produc-
13 tion in the Storfjorden polynya (Svalbard), cascades into Fram Strait and
14 encounters a layer of warm, saline Atlantic Water. In some years the plume
15 continues to sink into the deep Fram Strait while in other years it remains at
16 Atlantic Layer depths. It has been unclear what parameters control whether
17 the plume pierces the Atlantic Layer or not.

18 We use a high-resolution 3-D numerical ocean model (NEMO-SHELF) to
19 simulate an idealised scenario where a cascade descends a conical slope into
20 an ambient 3-layer stratification. The model uses 1 km horizontal resolution
21 and a blend of s - and z coordinates with 42 layers in the vertical arranged
22 to resolve the plume at the bottom. We vary the salinity ‘ S ’ and the flow
23 rate ‘ Q ’ of the simulated Storfjorden overflow to investigate both strong
24 and weak cascading conditions. In agreement with observations the model
25 reproduces three regimes: (i) the plume is arrested within or just below the
26 Atlantic Layer, (ii) the plume pierces the Atlantic Layer and continues to
27 the bottom of the slope and an intermediate regime (iii) where a portion of
28 the plume detaches from the bottom, intrudes into the Atlantic Layer while
29 the remainder continues its downslope propagation. For our idealised case
30 the cascading regime can be predicted from the initial values of S and Q .
31 In those model experiments where the initial density of the overflow water
32 is considerably greater than of the deepest ambient water mass we find that

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33 a cascade with high initial S does not necessarily reach the bottom if Q is
34 low. Conversely, cascades with an initial density just slightly higher than the
35 deepest ambient layer may flow to the bottom if the flow rate Q is high. A
36 functional relationship between S/Q and the final depth level of plume waters
37 is explained by the flux of potential energy (arising from the introduction of
38 dense water at shallow depth) which, in our idealised setting, represents the
39 only energy source for downslope descent and mixing.

40 *Keywords:* Arctic Ocean, Dense water cascading, Stratified flows

41 1. Introduction

42 Winter cooling and sea ice formation forms large amounts of brine-enriched
43 shelf water over the vast shelves in the Arctic Ocean. Plumes of dense shelf
44 water eventually spill over the continental shelf edge and flow down the slopes
45 as dense water cascades (see e.g. Ivanov et al., 2004, for an overview of known
46 cascading locations in the Arctic and other oceans). During their descent the
47 cascading plumes entrain the ambient water, lose their initial density gradient
48 and eventually disperse laterally into the ambient stratification (e.g. Aagaard
49 et al., 1985; Jungclaus et al., 1995; Shapiro et al., 2003).

50 Dense water formation is particularly intense in coastal polynyas, which
51 are estimated to produce a total of 0.7-1.2 Sv ($1 \text{ Sv} \equiv 10^6 \text{ m}^3 \text{ s}^{-1}$) of dense
52 water over the entire Arctic ocean (Cavaliere and Martin, 1994), making this
53 process of deep water formation comparable to open ocean convection in the
54 Greenland Sea (Smethie et al., 1986). The dense waters formed on the shelves
55 thus significantly influence the heat and salt balance of the entire Arctic
56 Ocean (Aagaard et al., 1985). Cascading also contributes to the maintenance
57 of the cold halocline layer (Aagaard et al., 1981) and the replenishment of
58 intermediate and deep Arctic waters (Rudels and Quadfasel, 1991; Rudels
59 et al., 1994).

60 A well-known site of dense water formation and subsequent cascading is
61 the Storfjorden, located between $76^\circ 30''$ – $78^\circ 30''$ N and 17° – 22° W in the
62 south of the Svalbard archipelago (Fig. 1). Each winter, intense sea ice pro-
63 duction and brine-rejection in a recurring latent-heat polynya in Storfjorden
64 forms significant amounts of dense water (Schauer, 1995; Haarpaintner et al.,
65 2001; Skogseth et al., 2005b) which eventually spill over the sill located at
66 approx. 77° N and 19° E at a depth of 115 m (Skogseth et al., 2005a; Geyer
67 et al., 2009). Near the sill the overflow plume encounters the relatively fresh

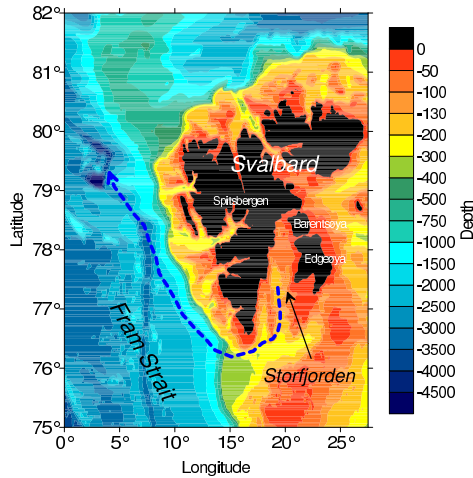


Figure 1: Map of the Storffjorden in the Svalbard archipelago. The pathway of the overflow plume (blue arrow) is approximated from observations (Quadfasel et al., 1988) and modelling (Fer and Ådlandsvik, 2008; Akimova et al., 2011). Bathymetry from IBCAO 2.23 (Jakobsson et al., 2008).

68 and cold East Spitsbergen Water (ESW) which mainly reduces its salinity
 69 (Fer et al., 2003). The flow is then channelled through the Storffjordrenna on
 70 a westwards path, before it bends northwards to follow the continental slope
 71 of western Spitsbergen (Quadfasel et al., 1988; Fer and Ådlandsvik, 2008;
 72 Akimova et al., 2011, see Fig. 1).

73 The lighter fractions of the overflow water remain within the depth range
 74 of the Atlantic Water (approx. 200-500 m) and contribute to the northward
 75 freshening and cooling of the West-Spitsbergen Current (Schauer, 1995; Sa-
 76 lora and Haugan, 2004), while the densest fractions pass through the
 77 Atlantic Layer where they gain heat but **lose** only little salt as the salinity of
 78 the Atlantic Water is close to that of the plume at this stage (35.0 compared
 79 to 35.1, see Quadfasel et al., 1988).

80 Shelf water of Storffjorden origin has been observed in the deep Fram
 81 Strait (at >2000 m) on several occasions, in 1986 (Quadfasel et al., 1988),
 82 1988 (Akimova et al., 2011) and 2002 (Schauer et al., 2003). In observations
 83 at other times the cascade was arrested within the depth range of the Atlantic
 84 Layer, e.g. in 1994 (Schauer and Fahrback, 1999) when it was observed no
 85 deeper than 700 m.

86 The observations thus reveal two regimes - (i) the plume pierces the At-
 87 lantic Layer and penetrates into the deep Fram Strait or (ii) the plume is

88 arrested within the layer of Atlantic Water. The eventual depth of the cas-
89 caded waters has a proven effect on the maintenance of the Arctic halocline
90 (when the plume is arrested) and (when piercing occurs) the ventilation of
91 the deep Arctic basins (Rudels et al., 2005).

92 It has been unclear what parameters control the regime of the plume.
93 Can we predict when the cascade will be arrested and when it will pierce the
94 Atlantic Water from the knowledge of the ambient conditions and the source
95 water parameters alone? How does the cascading regime respond to changes
96 in the flow rate and/or the salinity of the overflow waters? Here we present
97 a modelling study to answer these questions.

98 **2. Methods**

99 *2.1. Model geometry and water masses*

100 We model an idealised ocean basin which has at its centre a conical slope
101 with an angle of 1.8° which captures the bathymetry of Svalbard’s western
102 continental slope. The depth ranges from 115 m at the flattened tip of the
103 cone to 1500 m at its foot. The conical geometry acts like a near-infinite slope
104 wrapped around a central axis (Fig. 2). An advantage of a conical slope is
105 that rotating flows can be studied for long periods of time without the plume
106 reaching any lateral boundary, thus avoiding possible complications with
107 boundary conditions in a numerical model. The maximum model depth of
108 1500 m is shallower than Fram Strait, but deep enough to observe whether
109 the modelled plume has descended past the depth range of the Atlantic Layer.

110 The ambient conditions in the model ocean are based on the three main
111 water masses that the descending plume encounters successively (cf. Fer and
112 Ådlandsvik, 2008). The surface layer of East Spitsbergen Water (ESW) is
113 typical of winter conditions, the middle layer of Atlantic Water (AW) is typi-
114 cal of early spring and the deep layer of Norwegian Sea Deep Water (NSDW)
115 is based on late spring climatology (World Ocean Atlas 2001, Conkright
116 et al., 2002). Ambient waters (Fig. 2) are stagnant at the start of each run
117 and no momentum forcing is applied.

118 A fourth water mass, which we call here Storfjorden overflow water (SFOW),
119 is introduced as a continuous flow at the shallowest part of the slope in 115 m
120 (Fig. 2), which is the sill depth of the Storfjorden. As SFOW is the result of
121 sea ice formation and brine rejection its temperature is always set to approxi-
122 mate freezing point, $T = -1.95^\circ\text{C}$. The injected flow is further characterised
123 by a prescribed salinity S and flow rate Q which vary between model runs,

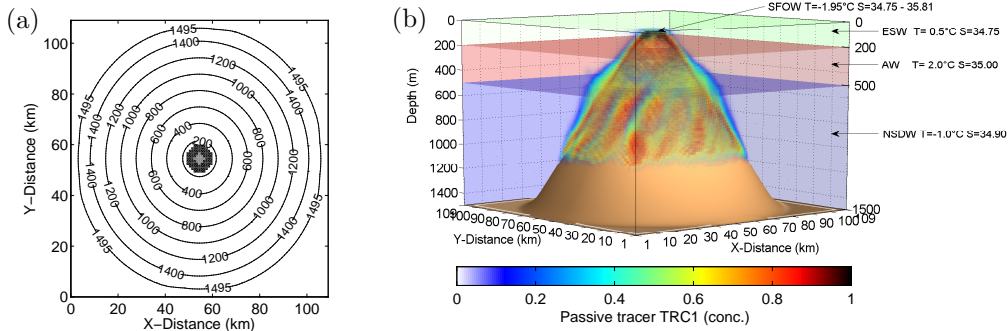


Figure 2: (a) Depth contours of the model bathymetry with a conical slope at its centre. The grid cells of the dense water inflow (solid black) are arranged around a central 'island' (grey). (b) 3-D schematic of the model domain with the ambient water masses in their initial state: East Spitsbergen Water (ESW), Atlantic Water (AW), Norwegian Sea Deep Water (NSDW) and Storfjorden Overflow Water (SFOW). The plume of SFOW during one of the numerical experiments is shown as a volume rendering of passive tracer concentration (colour scheme below plot).

124 which aim to represent previously observed conditions. Using observations
 125 of the densest waters found within the fjord during 1981 to 2002 (Skogseth
 126 et al., 2005b) we vary the inflow salinity S from 34.75 to 35.81. The flow
 127 rate Q is varied from 0.01 to 0.08 Sv, based on observations at the sill of
 128 a mean volume transport of 0.05 to 0.08 Sv (Schauer and Fahrbach, 1999;
 129 Skogseth et al., 2005a; Geyer et al., 2009). In the present study we do not
 130 attempt to model the dense water formation process itself. The flow rate Q
 131 and the salinity S of the simulated overflow waters are intended to capture
 132 the parameters of the SFOW behind and at the sill.

133 2.2. Model setup

134 We employ the NEMO-SHELF model (O'Dea et al., 2012) at 1 km resolu-
 135 tion with a 109×109 grid in the horizontal and 42 levels in the vertical. The
 136 baroclinic time step is 40 s with time splitting for the barotropic component
 137 every 20 steps.

138 O'Dea et al. (2012) describes in detail the modifications to NEMO (Madec,
 139 2008) for use in shelf seas and regional studies. We include here only a brief
 140 summary of the differences as well as its configuration specific to this study
 141 and our own modifications to the NEMO-SHELF code.

142 A key departure of the NEMO shelf code from the open ocean is the
 143 use of a terrain-following s -coordinate discretisation in the vertical instead
 144 of z -coordinates. The s -coordinate system is well suited to the modelling of

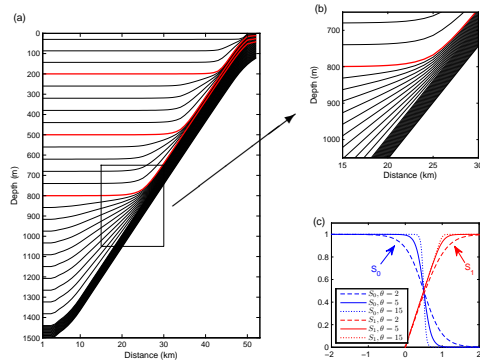


Figure 3: (a) The s_h -coordinate system shown as a cross-section through the centre of the model domain. The box is magnified in (b) which shows that out of a total of 42 levels, at least 16 are reserved for a bottom boundary layer of constant thickness. The s_h -levels (i.e. virtual seabeds, in red) are placed at certain depth levels to flatten s -levels in the interior and coincide with isopycnals in the ambient water. Panel (c) shows the smoothing functions S_0 and S_1 (Eqns. (A.2) and (A.3) respectively) with different values for the smoothing parameter θ (see Appendix A).

145 density currents (see e.g. Wobus et al., 2011), but the horizontal boundaries
 146 between ambient layers (Fig. 2) would suffer numerical diffusion over areas
 147 of sloping topography where s -levels intersect the isopycnals at an angle. We
 148 therefore modify the vertical coordinate system because neither the tradi-
 149 tional s -coordinate nor z -coordinate systems suit our scenario where strong
 150 gradients are orientated vertically (in the ambient water) and also normal
 151 to the slope (at the upper plume boundary). The approach of blending s -
 152 and z -coordinates in this study can be traced back to Enriquez et al. (2005)
 153 who used a traditional s -coordinate stretching function (Song and Haidvogel,
 154 1994) but achieved horizontal s -levels over the interior of a basin by
 155 capping its bathymetry. Ivanov (2011) changed the traditional s -coordinate
 156 formulation by introducing virtual seabeds at certain depth levels to main-
 157 tain horizontal s -levels closer to the slope. The levels designated as virtual
 158 seabeds (here called “ s_h -levels”) follow the terrain only at shallower depths,
 159 while maintaining a prescribed depth over deep bathymetry.

160 Our modified s_h -coordinate system¹ refines the Ivanov (2011) approach
 161 by smoothing the transition between horizontal and terrain-following s -levels

¹subscript ‘h’ denotes that some levels are horizontal.

162 (Fig. 3). The smoothing reduces errors in the calculation of the second
163 derivative of the s -level slope. In this study we reserve 16 out of the 42 levels
164 for a bottom layer of constant thickness (60 m). These bottom layer s -levels
165 are always terrain-following with equidistant spacing to avoid any loss in
166 vertical resolution with increasing depth (as is the case with the traditional
167 s -coordinate stretching function). The algorithm is described in detail in
168 Appendix A.

169 A second difference in NEMO-SHELF is the use of a non-linear free sur-
170 face formulation with variable volume (Levier et al., 2007) which is advanta-
171 geous for this study as it allows to account for the injection of dense water
172 using the model’s river scheme. The ‘river’ injection grid cells are arranged
173 over a 50 m-thick layer above the bottom at 115 m depth in a 3 km-wide ring
174 around a central ‘island’ of land grid cells (Fig. 2a). The island’s vertical
175 walls avoid a singularity effect at the centre of rotation and prevent inflow-
176 ing water from sloshing over the cone tip. A constant flow rate Q (in m^3s^{-1})
177 of water at a given salinity S is evenly distributed over all injection grid
178 cells. The inflowing water is marked with a passive tracer ‘PTRC’ (using the
179 MYTRC/TOP module) by continually resetting the PTRC concentration to
180 1.0 at the injection grid cells.

181 Thirdly, NEMO-SHELF includes the Generic Length Scale (GLS) turbu-
182 lence model (Umlauf and Burchard, 2003) which we use in its k - ϵ configura-
183 tion with parameters from Warner et al. (2005) and Holt and Umlauf (2008).
184 The scheme’s realistic vertical diffusivity and viscosity coefficients give con-
185 fidence to the accurate representation of the frictional Ekman layer within
186 the plume. The advection scheme in the vertical is the Piecewise Parabolic
187 Method (vPPM, by Liu and Holt, 2010). The high precision Pressure Ja-
188 cobian scheme with Cubic polynomial fits which is particularly suited to the
189 s -coordinate system is used as the horizontal pressure gradient algorithm
190 (kindly made available by H. Liu and J. Holt, NOCL).

191 For the parametrisation of the subgrid-scale horizontal diffusion of tracers
192 and momentum we use the Laplacian (harmonic) operator with constant
193 diffusivity coefficients ($A_{h_t} = A_{h_m} = 3.0 \text{ m}^2\text{s}^{-1}$ for tracers and momentum
194 respectively). Care is taken to separate the large lateral diffusion from the
195 tiny diffusion in the diapycnal direction (see Griffies, 2004, for a discussion)
196 by activating the rotated Laplacian operator scheme. For this study we
197 modify the calculation of the slope of rotation to blend the slope of isopycnal
198 surfaces with the slope of surfaces of constant geopotential depending on the
199 intensity of the background stratification. This approach, which is described

200 in detail in Appendix B, was especially devised for our ambient conditions
201 where the calculation of isopycnal surfaces within a well-mixed ambient layer
202 may lead to unphysical slope angles that cause lateral diffusion to ‘leak’ into
203 the sensitive vertical diffusion.

204 Lastly, we implement a no-slip boundary condition at the bottom (rather
205 than the quadratic drag law, which is often used as standard bottom friction
206 parametrisation in ocean models) and prescribe a fine vertical resolution
207 near the bottom (relative to the Ekman layer height) to explicitly resolve the
208 velocity profiles in the frictional bottom boundary layer. Resolving bottom
209 friction, rather than parametrising it, has been demonstrated to significantly
210 increase the accuracy of modelling gravity currents in a rotating framework
211 (Wobus et al., 2011).

212 *2.3. Model validation*

213 Prior to the model experiments described here we applied the NEMO-
214 SHELF code (Section 2.2) to the model experiments of Wobus et al. (2011)
215 and successfully validated the results against the laboratory experiments by
216 Shapiro and Zatsepin (1997). NEMO was able to match the laboratory re-
217 sults with the same degree of confidence as the POLCOMS model of Wobus
218 et al. (2011). In an injection-less control run we found spurious velocities
219 to remain well below 1 cm s^{-1} indicating the accuracy of the horizontal pres-
220 sure gradient scheme. Numerical diffusion at horizontal isopycnals was also
221 effectively controlled.

222 We would like to add a brief note on the condition of “hydrostatic incon-
223 sistency” which was brought to the attention of the ocean modelling com-
224 munity by Haney (1991) and others. Written for a constant slope angle
225 θ and bathymetric depth D they state that if $R = \left| \frac{\sigma}{D} \frac{\Delta x \tan \theta}{\delta \sigma} \right|$, the model
226 should satisfy $R \leq 1$ for the finite difference scheme to be hydrostatically
227 consistent and convergent. Mellor et al. (1994), however, showed that this
228 condition strongly depends on the exact nature of the numerical scheme, and
229 convergent results can be obtained even for values $R \gg 1$. In fact, in the
230 POLCOMS model of Wobus et al. (2011) the worst-case was $R = 101$, yet a
231 close agreement was achieved between model and laboratory experiments. In
232 the present study we get $R \leq 8$, which adds to our confidence in the results.

233 **3. Results and discussion**

234 We perform a series of 45 model runs using the NEMO model setup
 235 described in Section 2. The dense water parameters are varied while the
 236 initial conditions are identical in all runs. All runs are integrated over a
 237 duration of 90 days.

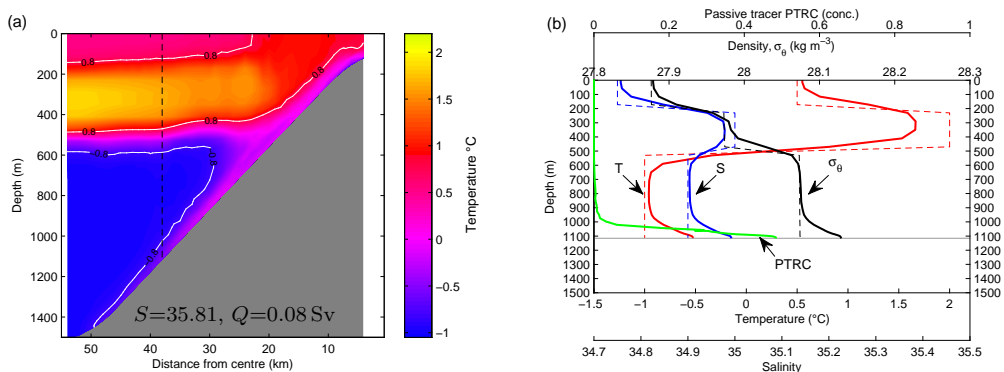


Figure 4: (a) Temperature section (after 24 days) in a model run with strong cascading. The isotherms drawn at -0.8 and 0.8 $^{\circ}\text{C}$ (white lines) are an approximate boundary between the cascade and ambient water where their slope is parallel to the bottom. The vertical dashed line marks the sampling of the vertical profiles in (b): temperature (red), salinity (blue), density (black) and PTRC concentration (green). Initial conditions are shown as dashed lines.

238 With the start of each experiment the injected dense water forms a plume
 239 of approximately circular shape which spreads downslope. At the leading
 240 edge of the plume wave-like baroclinic instabilities gradually develop into
 241 meanders and eddies reaching a width of $8 - 12$ km. At depth, where the
 242 Rossby radius of deformation is approx. $R_o = 4$ km, the size of these fea-
 243 tures thus conforms to the expected horizontal length scale of $2 \times R_o$ to $3 \times R_o$
 244 (Griffiths and Linden, 1982).

245 On its descent the plume successively encounters East Spitsbergen Water
 246 (ESW) near the sill, then Atlantic Water (AW) at intermediate depths and
 247 finally Norwegian Sea Deep Water (NSDW). Fig. 4a shows a temperature
 248 cross-section where the plume has penetrated all three ambient layers and
 249 reached the bottom of the slope. A thin warm layer above the bottom is
 250 emphasised by the -0.8 $^{\circ}\text{C}$ isotherm parallel to the slope between 700 and
 251 1400 m. This is a sign of the plume warming as it passes through warm AW

252 during its descent yet retaining a sufficient density contrast to continue to
253 greater depths. This signature of a near-bottom temperature and salinity
254 maximum was observed in Fram Strait by Quadfasel et al. (1988).

255 The cascade in Fig. 4a also drives warm water from the Atlantic Layer
256 to the surface. The upwelling effect of a cascade is not caused by continuity
257 alone (ambient water moving upwards to replace descending colder water)
258 as it would not be induced if the same amount of dense water were injected
259 in the deepest layer. Upwelling is also a result of velocity veering in the
260 bottom and interfacial Ekman layers as shown by Shapiro and Hill (1997) in
261 a $1\frac{1}{2}$ -layer model and by Kämpf (2005) in laboratory experiments.

262 The ambient waters in Fig. 4a are also modified as a result of the dense
263 water flow. The surface layer of ESW has been displaced from the inflow
264 area and the Atlantic Layer shows signs of cooling near the slope. The
265 0.8°C isotherms which may serve as both shallow and deep boundaries of the
266 Atlantic Layer have been displaced upwards indicating an upwelling of warm
267 water towards the surface. This is in contrast to the control run without any
268 dense water injection where all isotherms remain horizontal.

269 The vertical profiles at a location in just over 1100 m depth (Fig. 4b) show
270 the plume as a density maximum above the bottom. A similar gradient is
271 evident in the temperature and salinity profiles. The PTRC concentration is
272 used to determine the plume height h_F in the following section.

273 *3.1. Cascading regimes*

274 Our numerical experiments reveal three regimes of cascading: (i) “ar-
275 rested” - the plume remains within or just below the Atlantic Layer (Fig. 5a),
276 (ii) “piercing” - the plume pierces the Atlantic Layer and continues to the bot-
277 tom of the slope (Fig. 5b) and an intermediate regime (iii) “shaving” - where
278 a portion of the plume detaches off the bottom, intrudes into the Atlantic
279 Layer while the remainder continues its downslope propagation (Fig. 5c).
280 The latter regime was so named by Aagaard et al. (1985) who inferred it
281 from observations. The arrested regime was observed in 1994 (Schauer and
282 Fahrbach, 1999), while the piercing regime was observed in 1986 (Quadfasel
283 et al., 1988), in 1988 (see Akimova et al., 2011) and in 2002 (Schauer et al.,
284 2003).

285 For the ‘arrested’ and ‘piercing’ regimes we examine the thickness of the
286 plume h_F which is derived from vertical profiles of PTRC as the height above
287 the bottom where the concentration drops below 50% of the value reached
288 at the seabed. Values are averaged in space along the plume edge and up to

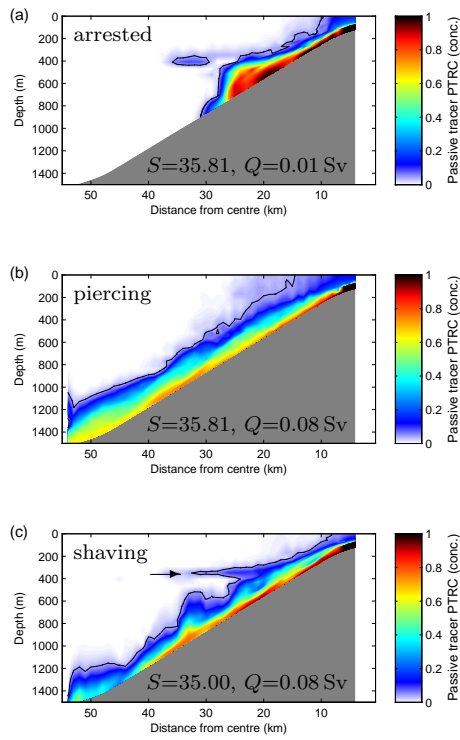


Figure 5: Cross-section of tracer concentration after 90 days from experiments with three different combinations of SFOW inflow salinity S and flow rate Q . In all cases the initial SFOW density is higher than the density of NSDW in the bottom layer. The concentration $\text{PTRC} = 0.05$ is shown as a solid contour.

289 10 km behind the plume front and in time over the 20 days before the flow
 290 reaches 1400 m depth.

291 The plume thickness in our model varies between 30 and 228 m, which is
 292 generally greater than observations in Fram Strait of a 10-100 m thick layer
 293 of Storfjorden water at depth (Quadfasel et al., 1988). The disparity appears
 294 smaller for our model than in modelling studies by Jungclaus et al. (1995)
 295 and Fer and Ådlandsvik (2008) who reported $h_F \approx 200\text{-}400$ m. However,
 296 it should be noted that the plume thickness is very sensitive to the chosen
 297 tracer threshold value, and our plume thickness could fall into the same range
 298 as Fer and Ådlandsvik (2008) if we used a different threshold. We therefore
 299 do not overemphasise the detailed comparison of the modelled plume height
 300 with actual observations of the Storfjorden plume as many aspects of our
 301 model setup are idealised and not designed to replicate observed conditions.

302 The absolute plume thickness h_F is normalised by the Ekman depth H_e
 303 defined here as $H_e = \sqrt{2\nu/f \cos \theta}$ for a given slope angle θ and the vertical
 304 viscosity ν (calculated here by the GLS turbulence closure scheme) which is
 305 averaged over the core of the plume. The vertical diffusivity κ is also shown
 306 to assess the vertical Prandtl number $Pr_v = \nu/\kappa$ which is $\approx \mathcal{O}(1)$.

307 The Entrainment ratio is calculated as $E = w_e/u_F$, where w_e is the
 308 entrainment velocity dh_F/dt (Turner, 1986) and $u_F = dL/dt$ is the downslope
 309 speed (L is the distance of the plume edge from the inflow) of the flow. E is
 310 calculated over the time taken by the flow until it has reached 1400 m depth
 311 (or until the end of the experiment if this depth isn't reached). The results
 312 for both subsets of experiments are summarised in Table 1.

Table 1: Characteristics of the plume in the ‘arrested’ and ‘piercing’ regime: plume height h_F , vertical viscosity ν , vertical diffusivity κ , Ekman depth H_e , normalised plume height $\frac{h_F}{H_e}$ and entrainment ratio E . One standard deviation is given in brackets.

	arrested (10 runs)	piercing (16 runs)	
h_F	166 (43)	44 (11)	m
ν	9.2 (2.9)	5.7 (0.4)	$\times 10^{-3} \text{ m}^2\text{s}^{-1}$
κ	9.6 (4.2)	6.3 (0.4)	$\times 10^{-3} \text{ m}^2\text{s}^{-1}$
H_e	11 (1.7)	9 (0.3)	m
$\frac{h_F}{H_e}$	14.9 (4.2)	4.8 (1.0)	
E	5.4×10^{-3} (2.6×10^{-3})	0.33×10^{-3} (0.29×10^{-3})	

313 Values for vertical viscosity ν and Ekman depth H_e are typical for oceanic
 314 scales (e.g. Cushman-Roisin and Beckers, 2011) and they are similar in both
 315 regimes. However, the plume height h_F differs considerably between both
 316 sets of experiments. A piercing plume is on average 44 m thick towards the
 317 bottom end of the flow compared to 166 m in experiments where the plume is
 318 arrested. An explanation is found in the entrainment ratio E which changes
 319 with the depth level of the plume head and thus varies through time. The
 320 value of E is larger while the plume head is at the depth level of a density
 321 interface in the ambient waters (which is a considerable portion of the total
 322 experiment time in arrested runs). Its value is smaller during the plume's
 323 descent through a homogenous layer of ambient water (as it does for the
 324 majority of the experiment time in piercing runs).

325 Based on buoyancy considerations alone one could expect that the incom-
 326 ing plume with a density greater than the density of the bottom layer (in
 327 our case for $S > 34.85$) should always penetrate into that layer. However, our
 328 results show that this is not the case because of mixing processes that result
 329 in density changes of the plume as it progresses downslope over time.

330 3.2. Rate of descent

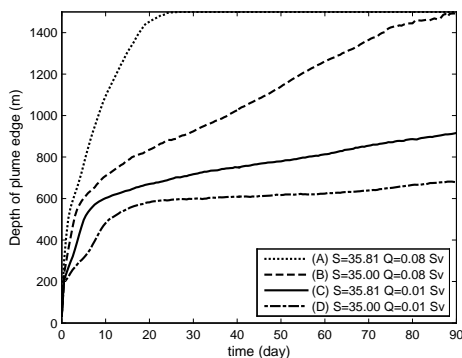


Figure 6: Downslope progression of the plume edge for four example runs with varying S and Q .

331 In this section, we examine the downslope propagation of the plume.
 332 Fig. 6 shows the depth of the plume edge over time calculated from the
 333 deepest appearance of a concentration $\text{PTRC} \geq 0.05$ in the bottom model
 334 level. The plume speed slows over time, which is due to (i) the increase in
 335 diameter of the leading edge as the plume progresses further down the cone

336 which causes a thinning of the plume that in turn increases the effect of drag
 337 on the plume and (ii) the mixing of the plume with ambient waters resulting
 338 in a gradual decrease in density contrast, especially upon encountering the
 339 transition between ambient water masses at 200 and 500 m. The plume in
 340 run D ($S=35.00$, $Q=0.01$ Sv, Fig. 6) slows noticeably at the 200 m interface
 341 (between ESW-AW), while the other runs are less affected at this depth level.
 342 In all runs the plume is slowed upon encountering the 500 m depth level of
 343 the AW-NSDW interface, but the plume in run A which has the strongest
 344 inflow ($S=35.81$, $Q=0.08$ Sv) is least affected and reaches the bottom of the
 345 slope after only 20 days. Fig. 6 demonstrates that plumes with different
 346 initial parameters spend varying lengths of time flowing through and mixing
 347 with the different layers of ambient water which affect the final fate of the
 348 plume (see sections 3.3 and 3.4).

349 At this point it's appropriate to include a note on the relationship between
 350 the downslope speed of the plume front and its alongslope speed. For each
 351 model run the downslope speed u_F is calculated for the latter part of the
 352 experiment when the descent rate is roughly constant - from 20 days (or
 353 when the plume edge has passed 800 m depth, if earlier) until the end of the
 354 model run or when the plume edge has reached 1400 m (*cf.* Fig. 6). For
 355 the same time period we also derive the reduced gravity $g' = g \frac{\Delta\rho}{\rho_0}$ based on
 356 the density gradient across the plume front. Experiments where the plume
 357 is arrested and g' is close to 0 or even negative (due to the overshoot at the
 358 front) are excluded.

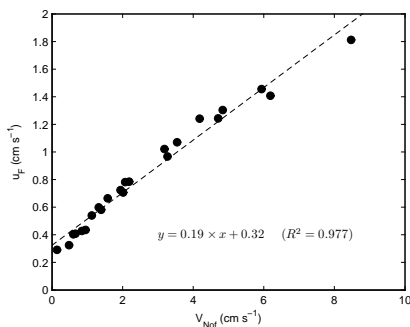


Figure 7: Correlation between the alongslope geostrophic velocity scale ($V_{Nof} = \frac{g'}{f} \tan \theta$) and the downslope velocity of the plume front (u_F). Data is plotted for runs with a positive density gradient at the plume front.

359 Fig. 7 compares the downslope velocity component u_F to the alongslope

360 component $V_{Nof} = \frac{g'}{f} \tan \theta$ (Nof, 1983), where $f = 1.415 \times 10^{-4} \text{ s}^{-1}$ is the
361 Coriolis parameter and $\theta = 1.8^\circ$ is the slope angle. An overall average ratio
362 of all downslope and alongslope velocities from all 45 runs is calculated using
363 linear regression as $\frac{u_F}{V_{Nof}} = 0.19$ ($R^2 = 0.977$) which is surprisingly close
364 to the ratio of $\frac{u_F}{V_{Nof}} = 0.2$ given by Shapiro and Hill (1997) as a simplified
365 formula for the quick estimation of cascading parameters from observations.
366 The Killworth (2001) formula for the rate of descent of a gravity current
367 can be written for our slope angle ($\theta = 1.8^\circ$) as $u_F = \frac{1}{400} \frac{V_{Nof}}{\sin \theta} = 0.08 V_{Nof}$
368 making our modelled downslope velocities approximately $2.4 \times$ greater than
369 Killworth's prediction.

370 Shapiro and Hill (1997) developed their formula for a $1\frac{1}{2}$ -layer model of
371 cascading on a plane slope and assuming a sharp separation between ambient
372 water and a plume with a normalised thickness of $\frac{h_F}{H_e} \approx 1.78$. Our ratio of
373 $\frac{u_F}{V_{Nof}} = 0.19$ was computed for those runs with a positive density gradient
374 at the plume front, which naturally puts them in the 'piercing' category.
375 The normalised plume height averaged over those runs is $\frac{h_F}{H_e} = 4.7$, which
376 indicates a more diluted plume than assumed for the Shapiro and Hill (1997)
377 model.

378 Wobus et al. (2011) studied the flow of dense water down a conical slope in
379 absence of density gradients in the ambient water. They found that prescrib-
380 ing enhanced vertical diffusion slows the downslope progression of the plume,
381 while prescribing enhanced vertical viscosity increases downslope transport
382 (given sufficient supply of dense water). The agreement with the descent
383 rate prediction of Shapiro and Hill (1997) was shown by Wobus et al. (2011)
384 not to be limited to cascades with a sharp interface and a thin plume with
385 $h_F \sim \mathcal{O}(H_e)$, but also applicable to thick and diffuse plumes as long as the
386 vertical diffusivity κ and viscosity ν are of approximately the same magni-
387 tude (i.e. a vertical Prandtl number of $Pr_v \sim \mathcal{O}(1)$). This study confirms the
388 Shapiro and Hill (1997) descent rate formula in a model using the GLS tur-
389 bulence closure scheme (rather than prescribed turbulence). The agreement
390 in Fig. 7 is explained by plumes of the 'piercing' regime of our experiments
391 meeting the aforementioned Prandtl number criterion (see Table 1).

392 3.3. *Mixing characteristics*

393 On its downslope descent the plume (SFOW) mixes with and entrains
394 three ambient water masses (ESW, AW and NSDW). Entrainment implying
395 a volume increase is based on a potentially arbitrary distinction between

396 plume water and ambient water which could result in imprecise heat and salt
 397 budgets. In the following we therefore concentrate on the mixing process
 398 where these budgets remain well defined. Fig. 8 shows θ - S diagrams that
 399 trace the water properties down the slope at the end of each experiment
 400 (after 90 days). The θ - S values are plotted for the bottom model level at
 401 increasing depths from inflow region down to 1500 m. We show the θ - S
 402 properties for two experiments series: Q is constant and S varies (Fig. 8a),
 403 and Q varies and S is constant (Fig. 8b).

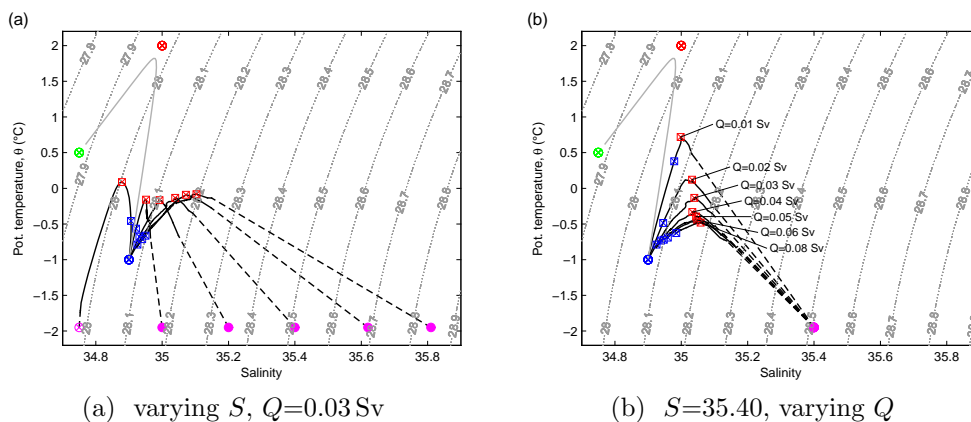


Figure 8: Downslope evolution of θ - S properties in the bottom model level on the slope. Curves are plotted for two series of model runs after 90 days: (a) varying inflow salinity S and (b) varying flow rate Q . The four different water masses in the model's initial conditions are indicated by crossed circles: green, ESW; red, AW; blue, NSDW; cyan, SFOW. Filled cyan dots indicate SFOW that is denser than any ambient waters. The temperature maximum on the slope is marked by a crossed red square, while the deepest penetration of passive tracers with concentration $PTRC > 0.05$ is marked by a blue square. The mixing within the injection grid cells is shown by the dashed black line. The faint gray curve is from a run without any injection ($Q=0$) for comparison.

404 The dashed portion of the mixing curves in Fig. 8 shows that a consider-
 405 able amount of mixing takes place within the injection grid cells. Any water
 406 introduced into the model is immediately diluted by ambient water. These
 407 processes take place over a very small region of the model and are not con-
 408 sidered any further. Instead we focus on the common feature of all curves
 409 in Fig. 8: the temperature rises to a temperature maximum (marked by red
 410 squares) due to the plume's mixing with warm Atlantic Water. A very simi-
 411 lar mixing characteristic was described by Fer and Adlandsvik (2008) for a

412 single overflow scenario ($S = 35.3$, $T = -1.9^\circ\text{C}$, $Q_{avg} = 0.07\text{ Sv}$) in a 3-D
 413 model study using ambient conditions similar to ours.

414 Amongst the series with constant $Q=0.03\text{ Sv}$ (Fig. 8a) only the weakest
 415 cascade (inflow salinity $S=34.75$) retains traces of ESW in the bottom layer
 416 after 90 days. In the experiments with more saline inflow ($S\geq 35.00$), the θ - S
 417 curve in Fig. 8a only spans three water masses - SFOW, AW and NSDW -
 418 while ESW is no longer present near the seabed. The salinity at the temper-
 419 ature maximum is nearly identical (red squares in Fig. 8a) for runs with the
 420 same flow rate Q .

421 The experiments with a constant inflow salinity S (Fig. 8b) reveal that as
 422 Q increases the temperature maximum drops. At high flow rates the plume
 423 water is warmed to a lesser degree by the warm ambient water due to a larger
 424 volume of cold water entering the system.

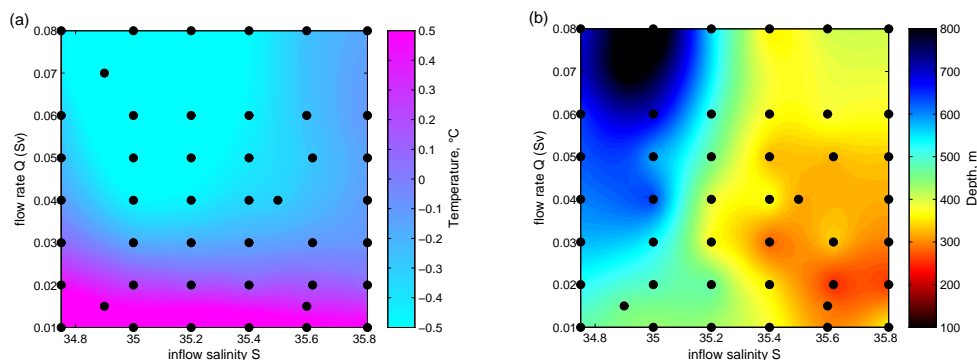


Figure 9: Characteristics of the temperature maximum in the bottom model level after 90 days is plotted against forcing parameters S and Q for all 45 experiments. (a) shows the temperature of the temperature maximum (in $^\circ\text{C}$) and (b) shows the depth (in m) at which it occurs.

425 We will now analyse the combined effect of varying both S and Q , and also
 426 consider the depth at which the temperature maximum occurs. The plume's
 427 mixing with warmer ambient waters (especially the Atlantic Water) warms
 428 the initially cold flow of dense water and also changes the depth distribution
 429 of temperature.

430 For all model runs we determine the temperature maximum and depth
 431 of the temperature maximum found in the bottom model level at the end of
 432 each experiment. The results are plotted against S and Q to investigate the
 433 full range of forcing parameters for all model runs. In Fig. 9 each experiment

434 is marked by a black dot at a modelled combination of S and Q and the
435 temperature maximum (in Fig.9a) and its depth (in Fig. 9b) are shaded as
436 coloured contours that span the S - Q space.

437 Fig. 9a shows that the magnitude of the temperature maximum (in $^{\circ}\text{C}$) is
438 primarily dependent on Q and almost independent of S , which confirms the
439 interpretation of Fig. 8 for a wider range of forcing parameters. Cascades with
440 low flow rates ($Q \leq 0.02 \text{ Sv}$) are warmed by the ambient water to 0.2°C and
441 above, while at higher flow rates ($Q \geq 0.03 \text{ Sv}$) the cold cascade lowers the
442 temperature maximum below 0°C .

443 The flow rate dependence of the maximum bottom temperature in Fig. 9a
444 can be explained by the different thermal capacity of the volume of plume
445 water as Q changes, compared to the unchanged thermal capacity of the
446 Atlantic Water. The salinity dependence of the depth of the temperature
447 maximum in Fig. 9b is related to the salinity being the main driver of density
448 at low temperatures. Plumes of lower salinity are thus less dense, causing
449 them to advance downslope at slower speeds. A slowly descending plume
450 remains in the Atlantic Layer for longer and more AW is mixed into the
451 plume. Hence more warm Atlantic water gets advected downslope, causing
452 the temperature maximum to occur at deeper depths in experiments with
453 low S .

454 The mixing between the cold cascade and the warm ambient waters does
455 not only lower the bottom-level temperature maximum, it also alters its
456 depth which initially occurs within between 200 and 500 m at the start of
457 each experiment. Fig. 9b shows that the depth of the temperature maximum
458 has been displaced upslope (shallower than 400 m, shaded yellow) or downs-
459 slope (deeper than 600 m, shaded blue) by the end of each experiment. In
460 experiments where $S \leq 35.20$ the temperature maximum occurs at depths of
461 600 to 800 m while it remains at shallower depths of 200 to 400 m in exper-
462 iments with $S > 35.20$. We conclude that the final depth of the temperature
463 maximum is thus primarily dependent on the inflow salinity S .

464 By prescribing a varying salinity at the overflow we are able to recreate (in
465 Fig. 8a) the schematic of Arctic cascading developed by Rudels and Quadfasel
466 (1991), which is reproduced here in Fig. 10. Owing to the similarity in the
467 ambient conditions and comparable parameters at the simulated overflow,
468 the shape of the θ - S curve and the magnitude of the temperature maximum
469 are in good agreement with this generalisation.

470 The results in this section expand on the Rudels and Quadfasel (1991)
471 schematic and describe the response in the mixing to variations in volume

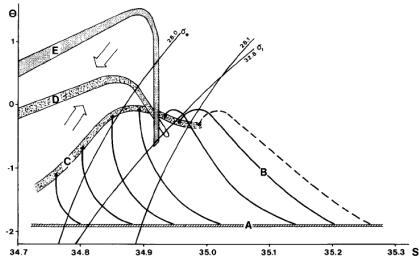


Figure 10: Schematic of the downslope evolution of θ - S properties of a dense water plume (from Rudels and Quadfasel, 1991). The mixing curves for source waters of different salinities (A) evolving (B) towards a temperature maximum due to the entrainment of Atlantic Water compare well with our Fig. 8a.

472 transport at the sill (see Fig. 8b). **The maximum bottom temperature along**
 473 **the plume path is mainly a function of the flow rate (see Fig. 9a).** The depth
 474 at which the temperature maximum occurs, on the other hand, is mainly a
 475 function of the inflow salinity.

476 To explain these results we consider the processes and factors affecting
 477 the temperature maximum on the slope: (i) downslope advection of AW by
 478 the plume, (ii) the plume's momentum arising from its density gradient, (iii)
 479 mixing of the plume with Atlantic Water, (iv) the smallness of the thermal
 480 expansion coefficient at low temperatures, and (v) the total thermal capacity
 481 of the plume water.

482 3.4. Depth penetration of the plume

483 In the following, we investigate how the salinity S and flow rate Q of
 484 the dense water inflow affect the plume's final depth level. We quantify
 485 the downslope penetration of SFOW by calculating how much passive tracer
 486 (PTRC) is resident within a given depth range by the end of the model run.
 487 **The concentration of tracer is integrated over a given volume to give the mass**
 488 **of PTRC, M_{PTRC} .** The penetration of the cascade into a given depth range
 489 is calculated as a percentage of M_{PTRC} within the given range compared to
 490 the total M_{PTRC} over the entire domain. A model run and its dense water
 491 supply can then be characterised according to the depth range containing
 492 more than 50% of PTRC that has been injected over 90 days.

493 In Fig. 11 we plot the results against S and Q for each of the 45 model
 494 runs. The final tracer percentage present within the given depth range is
 495 shaded in a contour plot where the S - Q combination of each experiment is
 496 marked by a black dot.

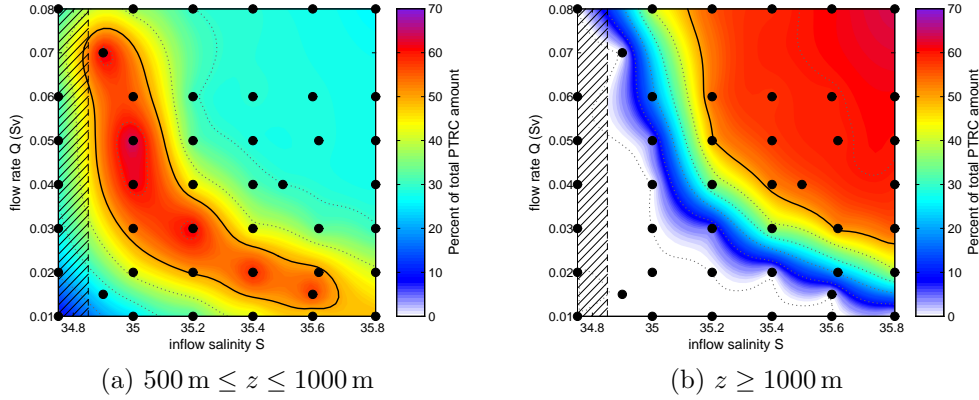


Figure 11: Presence of passive tracer (PTRC) (a) between 500 to 1000m and (b) below 1000m. Within the given depth range the percentage of tracer out of the total amount injected over 90 days is plotted against S and Q of all 45 model runs (black dots). The 50% contour is emphasised. The salinity range outside of the hatched area results in an initial plume density greater than the deepest ambient layer.

497 In those model runs where the majority of PTRC is present between
 498 500 and 1000 m at the end of the experiment the plume has intruded into
 499 the Atlantic Layer and into the AW-NSDW interface, but not retained a
 500 strong enough density contrast to flow deeper. The combinations of S and
 501 Q producing this result are emphasised in Fig. 11a as the dots within the
 502 red shading indicating a tracer penetration greater than 50%. In the S - Q
 503 parameter space these runs are arranged in a curved band from low- S /high- Q
 504 via medium- S /medium- Q towards high- S /low- Q . In runs with lower S /lower
 505 Q (towards the lower left corner of the graph) the majority of the plume
 506 waters is trapped at shallower depths. In experiments with higher S /higher
 507 Q (towards the upper right corner of the graph) the plume reaches deeper as
 508 shown in Fig. 11b which is plotted for the presence of PTRC below 1000 m.

509 Fig. 11 provides a useful tool in classifying the prevailing regime in each
 510 experiment as ‘arrested’ (10 runs, Fig. 11a) or ‘piercing’ (16 runs, Fig. 11b)
 511 regarding the plume’s capacity to intrude into the Atlantic Layer or pass
 512 through it respectively. In the remaining experiments the plume either re-
 513 mains largely above the Atlantic Layer or the piercing ability is not clearly
 514 defined (which includes the ‘shaving’ regime).

515 The combinations of S/Q resulting in each of the regimes in Fig. 11 show
 516 that the initial density of the plume is not the only controlling parameter for

517 the final depth of the cascade. At low flow rates, a plume which is initially
 518 denser than any of the ambient waters might not reach the bottom, while
 519 at high flow rates a lower initial density is sufficient for the plume to reach
 520 that depth. In the following section we explain the physics behind this result
 521 by considering the availability and sources of energy that drive the plume's
 522 descent.

523 3.5. Energy considerations

524 The final depth level of the plume depends on kinetic energy available for
 525 the downslope descent and the plume's mixing with ambient waters which
 526 dissipates energy. Even a closed system without any external forcing could
 527 contain available potential energy (APE, see Winters et al., 1995), but the
 528 APE in our model's initial conditions is negligible (as calculated using the
 529 algorithm described in Ilıcak et al., 2012) and remains constant during an
 530 injection-less control run. The only energy supply in our model setup (a
 531 closed system except for the dense water injection) thus derives from the
 532 potential energy of the injected dense water, which is released on top of
 533 lighter water. Any kinetic energy used for descent and mixing must thus
 534 have been converted from this initial supply of potential energy.

535 From the model output we derive the average potential energy (in J m^{-3})
 536 by integrating over the entire model domain:

$$PE = \frac{1}{V_{tot}} g \int_V \rho z dV \quad (1)$$

537 where g is the acceleration due to gravity (9.81 m s^{-2}), V is the grid cell
 538 volume and $V_{tot} = \int dV$ is the total volume of the model domain.

539 The system's increase in potential energy over time is plotted in Fig. 12
 540 for runs A, B and C (see Fig. 6). In all runs PE is shown to be increasing
 541 as dense water is continually injected. One of the runs (run A, high S /high
 542 Q) was shown in Fig. 11b to fall into the piercing regime, while run B (low
 543 S /high Q) corresponds to the shaving regime and the plume in run C (high
 544 S /low Q) is arrested. The piercing run achieves a notably higher total PE
 545 at the end of the experiment than in the other cases. We now consider only
 546 the final value of potential energy increase after 90 days (ΔPE) from the
 547 values derived at the start and end of each experiment:

$$\Delta PE = PE_{end} - PE_{start} \quad (2)$$

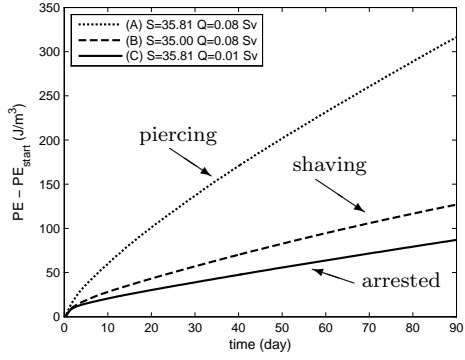


Figure 12: Increase over time in potential energy (PE) relative to the PE_{start} at the beginning of the experiment for three example runs varying S and Q . The labels point out the cascading regime (see Fig. 5).

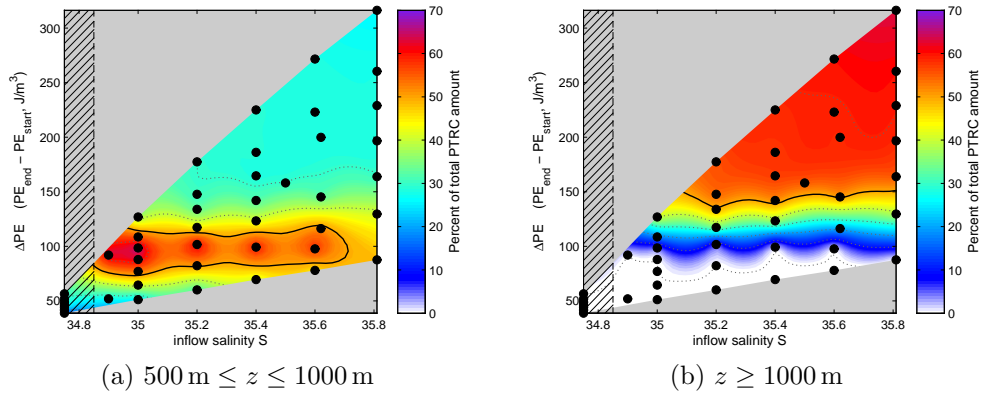


Figure 13: Similar to Fig. 11, but the percentage of tracer at a given depth range is plotted against S and ΔPE . Areas of untested S - ΔPE combinations are blanked.

548 In Fig. 13 we plot the final percentage of tracer mass found at the depth
549 ranges 500-1000m and 1000-1500m against S and ΔPE . In contrast to
550 Fig. 11 the contours of equal tracer percentage per depth range are now hor-
551 izontal. This reveals that the cascading regime is a function of the potential
552 energy gain ΔPE and independent of the inflow salinity and confirms that
553 the initial density is not the only (or even the most significant) controlling
554 parameter affecting the fate of the plume.

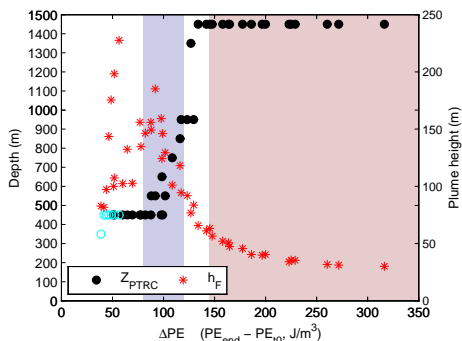


Figure 14: The depth level Z_{PTRC} at which the maximum amount of PTRC is found at the end of each run plotted against the gain in potential energy ΔPE (black bullets). Experiments with $S=34.75$ where the initial density is insufficient to penetrate the bottom layer are marked in cyan. Red stars show the average plume height h_F (in m) measured from tracer profiles. The approximate ΔPE ranges corresponding with arrested runs (light blue, cf. Fig. 13a) and piercing runs (light red, cf. Fig. 13b) are shaded.

555 The analysis is extended to more depth ranges and we compute M_{PTRC}
556 in 100 m bins. The depth of the bin with the highest tracer mass gives
557 Z_{PTRC} which is plotted against ΔPE in Fig. 14. The correlation between
558 ΔPE and Z_{PTRC} (black bullets) shows very little scatter and indicates a
559 functional relationship between the potential energy gain and the depth of
560 penetration. With increasing potential energy in the system the plume is
561 capable of first breaching the 200 m then the 500 m density interface in the
562 ambient water. The abrupt transition from arrested ($Z_{\text{PTRC}} \approx 500$ m) to
563 piercing ($Z_{\text{PTRC}} \approx 1500$ m) can be explained by the lack of stratification in
564 the bottom layer. In most experiments where the plume breaches the AW-
565 NSDW interface it also continues to the bottom of the slope after flowing
566 through a homogenous layer of NSDW.

567 Using the buoyancy flux of a density current, a concept similar to the flux
568 of potential energy, Wells and Nadarajah (2009) reported a functional depen-

569 dence between the intrusion depth Z of a density current and the geostrophic
570 buoyancy flux $B_{geo} = g'V_{Nof}h$ (where h is the initial height of the flow from
571 a line source), the entrainment ratio E and the ambient buoyancy frequency
572 N as $Z \sim E^{-\frac{1}{3}} B_{geo}^{\frac{1}{3}}/N$. However, their results are not readily applicable to
573 our model which has non-linear ambient stratification with sharp density in-
574 terfaces causing N to vary during the plume's descent. Neither is E constant
575 during our experiments. In Fig. 14 we also plot the plume height h_F (red
576 stars) against the potential energy gain ΔPE . It shows high h_F in runs with
577 low ΔPE (those runs where the plume is arrested in the Atlantic Layer), and
578 a low h_F in high- ΔPE runs when the plume spends little time transiting the
579 AW and flows straight through to the NSDW layer.

580 The slow but steady rise in PE in Fig. 12 may suggest that any addition,
581 however slow, of dense water (and thus potential energy) could eventually
582 lead to the piercing regime if the initial SFOW density is greater than the
583 density of the bottom layer (which is the case in our setup for $S > 34.85$).
584 Under this assumption the ΔPE -axis in Fig. 14 can be taken as a proxy
585 for time. As time progresses (and ΔPE increases) the entrainment ratio E
586 reduces (i.e. h_F shrinks) as the plume moves from the Atlantic Layer into
587 the deep NSDW layer. When a certain threshold is passed, the plume has
588 modified the ambient water sufficiently such that subsequent overflow waters
589 pass through the AW relatively unimpeded (with less dilution) and penetrate
590 into the deep waters. There is a caveat though, which works against the
591 plume's piercing ability. The flow also needs to 'act quickly' (as is achieved
592 by a high flow rate) to counteract mixing processes that cause the plume to
593 dilute in the ambient waters.

594 4. Summary and conclusions

595 We perform a series of model experiments using idealised conical geom-
596 etry and simplified ambient conditions to study the penetration of a dense
597 water cascade into ambient stratification. The model setup was inspired by
598 conditions previously observed at Svalbard in the Arctic Ocean. We investi-
599 gate how variations in the parameters of the overflow - its initial salinity S
600 and the flow rate Q - affect the fate of the plume.

601 We reproduce the main regimes where the plume is either (i) arrested
602 at intermediate depths, (ii) pierces the intermediate layer and descends to
603 the bottom of the continental slope or (iii) partially detaches off the bottom,
604 intrudes into the intermediate layer while the remainder continues downslope.

605 Our results show that for our given model setup the regime is predictable
606 from the initial source water properties - its density (typically given by the
607 salinity S as the temperature is practically constant at near-freezing) and
608 volume transport Q .

609 The results show that even a cascade with high initial salinity S may
610 not pierce the Atlantic Layer if its flow rate Q is low. The initial density of
611 the plume is therefore not the only parameter controlling the depth penetra-
612 tion of the plume. The combined effect of S and Q on the cascade's regime
613 is explained by the system's gain in potential energy (ΔPE) arising from
614 the introduction of dense water at shallow depth and a functional relation-
615 ship exists between ΔPE and the penetration depth and thus the prevailing
616 regime.

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630 **Appendix A. The s_h -coordinate system**

631 The algorithm calculating the s -level depths at a given location with
632 bathymetric depth D starts by adding levels in the bottom boundary layer
633 equidistantly over a constant thickness H_{bbl} . The depths Z_h of the s_h -levels
634 (the virtual seabeds) are then calculated based on their prescribed depths
635 Z_l according to the following scheme.

636 Let $D_{lim}(D) = D - H_{bbl} - k \Delta z_{min}$ be the deepest depth that the s_h -
637 level can be placed at, where H_{bbl} is the thickness reserved for the bottom
638 boundary layer, k is the number of levels between the s_h -level and the top

639 of the bottom boundary layer, and Δz_{min} is the minimum allowable level
 640 spacing. This leads to a simple function

$$Z_h = \begin{cases} Z_l & , D_{lim} > Z_l \\ D_{lim} & , D_{lim} \leq Z_l \end{cases} \quad (\text{A.1})$$

641 where the s_h -level is either horizontal ($Z_h = Z_l$) or terrain-following ($Z_h =$
 642 D_{lim}). As a consequence its first derivative is discontinuous in one point,
 643 which leads to errors in horizontal pressure gradient calculations where its
 644 second derivative is undefined.

645 In order to smoothly blend between these two cases, we start with a
 646 function S_0 that transitions smoothly between 1 to 0 whilst satisfying that
 647 $S_0(0.5) = 0.5$ (see blue curves in Fig. 3c):

$$S_0(x) = 0.5 \tanh(0.5\theta - x\theta) + 0.5 \quad (\text{A.2})$$

648 where θ is a non-dimensional smoothing parameter. For values of approx-
 649 imately $2 \leq \theta \leq 20$ the transition is smooth, but as $\theta \rightarrow \infty$ the function
 650 becomes a step function (with a step at $x = 0.5$). Integrating Eq. (A.2) gives
 651 Eq. (A.3):

$$S_1(\alpha) = 0.5\alpha - \frac{0.5}{\theta} \log(\cosh(\theta - \alpha\theta)) + 0.5 - \frac{\log(2)}{2\theta} \quad (\text{A.3})$$

652 where $\alpha = Z_l/D_{lim}$ is a scale factor for the prescribed s_h -level depth Z_l .
 653 Eq. (A.3) approximately satisfies $S_1(\alpha) \approx \alpha$ for $0 \leq \alpha \leq 1$ and $S_1(\alpha) \approx 1$ for
 654 $\alpha > 1$ (see red curves in Fig. 3c) so it could be used to blend smoothly from
 655 $Z_h = Z_l$ at depth (using the range $\alpha \geq 1$) into $Z_h = D_{lim}$ in the shallows
 656 (using the range $0 \leq \alpha < 1$).

657 While Eq. (A.3) closely matches the identity function $f(x) = x$ in the
 658 approximate range $0 \leq x \leq 0.5$ it does not exactly do so, especially for small
 659 values of θ (see dashed red curve in Fig. 3c). The s_h -level could miss its
 660 target depth Z_l in the interior of the basin by a small margin, and a second
 661 smoothing function

$$S_2(\alpha) = \begin{cases} \alpha & , \alpha \leq 0.5 \\ 0.5 + 0.5 \tanh(2\alpha - 1) & , \alpha > 0.5 \end{cases} \quad (\text{A.4})$$

662 is introduced to blend the identity function into Eq. (A.3). The final
 663 s_h -level depth Z_h is then derived as:

$$Z_h = D_{lim} \left((1 - S_2) \alpha + S_2 S_1 \right) \quad (\text{A.5})$$

664 For this study we use 16 levels in a bottom layer of constant thickness of
 665 60 m resulting in a near-bottom vertical resolution of at least 3.75 m. The
 666 s_h -levels to coincide with the interfaces between the ambient water masses
 667 are placed at 200 and 500 m and a third s_h -level is inserted at 800 m to form
 668 a virtual sea bed for the levels below the deepest interface at 500 m. Vertical
 669 resolution in the interior ranges from 30 to 60 m (Figs. 3a and 3b).

670 The remaining s -levels are then evenly spaced within the gaps. The s_h -
 671 levels in this study are smoothed with values of θ equal to 4, 6 and 8 at the
 672 depths of 200, 500 and 800 m respectively.

673 Appendix B. Rotation of the lateral diffusion operator

674 Lateral diffusion processes occur predominantly along neutral surfaces
 675 (Griffies, 2004), which may not be easily characterised (in a well-mixed
 676 layer for example) and may be computationally expensive to derive, and are
 677 thus often approximated (see McDougall and Jackett, 2005, and references
 678 therein). Here we consider two such approximations for the slope m of oper-
 679 ator rotation: (i) calculation of the slope of isopycnal surfaces $m_{iso} = \frac{d\rho}{dx} / \frac{d\rho}{dz}$,
 680 and (ii) calculation of the slope m_{hor} of near-horizontal surfaces of constant
 681 geopotential derived from the time-evolving elevation of the sea surface.

682 The rotation of the diffusion operator according to m_{iso} is generally pre-
 683 ferred in shelf seas models (H. Liu, pers. comm., 2012) where density gradi-
 684 ents are generally well defined by prevalent stratification. However, in mixed
 685 layers of insignificant density gradients the calculation of m_{iso} can lead to
 686 unphysical fluctuations in the slope. The rotation of the diffusion operator
 687 is therefore limited to a maximum slope angle $m_{max} = 0.028$ which reflects
 688 the 1.8° inclination of our model topography². Even with this safeguard in

²The slope limit m_{max} can be approximated from the typical length scale L and depth scale H of the diffusion process: $m_{max} = \frac{H}{L}$. NEMO typically uses a value of $m_{max} = 0.01$ which is not suitable for steep topographical gradients in our scenario. This original value was derived for large-scale ocean models with a typical mixed layer depth of $H = 200$ m. The length scale of lateral diffusion $L_{A_h} = 20$ km is in turn derived from a typical horizontal diffusion coefficient $A_h = 2000 \text{ m}^2 \text{ s}^{-1}$ while assuming a typical horizontal velocity of 10 cm s^{-1}).

689 place the analytical description of our ambient density profile can lead to nu-
 690 merically spurious slopes within a well-mixed layer and the use of the m_{hor}
 691 slopes would be preferable in that case.

692 For this study we therefore adopt a blended scheme where the Lapla-
 693 cian diffusion operator is rotated according to m_{iso} in stratified regions and
 694 according to m_{hor} in well-mixed regions. We assess here the degree of strat-
 695 ification via the buoyancy frequency N^2 which is a NEMO model variable.
 696 Two additional parameters N^2_{hor} and N^2_{iso} are introduced in our configu-
 697 ration to define the lower limit of the buoyancy frequency below which we
 698 use m_{hor} and above which we use m_{iso} , while intermediate values are linearly
 699 interpolated. The final slope m for the rotation of the Laplacian diffusion
 700 operator is calculated as:

$$\alpha = \min \left(1, \frac{\max(0, (N^2 - N^2_{hor}))}{N^2_{iso} - N^2_{hor}} \right) \quad (\text{B.1})$$

$$m = (1 - \alpha) \cdot m_{hor} + \alpha \cdot m_{iso}$$

701 While it may be possible to calculate suitable limits without prior knowl-
 702 edge, we derived $N^2_{hor} = 5 \times 10^{-6} \text{ s}^{-2}$ and $N^2_{iso} = 5 \times 10^{-5} \text{ s}^{-2}$ by visually
 703 inspecting cross-section plots of N^2 . In keeping with the standard NEMO
 704 code, we apply a 2D Shapiro-filter to the final values of m and additionally
 705 reduce them by 50% near coastal boundaries. Furthermore, the code that
 706 specially adapts lateral diffusion in model levels within and just below the
 707 surface mixed layer was removed.

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