

University of Nebraska - Lincoln

## DigitalCommons@University of Nebraska - Lincoln

---

Martin Gaskell Publications

Research Papers in Physics and Astronomy

---

11-1-2007

### DISCOVERY OF POLARIZATION REVERBERATION IN NGC 4151

C. Martin Gaskell

*University of Nebraska-Lincoln*, [mgaskell@unl.edu](mailto:mgaskell@unl.edu)

René W. Goosmann

*Astronomical Institute of the Academy of Sciences, Bocni II 1401, 14131 Prague, Czech Republic*,  
[goosmann@astro.u-strasbg.fr](mailto:goosmann@astro.u-strasbg.fr)

Nelly I. Merkulova

*Crimean Astrophysical Observatory*, [nelly@crao.crimea.ua](mailto:nelly@crao.crimea.ua)

Nikolay M. Shakhovskoy

*Crimean Astrophysical Observatory, Nauchny, Crimea, 98409, Ukraine*

Masatoshi Shoji

*University of Nebraska - Lincoln*

Follow this and additional works at: <https://digitalcommons.unl.edu/physicsgaskell>

 Part of the [Physics Commons](#)

---

Gaskell, C. Martin; Goosmann, René W.; Merkulova, Nelly I.; Shakhovskoy, Nikolay M.; and Shoji, Masatoshi, "DISCOVERY OF POLARIZATION REVERBERATION IN NGC 4151" (2007). *Martin Gaskell Publications*. 25.

<https://digitalcommons.unl.edu/physicsgaskell/25>

This Article is brought to you for free and open access by the Research Papers in Physics and Astronomy at DigitalCommons@University of Nebraska - Lincoln. It has been accepted for inclusion in Martin Gaskell Publications by an authorized administrator of DigitalCommons@University of Nebraska - Lincoln.

## DISCOVERY OF POLARIZATION REVERBERATION IN NGC 4151

C. MARTIN GASKELL<sup>1,2</sup>, RENE W. GOOSMANN<sup>3</sup>, NELLY I. MERKULOVA<sup>4,5</sup>,  
NIKOLAY M. SHAKHOVSKOY<sup>4</sup>, AND MASATOSHI SHOJI<sup>1,2</sup>

*Submitted to ApJ Letters*

### ABSTRACT

Observations of the optical polarization of NGC 4151 in 1997–2003 show variations of an order of magnitude in the polarized flux while the polarization position angle remains constant. The amplitude of variability of the polarized flux is comparable to the amplitude of variability of the total U-band flux, except that the polarized flux follows the total flux with a lag of  $8 \pm 3$  days. The time lag and the constancy of the position angle strongly favor a scattering origin for the variable polarization rather than a non-thermal synchrotron origin. The orientation of the position angle of the polarized flux (parallel to the radio axis) and the size of the lag imply that the polarization arises from electron scattering in a flattened region within the low-ionization component of the broad-line-region. Polarization from dust scattering in the equatorial torus is ruled out as the source of the lag in polarized flux because it would produce a larger lag and polarization perpendicular to the radio axis. We note a long-term change in the percentage polarization at similar total flux levels and we attribute this to a change in the number of scatterers on a timescale of years.

*Subject headings:* galaxies:active — galaxies:quasars:general — polarization

### 1. INTRODUCTION

Shklovskii (1953) pointed out that synchrotron radiation should be highly polarized, and the detection in 1953 of polarization of the Crab Nebula demonstrated the synchrotron nature of its continuum radiation (Dombrovskii 1958). It was therefore natural to search for polarization of the optical emission of Seyfert galaxies (Dibai & Shakhovskoy 1966). Optical polarization of NGC 4151 was discovered by Babadzhanlyants & Hagen-Thorn (1969) who also reported that the polarization was variable. The polarization was studied in more detail by Kruszewski (1971) who found that the polarization in the V band varied by a factor of four over a nine-month period. The detection of variable polarization was taken as evidence of a synchrotron origin of the continuum.

The discovery of polarization of emission lines (Angel et al. 1976) in NGC 1068 showed that the polarization of type-2 AGNs was due to scattering and not synchrotron emission. The origin of the polarization in type-1 AGNs, however, has remained ambiguous. Stockman et al. (1979) discovered that the optical polarization of radio-loud AGNs was parallel to the radio jet axis. They considered this to be either the result of intrinsic polarization of optical synchrotron emission, or the result of scattering from a flattened distribution of scatterers. Subsequently Antonucci (1982, 1983) showed that, in general, polarization tends to be parallel to the radio axis in type-1 AGNs and parallel in type-2 AGNs. Since the polarization of broad lines is roughly similar

to the polarization of the continuum (Goodrich & Miller 1994) this showed that this polarization was due to scattering. Nonetheless because of the variability of optical polarization it has often been assumed, by analogy with blazars (where there is no doubt that the optical polarization variations have a non-thermal origin) that synchrotron radiation also contributes to optical polarization in non-blazar AGNs (e.g., Giannuzzo & Salvati 1993). In this paper we attempt to resolve the question of the nature of the optical polarization by investigating the relationship between the variability of the polarized flux and the total flux.

### 2. OBSERVATIONS

NGC 4151 was observed through a 15-arcsecond diameter aperture with the UVRI Double Image Chopping Photometer – Polarimeter (Piirola 1988) on the 1.25-m AZT-11 telescope of the Crimean Astrophysical Observatory. The polarimeter gives simultaneous measurement of the linear polarization in the standard Johnson bands by using dichroic filters. The resulting passbands have effective wavelengths of 3600, 4400, 5400, 6900, and 8300 Å which are close to the standard Johnson *UBRVI* system. Observations of NGC 4151 were made on 58 nights between May 1997 and May 2003. About 30 separate measurements were made per night. Errors in the flux and polarized flux were estimated by comparing measurements taken within  $\pm 5$  nights of each other.

### 3. RESULTS

We show the variability of the total U-band flux and B-band polarized flux in Fig. 1. Merkulova & Shakhovskoy (2006) have already discussed the variability of the total flux and polarized flux in the five passbands. As is well known, flux variations in the optical passbands are nearly simultaneous in AGNs. The U-band flux gives the best indication of the variability of the total flux from the AGN since it has minimal host galaxy contamination. The polarization might also be expected to be strongest towards shorter wavelengths, but

<sup>1</sup> Department of Physics & Astronomy, University of Nebraska, Lincoln, NE 68588-0111.

<sup>2</sup> Present Address: Astronomy Department, University of Texas, Austin, TX 78712-0259.

<sup>3</sup> Astronomical Institute of the Academy of Sciences, Boční II 1401, 14131 Prague, Czech Republic.

<sup>4</sup> Crimean Astrophysical Observatory, Nauchny, Crimea, 98409 Ukraine

<sup>5</sup> Deceased: 2004 December 12

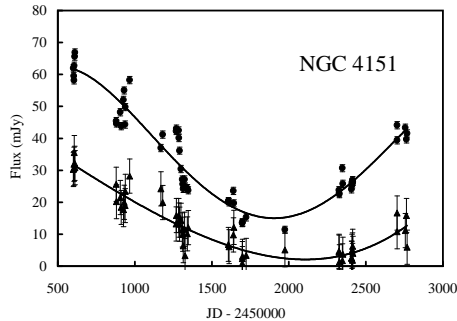


FIG. 1.— Variations in total  $U$ -band flux (top curve) and  $B$ -band polarized flux (lower curve). The polarized flux has been multiplied by a factor of 30 for plotting convenience. The two curves are fourth-order polynomial fits through the data.

Merkulova & Shakhovskoy (2006) find that the polarization variability of NGC 4151 peaks in the  $B$  band and shows a significant drop in the  $U$  band. Kishimoto et al. (2004) find from their spectropolarimetry that the polarized flux spectrum of AGNs commonly peaks in the region of the  $B$  band and drops off in the  $U$  band. They attribute this to a Balmer absorption edge in the accretion disk emission. Because the polarization variability of NGC 4151 is at a maximum in the  $B$  band and because the signal-to-noise ratio is also highest in the  $B$  band we compare the  $B$ -band polarimetric variability with the  $U$ -band total flux variability. Crenshaw et al. (1996) found that the UV continuum variations in NGC 4151 are simultaneous to within  $\sim \pm 0.15$  days across the UV and Edelson et al. (1996) get an upper limit of  $\sim 1$  d to the lag of the  $\lambda 5100$  continuum with respect to the continuum at  $\lambda 1275$ . Wavelength-dependent lags are seen at longer wavelengths (Sergeev et al. 2005), but these could be due to contamination by emission from hot dust (Gaskell 2007). We thus do not expect a significant lag of the  $B$  band relative to the  $U$  band.

As can be seen from Fig. 1, during the period 1997–2003 the  $U$ -band flux showed long-term variability of a factor of six, and the  $B$ -band polarized flux showed long-term variability of a factor of ten. Merkulova & Shakhovskoy (2006) find that the position angle (PA) of the variable polarization remained nearly constant at  $92^\circ \pm 1^\circ.6$  which is consistent with earlier measurements (Antonucci 1983; Martel 1998) and with being parallel to the radio axis (Antonucci 1983).

#### 4. ANALYSIS

##### 4.1. Long-Term Variability

During the period 1997 to 2003 NGC 4151 declined from the end of a high state and passed through a low minimum level of activity (Lyuty 2006). There is some evidence for a slow long-term change in the degree of polarization over the seven-year period. This is most obvious if we compare years where the mean  $U$ -band continuum level was approximately the same. As can be seen from Fig. 1, the polarized flux around MJD 2400 is more than a factor of two lower than that around MJD 1300 when the total fluxes are comparable. Similarly the polarized flux is also lower around MJD 2750 compared with MJD 1200. This change in the percentage polarization after the photometric minimum around MJD 2000

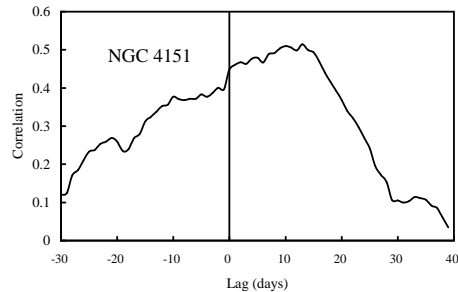


FIG. 2.— Cross-correlation function for the polarized flux and total  $B$ -band flux for the entire six-year data set. A positive lag corresponds to the polarized flux following the total flux.

suggests a possible reduction on a dynamical timescale in the number of scatterers after the low state. Alternatively, the continuum emission could be intrinsically anisotropic and the anisotropy could be varying from year to year. Unfortunately we did not have good polarimetric coverage in the year of the photometric minimum.

##### 4.2. Short-Term Variability

In order to search for polarization reverberation on short timescales we have removed the long-term trends by subtracting out fourth-order polynomials (shown in Fig. 1) from the total flux and polarized flux time series. The two time series were cross-correlated using the interpolation method of Gaskell & Sparke (1986). Details of the method are given in Gaskell & Peterson (1987). We show the resulting cross-correlation function (CCF) for the entire data set in Fig. 2.

The centroid of the CCF calculated from the first moments above 0.7 times the peak correlation gives a lag of 8 days. In Table 1 we also give the considerably less certain lags from the centroids of the CCFs for the individual observing seasons. The median of the lags for the individual years is consistent with the lag from the whole of the seven observing seasons.

As is well known (Gaskell & Peterson 1987) the distribution of errors in the peaks in cross-correlation functions is non-Gaussian with a tail extending to high errors. This can be seen in the simulations of Maoz & Netzer (1989), White & Peterson (1994), and Peterson et al. (1998). We estimated the error in the lag of the polarized flux in three different ways. First we used the formula of Gaskell & Peterson (1987). This gave an error of  $\pm 3.5$  d for the lag derived from the whole data set. We also performed Monte Carlo simulations where we added noise to the observations equal to the observational errors. This gave an error in the lag of  $\pm 2.5$  d. Finally, where possible we calculated the lags for the individual years. They are again the first moment lags calculated above 0.7 of the peak in the CCF. These lags are shown in Table 1 with the approximate errors from the Gaskell & Peterson (1987) formula. As can be seen, even though the errors are substantially larger because of the small number of observations, the median lag for the individual years (8.5 d) is close to the lag we obtained from all years. The median of the absolute values of the differences of the individual lags for each year from the lag for all years is 1.5 d. These three separate error es-

TABLE 1  
CENTROIDS OF LAGS FOR INDIVIDUAL YEARS

Year	Lag (days)	
1997	7	$\pm 4$
1998	43	
1999	7	$\pm 7$
2000	10	$\pm 5$
2001	—	
2002	14	$\pm 8$
2003	7	$\pm 5$
All years	8	

timates suggest that the error in the lag for the whole sample is of the order of  $\pm 3$  d.

## 5. DISCUSSION

A  $\sim 8$  d lag of the polarized flux has no natural explanation if the polarization has a synchrotron origin. However, a lag can naturally be explained as a light-travel-time delay of scattered radiation (Giannuzzo & Salvati 1993). As noted above, scattering off both electrons and dust has long been considered as a cause of polarization in AGNs. Electron scattering could take place in clouds along the axis of symmetry or in a flattened equatorial distribution of electrons (Smith et al. 2005). Dust scattering in the torus will also be responsible for polarization. To investigate which process dominates in producing the polarized flux we modeled polarization reverberation in NGC 4151 with the Monte Carlo radiative transfer code *STOKES* (Goosmann & Gaskell 2007; Goosmann et al. 2007). In order to model polarization variability we modified *STOKES* to time-tag each photon going through the program.

### 5.1. Polarization from the Dusty Torus

For the period 1990–1998 Oknyanskij et al. (1999) find a delay of  $35 \pm 8$  days for the *K*-band relative to the *U* band. This includes the peak of activity at the start of our polarimetric monitoring. For the low state during our monitoring Minezaki et al. (2004) find a similar delay of  $48 \pm 2.5$  days. The *K* band emission comes from the hottest dust, and lags given by the cross correlation method are biased towards material at the smallest radii (Gaskell & Sparke 1986) so the *K*-band lag is giving the inner radius of the dust torus. From the Oknyanskij et al. (1999) and Minezaki et al. (2004) measurements we can conclude the inner radius of the dusty torus during our polarimetric monitoring was  $\sim 40$  light days. This strongly rules out dust scattering being the cause of the polarization reverberation we detect.

Oknyanskij (1993) did determine a *K*-band lag during the low state of NGC 4151 in the 1970s of  $18 \pm 6$  days which is marginally consistent with the polarization reverberation delay we measure, but as Oknyanskij et al. (2006) point out, their observations and those of Minezaki et al. (2004) show that, as would be expected, the high state of NGC 4151 at the start of our polarimetric monitoring destroyed the dust close to the black hole, and the *K*-band observations show that the dust had still not reappeared at smaller radii within several years of the high state.

We modeled dust scattering off a variety of optically-thick torus geometries. We considered cylindrical tori

and tori with elliptical cross sections. In all cases we set the inner radii to be 40 lt-d as indicated by the IR reverberation mapping. Various opening and viewing angles were modeled. The resulting first moment delays in the polarized flux were  $\sim 43$  days (i.e., slightly greater than the inner radius), and varied only by 10–15% with changing geometries and viewing angles. These modeled lags are clearly inconsistent with the  $\sim 8$  d lag we find from the observations. The polarization of the dust model averaged over the lag was perpendicular to the radio axis for all the type-1 viewing angles, which is inconsistent with the observed position angle. We thus believe that scattering from the torus is not the cause of the lag in the polarized flux. For more extensive discussion of the effect of the geometry and viewing angle on the degree and direction of polarization see Goosmann & Gaskell (2007).

### 5.2. Polarization from a Flattened Electron Scattering Region

Scattering off a polar distribution of electrons (Giannuzzo & Salvati 1993) also produces the wrong polarization angle, but a flattened electron distribution produces polarization parallel to the radio axis (see discussion in Goosmann & Gaskell 2007). We therefore used *STOKES* to model the polarization lag from cylindrical electron-scattering disks. We found that for disks which were optically thick to electron scattering the lag was equal to the inner radius. Thus if the electron scattering region is optically thick in NGC 4151, it has an inner radius of  $\sim 8$  lt-d.

The lag we find is comparable to the size of the BLR. Gaskell & Sparke (1986) obtained a size of  $5 \pm 2$  lt-d for the radius of the C IV emitting region of the BLR in NGC 4151 during 1978–1980. Metzroth et al. (2006) obtained radii of  $3.4 \pm 1.3$ d for 1988 and  $3.3 \pm 0.9$ d for 1991. They also obtained identical radii for He II. Gaskell & Sparke (1986) estimated the size of the region emitting H $\beta$  and H $\gamma$  to be  $\sim 6$  lt-d during 1980–1981. More recent observations by Bentz et al. (2006) give  $6.6 \pm 1$  lt-d for 2005. Note again that the responsivity-weighted radii given by reverberation mapping are biased towards the inner radii of the emitting region.

While our observed polarization lag is in good agreement with the observed radii of the C IV and H $\beta$  emission in NGC 4151, the observed inner radius for a given line reflects the radial variation of ionization and there is almost certainly BLR gas inside that radius. If the density of gas in our *STOKES* models is somewhat lower than a canonical BLR density (i.e.,  $10^8$  cm $^{-3}$  rather than  $10^{10}$  cm $^{-3}$ ), then the model is no longer optically thick, the mean free path to electron scattering becomes significant, and we find that the lag is greater than the inner radius of our hypothetical disk. For example, a disk with a density of  $10^8$  cm $^{-3}$  would give a mean free path of  $\sim 6$  lt-d. It is therefore possible to reproduce the observed polarization lag without having to have an artificial hole in the middle of the distribution of electrons. We give a more extensive discussion of the results of our modeling elsewhere (Shoji, Gaskell, & Goosmann, in preparation).

Interestingly, the radius we obtain for the electron-scattering disk (i.e., towards the outer edge of the BLR) is in good agreement with the location deduced quite independently by Smith et al. (2005) from modeling the change in polarization with velocity across the profiles of

broad emission lines.

## 6. CONCLUSIONS

The polarized flux of NGC 4151 appears to lag the unpolarized flux by  $\sim 8$  d which is comparable to the light-crossing time of the broad-line region. We interpret this as the result of the extra light-travel time of the scattered photons. Dust in the torus is ruled out as the source of polarization by the angle of polarization and because the observed lag is too short. Both the direction of polarization and the lag can naturally be explained instead by electron scattering in a flattened region of similar size to the low-ionization BLR. We have also detected a possible change in the percentage polar-

ization of NGC 4151 at similar flux levels on a timescale of years, and we attribute this to long-term changes in the number of scattering electrons.

This research has been supported by the US National Science Foundation through grant AST 03-07912, the Hans-Böckler-Stiftung in Germany, the University of Nebraska UCARE program, and the Center for Theoretical Astrophysics in Prague. Polarimetric observations at the Crimean Astrophysical Observatory were made possible in part by Award No. UP1-2549-CR-03 of the U.S. Civilian Research & Development Foundation (CRDF).

## REFERENCES

- Angel, J. R. P., Stockman, H. S., Woolf, N. J., Beaver, E. A., & Martin, P. G. 1976, *ApJ*, 206, L5  
 Antonucci, R. R. J. 1982, *Nature*, 299, 605  
 Antonucci, R. R. J. 1983, *Nature*, 303, 158  
 Babadzhanlyants, M. K. & Hagen-Thorn, V. A. 1969, *Astronomicheskij Tsirkulyar*, 526, 1  
 Bentz, M. C., et al. 2006, *ApJ*, 651, 775  
 Crenshaw, D. M., et al. 1996, *ApJ*, 470, 322  
 Dibai, E. A. & Shakhovskoy, N. M. 1966, *Astronomicheskij Tsirkulyar*, 375, 1  
 Dombrovskii, V. A. 1958, *AZh*, 35, 687 (= *Soviet Astronomy*, 2, 646)  
 Edelson, R. A., et al. 1996, *ApJ*, 470, 364  
 Gaskell, C. M. 2007, in *The Central Engine of Active Galactic Nuclei*, ed. L. C. Ho and J.-M. Wang (San Francisco: Astron. Soc. Pacific), Vol. 373, p. 596  
 Gaskell, C. M. & Sparke, L. S. 1986, *ApJ*, 305, 175  
 Gaskell, C. M. & Peterson, B. M. 1987, *ApJS*, 65, 1  
 Giannuzzo, E. & Salvati, M. 1993, *A&A*, 272, 411  
 Goodrich, R. W. & Miller, J. S. 1994, *ApJ*, 434, 82  
 Goosmann, R. W., & Gaskell, C. M. 2007, *A&A*, 465, 129  
 Goosmann, R. W., Gaskell, C. M., & Shoji, M. 2007, *IAU Symposium*, 238, 375  
 Kishimoto, M., Antonucci, R., Boisson, C., & Blaes, O. 2004, *MNRAS*, 354, 1065  
 Kruszewski, A. 1971, *Acta Astronomica*, 21, 311  
 Lyuty, V. M. 2006, in *AGN Variability from X-Rays to Radio Waves*, ed. C. M. Gaskell, I. M. McHardy, B. M. Peterson and S. G. Sergeev, *Astron Soc. Pacific Conf. Ser.*, 360, 3  
 Maoz, D. & Netzer, H. 1989, *MNRAS*, 236, 21  
 Martel, A. R. 1998, *ApJ*, 508, 657  
 Merkulova, N. I. & Shakhovskoy, N. M. 2006, in *AGN Variability from X-Rays to Radio Waves*, ed. C. M. Gaskell, I. M. McHardy, B. M. Peterson and S. G. Sergeev, *Astron Soc. Pacific Conf. Ser.*, 360, 65  
 Metzroth, K. G., Onken, C. A., & Peterson, B. M. 2006, *ApJ*, 647, 901  
 Minezaki, T., Yoshii, Y., Kobayashi, Y., Enya, K., Suganuma, M., Tomita, H., Aoki, T., & Peterson, B. A. 2004, *ApJ*, 600, L35  
 Oknyanskij, V. L. 1993, *Astronomy Letters*, 19, 416  
 Oknyanskij, V. L., Lyuty, V. M., Taranova, O. G., & Shenavrin, V. I. 1999, *Astronomy Letters*, 25, 483  
 Oknyanskij, V. L., Lyuty, V. M., Taranova, O. G., & Shenavrin, V. I. 2006, in *AGN Variability from X-Rays to Radio Waves*, ed. C. M. Gaskell, I. M. McHardy, B. M. Peterson and S. G. Sergeev, *Astron Soc. Pacific Conf. Ser.*, 360, 75  
 Peterson, B. M., Wanders, I., Horne, K., Collier, S., Alexander, T., Kaspi, S., & Maoz, D. 1998, *PASP*, 110, 660  
 Piirola, V. 1988, in *Polarized Radiation of Circumstellar Origin* (Vatican Observatory/University of Arizona Press), p. 735  
 Sergeev, S. G., Doroshenko, V. T., Golubinskiy, Yu. V., Merkulova, N. I., & Sergeeva, E. A., *ApJ*, 622, 129  
 Shklovskii, I. S. 1953, *Radioastronomiia* (Moscow)  
 Smith, J. E., Robinson, A., Young, S., Axon, D. J., & Corbett, E. A. 2005, *MNRAS*, 359, 846  
 Stockman, H. S., Angel, J. R. P., & Miley, G. K. 1979, *ApJ*, 227, L55  
 White, R. J., & Peterson, B. M. 1994, *PASP*, 106, 879