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Computer-based tools for decision support in agroforestry: Current state and future needs

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Abstract

Successful design of agroforestry practices hinges on the ability to pull together very diverse and sometimes large sets of information (i.e., biophysical, economic and social factors), and then implementing the synthesis of this information across several spatial scales from site to landscape. Agroforestry, by its very nature, creates complex systems with impacts ranging from the site or practice level up to the landscape and beyond. Computer-based Decision Support Tools (DST) help to integrate information to facilitate the decision-making process that directs development, acceptance, adoption, and management aspects in agroforestry. Computer-based DSTs include databases, geographical information systems, models, knowledge-base or expert systems, and 'hybrid' decision support systems. These different DSTs and their applications in agroforestry research and development are described in this paper. Although agroforestry lacks the large research foundation of its agriculture and forestry counterparts, the development and use of computer-based tools in agroforestry have been substantial and are projected to increase as the recognition of the productive and protective (service) roles of these tree-based practices expands. The utility of these and future tools for decision-support in agroforestry must take into account the limits of our current scientific information, the diversity of aspects (i.e. economic, social, and biophysical) that must be incorporated into the planning and design process, and, most importantly, who the end-user of the tools will be. Incorporating these tools into the design and planning process will enhance the capability of agroforestry to simultaneously achieve environmental protection and agricultural production goals.

Introduction

'Few things disappoint a landowner more than spending money, time, and effort on a project that fails ... especially one like agroforestry, where it can be years before problems become apparent' (Dosskey and Wells 2000).

Agroforestry, the deliberate integration of trees into crop and livestock operations, has the potential to achieve many of the environmental, economic, and social objectives being demanded from working landscapes by landowners and society. By adding structural and functional diversity to the landscape, these tree-based plantings can perform ecological functions that have significance far greater than the relatively small amount of land they occupy (Guo 2000; Nair 2001; Ruark et al. 2003). Realizing this potential is, however, a complex task of determining what opportunities, limitations, and trade-offs exist in each situation, and of designing an agroforestry practice that achieves the best balance among them. There are numerous impacts created by agroforestry plantings, ranging from intended to nonintended and, therefore, ranging from detrimental to advantageous, occurring both on- and off-site, and varying over time. Consequently, if agroforestry is to be a viable strategy in promoting agroecosystem sustainability, the decision-making process must incorporate many considerations, not only at the practice scale but also at the larger scales of farm, landscape, and watershed (Schoene-

berger et al. 1994). Simply put, agroforestry creates a complex system of interactions that must be managed for multiple objectives, multiple alternatives and multiple social interests and preferences, while being applied over a wide range of landscapes and landscape features.

The decision-making process involved in agroforestry research, development and application is composed of several components: the person or group making the decision, the problem, the approach or method to solve the problem, and the decision. Decision support tools (DST) are a wide variety of technologies that can be used to help integrate diverse and large sets of information. DSTs do not replace the decision-making by the landowner or natural resource manager, but they do facilitate the decision-making process by making the planning process more informed and more objective (Grabaum and Meyer 1998). Although agroforestry, like most natural-resource management sciences, is characterized by high complexity of which we have limited understanding and data (Sanchez 1995; Nair 1998), the science and application of agroforestry can be greatly enhanced through the use of these tools.

Computer-based decision support tools in natural resource management

Computers now play an integral role for information management and decision-making in all disciplines related to natural resource management. Constant accretion of data and information on agriculture, forestry, agroforestry, and natural resource management has created the need to synthesize, organize and manipulate this growing knowledge base and facilitate its accessibility and use for education, research, and decision-making (Davis 1988; Schmoldt and Rauscher 1996). The complexity of natural resource management, considering the diversity of resources, interests, objectives, constraints, and stakeholders involved, adds to the difficulty of making sound management decisions (Schmoldt and Rauscher 1996). Computer-based DSTs provide an effective means to compile and sort out the medley of variables, information and knowledge (quantitative, qualitative, spatial, and heuristic) that managers must consider when making informed management decisions. In other words, DSTs synthesize the wide array of information and offer a holistic approach for evaluating land and resource management problems and finding appropriate

solutions (Schmoldt and Rauscher 1996). There are five major categories of computer-based technologies used for decision support: databases, geographical information systems (GIS), computer-based models, knowledge-based or expert systems (KBS), and hybrid systems (Table 1).

In the past decade the use of computer-based technologies in agriculture, forestry, and natural resource management has been impressive. In the field of agriculture, the use of computers can be considered part of the agricultural revolution of the 20th century, advancing scientific research, facilitating farm management, and improving production (Paarlberg and Paarlberg 2000). The development of crop models, expert systems for agricultural management, and precision farming that incorporates GIS has advanced considerably and are being used in many farming operations (NRC 1997; Zazueta and Xin 1998; Ahuja et al. 2002). Forestry, compared to production agriculture, is often faced with more complex and multiple objective management scenarios. The adoption and use of computers for decision support in forestry have had to evolve from simpler mathematic models used for harvesting scheduling to more complex computer DSTs used to help make management decisions where timber production must be balanced with wildlife conservation, water quality, recreation, and other objectives, often involving policy and social issues (Rauscher 1999). Table 2 lists some of the more recognized DSTs used in agricultural and forest management and describes their degree of complexities and integration of the major computer-based technologies.

Databases

Databases are computer-based tools used to access and query large quantities of data and information. They are often key components within other DSTs. The database DST consists of a database (a logically coherent collection of data) and a database management component (the software system), which allows a user to define, create, and maintain a database (Mata-Toledo and Cushman 2000). Computer databases are often implemented as a Relational Database Management System (RDBMS), designed around the mathematical concepts of relational algebra linking together two-dimensional data tables (Sanders 2000; Sunderic and Woodhead 2001). Query statements can be developed, allowing users to search and analyze data as well as extract specific information from huge datasets. This ability to extract pieces of informa-

Table 1. Major categories of computer-based decision support technologies.

Category	Description
Databases	Organizes and facilitates the management and querying of large quantities of data and information
Geographical Information Systems (GIS)	Brings in a geographic or spatial component to a database; manages, manipulates and analyzes spatial data
Computer-Based Models	Mathematical computer models that represent real world processes and predict outcomes based on input scenarios
Knowledge-Based or Expert Systems	Adopts 'Artificial Intelligence' in the form of organizing, manipulating and obtaining solutions using knowledge in the form qualitative statements, expert rules (i.e. rules of thumb) and a computer language representation system for storing and manipulating knowledge.
Hybrid Systems	Integrates two or more of the above computer-based technologies (e.g. (GIS, KBS and Models) for more versatile, efficient and comprehensive DSTs.

Table 2. Computer-based decision support tools used in agricultural and forestry management.

Decision support tool	Description	Reference
GOSSYM-COMAX	Used for management of water, nitrogen, herbicide and growth regulator in cotton	Reddy et al. 2002; Gertsis and Whisler 1998;
GLYCM	Soybean production model	Timlin et al. 2002; Acock et al. 1997; Manning 1996
CERES	Production model for crops in the tropics and subtropics	Ritchie and Otter 1985; Ahuja et al. 2002
CROPGRO	Production model for crops in the tropics and subtropics	Boote et al. 1998a; Boote et al. 1998b; Ahuja et al. 2002
DSSAT	Package of crop-soil models to facilitate the evaluation and application of different cropping systems and the input and organization of relevant scientific data	Jones et al. 1998; Jones et al. 2003
FORPLAN/SPECTRUM	Optimization models for forest management to evaluate financial efficiency, land allocation and resource scheduling	Field 1984; Kent et al. 1991; Rauscher 1999
STEWPLAN	Knowledge-based computer tool to assist landowners develop stewardship plans based on forest stand descriptions.	Knopp and Twery 2003
NED	Hybrid decision support tool integrating forest models, GIS, graphic visualization and a knowledge base for multi-use forest management.	Twery et al. 2000; Twery et al., 2003
EMDS	Landscape scale tool that integrates GIS, knowledge-based reasoning and decision modeling technologies for ecosystem management decision support.	Reynolds et al. 2002; Rauscher 1999
EPIC	Erosion-Productivity Impact Calculator determines relationships between soil erosion and crop productivity	Jones et al. 1991; Easterling et al. 1997
CO2FIX	Estimates and evaluates dynamics of carbon in forest management and afforestation projects	Masera et al. 2003

tion based on user-specified criteria makes a RDBMS an excellent computer-based technology for decision support. A multitude of natural-resource-related databases (i.e., ecosystems, flora, fauna, soils, hydrology, etc.) are now widely available and used in management decisions.

Geographical information systems

A GIS can be defined as a data management system designed to input, store, retrieve, manipulate, analyze, and display spatial data for the purposes of research and decision-making (De Mers 1997). In a GIS, a database is associated with map features, and data values are geographically referenced, so resource managers can spatially represent information such as soil types or plant communities. Since land use and a diversity of related disciplines (i.e., agriculture, forestry, rural planning, and conservation) all deal with spatial characteristics of landscapes (Lacher 1998), GIS has gained considerable use in land use planning and natural-resource management, providing a spatial framework to aid in the decision-making process (Zeiler 1999).

Additional technologies are often associated with GIS, such as Global Positioning Systems (GPS) and remote sensing. GPS is a means for inputting spatial data with real world coordinates into a GIS and has become an important tool for researchers locating and recording information in the field. Remote sensing involves using spatial data from photographic and satellite images, and software tools to analyze and interpret these data.

Computer-based models

For the most part, computer-based models refer to the translation of data and information into a mathematical form using algorithms to represent a real world 'process' or 'system' and to forecast outcomes of different scenarios. In the realm of environmental and natural-resource related fields, these models try to mathematically represent ecological processes (Skidmore 2002). Environmental models mathematically define ecological interactions and processes between biotic and abiotic components based on current or past conditions or states of these components. The goal of these models is to quantitatively predict future states of these components, serving a valuable role in defining the key processes in agroforestry practices (Peng et al. 2002; Skidmore 2002).

Knowledge-based systems

Knowledge-Based Systems (KBS) or expert systems are part of the broad field of Artificial Intelligence (AI) involving the creation of computer programs that attempt to mimic human intelligence or reasoning, 'learn' new information and tasks, and draw useful conclusions about the world around us (Patterson 1990). In a KBS, knowledge is defined as a 'body of facts and principles accumulated by humankind or the act, fact or state of knowing' (Patterson 1990). KBS are used to acquire, organize and manipulate knowledge, often using heuristic rules, analogous to 'rules of thumb' or 'good judgments,' to help make sound deductions (Nikolopoulos 1997). Often, experts in the subject are used to define these rules; however, knowledge for a KBS can be acquired from the literature, databases or other sources. A 'knowledge engineer' extracts knowledge, information and data from experts and other sources and translates it into programming languages so a computer can utilize and reason with it (Nikolopoulos 1997; Patterson 1990; Schmoldt and Rauscher 1996). With an appropriate user interface, the user can input problem scenarios and make enquiries to find solutions (Nikolopoulos 1997).

Hybrid systems

Many DSTs today integrate a variety of computer-decision support technologies such as RDBMS, GIS, Models and KBS (Davis 1988; Liebowitz 1990). Increasingly, land-use planning and natural-resource management DSTs are merging GIS and KBS to develop very effective and efficient spatial planning tools (Loh and Rykiel 1992). Nowadays, application development programs, modeling tools and GIS software are designed to be compatible with other systems and allow a relatively easy integration of the different computer-based technologies.

Applications of computer-based DSTs for agroforestry

Considerable advances have been made in research, planning and development for a variety of agroforestry systems in a wide range of agroecological regions, from tropical to temperate. Prior to 1991, computer use in agroforestry research began with the development of databases as aids in guiding plant selection (Nair 1998). As the use of agroforestry has broadened

to address such issues as climate change and crop growth, carbon sequestration, biodiversity and even green infrastructure, so has the need to simulate agroforestry's longer-term effects across larger scales, further necessitating use of computer-based DSTs. These early DSTs used in agroforestry were generally those already in place in the fields of agriculture and forestry. For instance, the effect of shelterbelts on maize productivity under hypothesized climate change scenarios was examined using the Erosion-Productivity Impact Calculator (EPIC) crop model, an agricultural model originally developed to determine the relationship between soil erosion and crop productivity (Jones et al. 1991; Easterling et al. 1997). Even today, many of these models developed for agriculture or forestry are still a first choice for use in agroforestry exercises. CO2FIX, a user-friendly model for dynamically estimating the carbon sequestration potential of forest management and afforestation projects, is readily adaptable for agroforestry (Masera et al. 2003).

Today we have several DSTs developed exclusively for agroforestry applications for the purposes of selecting suitable species, identifying suitable lands, modeling different systems and predicting outcomes of different scenarios. Several different types of computer-based DSTs that have been applied or are strongly applicable to agroforestry research, planning and development are listed in Table 3 and are discussed in further detail below. Additionally the intended uses, targeted end-users and current status of these major agroforestry DSTs are summarized in Table 4.

Agroforestry databases

An initial effort to use computers to manage agroforestry data began in the late 1980s with the Agroforestry Systems Inventory Database (AFSI) developed by the International Centre for Research in Agroforestry (ICRAF), now the World Agroforestry Centre. AFSI involved a global collection of data or information on agroforestry systems using a questionnaire as the survey instrument. Data and information collected and entered into the database included general description, geographical location, biophysical characteristics, socioeconomic aspects, system evaluation, components of the system and their uses, and identification of research gaps. With AFSI, the user is able to query the database, extracting information such as geographical locations of different agroforestry systems and the species found within these systems in

different locations (Nair 1987; Oduol et al. 1988). AFSI was apparently developed as a research and information tool for researchers, particularly in ICRAF. No documentation could be found about current versions or availability of AFSI. Unfortunately, many early DSTs like AFSI often fail to be maintained and upgraded and therefore fade with time.

Another early agroforestry database was the Multi-Purpose Tree and Shrub Database (MPTS), also developed by ICRAF in 1991 (von Carlowitz et al. 1991). The MPTS database, developed for researchers and extension agents, helped to select the right tree or shrub species for agroforestry practices, primarily for the tropics and subtropics. MPTS Database Version 1.0 contained information for 1093 species including site-specific requirements (e.g., soils), morphological and phenological descriptions, management characteristics and environmental responses (Schroder and Jaenicke 1994). A simple climate model was included to predict climatic conditions based on the input of geographical coordinates, and tree and shrub species were selected via a database search or query that matched the descriptors that the user selected. The descriptors included 19 different criteria covering aspects of location, climate and soil conditions, products, environmental services, management and cultivation. The user was also able to use boolean operators (i.e., and, or, and not) to fine-tune the search to their specific needs. References are also included to provide further information on selected agroforestry species (Schroder and Jaenicke 1994).

The current and revised version of MPTS is now the AgroforesTree Database (AFT). Unlike its predecessor this database is more widely accessible and available on the Internet and as a CD-ROM. It is a database management system intended for use by researchers and fieldworkers to select agroforestry trees that are being deliberately grown and managed for more than one output and expected to make significant economic and/or ecological impacts (Salim et al. 1998; World Agroforestry Centre 2003a). More than 300 species are incorporated into AFT with information on ecology and distribution, propagation and management, functional uses and pest and diseases (Salim et al. 1998). With AFT, users are able to search and select trees according to geographical location, biophysical limits and other management criteria selected. In addition, there are references, research contacts, a seed supplier's directory, images of trees, and a glossary of terms to help agroforesters obtain vital information and make wise decisions concern-

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Table 3. Computer-based decision support tools used in agroforestry.

Decision support tool	Type	Description	Reference
AFSI (Agroforestry Systems Inventory Database)	Database	Agroforestry system inventory database describing geographic location and biophysical, socioeconomic and species characteristics	Nair 1987; Oduol et al. 1988
MPTS (Multipurpose Tree and Shrub Database)	Database	Multi-purpose tree and shrub database used for tree selection and species information	Schroder and Jaenicke 1994
AgroforesTree Database	Database	Internet and CD-Rom application for reference and selection guide of agroforestry trees.	Salim et al. 1998; World Agroforestry Centre 2003a
Subtropical Tree and Shrub Database	Database	On-line database on potential agroforestry tree and shrub species for the American subtropics.	Ellis et al. 2003
Forestry Compendium	Database	Compilation of knowledge on forestry, agroforestry and plantations and information on trees for management decision-making and species selection	CABI 1998; Kleine et al. 2003
Agroforestry System Suitability in Africa	GIS	Spatial analysis using climate, soil land use and other spatial data alongside plant species data to determine species and agroforestry suitability	Booth et al. 1989; Booth et al. 1990; Unruh and Lefebvre 1995
Agroforestry System Suitability in Ecuador	GIS	Spatial analysis to determine suitable areas of *Annona cherimola* agroforestry systems in Southern Ecuador.	Bydekerke et al. 1998
Agroforestry System Assessment in Nebraska	GIS	Spatial suitability assessment for willow and forest farming agroforestry systems in a Nebraska watershed	Bentrup and Leininger 2002
Agroforestry Parklands in Burkina Faso	GIS	Spatial analysis of dynamics of agroforestry parklands and species distribution due to human impacts	Bernard and Depommier 1997
Historical Transformation of Agroforestry Landscape in Canada	GIS	Spatial analysis of census and geomorphologic data to explore dynamics of agroforestry in 19th century Canadian landscape	Paquette and Domon 1997
Field-level spatial analysis of temperate agroforestry system	GIS	Spatial analysis using ground penetrating radar (GPR) to evaluate root biomass and distribution and soil nutrient crop-tree interactions in temperate alley cropping	Jose et al. 2001
AME (Agroforestry Modeling Environment)	Modeling Tool	Object-oriented tool to graphically visualize, construct, integrate and exchange agroforestry models	Muetzelfeldt and Taylor 1997
HyPAR	Model	Biophysical model combining crop and forest models and integrating climate, hydrology, light interception, water and nutrient competition, and carbon allocation processes in agroforestry systems	Mobbs et al. 2001
HyCAS	Model	Biophysical model for agroforestry systems with cassava simulating competition for light, water and nutrients including phosphorus cycles	Matthews and Lawson 1997

Table 3. Continued

Decision support tool	Type	Description	Reference
WaNuLCAS *(Water, Nutrient and Light Capture in AgroforestySystems)*	Model	Biophysical model of tree-crop interactions based on above and below-ground resource capture and competition of water, nutrients and light under different management scenarios in agroforestry systems	Van Noordwijk and Lusiana 1999; World Agroforestry Centre 2003b
SCUAF *(Soil Changes under agroforestry)*	Model	Nutrient cycling model predicts changes in soil conditions under different agroforestry systems based on parameters of biophysical environment, land use and management, plant growth, and plant–soil processes	Young and Muraya 1990; Vermeulen et al. 1993; Menz et al. 1997; Macadong et al. 1998; Nelson et al. 1997
FALLOW *(Forest, Agroforest, Low-value Landscape or Wasteland?)*	Model and GIS	Model to evaluate impacts of shifting cultivation and fallow rotations at a landscape-scale evaluating transitions in soil fertility, crop productivity, biodiversity and carbon stocks	World Agroforestry Centre 2003c; Van Noordwijk 2002
BEAM *(Bio-economic Agroforestry Model)*	Model	Bioeconomic model to assess physical and financial performance of agroforestry systems based on tree and crop biometric and economic models	Willis et al. 1993; Willis and Thomas 1997
AEM *(Agroforestry Estate Model)*	Model	Economic model to evaluate agroforestry in combination with other farm activities assessing effects of tree production and physical and financial resources on-farm	Middlemiss and Knowles 1996
DESSAP *(Agroforestry Planning Model)*	Model	Multi-objective linear programming model to assess feasible agroforestry alternatives based on land, labor and cash constraints	Garcia-de Ceca and Gebremedhin 1991
ATK *(Agroforestry Knowledge Toolkit)*	KBS	KBS to store, manipulate and analyze a variety of information and knowledge acquired on agroforestry systems	Walker et al. 1995
AES *(Agroforestry Expert System)*	KBS	KBS used heuristic knowledge or expert 'rules of thumb' to determine optimal species and spacing for alley cropping systems in the tropics	Warkentin et al. 1990
AGFADOPT *(Agroforestry Adoption Evaluation Tool)*	Decision Tree KBS	KBS based on decision trees used to assess adoption of agroforestry based on economic and social factors faced by small-scale farmers	Robotham 1998
Agroforestry Planning Tool in China	Hybrid GIS, Models and KBS	Hybrid DST integrating GIS data, regression models plus expert knowledge to assess biophysical, social and economic suitability of *Paulownia* intercropping agroforestry systems	Liu et al. 1999
PLANTGRO *(Plantation and Agroforestry Species Selection Tool)*	Hybrid GIS/KBS	Plantation and agroforestry species selection tool integrates GIS and expert system on plant growth	Booth 1996; Hackett and Vanclay 2003
SEADSS *(Southeastern Agroforestry Decision Support System)*	Hybrid Database, GIS, KBS	Landscape and site-scale agroforestry planning and species selection DST for landowners and extension agents of Southeast US that integrates GIS, tree and shrub database and expert knowledge	Ellis et al. 2003

Table 3. Continued

Decision support tool	Type	Description	Reference
Conservation Buffer Planning Tools for Western Cornbelt Region, USA	Hybrid GIS/Models/ Visualization	Suite of GIS, economic models and visualization tool for landowners and resource managers to evaluate agroforestry strategies in Midwest Cornbelt region of the USA	Bentrup et al. 2003

ing the use and selection of agroforestry trees (Salim et al. 1998). Even though the AgroforesTree Database is recognized and linked to a variety of rural and agricultural development Websites, its specific use and impact on agroforestry research and development projects are difficult to assess at this stage.

Although not solely for agroforestry, the Forestry Compendium is an extremely useful database for agroforestry research and planning. The development of the Forestry Compendium was undertaken by both the Commonwealth Agricultural Bureau International (CABI) and International Union of Forestry Research Organizations (IUFRO) and consists of a compilation of knowledge on multipurpose forestry, including agroforestry, plantations, and natural forest management (CABI 1998; Kleine et al. 2003). The compendium gives information about what trees could be planted in a particular environment and for what purposes, how they will perform, how they should be managed, and provides current documents available regarding each species (Kleine et al. 2003). A Species Selection Module aids in decision-making for selecting suitable species according to a variety of criteria including geographical location, climate, type of use, and other management options (Kleine et al. 2003).

GIS applications in agroforestry

Considering that GIS technology is widely available and affordable today and the fact that agroforestry is directly dependent upon spatial characteristics, it is logical to expect to have several agroforestry-specific GIS DSTs; but the reality is that only a few are available. An early GIS application compiled information on 173 species including their descriptions, soil and climate preferences, and management characteristics for Africa (Booth et al. 1989). This application allowed users to query the database and generate maps showing the climatic suitability for different species. At a regional scale, Booth et al. (1990) created a similar application for Zimbabwe, demonstrating how GIS applications can be done at many scales. Unruh

and Lefebvre (1995) performed a similar GIS application for sub-Saharan Africa to determine areas suitable for different agroforestry systems. Integrating ICRAF's agroforestry database with spatial data on geographic regions, climate and land uses in the region, their application was able to map out potential regions for 21 specific types of agroforestry systems.

Most of the past agroforestry GIS applications mentioned above have been research-oriented. The Southeastern Agroforestry Decision Support System (SEADSS), developed recently by the Center for Subtropical Agroforestry (CSTAF) at the University of Florida brings on-line GIS capabilities directly to extension agents and landowners; it offers county soils, land use and other spatial data for selecting suitable tree and shrub species in a specified location (Ellis et al. 2003). The USDA National Agroforestry Center (NAC) is currently using GIS to facilitate conservation buffer planning in the Western Corn Belt ecoregion in the central United States (Bentrup et al. 2000). GIS-guided assessments, derived from publicly available datasets, are being used to evaluate four key issues of the Western Cornbelt: biodiversity, soil protection, water quality, and agroforestry products. By combining these assessments, information is generated for use in identifying opportunities and constraints on the landscape where multiple benefits from conservation buffers, especially agroforestry plantings, can be achieved (Bentrup et al. 2000). Utilizing the agroforestry product assessments (Bentrup and Leininger 2002) in conjunction with the riparian buffer connectivity assessments, areas were identified where riparian forest buffers could be located to improve habitat connectivity while offering landowners the option to grow woody florals for profit (G. Bentrup and T. Kellerman, presentation to 8th North American Agroforestry Conference, June 2003).

GIS-guided agroforestry suitability analysis will only improve as spatial data and computer resources become more accessible. Many states and countries already are assembling internet-accessible GIS data

Table 4. Uses, targeted end-users and current status of major decision support tools used in Agroforestry.

Decision support tool	Intended use	Targeted end-users	Current status and availability
AFSI (Agroforestry Systems Inventory Database)	General agroforestry research & planning for ICRAF	Researchers and ICRAF	No current versions or availability
MPTS (Multipurpose Tree and Shrub Database)	Species selection for agroforestry research & planning	Researchers & Extension Agents, Foresters	Upgraded to AgroforesTree
AgroforesTree Database	Species selection for agroforestry research & planning (World-wide)	Researchers & Fieldworkers	CD-ROM 1998 and currently available on-line from World Agroforestry Centre http://www.worldagroforestrycentre.org/Sites/TreeDBS/AFT/AFT.htm
Subtropical Tree and Shrub Database	Species selection and information for agroforestry extension, planning & development (American Subtropics & Caribbean)	Landowners, Extension Agents, Researchers	Currently under development and evaluation. Available on-line from Center for Subtropical Agroforestry http://cstaf.ifas.ufl.edu/tree&shrubdb.asp
Forestry Compendium Database	Species selection and information for forestry, agroforestry and plantation research and planning and development (World-wide)	Foresters, Policy Makers, Conservationists, Consultants, Extensionists	CD-ROM 2003 and internet version available through CABI International http://www.cabi.org/compendia/fc/index.asp
AME (Agroforestry Modeling Environment)	Development of agroforestry models for research	Researchers	Now SIMILE for building general ecology models available from Simulistics http://simulistics.com/
HyPAR Model	Research on biophysical process and interactions in agroforestry systems	Researchers	HyPAR v 4.5 available through Center for Ecology and Hydrology, Edinburgh, UK http://www.nbu.ac.uk/hypar/
HyCAS Model	Research on biophysical process and interactions in cassava agroforestry systems	Researchers	HyCAS available through Cranfield University, UK http://www.silsoe.cranfield.ac.uk/iwe/research/hycas.htm
WaNuLCAS Model (Water, Nutrient and Light Capture in AgroforestrySystems)	Research on biophysical processes and interactions in agroforestry systems	Researchers	WaNuLCAS v2.11 Available through World Agroforestry Centre http://www.worldagroforestrycentre.org/sea/Products/AFModels/WaNulCAS/index.htm
SCUAF Model (Soil Changes under agroforestry)	Environmental evaluation of agroforestry systems used for research and development projects	Researchers	SCUAF v4.0 available through Centre for Resource and Environmental Studies, Australian National University http://incres.anu.edu.au/imperata/imp-mods.htm
FALLOW Model (Forest, Agroforest, Low-value Landscape or Wasteland?)	Impact assessment on landscape dynamics due to socioeconomic and land-use changes	Researchers	FALLOW available through World Agroforestry Centre http://www.worldagroforestrycentre.org/sea/Products/AFModels/FALLOW/Fallow.htm

Table 4. Continued

Decision support tool	Intended use	Targeted end-users	Current status and availability
BEAM (Bio-economic Agroforestry Model)	Bio-economic assessment of agroforestry systems used for research and development projects	Researchers	Available through University of Wales, Bangor, UK http://www.safs.bangor.ac.uk/
AEM (Agroforestry Estate Model)	Evaluation of agroforestry physical and financial yields for planning & development projects	Consultants	Available through Forest Research, Rotorua, New Zealand http://www.forestresearch.co.nz
DESSAP (Agroforestry Planning Model)	Evaluation of feasibility of agroforestry systems planning & development	Planners, Managers, Extensionists	No current versions and availability unknown
ATK (Agroforestry Knowledge Toolkit)	Build agroforestry knowledge bases for research, planning and development	Development professionals	ATK 5 available through University of Wales, Bangor, UK http://www.bangor.ac.uk/~afs40c/afforum/akt5/akt5_frame.htm
AES (Agroforestry Expert System)	Alley cropping planning & Development	Land use officials, Researchers, Extensionists	No current versions and availability unknown
AGFADOPT (Agroforestry Adoption Evaluation Tool)	Assess Agroforestry adoption used in Dominican Republic	Researchers, Planners	No current versions and availability unknown
PLANTGRO (Plantation and Agroforestry Species Selection Tool)	Species selection and land use planning used for plantation forestry planning in Indonesia	Planners, Development professionals	Available through CIFOR TROPIS http://www.cifor.cgiar.org/scripts/default.asp?ref=research_tools/tropis/plantgro-infer.htm
SEADSS (Southeastern Agroforestry Decision Support System)	Site evaluation and species selection for agroforestry planning and development	Extensionists, farmers, land-owners	Currently under development and available on-line from Center for Subtropical Agroforestry http://cstaf.ifas.ufl.edu/seadss.htm
Conservation Buffer Planning Tools for Western Cornbelt Region, USA	Facilitate planning and designing conservation buffers for multiple objectives	Planners, Landowners	Various tools available through National Agroforestry Centre http://www.unl.edu/nac/conservation/index.html

clearinghouses to facilitate the use of spatial information.

Agroforestry models

Computer-based agroforestry models are useful for efficient handling of the many social, economic, and ecological variables that must be considered when dealing with the highly complex systems created by agroforestry. Output from these models can assist in evaluating agroforestry alternatives, testing research hypothesis, and understanding the processes and interactions in these systems, potentially saving time and money (Jagtap and Ong 1997; Muetzelfeldt and Taylor 1997). A concerted effort to start developing and implementing agroforestry models began in the mid 1990s with the Agroforestry Modeling Project (AMP). AMP was funded by the Forestry Research Programme of the UK Department of International Development and undertaken by the University of Ed-

inburgh in association with other universities (Nottingham, Reading, North Wales and Cranfield), ICRAF and the International Institute of Tropical Agriculture (IITA). The main objectives of this project were: 1) promote liaisons between agroforestry modelers and researchers in order to add value and rigor to information obtained from experiments and models, and to improve advice given to farmers; 2) promote the integration of information obtained from agroforestry models and experiments; 3) develop process-based agroforestry models which address tree, crop and soil interaction (C, N and water cycles); 4) use the models to test hypotheses regarding competition between trees and crops for light, water and nutrients; and 5) define optimal agroforestry practices for different regions (Lawson and Cannell 1997).

One of the most fundamental products that came from AMP was the Agroforestry Modeling Environment (AME). Developed by Edinburgh University, AME is a tool to help visualize, construct, integrate and exchange agroforestry models. It uses a user-friendly, object-oriented environment for model construction where users can select, characterize and link predefined components and run mathematical processes (Muetzelfeldt and Taylor 1997). Users can construct models without programming by drawing model diagrams and using easily understood concepts such as 'sub-model,' 'compartment' and 'flows.' The objective of AME is to stimulate rapid model development, re-use and standardize model components developed in the past, increase the ease of building and comprehending models, and increase the efficiency and effectiveness of the modeling process (Muetzelfeldt and Taylor 1997).

Another important product that came out of AMP is the HyPAR model. It was recognized that agroforestry models needed to synthesize experimental and empirical data on tree and crop interactions; pinpoint and prioritize knowledge gaps; extrapolate research results to new combinations of soil, climate, species and management conditions; and to provide decision support to policy makers, researchers and extension staff (Mobbs et al. 2001). HyPAR combines two models: 'Hybrid,' a forest canopy model developed by the Centre for Ecology and Hydrology, and PARCH, a crop growth model developed by the University of Nottingham for application in the dry tropics. HyPAR incorporates biophysical processes to calculate light interception through a disaggregated canopy of individual types of trees in known positions along with water competition, nutrient competition, daily

carbon allocation, hydrology and the impacts of different management scenarios in crop-tree agroforestry systems (Mobbs et al. 2001). A friendly, graphical user-interface guides the user input and running process with a set of menus and dialogs, allowing rapid editing of input parameters and simulation settings. In a test using a site in Ghana, the model gave validated outputs for net primary productivity in natural forest/woodland vegetation and potential sorghum grain yield. It has been shown to be useful in exploring opportunities for complementarity of light and water use by trees and sorghum in a wide variety of climates (Cannell et al. 1998; Mobbs et al. 1998).

A similar model is WaNuLCAS (Water, Nutrient and Light Capture in Agroforestry Systems), designed to model tree-soil-crop interactions for a wide range of agroforestry practices (van Noordwijk and Lusiana 1999; World Agroforestry Centre 2003b). WaNuLCAS incorporates a plant-plant interaction model focusing on above- and below-ground resource capture in a competitive situation (van Noordwijk and Lusiana 1999). The model links mulch production, its effect on soil fertility and shading effects of trees on crop yields (van Noordwijk and Lusiana 2000). The model allows different management options such as plot size, tree spacing and choice of tree species, cropping cycles, pruning, organic and inorganic fertilizer inputs and crop residue removal (van Noordwijk and Lusiana 2000).

SCUAF (Soil Changes Under Agroforestry), a nutrient cycling model that predicts annual changes in soil conditions (e.g. soil erosion, carbon, nitrogen, phosphorus and organic matter) and the effect of soil changes upon plant growth and harvest, has been used for agroforestry research since the early 1990s (Young and Muraya 1990). Research of miombo woodlands in Zimbabwe for agroforestry purposes utilized SCUAF (Vermeulen et al. 1993), as did a more recent project sponsored by the Australian Centre for International Agricultural Research (ACIAR) and the Center for International Forestry Research (CIFOR) entitled, 'Improving smallholder farming systems in *Imperata* areas of Southeast Asia: a bioeconomic modeling approach,' (Menz et al. 1998). For this project, SCUAF was used in the evaluation of replacing *Imperata* fallows with the use of *Gliricidia* fallows as a means to increase soil nutrient concentrations and reduce erosion (Grist et al. 1998). Magcale-Macadong et al. (1998) used SCUAF to demonstrate the use of napier grass (*Pennisetum purpureum*) to control soil erosion, aid productivity of hedgerow agroforestry systems by

providing mulch, and improve livestock systems by using it as feed.

FALLOW (Forest, Agroforest, Low-value Landscape or Wasteland) is a model that scales-up the assessment of land-use systems by evaluating the impacts of shifting cultivation or crop-fallow rotations at a landscape scale (van Noordwijk 2002; World Agroforestry Centre 2003c). It can take into account a mosaic of land-use plots (100 fields) within the landscape and investigate transitions in soil fertility, crop productivity, biodiversity and carbon stocks based on the dynamics of different land-use scenarios (van Noordwijk 2002). FALLOW is unique in comparison to many other models because it considers the roles of stakeholders in transforming landscapes, as well as stakeholders' feedbacks caused by a changing landscape (van Noordwijk 2002).

The Bio-Economic Agroforestry Model (BEAM), developed by the University of Wales, predicts the physical and financial performance of agricultural monocultures and silvopastoral and agri-silvopastoral polycultures under different scenarios. Originally designed to only address poplar (*Populus* spp.) systems in conditions present in the United Kingdom (Willis et al. 1993), BEAM is now sufficiently generalized to allow users to predict performance under a variety of managerial, silvicultural and economic conditions (Willis and Thomas 1997). BEAM was incorporated into the ACIAR and CIFOR project mentioned earlier as a means to evaluate the bio-economic impact and interaction of rubber plantations on *Imperata* grass. Purnamasari et al. (2002), using a modified version of BEAM to assess the impact of Indonesian rubber production under uncertainties of prices and climate, concluded that as a risk aversion strategy, it was better to use lower planting densities, undertake longer rotations and start tapping later in the life of the trees.

Many of the models presented above, and as noted in Table 4, are complex, predominantly used by researchers, and not very friendly to the layperson. Although some have been applied outside research (for example, BEAM and SCUAF), there is little evidence of use by decision makers, planners, extension agents and landowners. While many current versions of these models can still be obtained, there has not been a notable effort of their application toward extension and planning purposes.

### Agroforestry knowledge-based or expert systems

The Agroforestry Knowledge Tool Kit (AKT) is perhaps the best initial attempt so far to construct and develop a 'true' KBS applicable to agroforestry (Walker et al. 1995). Recognizing the dearth of quantitative data in agroforestry and the need to consider a variety of information from a multidisciplinary range of sources (including farmers), AKT provides a framework to synthesize heuristic knowledge related to agroforestry systems and their ecological dynamics. AKT applies a formal language representation system to store and link together knowledge collected from different sources, which users, by using diagrams or a text-based interface, can access and infer the knowledge base in a flexible manner. Inferences on the knowledge base are especially useful in detecting gaps in the knowledge base and in extracting information for extension and planning purposes. Its application in representing temporal and spatial aspects of agroforestry, however, is limited (Walker et al. 1995).

AKT's use by researchers and development professionals includes the development of knowledge bases for agroforestry systems in South Asia, Southeast Asia and Africa, such as tree-fodder systems in Nepal, fermented tea production in the hills of Thailand, rangeland management with trees in Tanzania, and the Kandy forestry gardens in Sri Lanka. During 2001, ICRAF and the University of Wales conducted workshops for research institutions and NGOs (nongovernmental organizations) in Thailand on the value of local ecological knowledge using the current AKT-5 (World Agroforestry Centre 2003d). Currently ATK is being used for various projects funded by the United Kingdom Department for International Development (DFID) (Dixon et al. 2001).

Hybrids and combination decision-support tools

Many DSTs used in agroforestry involve the use and integration of several different types of computer-based technologies. In China, a knowledge-based model developed for regional agroforestry planning of *Paulownia*–crop intercropping (PCI) integrates GIS, regression models and expert knowledge in order to spatially determine the biophysical, social and economic suitability of PCI within a planning area landscape (Liu et al. 1999).
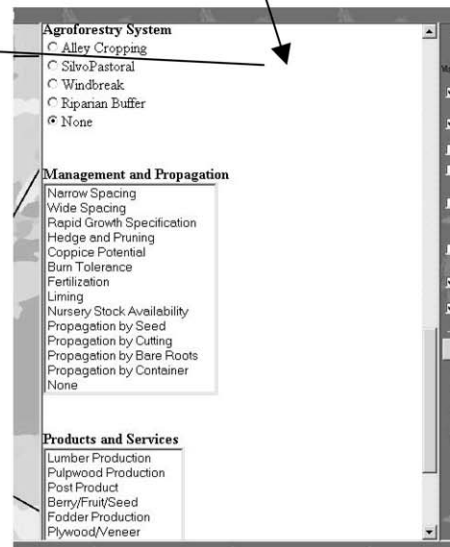
CSTAF's SEADSS mentioned earlier is developed to address agroforestry problems at various scales (site
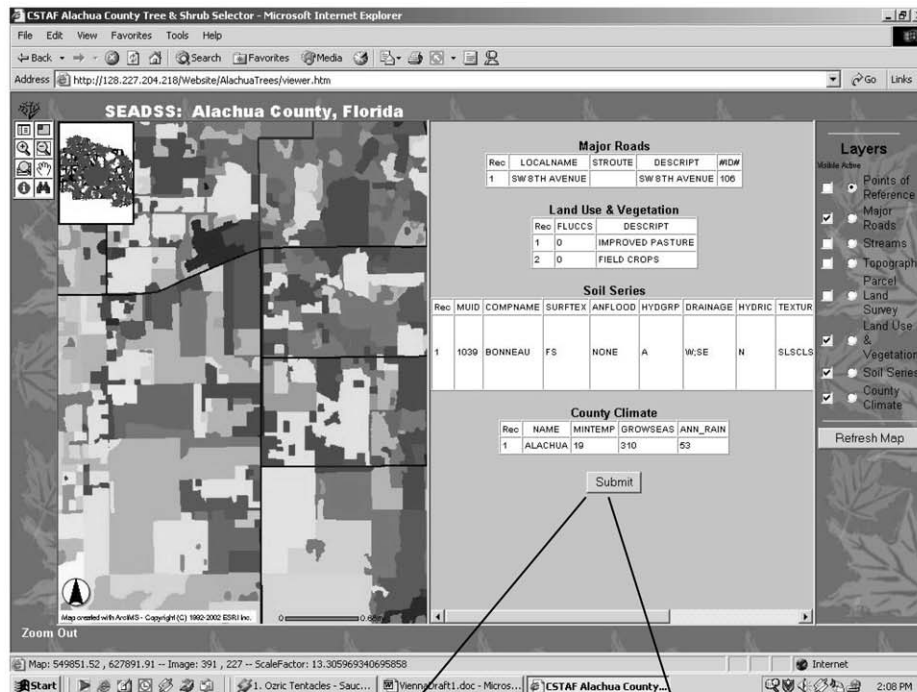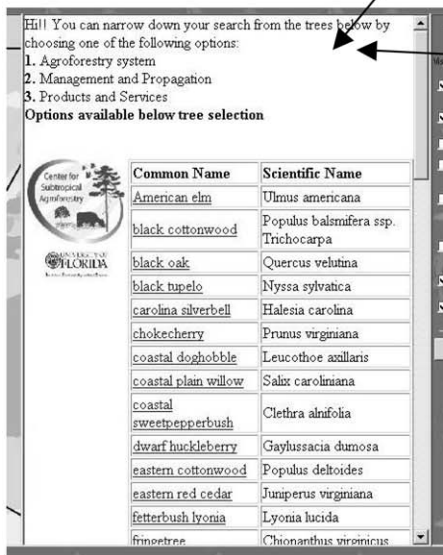
Figure 1. Hybrid decision support tool integrating GIS, sub-tropical tree and shrub database, and expert knowledge for agroforestry species selection in southeastern counties. Source: Ellis et al. 2003.

and landscape) and for different purposes (farm production and rural sustainability). It is an on-line hybrid DST linking county-level GIS, a subtropical tree and shrub database and expert knowledge to evaluate suitable tree or shrub components for specific sites and agroforestry practices (Ellis et al. 2003) (Figure 1). SEADSS selects trees from the database using queries that take into account climate and soil parameters from a selected geographic site and management criteria. Expert knowledge incorporated into SEADSS's database queries was obtained by surveying agroforestry professional using questionnaires to determine the following: 1) desired morphological and habit characteristics of species for each agroforestry practice, 2) economic and environmental services obtained from an agroforestry system, and 3) optimal plant characteristics for different environmental services (e.g. erosion control) (Ellis et al. 2000). SEADSS's intended end-users are landowners and extension agents, and their inputs and participation are essential during the validation and evaluation process.

Researchers and technology transfer experts at the USDA National Agroforestry Center and the University of Missouri recognized the need for a diverse range of DSTs to accommodate each unique setting in which agroforestry may be applied. Their Conservation Buffer Planning Project is developing a variety of DSTs (e.g., GIS-guided assessments, visual simulations, and cost-benefit models) to address the biophysical, social and economic issues critical in the planning and designing of systems that can better balance production with environmental stewardship (Figure 2) (Bentrup et al. 2000). The diversity of DSTs incorporated into this project reflects the need to meld concerns and objectives that occur at various spatial scales, the variability of information that may be available, and the recognition that people are differentially influenced in a decision-making process.

Challenges and opportunities for agroforestry decision-support tools

The major challenge in the development of effective computer-based DSTs for agroforestry is dealing with its complex nature. This challenge is further exacerbated by the limited knowledge base we have to work with currently and the many unresolved issues, making the decision process in agroforestry planning even more complex. Regardless of this challenge, the need for these tools is becoming more imperative, especially for extension and planning activities.

From databases to GIS and knowledge bases

DSTs incorporating databases are extremely valuable in organizing and accessing data and information. A database inventorying and describing agroforestry systems, such as AFSI, is extremely valuable and has great potential to be integrated into a GIS to evaluate the spatial distribution, characteristics and species components of agroforestry systems throughout the world. To ensure usability, databases need to be developed, tested and evaluated with end-user involvement. Up-front participation by end-users will result in better matching the tool to the end-user's needs and capabilities, and in increasing the awareness and therefore subsequent use of the tool by the end-user groups. The greater the utility for and use by end-users, the greater the support to maintain its utility and use over time. For example, AFSI has not been upgraded and does not appear to be used.

Descriptive and biophysical data for a majority of species are still unknown, making it difficult to develop useful databases. For instance, much of the early research on agroforestry species has concentrated on the aboveground productivity and has paid little attention to belowground issues such as, root characteristics and root responses to management practices (Sinclair 1996). As new pertinent information becomes available, databases will need to be updated to maintain their value and future utility. Database developers will therefore need to consider potential mechanisms for maintaining and upgrading their database. For example, AgroforesTree is both maintained and available on-line, making it more popular than its predecessor (MPTS).

Although the adoption of GIS applications has been slow in agroforestry research and planning, this trend is changing as a greater variety of spatial data is becoming accessible and affordable. Data on soils and land use are often free, and remotely sensed images (aerial photographs and satellite imagery) are also now available free or at very low costs. As GIS technology continues to become cheaper and easier to use, integrating agroforestry databases with geo-referenced data will occur due to the spatial nature and landscape issues involved with agroforestry planning and development. Users of this technology may not even be required to have GIS software and hardware with the advent of on-line GIS applications like SEADSS,
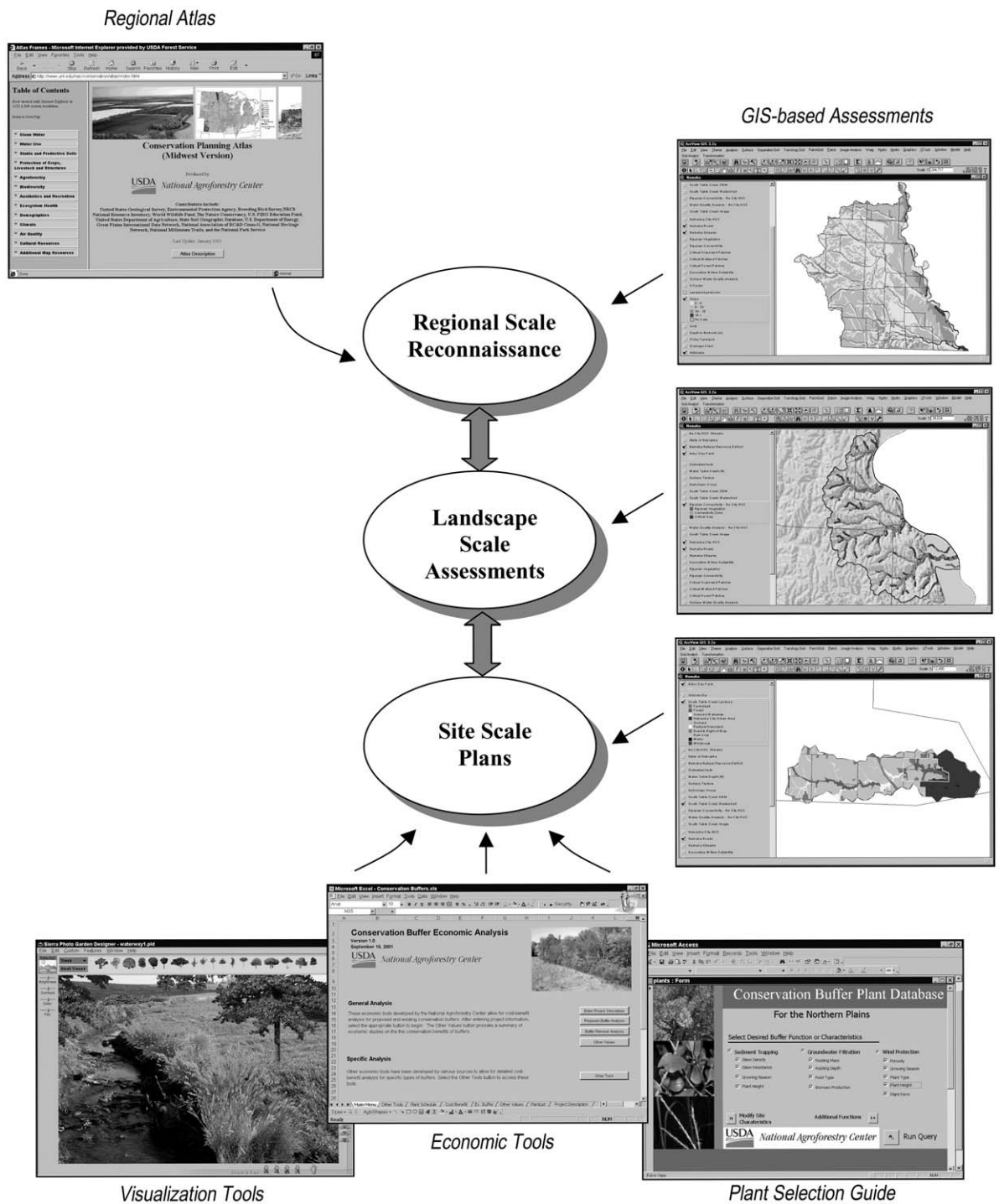
Figure 2. Suite of computer-based tools being developed for a multi-scale and multi-issue conservation planning process for agroforestry buffers in the Western Corn-belt Ecoregion (Bentrup et al. 2000).

for example, which offers county-level GIS to extension agents and landowners within a user-friendly interface.

Knowledge-Based Systems have value because effective decision-making in agroforestry must involve the use of all available scientific, professional, and traditional information and knowledge. Currently much of our agroforestry knowledge is incomplete, contentious, observational, and qualitative. KBS overcomes some of these problems by tying these diverse sets of information together for the purposes of evaluating and synthesizing qualitative and traditional knowledge in addition to conventional research on agroforestry practices and systems (Sinclair and Walker 1998; Walker and Sinclair 1998; Dixon et al. 2001). Dixon et al. (2001) list some examples of reasoning tasks that knowledge bases could provide, including 1) generating synthesized reports on the current state of knowledge for a specific topic, 2) exploring the knowledge base to identify discrepancies between scientifically and locally derived knowledge, 3) correlating scientific information with professional and traditional knowledge, and 4) identifying key gaps in understanding agroforestry topics and practices.

From models to hybrid and combined decision-support tools

Much effort has focused on building sophisticated agroforestry models for research. Jagtap and Ong (1997) suggest that the ultimate goal of modeling should be to increase the relevance and efficiency of research by integrating the major social, economic and biophysical driving forces influencing agroforestry practices. They have identified several areas still in need of research that they feel are necessary for the development of efficient and successful models, including below-ground interactions, root architecture and dynamics, organic matter turnovers, nutrient and water competition, and livestock grazing on agroforestry practices. One potential result of these modeling efforts will be whole-farm evaluations that assess the suitability of agroforestry options to meet landowner and societal expectations of the land. In addition, models should be linked to GIS to predict where and how agroforestry technologies might be used; facilitating research and development, technology transfer, and policy and program activities (Bentrup and Leininger 2002).

Many of the models developed to-date have proved to be difficult to use for many practitioners, requiring large sets of specific parameter information that are frequently unavailable. As a result, some models have been hard to use for environments different than those in which they were originally developed, creating frustration among potential users of the models. While this highlights the need to collect additional and standardized data on crop, tree, soil and climate parameters for different geographical regions, it also clearly demonstrates the need to link the models with users' needs and resources.

Due to the variety and complexity of computer-based tools, agroforestry researchers and practitioners will need to partner with experts in computer science to develop effective and efficient DSTs (Walker and Lowes 1997). Through the development and evaluation of DSTs, knowledge gaps and future research needs can be determined. As with any innovative technology, agroforesters must be careful, however, not to view the DSTs as the ends but as a means to assist decision-making. As Wu and Hobbs (2002) state, 'We need to avoid having powerful methodologies in search of meaningful questions to answer; rather we need to seek the right techniques to answer pressing questions.'

Future direction for DST development in agroforestry

To place this review into the larger context of decision support, we need to step back from the specifics of the technology. Critical up-front questions need to be addressed as computer-based DSTs are being developed:

What is the primary purpose of DSTs?
What issues will the DSTs address?
Who will be the end-users of DSTs?
Who will develop the DSTs?
Who will implement/maintain the DSTs after development?

As mentioned earlier, many of the DSTs developed for agroforestry systems to-date are for testing research hypotheses. The tools primarily explore and evaluate the multitude of biophysical parameters for determining the key interrelationships in agroforestry practices. Developed primarily for research purposes, these tools play a valuable role in building the scientific foundation of agroforestry and will continue to be an important area of focus. On the other hand, development of DSTs for the adoption, planning, and implementation of agroforestry practices is vastly

lacking in comparison to research-based applications. Developers of research-based tools often make the assumption that their applications can be easily used in the planning and design process of agroforestry systems. The answers to the critical questions guiding tool development, specifically, what is needed to influence end-user decision making, are more often than not different in research and planning-driven applications. The primary purpose for tools in research is to address the *why* of agroforestry practices, whereas in the planning and design process, the focus is on the *what*, *where* and *how.*

Because of the time and cost involved in the development of DSTs, they should be targeted to match end-user's needs and resources (Robinson 1996). Users of agroforestry tools for planning and design are primarily landowners and resource professionals working together in partnership to develop agroforestry plans. In this case, when the end-users are not directly involved in the development process, the result will be ineffective tools that do not respond to users' problems and needs, creating a waste of project funds and bitter feelings between developers and users (Hoag et al. 2000, Turner and Church 1995).

DSTs for research by necessity tend to focus on a limited number of issues while landowners must necessarily incorporate numerous and diverse issues in their decision-making process on whether or not to adopt agroforestry practices (Walker and Lowes 1997). Due to each individual's unique situation, resources, and personal value system, these biophysical, economic, and social issues are weighted differently in every potential application of agroforestry. The DSTs we create for agroforestry planning and design must be flexible enough to accommodate the range of potential issues and each individual unique decision-making process. Again, due to the nature of these issues, they need to be analyzed and synthesized at a variety of spatial scales. For instance, Helenius (1995) points out the advantages of being able to plan for ecological pest management at the landscape level where 'the benefits of improved logistics and economy of scale may provide sufficient incentive for the necessary local cooperation between farmers.'

Because one DST will not satisfy all of these demands, a suite of tools must be created to address the variety of issues at multiple scales. Ideally, these should be loosely coupled rather than intricately woven together. This approach allows users to select the DSTs appropriate for their situation and it facilitates the integration of new tools into the planning process.

From this brief discussion, several important principles are listed that can serve as a starting point for developers embarking on tool development. The DSTs should be:

- Focused on the what, where and how
- Capable of addressing multiple issues
- Developed with user participation
- Suitable for multiple spatial scales
- A loosely coupled suite of tools, and be
- Amenable to standardization of data formats.

Several projects illustrate some of these principles. SEADSS, for example, is working with landowners and extension agents to develop an online GIS application for plant selection at site and landscape scales (Ellis et al. 2003). The Comprehensive Conservation Buffer Planning Project offers a suite of loosely coupled DSTs for simultaneously addressing multiple issues across multiple scales (Bentrup et al. 2000). This project is also expanding the traditional definition of DSTs used in agroforestry by broadly considering the landowner adoption process. One such category of tools is computer-based visual simulations that can graphically depict future agroforestry scenarios at various spatial and temporal scales. This enables landowners and other stakeholders to better conceptualize and understand the agroforestry alternatives and it seems to be more influential in landowner/stakeholder adoption than information generated by ecological or economic DSTs (Al-Kodmany 1999; Nassauer et al. 2001).

Successful application of agroforestry systems depends upon pulling together diverse sources of information, in a manner that responds to users' needs and resources. Computer-based DSTs that accommodate these tasks can greatly facilitate the decision-making process that seeks to simultaneously balance environmental and production goals that meet landowner and societal needs. As Nassauer et al. (2001) state, we must go beyond providing tools that only address the ecological and economic aspects of sustainability and provide those that also enhance the cultural sustainability of agroforestry systems; that is, it must elicit sustained human attention over time or else the benefits may be compromised as land ownership changes, as development pressure increases, or as different political viewpoints arise.

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