#### EAST ASIAN LIBRARY RESOURCES GROUP OF AUSTRALIA

### Newsletter No. 58 (July 2011)

# Collection Development in the Digital Age: Changing Roles of the National Library of China

#### Ben Gu

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**The National Library of China** (NLC) was founded in 1909. With three buildings respectively built in 1931, 1987 and 2008, it celebrated it's centenary anniversary in 2009.

#### **Outline of the NLC's Collections**

The NLC has a large and comprehensive collection, with materials printed or digital, in Chinese or in foreign languages. As of the end of 2010, the NLC has a total collection of 29 million volumes/items, with an annual addition of about 2 million volumes/items, ranking the fifth among national libraries all over the world. One of the earliest collections in the NLC is that of Royal Jixidian (缉熙殿) in the Southern Song dynasty. The earliest-dated collection is that of the inscriptions on animal bones and tortoise shells in the Shang dynasty (about 3000 years ago). The NLC's special collections of treasures include a million volumes/items of rare books, epigraphs and rubbings, ancient maps and atlas, Dunhuang scrolls, revolutionary historical documents, local histories and genealogies, and publications on China or by Chinese authors. Among earliest editions in foreign languages, there are incunabula printed in Europe during 1473-77. The NLC defines its Chinese rare books as books published before the 18th century and its foreign rare books as books published before the 20th century.

The NLC holds the largest collection of Chinese publications in the world, and aims to collect all books published in China. The NLC also puts emphasis on the acquisition of gray literature in China, and has a large collection of publications in library and information science, a center of Chinese yearbooks and a special collection of publications of Taiwan, Hong Kong and Macau regions. The NLC is a depository library of Chinese doctoral dissertations, recognized by the Committee of Academic Degrees, the State Council.

The NLC holds a collection of publications in foreign languages dating from the 1920s, the largest of its kind in China. It could be traced back to books from church libraries, libraries in early foreign settlements, etc. As one of the depository libraries of UN publications, the NLC collects publications of international organizations and foreign governments, such as the World Bank, the World Trade Organization and International Civil Aviation Organization.

With the development of media and information technology, the NLC has been collecting numerous items of microforms, AV materials and multimedia resources. In the digital age, the NLC is digitizing its special collections and subscribing to some database of electronic serials and monographs.

#### **Collection Development Policy**

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The collections of the National Library of China can be divided into four general categories, namely, preservation copies, basic collection copies, reading copies and lending copies. The preservation copies are collected for long-term preservation and are not for loan. The basic collection copies can be circulated for internal reading. Both the preservation copies and the basic collection copies comprise the permanent assets of the library. The lending copies are acquired for lending purposes, and can be discarded according to their usages. In principle all the first copy of Chinese publications are considered to be preservation copies, the second copies are considered to be basic collection copies, and the remaining copies are considered to be reading or lending copies. In terms of the foreign languages collection, the NLC has one copy for most purchased titles.

The National Library of China acquires its new Chinese language acquisitions through legal deposit, donation and purchasing, and new foreign publications through purchasing, donation and international exchange. The Library has collection coordination and resource sharing cooperation agreements with the Library of the Chinese Academy of Agricultural Sciences, the Medical Library of the Chinese Academy of Medical Sciences, the China Geological Library and the PLA Academy of Military Sciences, that each library focuses on its own collection respectively on agricultural sciences, medical sciences, geological sciences.

The foreign languages collection contains wide coverage of geographical areas and diversity of languages. There are publications in more than 130 languages in the library.

In principle the collection development policy of the library is "Completeness for Chinese publications and quality for foreign publications", which means that the library should collect all Chinese publications and best foreign publications. This practice will enable the NLC to become an international center of Chinese publications and all publications about China and the Chinese published around the world. The NLC plans to further develop its collection containing traditional characteristics in Chinese ancient books, Orientalia, history of arts, archeology, Dunhuang scrolls, natural history, genealogy, local chronicles and yearbooks.

Provided that there are enough financial and human resources, the NLC will consider collecting all materials in China, and become a national depository of printed memories.

#### **Chinese Printed Publications**

The National Library of China receives all legal deposit copies of Chinese publications, and then classifies them into the following categories: permanent collection copies, temporary reading and reading/lending copies. In addition, the library purchases additional copies of Chinese monographs for reading and lending purposes. The library collects all Chinese doctoral dissertations and post-doctoral research papers, and selectively collects Chinese master's theses and overseas doctoral dissertations on China or by Chinese authors. The library puts emphasis on the collection of scholarly books published in Taiwan, Hong Kong and Macau regions, and tries to collect all books published in these regions.

In China, there isn't any legal deposit law, but there are some regulations promulgated by the State Council and the General Administration of Press and Publications (GAPP) under the State Council. According to these regulations, legal deposit copies of books, journals, newspapers and A/V materials should be sent to the GAPP (1 copy), the National Depository Library (NDL) under GAPP (1 copy) and the National Library of China (NLC) (3 copies). However, these regulations are sometimes not very effective.

Because the NLC is not responsible for the ISBN registration and there is no legal deposit law, the NLC is very hard to acquire all the new publications of China. Publishers give their top priority to the legal deposit copies to GAPP and then to the NLC. Acquisitions librarians have to contact publishers every year to remind them to send legal deposit copies and prepare reports to GAPP, so that GAPP can pay more attention to the

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NLC legal deposit issues in their management of publications. The legal deposit rate of books is about 70%, not including children's books, juvenile books, school textbooks and teacher's manuals. To make the collection and the bibliographical databases as complete as possible, the NLC has to pay extra money to purchase books which are not received from the legal depository.

In recent years, the NLC discusses the legal deposit issues regularly with GAPP to ask them to implement related regulations more strictly. We expect to see a higher legal deposit rates in the future. We are also appealing to draft a national library act, which should look into the legal deposit issues.

#### **Foreign Printed Publications**

The National Library of China has four levels of acquisition priorities for printed materials published in foreign countries according to the contents of the materials. The highest level is the Comprehensive Collection of all publications in the following aspects:

- Works by Karl Marx, Friedrich Engels, Vladimir Il'ich Lenin, Joseph Stalin, Mao Zedong, and other notable communist leaders;
- Publications on China and the Chinese;
- Works by heads of foreign governments or leaders of major political parties;
- Works by major prize-winners, such as Nobel prize winners;
- Important works in science and technology;
- Works by notable thinkers in the humanities and social sciences;
- International laws and agreements, constitutions of various countries, important laws and regulations;
- Important comprehensive encyclopedias, dictionaries, yearbooks, etc.

Then, there are the second level for the Key Collection of important academic works, such as overseas Chinese publications and foreign translations of Chinese books, works by overseas Chinese, representative works of major literary and artistic schools, monographs in library and information science, books relating to the neighboring countries; the third level for the Selective Collection of some textbooks, literary works and popular books; and the fourth (the lowest) level for the general children's books, low-level textbooks, patents, calendars, technical reports, etc., which are not to be collected in the library.

During 1949-1978, the NLC focused its collection development on materials in science and technology, which accounts for about 80% of its collections in foreign languages. Since 1978, especially since the mid-1990s, NLC has been adjusting its foreign collections, and puts emphasis on materials in social sciences and the humanities now.

In recent years, the NLC is considering to expand its foreign collections in social sciences and the humanities. For example, the NLC reduces the subscriptions to journals in sciences and technologies in printed formats, and instead increases the subscriptions to databases in these fields to meet the needs of users, and to avoid duplication of printed journals collected by NSTL (National Science and Technology Library) and other library consortia. In the meantime, NLC is substantially increasing the subscriptions to printed journals in social sciences and the humanities for national strategic purposes.

In the Foreign Acquisitions & Cataloging Department, there are staff members who have the following foreign language skills: English, Japanese, Russian, French, German, Spanish, Italian, Arabian, Korean, Vietnamese, Hindi, Thai, Mongolian, etc. They are responsible for the acquisitions and cataloguing of all foreign materials, which have a total of 3.5 million volumes now in 87 languages.

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#### **Digital Resources and Other Types of Materials**

The National Library of China puts emphasis on the collection of ancient Chinese books, modern deluxe or limited editions, modern local chronicles and genealogies, materials relating to Dunhuang grottoes, manuscripts of notable authors, documents in the languages of ethnic minorities before 1911, photographs of the heads of the government or famous international figures.

For audio/video materials, the library selectively collects representative works in politics, economics, history, geography, culture, society and arts according to the demand of the users.

For microform materials, the library collects microform reproductive items of Chinese periodicals, documents on China and the Chinese, materials of the UN and some foreign governments, documents related to the Far East.

For electronic publications, the library receives legal deposit copies, selectively purchases reference works, ancient books, important abstract and index databases, important full-text electronic journals according to the needs of the users.

According to the Copyright Law and related regulations, library can have digital copies of titles with printed copies in the library's holdings, and can provide electronic reading services inside the library. The NLC is digitizing Chinese materials step by step according to priorities, and making efforts to solve copyright problems for new Chinese publications. The digital documents without copyright problems are provided for public use. Other digital materials are just for preservation of provided for limited use.

As of December 2010, the NLC has a total volume of 480 TB of digital resources, including 1.6 million of electronic books, 44,000 titles of electronic journals, 3100 tiles of newspapers, 2.8 million of dissertations, 2.4 million of conference articles, 515,000 audio clips, 810,000 hours of video materials, 105 Chinese databases and 114 foreign databases.

The NLC provides the remote access to 27 Chinese databases and 5 foreign databases, the public Internet access to 5 children's databases, and the access to 2 foreign databases with national licenses. If the technical problems of user authentication are solved, the NLC will provide the remote access to more databases, thus serving to users all around China.

The following "All Characteristic Databases" are available for open access via the NLC's web site:

(2) 中国古籍保护网	在线工具	特色资	游库				海外中华古籍签纂库
· 中华各北风	• <b>IR</b> #	Different M	-	2		() お子方志	<ul> <li>中华古籍書本国師联合书目</li> <li>东京大学东洋文化研究所最次が 古篇全文影像资料库</li> </ul>
馆外数字登算	<ul> <li>- 宇典辞书</li> <li>・年 表</li> </ul>		NAME OF COLUMN	accenters.	CLICPE &	MT/16	<ul> <li>始佛大学哈佛燕东图书馆藏善;</li> <li>特藏资源库</li> </ul>
<ul> <li>馆外学术机构导航</li> <li>馆外资源库导航</li> </ul>	· 6 科	( <b>小</b> ) 年函数英	REE	REAT!	民間法律	古代典籍	古籍资源导航

Chinese Memory: http://www.nlc.gov.cn/hxjy/index.htm

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- Dunhuang Scrolls
- Xixia Documents
- Local Histories
- o New Year Paintings
- Books of the Republican Period (1911-1949)
- Journals of the Republican Period (1911-1949)
- Laws of the Republican Period (1911-1949)
- Ancient Classics

#### Chinese Genealogies: http://ouroots.nlc.gov.cn/

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- And Andrews Provider of the Table	首页   遺屬  多邦3	2献 ( 表的空同 ) 寻极百利	1) 特別 (姓氏专题	<b>1</b>
"中 琴 接 (現 周)" "中 琴 接 (現 周)" 是以現供性民用这些客層量進展券 为核心、主使為內外卒人等作用血活的 的周格中台。"中平等很同" 记录各級 單級世界、月史、反映中平民通生息、 聲的、此格。各千的月根、目窗展供	春间在线宫语 41氏:		祥   孙   胡   曹   高   著   孫   色   罗   伍   奏   毛   祥   孙   胡	
40多个核武器度, 500多部家语参4000 种品也文献所闻觉, 以及自建家语, 变 发制设的东始服务, "中华号桥所" 是国家副书馆与通 门基金会开展的文化合作项目, 目前第 一都建定已经完成, 是一面相推门种能 能将, 进门基金会合全调成协学录导译 公式公式之前,	● 体体系并 原式映道 形式按照 形式形频道 若南高彩的乐频道 常式通彩	<ul> <li>中国各部プリテス会 中国 教授 教授 教授 成長 会人妻</li> <li>東京</li> </ul>	◆ 子水 手水 世界線氏奈美祥 中日北東系氏 国家田市市 京道教展本 上海西村市 高速教展本 出江西村市 高米酒堂中面開進中心	R.S.

• Virtual Museum of Book History: http://www.nlc.gov.cn/hxjy/index.htm

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Doctoral Dissertations (Limited access): http://res4.nlc.gov.cn/home/index.trs?channelid=3



• Chinese Books (Limited access): http://res4.nlc.gov.cn/home/index.trs?channelid=2

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	7-5104-0465-5 ETRINE	考名:25岁女人的成材计划           学者:結構電生論           558N:978-7-5104-0556-3           出版单位:新世界出版性           考名:適味执牙           考名:這味我早           考名:這味我早           作者:王弟読著           ISBN:978-7-227-04021-7           出版单位:宁夏人员出版社	<ul> <li>交通変筆(339)</li> <li>配豆、截天(362)</li> <li>综合性医部(369)</li> <li>文学(45699)</li> <li>数遅科学和化学(4965)</li> <li>哲学、宗教(10199)</li> <li>文化、科学、数章、体章(156)</li> <li>西史、地球(2166)</li> <li>天文字、地球科学(1701)</li> <li>生物科学(3604)</li> </ul>
	100	*名:羊皮魯智慧単単 作者:村文力論著 (SBN: 978-7-80675-669-1) 出版単位:内蒙古文化出版社	<ul> <li>         び境和学、安全和学(2341)         <ul> <li></li></ul></li></ul>

• Platform for cross database search of All Characteristic Databases: http://res4.nlc.gov.cn/home/index.trs?channelid=19

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	国家西书馆自2000年开始进行馆藏资源的数学化加工工作,目前自播数学资源内容涉及中文电子西书、博士论文、英国文献、在 依洪崩、在线展览、甲骨实物与甲骨后片、数堆文献、金石板片、地方车、西夏文献、年高、老瓶片,香像资源等,包括文平、图像、 音频、现频等多种类型、截至2010年度、数学资源非量已这48078,其中全文数据内容近2亿页。 本特色资源库、以国家西书馆自道特色数学资源为主,实现网络文方资源非量超过2018。本库文献画盖了中文西书、博士论文、 英国文献(图书、前书和玉像)、香城想、数字方本、甲骨实物与甲骨板片、金石板片、西夏文献、年高、中国学数据常等。为了方便 读者使用,系统通过整合各种资源库,实现了对多种类型资源的跨声检索。读者可以在线域到馆检索、刘龙、阅读这些资源。	

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#### Conclusions

With the development of the National Digital Library Project, the National Library of China will collect more digital publications and promote the dissemination of Chinese publications to the world. The collection development policy will be revised in regular basis to reflect the latest development of librarianship in China. However, the general principle will not be changed: to preserve our cultural heritage and to provide service to the users.

#### Appendix I:

#### **Table: Collections of NLC**

Category	Language	Sub-Category	Volume/Item	
	Chinese	General	5,413,505 (Vols.)	
Monographs		General Ancient Monographs	1,648,206 (Vols.)	
		Monographs Published in Taiwan, Hong Kong, etc.	200,068 (Vols.)	
		Subtotal	7,261,779 (Vols.)	
	F .	Western Monographs	1,895,277 (Vols.)	
		Russian and Related Monographs	622,147 (Vols.)	
	Foreign	Japanese and Some Asian Monographs	1,041,785 (Vols.)	
		Subtotal	3,559,209 (Vols.)	
		Subtotal for Monographs	10,820,988 (Vols.)	
Tommerel	Chinese	Chinese Journals (51463 Titles)	6,701,841 (Vols.)	
<b>Journal</b> s	Foreign	Foreign Journals (47207 Titles)	6,631,305 (Vols.)	
		Subtotal for Journals	13,333,146 (Vols.)	
NT	Chinese	Chinese Newspapers (7624Titles)	119,097 (Bound Vols.)	
Newspapers	Foreign	Foreign Newspapers (1708 Titles)	93,206 (Bound Vols.)	
		Subtotal for Newspapers	212,303 (Bound Vols.)	
		Rare and Ancient Books	282,300 (Vols./Items)	
		New Rare Books	14,918 (Vols./Items)	
		Foreign Rare Books	35,638 (Vols./Items)	
		Chinese Maps	96,861(Vols./Items)	
		Foreign Maps	49,306 (Vols./Items)	
		Photographs	99,646 (Items/Vols.)	
		Prints	64,581 (Items)	
		Rubbings	304,265 (Items) 10,080 (Items)	
Special		Inscription-Related Books		
Collections		Paintings	644 (Items)	
		Manuscripts	86,178 (Items)	
		Letters	2,930 (Vols./Items)	
		Documents in Ethnic Minorities Languages	159,781 (Vols./Items)	
		Deluxe Editions	7,463 (Vols./Items)	
		Other Special Collections	299 (Items)	
		Materials in Library Science	41,575 (Vols.)	
		Dunhuang and Turpan Materials	21,255 (Vols./Items)	

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	Genealogies and Local Chronicles	53,949 (Vols./Items)		
	Other Chinese Materials	1,006,990 (Vols.)		
	Foreign Government Publications	56,985 (Vols./Items)		
	UN and Related Publications	560,897(Vols./Items)		
	Subtotal for Special Collections	2,956,541 (Vols./Items)		
Mionoforma	Microfilms	100,750 (Reels)		
Microforms	Microfiches	1,308,207 (Sheets)		
	Subtotal for Microforms	1,408,957 (Reels/Sheets)		
	Audio Tapes	19,708 (Reels/Cassettes)		
	CD	40,224 (Items)		
	Stereo Records	967 (Items)		
A /157	MP3	2,860 (CDs)		
A/V Matrials	Video Tapes	14,608 (Reels/Cassettes)		
111111115	Laser Disc	1,880 (Items)		
	VCD	85,829 (Items)		
	VHD	260 (Items)		
	DVD	38,037 (Items)		
	Subtotal for A/V Materials	204,373 (Items)		
	Subtotal for Electronic Materials	42,895 (Items)		
Collection '	Total (As of December 2010)	28,979,203(Vols./Items)		

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Appendix II Photo Gallery – The National Library of China (Photos courtesy of the Author)

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1. NLC's first building built in 1931, now the venue of the Branch Library beside the Beihai Park



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2. NLC's second building built in 1987



3. NLC's third building (Phase II) built in 2008



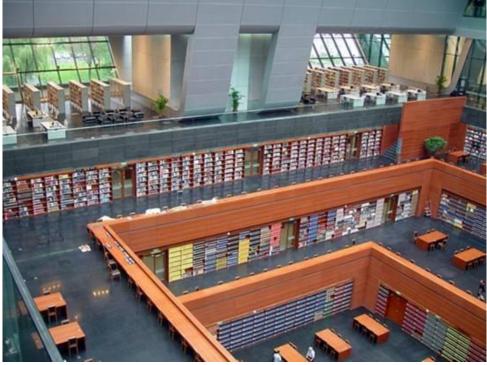
4. Complete Library of the Four Branches of Literature (四库全书)

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5. Modern Reference Books



6. Reading Room of the New Building (Phase II).