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Can ion mobility-mass spectrometry and density functional theory help elucidate protonation sites in ‘small’ molecules?

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RATIONALE: Ion mobility spectrometry-mass spectrometry (IMS-MS) offers an opportunity to combine measurements and/or calculations of the collision cross-sections and subsequent mass spectra with computational modelling in order to derive the three-dimensional structure of ions. IMS-MS has previously been reported to separate two components for the compound norfloxacin, explained by protonation on two different protonation sites enabling the separation of protonated isomers (protomers) using ion mobility with distinguishable MS/MS data. This study reveals further insights into the specific example of norfloxacin and wider implications for ion mobility mass spectrometry.

METHODS: Using a Waters Synapt G2 quadrupole-ion mobility-time of flight mass spectrometer the IMS and MS/MS spectra of norfloxacin were recorded and compared with theoretical calculations using molecular modelling (density functional theory), and subsequent collision cross section calculations using projection approximation.

RESULTS: A third significant component in the ion mobilogram of norfloxacin was observed under similar experimental conditions to those previously reported. The presence of the new component is convoluted by co-elution with another previously observed component.

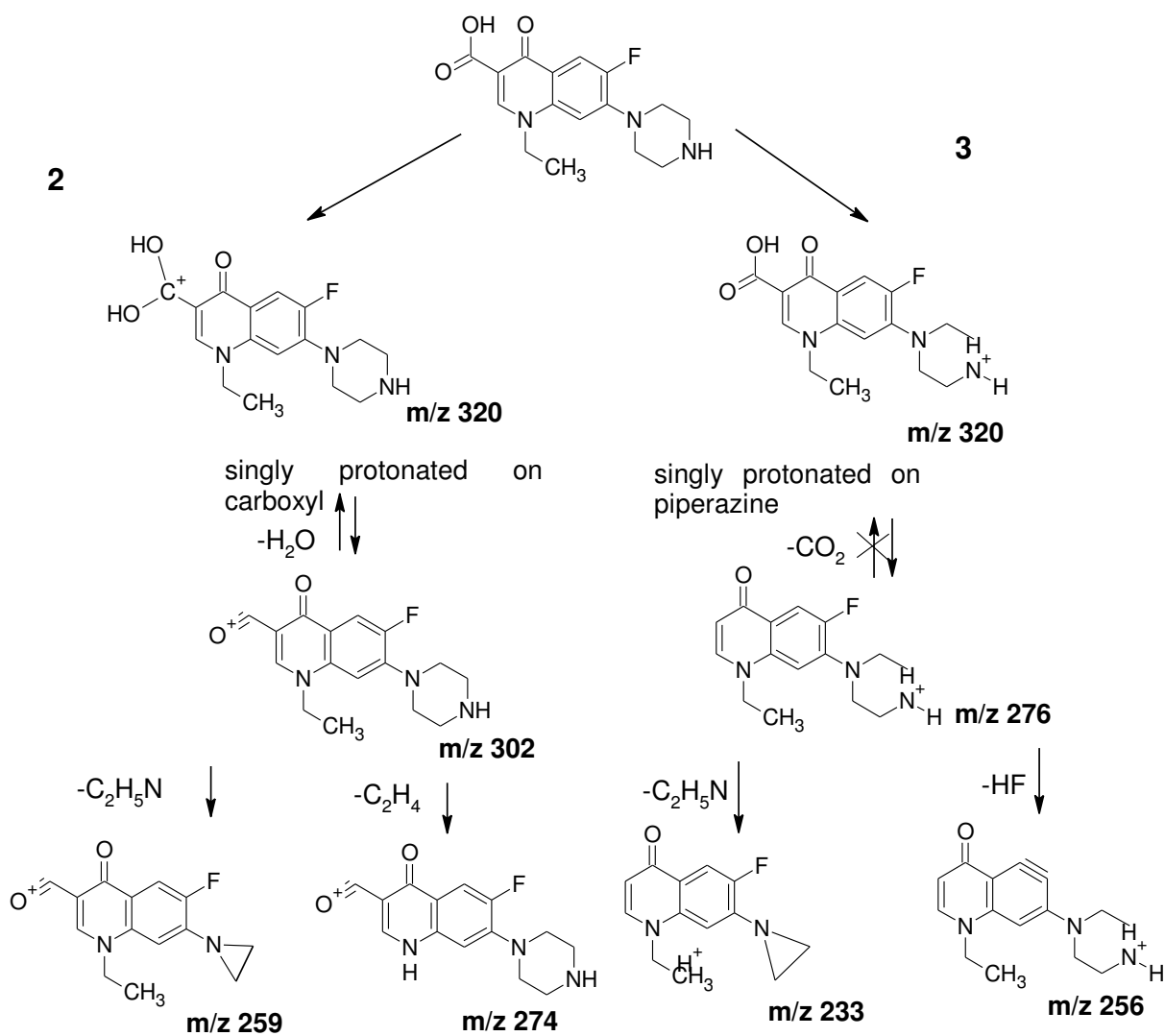
CONCLUSIONS: This case demonstrates the potential of combined IMS-MS/MS with molecular modelling information for increased understanding of ‘small-molecule’ fragmentation pathways.

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The fragmentation of the protonated molecule forms the basis of most structural studies using electrospray mass spectrometry. The existence of isomers differing by protonation site (protomers) and/or tautomeric state, can be important in interpretation of both ion mobility and mass spectrometry data. The protonation site can have a profound effect on the three-dimensional structure of an ion and subsequent fragmentation that determines the product spectrum observed. The prevalence of protomers in 'small molecule' chemical space is difficult to accurately quantify, at the time of writing the ZINC database of commercially available compounds for virtual screening ^[1] contains data on 77,242,420 substances and 0.65 protomers per substance, whereas the 2000 version of MDL Drug Data Report (MDDR) database ^[2] of biologically relevant compounds contained data on 106,592 structures and 6.83 protomers per compound.

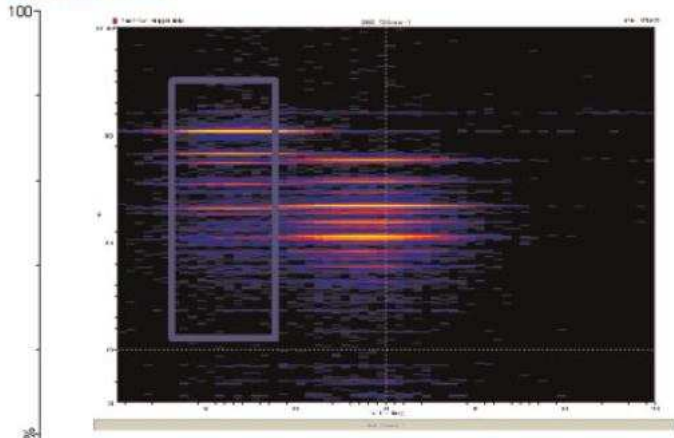
Using mass spectrometry alone it can be difficult to infer which site is protonated in the gas phase and many recent studies aim to build general rules and methodologies to predict fragmentation. The use of ion mobility mass spectrometry to study the effect of protonation has been previously reported for norfloxacin.^[3] In the aforementioned study the ion mobility stage was used to separate ions according to their ion mobilities; presumably with different protonation sites having slightly different ion mobilities. The resulting MS-MS spectra appear consistent with this explanation (Scheme 1; Fig. 1) as two peaks are observed in the ion mobilogram and changing the cone voltage from between 10, 120 and 200V changes the appearance of the mass spectrum, consistent with the proposed fragmentation pathway.



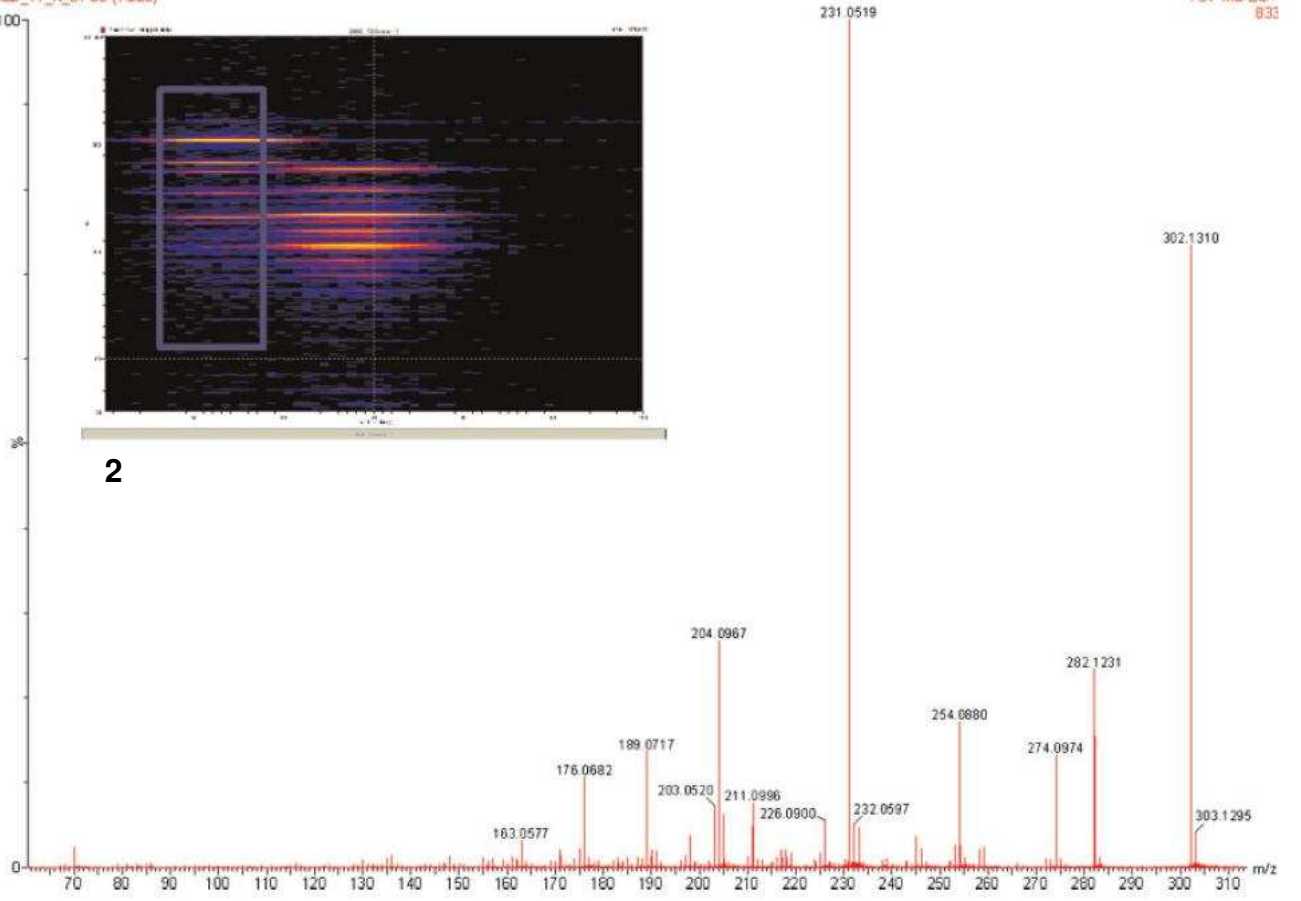
Scheme 1. Proposed fragmentation pathway for protonated norfloxacin, adapted from Kaufmann et al.^[3]

KLZ_11_r1_01 36 (1.228)

TOF MS ES+
833



2



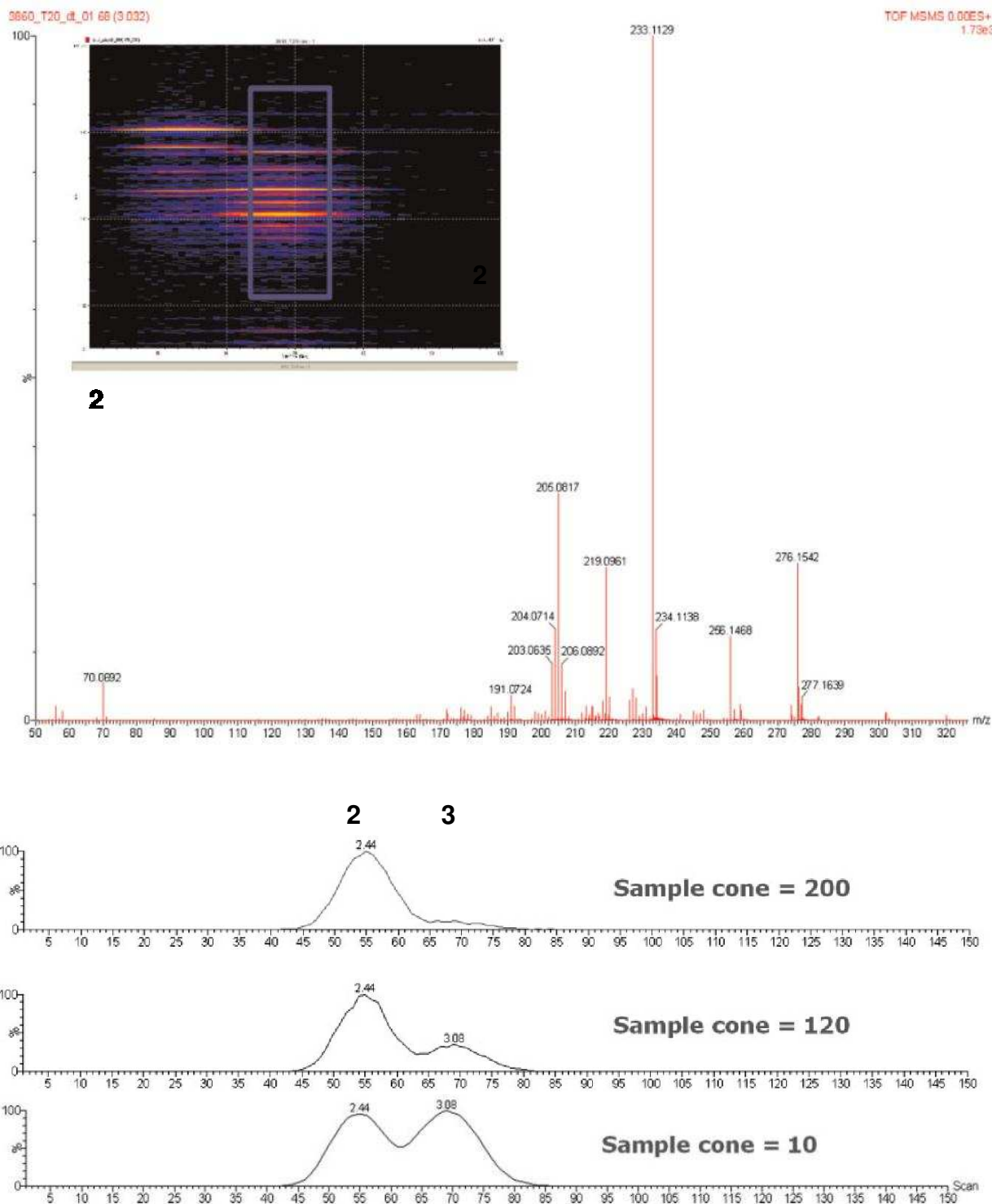


Figure 1. Ion mobility selected mass spectra and effect of sample cone voltages on arrival time distributions, adapted from Kaufmann et al.^[3].

Understanding the site of protonation

Quinolones that are structurally similar to norfloxacin are known to exist as zwitterions in neutral solutions.^[4] However, gas-phase proton transfer reactions can occur when a molecule with a high gas-phase proton affinity abstracts a proton from the protonated form of a molecule that has a lower gas-phase proton affinity. Thus an inversion in the order of basicity among a series of molecules proceeding from the solution phase to the gas phase^[5] can take

place because solution-phase basicity (pKa) and gas-phase proton affinity are not necessarily related. This may be further complicated with different solvent composition, pH etc, indeed the site of protonation in norfloxacin has been proposed to be determined by the pH, aqueous-organic ratio or ionic strength of the mobile phase used in liquid chromatography-mass spectrometry studies.^[6]

The following sections highlight the important and characteristic losses from norfloxacin, which in turn enable more confident assignment of fragmentation pathways and protonation sites.

Loss of H₂O from norfloxacin, m/z 320

The protonated ions of the three compounds in Figure 2 with carboxyl groups undergo rapid loss of H₂O, and subsequent loss of CO or other species, but no detectable loss of CO₂.^[5]

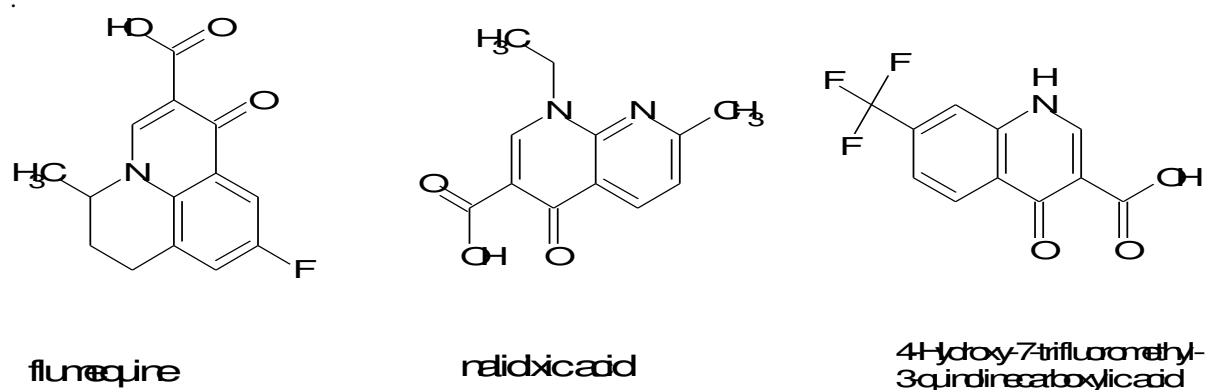


Figure 2. Three compounds related to norfloxacin lacking the piperazine ring.

It is not clear whether loss of H₂O occurs only when the proton is attached to the carboxyl group during the electrospray process, or whether it is possible for a proton attached to the oxygen or nitrogen of the quinolone ring to be collisionally activated and transferred to the carboxyl group.^[7]

Addition of H₂O to [norfloxacin+H-H₂O]⁺, m/z 302

According to Neta et al.^[7] when norfloxacin was dissolved in methanol^[5] in the absence of water, MSMSMS experiments on the [M+H⁺-H₂O]⁺ ion (m/z 302) showed addition of H₂O (to form m/z 320) as well as addition of CH₃OH (to form m/z 334). Loss of H₂O from m/z 320 was postulated to lead to formation of an acyl species, which has high affinity for water or alcohols. This process is most pronounced at the lowest collision energies while at higher energies the [M+H]⁺ ion undergoes fragmentation. Addition of a water molecule in the collision cell was reported for other compounds and was explained by the presence of water in the collision cell which is difficult to remove by standard pumping.^[7] This process can, reportedly, take place in the collision cell as well as in the cone region (in-source) of the spectrometer^[8] although the addition may be to form a water adduct rather than reversible fragmentation.^[9]

Loss of CO₂ from norfloxacin, m/z 320

The results reported by Neta et al.^[7] indicate that m/z 320 undergoes loss of CO₂ when a positive charge or a proton is on the piperazine ring, an irreversible process based on their experimental data.

Addition of CO₂ to [norfloxacin+H-CO₂]⁺, m/z 276

Loss of CO₂ leads to replacement of the CO₂H group with H, forming a relatively stable species, where addition of CO₂ appears unlikely. The relative intensities of the –CO₂ and –H₂O product ions are believed to change with cone voltage because their rate of formation and fragmentation are likely to be different^[7].

To further understand the fragmentation of norfloxacin we carried out further experimental studies and theoretical calculation and ultimately describe another feature present in the ion mobilogram that appears consistent with an additional third structure of gas-phase norfloxacin.

EXPERIMENTAL

Chemicals and materials

Norfloxacin, poly(DL-alanine), sodium hydroxide, isopropanol and methanol were purchased from Sigma-Aldrich (Gillingham, UK), acetonitrile from Rathburn (Walkerburn, UK) and high purity water from VWR International Ltd (West Chester, USA). Formic acid was supplied by Biosolve (Valkenswaard, Netherlands).

Mass spectrometry and liquid chromatography conditions

A solution of 0.1 mg/mL of norfloxacin was prepared in methanol and 2 uL of the 0.1 mg/mL solution was injected on to a Waters (Manchester, UK) Acquity reverse phase chromatography system using an ACQUITY UPLC® BEH C18 column (particle size:1.7 µm) in a mobile phase reverse phase gradient composition of A: water + 0.1% (v/v) formic acid and B: acetonitrile + 0.1% (v/v) formic acid. The flow rate was 0.5 mL/min to the electrospray source. The gradient conditions were an initial composition of 95.0% A, from 0.5 mins to 4.5 mins a gradient from 95% to 40% A, 5% A at 4.6 mins to 5.4 mins and a re-equilibration of 95% A from 5.5 mins to 7 mins. No splitter was used, so the entire flow was introduced to the electrospray source and the UPLC column temperature was maintained at 30°C throughout the run.

Experiments were performed on a Waters (Manchester, UK) Synapt G2 HDMS system with a hybrid quadrupole/traveling wave ion mobility/orthogonal acceleration time-of-flight configuration. Experiments were conducted using an electrospray ionization (ESI) source operated in the positive ion mode with a capillary voltage of 3.2 kV and cone voltage of 30 V. An orthogonal LockSpray™ ESI probe was used with a lock mass calibrant, leucine-enkephaline, whose [M + H]⁺ ion at m/z 556.2771 was used as the internal mass correction calibrant. The TOF analyzer was operated in resolution mode (a resolution of 18000 at m/z 556), acquiring 2 scans per second. The source temperature was 150°C, desolvation temperature was 500 °C, cone gas flow rate of 80 L/h and desolvation gas flow rate of 1200 L/h. A mass calibration solution of sodium formate was prepared by mixing 0.05 mM sodium hydroxide solution with 0.05% formic acid in isopropanol/water (90/10; v/v) and infused at 10 uL/min.

Ion mobility conditions

The collision energy in the trap and transfer ion guide was set at 6 eV, and 0 eV, respectively. The mobility T-wave cell was operated at a pressure of 2.8 mbar of nitrogen. The wave velocity was 600 m/s, and the wave height was fixed at 40 V, except where stated otherwise.

Data processing

Data acquisition and processing were carried out using Masslynx 4.1 and Driftscope 2.4 (Waters Ltd, Manchester, UK) software. The m/z range for the peak of interest was selected and Driftscope software was used to determine the collision cross-section (CCS) values of the analyte compounds by projecting their drift times onto a calibration curve. The T-wave instrument was calibrated using a well-established protocol^[10] by plotting the drift time/cross-section function (Figure 3) following data acquisition of a 10 mM poly(DL-alanine) solution in methanol/water (50:50; v/v) under exactly the same ion mobility and mass spectrometric conditions as those used for the following analysis. The measured drift times of the poly(DL-alanine) clusters were plotted against the CCSs determined on a standard IMS drift tube instrument.^[11]

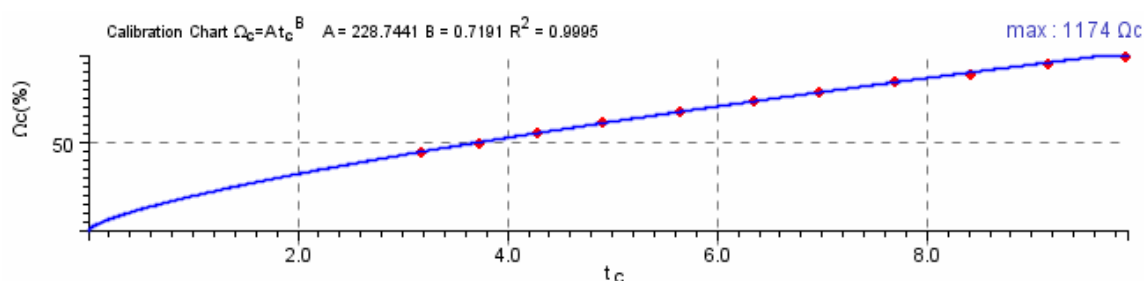


Figure 3. Traveling-wave ion mobility calibration curve of a typical drift-time versus adjusted collision cross-section of a series of poly(DL-alanine) clusters. A power curve of the form $y = ax^b$ is fitted to the data.

Density functional theory (DFT) calculations

DFT calculations of the structures, and associated energies, of norfloxacin and its protonated derivatives were carried out with the Gaussian 09 program^[12] (using the hybrid SCF-DFT method B3LYP, which incorporates Becke's three parameter hybrid functional^[13] and the Lee, Yang and Parr correlation functional.^[14] All calculations were performed using the 6-311++G(d,p) basis set, which was considered to be large enough to provide reliable relative energies. The initial model for the geometry optimisation of norfloxacin was obtained from the most recent crystal structure.^[15] Initial models for each of the protonated derivatives were constructed by placing a hydrogen atom at a distance of 1 Å from each putative protonation site, followed by geometry optimisation. In the case of protonation at the carboxyl oxygen atom geometry optimisation resulted in transfer of the proton to the carbonyl oxygen atom; this representing an energetically more favourable structure, at least at this level of theory.

RESULTS AND DISCUSSION

Effect of conformation and protonation site on product ion spectra

It may be expected that the thermodynamically most favourable product ion would be generated, however it is feasible that the protonation site may be sterically hindered and thus protonation is kinetically determined.^[16]

The conformation of ions has been demonstrated to be of importance in peptide and small molecule fragmentation patterns due to proton shifts that enable fragmentation pathways to be accessible. The effect of protonation to on CID fragmentation pathways has been previously reported for species including even electron disassociations in 4-azasteroids,^[15] voriconazole and fluconazole,^[68] and in odd-electron disassociations in *N,N'*-dibenzylpiperazines and *N*-benzylpiperazines^[19]. For example, where a fluconazole ion is protonated on one of the three possible basic sites^[18] the hydrogen at the charge site and the hydroxyl oxygen are spatially arranged to accommodate the formation of a hydrogen bond; these two atoms being 1.787 Å apart, as predicted by DFT calculations. The resulting loss of H₂O (comprised of the hydroxyl and the charge hydrogen, Figure 4) results in the production of a tertiary carbocation product ion, which is indeed observed in the product ion spectrum using electrospray.

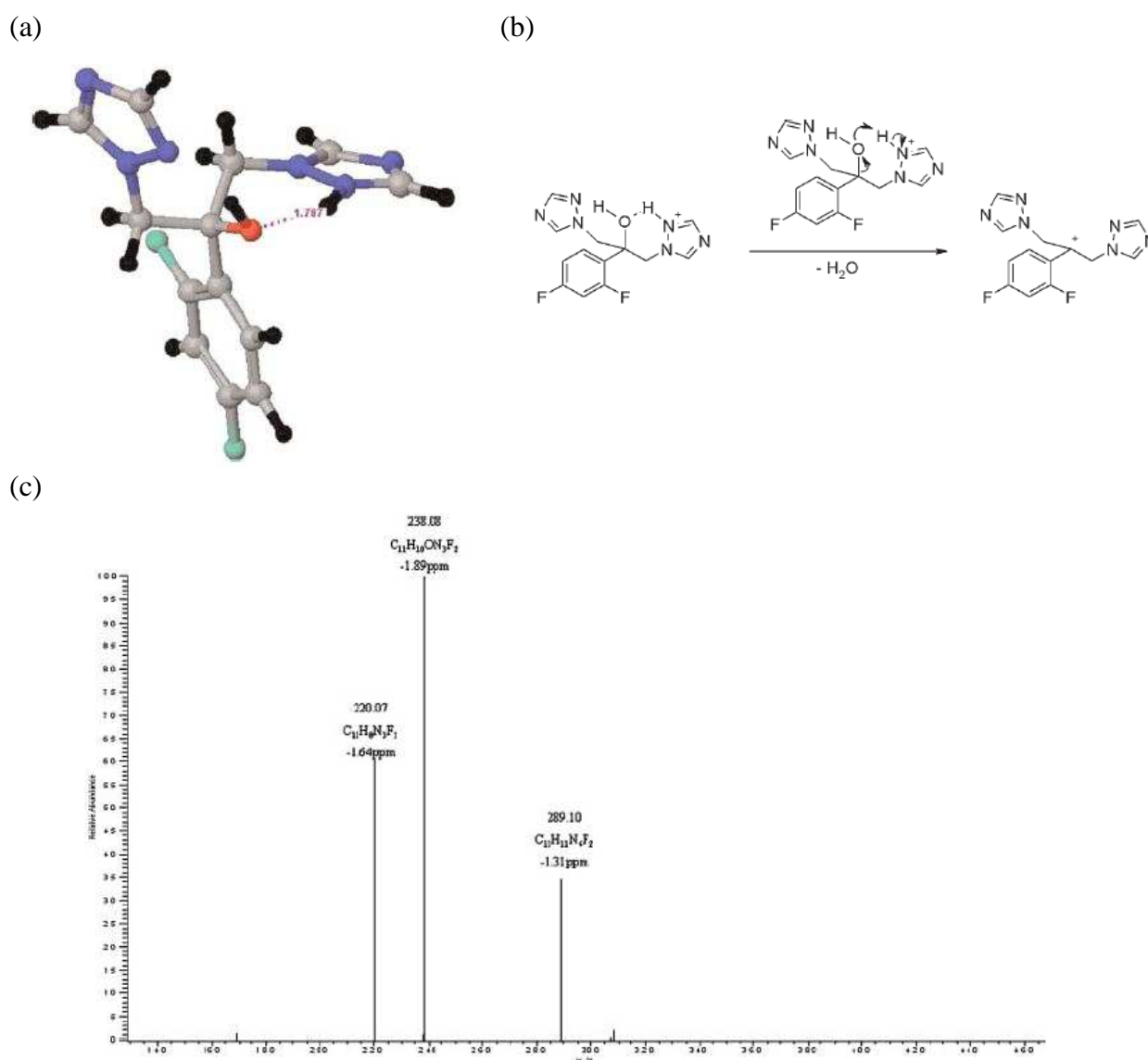


Figure 4. (a) Structure of protonated fluconazole illustrating newly formed hydrogen bond, (b) Proposed reaction mechanism that enables elimination of H₂O via the formation of the hydrogen bond from the precursor at *m/z* 307 to *m/z* 289, (c) mass spectrum of fluconazole showing [M+H]⁺ *m/z* 307 and [M- H₂O]⁺ *m/z* 289; adapted from Alex et al.⁽²⁰¹⁰⁾⁸¹.

In another example, the conformation of the rigid isomer pair *cis* and *trans* 3-pinanones affords a significant difference in the isobutane CI mass spectrum (Figure 5) of the *cis* isomer which is dominated by the loss of H₂O, whereas the loss of C₂H₄O is dominant in the *trans* isomer.^[20] The loss of C₂H₄O is supported by analysis of the spectra obtained for the 2,4,4-deuterated compound which loses C₂HD₃O. They proposed that protonation, by isobutane CI, did not disturb the ground-state conformation of the isomers.

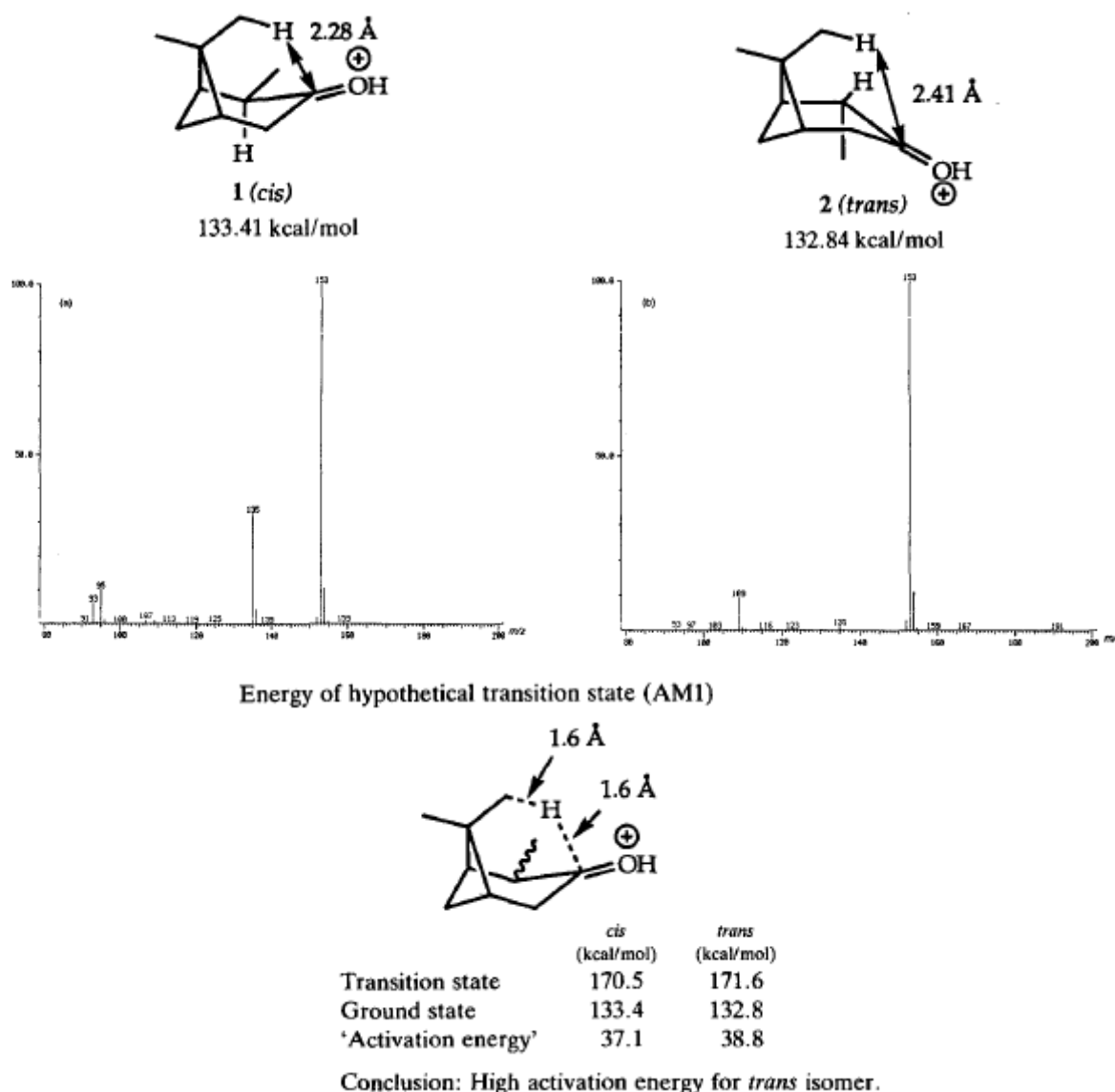


Figure 5. Structure and mass spectrum for *cis* and *trans* 3-pinanone and the hypothetical transition state showing key bond lengths, adapted from Youssefi et al.^[20]

The conformation of the ions involved in fragmentation pathways have been calculated for 3-pinanones using AM1 quantum chemical methods,^[20] for protonated Voriconazole^[18] measured for ephedrine using high and low resolution UV spectroscopy^[21] and compared to NMR assignment for *p*-benzoquinone and 1,1-bicycloalkenyls^[22]. Thus there are important relationships proposed between conformers and the subsequent accessible fragmentation pathways.

Observation of multiple components in the ion mobilogram of norfloxacin

In contrast to the two components that have been previously reported in ion mobilograms of norfloxacin, our data shows three components when m/z 320 was selected as the precursor ion in an MS/MS experiment.

The ion mobility data was selected by retention time at 1.7 mins, initially to ensure that components from other retention times were not included in the analysis; this can be seen in the top trace in Figure 6 which shows a single peak, there are no significant peaks either side of the main peak at 1.7 mins.



Figure 6. Mass chromatogram (top trace) and ion mobilogram (bottom trace)

The ion mobilogram (shown in the bottom trace in Figure 6) initially appears to show two major peaks. However, selecting a number of arbitrary IMS bins over the left hand side of the first peak (from 53 to 55 bins inclusive) or the right hand side of the first peak (from 57 to 59 bins inclusive) results in two discernibly different mass spectra. This selection was achieved with the processing program Driftscope (Waters, Manchester UK) that allows users to select by drift-time and/or retention time.

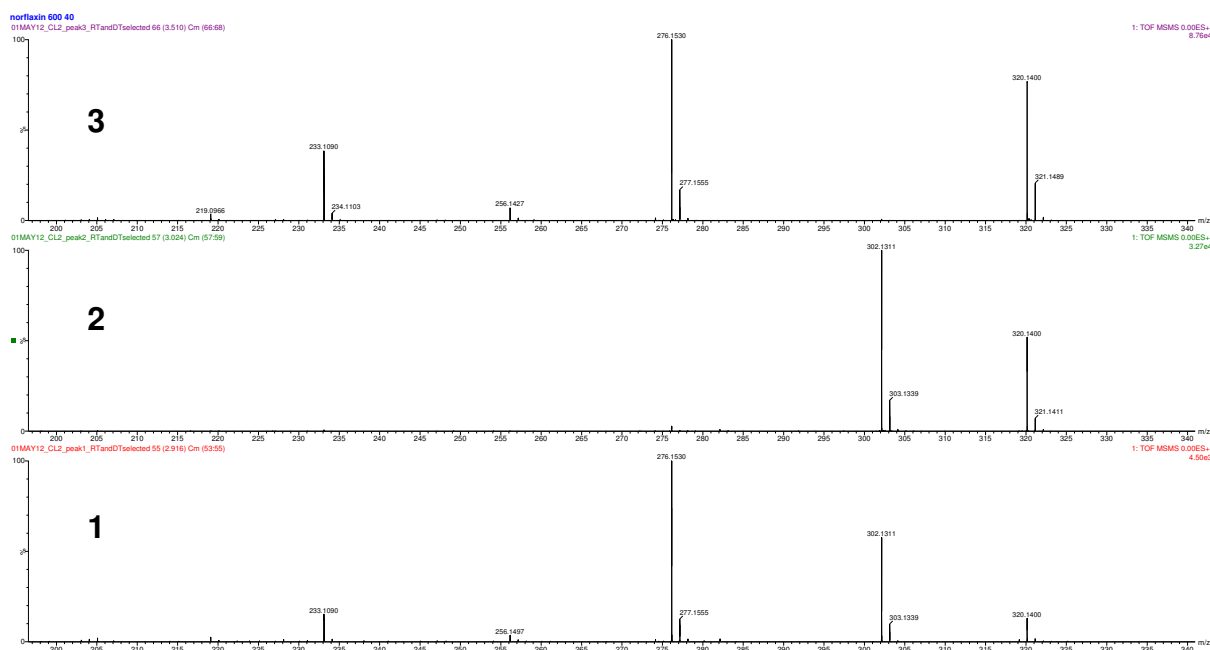


Figure 7. Mass spectra for all components observed in norfloxacin, processed from the drift-time selected mobilograms.

Interestingly component 1, which has not been described before, appears similar to a theoretical mixture of component 2 and component 3. The presence of ion m/z 302 in the mass spectrum of component 3 indicates a loss of H_2O from precursor m/z 320 (similar to component 2), however the presence of m/z 276 and m/z 256 indicates a loss of CO_2 and HF (similar to component 3).

To further understand the composition of this mixture of components in the drift-time dimension, extracted ion chromatograms are shown in Figure 8, which show the abundance of the m/z 302 ion and the m/z 276 ion.

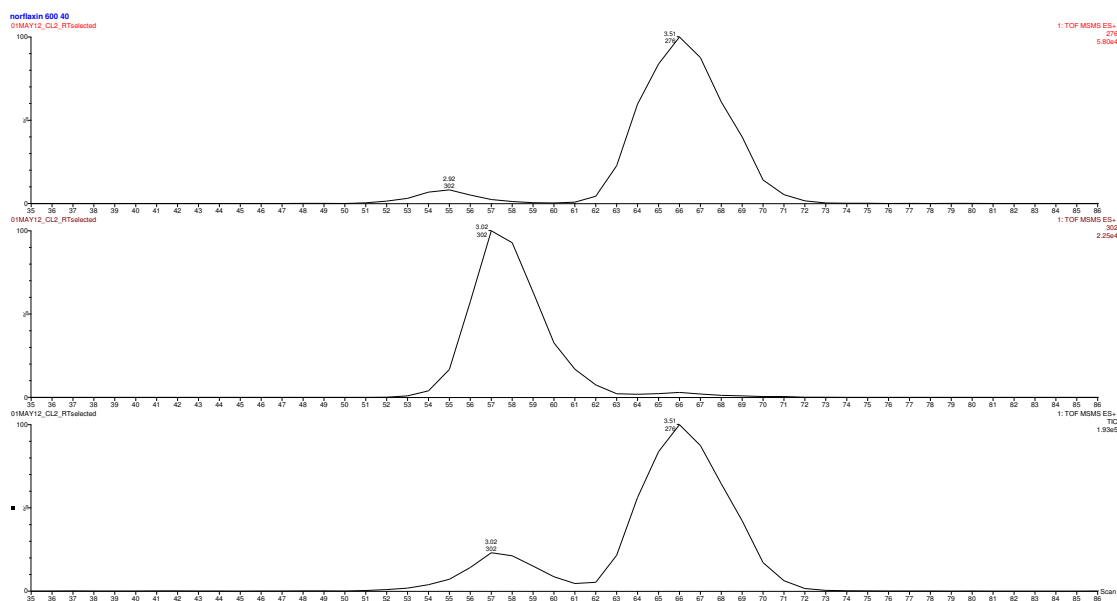


Figure 8. Bottom trace shows ion mobilogram for retention time 1.55 to 1.80, middle trace shows extracted ion mobilogram for m/z 302, top trace shows extracted ion mobilogram for m/z 276

To understand the composition of the mixture further, the ion mobility measurements were repeated at 1400 m/s wave velocity and 40 V wave height (Figure 9). There appear to be three components, with component 1 observed from bin 118 to 128, component 2 observed from bin 128 to 143 and component 3 observed from 143 to bin 170. The bin is an arbitrary unit to capture the drift time dimension using the Waters Synapt G2 instrument. It is interesting to note that there appears to be not only better separation of the proposed components but also the peakshape of component 3 suggests a potential fourth conformation.

To understand the composition of the mixture further, the ion mobility measurements were repeated at 1400 m/z wave velocity and 40 V wave height (Fig. 9). There appear to be three components, with component 1 observed from bin 118 to 128, component 2 observed from bin 128 to 143 and component 3 observed from 143 to bin 170. The bin is an arbitrary unit to capture the drift time dimension using the Waters Synapt G2 instrument. It is interesting to note that there appears to be not only better separation of the components but also some fine structure in the last component peak (component 3).

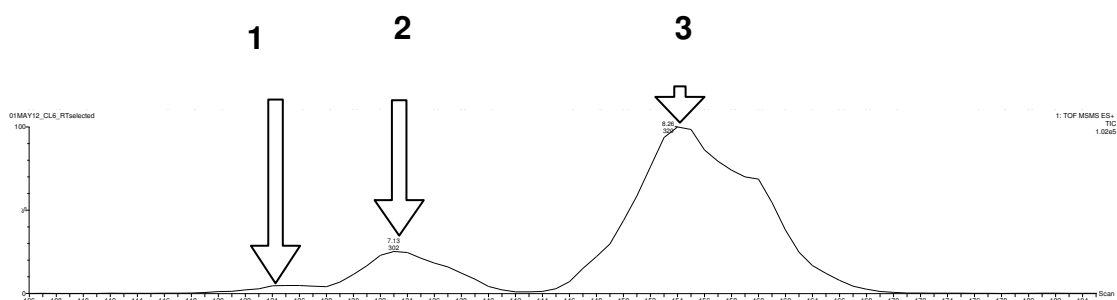
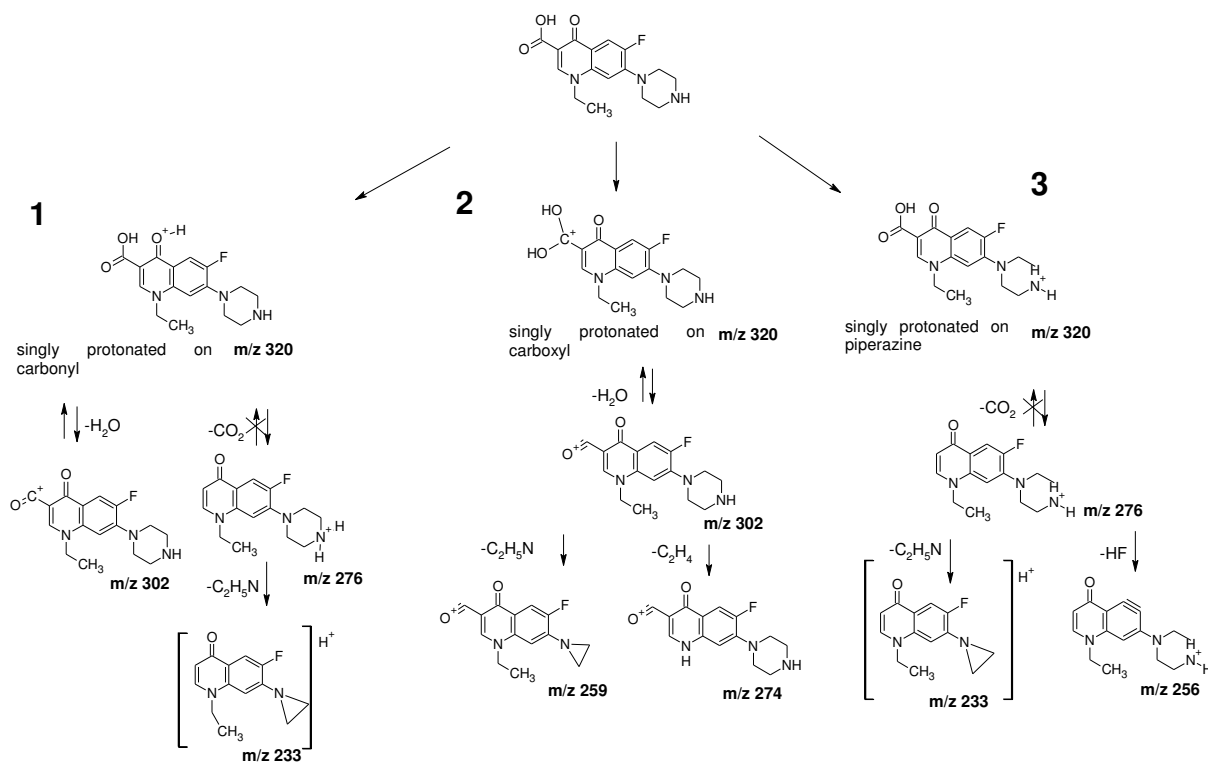


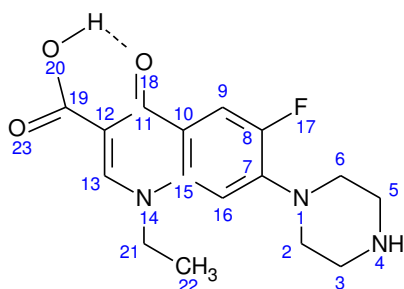
Figure 9. Ion mobilogram showing three main components and atypical peakshape in component 3.



Scheme 2. Proposed fragmentation pathways for norfloxacin including all three components observed in this study

The peaks in the ion mobilogram for norfloxacin reported herein are consistent with a more complex mixture of more than two components, in contrast to what has been previously reported and a fragmentation pathway summary is presented in Scheme 2. It is especially interesting that component 1 is consistent with a loss of both CO_2 and H_2O , in contrast to what has previously been reported, where separate fragmentation pathways explain these individual losses.

In Scheme 3 we propose mechanisms for the losses of both CO_2 and H_2O from the norfloxacin ion protonated on the carbonyl group, component 1. It is interesting to note the degree of delocalisation possible for component 1, which appears to have a larger number of resonance structures than component 2 and component 3.



Scheme 4. Structure and atom numbering scheme for norfloxacin and dashed line highlighting proposed hydrogen bond.

Table 1. Norfloxacin structures with calculated minimum energies and proton affinities

Protonation site	ΔE (kJ mol ⁻¹) ^(a)	ΔE (kJ mol ⁻¹) ^(b)	Structure	PA ^t CCS (Å ²)	^c CCS (Å ²)	Dipole moment (D)
n/a	0.0	0.0		111.14	n.d.	11.02
O18 (component 1)	-948.4 (1)	n.d.		111.60	100.58	4.37
O23 (component 2)	-871.0 (4)	-980.4 (1)		112.12	107.34	13.89
N4 (component 3)	-944.4 (2)	-905.8 (2)		111.85	118.38	30.67
N1	-929.2 (3)	-903.4 (3)		112.75	n.d.	18.42
N14	-799.8 (5)	-772.3 (4)		112.00	n.d.	8.82

a Calculated in Gaussian 09 as described herein

b Adapted from Neta et al.^{(2010)[5]}

Parentheses describe the ranking in order of likely protonation sites

All of the initial protonation sites were considered in this study, ignoring any ‘educated’ prejudice, and the proton was constrained to the O23 protonation site such that proton migration did not occur, as we considered this unlikely in the millisecond timescale of the IMS-MS experiment. The proton affinities in Table 1 contrast with those found by Neta et al.^[5] especially for protonation at O23 which they determined as the most favoured protonation site. Their calculations did not allow for possible protonation on the O18 position. In this study the proton affinity for O18, which is reported here for the first time, is relatively favourable, and energetically more favourable than protonation at N4 which has important ramifications for understanding the ion mobility separation and subsequent product ion mass spectra.

Molecular modelling compared to IMS peak heights

The height of the peaks in the ion mobilogram (Figure 6) shows that component 3 (MS/MS spectrum is consistent with protonation at N4) is more abundant than component 2 (MS/MS spectrum is consistent with protonation at O23) in contrast to the thermodynamic data above suggesting component 2 should be more abundant due to the favourable proton affinity. This appears to indicate that it is not only the thermodynamics that determine the abundance of certain protomers, and that kinetics or steric effects may also be important.

Molecular modelling compared to IMS drift times

The CCS is experimentally determined from the drift time through the IMS cell. However, all the factors which contribute to ion mobility drift time are not clear, and in special cases (where electrostatic forces play a major role) traditional models of ion mobility may not be applicable. For example, whilst the dipole moment is not explicitly considered in classical ion-neutral collision theory based ion mobility, it is considered to be important in FAIMS ion mobility, and was considered to be an important factor in a six parameter regression model to predict ion mobility constants in a diverse set of 159 compounds.^[28] The physical reasons for the dipole moment being important in ion mobility may be related to:

1. the polarisation interaction between an ion and neutral buffer gas and/or contaminant species in the IMS cell.^[27]
2. interaction of the molecular dipole moment with the electric field of the ion mobility cell and any net alignment with the field which could reduce the effective CCS.^[28]

Indeed, Neta et al.^[7] postulated three different structures with the same mass due to the strong hydrogen bond present potentially leading to a different structures and different mobilities. The calculated dipole moment herein (obtained from the Gaussian output file) varies from ~4 to ~30 D when protonated at different sites, the theoretical calculations predicting a dipole moment for the ion protonated on the amine nearly ten times larger than that for the ion protonated on the carboxyl group, which may explain the unexpected magnitude of the peak separation observed between components 2 and 3.

Theoretical CCS values were initially calculated using the projection approximation (PA) algorithm in Driftscope software^[10] and are shown in Table 3. (Some caution must be taken with the use of MOBCAL for unusual atom types, since the original source code does not include sufficient data for molecules and ions containing some elements (including fluorine), although the code can be modified to suit), whilst Driftscope includes fluorine by default.

Previous studies on N-protonated aniline and porphyrins^[31] have highlighted that significant differences between theoretically determined CCS (^tCCS) values and experimental determined CCS (^eCCS) values may indicate a difference in charge distribution; ions with more localised charges may form longer-lived gas-phase interactions with the mobility buffer gas than delocalised charges. Currently these interactions do not appear to be modelled well using the main theoretical algorithms including projection approximation (PA), exact hard sphere scattering (EHSS) and trajectory method (TM), leading to poor agreement between theoretical and experimental CCS values.

In general the large difference between the ^tCCS and ^eCCS indicates one or more factors other than purely the physical CCS is playing a critical role in the separation of these ions. For example the ^tCCS for norfloxacin protonated at the N4 position (111.85 Å²) is slightly smaller than when protonated at the O23 position (112.12 Å²), a difference in CCS between two theoretical CCSs ($\Delta^t\text{CCS}$) of 0.27 Å², whereas these species are observed to be well separated (shown in Figures 1 and 9) which is surprising considering the small $\Delta^t\text{CCS}$. Indeed the ^eCCS, calibrated using poly(DL-alanine), for component 2 is 118.38 Å² ± 0.13 and for component 1 a value of 107.3 Å² ± 0.24, a difference in experimental CCS ($\Delta^e\text{CCS}$) of 11.08 Å².

There is a very good correlation between the rank order of the molecular dipole (D) and the drift time, with the ions with larger dipoles also found to have a longer drift time, resulting in calculation of a larger ^eCCS. It remains to be seen whether this example can be extrapolated to other compounds which exhibit a range of dipoles and a relatively small difference in ^tCCS, in effect whether dipole moment can be an important predictor for ^eCCS using TWIMS. Drift times were correlated with the dipole moment in the study of corrole isomers^[32] using CO₂ as the drift gas, albeit this study uses the less polarizable N₂ drift gas.

There is also a general trend of larger ^tCCS than ^eCCS using PA for two of the three detected ions, which is surprising as any attractive electrostatic interactions present in the IMS cell, such as an induced dipole leading to a non-Newtonian collision between analyte and buffer gas might be expected to generate a larger effective collision cross section, leading to a larger ^eCCS, and an underestimation of ^tCCS. PA is also known to overestimate ^tCCS by up to 20% for concave structure.^[33]

CONCLUSIONS

Multiple protonation sites are not typically explicitly considered in interpretation of mass spectrometry and ion mobility data, indeed ions from different protonation sites may well be conflated to obscure relationships between structure and fragmentation pathways. It may be difficult to predict the site of protonation purely based on solution-phase pK_a or gas-phase basicity thermodynamics as kinetic effects also play a part in the process. The calculation of gas-phase basicities using DFT for all potential protonation sites in norfloxacin, without exclusion by 'educated' bias, reveals an unexpected protonation site which is thermodynamically favourable. The additional information from DFT and IMS-MS/MS adds confidence to assignment of the protonation site and thus fragmentation pathway.

In the case of norfloxacin reported herein, ion mobility mass spectrometry data is treated like liquid chromatography mass spectrometry data to deconvolute the data and reveal the presence of 3 components, albeit 2 components (1 and 2) are effectively eluted under 1 ion

mobility peak under the initial experimental conditions. An improvement in separation was achieved by changing the ion mobility conditions, but this might not always be feasible so careful processing, taking into account the presence and absence of peaks in the mass spectra and their intensity, may reveal further information on mixtures analysed using ion mobility mass spectrometry.

The deconvolution of ion mobility mass spectrometry data may be especially useful where mass spectrometry and ion mobility spectrometry alone may not be able to distinguish between different components^[34] and the extra dimension added by DFT should help with assignment of ion mobility and mass spectrometry data.

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