



McCall, P. J., Arthur, A., Glass, A., Corcoran, D. S., Kirk, A., Macfie, A., Payne, J., Johnson, M., Kinsella, J. and Shelley, B. G. (2019) The right ventricular response to lung resection. *Journal of Thoracic and Cardiovascular Surgery*, 158(2), 556-565.e5. (doi:[10.1016/j.jtcvs.2019.01.067](https://doi.org/10.1016/j.jtcvs.2019.01.067)).

This is the author's final accepted version.

There may be differences between this version and the published version. You are advised to consult the publisher's version if you wish to cite from it.

<http://eprints.gla.ac.uk/178459/>

Deposited on: 28 January 2019

Enlighten – Research publications by members of the University of Glasgow
<http://eprints.gla.ac.uk>

Title: The Right Ventricular Response to Lung Resection

Authors: Dr Philip J. McCall (MBChB, MD)^{1,2}, Dr Alex Arthur (MBChB)^{1,2}, Dr Adam Glass (MB BCh)^{1,2}, Dr David S. Corcoran (MBChB)^{3,4}, Mr Alan Kirk (MBChB)⁵, Dr Alistair Macfie (MBChB)², Dr John Payne (MBChB, MD)⁶, Dr Martin Johnson (MBChB, MD)⁷, Professor John Kinsella (MBChB, MD)¹, Dr Benjamin G. Shelley (MBChB, MD)^{1,2}

Institutions:

¹Academic unit of Anaesthesia, Pain and Critical Care, University of Glasgow, Glasgow, UK

²Department of Anaesthesia, Golden Jubilee National Hospital, Clydebank, UK

³Institute of Cardiovascular and Medical Sciences, University of Glasgow, Glasgow, UK

⁴Department of Cardiology, Golden Jubilee National Hospital, Clydebank, UK

⁵Department of Thoracic Surgery, Golden Jubilee National Hospital, Clydebank, UK

⁶National Advanced Heart Failure Service, Golden Jubilee National Hospital, Clydebank, UK

⁷Scottish Pulmonary Vascular Unit, Golden Jubilee National Hospital, Clydebank, UK

Conflict of interest: Dr Martin Johnson has received grants from Actelion, Bayer and Glaxo Smith Kline outside the submitted work. All others have no conflicts to declare.

Funding information: Funding for this project was provided by the Association for Cardiothoracic Anaesthesia and Critical Care Project Grant 2012; £26,932. DC is supported by a British Heart Foundation (BHF) Clinical Research Training Fellowship [FS/14/15/30661]. BS is supported by a NHS Research Scotland / Chief Scientists Office, Career Research Fellowship.

Corresponding Author:

Dr Philip McCall

Room 2.73

New Lister Building

Glasgow

G46 6JP

philipmccall@nhs.net

Clinical trials registration

ClinicalTrials.gov (NCT01892800)

Ethics Committee Approval

West of Scotland Research Ethics Committee (13/WS/0055), 16th April 2013

Word Count

3174

40 GLOSSARY OF ABBREVIATIONS

- 41 BNP - B-type natriuretic peptide
- 42 CMR - Cardiovascular magnetic resonance
- 43 CV - Coefficient of variation
- 44 Ea - Arterial elastance
- 45 Emax - Maximal ventricular elastance
- 46 ESV - End systolic volume
- 47 hsTnT - High sensitivity Troponin T
- 48 LV - Left ventricle
- 49 PA - Pulmonary artery
- 50 PAAT - Pulmonary artery acceleration time
- 51 POD - Post-operative day
- 52 PVR - Pulmonary vascular resistance
- 53 RV - Right ventricle
- 54 RVEF - Right ventricular ejection fraction
- 55 SV - Stroke volume

56 **CENTRAL PICTURE LEGEND**

57 Right ventricular function deteriorates following lung resection and remains depressed two
58 months postoperatively.

59

60 **CENTRAL MESSAGE (200 characters - 186)**

61 Right ventricular ejection fraction is reduced immediately following lung resection. These
62 changes are still present at two months, suggesting peri-operative changes in RV function
63 may have an influence long in to the recovery period.

64

65 **PERSPECTIVE STATEMENT (405 characters - 371)**

66 There is growing interest in the role of RV dysfunction in cardiorespiratory morbidity
67 following lung resection. Using cardiovascular magnetic resonance, this study demonstrates
68 postoperative RV dysfunction with increased pulsatile afterload, predominantly arising from
69 the operative pulmonary artery. The deterioration in RV function may provide a therapeutic
70 target for future interventions seeking to ameliorate the burden of morbidity in this
71 population.

72 **ABSTRACT**

73 **Objectives**

74 Lung cancer is a leading cause of cancer death and in suitable cases the best chance of cure is
75 offered by surgery. Lung resection however is associated with significant post-operative
76 cardiorespiratory morbidity, with dyspnea and reduced functional capacity as dominant
77 features. These changes are poorly associated with deterioration in pulmonary function and a
78 potential role of right ventricular (RV) dysfunction has been hypothesized. Cardiovascular
79 magnetic resonance (CMR) is a reference method for non-invasive assessment of RV
80 function and has not previously been applied to this population.

81

82 **Methods**

83 We used CMR to assess the RV response to lung resection. CMR with volume and flow
84 analysis was performed on 27 patients pre-operatively, on post-operative day (POD) 2 and at
85 2-months. Left and right ventricular ejection fraction (L- & RVEF), the ratio of Stroke
86 Volume to End Systolic Volume (SV/ESV), pulmonary artery acceleration time (PAAT) and
87 distensibility (of main and branch pulmonary arteries) were studied.

88

89 **Results**

90 Mean (Standard Deviation) RVEF deteriorated from 50.5% (6.9) pre-operatively, to 45.6%
91 (4.5) on POD2 and remained depressed at 44.9% (7.7) by 2-months (p=0.003). SV/ESV
92 deteriorated from 1.0 (0.9, 1.2) pre-operatively to 0.8 (0.7, 1.0) on POD2 (p=0.011). On

93 POD2 there was a decrease in PAAT and operative pulmonary artery distensibility ($p < 0.030$
94 for both). There were no changes in LVEF during the study period ($p = 0.621$).

95 **Conclusions**

96 These findings suggest RV dysfunction occurs following lung resection and persists 2-
97 months after surgery. The deterioration in SV/ESV suggests a mismatch between afterload
98 and contractility. There is an increase in indices of pulsatile afterload resulting from the
99 operative pulmonary artery.

100

101 **CLINICAL TRIALS REGISTRATION**

102 ClinicalTrials.gov (NCT01892800)

103 INTRODUCTION

104 Lung resection is associated with high cardio-respiratory complication rates^{1, 2} and significant
105 long-term morbidity, with many patients experiencing disabling dyspnea and decreased
106 functional capacity.^{3, 4} These changes are poorly associated with lung function,^{5, 6} and may be
107 influenced by cardiac limitation.^{7, 8} Given their close relationship, complex interaction and
108 potential for disruption during lung resection, previous work has focussed on the right
109 ventricular/pulmonary vascular unit.

110 Several studies have described a 15-25% *relative* reduction in right ventricular ejection
111 fraction (RVEF) following lung resection;⁹⁻¹⁴ patients experiencing a greater decline in RV
112 function are more likely to suffer post-operative complications.¹²⁻¹⁴ Lewis et al demonstrated
113 that impaired RV function intra-operatively identified patients in whom late cardio-
114 respiratory symptoms would develop,¹¹ suggesting peri-operative RV dysfunction has an
115 impact long into the post-operative period.

116 Assessing RV function is challenging because of the RV's complex geometry, retrosternal
117 position and marked load dependence.¹⁵⁻¹⁷ Previous studies in this population have been
118 hampered by the limitations of the techniques used (mainly volumetric pulmonary artery
119 catheters (vPAC's);^{9, 11, 18} in many cases leading to conflicting results. The validity of vPAC's
120 has been challenged, with the observation that their accuracy has never been convincingly
121 demonstrated.^{19, 20}

122 The primary mechanism of RV dysfunction following lung resection is hypothesized to result
123 from increased afterload.^{11, 12, 18} Though this seems intuitive, studies measuring pulmonary
124 vascular resistance (PVR), as an index of RV afterload, have been unable to demonstrate
125 sustained changes post-operatively. PVR rises intra-operatively, during one-lung ventilation
126 and on pulmonary artery clamping, but returns to baseline by 24 hours.^{10, 18, 21} Whilst PVR is

127 commonly used in clinical practice, this measure of opposition to *mean* flow (static afterload)
128 ignores the pulsatile component of afterload.¹⁷ Up to half of the hydraulic power in the main
129 pulmonary artery is contained in the pulsatile components of flow; comprising resistance,
130 capacitance, inertia and pulse wave reflection; as such *true* RV afterload – the RV input
131 impedance, is a composite of both static and pulsatile components.^{16, 17}

132 Given the methodological concerns regarding the techniques used to assess RV function in
133 previous studies and ongoing uncertainty about underlying mechanism, further work was
134 required to understand the RV response to lung resection. Cardiovascular Magnetic
135 Resonance (CMR) is the non-invasive gold standard method for assessing RV structure and
136 function.^{15, 16} In addition to accurate quantification of volumes, CMR allows pulmonary
137 artery flow quantification,^{15, 22} meaning pulsatile components of afterload can be explored.²³

138 Although RVEF is a commonly used index of function, it is highly load dependent and
139 doesn't fully reflect RV contractility.¹⁶ Changes in RVEF can therefore result from alterations
140 in the loading conditions, contractility or a combination. A more comprehensive assessment
141 of RV and pulmonary vascular function can be provided by considering the matching
142 between contractility and afterload. Maximal ventricular elastance (E_{max}) is a load-
143 independent parameter used to characterize RV contractility. Arterial elastance (E_a) is an
144 index of afterload faced by the ventricle. The ratio of these two elastances (E_{max}/E_a) reflects
145 right ventricular-pulmonary artery coupling (coupling) and reflects matching between the
146 ventricle and pulmonary circulation. An estimate of coupling can be obtained non-invasively
147 with CMR, using a ratio of volume measurements (SV/ESV).²⁴

148 The aim of this CMR imaging study was to provide a comprehensive understanding of
149 changes in the RV/pulmonary vascular unit following lung resection.²⁴ Plasma biomarkers of
150 myocardial dysfunction were measured contemporaneously.

151 **METHODS**

152 **Subjects**

153 Ethics approval was provided by the West of Scotland Research Ethics Committee
154 (134/WS/0055) and all participants provided written informed consent. Patients attending for
155 elective lung resection by thoracotomy and lobectomy were screened. Subjects who were
156 pregnant, participating in any investigational research which could undermine the scientific
157 basis of the study, had contraindications to CMR imaging or were undergoing;
158 wedge/segmental/sub-lobar lung resection, pneumonectomy, isolated middle lobectomy or
159 thoroscopic/minimal access lung resection, were excluded. Surgical technique was
160 standardized to a single surgeon performing a postero-lateral muscle sparing thoracotomy
161 with anatomically appropriate lymph node clearance. Anesthetic technique was standardized
162 and included volatile agents for anesthetic maintenance, intra-operative lung protective
163 ventilatory strategies and thoracic epidural blockade.

164 **Measurements**

165 *Cardiovascular Magnetic Resonance Imaging*

166 CMR was performed within 24 hours pre-operatively, on post-operative day (POD) 2 and at
167 2-months (1.5 Tesla, Siemens Avanto, Siemens, Erlangen, Germany). ECG-gated fast
168 imaging steady state free precession cines (TrueFISP, Siemens) were utilized throughout.
169 Methodological details of importance include standardized imaging parameters of repetition
170 time, echo time, flip angle, voxel size, field of view = 4.3ms, 1.2ms, 60°, 1.4 x 1.4 x 6mm,
171 340mm respectively; 6mm imaging slices were used with a 4mm interslice gap. Short axis
172 imaging was performed during breath holds and initiated at the atrioventricular valve plane
173 and propagated sequentially to the cardiac apex providing complete coverage of both
174 ventricles. Analysis of randomized and anonymized images was performed by two

175 independent reporters using proprietary software (Argus, Siemens, Erlangen, Germany). RV
176 and left ventricular (LV) volumes were determined by manual planimetry of short-axis
177 images according to standard methods.²⁵ The ratio of RV stroke volume to end-systolic
178 volume (RVSV/RVESV) was derived as an index of right ventriculo-arterial coupling.²⁴

179 Flow imaging was performed with velocity encoded gradient sequencing of the main
180 pulmonary artery (PA) and of both left and right pulmonary arteries. Mapping was set for the
181 main PA perpendicular to the vessel and above the valve level with velocity encoding at
182 150cm/s. Mapping for the branch PA's was perpendicular to the vessel, proximal to 1st
183 dividing vessel with velocity encoding at 150cm/s. Main and branch pulmonary artery
184 contours were delineated by manual planimetry. Cubic splines with interpolation to 1ms
185 temporal resolution were then fitted to flow and area versus time curves allowing calculation
186 of pulmonary artery acceleration time (PAAT) and distensibility for the main and branch
187 pulmonary arteries.²⁶ PAAT is the time to peak flow (ms) from the onset of the cardiac
188 cycle, distensibility (%) was calculated as $100 * (\text{max-min PA area}) / \text{min PA area}$.

189 *Biomarkers of myocardial function*

190 Blood samples were collected pre-operatively, immediately post-operatively, on the morning
191 of POD's 1 & 2 and at 2-months. B-type natriuretic peptide (BNP) was analysed immediately
192 using the Alere Triage system (Alere, Stockport, UK). High sensitivity Troponin T (hsTnT)
193 was analysed immediately using the Roche-cobas 6000e analyser (Roche, Basel,
194 Switzerland).

195 **Statistical Methods**

196 Power analysis was carried out in consultation with the Robertson centre for biostatistics at
197 the University of Glasgow. Primary outcome was change in RVEF on POD2. Although the

198 validity of previous work has been questioned, these studies suggested an *absolute* fall in
199 RVEF of 6-9% by POD2^{9, 10} and our study was powered to detect a change of at least this
200 magnitude. They also suggest that mean RVEF is 45% and that the largest standard deviation
201 is assumed to be 7%. Power analysis was based on a 2-sided, paired t-test and indicated that
202 19 patients would have 80% power to detect an absolute reduction in RVEF of 6%, with a
203 significance level of 0.05. Allowing a margin of 30% for study withdrawal, 28 patients were
204 recruited.

205 Data are presented as mean (Standard Deviation, SD) or median (Q1, Q3) as appropriate.
206 Changes over time were assessed using one-way repeated measures ANOVA or Friedman's
207 test with post-hoc pairwise comparisons using paired t-test or Wilcoxon signed rank test.
208 Comparisons between independent groups were performed using the independent t test or
209 Mann-Whitney U test. Association between continuous variables was assessed using
210 Pearson's or Spearman's correlation coefficients. Bonferroni corrected p values are presented
211 throughout.

212 Statistical analyses were performed using SPSS for Windows, version 22 (IBM Corp,
213 Armonk, NY, USA). A p value <0.05 was considered significant.

214 **RESULTS**

215 Twenty-eight patients were recruited. One patient was excluded due to the unexpected
216 discovery of an embedded piece of ferromagnetic material in their chest wall during pre-
217 operative scanning, meaning no usable images could be obtained. There were no clinical
218 sequelae but as this patient was unable to take any part in the main study, the patient was
219 removed from all further analyses. Patient demographics are displayed in Table 1. Twenty-six
220 patients underwent lobectomy or bilobectomy (incorporating the right middle lobe); one
221 patient required unplanned intra-operative conversion to a pneumonectomy and is included in
222 all analyses. Sensitivity analysis revealed this patient was not an outlier in any analysis (not
223 shown).

224 CMR was well tolerated, with all patients completing the scan protocol pre-operatively. Due
225 to an administration error, one participant did not have short axis images obtained meaning it
226 was not possible to calculate ventricular volumes in this patient. Twenty-two (81.5%) patients
227 completed the protocol on POD2 and 24 (88.9%) at 2-months. Time to final follow-up was
228 55.9 (13.1) days. Of the 5 patients unable to be scanned on POD2; three declined, one was
229 unwell with persistent air-leak requiring additional inter-costal catheter drainage with CMR
230 transfer deemed unsafe, and one patient had an epidural catheter in-situ that was not CMR
231 compatible. Of the patients unable to complete the protocol at 2-months; one declined, one
232 was an inpatient at another hospital and the third had a contraindication to CMR as a result of
233 recent cataract surgery. The baseline characteristics of those completing and non completing
234 follow-up are described in supplementary table 1.

235 **CMR ventricular volumetric and flow velocity mapping**

236 Coefficient of variation (SD/mean) for RVEF was 12.9% pre-operatively, 16.8% on POD2
237 and 15.9% at 2-months. Mean (SD) RVEF fell from 50.5% (6.9) pre-operatively, to 45.6%

238 (4.5) on POD2 and remained reduced at 44.9% (7.7) at 2-months ($p=0.003$, Table 2 and
239 Figure 1A). There were no changes in left ventricular (LV) ejection fraction over the study
240 period ($p=0.621$, Figure 1B). There was a deterioration in the ratio of RV stroke volume to
241 RV end systolic volume (RVSV/RVESV) on POD2 which persisted at 2-months ($p=0.011$,
242 Table 2 and Figure 1C).

243 RVESV increased on POD2, returning to baseline levels at 2-months. LV end diastolic
244 volume, end systolic volume and stroke volume were unchanged on POD2. All other left and
245 right ventricular volumes were reduced at 2-months (Table 2).

246 Main pulmonary artery flow increased from 6.6L/min (1.7) to 8.00L/min (1.6) on POD2,
247 returning to baseline (6.52L/min (1.7)) by 2-months ($p=0.004$, Table 3 and Figure 2A). This
248 increase in CO resulted from increased heart rate (Table 2). Pre-operatively there was an even
249 distribution of CO between the left and right PA's (48.1% and 51.9%, $p=0.055$). This
250 distribution was altered post-operatively with 66.3% and 60.9% of the CO travelling through
251 the non-operative vessel on POD2 and at 2-months respectively ($p<0.001$, Table 3 and Figure
252 2B).

253 POD2 PAAT was reduced in all vessels with PAAT shorter in the operative versus non-
254 operative vessel. At 2-months, PAAT remained shorter than pre-operative values in the main
255 PA and operative vessel. At 2-months operative PAAT was again shorter than non-operative
256 PAAT (Table 3 and Figures 3A & B).

257 Main PA distensibility was unchanged throughout the study. Non-operative PA distensibility
258 increased in comparison to POD2 at 2-months, and was higher than the operative vessel at
259 this time-point (Table 3 and Figure 3C). There were no consistent associations between
260 PAAT, distensibility and RVEF at any time (Supplementary tables 2 and 3).

261 There is a moderate positive association between the transfer factor for carbon monoxide
262 (TLCO) and the change in RVEF from pre-operative to POD2 ($\Delta\text{RVEF}_{\text{POD2-pre}}$, Pearson's
263 $r=0.517$, $p=0.014$, supplementary figure 5).. There was no association between any of the
264 *operative* variables described in Table 1 and any the CMR variables (RVEF, PAAT and
265 distensibility). There was a strong negative association between RVEF on POD2 and the
266 duration of critical care unit stay (Spearman's $r=-0.653$, $p=0.001$, supplementary figure 6).
267 There was no association between RVEF at any timepoint and duration of hospital stay.

268

269 **Biomarkers of myocardial function**

270 Coefficients of variation for BNP and hsTnT were 5.9% and <10% respectively. BNP
271 increased over time, peaking on POD2 and returning to baseline by 2-months (Table 4 and
272 Figure 4A). HsTnT showed a small but significant post-operative rise (Table 4 and Figure
273 4B). There was moderate association between $\Delta\text{RVEF}_{\text{POD2-pre}}$ and BNP on POD2 (Pearson's
274 $r=-0.490$, $p=0.021$, Figure 4C). There was no association between troponin and RVEF on
275 POD2 (Figure 4D). Associations at other time points are detailed in supplementary table 4.

276 DISCUSSION

277 The main finding of this study (the first using CMR to describe changes in RV function
278 following lung resection) is that RV function deteriorated by POD2 and remained depressed
279 at 2-months. This is shown by a median *relative* decrease in RVEF of 10.9% from baseline
280 on POD2, with four patients experiencing a *relative* decrease of RVEF in excess of 20.0%.
281 The observed changes in RV function occur despite preservation of LV function, meaning
282 changes following lung resection primarily affect the right ventricular-pulmonary vascular
283 unit. The association between pulmonary function and deterioration in RVEF by POD2
284 suggests those patients with poorer lung function are also likely to be those with a larger
285 deterioration in RV function, meaning this group may be at particular risk.

286 CMR was feasible and well tolerated post-operatively with more than 80% of patients
287 completing the examination protocol. RVEF assessment was reproducible with coefficients
288 of variation (CV) between 12.9% and 16.8%. This is the first study to describe CMR in a
289 lung resection cohort - CVs in this population have not been described. Work in normal
290 subjects and those with cardiac pathology have shown CVs for RVEF between 8.0 and
291 10.7%.²⁷ Our study had higher CV's, however post-hoc analyses suggest surgical side was
292 important to observed variability. Pre-operative CV's were similar for those having left or
293 right sided resections (12.75% and 13.21% respectively). Post-operatively those patients with
294 right sided resections had larger CVs (20.48% on POD2 and 16.92% at 2-months) than those
295 having left sided surgery (11.53% and 13.81% respectively). Future studies using CMR in
296 this population for mechanistic research, may wish to prioritise patients undergoing left sided
297 resections.

298 As described in the introduction, a more complete assessment of RV and pulmonary vascular
299 function can be provided by measuring the matching between contractility and afterload. The

300 CMR surrogate approximation used in this study has compared favourably to a combined
301 pulmonary artery catheter and CMR determined coupling measurement in a pulmonary
302 hypertension cohort.²⁴ This ratio however incorporates a number of assumptions; firstly that
303 ventricular volume at time zero is negligible, and secondly it calculates end systolic elastance
304 and not maximal elastance.²⁸⁻³⁰ Our patients show a SV/ESV ratio of 1.0 which falls
305 following surgery suggesting a deterioration in the matching of contractility and afterload
306 which is still present 2-months following surgery.

307 Although widely hypothesized, increased afterload following lung resection has not been
308 demonstrated.^{10, 18, 21} PAAT and PA distensibility are indices of afterload that do not assume
309 constant flow (as PVR assumes), providing some insight into the pulsatile nature of afterload.
310 Reduced PAAT has been associated with abnormal wave reflections (increased pulsatile
311 afterload) in pulmonary hypertension.²⁶ Reduced PA distensibility suggests decreased
312 compliance of the pulmonary vessels, increasing afterload.^{31, 32}

313 We observed a decrease in PAAT in all vessels on POD2, with the greatest decrease in the
314 operative vessel. At 2-months, main PAAT remains reduced and although non-operative
315 PAAT returns to pre-operative levels, operative PAAT remains lower. These findings suggest
316 that post-operatively there is increased afterload in the operative vessel, potentially due to
317 increased wave reflection.

318 There were no changes in main PA distensibility, however determination of distensibility in
319 this vessel can be complicated by cardiac movement distorting the cross-sectional plane,
320 compromising the measurement.³³ This was our experience, with diastolic increases in cross-
321 sectional area not reflected in either changes in flow in the main PA, or area of the branch
322 pulmonary vessels. Operative distensibility was lower than pre-operative at 2-months, again
323 suggesting increased afterload on this side.

324 The changes in PAAT and distensibility, in addition to reduced operative PA blood flow,
325 suggest changes in afterload mainly result from the operative PA. PAAT and distensibility
326 are surrogate indices of pulsatile afterload but only partially reflect changes in overall
327 pulmonary artery impedance; this may account for the lack of observed association between
328 either of these measures and RVEF. In-depth analysis of pulsatile afterload is required to
329 further explore any association between changes in afterload and RV function following lung
330 resection. Additionally, it must be recognised that the observed changes in RVEF could also
331 occur as a result of intrinsic changes in RV contractility which could not be fully accounted
332 for in this study.

333 BNP and hsTnT are quantitative biomarkers of myocardial injury. BNP is released in
334 response to myocardial stretch and has been measured in patients undergoing lung resection,
335 with elevated peri-operative levels associated with early post-operative cardiopulmonary
336 complications.³⁴ We observed association between POD2 BNP and both $RVEF_{POD2}$ and
337 $\Delta RVEF_{POD2-pre}$. We found no association between LVEF and BNP (not shown) suggesting
338 BNP is released in response to changes within the RV. We found no association with change
339 in RV function and troponin at any time point.

340 **Clinical Implications**

341 This is the first study showing that RV function is impaired not just in the immediate peri-
342 operative period following lung resection, but months later, long into the recovery period. In
343 other clinical settings such as heart failure and pulmonary hypertension, RV dysfunction is
344 associated with poor prognosis and reduced exercise capacity; we hypothesize that reduced
345 RVEF following lung resection is likely to have clinical sequelae such as dyspnea and
346 reduced functional capacity. The right ventricle and pulmonary vasculature may provide a

347 target for future peri-operative interventions, allowing amelioration of long-term
348 cardiorespiratory morbidity.

349 **Limitations**

350 Although there is association between duration of critical care admission and post-operative
351 RV function this does not suggest it is causative. The reasons for remaining in critical care
352 are multi-factorial and some of the complications that may prolong stay, could in themselves
353 cause RV dysfunction. This is a proof of concept study and its size means any clinical
354 associations can only be hypothesis generating and do not allow robust clinical correlation;
355 future work should fully assess the clinical implications of RV dysfunction.

356 We made no assessment of RV function during exercise, previous work in this patient group
357 has consistently shown marked changes in pulmonary haemodynamics and RV function on
358 exercise.^{18, 35} While the deterioration in RVEF observed is modest, we suggest the changes
359 observed at rest would be exacerbated during exercise.

360 CMR is a reference method for assessing RV volumes but its use in this group is limited,
361 firstly by availability and secondly by suitability in the immediate post-operative patient.
362 Although withdrawals were well within the number allowed by the study's power analysis, a
363 group of participants were unable to undergo CMR assessment post-operatively. A validated
364 bedside alternative to CMR for the assessment of RV structure and function, potentially
365 utilising transthoracic echocardiography, biomarkers or a combination would have utility in
366 this population.

367 **CONCLUSIONS**

368 Right ventricular function is impaired immediately post-operatively following lung resection
369 and this persists at 2-months. There is a deterioration in the SV/ESV ratio with evidence of

370 increased afterload. There was moderate association between post-operative RV function and
371 B-type natriuretic peptide. Future work should focus on assessing the mechanisms and
372 clinical implications of post-operative RV dysfunction and on assessment of RV function
373 during exercise.

374 **ACKNOWLEDGMENTS**

375 **Contributors**

376 All authors contributed significantly to the submitted work. PM led patient recruitment and
377 acquisition of data, contributed to analysis and interpretation of data, and drafted the final
378 manuscript. AA contributed to patient recruitment and analysis of CMR data. AA, AG and
379 DC contributed to analysis and interpretation of data. AK was lead surgeon, contributed to
380 study design and assisted in acquisition of data. AM was lead anesthetist and contributed to
381 study design. JP contributed to study design and performed safety reporting of CMR imaging.
382 MJ, and JK contributed to study design. BS conceived of the study, obtained funding and
383 supervised all aspects of the study. All authors critically reviewed and approved the final
384 manuscript.

385

386 **Other Contributions**

387 We thank Ms Vanessa Orchard (lead cardiovascular magnetic resonance radiographer) and
388 Dr Des Alcorn (consultant radiologist) for their assistance with the cardiovascular magnetic
389 resonance component of the study.

390 **REFERENCES**

- 391 1. Licker M, de Perrot M, Hohn L, Tschopp JM, Robert J, Frey JG, et al. Perioperative mortality
392 and major cardio-pulmonary complications after lung surgery for non-small cell carcinoma.
393 *European Journal of Cardio-Thoracic Surgery*. 1999;15(3):314-9.
- 394 2. McCall PJ, Macfie A, Kinsella J, Shelley BG. Critical care after lung resection: CALoR 1, a
395 single-centre pilot study. *Anaesthesia*. 2015;70(12):1382-9.
- 396 3. Sarna L, Evangelista L, Tashkin D, Padilla G, Holmes C, Brecht ML, et al. Impact of respiratory
397 symptoms and pulmonary function on quality of life of long-term survivors of non-small cell lung
398 cancer. *Chest*. 2004;125(2):439-45.
- 399 4. Larsen KR, Svendsen UG, Milman N, Brenoe J, Petersen BN. Cardiopulmonary function at rest
400 and during exercise after resection for bronchial carcinoma. *Annals of Thoracic Surgery*.
401 1997;64(4):960-4.
- 402 5. Pelletier C, Lapointe L, Leblanc P. EFFECTS OF LUNG RESECTION ON PULMONARY-FUNCTION
403 AND EXERCISE CAPACITY. *Thorax*. 1990;45(7):497-502.
- 404 6. Brunelli A, Xiume F, Refai M, Salati M, Marasco R, Sciarra V, et al. Evaluation of expiratory
405 volume, diffusion capacity, and exercise tolerance following major lung resection - A prospective
406 follow-up analysis. *Chest*. 2007;131(1):141-7.
- 407 7. Nugent AM, Steele IC, Carragher AM, McManus K, McGuigan JA, Gibbons JRP, et al. Effect of
408 thoracotomy and lung resection on exercise capacity in patients with lung cancer. *Thorax*.
409 1999;54(4):334-8.
- 410 8. Vainshelboim B, Fox BD, Saute M, Sagie A, Yehoshua L, Fuks L, et al. Limitations in Exercise
411 and Functional Capacity in Long-term Postpneumonectomy Patients. *J Cardiopulm Rehabil Prev*.
412 2015;35(1):56-64.
- 413 9. Reed CE, Dorman H, Spinale FG. Mechanisms of Right Ventricular Dysfunction After
414 Pulmonary Resection. *Annals of Thoracic Surgery*. 1996;62:225-32.
- 415 10. Bäcklund M, Laasonen L, Lepäntalo M, Metsärinne K, Tikkanen I, Lindgren L. Effect of oxygen
416 on pulmonary hemodynamics and incidence of atrial fibrillation after noncardiac thoracotomy.
417 *Journal of Cardiothoracic and Vascular Anesthesia*. 1998;12(4):422-8.
- 418 11. Lewis JW, Bastanfar M, Gabriel F, Mascha E. Right heart function and prediction of
419 respiratory morbidity in patients undergoing pneumonectomy with moderately severe
420 cardiopulmonary dysfunction. *Journal of Thoracic and Cardiovascular Surgery*. 1994;108:169-75.
- 421 12. Matyal R, Mahmood F, Hess P, Zhao X, Mitchell J, Maslow A, et al. Right Ventricular
422 Echocardiographic Predictors of Postoperative Supraventricular Arrhythmias After Thoracic Surgery:
423 A Pilot Study. *Annals of Thoracic Surgery*. 2010;90:1080-7.
- 424 13. Amar D, Roistacher N, Burt M, Reinsel RA, Ginsberg RJ, Wilson RS. CLINICAL AND
425 ECHOCARDIOGRAPHIC CORRELATES OF SYMPTOMATIC TACHYDYSRHYTHMIAS AFTER NONCARDIAC
426 THORACIC-SURGERY. *Chest*. 1995;108(2):349-54.
- 427 14. Kowalewski J, Brocki M, Dryjanski T, Kapron K, Barcikowski S. Right ventricular morphology
428 and function after pulmonary resection. *European Journal of Cardiothoracic Surgery*. 1999;15:444-8.
- 429 15. McLure LER, Peacock AJ. Cardiac magnetic resonance imaging for the assessment of the
430 heart and pulmonary circulation in pulmonary hypertension. *European Respiratory Journal*.
431 2009;33:1454-66.
- 432 16. Haddad F, Hunt SA, Rosenthal DN, Murphy D. Right Ventricular Function in Cardiovascular
433 Disease, Part 1. Anatomy, Physiology, Aging, and Functional Assessment of the Right Ventricle.
434 *Circulation*. 2008;117:1436-48.
- 435 17. Champion HC, Michelakis ED, Hassoun PM. Comprehensive invasive and noninvasive
436 approach to the right ventricle-pulmonary circulation unit: state of the art and clinical and research
437 implications. *Circulation*. 2009;120(11):992-1007.
- 438 18. Okada M, Ota T, Okada M, Matsuda H, Okada K, Ishii N. Right ventricular dysfunction after
439 major pulmonary resection. *Journal of Thoracic and Cardiovascular Surgery*. 1994;108:503-11.

- 440 19. Leibowitz A. Pulmonary artery catheter determined right ventricular ejection fraction and
441 right ventricular end-diastolic volume: Another case of “The Emperor Has No Clothes”. *Critical Care*
442 *Medicine*. 2009;37(11):2992.
- 443 20. Hein M, Roehl AB, Baumert JH, Rossaint R, Steendijk P. Continuous right ventricular
444 volumetry by fast-response thermodilution during right ventricular ischemia: Head-to-head
445 comparison with conductance catheter measurements. *Critical Care Medicine*. 2009;37(11):2962-7.
- 446 21. Reed CE, Spinale FG, Crawford FA, Jr. Effect of pulmonary resection on right ventricular
447 function. *Ann Thorac Surg*. 1992;53(4):578-82.
- 448 22. Ibrahim el SH, White RD. Cardiovascular magnetic resonance for the assessment of
449 pulmonary arterial hypertension: toward a comprehensive CMR exam. *Magnetic Resonance Imaging*.
450 2012;30(8):1047-58.
- 451 23. Furuno Y, Nagamoto Y, Fujita M, Kaku T, Sakurai S, Kuroiwa A. Reflection as a cause of mid-
452 systolic deceleration of pulmonary flow wave in dogs with acute pulmonary hypertension:
453 comparison of pulmonary artery constriction with pulmonary embolisation. *Cardiovascular*
454 *Research*. 1991;25(2):118-24.
- 455 24. Sanz J, García-Alvarez A, Fernández-Friera L, Nair A, Mirelis JG, Sawit ST, et al. Right
456 ventriculo-arterial coupling in pulmonary hypertension: a magnetic resonance study. *Heart*.
457 2012;98(3):238-43.
- 458 25. Schulz-Menger J, Bluemke DA, Bremerich J, Flamm SD, Fogel MA, Friedrich MG, et al.
459 Standardized image interpretation and post processing in cardiovascular magnetic resonance:
460 Society for Cardiovascular Magnetic Resonance (SCMR) Board of Trustees Task Force on
461 Standardized Post Processing. *Journal of Cardiovascular Magnetic Resonance*. 2013;15:19.
- 462 26. Quail MA, Knight DS, Steeden JA, Taelman L, Moledina S, Taylor AM, et al. Noninvasive
463 pulmonary artery wave intensity analysis in pulmonary hypertension. *Am J Physiol Heart Circ Physiol*.
464 2015;308(12):H1603-11.
- 465 27. Mooij CF, de Wit CJ, Graham DA, Powell AJ, Geva T. Reproducibility of MRI measurements of
466 right ventricular size and function in patients with normal and dilated ventricles. *Journal of Magnetic*
467 *Resonance Imaging*. 2008;28(1):67-73.
- 468 28. Naeije R, Manes A. The right ventricle in pulmonary arterial hypertension. *European*
469 *Respiratory Review*. 2014;23(134):476-87.
- 470 29. Vanderpool RR, Desai AA, Knapp SM, Simon MA, Abidov A, Yuan JX, et al. How prostacyclin
471 therapy improves right ventricular function in pulmonary arterial hypertension. *Eur Respir J*.
472 2017;50(2).
- 473 30. Vanderpool RR, Rischard F, Naeije R, Hunter K, Simon MA. Simple functional imaging of the
474 right ventricle in pulmonary hypertension: Can right ventricular ejection fraction be improved? *Int J*
475 *Cardiol*. 2016;223:93-4.
- 476 31. Kang KW, Chang HJ, Kim YJ, Choi BW, Lee HS, Yang WI, et al. Cardiac magnetic resonance
477 imaging-derived pulmonary artery distensibility index correlates with pulmonary artery stiffness and
478 predicts functional capacity in patients with pulmonary arterial hypertension. *Circ J*.
479 2011;75(9):2244-51.
- 480 32. Sanz J, Kuschnir P, Rius T, Salguero R, Sulica R, Einstein AJ, et al. Pulmonary arterial
481 hypertension: noninvasive detection with phase-contrast MR imaging. *Radiology*. 2007;243(1):70-9.
- 482 33. Laffon E, Bernard V, Montaudon M, Marthan R, Barat JL, Laurent F. Tuning of pulmonary
483 arterial circulation evidenced by MR phase mapping in healthy volunteers. *J Appl Physiol* (1985).
484 2001;90(2):469-74.
- 485 34. Cagini L, Andolfi M, Leli C, Potenza R, Ragusa M, Scarnecchia E, et al. B-type natriuretic
486 peptide following thoracic surgery: a predictor of postoperative cardiopulmonary complications.
487 *European Journal of Cardio-Thoracic Surgery*. 2014;46(5):E74-E80.
- 488 35. Miyazawa M, Haniuda M, Nishimura H, Kubo K, Amano J. Longterm effects of pulmonary
489 resection on cardiopulmonary function. *Journal of the American College of Surgeons*.
490 1999;189(1):26-33.

491

492 **FIGURE LEGENDS**493 **Figure 1 (A-C). Ventricular ejection fraction and coupling over time**

494 For box plots, the middle horizontal line represents the median, the boxes represent the
495 interquartile range (IQR) and the whiskers represent the range of values no greater than 1.5
496 times the IQR. Circles represent outliers and are values 1.5-3 times IQR.

497 (A) Decrease in right ventricular ejection fraction (RVEF) on post-operative day (POD) 2,
498 remaining reduced at 2-months. (B) No changes in left ventricular ejection fraction (LVEF)
499 over time. (C) Deterioration in the stroke volume/end systolic volume ratio (SV/ESV) over
500 study period.

501 **Figure 2 (A-B). Pulmonary artery flow over time**

502 For box plots, the middle horizontal line represents the median, the boxes represent the IQR
503 and the whiskers represent the range of values no greater than 1.5 times the IQR. Circles
504 represent outliers and are values 1.5-3 times IQR. *Blue bars* represent the Main pulmonary
505 artery (MPA), *green bars* represent the operative pulmonary artery (OPA) and *white bars*
506 represent the non-operative pulmonary artery (NPA)

507 (A) Flow in the MPA increases on POD2 before returning to pre-op levels by 2-months. (B)
508 Post-operative distribution of CO between the two pulmonary arteries is altered, with reduced
509 flow through the OPA on POD2 and at 2-months.

510 **Figure 3 (A-C). Pulmonary artery acceleration time (PAAT) and distensibility over time**

511 For box plots, the middle horizontal line represents the median, the boxes represent the IQR
512 and the whiskers represent the range of values no greater than 1.5 times the IQR. Circles and

513 positive symbols (+) represent outliers and are values 1.5-3 and >3 times IQR respectively.
514 *Blue bars* represent the Main pulmonary artery (MPA), *green bars* represent the operative
515 pulmonary artery (OPA) and *white bars* represent the Non-operative pulmonary artery
516 (NPA).

517 (A) PAAT in the MPA is reduced post-operatively, remaining reduced from pre-op levels at
518 2-months. (B) PAAT in the OPA is reduced on POD2 and despite partial recovery, remains
519 reduced at 2-months. PAAT in the NPA is reduced on POD2 but recovers by 2-months.
520 PAAT is lower in the OPA on POD2 and at 2-months. (C) Distensibility in the OPA is
521 reduced on POD2 and at 2-months. Distensibility in the OPA is lower than the NPA at 2-
522 months.

523 **Figure 4 (A-D). Biomarkers of myocardial function**

524 For box plots, the middle horizontal line represents the median, the boxes represent the IQR
525 and the whiskers represent the range of values no greater than 1.5 times the IQR. Circles and
526 positive symbols (+) represent outliers and are values 1.5-3 and >3 times IQR respectively.

527 (A) Changes in B-type natriuretic peptide (BNP) over time, peaking on post-operative day
528 (POD) 2. (B) Changes in High sensitivity troponin T (hsTnT) over time, peaking on POD1.
529 (C) Moderate association of change in right ventricular ejection fraction from pre-op to
530 POD2 (Δ RVeF [POD2-Pre]) and BNP on POD2 (D) No association with Δ RVeF [POD2-
531 Pre] and hsTnT on POD2.

532

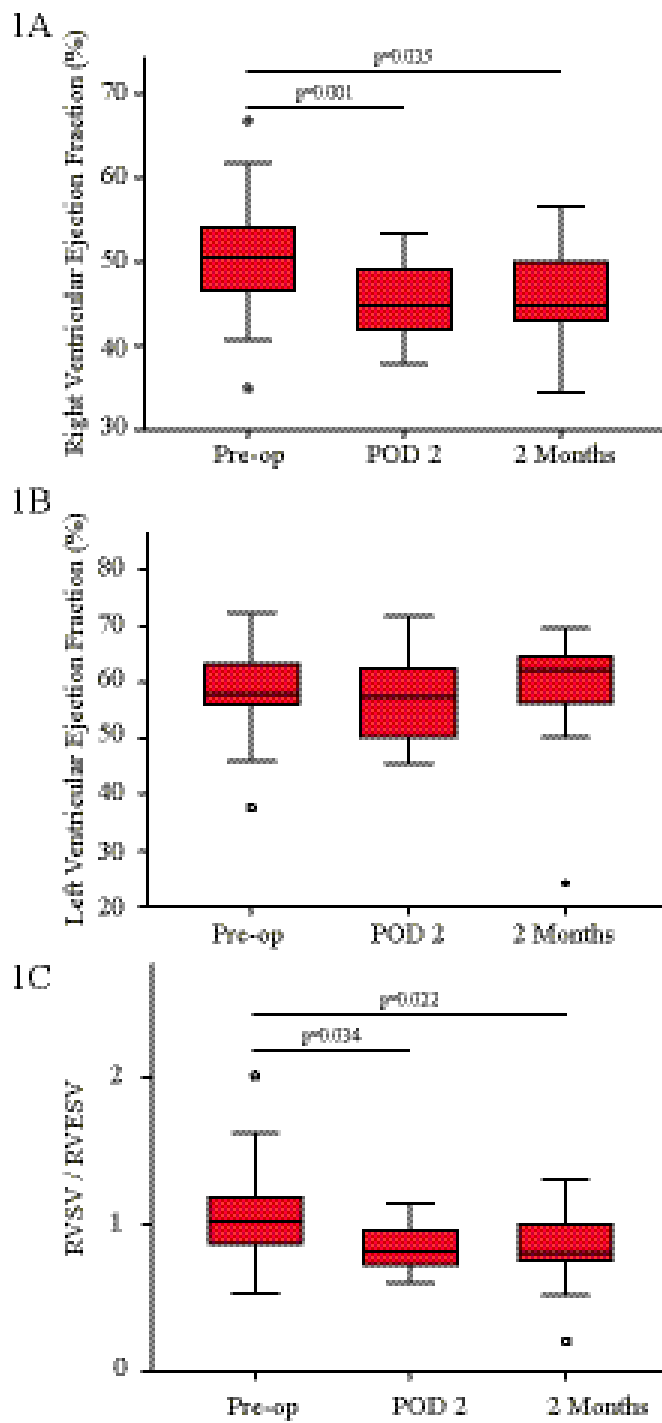


Figure 1.2

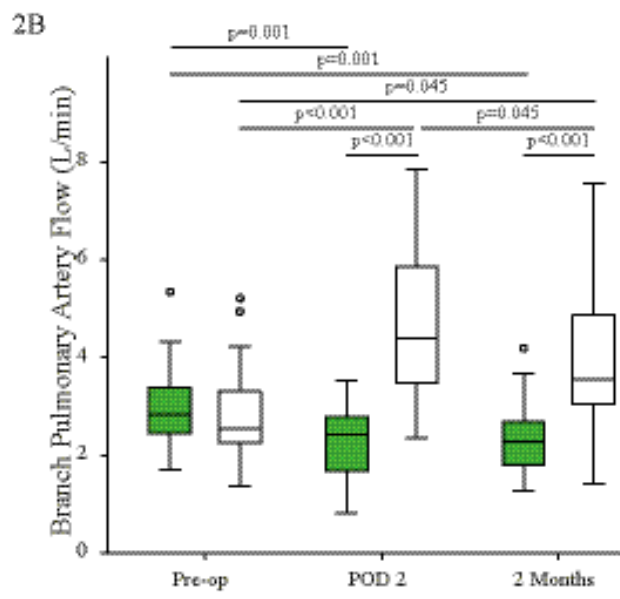
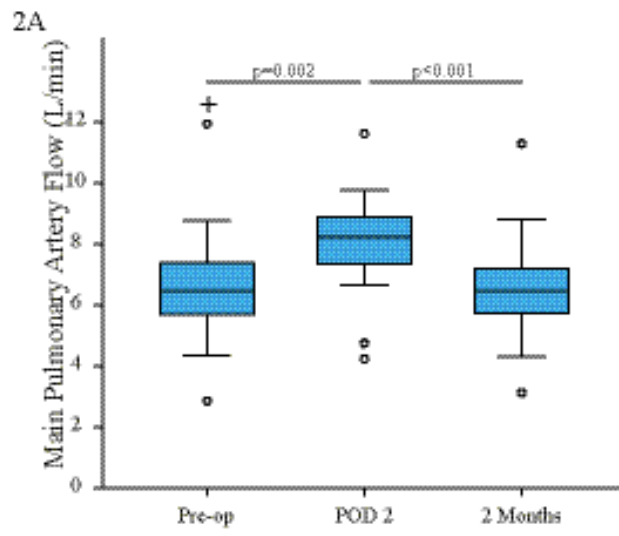


Figure 2.2

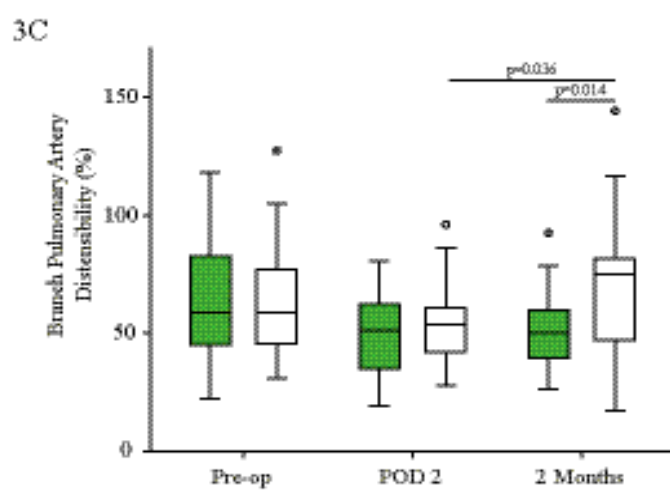
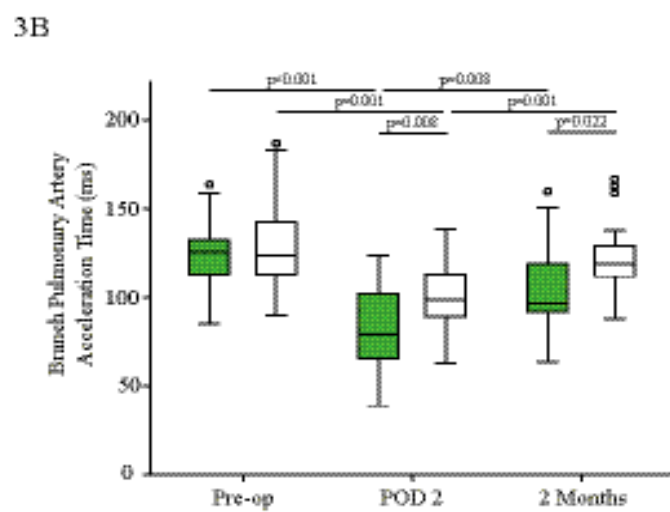
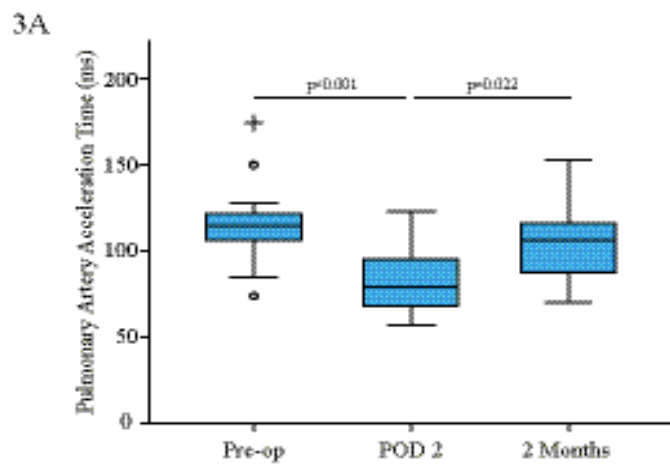
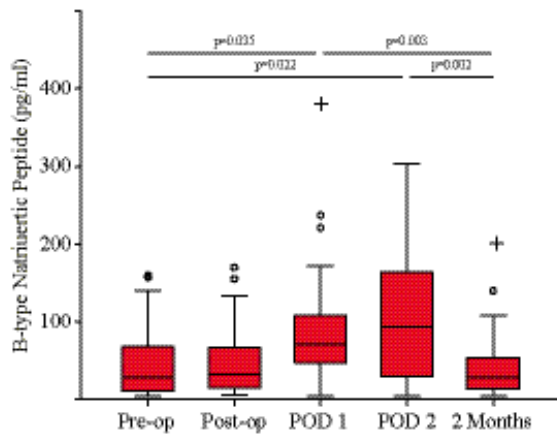
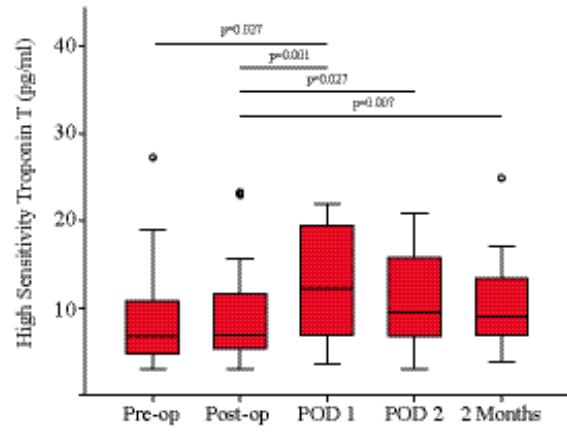


Figure 3.2

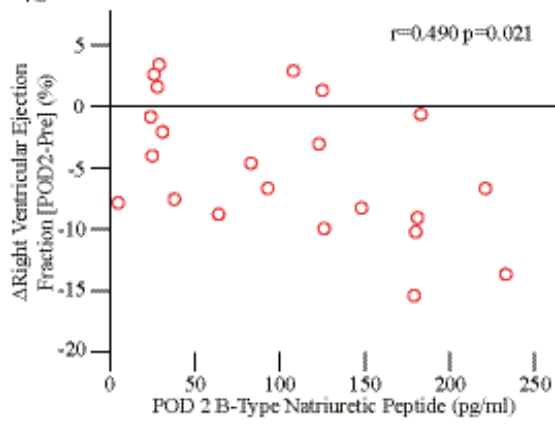
4A



4B



4C



4D

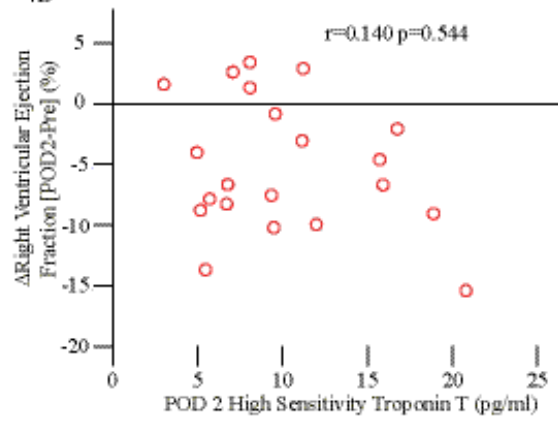


Figure 4.2

Table 1. Patient characteristics

| Patient characteristics | n = 27 |
|------------------------------------|----------------------|
| Age, yr | 67.0 (59.0, 74.0) |
| Sex, n Female | 17 (63.0%) |
| Smoking | |
| None, n (%) | 2 (7.4%) |
| Former, n (%) | 12 (44.4%) |
| Active, n (%) | 13 (48.1%) |
| Pre-operative pulmonary function | |
| SaO ₂ on air, % | 96.4 (1.7) |
| FEV ₁ , L | 1.9 (1.6, 2.4) |
| % Predicted FEV ₁ , % | 87.5 (25.1) |
| FEV ₁ /FVC ratio, % | 64.1 (14.8) |
| TLCO, mmol/kPa/min | 5.2 (1.7) |
| % Predicted TLCO, % | 66.6 (15.2) |
| Operative Variables | |
| Pneumonectomy, n (%) | 1 (3.7%) |
| Lobectomy, n (%) | 22 (81.5%) |
| Bilobectomy, n (%) | 4 (14.8%) |
| Right sided procedure, n (%) | 17 (63.0%) |
| Segments resected, n | 5 (3, 5) |
| Duration of surgery, mins | 146.0 (116.0, 169.0) |
| Duration of OLV, mins | 56.0 (48.0, 84.0) |
| Intra-op fluid administration, mls | 933.3 (402.9) |
| Pathology | |

| | |
|--|----------------|
| Primary lung cancer | 24 (88.9%) |
| Metastatic malignancy | 1 (3.7%) |
| Benign | 2 (7.4%) |
| Comorbidities* | |
| History of Cancer, n (%) | 7 (25.9%) |
| COPD, n (%) | 6 (22.2%) |
| Hypertension, n (%) | 9 (33.3%) |
| Ischemic Heart Disease, n (%) | 6 (22.2%) |
| Diabetes Mellitus, n (%) | 0 |
| Peripheral Vascular Disease, n (%) | 5 (18.5%) |
| Obesity, n (%) | 2 (7.4%) |
| Alcoholism, n (%) | 0 |
| Thoracscore (%) | 0.7 (0.5, 0.8) |
| Critical Care Unit Length of Stay (Days) | 2.0 (1.2, 2.2) |
| Hospital Length of Stay (Days) | 8 (7, 11) |

*As per Thoracscore definition of comorbidities.

Data are presented as mean (SD) or median (Q1, Q3).

SaO₂, oxygen saturation; FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity; TLCO, transfer factor for carbon monoxide; OLV, one lung ventilation; COPD, chronic obstructive pulmonary disease.

Table2. Ventricular Volumes and Function over Time

| | Pre-op | POD2 | 2 months | p-value |
|-------------------------------------|----------------|-----------------|-----------------|----------------|
| | n = 26 | n = 22 | n = 24 | |
| HR (bpm) | 64.4 (13.0) | 77.0 (11.0)‡ | 69.4 (10.3)§ | 0.002* |
| Right ventricle volume measurements | | | | |
| RVEF (%) | 50.5 (6.9) | 45.6 (4.5)‡ | 44.9 (7.7)‡ | 0.003* |
| RVEDV (ml) | 119.1 (25.4) | 125.9 (22.5) | 109.4 (31.6) | 0.019* |
| RVESV (ml) | 59.8 (17.1) | 68.6 (14.5)‡ | 59.8 (17.6) | 0.040* |
| RVSV (ml) | 59.3 (12.0) | 57.3 (10.7) | 49.6 (16.5)‡ | 0.002* |
| RVSV/RVESV | 1.0 (0.9, 1.2) | 0.8 (0.7, 1.0)§ | 0.8 (0.8, 1.0)§ | 0.011† |
| Left ventricle volume measurements | | | | |
| LVEF (%) | 58.4 (7.1) | 57.4 (7.3) | 59.7 (9.3) | 0.621* |
| LVEDV (ml) | 109.2 (19.5) | 106.3 (19.2) | 93.6 (28.2)‡ | 0.001* |
| LVESV (ml) | 46.0 (13.2) | 46.0 (14.2) | 37.7 (13.1) | 0.019* |
| LVSV (ml) | 63.2 (11.7) | 60.3 (9.0) | 55.9 (18.0)‡ | 0.004* |

Data are presented as mean (SD) or median (Q1, Q3).

*One-way repeated measures ANOVA.

†Friedman's test.

‡ Significant difference from Pre-op (paired t-test, p<0.05).

§ Significant difference from Pre-op (Wilcoxon signed rank test, p<0.05).

§ Significant difference from POD2 (paired t-test, p<0.05).

POD, post-operative day; HR, heart rate; bpm, beats per minute; RVEF, right ventricular ejection fraction; RVEDV, right ventricular end diastolic volume; RVESV,

right ventricular end systolic volume; RVSV, right ventricular stroke volume; LVEF, left ventricular ejection fraction; LVEDV, left ventricular end diastolic volume; LVESV, left ventricular end systolic volume; LVSV, left ventricular stroke volume.

Table3. PAAT and Distensibility Index

| | Pre-op | POD2 | 2-months | p-value |
|--|-------------------|-------------------|-------------------|----------------|
| | n = 26 | n = 22 | n = 24 | |
| Pulmonary artery flow (L/min) | | | | |
| Main PA flow | 6.6 (1.7) | 8.0 (1.6) ‡ | 6.5 (1.7) § | 0.004* |
| Operative PA flow | 3.0 (0.8) | 2.3 (0.7) ‡ | 2.3 (0.8) ‡ | 0.004* |
| Non-operative PA flow | 2.8 (1.0) | 4.7 (1.4) ‡ II | 3.8 (1.5) ‡ § II | <0.001* |
| Pulmonary Artery Acceleration Time (PAAT, ms) | | | | |
| Main PA | 115.9 (20.7) | 82.7 (18.9) ‡ | 104.0 (19.5) § | <0.001* |
| Operative PA | 124.7 (19.3) | 82.1 (23.0) ‡ | 106.0 (23.9) ‡§ | <0.001* |
| Non-operative PA | 128.0 (24.8) | 100.5 (18.8) ‡II | 121.4 (20.4) §II | <0.001* |
| Distensibility (%) | | | | |
| Main PA | 32.0 (26.9, 46.0) | 29.8 (22.3, 38.8) | 28.4 (25.8, 39.6) | 0.818† |
| Operative PA | 65.2 (25.5) | 50.7 (16.9) | 51.8 (16.2) | 0.027* |
| Non-operative PA | 62.6 (23.2) | 54.4 (17.7) | 69.2 (28.0) §II | 0.120* |

Data are presented as mean (SD) or median (Q1, Q3).

***One-way repeated measures ANOVA.**

†Friedman's test.

‡ Significant difference from pre-op (paired t-test, p<0.05).

§ Significant difference from POD2 (paired t-test, p<0.05).

II Significant difference from operative vessel at given timepoint (independent samples t-test, p<0.05).

POD, post-operative day; PA, pulmonary artery.

Table 4. Biomarkers of myocardial function

| | Immediate Pre-op | Immediate Post-op | POD1 | POD2 | 2-months | p-value |
|---------------|-----------------------------|------------------------------|-----------------------|-----------------------|---------------------------|----------------|
| | n = 27 | n = 27 | n = 27 | n = 27 | n = 24 | |
| BNP (pg/ml) | 28.0 (5.0, 160.0) | 32.0 (6.0, 170) | 71.0 (5.0, 381.0)† | 93.0 (5.0, 304.0)† | 28.5 (5.0, 199.0) § II | <0.001* |
| | n = 26 | n = 26 | n = 26 | n = 26 | n = 22 | |
| hsTnT (pg/ml) | 6.8 (3.0, 27.2) | 6.9 (3.0, 23.2) | 12.3 (3.7, 21.9)†‡ | 9.5 (3, 20.7)‡ | 9.0 (3.9, 24.9)‡ | <0.001* |

Data are presented as median (Q1, Q3).

*Friedman's test.

† Significant difference from Pre-op (Wilcoxon signed rank test, p<0.05).

‡ Significant difference from Immediate Post-op (Wilcoxon signed rank test, p<0.05).

§ Significant difference from POD1 (Wilcoxon signed rank test, p<0.05).

II Significant difference from POD2 (Wilcoxon signed rank test, p<0.05).

POD, post-operative day; BNP, B-type natriuretic peptide; hsTnT, high sensitivity troponin T.