# Changing causes of death for chronic respiratory disease patients in England, 2005-2015

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## Abstract

**Background** Chronic respiratory diseases (CRD) are common, increasing in prevalence, and cause significant morbidity and mortality worldwide. However, we have limited knowledge on causes of death of patients with CRD in the general population.

Objective We evaluated mortality rates and causes of death over time in CRD patients.

**Methods** We used linked primary care and mortality data to determine mortality rates and the most common causes of death in people with CRD (including asthma, bronchiectasis, chronic obstructive pulmonary disease (COPD), and interstitial lung diseases (ILD)) during 2005-2015 in England.

**Results** We identified 558,888 CRD patients (451,830 asthma, 137,709 COPD, 19,374 bronchiectasis, 10,745 ILD). The age-standardised mortality rate of patients with CRD was 1,607 per 100,000 persons (asthma=856, COPD=1,503, ILD=2,609, bronchiectasis=1,463). CRD mortality was overall 54% higher than the general population. One third of CRD patients died from respiratory-related causes. Respiratory-related mortality was constant, while cardiovascular-related mortality decreased significantly over time. COPD accounted for the majority of respiratory-related deaths (66% overall) in all patient groups except ILD.

**Conclusions** CRD patients continue to experience substantial morbidity and mortality due to respiratory diseases. Disease-modifying intervention strategies are needed to improve outcomes for patients with CRD.

## **Key Messages**

## What is the key question?

What do patients with chronic respiratory diseases (asthma, bronchiectasis, COPD, interstitial lung disease) die from and how have mortality rates changed?

## What is the bottom line?

Having a CRD carries a high mortality risk, except in asthma. In patients with CRD, there has been no improvement in death from respiratory causes.

# Why read on?

This is the largest observational study of chronic respiratory disease patients.

# Introduction

Chronic respiratory diseases (CRD) including asthma, bronchiectasis, chronic obstructive pulmonary disease (COPD), and interstitial lung diseases (ILD) are common, increasing in prevalence, and cause significant morbidity and mortality worldwide [1-4]. Despite declines in CRD mortality in some specific populations in recent years, there has been an overall increase of 30% in CRD mortality in the general population since 1980 [5]. With an ageing population, improvements in treatments, and earlier diagnosis, it is not known whether there have been changes in the underlying causes of death in patients with CRD over time.

Lung diseases are responsible for 20% of all deaths in the UK, most due to lung cancer, COPD, and pneumonia [6]. The UK has the highest respiratory mortality rate in the Organisation for Economic Co-operation and Development (OECD) [7, 8]. While mortality rates for cardiovascular disease (CVD) in the general population have fallen in recent years, mortality due to lung diseases has remained constant [6]. These rates have not previously been estimated among patients diagnosed with a chronic respiratory disease.

Asthma is a highly prevalent global disease [4], yet there is limited knowledge on their cause of death. Within developed countries, asthma-specific mortality (from acute asthma attacks) has steadily declined over the past few decades [9], but far less is known about all-cause asthma mortality. The only comprehensive study to address this question, looked between 1999 and 2008, in a Canadian provincial health administrative database, and found that asthma patients had a lower mortality rate than the general population, and were most likely to die from comorbid disorders than their asthma [10].

Bronchiectasis prevalence is a burden in many countries, including the UK, though comprehensive global data remains elusive [11-13]. Few previous studies have looked at rates and causes of death in bronchiectasis patients [13, 14].

COPD prevalence and mortality has increased globally since 1990 and carries an especially high disease burden in low and middle-income countries [4, 15]. COPD itself is a major cause of mortality for COPD patients, along with other respiratory diseases and cancer; it has been reported that one third of patients with COPD die from CVD [16-19].

Few studies investigate ILD as a whole, many studies focus on idiopathic pulmonary fibrosis (IPF), pulmonary sarcoidosis, and pneumoconiosis separately. While the incidence of and mortality due to IPF is known to be increasing worldwide and in the UK [20-23], there is a paucity of data on incidence and mortality in patients with sarcoidosis and pneumoconiosis [5, 23].

Many studies have investigated respiratory deaths reported on death certificates [4, 6, 17]; however, relying on death certificates alone means that a large number of CRD patients are missed. Previous studies have found that anywhere between 6-82% of CRD patients have a CRD listed anywhere on their death certificate [14, 21, 24, 25].

The aims of this study were to calculate the all-cause and disease-specific mortality rates of CRD patients, including patients with asthma, bronchiectasis, COPD, and ILD, compared to the general population; as well as investigate the temporal pattern of causes of death between 2005 and 2015.

## Methods

# Data Sources

Primary care electronic healthcare records from the Clinical Practice Research Datalink (CPRD), the largest validated anonymized source of longitudinal health data including demographic, medical diagnosis, prescription, and laboratory test data that has been shown to be representative of the UK population [26, 27]. Mortality and population size data from the Office of National Statistics (ONS) for England and Wales [28, 29]. Socioeconomic status (SES) measured in relation to patients' postcodes from the Index of Multiple Deprivation (IMD) [30].

## Study Population

Patients over the age of 18 with asthma, bronchiectasis, or ILD, and patients over the age of 35 with COPD [31], identified in CPRD using pre-specified Read codes, and alive at any time between 1 January 2004 and 31 December 2015 were included. Patients were considered eligible if they were registered with a practice that consents to participate in the record linkage scheme. Follow up began at the latest of the following dates: study start date, the patient's 18<sup>th</sup> birthday, the date CPRD deemed the submitting general practice was "up to standard" (i.e. the practice had passed a number of quality checks and was deemed suitable for research use), the date a patient joined the practice or the date of first diagnosis with CRD. Patients were considered to have asthma at time

of death if their most recent asthma code was within 3 years of their death to distinguish between resolved and current disease [32].

## Exposure

Chronic respiratory disease diagnosis: asthma, bronchiectasis, COPD, or ILD. A validated code list was used to identify asthma patients [33]. A validated COPD code list [34] was the basis for our COPD code list (see supplement for additional codes). The code lists used to identify bronchiectasis [12] and ILD [35] patients are published.

## Outcome

Mortality rate and underlying cause of death from ONS. ONS derives underlying cause of death using standardised guidelines from information available on death certificates and coded using The International Statistical Classification of Diseases and Related Health Problems 10th Revision (ICD-10) [36].

## **Covariates**

Age was measured in years. SES from IMD 2015 data divided into quintiles, where 1- is most deprived [37]. Baseline body mass index (BMI; kg/m<sup>2</sup>) classified as was 'underweight' (<18.5), 'healthy weight' (18.5-24.9), 'overweight' (25.0-29.9), and 'obese' (>30.0). Baseline smoking status was classified as 'never smoker/not recorded', 'current smoker', and 'former smoker' [12].

## Statistical Analyses

All statistical analyses were performed in STATA version 15 (StataCorp LP, College Station, TX, USA).

## Mortality Rates

Using linked CPRD and ONS mortality data, we analysed mortality trends from 2005 to 2015 among people with each CRD. We calculated comparative mortality rates in males and females with each CRD in England to mortality rates in the general population from ONS data. We calculated the crude all-cause mortality rates by calculating the number of deaths in people with each CRD in the CPRD dataset and dividing by the midpoint CRD population for that year, then averaging over the 10 year study period. Additionally we calculated mortality figures stratified by age, sex, BMI, smoking status, and SES [28, 29]. We then calculated age-standardised (using the 2013 European Standard Population) mortality. We compared our results to the ONS published data on all-cause mortality figures.

## Changes in Causes of Mortality

We investigated all-cause and disease-specific mortality and determined proportions of deaths due to specific causes in people with each CRD using the ONS-derived underlying cause of death. Trends in cause of death were assessed using Chi-squared tests.

## Sensitivity Analyses

As a sensitivity analysis, we computed trends in causes of death using both ONS-derived underlying cause of death and the cause of death as reported in the first position on the death certificate, which may be ill-defined [38]. Sensitivity analyses in each of the disease populations was undertaken by examining mortality rates and causes of death after excluding patients that had a co-diagnosis of another CRD.

## Results

## Cohort Characteristics

We identified 558,888 CRD patients who met the inclusion and exclusion criteria (Figure 1; S1), of whom 75,789 died (14%) between 2005 and 2015 (Table 1). Average age at death increased from 77.4 years (95%CI: 77.2-77.8) in 2005 to 79.2 years (95%CI 78.9-79.4) in 2015.

	Full CRD Cohort n (%)	Asthma Cohort n (%)	Bronchiectasis Cohort n (%)	COPD Cohort n (%)	ILD Cohort n (%)		
Number of Patients	N = 558,888	N = 451,830	N = 19,374	N = 137,709	N = 10,745		
Number of Patient Deaths Length of Follow-Up, years	75,789 (13.6) 3.53	27,656 (6.12) 3.40	5,446 (28.1) 3.32	54,320 (39.4) 3.42	5,249 (48.9) 2.21		
(median, IQR)	(1.49, 6.32)	(1.51, 6.26)	(1.33, 6.05)	(1.44, 6.14)	(0.84, 4.39)		
Descriptive Statistics of CRD Pat	ient Deaths						
Female	36,862 (48.6)	15,313 (55.4)	2,960 (54.4)	24,696 (45.5)	1,874 (35.7)		
Age at Death, years (mean, SD)	$78.4 \pm 11.4$	$76.6 \pm 13.5$	$79.1 \pm 10.6$	$79.1 \pm 9.86$	$78.8 \pm 9.61$		
Males	$77.4 \pm 11.2$	$75.1 \pm 13.6$	$78.0\pm10.4$	$78.3\pm9.71$	$78.1\pm9.13$		
Females	$79.4 \pm 11.5$	$77.8 \pm 13.3$	$80.0\pm10.6$	$80.0\pm9.94$	$79.9 \pm 10.3$		
Age Groups at Death							
18-24 years	92 (0.12)	87 (0.31)	5 (0.09)	~	0		
25-34 years	253 (0.33)	245 (0.89)	7 (0.13)	~	< 5		
35-44 years	605 (0.80)	528 (1.91)	28 (0.51)	91 (0.17)	19 (0.36)		
45-54 years	1,783 (2.35)	1,212 (4.38)	89 (1.63)	735 (1.35)	70 (1.33)		
55-64 years	5,707 (7.53)	2,472 (8.94)	368 (6.76)	3,823 (7.04)	337 (6.42)		
65-74 years	15,016 (19.8)	5,196 (18.8)	1,066 (19.6)	11,344 (20.9)	1,076 (20.5)		
75-84 years	27,472 (36.3)	9,226 (33.4)	2,046 (37.6)	20,776 (38.3)	2,196 (41.8)		
85+ years	24,861 (32.8)	8,690 (31.4)	1,837 (33.7)	17,551 (32.3)	1,549 (29.5)		
Smoking Status							
Never Smoker	13,451 (17.8)	8,063 (29.2)	1,920 (35.3)	6,175 (11.4)	1,160 (22.1)		
Current Smoker	18,720 (24.7)	5,231 (18.9)	594 (10.9)	16,042 (29.5)	521 (9.93)		
Former Smoker	36,325 (47.9)	12,627 (45.7)	2,517 (46.2)	27,717 (51.0)	2,811 (53.6)		
Not Recorded	7,293 (9.6)	1,735 (6.3)	415 (7.6)	4,386 (8.1)	757 (14.4)		
Body Mass Index (kg/m <sup>2</sup> )	(n = 63,909)	(n = 24,028)	(n = 4,593)	(n = 45,874)	(n = 4,410)		
Underweight (< 18.5)	4,738 (7.41)	1,331 (5.54)	546 (11.9)	3,839 (8.37)	203 (4.60)		
Healthy Weight (18.5-24.9)	24,663 (38.6)	8,567 (35.7)	2,024 (44.1)	18,334 (40.0)	1,587 (36.0)		
Overweight (25.0-29.9)	19,783 (31.0)	7,654 (31.9)	1,320 (28.7)	13,730 (29.9)	1,671 (37.9)		
Obese (>= 30)	14,725 (23.0)	6,476 (27.0)	703 (15.3)	9,971 (21.7)	949 (21.5)		
Diagnosis of Asthma	27,656 (36.5)	~	1,179 (21.7)	11,870 (21.9)	526 (10.0)		
Diagnosis of Bronchiectasis	5,446 (7.19)	1,179 (4.26)	~	2,664 (4.90)	379 (7.22)		
Diagnosis of COPD	54,320 (71.7)	11,870 (42.9)	2,664 (48.9)	~	1,481 (28.2)		
Diagnosis of ILD	5,249 (6.93)	526 (1.90)	379 (6.96)	1,481 (2.73)	~		
Diagnosis IPF	896 (1.18)	66 (0.24)	48 (0.88)	206 (0.38)	896 (17.1)		
Diagnosis Pneumoconiosis	778 (1.03)	82 (0.30)	35 (0.64)	311 (0.57)	778 (14.8)		
Diagnosis Sarcoidosis	163 (0.22)	22 (0.08)	11 (0.20)	34 (0.06)	163 (3.11)		
Index of Multiple Deprivation							
1- Most Deprived	12,289 (16.2)	4,926 (17.8)	1,174 (21.6)	7,878 (14.5)	1,066 (20.3)		
2-	15,147 (20.0)	5,751 (20.8)	1,231 (22.6)	10,352 (19.1)	1,201 (22.9)		
3-	15,732 (20.8)	5,724 (20.7)	1,060 (19.5)	11,159 (20.6)	1,130 (21.6)		
4-	15,838 (20.9)	5,670 (20.5)	943 (17.3)	11,886 (21.9)	944 (18.0)		
5- Least Deprived	16,723 (22.1)	5,569 (20.2)	1,037 (19.0)	12,998 (24.0)	903 (17.2)		

**Table 1. Descriptive Statistics of Chronic Respiratory Disease (CRD) Patient Deaths.** Presented for those who died in the CRD cohort, including patients with asthma, bronchiectasis, chronic obstructive pulmonary disease (COPD), and interstitial lung disease (ILD). Categorical data are presented as count (percent). Percentages may not sum to 100 due to rounding. Patients may be in multiple disease cohorts. IPF = idiopathic pulmonary fibrosis. IQR = interquartile range. SD = standard deviation.

## Mortality Rates

The cumulative crude all-cause mortality rate for patients with CRD between 2010 and 2015 was 3,030 per 100,000 patients (95% CI: 3,022-3,038) (Table 2), with a rate of 0.809 per 100,000 person-years (95% CI: 0.807-0.811). Crude rates were higher in males, older age groups, and current smokers (S2), as well as among patients with lower BMI and those living in the least deprived areas (S3). Age- and sex-adjusted all-cause mortality rates (ASMR) increased from 1,332 per 100,000 (95% CI: 1,328-1,335) in 2005 to 2,673 per 100,000 (95% CI: 2,666-2,680) in 2015 (Figure 2). ASMR among CRD patients were 54% (95% CI: 44 – 64) higher than the general population and were higher after excluding patients with comorbid CRD (S4).

	Ν	N Deaths	Crude Mortality Rate	ASMR		
General Population	57,885,413	5,477,290	1,178 (1,177 – 1,178)	867 (867 - 868)		
CRD Patients	558,888	75,789	3,030 (3,022 - 3,038)	1,607 (1,603 - 1,611)		
Asthma	451,830	27,656	1,178 (1,177 – 1,178)	856 (853 - 858)		
Bronchiectasis	19,374	5,446	3,030 (3,022 - 3,038)	1,463 (1,439 - 1,488)		
COPD	137,709	54,320	1,245 (1,241 - 1,248)	1,503 (1,492 - 1,513)		
ILD	10,745	5,249	4,996 (4,925 - 5,066)	2,609 (2,540 - 2,678)		

**Table 2. Mortality rates stratified by CRD, 2004 - 2015.** Presented for overall CRD cohort and sub-cohorts. Data are presented as mortality (95% CI), per 100,000 population. Rates have been calculated by averaging over mid-year population estimates for each year. Age standardised mortality rate (ASMR). CRD = chronic respiratory disease including asthma, bronchiectasis, chronic obstructive pulmonary disease (COPD), and interstitial lung disease (ILD).

## Cause of death

32,664 individuals (43%) had a record of their underlying diagnosed lung disease mentioned as one of the causes of death on their death certificates. The leading causes of death among all CRD patients were diseases of the respiratory system (32%) (Figure 3; S5), where deaths due to COPD and pneumonia accounted for 79% of all respiratory deaths (Table 3). The second most common cause of death was diseases of the circulatory system (26%) (Table 3; Figure 3; S5). Neoplasms (24%) were the third leading causes of death (Figure 3; S5), with lung cancer accounting for over one-third of cancer deaths (Table 3). The other main causes were mental and behavioural disorders/diseases of the nervous system (6%) and diseases of the digestive system (4%) (Figure 3; S5). Results were consistent in our sensitivity analyses excluding patients with comorbid respiratory diseases (S6) and analysing causes of death as listed in the first position on death certificates (S7).

The proportion of deaths due to respiratory diseases remained steady in the CRD population as a whole, and by individual disease, from 2005-2015 (p-trend >0.05) (Figure 4; S8). A significant decrease in the proportion of deaths attributed to circulatory disease was seen in the CRD population as a whole, from 30% in 2005 to 23% in 2015 (Figure 4), and by each individual disease population (S8). Among asthma patients, a small decrease in the proportion of respiratory-related deaths was seen over time, in keeping with the increase in the proportion of mental disorders (S8). And after considering the age distribution of the population (S9) these trends were most prominent among the older age groups.

## Discussion

This is the first and largest study to use an unselected cohort (i.e. non-trial population) of patients with CRD (asthma, bronchiectasis, COPD and ILD) diagnosed from their primary care records to assess causes of mortality over a 10 year period. Mortality was lowest among patients with asthma, in keeping with the general population, but remained high among patients with bronchiectasis, COPD, and ILD. Over a third of deaths were attributed to respiratory diseases while a significant decrease in deaths attributed to CVD was observed.

## Mortality in CRD patients

Compared with the general population, CRD patients experienced a 54% higher mortality overall. Only 43% of all patients with CRD had their underlying respiratory disease mentioned anywhere on their death certificate. Patients with CRD were more likely to die from neoplasms or respiratory illness, but less likely to die of CVD, than they were 10 years ago. Over this period, improvements in comorbid disease management, risk stratification, and new medications have driven this reduction in CVD deaths, as reflected in the general population [39]. Cancer continued to be attributed to a significant proportion of the causes of death among the CRD population, despite a decline in the general population [40]. Increased numbers of deaths due to mental and behavioural disorders and diseases of the nervous system are likely explained by the ageing population and increased detection, particularly observed after the cause of death coding changes in 2010 [41].

	CRD			Asthma			Bronchiectasis			COPD			ILD		
Chapter (ICD-10)	Ν	% of all deaths	% of chapter	Ν	% of all deaths	% of chapter	Ν	% of all deaths	% of chapter	Ν	% of all deaths	% of chapter	Ν	% of all deaths	% of chapter
Diseases of the Respiratory System (J00-J99)	24,363	32%	100%	6,743	24%	100%	2,235	41%	100%	19,638	36%	100%	2,587	49%	100%
COPD	16,043	21%	66%	3,935	14%	58%	982	18%	44%	15,164	28%	77%	387	7%	15%
Pneumonia	3,230	4%	13%	1,285	5%	19%	260	5%	12%	2145	4%	11%	194	4%	7%
Idiopathic pulmonary fibrosis	1,812	2%	7%	216	1%	3%	133	2%	6%	486	1%	2%	1,568	30%	61%
Bronchiectasis	853	1%	4%	221	1%	3%	688	13%	31%	461	1%	2%	55	1%	2%
Asthma	592	1%	2%	463	2%	7%	32	1%	1%	239	0%	1%	< 5	0%	0%
Lung disease due to external agents	551	1%	2%	170	1%	3%	43	1%	2%	371	1%	2%	116	2%	4%
Influenza	12	0%	0%	8	0%	0%	< 5	0%	0%	7	0%	0%	0	0%	0%
Other	1,270	2%	5%	445	2%	7%	96	2%	4%	765	1%	4%	264	5%	10%
Diseases of the Circulatory System (I00-I99)	19,410	26%	100%	7,778	28%	100%	1,158	21%	100%	13,334	25%	100%	1,062	20%	100%
Ischaemic Heart Disease	9,565	13%	49%	3,773	14%	49%	547	10%	47%	6,688	12%	50%	603	11%	57%
Cerebrovascular Disease	4,035	5%	21%	1,626	6%	21%	265	5%	23%	2,706	5%	20%	173	3%	16%
Heart Failure	971	1%	5%	378	1%	5%	63	1%	5%	665	1%	5%	55	1%	5%
Other	4,839	6%	25%	2,001	7%	26%	283	5%	24%	3,275	6%	25%	231	4%	22%
Neoplasms (C00-D48)	18,341	24%	100%	7,432	27%	100%	995	18%	100%	12,743	23%	100%	872	17%	100%
Lung Cancer	6,857	9%	37%	2,004	7%	27%	272	5%	27%	5,713	11%	45%	349	7%	40%
Prostate Cancer	979	1%	5%	384	1%	5%	51	1%	5%	681	1%	5%	56	1%	6%
Breast Cancer	864	1%	5%	521	2%	7%	64	1%	6%	391	1%	3%	31	1%	4%
Pancreatic Cancer	774	1%	4%	387	1%	5%	40	1%	4%	471	1%	4%	28	1%	3%
Bladder Cancer	562	1%	3%	206	1%	3%	37	1%	4%	418	1%	3%	20	0%	2%
Pleural Mesothelioma	30	0%	0%	14	0%	0%	< 5	0%	0%	16	0%	0%	< 5	0%	0%
Other	8,305	11%	45%	3,930	14%	53%	531	10%	53%	12,743	23%	100%	388	7%	44%
Mental and behavioural disorders and Diseases of the	4 (70	(0)	1000/	1.614	<u></u>	1000/	419	80/	1000/	2.042	(0)	1000/	107	40/	1000/
nervous system (F00-G99) Diseases of the digestive system	4,679	6%	100%	1,614	6%	100%	418	8%	100%	3,043	6%	100%	197	4%	100%
(K00-K93)	3,228	4%	100%	1,477	5%	100%	204	4%	100%	2,103	4%	100%	153	3%	100%
TOTAL	70,021	92%		25,044	91%		5,010	92%		50,861	94%		4,871	93%	

Table 3. Leading 5 causes of death by underlying CRD. Presented as counts, % of all deaths, and % of deaths within ICD-10 chapter. Values rounded to nearest whole number. Patients may be in multiple disease cohorts. CRD = chronic respiratory disease including asthma, bronchiectasis, chronic obstructive pulmonary disease (COPD), and interstitial lung disease (ILD). ICD-10 chapters F (mental and behavioural disorders) and G (diseases of the nervous system) have been combined.

#### Mortality in asthma patients

Asthma patients, those without other respiratory diagnoses, experienced lower all-cause mortality rates compared to the general population. Asthma patients with other respiratory diseases experienced high but decreasing all-cause mortality due to the inclusion of comorbid COPD and patients with a history of smoking suggesting possible improvements as awareness of overlap syndromes has increased over time. The most common causes of death in all asthma patients were COPD and ischaemic heart disease. After excluding patients with other diagnosed respiratory diseases, COPD remained among the leading causes of respiratory-related deaths. This could be due to misdiagnosis, related to difficulty in distinguishing clinically between asthma and COPD, or possibly misclassification of the underlying cause of death [42, 43].

## Mortality in bronchiectasis patients

We observed higher ASMR among patients with bronchiectasis compared to a previous UK study [13]; these rates increased after including patients with other CRDs. Bronchiectasis patients were most likely to die from bronchiectasis, ischaemic heart disease, and 'other' cancers; similar to previous research [12]. Earlier detection of bronchiectasis with effective management of exacerbations and reductions in exacerbation frequency may decrease excess mortality.

## *Mortality in COPD patients*

Mortality remained high among COPD patients, similar to previous UK reports [38, 44], but did not reflect the decrease presented in previous global estimates [4]. Despite advances in the management of COPD, introduction of new therapies, and the publication of new guidelines and strategies [31, 45-47], one-third of deaths among COPD patients are still attributed to non-malignant respiratory diseases, mostly COPD, in keeping with previous clinical trial data [48]. Just over a quarter of COPD patient deaths were attributed to CVD, less than the one third of deaths previously reported [16-19], and possibly related to earlier recognition and better management of CVD in the past decade. These results highlight the lack of treatments that reduce respiratory-related mortality and the need to pair early diagnosis with effective disease-modifying interventions [49].

## Mortality in ILD patients

ILD patients experienced increasing high mortality over the study period suggesting increasing incidence or increasing case ascertainment of these diseases [50]. This further evidences the typically late stage of ILD at diagnosis resulting in poorer prognoses [23, 51]. The leading cause of death among ILD patients remained their underlying lung disease, despite being at increased risk for CVD [35]. The increasing availability of anti-fibrotic therapies may change this trend in the future [52].

#### Strengths

This study used linked primary care and mortality data to assess cause of death in patients with CRD. Previous studies investigating CRD deaths identified patients as those for whom CRD was recorded on their death certificate or who were hospitalised [8, 17, 21, 53, 54]. Relying on death certificates alone means that a large number of CRD patients may be missed, as previous studies have found that anywhere between 6-82% of CRD patients have a CRD listed anywhere on their death certificate, consistent with the 43% we observed [21, 24, 25]. This is the first and largest observational study to estimate mortality rates and causes over time using a nationally-representative cohort of CRD patients.

Another strength of this study is that ONS is nearly 100% complete, with a large proportion of registered deaths certified by a medical practitioner, and an ever increasing accuracy of recordings [41]. Since 1993, automated coding has been in place for cause of death with application of WHO rules allowing international comparisons [55]. While the accuracy of the underlying cause provided by ONS, which is a derived variable, relies on correct recording of all contributing causes of death [56]; in a review undertaken by ONS, the proposed and medical examiner confirmed underlying cause of death matched at ICD chapter level in 88% of cases and there was exact agreement (to 4 digits) in 78% of cases, rising to 80% when records matching to 3 digits were included [57]. Furthermore, our sensitivity analysis comparing the ONS-derived underlying cause of death to the first listed cause of death on the death certificate did not significantly change the proportions of leading causes of death, suggesting that the algorithm used to derived cause of death is sufficiently accurate.

#### Limitations

One potential limitation of studies using electronic healthcare records is the validity of case definitions. For asthma and COPD we used previously validated definitions [33, 34], but there are no validated definitions for ILD and bronchiectasis. However, ILD and bronchiectasis diagnoses are made in a secondary care setting and are confirmed by computed tomography; it is unlikely that diagnoses of either ILD or bronchiectasis will be recorded within primary care without confirmation from secondary care. It is well recognised, as found in our study too,

that there is a large proportion of patients with overlapping diagnosis of asthma, COPD, and bronchiectasis. Our inclusion of these patients reflects clinical practice and explains the large proportion of respiratory deaths attributed to COPD.

We were not able to compared mortality rates using person-time of disease as data for the general population were obtained via publicly available ONS mortality reports. Therefore morality should be interpreted taking into account that duration of disease was not included in estimating mortality rates. In addition we did not include details on disease severity or use of medications which may have an impact on overall survival. Future research should investigate disease phenotypes with use of interventions to evaluate whether the excess risk of mortality we observed is modifiable. Where data were missing in the CRD cohort, categories were either considered missing at random or grouped where the number of missing information was low. However there is a possibility that we may have misclassified patients.

## Conclusions

This is the largest and most contemporary study to investigate the mortality of CRD patients using a nationallyrepresentative UK population. All-cause mortality remains high in people with CRD, contrasting to the general population. Disease-modifying intervention strategies are needed to improve CRD survival.

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## Footnotes

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**Author Contributions**: ELA defined the cohort. AVG determined cause of death. AVG and ELA performed the statistical analyses. AVG and ELA drafted the original manuscript. CIB, VN, and JKQ reviewed the analyses. JKQ designed the study. All authors took part in the production of the final manuscript.

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**Ethical Approval**: The protocol for this research was approved by the Independent Scientific Advisory Committee (ISAC) for MHRA Database Research (protocol number 17\_086R) and the approved protocol was made available to the journal and reviewers during peer review. Generic ethical approval for observational research using the CPRD with approval from ISAC has been granted by a Health Research Authority (HRA) Research Ethics Committee (East Midlands – Derby, REC reference number 05/MRE04/87). Following ethics approval by ISAC, the study population was expanded to include all adults, 18 years of age and older, to be in line with most studies in this research area; and IPF was expanded to ILD, to better understand the burden of CRD in the UK. These were considered minor amendments and did not require further ISAC approval. Linked pseudonymised data was provided for this study by CPRD. Data is linked by NHS Digital, the statutory trusted third party for linking data, using identifiable data held only by NHS Digital. Select general practices consent to this process at a practice level with individual patients having the right to opt-out.

# **Figure Legends**

**Figure 1. Flow chart of patient inclusion.** CRD includes asthma, bronchiectasis, chronic obstructive pulmonary disease (COPD), and interstitial lung disease (ILD). Disease component numbers do not sum to total numbers as patients were able to be part of multiple disease groups.

**Figure 2.** Age-standardised mortality (ASM) per 100,000 population compared to the general population, 2005-2015. Presented for all patients with: asthma, bronchiectasis, chronic obstructive pulmonary disease (COPD), and interstitial lung disease (ILD). Rates compared to the general population. Groups differentiated as 'only' refer to those patients with that respiratory disease and no other respiratory disease comorbidities. Disease group presented are mutually exclusive. pop = population.

**Figure 3. Leading Causes of Death among CRD patients by disease.** Presented as percentages of all deaths per year for the overall CRD and by individual disease; including asthma, bronchiectasis, chronic obstructive pulmonary disease (COPD), and interstitial lung disease (ILD). ICD-10 chapters F (mental and behavioural disorders) and G (diseases of the nervous system) have been combined. 'Other' refers to causes of death categorized in all ICD-10 chapters outside of C, D00-48, F, G, I, J, and K.

Figure 4. Trends in leading causes of death in the chronic respiratory disease (CRD) population, 2005-2015. Presented as % of all deaths per year for the overall CRD population; including asthma, bronchiectasis, chronic obstructive pulmonary disease (COPD), and interstitial lung disease (ILD). ICD-10 chapters F (mental and behavioural disorders) and G (diseases of the nervous system) have been combined. 'Other' refers to causes of death categorized in all ICD-10 chapters outside of C, D00-48, F, G, I, J, and K. Significant p-values ( $\alpha < 0.05$ ) from Chi-squared test for trend are shown.