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1 **Molecular expression patterns in the synovium and their association with advanced**
2 **symptomatic knee osteoarthritis**

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15

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29 **ABSTRACT (246/250 words)**

30

31 **OBJECTIVE:** Osteoarthritis (OA) is a major source of knee pain. Mechanisms of OA knee
32 pain are incompletely understood but include synovial pathology. We aimed to identify
33 molecular expression patterns in the synovium associated with symptomatic knee OA.

34 **DESIGN:** Snap frozen synovia were from people undergoing total knee replacement (TKR)
35 for advanced OA, or from post-mortem (PM) cases who had not sought help for knee pain.
36 Associations with OA symptoms were determined using discovery and validation samples,
37 each comprising TKR and PM cases matched for chondropathy (Symptomatic or
38 Asymptomatic Chondropathy). Associations with OA were determined by comparing age
39 matched TKR and PM control cases. Real-time quantitative PCR for 96 genes involved in
40 inflammation and nerve sensitisation used TaqMan® Array Cards in discovery and validation
41 samples, and protein expression for replicated genes was quantified using Luminex bead
42 assay.

43 **RESULTS:** Eight genes were differentially expressed between asymptomatic and
44 symptomatic chondropathy cases and replicated between discovery and validation samples
45 ($P < 0.05$ or > 3 -fold change). Of these, matrix metalloprotease (MMP)-1 was also increased
46 whereas interleukin-1 receptor 1 (IL1R1) and vascular endothelial growth factor (VEGF)
47 were decreased at the protein level in the synovium of symptomatic compared to
48 asymptomatic chondropathy cases. MMP1 protein expression was also increased in OA
49 compared to PM controls.

50 **CONCLUSION:** Associations of symptomatic OA may suggest roles of MMP1 expression
51 and IL1R1 and VEGF pathways in OA pain. Better understanding of which inflammation-
52 associated molecules mediate OA pain should inform refinement of existing therapies and
53 development of new treatments.

54 **KEY WORDS:** Osteoarthritis, Pain, Synovitis, Gene expression

55

56 INTRODUCTION

57 Knee osteoarthritis (OA) is a complex disease involving all joint tissues. Mechanisms of OA
58 knee pain are incompletely understood, but can include synovial pathology [1-3] and
59 subchondral bone [4]. Inflammatory mediators from the synovium activate or sensitise
60 nociceptors through downstream signalling pathways. Nerve terminal sensitisation leads
61 stimuli that would not usually elicit pain to be perceived as painful. Understanding molecular
62 expression patterns that contribute to symptomatic OA is crucial to developing new analgesic
63 treatment strategies, and to focus disease modification strategies on those which are most
64 likely to improve symptoms.

65 Numerous inflammatory mediators such as cytokines, chemokines, growth factors, and
66 matrix metalloproteinase (MMPs) released from synoviocytes during inflammation might
67 contribute to OA pain. Key roles have been suggested for the pro-inflammatory cytokines
68 interleukin (IL)-1 β and tumour necrosis factor (TNF)- α in mediating pain through the release
69 of other downstream inflammatory mediators such as matrix metalloproteases (MMPs) and
70 cytokines [5]. The effects of IL-1 β are mediated through binding to IL-1 receptor type 1
71 (IL1R1). Pain has been associated with increased TNF- α [6], chemokine ligand 2 (CCL2),
72 chemokine ligand 4 (CCL4), IL-6 and interferon- γ [7] in synovial fluid. Vascular endothelial
73 growth factor (VEGF), a potent stimulator of angiogenesis involved in neuropathic pain [8],
74 is increased in OA synovium [9, 10] and associated with OA pain and progression [11].
75 MMP1 is an interstitial collagenase that is elevated in synovial fluid from people with OA
76 [12]. The nuclear factor kappa-B (NF- κ B) is part of a downstream signalling pathway which
77 contributes to the up-regulation of various pro-inflammatory and angiogenic factors [13].

78 Recent work has identified differences in gene expression patterns of inflammatory cytokines
79 between inflamed and non-inflamed areas of synovia from people with OA [14, 15]. We

80 hypothesised that specific molecular patterns in the synovium are associated with
81 symptomatic OA, indicating possible molecular mechanisms of OA pain. Gene and protein
82 expression patterns in the synovium were compared between groups of people with similar
83 macroscopic appearances of the tibiofemoral articular surfaces who had either sought TKR
84 for OA symptoms (symptomatic chondropathy) or had not sought help for OA knee pain
85 before death (asymptomatic chondropathy), and between people with or without OA. The
86 rationale for comparing people with or without OA was to define whether signatures
87 identified as characteristic of symptomatic OA were also characteristics of OA. Pain in OA
88 might be due to aspects of OA pathology which mediate pain, or due to concurrent pathology
89 which, in the context of OA, is painful.

90 **METHOD**

91 This cross-sectional study was approved by Nottingham Research Ethics Committee 1
92 (05/Q2403/24) and Derby Research Ethics Committee 1 (11/H0405/2).

93 **Patients**

94 ***Total knee replacement groups***

95 Snap frozen synovium samples were collected at total knee replacement (TKR) surgery for
96 symptomatic OA ('OA' or 'symptomatic chondropathy' groups). All TKR cases satisfied the
97 American College of Rheumatology classification criteria for knee OA at the time of surgery
98 [16] but groups differed only in that the OA group comprised cases aged-matched to post
99 mortem (PM) controls, whereas the symptomatic chondropathy group was matched to the
100 asymptomatic chondropathy group for macroscopic scoring of cartilage surface changes [17].
101 All in the OA group had a Kellgren Lawrence radiographic score ≥ 2 .

102

103 Post-mortem (PM) groups

104 Three sample groups were selected from post-mortem (PM) cases who did not have arthritis
105 and had not reported knee pain during the last year of their life ('PM control', 'non-arthritic
106 control' and 'asymptomatic chondropathy' groups).

107 The PM control group were selected as consecutive aged matched cases to the OA group and
108 did not include cases with macroscopic chondropathy lesions of grade 4 (subchondral bone
109 exposure) in the medial tibiofemoral compartment [17].

110 Inclusion criteria for the non-arthritic control group were no osteophytes in the dissected
111 knee, no Heberden's nodes (because these may be associated with knee OA incidence and
112 progression [18]) and no macroscopic chondropathy lesions grade ≥ 3 in the medial
113 tibiofemoral compartment [3].

114 Molecular associations with OA symptoms

115 Associations of gene expression with symptoms were determined using discovery
116 (n=12/group) and validation samples (n = 10/group), each comprising symptomatic and
117 asymptomatic chondropathy groups. Discovery and validation samples were combined to
118 compare protein expression between asymptomatic (n=22) and symptomatic (n=22)
119 chondropathy groups (supplementary figure 1A).

120 Molecular associations with OA disease status

121 The following age-matched (within 7 years) control PM groups and OA groups were
122 compared to determine associations with OA disease status:

123 1) Non-arthritic control vs. symptomatic chondropathy (n = 10/group) for gene expression
124 analyses (supplementary figure 1B).

125 2) PM control (n=10) vs. OA (n=11) for protein expression analyses (supplementary figure
126 1C).

127 Body mass index (BMI; kg/m²) was available for TKR but not PM cases.

128 **Tissue processing and grading**

129 Surgeons and technician (RH) were instructed to collect synovium from the medial joint line
130 from PM and TKR cases. Fresh synovium was snap-frozen in liquid nitrogen, without
131 fixation, with replicate samples formalin-fixed and wax-embedded for haematoxylin and
132 eosin staining and grading for synovitis [9]. Synovial inflammation was graded (0 to 3) only
133 in samples with synovial lining present. Grade 0 = no synovitis, synovial lining < 4 cells
134 thick, with few or no inflammatory cells. Grade 1 = mild synovitis, synovial lining 4 or 5
135 cells thick, with increased cellularity and some inflammatory cells present. Grade 2 =
136 synovial lining 6 or 7 cells thick, dense cellularity with inflammatory cells (but no lymphoid
137 aggregates). Grade 3 = severe synovitis; synovial lining more than 7 cells thick, with
138 inflammatory cell inflammation which may include perivascular lymphoid aggregates and
139 dense cellularity.

140 The extent and severity of articular cartilage loss of medial and lateral tibial plateaux and
141 femoral condyles were graded [17] as follows; grade 0 = normal: smooth, unbroken surface,
142 homogeneous white to off-white colour, grade 1 = swelling and softening: a light brown
143 homogenous colouration, grade 2 = superficial fibrillation lightly broken surface, white to
144 off-white/light brown in colour, grade 3 = deep fibrillation: coarsely broken cartilage surface,
145 dark brown, grey or red in colour, grade 4 = subchondral bone exposure: stippled white and
146 dark brown/red in colour. The proportion of each articular surface area corresponding to each
147 grade was used to calculate a chondropathy score (0-100). Scores for each of the 4

148 compartments were summated to give a tibiofemoral chondropathy score (0; normal – 400;
149 complete cartilage loss).

150 PM delay was calculated as the time (h) between death and opening of the knee for tissue
151 collection. Cadavers were stored at 4°C.

152 **Gene expression**

153 Total RNA was extracted from snap frozen synovia, homogenised in 1ml of TRI reagent
154 (Sigma, Poole, UK) and purified according to manufacturer instructions. Total RNA (100ng)
155 was reverse transcribed to complementary DNA using Affinity Script Reverse Transcriptase
156 (Agilent Technologies, Stockport, UK) and random primers, according to the manufacturer's
157 protocol. The reaction was incubated at 25°C for 10 minutes, then 50°C for 60 minutes and
158 terminated by incubation at 70°C for 15 minutes. The cDNA was in a total reaction volume
159 of 28µl.

160 Gene expression profiling was performed using custom-made 384 well microfluidic cards
161 (TaqMan® Array Card, Applied Biosystems, Waltham, MA). Each card consisted of 4
162 reference genes (Beta actin [*ACTB*], Glyceraldehyde 3-phosphate dehydrogenase [*GAPDH*],
163 Hydroxymethylbilane Synthase [*HMBS*] and Ubiquitin C [*UBC*]) and 92 target genes, which
164 were identified as possibly mediating pain through sensitising peripheral nerve terminals
165 (supplementary table 1).

166 For each tissue sample a reaction mix was made using 100µl of diluted cDNA (1:4) and
167 100µl of TaqMan Universal PCR Master Mix. Reaction mix (100µl) was loaded into two
168 adjacent ports in the microfluidic card which allowing duplicate runs on a 7900HT Fast Real-
169 Time PCR system (Applied Biosystems). RNA expression values are reported as arbitrary
170 units normalised to reference gene expression.

171 Protein expression

172 The Luminex screening human assay (10-plex) (LXSAH-10, R&D systems) was used to
173 measure expression of CCL2, CCL5, CCL8, Chemokine ligand 10 (CXCL10), IL1 β , IL1R1,
174 MMP1, MMP7, TNF α , VEGF. Analytes selected for Luminex analysis were those that were
175 either significantly ($P < 0.05$) or > 3 -fold different (in the same direction) between
176 asymptomatic and symptomatic chondropathy groups in both the discovery and validation
177 samples. In addition, we included two analytes previously hypothesised to be important in
178 OA (TNF α and IL1 β) [19], and two pro-inflammatory chemokines that were increased in
179 symptomatic chondropathy compared to non-arthritic controls (CXCL10 and CCL5).
180 ANXA1 and NFKBIA protein expression were excluded due to non-availability of
181 compatible reagents. Discovery and validation samples on cases with RNA data in the current
182 study were together used to compare protein expression between asymptomatic and
183 symptomatic chondropathy groups.

184 Total protein was extracted from snap frozen synovia homogenised in 600 μ l of Cell Lysis
185 buffer (R&D systems, Abingdon, UK) with protease inhibitor (Sigma), and centrifuged for 5
186 minutes. Total protein concentration was measured in supernatants (Pierce BCA-200 Protein
187 Assay Kit, Fisher Scientific, Loughborough, UK). For Luminex analysis the remaining
188 supernatant was diluted 1:2 with Calibrator Diluent RD6-52. The plate, standards (3-fold
189 dilution series), microparticle cocktail, biotin antibody cocktail and streptavidin-PE were
190 prepared according to the manufacturer's instructions. In brief, the plate was rinsed with
191 wash buffer and liquid removed using a vacuum manifold. Tissue samples were incubated
192 (2h, room temperature) with the microparticle cocktail on a microplate shaker, followed by
193 incubation with Biotin antibody (1h) and Steptavidin-PE (30min), with triplicate washes
194 between each step. Plates were read using a Bio-Plex $^{\text{®}}$ Multiplex Immunoassay System (Bio-

195 Rad, Hemel Hempstead, UK). Each analyte was adjusted for total protein concentration in
196 each case. Protein expression is expressed as ng protein of interest per g total protein (ng/g).

197

198 **Statistical analysis**

199 Fold changes in gene expression levels were calculated for each tissue sample using the
200 comparative C_t method ($2^{-\Delta C_t}$) where ΔC_t refers to C_t value of each individual target gene
201 value minus C_t value of the reference gene. ΔC_t values are given as mean (95% confidence
202 interval [CI]) and using Mann-Whitney U test (asymptomatic vs symptomatic chondropathy
203 and PM control vs OA). Kruskal Wallis One Way ANOVA with post-hoc pair wise
204 comparisons compared differences between non-arthritic controls, asymptomatic and
205 symptomatic chondropathy). Fold increase in gene expression was calculated by dividing the
206 mean of the symptomatic chondropathy group by the mean of the asymptomatic
207 chondropathy group, and fold decrease as the inverse of the fold increase. Tissue samples
208 were excluded from analysis where RNA could not be transcribed to cDNA, or where
209 reference gene C_t values were outliers (Grubb's test, Graphpad, San Diego). $P < 0.05$ was
210 considered statistically significant, and the false discovery rate (FDR) set at 5%, was used to
211 correct for multiple testing [20].

212 NormFinder (Microsoft Excel add-in) was used to determine the most stable individual
213 reference gene C_t values, or the most stable geometric mean of different combinations of
214 reference genes to normalise gene expression.

215 Binary logistic regression compared between groups reference gene stability and gene
216 expression associations with covariates (age, gender, BMI and PM delay, each separately
217 tested in discovery and validation samples combined). C_t values were dichotomised about the

218 median as the dependent variable, and analyses adjusted for experiment number, to account
219 for inherent variability between experimental runs as discovery and validation studies were
220 conducted on different days. Spearman's rank correlation was used to determine associations
221 between protein expression and each parameter (age, gender, BMI, PM delay), and separately
222 to identify associations between reference gene expression and PM delay.

223 Multivariable testing was used to adjust for multiple covariates (age, gender and experiment
224 number) combining discovery and validation sample RNA gene expression data for key
225 analytes. All gene and protein targets were selected to share associations with inflammation
226 or sensitisation, and therefore adjustments were not made for other genes or proteins
227 measured in the same cases within each experiment.

228 Pseudo R^2 values are reported to explain logistic regression model variance (Cox and Snell
229 R-square and Nagelkerke R-square), and percentages are reported for the number of cases
230 correctly classified as asymptomatic chondropathy vs. symptomatic chondropathy. Receiver
231 operator curve (ROC) analysis was used to determine sensitivity, specificity and 95%
232 confidence intervals for determining classification of asymptomatic or symptomatic
233 chondropathy cases (StataSE v15). ROC analyses were conducted using one gene at a time
234 and binary logistic regression was undertaken to produce a predictive variable combining
235 three genes together.

236 **RESULTS**

237 **Patient demographics and joint pathology**

238 Study groups were similar for sex, but symptomatic chondropathy groups were younger than
239 asymptomatic chondropathy groups in discovery gene expression and proteomics studies
240 (Table 1). Synovitis scores were higher in symptomatic (median (IQR); 1 (0-3) and 1.5 (0.25-

241 3)) than in asymptomatic (0 (0-0.5) and 0 (0-0)) chondropathy cases (P=0.05 and 0.005,
242 respectively for discovery and validation gene expression samples).

243 PM controls (n=10) selected for comparison of protein expression with OA cases (n=11)
244 displayed low macroscopic chondropathy scores (median [IQR]; 82 [45-111]) and their
245 demographics did not significantly differ from OA cases (median (IQR) ages 66 (59-70) and
246 61 (54-74) years, P=0.86; 60% and 27% male, P=0.20). Histological synovitis was absent
247 (grade 0) in 9/10 PM control cases and mild (grade 1) in 1 case. Cases in the OA group all
248 displayed moderate or severe synovitis (grades 2 or 3).

249 **[TABLE**

1]

250 Reference gene expression

251 C_t expression for each of the 4 reference genes was not significantly different between PM
252 and TKR cases (asymptomatic and symptomatic chondropathy groups, respectively, $P \geq 0.42$)
253 and their geometric mean was used for normalisation (supplementary Table 2). PM delay (h)
254 was not associated with the C_t values of any of the four reference genes; *ACTB*, *GAPDH*,
255 *HMBS* and *UBC* ($P = 0.98, 0.74, 0.70, 0.68$). Final study numbers/group are reported in table
256 1, (see table 1 legend for an explanation of exclusions).

257 Synovial gene and protein expression patterns associated with symptomatic OA**258 Synovial gene expression in symptomatic OA**

259 In the discovery samples, following corrections for multiple testing (FDR = 5%, $P \leq 0.01$) 8
260 genes were significantly upregulated and 12 significantly down-regulated in symptomatic
261 compared to asymptomatic chondropathy cases (supplementary Table 3). In the validation
262 samples, 2 genes were significantly up-regulated and one significantly down-regulated
263 (supplementary Table 4). Table 2 shows genes which were differentially expressed in the
264 same direction in both discovery and validation samples.

265 *CCL2*, *CCL8* and *ANXA1* were up-regulated in symptomatic chondropathy cases in both
266 discovery and validation samples (Table 2) but did not reach statistical significance after
267 FDR correction. In addition, *MMP1* expression was >3-fold higher in symptomatic
268 chondropathy cases across both samples, reaching statistical significance in the discovery
269 sample. *IL1R1* and *NFKB1A* gene expressions were down-regulated in symptomatic
270 chondropathy cases in both discovery and validation samples, *IL1R1* remaining significant
271 after FDR correction in both samples. *MMP7* and *VEGFA* expressions were >3-fold lower in

272 symptomatic chondropathy cases in both discovery and validation samples, *VEGFA* was
273 significantly downregulated in the discovery sample ($P= 0.001$).

274

275 **[Table 2]**

276

277

278 ***Synovial protein expression in symptomatic OA***

279 Five analytes were significantly differentially expressed at the protein level between groups
280 (Figure 1, Table 3). Of these, CCL5 and MMP1 were greater, whereas VEGF, CXCL10 and
281 IL1R1 were each lower in symptomatic than in asymptomatic chondropathy cases.

282

283 **[Figure 1]**

284 **[Table 3]**

285

286 **Synovial gene and protein expression patterns associated with OA disease status**

287 In order to explore whether differences in gene and protein expression between symptomatic
288 and asymptomatic OA represented characteristics of OA disease, we compared OA samples
289 obtained at TKR with post mortem samples from people without known arthritis.

290 ***Synovial gene expression***

291 Gene expression is compared between groups in supplementary table 4. Several genes were
292 upregulated in symptomatic chondropathy compared to non-arthritic control groups (fold
293 increase, P); *ANXA1* (1.90, $P<0.001$), *ANXA6* (2.30, $P=0.001$), *CCL2* (2.25, $P=0.042$), *CCL5*

294 (3.30, P=0.001), *CMKLR1* (4.25, P=0.02), *CTGF* (3.06, P=0.001), *CXCL10* (6.28, P=0.001)
295 and *FOS* (6.87, P<0.001). *F2RL3* (32.25, P<0.001), *IL1R1* (1.84, P=0.02) and *NFKBIA* (3.52,
296 P=0.04) were decreased in symptomatic chondropathy compared to non-arthritic control
297 groups.

298 *Synovial protein expression in OA*

299 CCL8 and MMP1 protein immunoreactivities were significantly increased in the synovium of
300 the OA compared to PM control groups (Figures 2B&C), whereas CCL2, VEGF, CXCL10
301 IL1R1 and CCL5 did not reach statistical significance (Figures 2A, D-G).

302

303 *[Figure 2]*

304

305 **Contribution of synovial molecular expression to classification of symptomatic and** 306 **asymptomatic chondropathy**

307 To evaluate the possible direct contributions of synovial molecular expression to the presence
308 or absence of symptoms in OA we first explored possible effects of measured confounding
309 factors, and then evaluated the relative contributions of gene expression for 3 identified key
310 molecules (*IL1R1*, *MMP1* and *VEGFA*) to classification of symptomatic and asymptomatic
311 chondropathy cases.

312 Possible effects of age, gender, post-mortem delay or body mass index on protein or gene
313 expression, separately were explored; only *IL1R1* gene expression was associated with age
314 and *CXCL10* with gender (supplementary table 5).

315 When gene expression data from discovery and validation samples, analysed within a single
316 model, were adjusted for age, gender and experiment number, the following were

317 significantly increased in symptomatic chondropathy cases compared to asymptomatic cases;
318 *CCL2* (2.01-fold, $P = 0.01$), *CCL8* (4.46-fold, $P = 0.007$), *IL1 β* (1.93-fold, $P = 0.021$) and
319 *MMP1* (11.6-fold, $P = 0.03$). *IL1R1* and *VEGFA* RNA were significantly decreased (2.67-
320 fold, $P = 0.016$, and 4.79-fold, $P = 0.017$, respectively) in symptomatic chondropathy vs.
321 asymptomatic chondropathy. *CCL5*, *CXCL10*, *MMP7* and *TNF α* RNA were not significantly
322 different between groups $P = 0.26$, 0.11 , 0.17 and 0.26 , respectively.

323 The logistic regression model exploring association of symptomatic vs. asymptomatic
324 chondropathy with expression of each identified gene was adjusted for age, gender and
325 experiment number. For *IL1R1* the model explained between 58% (Cox and Snell R-square)
326 to 78% (Nagelkerke R-square) of the variance and correctly classified 87% of cases. For
327 *MMP1* the logistic regression model explained between 49% (Cox and Snell R-square) to
328 65% (Nagelkerke R-square) of the variance and correctly classified 80% of cases. For
329 *VEGFA* the logistic regression model explained between 49% (Cox and Snell R-square) to
330 66% (Nagelkerke R-square) of the variance and correctly classified 87% of cases. A
331 combined logistic regression model (which included *MMP1*, *IL1R1* and *VEGFA*, adjusted for
332 age, gender and experiment number), explained between 75% (Cox and Snell R-square) to
333 100% (Nagelkerke R-square) variance, and correctly classified 100% of cases in symptomatic
334 and asymptomatic chondropathy groups. Similarly, ROC analyses indicated that 90% of
335 cases were correctly classified using combined expression of the 3 genes, with sensitivity and
336 specificity of 85-95% (supplementary table 6).

337

338

339

DISCUSSION

We have identified synovial molecular expression patterns that are associated with symptomatic OA by comparing TKR cases (symptomatic chondropathy) with PM cases with similar macroscopic joint surface appearances who had not sought help for knee pain (asymptomatic chondropathy). Additionally, we have identified synovial molecular expression patterns that are associated with OA disease status, by comparing aged-matched PM and TKR cases.

Up-regulation of MMP1 in concert with the down-regulation of VEGFA and IL1R1 might reflect molecular pathways that mediate OA symptoms. MMP1 (collagenase-1) is a secreted metalloproteinase which catalyses cleavage of matrix collagens in OA. MMP1 gene and protein expression were increased in association with OA disease status in the synovia of OA compared to PM controls. MMP1 is induced in synovial fibroblasts in response to pro-inflammatory mediators such as IL1 β and TNF α [21]. Synovium, as well as chondrocytes, might contribute to increased synovial fluid MMP1 levels observed in OA [22]. Association of MMP1 expression with symptomatic disease is unlikely to be entirely explained by cartilage structural damage because our cases and controls were matched for severity of macroscopic chondropathy. Urinary collagen degradation products, generated by the action of collagenases, have also been associated with OA pain[23]. Increased MMP1 might be a marker of cytokine-driven inflammation, which may in turn lead to a cascade of events that sensitise peripheral nerve terminals in the synovium, whilst exacerbating cartilage damage.

IL1 β is produced by OA synovium, even in early disease[24]. IL1 β was upregulated in symptomatic compared to asymptomatic chondropathy cases. The pro-inflammatory actions of IL1 β are exerted through binding its membrane receptor, interleukin-1 receptor (IL1R1). Increased IL1R1 expression was previously found in OA synovial fibroblasts, compared to

364 normal controls [25]. IL1R1 expression can be downregulated during activation by IL1 β [26].
365 Our data suggest downregulation of IR-1R1 in OA synovium compared to non-arthritic
366 controls, and, in particular in symptomatic compared with asymptomatic chondropathy,
367 consistent with increased IL1 β /IL1R1 pathway activity. Decreased IL1R1 mRNA in
368 symptomatic chondropathy was replicated across both discovery and validation samples, and
369 at the protein level. IL1 β /IL1R1 pathway activation might therefore have particular relevance
370 for OA symptoms. Studies using OA animal models report favourable benefits of IL-1 receptor
371 antagonist therapy [27, 28]; however clinical trials in humans reported no improvement in pain
372 ([29, 30]).Antibodies specifically targeted at IL1R1 did not achieve clinical important
373 symptomatic benefit compared to placebo [29]. Our data raise the possibility that IL1R1
374 downregulation prior to treatment might have contributed to these negative results, and
375 earlier phases of OA synovitis might respond differently to IL1 β /IL1R1 pathway inhibition.
376 Furthermore, IL1 β /IL1R1 pathway inhibition might only be effective for a subset of people
377 with OA whose pain is mediated by synovitis.

378 Increased VEGF in synovium, cartilage, synovial fluid and plasma might contribute to
379 synovitis and osteophyte formation in OA[31]. VEGF might also contribute to OA pain
380 through facilitating inflammation and by actions on sensory nerves[32, 33]. Perhaps
381 surprisingly, we found that VEGFA was decreased at the gene and protein level in patients
382 with symptomatic compared to asymptomatic chondropathy. VEGF exists as multiple
383 isoforms dependant on alternative splicing of mRNA [34]. VEGFAa isoforms contribute to
384 angiogenesis and pain, whereas VEGFAb isoforms might be anti-angiogenic and analgesic.
385 Further studies should explore whether reduced VEGF expression observed in the current
386 study reflects an alteration in isoform balance that might contribute to OA pain.

387 We found associations of symptomatic chondropathy with a range of additional chemokines,
388 cytokines and metalloproteinase, although associations were less consistent at gene and

389 protein expression levels than with MMP1, IL1R1 and VEGF. The small sample sizes in the
390 current study might have led us to overlook biologically important associations, although our
391 repository of joint samples from >3000 cases was required to select sample groups with
392 adequate matching for severity of structural chondropathy and other factors. Further research
393 should explore mechanisms by which CCL2, CCL8, CCL5, CXCL10, TNF- α and MMP7
394 might contribute to OA pain.

395 CCL2 and CCL8 gene expressions were higher in symptomatic OA vs non-arthritic controls
396 (CCL8 protein was also higher in OA vs. PM controls), and in symptomatic knee OA
397 compared to chondropathy-matched asymptomatic post mortem cases. CCL2 and CCL8 each
398 serve as ligands for chemokine receptor 2 (CCR2) [35]. CCL2 from synovial fibroblasts [36]
399 recruits and activates inflammatory cells to sites of inflammation [37] and CCL2 mRNA and
400 protein are upregulated in osteoarthritic tibiofemoral joints [38]. Synovial fluid CCL2 has
401 been associated with OA knee pain severity, in addition to physical disability [39]. During
402 inflammation, elevated expression of CCL2 might act on sensory nerves to activate transient
403 receptor potential cation channel subfamily V member 1 (TRPV1) to induce hyperalgesia
404 [40]. CCL8 has previously been detected in fibroblasts and macrophages in the synovial
405 lining of arthritic patients [35]. Mice that lacked the CCL2 receptor (CCR2) were protected
406 against movement-provoked pain following surgical induction of OA [41]. Together these
407 data indicate the CCL2, CCL8 and CCR2 pathway as possible targets for OA pain.

408 Our study is necessarily subject to a number of limitations. Both RNA and proteins are
409 susceptible to degradation by post-mortem processes, and RNA by RNAses [42]. However,
410 we did not identify associations between gene or protein expression levels and time from
411 death to tissue processing for any of the replicated genes taken forward for Luminex analysis.
412 Furthermore, there were no significant differences in the expression of the 4 reference genes
413 between surgical and post-mortem groups. Target gene expression was also normalised to

414 reference genes to compensate for any heterogeneity of quality between tissue samples.
415 Genes might be activated post-mortem, however this has only been shown in animal studies
416 and not yet with human tissue [43]. OA is strongly associated with age, and it can be difficult
417 to distinguish between OA pathological change and age-related changes or senescence.
418 However, we found associations of gene and protein expression with disease status in age-
419 matched cases, and associations with symptomatic chondropathy were not affected by
420 adjustment for chronological age, except for IL1R1. Gene expression and protein levels alone
421 need not necessarily indicate protein activity. We validated key molecular targets identified
422 through gene expression studies using a complimentary proteomics approach, but future
423 studies should explore functional activity. We investigated a large number of proteins and
424 genes, and some statistically significant associations might have occurred by chance. In order
425 to reduce this risk, we undertook analyses to adjust for multiple testing by applying a
426 correction for FDR [20]. Furthermore our study design comprised of initial exploratory
427 analysis (discovery RNA study), which was then validated using a separate set of
428 asymptomatic and symptomatic chondropathy cases. Our main conclusions are based on
429 results from across independent case samples used for discovery and validation gene
430 expression studies and supported by protein expression data. Genes and proteins were
431 selected for study due to their potential roles in inflammation and neuronal sensitisation, and
432 identified targets might be markers for other associated inflammatory or sensitising factors.
433 The high pseudo R^2 values obtained in this study suggest that, when severity of chondropathy
434 is matched, a high proportion of model variance for allocation to symptomatic or
435 asymptomatic chondropathy groups might be explained by synovial gene and protein
436 expression. This suggests that gene and protein expression might be biologically important,
437 but targets identified through these studies require further exploration either as biomarkers, or

438 as treatment targets for managing OA pain. However, it is important to note that the high
439 pseudo R^2 values may be representing an overfitted model.

440 In conclusion, symptomatic OA was associated with an up-regulation in synovium of MMP1
441 and decrease of IL1R1 and VEGFA compared to asymptomatic chondropathy cases with
442 similar macroscopic joint surface appearances who did not seek TKR. Synovial inflammation
443 is a feature of symptomatic OA, and better understanding of the gene expression patterns
444 could lead to refinement of existing therapies and development of new treatments to reduce
445 pain. This work was a target generating exercise. Further work is necessary to determine
446 whether molecular targets that we have identified are biologically or clinically important, or
447 may eventually lead to treatment strategies aiming to alleviate OA symptoms.

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456 **AUTHOR CONTRIBUTIONS**

457 All authors were involved in drafting the article or revising it critically for important
458 intellectual content, and all authors approved the final version to be published. Dr Wyatt
459 (laura.wyatt@nottingham.ac.uk) had full access to all of the data in the study and takes
460 responsibility for the integrity of the data and the accuracy of the data analysis.

461 **Substantial contributions to study conception and design.** Wyatt, Wilson, Hill, Spendlove,
462 Bennett, Scammell and Walsh

463 **Substantial contributions to acquisition of data:** Wyatt and Nwosu.

464 **Substantial contributions to analysis and interpretation of data.** Wyatt, Nwosu,
465 Spendlove, Bennett, Scammell and Walsh

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468 **CONFLICT OF INTEREST**

469 The authors declare no conflicts of interest.

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624 **FIGURE LEGENDS**

625 **Figure 1:** Protein expression in synovia from chondropathy cases classified as either
626 asymptomatic or symptomatic. Groups were matched for macroscopic chondropathy scores.
627 **A:** CCL2 (chemokine ligand 2), **B:** CCL5 (chemokine ligand 5). **C:** MMP1 (matrix
628 metalloprotease 1), **D:** VEGF (vascular endothelial growth factor-A), **E:** CXCL10
629 (chemokine ligand 10), **F:** IL1R1 (interleukin 1 receptor 1). Median (IQR) are shown. IL1 β ,
630 TNF α , MMP7 and CCL8 immunoreactivities were below the lower limit of detection.

631 **Figure 2:** Protein expression for selected genes compared between PM control and OA cases
632 undergoing arthroplasty. **A:** CCL2 (chemokine ligand 2), **B:** CCL8 (chemokine ligand 8) **C):**
633 MMP1 (matrix metalloprotease 1), **D:** VEGF-A (vascular endothelial growth factor-A), **E:**
634 CXCL10 (chemokine ligand 10), **F:** IL1R1 (interleukin 1 receptor 1) and **G:** CCL5
635 (chemokine ligand 5). Data expressed as median (IQR). MMP7, IL1 β and TNF α
636 immunoreactivities were below the lower limit of detection.

637

638 TABLES

639 Table 1 Clinical and pathological characteristics of the study groups

	Discovery sample			Non-arthritic controls	Validation sample			Protein expression		
	Chondropathy				Chondropathy			Chondropathy		
	Asymptomatic	Symptomatic	P		Asymptomatic	Symptomatic	P	Asymptomatic	Symptomatic	P
n	11	11		7	8	9		20	21	
Age, years	79 (65-88)	61 (54-73)	0.005	64 (49-74)	67 (52-78)	64 (55-72)	0.756	74 (64-85)	64(35-82)	0.026
% male	36	46	0.748	43	25	35	0.774	35	43	0.611
BMI, kg/m²	NA	33 (31-39)	NA	NA	NA	31 (28-36)	NA	NA	32 (29-37)	NA
Post-mortem delay (h)‡	58 (29-89)	NA	NA	55 (29-64)	66 (44-79)	NA	NA	64 (35-82)	NA	NA
Macroscopic chondropathy score (scale range 0-400)	214 (204-229)	223 (213-239)	0.300	55 (44-97)	197 (163-204)*	195(171-203)^	0.001	205 (195-223)	208(188-231)	0.698

640 Tissues were obtained at the time of total knee replacement for OA (symptomatic chondropathy) or were obtained post mortem (asymptomatic chondropathy
641 and non-arthritic controls). Results are reported for groups following exclusions for outlier reference genes, or inability to transcribe RNA to cDNA. In the
642 discovery RNA study, 1 asymptomatic chondropathy case was excluded due to inability to transcribe RNA to cDNA (low RNA concentration) and one
643 symptomatic chondropathy case due an outlier reference gene Ct value (final numbers, 11/group). In the validation study, the following were excluded from the
644 final analysis; 3 non-arthritic controls, (low RNA concentration), 2 asymptomatic chondropathy cases (one low RNA concentration, the other due to an outlier
645 reference gene Ct value) and 1 symptomatic chondropathy cases (low RNA concentration). Final numbers for the validation study were 7 non-arthritic controls,
646 8 asymptomatic chondropathy and 9 symptomatic chondropathy. Protein expression conducted on one extra asymptomatic chondropathy and symptomatic
647 chondropathy case that were excluded from the final RNA analysis (due to outlier reference genes). Asymptomatic and symptomatic chondropathy cases were
648 successfully matched for macroscopic chondropathy scores. ‡Post-mortem delay was calculated as the time (h) between death and tissue collection. Data

649 expressed for included cases as median (IQR) or %. Differences between asymptomatic and symptomatic chondropathy groups in the discovery sample and in
650 the proteomics analysis were comparing using Mann Whitney tests. Differences between non-arthritic controls, asymptomatic chondropathy, and symptomatic
651 chondropathy groups in the validation sample were compared using Kruskal Wallis One Way ANOVA. * $P = 0.006$ vs non-arthritic controls, ^ $P = 0.003$ vs
652 non-arthritic controls. BMI; body mass index, NA; not available.

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Table 2: Genes which were differentially expressed in the synovium of symptomatic chondropathy cases compared to asymptomatic chondropathy cases in discovery and validation samples.

	Discovery sample		Validation sample	
	Fold change	P	Fold change	P
Up-regulated				
<i>ACE</i>	2.05	0.01	1.81	.059
<i>ANXA1</i>	1.41	0.04	1.30	.021
<i>CASP1</i>	2.90	<0.001	1.45	.139
<i>CCL2</i>	1.65	0.013	3.57	.004
<i>CCL3</i>	2.02	0.056	3.21	.167
<i>CCL4</i>	1.91	0.023	1.98	.236
<i>CCL5</i>	1.40	0.034	1.07	.606
<i>CCL8</i>	3.87	0.016	6.28	.000082*
<i>CMKLR1</i>	1.99	0.008	2.06	.167
<i>CNR2</i>	2.85	0.088	1.39	.481
<i>CTGF</i>	2.22	0.003	1.61	.139
<i>CTSK</i>	1.19	0.562	1.37	.236
<i>CXCL10</i>	5.81	0.133	2.08	.277
<i>EPHX2</i>	1.68	0.034	1.07	.370
<i>FOS</i>	2.03	0.056	5.16	.001
<i>IL10</i>	2.31	0.023	2.62	.059
<i>IL1B</i>	1.29	0.519	3.85	.036
<i>IL6</i>	1.01	0.401	2.40	.370
<i>JUN</i>	1.23	0.171	1.49	.139
<i>MMP1</i>	13.93	<0.001*	4.66	.888
<i>MMP3</i>	4.15	0.116	1.27	.606
<i>S100A8</i>	1.43	0.243	1.49	.888
<i>TG</i>	1.08	0.606	1.51	.963
<i>TREM1</i>	1.23	0.652	1.33	.743
<i>TRPV4</i>	1.45	0.088	1.25	.888
Down-regulated				
<i>CX3CL1</i>	2.72	<0.001	1.62	0.167
<i>CXCL2</i>	2.71	0.013	2.40	0.036
<i>CXCL5</i>	3.36	0.056	2.50	0.481
<i>F2RL3</i>	7.45	0.101	9.65	0.004
<i>IL1R1</i>	2.07	0.001*	3.32	0.001*
<i>IL8</i>	2.86	0.056	1.95	0.423
<i>KDR</i>	2.33	0.01	1.28	0.093
<i>LTB4R</i>	2.29	0.007	1.87	0.093
<i>MMP7</i>	4.91	0.034	11.62	0.541
<i>MMP9</i>	1.56	0.699	5.58	0.277
<i>NFKBIA</i>	2.37	0.0003*	3.79	0.006
<i>NOS3</i>	2.20	0.019	1.42	0.423
<i>S100A9</i>	2.12	0.606	1.34	0.277
<i>SOCS1</i>	2.70	0.002	1.11	0.815
<i>SOCS3</i>	2.23	0.056	1.49	0.277
<i>STAT3</i>	1.11	0.652	2.06	0.114

<i>TNFRSF11B</i>	1.27	0.562	1.45	0.321
<i>VEGFA</i>	8.15	<0.001*	4.08	0.139

Up or down regulation references symptomatic compared to asymptomatic chondropathy cases. Genes shown are those which were increased or decreased in the same direction in both discovery and validation samples; see supplementary tables 3 & 4 for additional analytes. Bold indicates genes selected for analysis of protein expression based on concordant findings between discovery and validation samples ($p < 0.05$ or > 3 -fold difference between symptomatic and asymptomatic chondropathy groups). * $P < 0.01$ after FDR (5%) corrections in the discovery sample and < 0.0001 in the validation sample. Gene expression is normalised to the geometric mean of all 4 reference genes.

663 **Table 3: Overall summary of key molecular targets associated with symptomatic OA.**

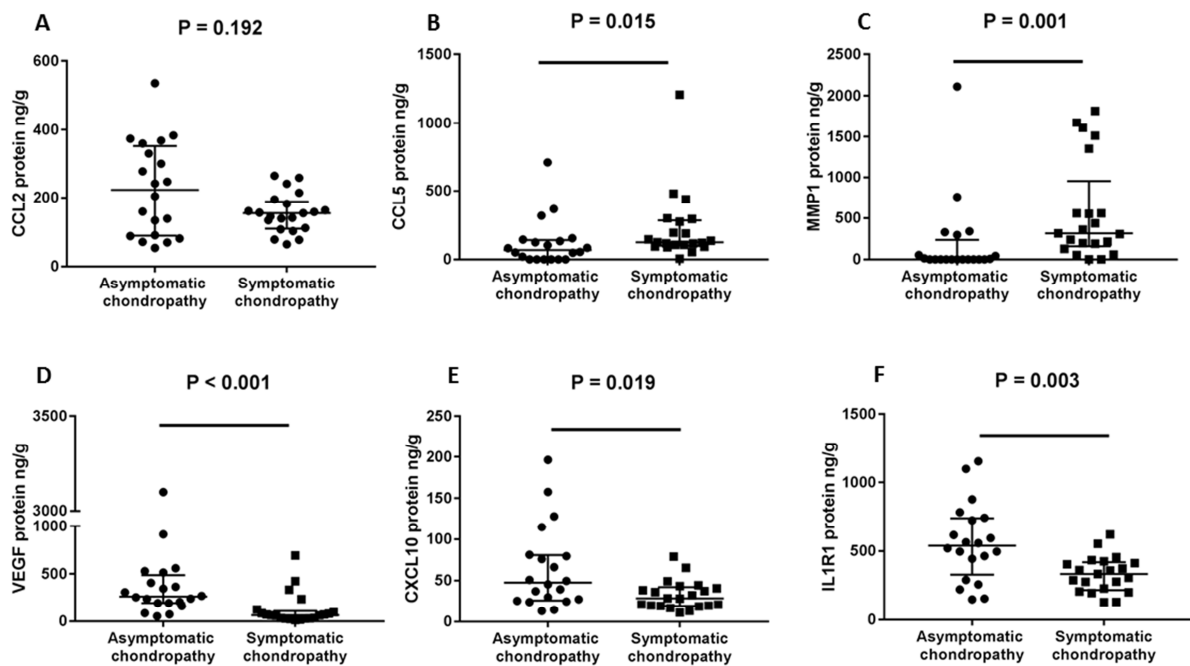
Target	RNA Discovery		RNA Validation		Protein	
	Fold change	P	Fold change	P	Fold change	P
MMP1: Matrix Metalloprotease 1*	13.93 increased in Symptomatic chondropathy	<0.001	4.66 increased in Symptomatic chondropathy	0.888	2.92 increased in Symptomatic chondropathy	0.001
IL1R1: Interleukin 1 receptor, type I*	2.07 decreased in Symptomatic chondropathy	0.001	3.32 decreased in Symptomatic chondropathy	0.001	1.68 decreased in Symptomatic chondropathy	0.003
VEGF: Vascular endothelial growth factor A*	8.15 decreased in Symptomatic chondropathy	<0.001	4.08 decreased in Symptomatic chondropathy	0.139	3.63 decreased in Symptomatic chondropathy	<0.001
CCL2: Chemokine Ligand 2	1.65 increased in Symptomatic chondropathy	0.013	3.57 increased in Symptomatic chondropathy	0.004	1.46 decreased in Symptomatic chondropathy	0.192
CCL8: Chemokine Ligand 8	3.87 increased in Symptomatic chondropathy	0.016	6.27 increased in Symptomatic chondropathy	<0.001	NA	NA
IL-1 β : Interleukin 1-beta	1.29 increased in Symptomatic chondropathy	0.519	3.85 increased in Symptomatic chondropathy	0.036	NA	NA
TNF- α : Tumour necrosis factor-alpha	3.86 increased in Symptomatic chondropathy	<0.001	1.25 decreased in Symptomatic chondropathy	0.815	NA	NA
MMP7: Matrix Metalloprotease 7	4.908 decreased in Symptomatic chondropathy	0.034	11.62 decreased in TKR	0.541	NA	NA

CCL5: Chemokine ligand 5	1.40 increased in	0.034	1.07 increased in TKR	0.606	1.86 increased in TKR	0.015
	Symptomatic chondropathy					
CXCL10: Chemokine (C-X-C motif) ligand 10)	5.81 increased in	0.133	2.08 increased in TKR	0.277	1.97 decreased in TKR	0.019
	Symptomatic chondropathy					

664 * Genes that satisfy the following criteria 1) increased in the same direction in both the original and replication RNA study, 2) P<0.05 or fold
 665 change >3 and 3) significantly differentially expressed at the protein level.

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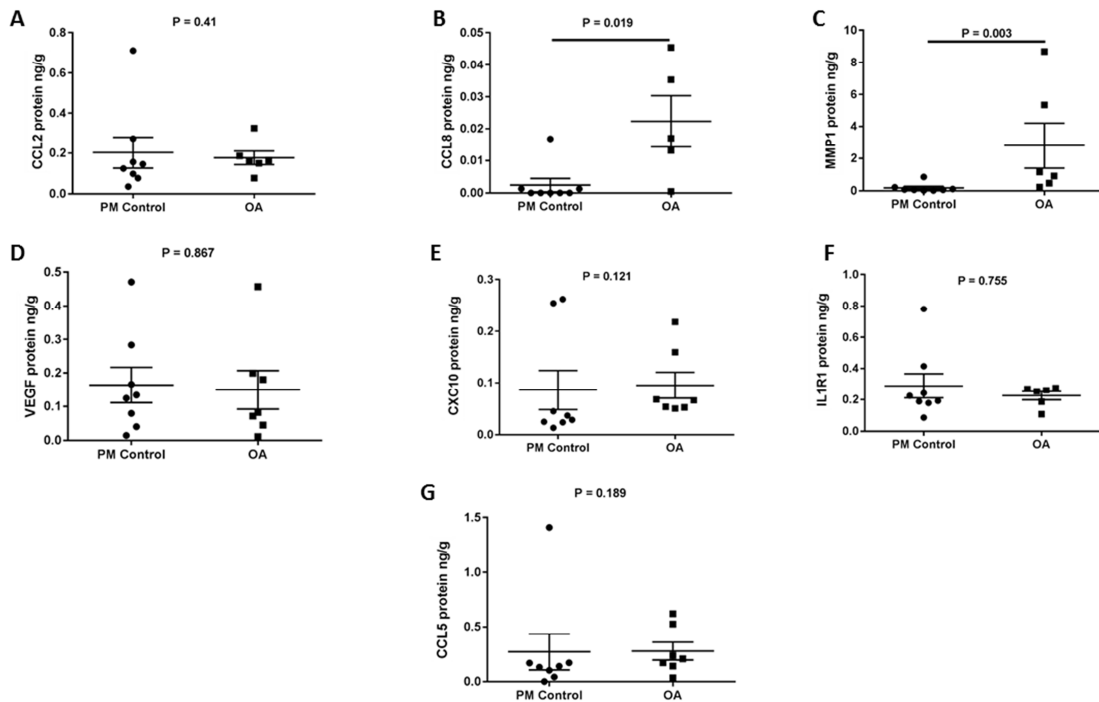
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668

669 **Figure 1:** Protein expression in synovia from chondropathy cases classified as either
 670 asymptomatic or symptomatic. Groups were matched for macroscopic chondropathy scores.
 671 **A:** CCL2 (chemokine ligand 2), **B:** CCL5 (chemokine ligand 5). **C:** MMP1 (matrix
 672 metalloprotease 1), **D:** VEGF (vascular endothelial growth factor-A), **E:** CXCL10
 673 (chemokine ligand 10), **F:** IL1R1 (interleukin 1 receptor 1). Median (IQR) are shown. IL1 β
 674 (interleukin 1 beta), TNF- α (tumour necrosis factor alpha), MMP7 (matrix metalloprotease 7)
 675 and CCL8 (chemokine ligand 8) immunoreactivities were below the lower limit of detection.

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678 **Figure 2:** Protein expression for selected genes compared between PM control and OA cases
 679 undergoing arthroplasty. **A:** CCL2 (chemokine ligand 2), **B:** CCL8 (chemokine ligand 8) **C):**
 680 MMP1 (matrix metalloprotease 1), **D:** VEGF-A (vascular endothelial growth factor-A), **E:**
 681 CXCL10 (chemokine ligand 10), **F:** IL1R1 (interleukin 1 receptor 1) and **G:** CCL5
 682 (chemokine ligand 5). Data expressed as median (IQR). MMP7 (matrix metalloprotease 7),
 683 IL1 β (interleukin 1 beta) and TNF- α (tumour necrosis factor alpha) immunoreactivities were
 684 below the lower limit of detection.

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