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Inspiratory pressure-generating capacity is preserved during ventilatory and non-ventilatory behaviours in young dystrophic *mdx* mice despite profound diaphragm muscle weakness

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**Short title:** Respiratory compensation in young *mdx* mice.

**Abbreviations:** ½ RT, half-relaxation time; ANOVA, analysis of variance; CSA, cross-sectional area; CT, contraction time; DMD, Duchenne muscular dystrophy; EIC, external intercostal; EMG, electromyogram; ETCO<sub>2</sub>, end-tidal carbon dioxide;  $f_R$ , respiratory frequency;  $F_iO_2$ , fractional inspired oxygen concentration; L<sub>o</sub>, optimum length; PIF, peak inspiratory flow; PEF, peak expiratory flow; P<sub>t</sub>, twitch force; P<sub>o</sub>, tetanic force; Smax, maximum total shortening; SpO<sub>2</sub>, peripheral capillary oxygen saturation; T3, third intercostal space;  $V_E$ , minute ventilation; Vmax, maximum shortening velocity;  $V_T$ , tidal volume;  $V_T/T_i$ , mean inspiratory flow; WT, wild-type.

# Key points

- Respiratory muscle weakness is a major feature of Duchenne muscular dystrophy (DMD), yet little is known about the neural control of the respiratory muscles in DMD and animal models of dystrophic disease.
- Substantial diaphragm muscle weakness is apparent in young (8-week-old) mdx mice, but ventilatory capacity in response to maximum chemostimulation in conscious mice is preserved.
- Peak volume- and flow-related measures during chemoactivation are equivalent in anaesthetized, vagotomized wild-type and *mdx* mice.

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- Diaphragm and T3 external intercostal EMG activities are lower during protracted sustained airway occlusion in *mdx* compared with wild-type mice. Yet, peak inspiratory pressure generation is remarkably well preserved.
- Despite profound diaphragm weakness and lower muscle activation during maximum nonventilatory efforts, inspiratory pressure-generating capacity is preserved in young adult *mdx* mice, revealing compensation in support of respiratory system performance that is adequate, at least early in dystrophic disease.

#### Abstract

Diaphragm dysfunction is recognized in the *mdx* mouse model of muscular dystrophy, however there is a paucity of information concerning the neural control of dystrophic respiratory muscles. In young adult (8 weeks of age) male wild-type and *mdx* mice, we assessed ventilatory capacity, neural activation of the diaphragm and external intercostal (EIC) muscles and inspiratory pressuregenerating capacity during ventilatory and non-ventilatory behaviours. We hypothesized that respiratory muscle weakness is associated with impaired peak inspiratory pressure-generating capacity in *mdx* mice. Ventilatory responsiveness to hypercapnic hypoxia was determined in conscious mice by whole-body plethysmography. Diaphragm isometric and isotonic contractile properties were determined ex vivo. In anaesthetized mice, thoracic oesophageal pressure, and diaphragm and EIC electromyogram (EMG) activities were recorded during baseline conditions and sustained tracheal occlusion for 30-40s. Despite substantial diaphragm weakness, mdx mice retain the capacity to enhance ventilation during hypercapnic hypoxia. Peak volume- and flow-related measures were also maintained in anaesthetized, vagotomized *mdx* mice. Peak inspiratory pressure was remarkably well preserved during chemoactivated breathing, augmented breaths, and maximal sustained efforts during airway obstruction in mdx mice. Diaphragm and EIC EMG activities were lower during airway obstruction in *mdx* compared with wild-type mice. We conclude that ventilatory capacity is preserved in young mdx mice. Despite profound respiratory muscle weakness and lower diaphragm and EIC EMG activities during high demand in *mdx* mice, peak inspiratory pressure is preserved, revealing adequate compensation in support of respiratory system performance, at least early in dystrophic disease. We suggest that a progressive loss of compensation during advancing disease, combined with diaphragm dysfunction, underpins the development of respiratory system morbidity in dystrophic diseases.

**Keywords:** DMD; *mdx*; breathing; oesophageal pressure; EMG; diaphragm; intercostal

## 1. Introduction

Dystrophin is a structural protein expressed in muscle and the central nervous system (Lidov, 1996; Muntoni *et al.*, 2003). Duchenne muscular dystrophy (DMD) is a severe neuromuscular disease caused by dystrophin deficiency (Hoffman *et al.*, 1987; Ervasti, 2007). Respiratory muscle dysfunction is described in DMD patients (De Bruin *et al.*, 1997; Beck *et al.*, 2006) with deleterious consequences for respiratory system performance (Mayer *et al.*, 2015). Loss of ambulation and cardio-respiratory failure are cardinal features of DMD (Yiu & Kornberg, 2008). Life expectancy for DMD boys is severely curtailed and there is currently no cure for the devastating disease.

Substantial diaphragm muscle weakness is reported in patients and animal models of DMD (Stedman *et al.*, 1991; Khirani *et al.*, 2014). However, there remains an incomplete understanding of the neural control of breathing in DMD and dystrophic animal models, where further deficits or compensation may arise with implications for respiratory performance (Burns *et al.*, 2017c). A thorough understanding of the consequences of dystrophin deficiency for the control of breathing is essential in the consideration of disease progression and therapeutic strategies to combat respiratory insufficiency in human dystrophinopathies.

Dystrophin is ordinarily expressed in neurons (Lidov, 1996). In the mdx mouse model of DMD, studies indicate reduced number of cortico-spinal neurons (Sbriccoli et al., 1995), damage to motor pathways (Carretta et al., 2001), loss of neuronal projections (Pinto et al., 2008), GABAergic dysfunction (Sekiguchi et al., 2009; Vaillend & Chaussenot, 2017) and cognitive deficits (Chaussenot et al., 2015). It is unclear if dystrophin deficiency affects nervous system control of breathing. A comprehensive understanding of the motor control of the dystrophin deficient respiratory musculature is lacking. It is essential to determine if dystrophin deficiency is deleterious to the motor control of breathing and respiratory-related behaviours, or if there is intrinsic compensation within the neural control circuits, facilitating performance. Recently, we described evidence of enhanced motor drive to the mdx diaphragm during chemoactivation of breathing (Burns et al., 2017c), suggesting intrinsic compensation in the neural control of ventilation in dystrophin deficient mice. Our studies have revealed a retained capacity to increase ventilation in response to modest chemostimulation in young mdx mice (Burns et al., 2017c). However, the extent to which dystrophin deficiency affects inspiratory pressure-generating capacity across a range of ventilatory and nonventilatory behaviours (Mantilla et al., 2010; Seven et al., 2014) is unclear, but important to establish. Clearly, aberrant neural control of breathing could serve to exacerbate respiratory morbidity in DMD, compounding mechanical constraints arising from respiratory muscle dysfunction.

Our principal objective was to perform an assessment of respiratory system performance during ventilatory and non-ventilatory behaviours in the *mdx* mouse model of DMD. We assessed ventilatory capacity during hypercapnic hypoxic breathing in conscious mice. In anaesthetized mice, we determined inspiratory pressure-generating capacity and diaphragm and external intercostal (EIC) electromyogram (EMG) activities during ventilatory (chemoactivation and augmented breaths) and non-ventilatory (airway obstruction) behaviours. We hypothesized that respiratory muscle weakness is associated with impaired peak inspiratory pressure-generating capacity in *mdx* mice.

# 2. Methods

## 2.1 Ethical approval

Procedures on live animals were performed under licence in accordance with Irish and European law following approval by University College Cork animal research ethics committee (AEEC no. 2013/035). Experiments were carried out in accordance with guidelines laid down by University College Cork Animal Welfare Body, and conform to the principles and regulations described by Grundy (2015).

#### 2.2 Experimental animals

Male wild-type (C57BL/10ScSnJ; n=28) and *mdx* (C57BL/10ScSn-Dmd<sup>mdx</sup>/J; n=26) mice were purchased from the Jackson Laboratory (Bar Harbor, ME, USA) and studied at eight weeks of age. Animals were housed in individually ventilated cages in our institution's animal housing facility. All animals were housed in temperature- and humidity-controlled rooms, operating on a 12 h light:12 h dark cycle with food and water available *ad libitum*.

# 2.3 Whole-body plethysmography

Whole-body plethysmography was used to assess respiratory flow in unrestrained, unanaesthetized mice. Mice were introduced into plethysmograph chambers (Model PLY4211; volume 600ml, Buxco Research Systems, Wilmington, NC, USA) and allowed 60-90 min to acclimate to the chamber environment. Following exploration and grooming behaviours, mice settled and were studied during quiet rest. Recordings were typically performed contemporaneously in a wild-type and *mdx* mouse using a pair of plethysmograph chambers.

*Experimental protocol*: Following acclimation and a settling period, a 20-min baseline recording was performed in normoxia. This was followed by a graded hypercapnic challenge wherein animals were challenged with increasing levels of inspired carbon dioxide:  $F_iCO_2 = 0.02$ , 0.04 and 0.06 ( $F_iO_2 = 0.21$ ) consecutively for 5 min each. This was immediately followed by maximal chemoreceptor stimulation This article is protected by copyright. All rights reserved.

with hypercapnic hypoxia ( $F_iO_2 = 0.10/F_iCO_2 = 0.06$ ) for 5 min, to examine ventilatory capacity in wild-type (n = 9) and *mdx* (n = 7) mice. Mice were subsequently euthanized by urethane overdose.

*Data analysis*: Ventilation during hypercapnia and hypercapnic hypoxia was determined during steady-state conditions in the 5<sup>th</sup> minute of exposure and compared with the preceding baseline normoxic period. Tidal volume ( $V_T$ ), minute ventilation ( $V_E$ ) and mean inspiratory flow ( $V_T$ .T<sub>i</sub><sup>-1</sup>) were normalized for body mass (g).

# 2.4 Diaphragm EMG and oesophageal pressure recordings

Anaesthesia was induced with 5% isoflurane in 60%  $O_2$  (balance  $N_2$ ) followed by urethane (1.7g.kg<sup>-1</sup> i.p.). Wild-type (n = 9) and mdx (n = 9) mice were then placed in the supine position, gradually weaned from the isoflurane and body temperature was maintained at 37°C via a rectal probe and thermostatically-controlled heating blanket (Harvard Apparatus, Holliston, MA, USA). Supplemental anaesthetic was administered if necessary to maintain an adequate surgical plane of anaesthesia, which was by assessment of pedal withdrawal reflex to noxious pinch. A pulse oximeter clip (MouseOx<sup>™</sup>, Starr Life Sciences Corporation, Oakmount, PA, USA) was placed on a shaved thigh of each mouse for the measurement of peripheral capillary O<sub>2</sub> saturation (SpO<sub>2</sub>). A mid-cervical tracheotomy was performed. All animals were maintained with a bias flow of supplemental O<sub>2</sub> (FiO<sub>2</sub> = 0.60) under baseline conditions. End-tidal carbon dioxide  $(ETCO_2)$  was measured using a MicroCapStar (CWE, Ardmore, PA). To estimate intra-pleural sub-atmospheric pressure generated by the respiratory musculature during inspiration, we measured oesophageal pressure using a pressuretip catheter (Mikro-Tip, Millar Inc., Houston, TX, USA), which was positioned in the thoracic oesophagus through the mouth. During inspiratory activity, oesophageal recordings displayed phasic sub-atmospheric pressure swings. Concentric needle electrodes (26G; Natus Manufacturing Ltd, Ireland) were inserted into the costal diaphragm for the continuous measurement of diaphragm EMG activity, which was amplified (x5,000), filtered (500Hz low cut-off to 5,000Hz high cut-off) and integrated (50ms time constant; Neurolog system, Digitimer Ltd, UK). All signals were passed through an analogue-to-digital converter (Powerlab r8/30; ADInstruments, Colorado Springs, CO, USA) and were acquired using LabChart 7 (ADInstruments).

*Experimental protocol:* Following instrumentation, animals were allowed at least 10 min to stabilize before baseline parameters were measured for a period of 10 min. Next, animals were challenged with hypercapnic hypoxia ( $F_iO_2 = 0.15 \& F_iCO_2 = 0.05$ ; 1 min) to examine the effects of chemostimulation on diaphragm EMG activity and oesophageal inspiratory pressure generation.

Following the experimental protocol, mice were euthanized by decapitation. Diaphragm muscle was excised for *ex vivo* functional analysis (section 2.6).

*Data analysis*: The amplitudes of integrated inspiratory diaphragm EMG activity and peak inspiratory sub-atmospheric oesophageal pressure were analyzed and averaged under steady-state basal conditions and averaged for the final 15 breaths (maximal response) of the hypercapnic hypoxia challenge. Oesophageal pressure is reported in absolute units (cmH<sub>2</sub>O). EMG data are reported in arbitrary units (A.U.). In one wild-type and three *mdx* mice, responses to chemostimulation were characterized by tachypnoea and a reduction in EMG amplitude. Since, our aim was to examine the magnitude of the increase in EMG amplitude (motor recruitment) of the diaphragm during maximal chemoreceptor stimulation with hypercapnic hypoxia we determined *a priori* that trials characterized by frequency-only responses to gas challenge in wild-type and *mdx* mice would be excluded from group analysis, consistent with our approach in a recent study (Burns *et al.*, 2017c). Spontaneous augmented breaths (sighs) observed during baseline conditions were analyzed and compared with the average of the preceding five breaths. Augmented breaths were classified as breaths with more than double the baseline EMG amplitude (Mantilla *et al.*, 2011; Seven *et al.*, 2014). For two wild-type mice, no breath met this criterion during the recording period.

# 2.5 Diaphragm and EIC EMG and oesophageal pressure recordings

In separate studies, wild-type (n=10) and *mdx* (n=10) mice were anaesthetized and tracheotomized as described above (section 2.4). Oesophageal pressure and costal diaphragm EMG activity were recorded. In addition, a concentric needle electrode was inserted in the third intercostal space (T3) for the measurement of EIC EMG, which was amplified (x5,000), filtered (500Hz low cut-off to 5,000Hz high cut-off) and integrated (50ms time constant; Neurolog system, Digitimer Ltd, UK). All signals were passed through an analogue-to-digital converter and were acquired using LabChart 7.

*Experimental protocol*: Following instrumentation, animals were allowed at least 10 min to stabilize before baseline parameters were measured for a period of 10 min. Next, a pneumotachometer was connected to the tracheal cannula to record respiratory airflow for a period of 1-2 min. The pneumotachometer and ETCO<sub>2</sub> were disconnected and following a baseline period, animals were challenged with sustained tracheal occlusion for 30-40s until a plateau was observed in the inspiratory pressure recordings during sustained maximum non-ventilatory efforts. Following recovery, animals were instrumented for the measurement of ETCO<sub>2</sub> and tracheal airflow and the vagi were sectioned bilaterally at the cervical level. Respiratory parameters were recorded for 10 min under steady-state conditions following vagotomy. Next, animals were challenged with

hypercapnic hypoxia ( $F_iO_2 = 0.15 \& F_iCO_2 = 0.06$ ; 3 min) to examine the effects of chemostimulation on diaphragm and EIC EMG activities and tracheal airflow. Following the experimental protocol, mice were euthanized by decapitation.

*Data analysis*: The amplitudes of integrated inspiratory diaphragm and EIC EMG activities and peak inspiratory sub-atmospheric oesophageal pressure were analyzed and averaged under steady-state basal conditions and averaged for the 5 successive maximal sustained efforts (maximal response) of the airway occlusion challenge. During baseline breathing and chemoactivation,  $V_T$  was derived from tracheal airflow measurements. Spontaneous peak breaths observed during chemoactivation in vagotomized mice, notably greater in amplitude than neighbouring breaths, were analyzed and compared with the preceding baseline period to provide a measure of maximum ventilatory effort. Spontaneous peak breaths during hypercapnic hypoxia were observed in 5 wild-type and 5 *mdx* mice and were analyzed as a measure of peak ventilatory performance.

# 2.6 Ex vivo diaphragm muscle function

Diaphragm muscle was excised with a rib and central tendon attached. Muscle bundles with longitudinally arranged muscle fibres were prepared for functional assessment and suspended vertically between two platinum plate electrodes. The rib was attached to an immobile hook and the central tendon was attached to a dual-mode force transducer (Aurora Scientific Inc.; Aurora, ON, Canada) with non-elastic string. Diaphragm muscle preparations from wild-type (n = 8) and *mdx* (n = 9) mice were studied in a water-jacketed tissue bath at 35°C containing Krebs solution (in mM: 120 NaCl, 5 KCl, 2.5 Ca<sup>2+</sup>, 1.2 MgSO<sub>4</sub>, 1.2 NaH<sub>2</sub>PO<sub>4</sub>, 25 NaHCO<sub>3</sub>, 11.5 glucose) and D-tubocurarine (25  $\mu$ M) and were continuously aerated with carbogen (F<sub>i</sub>O<sub>2</sub> = 0.95 & F<sub>i</sub>CO<sub>2</sub> = 0.05). Muscle optimum length (L<sub>o</sub>) was determined by adjusting the position of the force transducer, in turn adjusting the length of the muscle preparations, using a micro-positioner between intermittent twitch contractions (Burns & O'Halloran, 2016; Burns *et al.*, 2017b). L<sub>o</sub> was determined as the muscle length which revealed maximal isometric twitch force in response to single isometric twitch stimulation (supramaximal stimulation, 1ms duration). Preparations remained at L<sub>o</sub> for the duration of the protocol.

*Experimental protocol*: A single isometric twitch contraction was measured. Peak isometric twitch force ( $P_t$ ), contraction time (CT) and half-relaxation time ( $\frac{1}{2}$  RT) were determined. Peak isometric force at 100Hz ( $P_o$ ) was determined. The force-frequency relationship was examined by stimulating the muscle sequentially at 10, 20, 40, 60, 80, 100, 120, 140 and 160 Hz (300ms train duration). Contractions were interspersed by a 1 min interval. Next, an isotonic contraction was elicited in

preparations at 0% load to examine maximum unloaded muscle shortening and velocity of shortening (Burns & O'Halloran, 2016; Burns *et al.*, 2017b).

*Data analysis*: Muscle force was normalized for muscle cross-sectional area (CSA) and expressed as specific force (N cm<sup>-2</sup>). The CSA of each muscle bundle was determined by dividing muscle mass (weight in grams) by the product of muscle  $L_0$  (cm) and muscle density (assumed to be 1.06 g cm<sup>-3</sup>). CT and ½ RT were measured as indices of isometric twitch kinetics. Total muscle shortening was determined as the maximum distance shortened during contraction. Total muscle shortening (Smax) was determined in absolute units (cm) and was normalized to  $L_0$  and expressed in  $L.L_0^{-1}$ . Shortening velocity was determined as the distance shortened during the initial 30 ms of shortening (Lewis *et al.*, 2015; 2016). Shortening velocity (Vmax) was determined in absolute units (cm.s<sup>-1</sup>) and was normalized to  $L_0$  and expressed in  $L_0.s^{-1}$ .

#### 2.7 Statistical analysis

Values are expressed as mean  $\pm$  SD or as box and whisker plots (median, 25-75 percentile, and scatter plot). Data were statistically compared using Prism 6.0 (Graphpad Software, San Diego, CA, USA). Data were tested for normal distribution and equal variances. Data sets which were normally distributed and of equivalent variance were statistically compared using unpaired two-tailed Student's *t* test. Welch's correction was applied in the case of unequal variance. Data which were not normally distributed were compared using Mann Whitney non-parametric tests. Data for diaphragm muscle force-frequency relationship, ventilatory responsiveness to chemostimulation in conscious mice, oesophageal pressure and EMG activities during obstruction, and flow, volume and EMG measures during peak breaths in vagotomized mice during chemoactivation were statistically compared by repeated measures two-way ANOVA with Bonferroni *post hoc* test. *P* < 0.05 was considered statistically significant in all tests.

# 3. Results

## 3.1 Diaphragm muscle contractile function ex vivo

Figure 1 shows representative original recordings for wild-type (black) and mdx (grey) diaphragm twitch (A) and tetanic (B) contractions, force-frequency relationship (C) and maximal unloaded shortening (D). Twitch kinetics (CT and ½ RT) and isotonic contractile parameters (Smax and Vmax) are shown in Table 1. Twitch CT was significantly increased in mdx compared with wild-type diaphragm (P = 0.027; unpaired Student's t test). Twitch ½ RT and isotonic contractile parameters were not significantly different between wild-type and mdx diaphragm preparations, with the

exception of absolute shortening and absolute velocity of shortening, which were significantly less in *mdx* compared with wild-type mice (Table 1). Diaphragm twitch (P = 0.0241; unpaired Student's *t* test; Fig. 1E, P<sub>t</sub>) and tetanic force (P < 0.0001; unpaired Student's *t* test with Welch's correction; Fig. 1E, P<sub>o</sub>) were both significantly depressed in *mdx* compared with wild-type muscle. For the force-frequency relationship, diaphragm specific force was significantly lower in *mdx* compared with wild-type preparations (P = 0.0003 (genotype); repeated measures two-way ANOVA; Fig. 1F). *Post hoc* analysis confirmed significant force depression in *mdx* across a broad range of stimulation frequencies (60-160 Hz).

# 3.2 Ventilatory responsiveness to hypercapnic hypoxia in conscious mice

Figure 2A shows representative respiratory flow traces for wild-type and *mdx* mice during exposure to baseline air ( $F_iO_2 = 0.21$ ) and hypercapnic hypoxia ( $F_iO_2 = 0.10 \& F_iCO_2 = 0.06$ ). Chemostimulation with graded hypercapnia and hypercapnic hypoxia resulted in a significant increase in  $f_R$  (P < 0.0001 (gas); repeated measures two-way ANOVA; Fig. 2B),  $V_T$  (P < 0.0001; Fig. 2C),  $V_E$  (P < 0.0001; Fig. 2D) and  $V_T.T_i^{-1}$  (P < 0.0001; Fig. 2E) both for wild-type and *mdx* mice, with no difference between the two groups.

# 3.3 Oesophageal pressure and diaphragm EMG activity in anaesthetized mice

Representative original recordings of oesophageal pressure and diaphragm EMG during baseline conditions ( $F_iO_2 = 0.60$ ) and during a representative spontaneous augmented breath (shaded) are shown in Figure 3A. Table 2 shows baseline respiratory measurements in wild-type and *mdx* mice. Respiratory frequency was significantly higher in *mdx* compared with wild-type mice (P < 0.0001; unpaired Student's *t* test; Table 2). There was no significant difference in SpO<sub>2</sub> and ETCO<sub>2</sub> between wild-type and *mdx* mice (Table 2).

Peak inspiratory oesophageal pressure during baseline recordings was equivalent between wild-type and *mdx* mice (P = 0.2887; unpaired Student's *t* test; Fig. 3B). Baseline diaphragm EMG activity was significantly lower in *mdx* (P = 0.025; unpaired Student's *t* test with Welch's correction; Fig. 3C) compared with wild-type mice. The peak inspiratory pressure generated during chemoactivation ( $F_iO_2 = 0.15 \& F_iCO_2 = 0.05$ ) was equivalent in wild-type and *mdx* mice, with a trend towards greater sub-atmospheric pressure generation in *mdx* mice (P = 0.0563; unpaired Student's *t* test; Fig. 3B). Diaphragm EMG activity during chemoactivation was not different between wild-type and *mdx* mice (P = 0.0853; unpaired Student's *t* test with Welch's correction; Fig. 3C). Peak inspiratory oesophageal pressure during augmented breaths was greater in *mdx* compared with wild-type mice (P = 0.0013; unpaired Student's *t* test; Fig. 3B). Diaphragm EMG during augmented breaths was equivalent between wild-type and *mdx* mice (P = 0.2031; unpaired Student's *t* test; Fig. 3C).

3.4 Oesophageal pressure and diaphragm and EIC EMGs during airway obstruction in anaesthetized mice

Representative original recordings of oesophageal pressure, diaphragm and EIC EMG activities during baseline conditions ( $F_1O_2 = 0.60$ ) and peak sustained inspiratory efforts during protracted airway obstruction are shown in Figure 4A and 4B. Obstruction significantly increased subatmospheric pressure generation in wild-type (P < 0.0001; repeated measures two-way ANOVA with Bonferroni; Fig. 5A) and mdx mice (P < 0.0001; Fig. 5A), with no significant difference between the two groups (P = 0.1931 (gene); repeated measures two-way ANOVA; Fig. 5A). Diaphragm EMG activity was significantly increased during airway obstruction compared with baseline in wild-type (P < 0.0001; repeated measures two-way ANOVA with Bonferroni; Fig. 5B) and mdx mice (P < 0.001). However, diaphragm EMG activity was lower in mdx (P = 0.0479 (gene); repeated measures two-way ANOVA; Fig. 5B) and mdx mice had significantly lower diaphragm EMG activity compared with wildtype during airway obstruction (P < 0.05; repeated measures two-way ANOVA with Bonferroni; Fig. 5B). Airway obstruction significantly increased EIC EMG activity in wild-type (P < 0.0001; repeated measures two-way ANOVA with Bonferroni; Fig. 5C), but not mdx mice (P > 0.05). EIC EMG activity was lower in mdx compared with wild-type (P = 0.0003 (gene); repeated measures two-way ANOVA; Fig. 5C). Post hoc analysis revealed that EIC EMG activity was significantly lower during airway obstruction in mdx compared with wild-type mice (P < 0.0001; repeated measures two-way ANOVA with Bonferroni; Fig. 5C). In the light of these findings, we extended our analyses to determine inspiratory duration and respiratory EMG activities expressed as area under the curve (AUC) of the integrated EMG signals before and during airway obstruction (Table 3). During baseline recordings, inspiratory duration was shorter in *mdx* compared with wild-type mice (*P* < 0.05; repeated measures two-way ANOVA with Bonferroni). Inspiratory duration was significantly longer during obstructed airway efforts, both in wild-type and *mdx* mice, with no genotype difference. Consistent with data for respiratory EMG amplitude during airway obstruction, both diaphragm (P < 0.05) and EIC (P < 0.05) 0.001) EMG AUC activities were significantly lower in *mdx* compared with wild-type mice (Table 3).

# 3.5 Tracheal airflow and diaphragm and EIC EMG activity in anaesthetized, vagotomized mice

Table 4 shows respiratory data in anaesthetized, vagotomized wild-type and *mdx* mice during baseline conditions ( $F_iO_2 = 0.60$ ). There was no significant difference in  $f_R$ ,  $V_T$  or  $V_E$  between wild-type and *mdx* mice. Similarly, peak inspiratory and expiratory flows, and ETCO<sub>2</sub> and SpO<sub>2</sub> were equivalent

between groups. Figure 6A shows representative original recordings of tracheal airflow, diaphragm and EIC EMG activities in anaesthetized, vagotomized mice during baseline conditions ( $F_1O_2 = 0.60$ ). Peak respiratory efforts during chemoactivation increased  $V_T$  (P < 0.0001 (peak breath); repeated measures two-way ANOVA; Fig. 6B), peak inspiratory flow (P = 0.027; Fig. 6C) and peak expiratory flow (P = 0.016; Fig. 6D), with no difference between wild-type and *mdx* mice. Diaphragm EMG activity increased during peak respiratory efforts (P = 0.0128 (peak breath); repeated measures twoway ANOVA; Fig. 6E) in wild-type and *mdx* mice, with equivalent diaphragm EMG activity between groups (P = 0.4055 (gene); repeated measures two-way ANOVA; Fig. 6E). Diaphragm EMG recruitment (delta EMG amplitude) during peak respiratory efforts compared with baseline was equivalent between wild-type and *mdx* (P = 0.9953; unpaired Student's *t* test; Fig. 6F). EIC EMG activity was significantly lower during baseline conditions in *mdx* mice compared with wild-type (P < 0.05; repeated measures two-way ANOVA with Bonferroni; Fig. 6G) and during peak chemoactivation (P < 0.01). EIC EMG recruitment (delta EMG amplitude) during peak ventilation compared with baseline was significantly lower in *mdx* compared with wild-type mice (P = 0.0434; unpaired Student's *t* test; Fig. 6H).

# 4. Discussion

The main findings of the study are: (i) there is substantial diaphragm muscle weakness in young adult *mdx* mice; (ii) ventilatory capacity in response to maximum chemostimulation is equivalent between conscious wild-type and *mdx* mice; (iii) peak tidal volume and peak inspiratory and expiratory flows during maximum chemoactivation are equivalent in anaesthetized, vagotomized wild-type and *mdx* mice; (iv) peak inspiratory pressure-generating capacity is preserved in *mdx* mice during ventilatory (chemoactivated breathing and augmented breaths) and non-ventilatory (sustained tracheal occlusion) behaviours; (v) diaphragm and EIC EMG activities are lower during airway obstruction in *mdx* compared with wild-type mice.

Our study confirmed substantial diaphragm muscle weakness in young adult *mdx* mice, consistent with our recent reports (Burns *et al.*, 2017a,c). Diaphragm deficits in *mdx* mice were evidenced by significantly reduced twitch and tetanic isometric force compared with wild-type. The force-frequency relationship revealed considerable force loss in the frequency range of 60-160 Hz, corresponding to a broad range of ventilatory and non-ventilatory behaviours (Sieck *et al.*, 2013; Mantilla *et al.*, 2014). We have previously documented considerable muscle fibre damage and remodelling in dystrophic diaphragm at this age, with increased variability of muscle fibre size, centralized myonuclei, altered myosin heavy chain isoform composition, and collagen deposition

(Burns *et al.*, 2017c, 2018). Force loss was accompanied by decreases in muscle shortening and velocity of shortening.

Notwithstanding the profound diaphragm weakness in *mdx*, we reasoned that ventilatory behaviours might be protected owing to the large reserve capacity of the diaphragm muscle, such that maximum ventilation during chemoactivation can be achieved with <40% of peak diaphragm force (Sieck & Fournier, 1989; Mantilla *et al.*, 2010; Medina-Martínez *et al.*, 2015; Greising *et al.*, 2016). In contrast, we hypothesize that non-ventilatory behaviours such as airway obstruction, airway clearance, respiratory reflexes encompassing coughing and sneezing, requiring substantially elevated respiratory muscle activation, critical for the safeguarding of pulmonary function are compromised in dystrophic disease. Dysphagia and poor airway control increase the risk of obstructive airway events during sleep, which are common in teenage DMD boys (Barbé *et al.*, 1994; Khan & Heckmatt, 1994; Suresh *et al.*, 2005; Sawnani *et al.*, 2015). Peak respiratory pressures are low in DMD and are known to decline progressively with advancing disease (Khirani *et al.*, 2014).

We assessed ventilation in response to hypercapnic, and hypercapnic hypoxic challenges, which confirmed the capacity for *mdx* mice to enhance ventilation. Ventilation during graded hypercapnia was equivalent in *mdx* and wild-type mice, revealing that  $CO_2$  chemosensitivity is intact in young adult *mdx* mice, which retain considerable ventilatory reserve and, despite diaphragm dysfunction, maintain a capacity to elevate  $V_E$  to wild-type levels, through increases in rate and volume. This preserved capacity to elevate pulmonary ventilation was further revealed during exposure to hypercapnic hypoxia with peak ventilation increasing more than 3-fold in *mdx* mice, equivalent to responses in wild-type mice. We acknowledge the limitations of accurate determination of tidal volume by the method of plethysmography, which may have been further limited in our study owing to our estimation (not continuous measurement) of body temperature.

In anaesthetized mice, we demonstrated that peak inspiratory oesophageal pressure generation was equivalent during basal breathing ( $F_iO_2 = 0.60$ ) in *mdx* and wild-type mice. Acute chemoactivation ( $F_iO_2 = 0.15 \& F_iCO_2 = 0.05$ ) increased ventilatory drive and the magnitude of the enhanced peak inspiratory oesophageal pressure was equivalent in *mdx* and wild-type mice. This confirmed the lack of mechanical deficit in young adult *mdx* mice and hence no limitation in sub-atmospheric pressure-generating capacity during chemoactivation. Diaphragm EMG responses to hypercapnic hypoxia were equivalent in *mdx* compared with wild-type mice. As such, it is likely that even the compromised dystrophic *mdx* diaphragm is capable of supporting high ventilatory demand.

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We extended this line of enquiry by way of assessment of spontaneous augmented breaths (sighs), which result in elevated diaphragm motor unit recruitment beyond that observed during chemoactivation (Mantilla et al., 2011; Seven et al., 2014), producing up to 50% of peak transdiaphragmatic pressure in mice (Greising et al., 2013; 2015; 2016). Interestingly, during augmented breaths, peak inspiratory oesophageal pressure generation was preserved and significantly greater in *mdx* compared with wild-type mice, again convincingly demonstrating a lack of mechanical constraint in 8-week-old mdx mice despite substantial diaphragm weakness (approaching 50% force loss compared with wild-type in the range 60-100Hz). Of note, diaphragm EMG recruitment during spontaneous augmented breaths was equivalent (not potentiated) in mdx compared with wild-type mice. Thus, as is the case for ventilation during chemoactivation, augmented breaths are not compromised in young adult *mdx* mice, insofar as enhanced inspiratory pressures can be achieved even with 50% reduction in force-generating capacity of the diaphragm, presumably because such efforts either remain within the reserve capacity of the dystrophic diaphragm, or they are facilitated by accessory muscle recruitment, which can compensate for efforts exceeding the reserve capacity of the weakened *mdx* diaphragm. Of note in our study, inspiratory pressure generation during augmented breaths was greater in mdx compared with wildtype mice, but diaphragm EMG recruitment was equivalent between groups.

In addition, we examined tracheal airflow in anaesthetized, vagotomized mice during chemoactivation, wherein we characterized maximum ventilatory effort, exceeding that of volumerelated measures in conscious mice owing to the release of vagal inhibition of breathing with superimposed chemoactivation of respiratory motor outflow. Direct measures of peak  $V_{T}$  and peak inspiratory and expiratory flows were equivalent in vagotomized wild-type and mdx mice, confirming and extending observations from our studies using plethysmography. Peak diaphragm EMG activity was equivalent in wild-type and *mdx* mice, whereas EIC EMG was significantly lower in *mdx* mice. Preserved neural drive to the weakened *mdx* diaphragm may be wholly adequate to generate even the large volumes and respiratory flows observed in vagotomized mdx mice. In this respect, our findings are consistent with a variety of other studies revealing that transdiaphragmatic pressuregenerating capacity during high ventilatory demand (chemoactivation; augmented breaths) is within 50% of maximum capacity (Sieck & Fournier, 1989; Mantilla et al., 2010), including studies in mice (Greising *et al.*, 2013, 2015, 2016), and these behaviours can be adequately met even in the context of motor neuron loss (Khurram et al., 2018a) or age-related sarcopenia of the diaphragm (Khurram et al., 2018b). Although force loss is severe in mdx diaphragm, ventilatory behaviours are accommodated, at least early in dystrophic disease.

Our consideration of respiratory-related capacity to this point was limited to peak ventilation during chemoactivation of breathing, albeit including observations in animals with removal of vagal afferent inhibition of respiratory drive. We reasoned that the preserved capacity in *mdx* might be limited to the ventilatory range, such that mechanical deficit might be revealed during non-ventilatory efforts, particularly over sustained periods, which evoke near-maximum respiratory system activation, or at least activation well beyond the reserve capacity of the weakened *mdx* diaphragm. To investigate this, we extended our studies to examine peak inspiratory pressure-generating capacity in wild-type and mdx mice during protracted sustained tracheal occlusion, which results in greater motor recruitment of respiratory muscles beyond that of augmented breaths (Mantilla et al., 2011; Greising et al., 2013; Seven et al., 2014). Previously it has been shown that sustained tracheal occlusions lasting 15s in anaesthetized mice result in the generation of transdiaphragmatic pressures ~65-82% of peak pressures evoked by bilateral phrenic nerve stimulation (Greising et al., 2013, 2015, 2016), with transdiaphragmatic pressure values of  $\sim$ 72cmH<sub>2</sub>0 during obstruction in young male mice, equating to ~78% of maximum transdiaphragmatic pressure (Greising et al., 2015). In our study, oesophageal pressure recordings were performed in anaesthetized mice with contemporaneous measurement of diaphragm and EIC EMG activity. Tracheal occlusions sustained for 30-40s generated impressive sub-atmospheric inspiratory pressures in wild-type and mdx mice. The sustained efforts during the final phase of airway obstruction were likely reflective of maximum or near-maximum respiratory system performance, evidenced by progressively greater subatmospheric inspiratory pressures during occlusion to a sustained nadir associated with maximal diaphragm and EIC recruitment. Indeed, sub-atmospheric pressures were often noted to decrease further (become more 'negative') both in wild-type and *mdx* mice, when diaphragm EMG activity reached a maximum plateau or even decreased compared with preceding efforts.

Tracheal occlusion in our study resulted in sub-atmospheric pressure generation that clearly exceeded the reserve capacity of the dystrophic diaphragm. Moreover, both diaphragm and EIC EMG activities (determined both as amplitude and AUC of the integrated EMG signals) were substantially lower during peak sustained efforts in *mdx* compared with wild-type mice, with a relatively greater reduction of activity in EIC EMG compared with diaphragm EMG in *mdx* mice. Decreased EMG activity during airway obstruction could reflect impaired motor control of the diaphragm and EIC muscles, but is more likely fully explained by impaired neuromuscular transmission given the evidence in young *mdx* mice of increased variability of neural transmission to the diaphragm (Personius & Sawyer, 2006) and decreased amplitude of *mdx* diaphragm motor end plate potentials (Carlson & Roshek, 2001). Neuromuscular impairment during high demand behaviours that exceed the reduced reserve capacity of the *mdx* diaphragm would be expected to

d Articl CCCDTC translate to substantial inability to generate peak inspiratory pressures equivalent to wild-type, beyond that predicted by assessment of diaphragm peak force. However, in our study, peak sustained inspiratory pressures during airway obstruction were equivalent in wild-type and mdx mice. We determined that inspiratory duration, which is prolonged during obstructed efforts, was equivalent during peak sustained efforts in wild-type and mdx mice, highlighting that compensation in the capacity to generate equivalent sub-atmospheric pressures in mdx mice does not depend upon altered control of inspiratory timing. We therefore reason that recruitment of support muscles beyond the primary muscles of inspiration (diaphragm and EIC) contributes substantively to nonventilatory pressure-generating capacity in young dystrophic mdx mice, owing to necessary and sufficient compensation that is capable of facilitating increased thoracic volume. It is clear from our study that T3 EIC muscle activity is severely curtailed in *mdx* mice, suggesting that intercostal muscles do not provide compensation during high demand behaviours in mdx mice. Of note, ventilatory capacity is maintained in rat models of amyotrophic lateral sclerosis (ALS) at end-stage disease despite substantial loss of phrenic motor neurons (Nichols et al., 2013; Nichols et al., 2014). Indeed, it is established that scalene and trapezius muscles enhance ventilation in ALS mice (Romer et al. 2017).

Elegant work in dystrophic dogs with the GRMD mutation showed a reduced diaphragm contribution to inspiratory tidal volume during wakefulness at rest in the lateral recumbent position (Mead et al. 2014). Moreover, there was loss of ventilatory capacity during pharmacological stimulation of breathing, but capacity is partly compensated for by expiratory muscles that serve to lower endexpiratory chest wall volume. The authors offer an excellent perspective on a proposed redistribution of the work of breathing beyond the diaphragm to accessory and auxiliary muscles in the context of dystrophic disease. Of further interest, a strong argument is also presented by Mead and colleagues (2014) in favour of viewing increased diaphragm stiffness as an adaptive benefit in muscular dystrophy (Mead et al. 2014), an outcome that may defend against cranial movement of the diaphragm during subatmospheric pressure generation by accessory and support muscles, particularly during high demand behaviours. Similar changes in the two-compartment chest wall model of breathing are reported in DMD patients (LoMauro et al. 2010), with outcomes dependent upon posture (lower diaphragm contribution to tidal volume in the supine position), but surprisingly not influenced by the presence or absence of scoliosis (LoMauro et al., 2010). It is plausible that expiratory muscle activation is increased in dystrophic disease in support of ventilatory capacity. Beyond the recognised contribution of active expiration to increased ventilatory capacity in support of inspiratory muscle work, expiratory muscle recruitment could serve to shorten expiratory duration and decrease end-expiratory lung volume, accessing expiratory reserve volume in

dystrophic animals and DMD boys allowing increases in tidal volume (Mead et al. 2014), until compensation is progressively lost with advancing disease.

In respect of the preserved capacity of *mdx* mice to generate peak inspiratory pressures during airway obstruction, we posit that auxiliary muscle recruitment in support of peak pressuregenerating capacity offers the most plausible explanation for respiratory compensation in young *mdx* mice, notwithstanding that the muscle group(s) pivotal to the support of respiratory system compensation during non-ventilatory behaviours were not identified in the present study. Fibrosis in *mdx* (and DMD) leads to decreased chest wall compliance and increased work of breathing. Whereas the extent of fibrosis and its expected impact on chest wall compliance was not determined in the present study, and may not be a major pathology in young *mdx* mice, but with presumed greater relevance at later stages of disease, we reason that compensated inspiratory pressure-generating capacity in *mdx* mice does not relate to increased chest wall compliance. Indeed, compensation is likely limited by increased chest wall stiffness during progressive disease in *mdx* and DMD, adding further to the burden of a redistributed workload on accessory and auxiliary muscles.

The long-term compensatory capacity of primary and secondary respiratory motor pathways and muscles in *mdx* (and DMD) is not known. We speculate that in dystrophic disease there may be serial recruitment of accessory and other support muscles that facilitate respiratory performance, but in turn show progressive deterioration. Mechanical strain leads to injury in dystrophic muscle, triggering a spiral of progressive dysfunction. It is known, for example, that recruitment of the mdx diaphragm in exercise expedites diaphragm dysfunction (Capogrosso et al., 2017). As such, the early modest decline in inspiratory pressure-generating capacity in *mdx* mice that we observed in our study (~10% loss comparing median values in Fig. 5A), could conceivably decline further with advancing disease. We suggest that compensation does not prevail during progressive dystrophic disease, a hypothesis previously suggested by others (Gayraud et al., 2007). It is especially interesting to consider that peak hypercapnic ventilation is normal in 5-month old mdx mice (Gayraud et al., 2007), but decreased at 7-months, 10-12 months (Gosselin et al., 2003) and 16 months of age (Gayraud *et al.*, 2007). Interestingly, diaphragm dysfunction presents early in the *mdx* model, with relatively modest further deterioration in force-generating capacity with advancing disease (eg 50-66% force loss at 7-14 months of age: (Lynch et al., 2001; Gosselin et al., 2003; Selsby et al., 2016). We therefore suggest that progressive decline in ventilatory performance in mdx (and perhaps DMD) potentially relates to an increased dependence upon, and progressive loss of compensatory mechanisms, which combined with diaphragm dysfunction underpins the development of respiratory morbidity. In DMD, there is a progressive decline in pulmonary function

and respiratory muscle strength culminating in reduced ventilation (Smith *et al.*, 1989; De Bruin *et al.*, 1997; Hukins & Hillman, 2000; Khirani *et al.*, 2014). The decline in peak respiratory pressure generation in DMD has important prognostic value (Phillips *et al.*, 2001; Khirani *et al.*, 2014).

In summary, our study highlights new facets to breathing with neuromuscular disease. We demonstrate profound diaphragm muscle weakness during early disease progression in young adult *mdx* mice, but a capacity to preserve ventilatory behaviours over a wide range. Ventilatory demand is likely adequately met by even the compromised dystrophic diaphragm, at least in early disease. We reveal the remarkable preservation of inspiratory pressure-generating capacity during sustained airway obstruction in *mdx* mice, which illustrates necessary and sufficient compensation within the respiratory control system. We hypothesize that there is an increased reliance on compensatory support, which is progressively lost in *mdx* (and DMD), which combined with devastating primary respiratory muscle (and neuromuscular junction) dysfunction contributes to respiratory-related morbidity culminating in the sequential loss of non-ventilatory and ventilatory capacity, ultimately leading to respiratory morbidity and failure in advanced disease. Our findings have relevance to human dystrophinopathies and therapeutic strategies for pulmonary support and rehabilitation in DMD boys.

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## 6. Additional information

#### **Competing interests**

The authors have no financial, professional or personal conflicts relating to this publication.

# Author contributions

DPB: experimental design; acquisition of data; data and statistical analysis and interpretation of data; drafting of the original manuscript; KHM: ventilation during chemostimulation: acquisition of data; data analysis; EFL: *in vivo* studies: experimental design; KDO'H: experimental design; acquisition of data; data and statistical analysis and interpretation of data; drafting of the original manuscript. All authors contributed to the drafting and critical revision of the manuscript for important intellectual content. All authors have approved the final version of the manuscript and agree to be accountable for all aspects of the work. All persons designated as authors qualify for authorship, and all those who qualify for authorship are listed.

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#### **Translational perspective**

Duchenne muscular dystrophy (DMD) is an X-linked fatal neuromuscular disease. Respiratory-related morbidity and mortality are widely recognized features of DMD, yet there is a considerable knowledge gap in respect of a comprehensive understanding of the neural control of breathing and respiratory-related behaviours in the human dystrophinopathies. The dystrophin-deficient mdx mouse is a widely used pre-clinical model of DMD. In young adult mdx mice, we examined inspiratory pressure-generating capacity across a range of ventilatory and non-ventilatory behaviours to explore inherent deficits and compensations that manifest in dystrophic disease. Our study reveals remarkable compensation in support of respiratory system performance, at least early in disease, despite evidence of profound diaphragm muscle weakness. Our data illustrate a capacity to preserve peak inspiratory pressure generation, despite respiratory muscle weakness and impaired neural recruitment of primary inspiratory muscles (diaphragm and intercostal muscles). Our findings point to a considerable reserve in the capacity of the respiratory system to perform a broad range of function, probably owing to recruitment of auxiliary muscles in support of peak respiratory-related performance. Peak inspiratory pressure generation is most relevant to protective reflexes related to airway maintenance and clearance, which is particularly relevant to neuromuscular (and neurodegenerative) conditions, given that dysphagic patients are at risk of obstructive airway events during sleep, and aspiration pneumonia. It will be important to determine if similar compensation presents in DMD boys and if the progressive loss of respiratory performance with advancing disease relates to a decline or loss of compensatory mechanisms. Clinical assessment of auxiliary muscle control of breathing during maximal pressure-generating manoeuvres is clearly warranted (e.g. assessment of scalene, sternocleidomastoid and trapezius EMG activities and oesophageal pressure measurement during maximal sniff manoeuvres). Rehabilitative strategies focussed on auxiliary muscles that support inspiratory pressure generation may prove promising. Further delineation of the compensatory signature of the neuromuscular control system governing respiratory performance in animal models of DMD may provide insight into mechanisms that can be protected and/or further harnessed in the development of therapeutic strategies for DMD.

# **Table legends**

## Table 1. Ex vivo wild-type and mdx diaphragm muscle contractile kinetics

*Definition of abbreviations*: CT, contraction time;  $\frac{1}{2}$  RT, half-relaxation time; Smax, peak shortening; Vmax, peak shortening velocity; L<sub>o</sub>, optimum length. Data are shown as mean ± SD and were statistically compared using two-tailed unpaired Student's *t* tests.

## Table 2. Baseline respiratory parameters in anaesthetised wild-type and mdx mice

Definition of abbreviations:  $f_{R}$ , respiratory frequency; SpO<sub>2</sub>, peripheral capillary oxygen saturation; ETCO<sub>2</sub>, end-tidal carbon dioxide. Data are shown as mean ± SD and were statistically compared using two-tailed unpaired Student's *t* tests.

# Table 3. Baseline respiratory parameters in anaesthetized wild-type and *mdx* mice before and during peak sustained efforts during airway occlusion

Definition of abbreviations:  $f_R$ , respiratory frequency; SpO<sub>2</sub>, peripheral capillary oxygen saturation; T<sub>i</sub>, inspiratory duration; TTP, time to peak sub-atmospheric pressure; Dia AUC, area under the curve of diaphragm integrated EMG signal (A.U. = arbitrary units); T3 EIC AUC, area under the curve of T3 external intercostal integrated EMG signal (A.U. = arbitrary units). Data are shown as mean ± SD and were statistically compared by repeated measures two-way analysis of variance (RMANOVA). \* *P* < 0.05 compared with wild-type baseline; \$ *P* < 0.05, \$\$\$ *P* < 0.001 compared with wild-type baseline; \$ *P* < 0.05, \$\$\$

#### Table 4. Baseline respiratory parameters in anaesthetized, vagotomized wild-type and mdx mice

Definition of abbreviations:  $f_{R}$ , respiratory frequency;  $V_{T}$ , tidal volume;  $V_{E}$ , minute ventilation; PIF, peak inspiratory flow; PEF, peak expiratory flow; ETCO<sub>2</sub>, end-tidal carbon dioxide; SpO<sub>2</sub>, peripheral capillary oxygen saturation. Data are shown as mean ± SD and were statistically compared using two-tailed unpaired Student's *t* tests.

## **Figure legends**

# Figure 1. Ex vivo wild type and mdx diaphragm muscle contractile function

A, B, C, and D, original traces of *ex vivo* diaphragm muscle twitch contraction (A), tetanic contraction (B), force-frequency relationship (C) and maximum unloaded shortening (D) for wild-type (WT; black) and *mdx* (grey) preparations. E, group data for diaphragm muscle twitch (P<sub>t</sub>) and tetanic (P<sub>o</sub>) force in WT (n = 8) and *mdx* (n = 9) mice. Tetanic force was measured following stimulation at 100 Hz *ex vivo*. Values are expressed as box and whisker plots (median, 25-75 percentile and scatter plot). Data were statistically compared by unpaired Student's *t* tests. \**P* < 0.05, \*\*\**P* < 0.001 compared with corresponding WT value. F, group data (mean  $\pm$  SD) for diaphragm muscle force-frequency relationship *ex vivo* in WT (open) and *mdx* (grey) preparations. Data were statistically compared by compared by repeated measures two-way ANOVA (frequency x gene) followed by Bonferroni *post hoc* test. \*\**P* < 0.01, \*\*\**P* < 0.001 compared with corresponding WT value.









### Figure 2. Ventilation in response to chemostimulation in conscious wild-type and mdx mice

A, representative respiratory flow traces during normoxia (21% O<sub>2</sub>) and hypercapnic hypoxia (10% O<sub>2</sub> / 6% CO<sub>2</sub>) in a wild-type (WT; black;) and *mdx* (grey) mouse; inspiration downwards. B, C, D and E, group data for respiratory frequency (B), tidal volume (C), minute ventilation (D) and mean inspiratory flow (E;  $V_T/T_i$ ) during graded hypercapnia (2, 4 and 6% CO<sub>2</sub>) and hypercapnic hypoxia (10% O<sub>2</sub> / 6% CO<sub>2</sub>). Values are expressed as box and whisker plots (median, 25-75 percentile and scatter plot). Data were statistically compared by repeated measures two-way ANOVA.





# Figure 3. Oesophageal pressure and diaphragm EMG activity during ventilatory behaviours in anaesthetized wild-type and *mdx* mice

A, representative traces of oesophageal pressure and diaphragm (Dia) muscle raw and integrated (Int.) electromyogram (EMG) activity in a wild-type (WT; black) and *mdx* (grey) mouse during baseline (60% inspired O<sub>2</sub>) and during an augmented breath (shaded). B and C, group data for oesophageal pressure (B) and diaphragm integrated EMG activity (C) during baseline, chemostimulation (15% O<sub>2</sub> / 5% CO<sub>2</sub>) and augmented breaths for WT (n = 7-9) and *mdx* (n = 6-9) mice. Values are expressed as box and whisker plots (median, 25-75 percentile and scatter plot). Data were statistically compared by two-tailed unpaired Student's *t* tests. \**P* = 0.025, \*\**P* = 0.0013 compared with corresponding WT values.

rticle Accepted Figure 3.



# Figure 4. Oesophageal pressure and diaphragm and EIC EMG activities in anaesthetized wild-type and *mdx* mice: Original recordings

A, representative recordings of oesophageal pressure and diaphragm (Dia) and T3 external intercostal (T3 EIC) raw and integrated (Int.) electromyogram (EMG) activity in a wild-type (WT; black) and *mdx* (grey) mouse during baseline ( $F_iO_2 = 0.60$ ) and protracted tracheal occlusion (~30-40 seconds). B, representative traces of oesophageal pressure and Dia and T3 EIC raw and Int. EMG activity in a WT and *mdx* mouse during baseline and peak inspiratory efforts (shaded) during a protracted tracheal occlusion.

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# Figure 4.



# Figure 5. Oesophageal pressure and diaphragm and EIC EMG activities during tracheal occlusion in anaesthetized wild-type and *mdx* mice: Group data

A-C, group data for oesophageal pressure (A), diaphragm EMG activity (B) and T3 external intercostal (T3 EIC) EMG activity (C) during baseline conditions and during tracheal occlusion (average of 5 successive peak breaths) in WT (n=10) and *mdx* (n=10) mice. Values are expressed as box and whisker plots (median, 25-75 percentile and scatter plot). Data were statistically compared by repeated measures two-way ANOVA with Bonferroni *post hoc* test. \*P < 0.05; \*\*\*\*P < 0.0001 compared with corresponding WT values.

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Figure 5.



# Figure 6. Tracheal airflow and diaphragm and EIC EMG activities in anaesthetized, vagotomized wild-type and *mdx* mice

A, representative recordings of tracheal airflow, tidal volume, raw and integrated (Int.) diaphragm (Dia) and T3 external intercostal (T3 EIC) electromyogram (EMG) activities for an anaesthetized wild-type (WT) mouse (black) and *mdx* mouse (grey) during baseline conditions ( $F_iO_2 = 0.60$ ). B, C and D, group data for tidal volume (B), peak inspiratory flow (C) and peak expiratory flow (D) during baseline conditions and during spontaneous peak breaths during chemoactivation ( $F_iO_2 = 0.15$  /  $F_iCO_2 = 0.06$ ). E, diaphragm EMG activity during baseline and peak breaths. F, diaphragm EMG response (expressed as absolute change from baseline amplitude) during peak breaths. G, EIC EMG activity during baseline amplitude) during peak breaths. Values are expressed as box and whisker plots (median, 25-75 percentile and scatter plot). Data were statistically compared by repeated measures two-way ANOVA or two-tailed unpaired Student's *t* tests. \**P* < 0.05; \*\**P* < 0.01 compared with corresponding WT values.

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Table 1.

		Wild-type	mdx	P (Student's t test)
$\bigcirc$		(n=8)	(n=9)	
	CT (ms)	17.3 ± 2.3	20.3 ± 2.6	0.0270
()	½ RT (ms)	19.6 ± 6.9	23.2 ± 4.3	0.2177
	S <sub>max</sub> (cm)	0.36 ± 0.07	$0.26 \pm 0.1$	0.0386
$\mathbf{+}$	$S_{max}$ (L $L_0^{-1}$ )	0.36 ± 0.07	$0.30 \pm 0.13$	0.3252
<b>~</b>	V <sub>max</sub> (cm s <sup>-1</sup> )	$4.1 \pm 1.1$	2.8 ± 1.3	0.0420
	$V_{max} (L_o s^{-1})$	$4.1 \pm 1.1$	3.3 ± 1.9	0.3373
	L <sub>o</sub> (cm)	$1.0 \pm 0.1$	0.9 ± 0.2	0.1024

Table 2.

	Wild-type	mdx	P (Student's t test)
	(n = 9)	(n = 9)	
$\int_{\mathbb{R}}^{f_{R}} (breaths min^{-1})$	195 ± 11	230 ± 11	< 0.0001
SpO <sub>2</sub> (%)	97.2 ± 1.9	96.5 ± 2.0	0.4069
ETCO₂ (mmHg)	32.9 ± 3.3	30.3 ± 3.0	0.0966
Body mass (g)	24.9 ± 1.8	25.0 ± 2.3	0.9110

	Wild	Wild-type mdx		P (RM two-way ANOVA)	
	(n:	(n=10)		ו=10)	
	Baseline	Obstruction	Baseline	Obstruction	
f <sub>R</sub> (breaths min <sup>-1</sup> )	217 ± 34	76 ± 18	227 ± 41	109 ± 32	Obstruction: <i>P</i> < 0.0001; Gene: <i>P</i> = 0.0802; Obstruction x Gene: <i>P</i> = 0.1962
SpO <sub>2</sub> (%)	95.1 ± 2.1	40.1 ± 9.5	95.8 ± 1.9	46.3 ± 9.1	Obstruction: <i>P</i> < 0.0001; Gene: <i>P</i> = 0.116; Obstruction x Gene: <i>P</i> = 0.2132
T <sub>i</sub> (ms)	105 ± 15	144 ± 18	88±14*	135 ± 15	Obstruction: <i>P</i> < 0.0001; Gene: <i>P</i> = 0.0441; Obstruction x Gene: <i>P</i> = 0.2073
TTP (ms)	58 ± 15	76 ± 11	50 ± 10	82 ± 13	Obstruction: <i>P</i> < 0.0001; Gene: <i>P</i> = 0.7911; Obstruction x Gene: <i>P</i> = 0.0438
Dia AUC (A.U.)	101 ± 76	585 ± 241	57 ± 56	382 ± 242 <sup>\$</sup>	Obstruction: <i>P</i> < 0.0001; Gene: <i>P</i> = 0.0582; Obstruction x Gene: <i>P</i> = 0.1349
T3 EIC AUC (A.U.)	65 ± 28	594 ± 215	34 ± 24	198 ± 131 <sup>\$\$\$</sup>	Obstruction: <i>P</i> < 0.0001; Gene: <i>P</i> < 0.0001; Obstruction x Gene: <i>P</i> = 0.0001

Table 4.

	Wild-type	mdx	P (Student's t test)
	(n=10)	(n=7)	
$f_{\rm R}$ (breaths min <sup>-1</sup> )	64 ± 19	60 ± 14	0.6932
<i>V</i> ⊤ (ml g⁻¹)	0.032 ± 0.005	0.032 ± 0.003	0.9800
$V_{\rm E}$ (ml g <sup>-1</sup> min <sup>-1</sup> )	2.0 ± 0.7	$1.9 \pm 0.4$	0.6343
PIF (ml g <sup>-1</sup> s <sup>-1</sup> )	$1.03 \pm 0.16$	$1.15 \pm 0.13$	0.1345
PEF (ml g <sup>-1</sup> s <sup>-1</sup> )	$1.04 \pm 0.18$	$1.08 \pm 0.29$	0.7207
ETCO <sub>2</sub> (mmHg)	53.2 ± 3.7	50.5 ± 6.1	0.2735
SpO <sub>2</sub> (%)	94.8 ± 2.5	94.1 ± 3.1	0.5821
Body mass (g)	24.8 ± 1.5	$26.1 \pm 0.8$	0.0382



**David P. Burns, PhD**. David's primary research interest is the control of breathing in muscular dystrophy, with wider interests in cardiorespiratory physiology in animal models of disease. He received the Usha Award of the American Physiological Society, presented at the Experimental Biology 2017 meeting in Chicago and he was selected as one of three early career scientists working in Ireland to participate at the 68th Nobel Lindau meeting in Germany in 2018. This paper is the third in a series of studies published in *The Journal of Physiology* that were performed as part of his doctoral training at University College Cork, Ireland.