Superconductivity in the Intercalated Graphite Compounds C₆Yb and C₆Ca

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In this letter we report the discovery of superconductivity in the isostructural graphite intercalation compounds C_6Yb and C_6Ca , with transition temperatures of 6.5K and 11.5K respectively. A structural characterisation of these compounds shows them to be hexagonal layered systems in the same class as other graphite intercalates. If we assume that all the outer *s*-electrons are transferred from the intercalant to the graphite sheets, then the charge transfer in these compounds is comparable to other superconducting graphite intercalants such as $C_8K^{1,2}$. However, the superconducting transition temperatures of C_6Yb and C_6Ca are up to two orders of magnitude greater. Interestingly, superconducting upper critical field studies and resistivity measurements suggest that these compounds are significantly more isotropic than pure graphite. This is unexpected as the effect of introducing the intercalant is to move the graphite layer further apart.

Graphite is a form of carbon in which the atoms are arranged hexagonally in twodimensional sheets. Pure graphite is a very interesting compound displaying unusual properties; for example, the two-dimensional sheets form a semi-conductor in which the band gap is zero. In bulk graphite these two dimensional carbon layers are held together via weak van der Waals forces. It is this feature which makes it possible to introduce metal atoms in between the layers of carbon. The process of introducing these metal atoms is known as intercalation and often results in the formation of graphite intercalation compounds in which an ordered structure is formed. These graphite intercalation compounds provide an excellent laboratory in which to study lowdimensional electronic systems in a controlled fashion. In particular, the introduction of these metal atoms is thought both to donate electrons to carbon layers and to change the spacing of these layers. These processes result in a marked modification of both the physical and electronic properties³⁻⁵. In particular, unlike pure graphite, some graphite intercalation compounds are found to superconduct. The first of these to be reported ^{1,2} was C_8K , which has a superconducting transition temperature of 0.15K. Interestingly, while the metastable high pressure phase C_2Li exhibits a superconducting transition at 1.9K⁶, the compounds C_6Li and C_3Li are found⁵ to not superconduct down to the lowest measured temperatures. In all these compounds the transfer of charge from the metal to the graphite is thought to play an important role in the superconductivity. However, we see that there must be additional factors at work as both potassium (K) and lithium (Li) would be expected to donate one electron each to the graphite and C_8K superconducts while C₆Li does not. This non-trivial behaviour showed that the fabrication and study of different graphite intercalation compounds would be worthwhile. Therefore, we have fabricated the isostructural intercalation compounds C_6 Yb and C_6 Ca. Here we present results demonstrating the existence of superconductivity in these compounds together with a structural determination (see method and figure 1) showing the formation of ordered structures. The structure is a hexagonal layered structure ($P6_3/mmc$) in which the intercalant atoms form a triangular array between every graphite layer (stage 1 intercalation). The alternate carbon and metal layers have an A α A β registration⁷ where the A represents the carbon layers and the α and β the intercalant layers. The superconducting transition temperatures (T_{sc}) for these compounds are 6.5K in C_6 Yb and 11.5K in C_6 Ca.

Two of the principle signatures of superconductivity are the absence of electrical resistivity and the development of a diamagnetic moment below the ordering transition. Figure 2 shows the results of resistivity and DC magnetisation measurements made on samples of C₆Yb and DC magnetisation measurements on C₆Ca. The results for C₆Yb (figures 2a and 2b) show a clear transition at 6.5K in the magnetization and the resistivity, both of which support the existence of superconductivity. The transition is well defined, having width of 0.2K in the resistivity. These intercalation compounds are very difficult to make (see methods), however we have managed to make samples in which X-ray studies show that over 13% of the final volume of the sample is C_6 Yb. However, field-dependent magnetization measurements made parallel to c*-axis of C_6 Yb imply that the superconducting volume fraction is approximately 90%. This difference was resolved by scanning electron microscopy (SEM) studies which revealed that the intercalation process creates a "shell" of the intercalant with a core of pristine graphite. It is important to stress that the subsequent cleaving of several layers up to 300 µm from these samples did not remove superconductivity. The magnetization measurement shown in figure 2b, with the field of 50Oe applied parallel to the c*-axis

was performed on a disk shaped sample. The zero field cooled (ZFC) data reveals the flux expulsion and subsequent flux threading as the temperature is increased. The field cooled (FC) measurements, when compared with the ZFC result, exhibit only partial flux expulsion. In fields exceeding the superconducting upper critical field (H_{C2}) we find a weak paramagnetic signal. The origins of this paramagnetic moment are difficult to attribute, but we do have X-ray evidence (figure 1) showing a contamination of less than 1% Yb₂O₃ which is known to have an ordered moment below 2.4K⁸.

The C₆Ca magnetisation and resistivity results are shown in figure 2c. In the magnetization we see a clear diamagnetic onset at 11.5K in a field of 50Oe, but with no saturation of diamagnetism down to 2K. In addition, the preliminary resistivity measurements demonstrate that the resistivity goes to zero below the transition temperature. However, extreme air sensitivity and difficulties in preparation of this compound ¹¹ have prevented detailed transport and magnetisation measurements thus far. The fact that the magnitude of the diamagnetic moment is about 100 times smaller than in C₆Yb also points to reduced sample quality. We are able to conclude that C₆Ca superconducts below 11.5K.

Figure 2d presents the magnetic phase diagram for C₆Yb inferred from magnetization measurements made with applied magnetic field in the plane of the layers (ab-plane) and perpendicular to them (c^* -axis). The lower critical field H_{C1} is approximately the same for both geometries, whilst the upper critical field H_{C2} is clearly anisotropic. The anisotropy parameter of $\Gamma_{H_{C2}}$ given by $H_{H_{C2}}(\perp_c)/H_{H_{C2}}(\parallel_c)$ is approximately 2 across the temperature range below T_{SC}. In the Ginzburg-Landau theory, this anisotropy depends solely on the ratio of the electron masses along the two symmetry directions. Calculation of the ratio of the effective masses for pure graphite yields a value for $\Gamma_{H_{cr}}$ of 7. A comparison of these two values implies the Fermi surface is more three-dimensional in C₆Yb compared to pure graphite. This observation is consistent with normal state resistivity measurements above T_{SC}. These measurements show a distinct anisotropy depending if the current is applied in the c*axis or in basal plane. This anisotropy can be quantified by the ratio of the c*-axis resistivity to the ab-plane resistivity, at room temperature this ratio is 100 for C_6 Yb. This ratio is smaller than the pure graphite from which C_6 Yb was made ¹⁰, which at room temperature, has an anisotropy ratio of around 10^4 . Further evidence for this change in Fermi surface comes from the temperature-dependent resistivity parallel to the **c***-axis. This reveals a significantly different behaviour from that seen in pure graphite. In pure graphite the resistivity is observed¹¹ to increase with decreasing

temperature reaching a maximum at ~ 50 K, whilst $\rho_{//c}(T)$ in C₆Yb is found to decrease from room temperature to the transition temperature. These three observations taken together lead us to believe that the Fermi surface in C₆Yb is more isotropic than that found in pure graphite.

Our results leave us with a significant question. Is a simple charge transfer model, utilised to understand earlier studies^{12,13}, adequate in explaining the results reported *here?* This question arises from the following observations. If charge transfer from the metal to the carbon atoms was the most important affect leading to superconductivity in these systems then we would expect C₃Li to become superconducting, since the charge transfer is comparable to that of C_6 Yb and C_6 Ca, with 1/3 of an electron per carbon being transferred. In fact 5 C₃Li is not superconducting. Also, in the case of C₂Li 6 , where the charge transfer is greater (1/2 e per carbon), the transition temperature is still a factor of 3 and 6 smaller than in C_6Yb and C_6Ca , respectively. The case ¹⁴ of C_2Na , which is superconducting at 5K, is less enlightening as the structure and thus the physics of the compound has so far been intractable. In addition, in a conventional phonon mechanism we would not expect an order of magnitude change in T_{SC} on going from a charge transfer of 1/8 of an electron per carbon to 1/3 of an electron per carbon. Therefore, our results have highlighted that there is no clear trend between the amount of charge transferred and the superconducting transition temperature. Thus, there is a demand for a renewed theoretical effort to place our findings in context with previous experimental results on the superconducting graphite intercalation compounds.

In summary, we have synthesised two new isostructural graphite-based superconductors, C_6 Yb and C_6 Ca, with superconducting transition temperatures of 6.5K and 11.5K, respectively. These are unprecedented in the field of graphite intercalates. In addition, we have evidence to suggest that these compounds are more isotropic than pure graphite. This trend to become more isotropic is contrary to a simple picture in which introducing the metal atoms increases the spacing between the graphite layers and so would be expected to make graphite intercalants more, rather than less, two dimensional. In trying to understand this problem further, we will be able to exploit the weak van der Waals bonding between the graphene sheets to explore the impact of interlayer coupling using "tuning" parameters such as hydrostatic pressure or doping with different metals. In addition, this work may also be of more general importance in understanding and exploring superconductivity in the quasi-one dimensional system formed in single walled carbon nanotubes ¹⁵.

Method

The samples were prepared using the well established vapour transport process⁵. Highly oriented pyrolytic graphite, grade ZYA from Advanced Ceramics Corp. (Cleveland, Ohio) was used as the host for the reaction. The ytterbium was supplied by Goodfellow with a purity of 99.9%, whilst the calcium was supplied by Aldrich with a purity of 99.5%. Before the vapour transport process the graphite has a hexagonal layered structure ($P6_3/mmc$) with an AB registration of graphene layers. Air abrasion techniques were used to prepare the edges of the graphite to produce open galleries. The surfaces were then cleaved to provide clean graphite in a variety of shapes for different probes, and having a thickness of between 0.1mm and 0.25mm. The graphite and the intercalant (Yb or Ca) were sealed at opposite ends of a quartz tube and then treated using a two zone technique with an appropriate thermal gradient ^{7,9}. This allows the ytterbium or calcium to enter the graphite galleries.

Initial X-ray diffraction studies revealed the presence of graphite, Yb₂O₃, YbO and pure Yb in samples of C₆Yb. Corresponding measurements for C₆Ca did not reveal the presence of any oxides or free Ca, but does show the clear presence of graphite as a secondary phase. Subsequent SEM of the C₆Yb samples established that there was surface contamination samples that may be removed through abrasion. Figure 1 shows an example of the X-ray patterns for the C₆Yb samples after abrasion of the surface. The geometry employed is a standard technique³ for identification of the staging of graphite intercalation compounds, where the stage represents the number of graphene layers between intercalant layers; this technique allows only the observation of (*001*) reflections as indexed. We have been able to model⁷ the intercalation phases present in the X-ray data by considering a stacking registry A α A β with the structure C₆M shown inset in figure 1. Hannay, N. B., Geballe, T. H., Matthias, B. T., Andres, K., Schmidt, P. & MacNair,
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Figure 1 X-ray diffraction (XRD) pattern of HOPG intercalated with Yb. This

data was taken using a Bragg-Brentano geometry with Cu-K_{α} radiation. The

consequence of this is that only the (001) peaks may be sampled. Inset

showing the derived structure modelled ⁷ using a stage-1 GIC with a **c***-axis sandwich depth (C-Yb-C) of 4.57Å. Measurements for C₆Ca have a sandwich depth (C-Ca-C) of 4.60Å. In this structure the graphite sheets have a A–A registration whilst the ytterbium and calcium have an α – β registration. Calculations based on peak intensities reveal that 13% of the sample volume fraction is made up of C₆Yb. From our analysis we find that the contamination of Yb₂O₃ is less than 1% after surface abrasion.

Figure 2 Temperature dependence of the magnetization and electrical resistivity for C₆Yb and C₆Ca. Magnetization measurements for C₆Yb and C₆Ca shown in (a) and (c) respectively. These measurements were made with a 50 Oe field applied parallel to the c*-axis. These figures reveals the onset of flux expulsion in both the zero field cooled (ZFC) measurement and the field cooled (FC) measurement. The resistivity measurement for C₆Yb is shown in (b). There is a clear drop to zero resistivity indicating the existence of superconductivity. Figure 2 (d) is the superconducting phase diagram for C₆Yb. This diagram is compiled from results of the magnetization study. In both geometries the sample appears to be a type-II superconductor. There is little if any anisotropy in H_{C1} for the two geometries, whilst there is a clear anisotropy in H_{C2}.





Figure 2