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17	Non-standard Abbreviations used: GE, gastric emptying; t_{lat} , latency time; t_{asc} , ascension
18	time; $t_{1/2}$, half time; t_{lag} , lag time; ¹³ C-OBT, ¹³ C-octanoic acid breath test.
19	Conference presentation: Part of this work was presented at the Australia and New Zealand
20	Obesity Society Conference, Auckland, NZ, 2012.
21	Keywords: Gastric emptying, reproducibility, obesity, breath test

22 Abstract

23 Background and aim: To understand whether any change in gastric emptying (GE) is

24 physiologically relevant, it is important to identify its variability. Information regarding the

25 variability of GE in overweight and obese individuals is lacking. The aim of this study was to

- 26 determine the reproducibility of GE in overweight and obese males.
- Methods: Fifteen overweight and obese males [body mass index 30.3 (4.9) kg/m²] completed
 two identical GE tests 7 days apart. GE of a standard pancake breakfast was assessed by ¹³C-
- 29 octanoic acid breath test. Data are presented as mean (\pm SD).
- 30 **Results:** There were no significant differences in GE between test days (half time $(t_{1/2})$: 179
- 31 (15) and 176 (19 min), p = 0.56; lag time (t_{lag}): 108 (14) and 104 (8) min, p = 0.26). Mean
- 32 intra-individual coefficient of variation for $t_{1/2}$ was 7.9% and t_{lag} 7.5%. Based on these
- findings, to detect a treatment effect in a paired design with a power of 80% and $\alpha = 0.05$,
- 34 minimum mean effect sizes for $t_{1/2}$ would need to be ≥ 14.4 min and $t_{lag} \ge 8.1$ min.
- 35 **Conclusions:** These data show that GE is reproducible in overweight and obese males and
- 36 provide minimum mean effect sizes required to detect a hypothetical treatment effect in this
- 37 population.

39 **1. Introduction**

40 Measurement of gastric emptying (GE) is essential to understand changes in gastric symptoms and appetite in various pathologic conditions, and in response to treatments. For 41 42 example, GE could play an important role in the aetiology of obesity through processes of 43 satiety and satiation. However, to understand whether any change in GE is detectable and 44 clinically meaningful, it is important to identify its day-to-day variability. The reproducibility of GE has been studied in various populations, including infants,¹⁻² children,³ critically ill 45 patients,⁴ diabetics⁵ and healthy lean adult males⁶ and females.⁷ Surprisingly, despite being 46 implicated in the pathogenesis of obesity⁸ and measured in response to numerous 47 interventions⁹ information regarding the day-to-day variability of GE in overweight and obese 48 49 individuals is lacking.

50 Previous studies have reported a mean intra-individual coefficient of variation for GE half time ranging from 6% in healthy infants¹ to 73% in patients with functional dyspepsia.¹⁵ 51 52 This illustrates the large variation in the reproducibility of GE that can occur depending on 53 the population studied and highlights the importance of establishing the intra-individual variability in the subject population of interest. Given some evidence that gut peptide¹⁰ and 54 55 appetite responses may vary according to body composition or body mass index, it should not 56 be assumed that outcomes observed in a group of lean individuals will be identical in overweight and obese individuals¹¹. Individual differences in the person studied (e.g. 57 neurological and hormonal differences¹², anatomical differences such as the shape of the 58 stomach¹³, diet, physical activity and psychological factors¹⁴) may all influence the 59 60 reproducibility of GE. The intra-subject variability in GE might therefore be different in 61 overweight and obese compared to lean individuals.

62 The test conditions (e.g. the test meal used⁵) and the GE parameters reported¹⁶ may also
63 influence the intra-individual variability. Knowledge of the reproducibility of different phases

64 of GE and hence GE parameters is important given the kinetic and temporal nature of GE and relation to appetite control.⁹ Although half time is generally the focus of GE studies as it is 65 66 considered the most useful parameter in clinical practice, it does not reflect the complete pattern of GE. Since the ¹³C-octanoic acid breath test (¹³C-OBT) was proposed as a safe, 67 reliable and non-radioactive alternative to scintigraphy for measurement of GE,¹⁷ the test has 68 been widely used in a variety of populations including obese individuals.⁸ A number of GE 69 70 parameters have been proposed that reflect the various phases of GE (e.g. Schommartz et al.¹⁸). However, little information exists on the reproducibility of the different parameters or 71 72 phases of GE. Thus, using the 13 C-OBT to measure GE, the aims of this study were to (i) determine

Thus, using the ¹³C-OBT to measure GE, the aims of this study were to (i) determine the reproducibility of GE, (ii) compare the reproducibility of different GE parameters and (iii) calculate minimum effect sizes required to detect a hypothetical treatment effect in GE, in overweight and obese males.

77 2. Materials and Methods

78 2.1 Participants and Design

Fifteen overweight and obese men [mean (\pm SD) body mass index = 30.3 (4.9) kg/m², age = 79 80 34.9 (10.6) y, percent body fat = 32.1 (8.0) %] participated in the study. Height was measured 81 without shoes to the nearest 0.5cm and weight to the nearest 0.01kg. Body composition was 82 measured using air displacement plethysmography (Bodpod, Concord, CA). All participants 83 had no history of gastrointestinal disease or surgery, significant illness, or were taking any 84 medication known to directly affect gastrointestinal motility or appetite. The medication 85 participants reported taking included albuterol (n = 1), budesonide (n=1), fexofenadine (n = 1), 86 atorvastatin/amlodipine and olmesartan (n = 1). Participants provided written informed 87 consent prior to taking part in the study. The study received ethical approval from the 88 Queensland University of Technology Research Ethics Committee.

89 Each participant participated in two identical GE test days 7 days apart. Participants 90 were provided with a standardised evening meal to consume at home prior to both test days 91 and were asked to then fast for 12 hours overnight until attending the laboratory the following 92 morning. In addition, participants were instructed to refrain from strenuous exercise and alcohol for 24 hours beforehand and to avoid consumption of naturally ¹³C-enriched foods 93 94 (e.g. corn or corn products, pineapple, kiwi fruit, cane sugar and exotic fruits) for at least two 95 days prior to both test days. Test mornings commenced between 6am and 9am, and the time 96 of day for repeat tests was standardised within participants.

97 2.2 Gastric Emptying

GE parameters were calculated using the ¹³C-OBT.¹⁷ The egg yolk of a standardized pancake
breakfast meal [1676 kJ (400 kcal); 15g (15%) PRO, 17g (37%) Fat, 48g (48%) CHO)] was
labelled with 100mg ¹³C-octanoic acid (Cambridge Isotope Laboratories, Andover, USA).

Participants consumed the meal together with 250ml of water within 10 minutes. Breath
samples were collected in 10ml glass Exetainer tubes (Labco, Buckinghamshire, UK) prior to
the breakfast, immediately after, and subsequently at 15 minute intervals for 5 hours after

104 breakfast. Participants remained in sedentary activities throughout.

105 **2.2.1** ¹³C breath test analysis

¹³C enrichment of breath samples was measured by isotope ratio mass spectrometry (Hydra 106 107 20-20) and compared to a reference gas (5% CO₂, 75% N₂, 20% O₂ calibrated with a standard of ¹³CO₂). Data were analysed according to Ghoos et al ¹⁷ and fitted to the original GE 108 mathematical model by non-linear regression analysis . The r^2 coefficient between the 109 modelled and raw data was calculated and accepted if $r^2 > 0.9$. The conventional uncorrected 110 time based parameters (t_{lag} and $t_{1/2}$) proposed by Ghoos et al.¹⁷ and the parameters latency 111 time (t_{lat}) and ascension time (t_{asc}) proposed by Schommartz et al. (1998)¹⁸ were calculated. 112 113 Latency time refers to the the initial delay in the cumulative ¹³C-excretion curve, thus 114 reflecting the initial emptying phase and ascension time to the time course between the latency phase and the half excretion time, representing a period of high ¹³C-excretion rates¹⁸. 115

116 2.3 Statistical Analysis

117 Paired t tests were used to compare differences between visits 1 and 2. The difference 118 between results on the two separate days was plotted against the mean of the results for each subject, according to Bland and Altman.¹⁹ Intra-individual variability was expressed as the 119 120 coefficient of intra-subject variation (CV_{intra} ; $CV_{intra} = SD_d/(m\sqrt{2})$ where SDd is the standard 121 deviation of the differences between the repeated tests and m is the mean of the repeated tests ^{4-5, 19}). Based on day to day variability observed in these parameters, minimum effect sizes 122 required to detect a hypothetical treatment effect with 80% power were calculated. Minimum 123 124 differences that would be detected by a sample of fifteen subjects were also calculated. 125 Statistical analysis was performed using PASW Statistics 18.0 (SPSS Inc., Chicago, IL) and

- 126 Graph Pad Prism version 6.0 (GraphPad Software, San Diego, CA, USA). Data are expressed
- 127 as mean \pm standard deviation (SD), unless otherwise stated. Statistical significance was set at
- 128 P < 0.05.

129 **3. Results**

130	Bland Altman plots for GE time based parameters are shown in Figure 1. For all GE
131	parameters, no significant difference was found between the two test days (Table 1). The
132	mean difference between test days was small for all parameters. However the 95% limits of
133	agreement were -35.9 to 42.1 min for $t_{1/2}$ (Figure 1). As shown in Figure 1, one individual
134	was outside the 95% limits of agreement with a mean difference between test days of 46 min
135	for $t_{1/2}$. This individual reported to adhere to the study protocol, therefore this change may
136	represent the extreme of intra-individual variability. The mean $\ensuremath{\text{CV}_{\text{intra}}}\xspace$ varied depending on the
137	parameter reported from a minimum of 7.5% (t_{lag}) to a maximum of 11.4% (t_{asc}) (Table 1).
138	
139	[FIGURE 1]
140	
141	[TABLE 1]
142	
143	3.1 Relationships between Variables
144	Change in t_{lag} from visit 1 to 2 was significantly correlated with change in $t_{1/2}$ (r = 0.81, p <

145 0.001, **Figure 2**). Changes in all parameters between visits 1 and 2 were significantly

 $146 \qquad \text{correlated } (p < \ 0.05), \text{ except for } t_{lat}. \text{ Change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was significantly correlated with change in } t_{lat} \text{ was } t_{lat} \text{$

147 t_{lag} (r = 0.77, p = 0.001) However, there was no significant correlation between change in t_{lat}

148 with change in $t_{1/2}$ (r = 0.25, p = 0.36) or t_{asc} (r = -0.011, p = 0.97, Figure 2).

151

152 **3.2 Calculation of minimum effect sizes for gastric emptying parameters**

- 153 Based on the day-to-day variations observed, we calculated that in order to detect a treatment
- effect, in a paired design with a power of 80% and $\alpha = 0.05$, minimum mean effect sizes for
- 155 GE $t_{1/2}$ would need to be \geq 14.40min, $t_{lag} \geq$ 8.1 min, $t_{asc} \geq$ 13.9 min and $t_{lat} \geq$ 3.8 min. An

156 estimate of the minimum number of participants needed to detect significant differences in a

157 paired design study assuming $\alpha = 0.05$ and a power of 80% was calculated and used to

- 158 construct a nomogram (**Figure 3**). To detect a 10% change the minimum number of
- 159 participants needed for t_{lag} and $t_{1/2}$ would be 10, t_{lat} 25 and t_{asc} 14.

160

161 [FIGURE 3]

162

164 **4. Discussion**

165 Knowledge of the day to day variability of gastric emptying is necessary to assist in both 166 designing studies and interpreting the clinical relevance of any changes if observed. This 167 study provides evidence that GE is reproducible in overweight and obese males. In addition, 168 these data show that the reproducibility and hence the sample size required to detect a

169 significant difference in GE will vary depending on the parameter of interest.

170 Some evidence indicates that the release of gut peptides and appetite may be altered in obese individuals.¹⁰⁻¹¹ For example, ghrelin is a significant determinant of GE in lean but not 171 obese individuals.¹⁰ We therefore hypothesized that the day-to-day variability in GE might be 172 173 different. However, our findings of a mean intra-individual coefficient of variation of ~ 8% 174 for GE half time in healthy overweight and obese males, is comparable to studies in lean individuals using test meals similar in energy content.^{5, 20-21} In healthy lean adults, an intra-175 individual coefficient of variation ranging from 7%²¹ to 30%²² has been reported for half time 176 177 of solid meals, with the majority of studies indicating an intra-individual variability of between approximately 11-15%.^{16, 20-21, 23-25} Although others have reported a higher intra-178 individual variability in GE of between 20-30% in healthy adults,^{17, 22, 26} differences in study 179 180 methodologies may account for this inconsistency. The low energy content of the test meals used (ranging from 200 to 250 kcal) in the latter studies^{17, 22, 26} may contribute to the lower 181 182 reproducibility.²⁷ It is also possible that the true variability in GE may go undetected if the test meal does not challenge motility,²⁸ therefore in the current study we used a test meal 183 184 (400kcal) which reflects a more typical size of meal. Taken together, our findings indicate 185 that unlike other populations where the variability of GE has been shown to be higher (e.g. diabetics,⁵ patients with functional dyspepsia,¹⁵ preterm infants² and critically ill patients⁴), 186 the reproducibility of GE in healthy overweight and obese males is similar to that reported in 187 188 healthy lean adults.

189 Our findings also indicate that the parameters used to characterise GE differ in their 190 reproducibility. We found that the intra-individual variability was similarly lowest for t_{lag} and $t_{1/2}$ derived from the original ¹³C-OBT mathematical model¹⁷, than for other GE parameters. 191 192 This finding is in contrast with others who have shown the lag phase or initial emptying to be less reproducible than subsequent emptying parameters^{16, 20}. One explanation may be that GE 193 194 was measured in these studies by scintigraphy and lag time derived by scintigraphy is known to be difficult to quantify.²⁸ Chey et al.,²⁸ examined the reproducibility of GE parameters 195 measured by both ¹³C breath test and scintigraphy and reported that while for scintigraphy 196 197 half time was considerably more reproducible, for the breath test lag time was more 198 reproducible. Our findings suggest that in contrast to some scintigraphic studies, lag time 199 derived by breath test is a reproducible GE parameter.

200 In addition to lag and half times, other parameters attempting to more accurately 201 reflect the biphasic nature of GE have been proposed. By definition the same part of the $^{13}\text{CO}_2$ exhalation curve is used for the calculation of both t_{lag} and $t_{1/2}$ and both parameters have 202 been shown to be highly correlated.¹⁸ As a result the different phases of GE (e.g. a delayed 203 204 initial emptying but accelerated subsequent emptying or vice versa) could be difficult to distinguish. This prompted Schommartz et al.,¹⁸ to propose the parameters latency time and 205 206 ascension time. Although, little information exists on the reproducibility of these parameters, 207 we found intra-individual coefficient of variations of ~ 11% and 9% for latency and ascension 208 times respectively indicating that both parameters are reproducible in overweight and obese 209 males. Interestingly, we also found that while changes in lag time and half time from visits 1 210 to 2 were highly correlated, changes in latency and ascension times were not. These findings 211 suggest that these additional parameters may be more sensitive to detecting changes in 212 different phases of GE, and would be useful to determine in addition to the conventional 213 parameters in repeated measures studies.

Information regarding the day-to-day variability of GE is necessary to determine 214 appropriate sample sizes when designing studies. For example, Lartigue et al.,⁵ calculated that 215 216 to detect a 20% change in GE half time in a paired design study, 7 healthy subjects would be 217 required whereas 18 diabetics would be required. We found that in overweight and obese 218 males a 20% change in all parameters (lag, half, latency and ascension times) could be 219 detected with a sample size of 7 participants. These results demonstrate that only a small 220 number of participants are needed to detect clinically relevant changes in GE. As GE studies 221 are often carried out in small numbers e.g. measured pre and post surgical procedure, these 222 findings illustrate the potential efficiency of undertaking smaller studies before larger studies 223 are undertaken.

224 There are various methodological aspects to this study and GE reproducibility studies 225 in general which deserve further consideration. How best to represent intra-individual variability remains a matter of debate.²⁹ We have discussed primarily the intra-individual 226 227 coefficient of variation as it allows comparison across the majority of other studies and hence populations and methods. The parameters used to describe GE measured by ¹³C-OBT also 228 vary. Some report half and lag times that are corrected to scintigraphy equivalent values.^{17, 22,} 229 ²⁵ However, the rationale for the correction of values has been questioned as it is possible to 230 obtain negative and physiologically insignificant values.¹⁸ For this reason, we report the 231 original uncorrected lag and half times proposed by Ghoos et al.,¹⁷, similar to others.^{1-2, 4, 23-24}, 232 233 ²⁸ It should also be noted that many other parameters in addition to those described in the 234 current study have been proposed for the ¹³C-OBT. We analysed the reproducibility of four 235 commonly used parameters which aim to characterise the biphasic nature of GE. In addition, 236 the reproducibility of a test meal consisting of a less balanced composition or different energy 237 content may be different. Similarly, our findings apply to healthy overweight and obese males 238 and therefore future studies in conditions such as gastroparesis and in females are warranted.

Lastly, the ¹³C-OBT does not provide direct imaging of gastric function, and therefore studies
measuring GE using other techniques would be useful to confirm the present findings in
overweight and obese individuals.

In the current study we did not determine the accuracy of the ¹³C-OBT against the 242 243 'gold standard' scintigraphy. However, scintigraphic measurements may be hampered in 244 obese individuals as defining the gastric areas of interest can be difficult and consequently the 245 acceptance of scintigraphy as the 'gold standard' has been considered by some an arbitrary choice.²⁵ The optimal method of measuring GE in overweight and obese individuals remains 246 247 unclear. It is difficult to examine the accuracy of scintigraphy in obese individuals, as it is the 'gold standard'. Other non-invasive non-radioactive methods such as the paracetamol 248 249 absorption test and ultrasound have only been validated for liquid emptying and ultrasound in 250 particular is considered a suboptimal method for measuring GE in overweight or obese individuals. In contrast, the ¹³C-OBT measures solid meal emptying, has been validated 251 against scintigraphy, has a day to day variability comparable to scintigraphy,¹⁷ is sensitive 252 enough to detect pharmacological influences on GE³⁰ and has been successfully used in obese 253 individuals.⁸ Our findings further suggest that GE measured by ¹³C-OBT is reproducible in 254 overweight and obese individuals and therefore the ¹³C-OBT represents a promising method 255 256 for measuring changes in GE in this population.

In summary, although studies have measured the reproducibility of GE using different methods and in different populations, to the best of our knowledge, this is the first study to investigate the reproducibility of GE in an overweight and obese population specifically. Our findings demonstrate that (i) the reproducibility of GE is similar to that found in lean adults, (ii) the reproducibility varies depending on the GE parameter reported, and (iii) that relatively small sample sizes are sufficient to detect clinically significant changes in GE in overweight

263	and obese males. This knowledge is important given the increasing number of GE studies
264	being undertaken in this population.
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266	
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271	
272	
273	Statement of Authorship
274	The author's responsibilities were as follows – KMH, NMB, GJC and NAK contributed to the
275	design of the study; KMH collected the data, analysed the data and drafted the manuscript;
276	NMB, GJC and NAK contributed to data analysis and critical revision of the manuscript. All

authors read and approved the final manuscript.

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377 Figure 1. Bland–Altman plots showing the difference between visits 1 and

- 378 2 (y axis) plotted against the mean for the two visits (x axis) for a) t_{lag} , lag
- 379 time, b) $t_{1/2}$, half time, c) t_{lat} , latency time and d) t_{asc} , ascension time.
- 380 Solid line indicates mean bias. Dashed lines indicate 95% limits of
- 381 agreement. n = 15.

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- $(a) \ \text{lag time } (t_{\text{lag}}) \ \text{and half time } (t_{1/2}) \ (r=0.81, \ p<0.001), \ \text{and } b) \ \text{latency time } (t_{\text{lat}}) \ \text{and}$
- 385 ascension time (t_{asc}) (r = -0.01, p = 0.97). n = 15.
- 386
- **Figure 3.** Minimum number of participants needed to detect significant differences in
- 388 a paired design in a) latency time (t_{lat}) , b) ascension time (t_{asc}) , c) half time $(t_{1/2})$, and
- d) lag time (t_{lag}), assuming a power of 80% and $\alpha = 0.05$.