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1 **Advances in Soil Ecosystem Services: Concepts, Models and Applications**
2 **for Earth System Life Support**

3

4 Robinson D.A.¹, B.M. Jackson², B.E. Clothier³, E.J. Dominati⁴, S.C. Marchant^{5,6}, D.M.
5 Cooper¹ and K.L. Bristow⁶

6

7 1: NERC - Centre for Ecology and Hydrology, ECW, Deiniol Rd, Bangor, UK.

8 2: Victoria University of Wellington, School of Geography, Environment and Earth Sciences,
9 PO Box 600, Wellington 6140, NZ

10 3: Plant & Food Research, Climate Lab, PO Box 11-600, Palmerston North 4442, New
11 Zealand.

12 4: Agresearch, Grasslands Research Centre, Tennent Drive, Private Bag 11008, Palmerston
13 North 4442, New Zealand.

14 5: CSIRO Sustainable Agriculture National Research Flagship and CSIRO Land and Water,
15 PMB Aitkenvale, Townsville, QLD 4814, Australia

16 6. School of Agriculture and Food Sciences, University of Queensland, Gatton, QLD 4343

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22 ecological infrastructure

23

24

25 **Abstract**

26 The ecosystem services approach is gaining wide acceptance at the policy making
27 level as a framework for integrating science and policy regarding the natural environment. It
28 is important that soil science clearly articulates how knowledge and understanding of the
29 soils of the vadose zone can be transmitted through this framework into the decision making
30 process. Competition between food production, living space, and maintaining habitat for all
31 of earth's life forms has never been so intense, so the need for soil security and vadose zone
32 protection is paramount. Soil management can no longer be thought of in terms of single
33 function management, but needs to be considered and managed in the context of the multiple
34 functions it offers. In this 10th anniversary issue of the journal we assess progress in the
35 development of a coherent soil ecosystem services framework using the natural resource
36 management stock-flow and fund-service resource approach. We go on to examine some of
37 the areas where the application of an ecosystems approach is gaining traction; these include,
38 national and local decision making, as well as support for legal arguments in court.

39

40

41 **Introduction and Concepts**

42 The Millennium Ecosystem Assessment (MEA, 2005) had a huge impact on the
43 global environmental political agenda. It highlighted the extent of the decline of the world's
44 ecosystems, and argued the vital importance of ecosystems for earth-system life support and
45 human wellbeing. The Millennium Ecosystem Assessment was also heralded as a framework
46 that bridged the science/policy divide, a framework that was capable of translating our best
47 science, and processing that understanding into a cogent policy-relevant format using the
48 value of ecosystem services. There are those who question what the ecosystem services
49 approach delivers, (McCauley, 2006). However, it is beyond question that 'ecosystem
50 service' concepts are shaping and impacting policy development and its implementation at
51 the highest levels. The ecosystem services approach to sustainable development has been
52 promoted by many international organizations including: the Conference of the Parties to the
53 Convention on Biological Diversity (CBD), the Food and Agriculture Organization of the
54 United Nations (FAO), The Organisation for Economic Co-operation and Development
55 (OECD), the United Nations Environment Programme (UNEP), and the United Nations
56 Development Programme (UNDP). Moreover, governments of countries such as the United
57 Kingdom are adopting an ecosystem services approach for national-level environmental
58 policy development. Thus, as the science communities of hydrology and soils we cannot
59 ignore this framework if we are to address wider stakeholder needs.

60 With it, the ecosystems approach (CBD, 2013) brings new terminology, Nature's
61 stocks are termed 'natural capital', and functions from which we derive benefit are called
62 'ecosystem services'. These give our thinking about nature a more economic and policy
63 relevant feel. The definition of ecosystem services has transitioned from being, "the
64 conditions and processes through which natural ecosystems, and the species that make them
65 up, sustain and fulfil human life" (Daily, 1997) to being "the benefits people obtain from
66 ecosystems" (MEA, 2005). Central to the ecosystem services approach is the attempt to value
67 the benefits we obtain from nature. Costanza et al. (1997a) generated huge interest by first
68 attempting to determine the annual value of nature's services at US\$33 trillion. This was
69 controversial and attracted criticism, with Toman (1998) pointing out that any attempt to
70 estimate the "total value of the world's ecosystem services and natural capital" (as per
71 Costanza et al. 1997a) would be a "serious underestimate of infinity." Similar criticisms
72 could be levelled at total valuations of a nations ecosystem services. Despite these criticisms,

73 economic valuation is being developed in different forms for a range of purposes, including
74 for national accounts and for decision making tools for land management. This is because
75 economic valuation is one way of comparing the options policy makers need choose from.

76 Ecosystem service concepts have also drawn opposition in the national press in the
77 United Kingdom (Monbiot, 2012). In his article, Monbiot writes of concern about the
78 privatization and commodification of nature. But as Costanza et al (2012) argue, ‘the
79 valuation of natural capital and ecosystem services, including in monetary units, is not (or
80 should not be) a prelude to privatization.’ They added that, ‘many natural capital assets are,
81 and should remain, common property and should be managed as public goods.’ Costanza et
82 al. (2012) went on to argue that although people fear valuing ecosystem services, because this
83 could expose nature to unfair appropriation by capitalism, economic valuation already occurs.
84 The products we buy and benefit from, are derived in some way from harvesting nature.
85 Ecosystems provide a myriad of benefits for societies, many of these benefits are not
86 captured in the market system, so the true extent of the contribution of our water, air, soils
87 and biological resources to socio-economic systems is often undervalued and thus neglected.
88 Trying to capture all the facets of ecosystems and earth system resources into a conceptual
89 framework that can be ultimately developed into an operational model for ecosystem
90 management is therefore the focus of much research.

91

92 **Growth of Ecosystem Service Concepts**

93 The history of ecosystem service concepts can be traced back to 19th and 20th century
94 thinkers and perhaps even further (Mooney and Ehrlich, 1997). However, the paper of
95 Westman (1977) stands out as a defining contribution in terms of the idea that ecosystems
96 provide functions which are of societal value. It was not until the controversial paper of
97 Costanza et al. (1997a) and books by Daily (1997) and Costanza et al. (1997b), that the
98 concept began to gain traction. Since then, academics have seized hold of the concept and
99 moulded and shaped it into a way to bridge the science-policy divide. The extent and rapidity
100 of the uptake of the concept in academia is demonstrated by the exponential increase in the
101 use of the ecosystem services terminology in the literature (Fig 1) (Dick et al. 2011). The
102 colossal achievement of the Millennium Ecosystem Assessment cemented the ideas of
103 linking ecosystems with human wellbeing (MEA, 2005). Since the initiatives such as the
104 Ecosystem Service Partnership have developed and continue to refine this framework.

105 An ecosystem services approach offers a move towards sustainable ecosystem
106 management across our society and economy via the use of financial incentives for
107 responsible land and habitat management. This type of approach is not new. Many soil
108 scientists will recognize these aims and approaches, as for example in the United States'
109 'Conservation Reserve Program'. In this program, the US Federal government annually
110 'rents' about 140,000km² of land to reduce soil erosion, improve water quality, enhance
111 water supply through groundwater recharge, increase wildlife habitat, and reduce damage
112 caused by floods and other natural disasters. This is achieved by payment of some US\$1.8
113 billion of tax payers' money annually to farmers and landowners for planting long-term
114 ground covers.

115

116 **Conceptual Frameworks for Earths Resources**

117 Different schools of thought exist concerning the application of ecosystem services
118 concepts. There are those who see ecosystem services as a good potential vehicle for nature
119 conservation (Tallis et al., 2008), whilst there are those who are strongly opposed to using
120 such an approach (McCauley, 2006). Nature protection through economic valuation is easily
121 reversible when market conditions change; and the extent of nature protected using ES
122 arguments has been tiny compared to that protected through legal conventions. These
123 conventions can be diluted and weakened by the adoption of an economic approach. Others
124 see the concept more as a management framework for the earth's resources (Daly and Farley,
125 2011). While ecosystem services is loved by some and hated by others, the question is
126 whether it is useful as a conceptual framework. The authors think it is, especially with regard
127 to thinking about soils in the context of resource use and the variety of benefits that society
128 obtains from soils and the vadose zone.

129 The Millennium Ecosystem Assessment classified ecosystem goods and services into
130 four categories: (1) Provisioning Services, the products obtained from ecosystems; (2)
131 Regulating Services, the regulation of ecosystem processes; (3) Cultural Services, those
132 obtained from ecosystems through spiritual enrichment, heritage, cognitive development,
133 reflection, recreation, and aesthetic experiences; and (4) Supporting Services, those that are
134 necessary for the production of the three other types of ecosystem services. This classification
135 has been adopted widely, but with some modification, as for example the Common

136 International Classification of Ecosystem Services (CICES). The Economics of Ecosystems
137 and Biodiversity study (Haines-Young, 2012), was realised under the UNEP umbrella and
138 removed supporting services, arguing that society gains no direct benefit from supporting
139 services. Another refinement used in the UK's national ecosystem assessment was the
140 distinction between 'final' and 'intermediate' goods and services (NEA, 2011). Final services
141 are those from which we draw direct benefit, whereas the intermediate services essentially
142 support the others. The focus on final goods and services has lead some researchers to point
143 out the importance of the supply chain (Mooney, 2010; Robinson et al., 2012), which final
144 services can over look.

145

146 **Soil Natural Capital**

147 The first use of the term natural capital can be found to date back to the 1830's
148 (Robinson et al. 2012). More recently, Costanza et al. (1997a) defined natural capital as, "the
149 stock of materials or information contained within an ecosystem". Essentially the term
150 natural capital is, 'an economic metaphor for the limited stocks of physical and biological
151 resources found on earth' (MEA, 2005). Natural capital for us here is the tangible stocks;
152 what can be seen, tasted, felt, heard, or smelled. Our discussion is of obvious relevance to soil
153 science, given the widespread assessment of soil stocks through soil survey. Robinson et al.
154 (2009) presented a first typology of soil natural capital based on matter, energy and
155 organization, which has developed into a description that now recognizes the abiotic and
156 biotic components independently (Figure 2). This is something that is important for
157 recognizing the material transfers between them, which is what we would think of as soil
158 formation. Dominati et al., (2010) proposed a complementary framework for soil natural
159 capital putting the emphasis on the difference between highly dynamic stocks, e.g. soil
160 properties, which are impacted by natural or anthropogenic drivers (e.g. climate or land use)
161 in short time frames, and therefore manageable; and less dynamic stocks, the inherent soil
162 properties, which are more difficult to alter.

163

164

165 **Soil Ecosystem Services**

166 Ecosystem services were defined by the MEA (2005) as, ‘the benefits people obtain
167 from ecosystems’. More recent definitions include, ‘ecosystem services are the final
168 contributions that ecosystems make to human well-being’ (Haines-Young and Potschin,
169 2010). With regard to soils, Daily et al. (1997) were the first to identify distinct soil
170 ecosystem services in a typology, which has been expanded on by others (Wall, 2004;
171 Andrews et al., 2004; Clothier et al., 2008; Dominati et al., 2010); particularly in regard to the
172 biotic components of soil (Barrios, 2007; Lavelle et al., 2006). Services are, by their very
173 nature, intangible, i.e. they cannot be touched, gripped, handled, looked at, smelled, tasted or
174 heard. They are the emergent result of the interactions/processes between stocks. With regard
175 to soils, Robinson et al. (2012) compiled a list of the major goods and services from which
176 individuals, or society, benefits (Table 1).

177

178 **Ecological Infrastructure**

179 The term ‘ecological infrastructure’ was introduced and elaborated in government
180 policy reports in 1977 and 1981 in the Netherlands (Van Selm, 1988). This term has been
181 mainly used as a design concept for the incorporation of ecological features such as
182 ‘corridors’ and ‘networks’ into human infrastructure design (Morrish, 1995; Xuesong and
183 Hui, 2008). However, some authors have suggested that ecological infrastructure can also be
184 used to depict an underlying framework that supports the terrestrial and aquatic ecosystems
185 and the ecosystem services that flow from them (Postel, 2008).

186 The essential feature of this concept of ecological infrastructure is connectivity (Ward
187 and Stanford, 1995; Soule et al., 2004; Arthington et al., 2006). Maintaining ecological
188 connectivity is the key to retaining ecosystem integrity. A certain level of ecological integrity
189 is required to form and uphold the ecological supply chain, which produces ecosystem
190 services required for human well-being (Mooney, 2010). A holistic approach to ascertain the
191 true value of ecosystems must therefore consider them in terms of their contribution to the
192 integrity of the surrounding ecological infrastructure, as well as the value of the goods and
193 services they provide for human use.

194 **An Earth System Stock-Flow and Fund-Service Framework for Resource Management**

195 Here we describe recent advances in synthesizing the natural capital and ecosystem
196 service concepts into a single framework and develop ideas about ecosystem service units, as

197 called for by Potschin and Haines-Young (2011); we call these units ‘fund-service resources’
198 (Georgescu-Roegen, 1971). Dominati et al. (2010) was the first to attempt this for soils,
199 recognizing the importance of bringing stocks and services into a single framework. More
200 recently Robinson et al. (2012) have adapted the stock-flow, fund-service resource approach
201 promoted in the ecological economics literature (Costanza and Farley, 2010; Daly and Farley,
202 2011). This framework draws on concepts developed by Georgescu-Roegen (1971) and
203 further advanced by Daly and Farley (2011). A conceptual diagram is presented in Fig 3 for
204 the earth system compartments or spheres. Much of the focus to date has been on the
205 biosphere, but an earth system approach is required to capture all the relevant scales. The
206 stock-flow resources are the tangible goods that can be used/extracted at a rate subject to
207 availability, stockpiled and moved around the earth-system, they are materially transformed
208 into a product, and measured by units of that product (Fig 3. (1, shown by green arrows)).
209 Mankind harvests these stocks, which are converted to manufactured products e.g. wheat into
210 bread, or nitrogen into fertilizer. Eventually these stocks flow back into the ecosystem either
211 as inputs or waste (Fig 3. (2&3)). Fund-service resources produce services that are used only
212 at a given rate, are intangible, cannot be stockpiled, and do not become a component of a
213 product, (Fig 3. (4, and shown by blue arrows)); they are emergent, arising from a fund-
214 service resource in response to processes (5). As they are intangible there is no return flow
215 back into the ecosystem *per se*. However, the processing of waste from human activity,
216 shown in the diagram as waste absorption capacity, is a regulating service, provided by a
217 fund-service resource, which acts on stocks returning to ecosystems from the anthroposphere.
218 Daly and Farley (2011) drew particular attention to the waste absorption and cycling services.
219 These are critical in the functioning of the earth system as waste assimilation is a rate-limited
220 process, and over burdening will result in pollution. Soils are important in facilitating waste
221 assimilation, as like water and the atmosphere they are one of the major receptors for human
222 waste. Recognizing that soils act as a fund-service resource that can only transform wastes at
223 limited rates is important in avoiding pollution, and the only way to increase the capacity of
224 soils to deal with waste is to build up the soil’s natural capital, rather than degrade it.

225 Figure 4 extends these concepts, mapping them on to basic earth-system compartment
226 classifications. The chosen classifications are illustrative, but the key point is that it is the
227 combination and interaction of these, termed the ‘fund-service units’ that creates the basic
228 unit for ecosystem service delivery. This is where ecosystem concepts are important, because
229 of their holism. It extends from the community of living organisms in conjunction with the

230 nonliving components of their environment, across the critical zone from bedrock to the tree
231 tops. Fund-service resource units will form a fund-service resource assemblage on the
232 landscape, comprised of the ecological infrastructure, the size of which can be chosen
233 depending on the scale of the goods or services of interest (e.g. watershed, wetland). For
234 instance those interested in the provision of timber may consider the scale of the forest as the
235 fund-service resource assemblage, whilst researchers investigating climate may focus on the
236 entire earth-system for global scales. The schematic diagram (Fig. 4) indicates how the stock-
237 flow and fund-service resources map onto the ecosystem service classification of the
238 provisioning, regulating and cultural services. Like (Haines-Young and Potschin, 2010) we
239 do not include supporting services as there is no direct human consumption of them or direct
240 benefit from them. Differentiation between a harvested stock-flow resource and a
241 provisioning service is highlighted using the case of food. Humans harvest pine cones to eat
242 pine nuts. The cone is discarded after the nuts are removed and returned to the environment
243 as waste. Pine cones are therefore the stock–flow resource, the forest is the fund-service
244 resource assemblage, and the flow is the yield of pine nuts per unit area per year. Other
245 common stock-flow resources are shown as trees felled, or peat extracted in the case of soils.

246

247 **Value, Price and Challenges for Valuation**

248 An added component of an ecosystem services approach is the addition of economic
249 valuation onto the functional description of soil and ecosystem processes. Economic
250 valuation is not to be confused with price, and it is important to draw the distinction between
251 the two: price is determined by the intersection of supply and demand, value is not; value
252 does contribute however, by determining what the demand is. As another example, entry into
253 a national park might be free, but it does not mean that it is without value. Economic value is
254 usually monetized, and it is certainly helpful when dealing with resource use options.
255 Another useful definition of value states that ‘value is simply that quality of an object that
256 permits measurability and therefore comparability’ (Robertson, 2012). It is often setting this
257 comparability which is important in decision making with regard to resource use. This is an
258 important rationale for economic valuation.

259 Edwards-Jones et al., (2000) argue that there exist important rationales for
260 documenting economic ecosystem service values, because:

261

- 262 • They highlight the importance of ecosystem functioning for mankind.
- 263 • They reveal the specific importance of unseen, unattractive or unspectacular
264 ecosystems.
- 265 • At a local level they can aid in identifying ecosystem services and acting as a help to
266 decision making.
- 267 • They can aid in understanding the impacts of change and they can feed information
268 back to models to improve our understanding of ecosystem function
- 269 • They serve as a way of communicating value by translating to a common reference
270 such as monetary value.

271

272 The first two of these are of particular relevance to soil and vadose zone science, which often
273 has difficulties expressing and conveying the importance of soils for humanity and earth's
274 life support. In terms of using valuation operationally, any economist would ask what is the
275 valuation for? Three distinct contexts can be identified for ecosystems. It is for linking value
276 into national accounts (Harris and Fraser, 2002). It can aid decision making through cost-
277 benefit analyses (Hansjürgens, 2004). And it can be used in making payments for ecosystem
278 services (Farley and Costanza, 2010).

279 Valuation presents a range of challenges, one of which, regarding the cost-benefit
280 approach for decision making is identifying all the different costs and benefits. What is a cost
281 to one, may actually be a benefit to another. This is why valuation for decision making is
282 context dependent, and goes back to the question, 'valuation for what?'

283 A rudimentary calculation was made by Clothier et al. (2008) suggesting that the
284 global value of the ecosystem services provided by macropores in soil was US\$304 billion
285 per year. Here we explore this a little further and show that macropores can either provide a
286 valuable nutrient regulation service by limiting leaching losses, or indeed they can supply a
287 degradation process by enhancing the preferential loss of nutrients. The distinction between
288 service and degradation process depends on whether the source of the nutrient is endogenous,

289 that is it is generated within the soils matrix by mineralisation, or whether it is applied
290 exogenously to the soil's surface.

291 For the surface soil in an apple orchard, Kim et al., (2011) found the endogenous
292 nitrogen mineralisation from within the soil's matrix amounted to $0.12 \text{ mg-N kg}^{-1} \text{ y}^{-1}$. This
293 then is equivalent to the generation of $105 \text{ kg-N ha}^{-1} \text{ y}^{-1}$. Green et al. (2010) measured the
294 leaching of nitrogen under two apple orchards, one with standard and the other with dwarf
295 trees, using six tension drainage fluxmeters at each site. The annual leachate losses in the
296 standard and dwarf apple orchards were 9 and $14 \text{ kg-N ha}^{-1} \text{ y}^{-1}$ (Figure 5). Despite some 700
297 mm of drainage over that year, only 8-13% of the endogenously generated nitrogen was
298 leached below the roots and into the vadose zone. The macropores in the soil resulted in the
299 by-pass flow of the incident rainfall, thereby avoiding contact with the nitrogen generated
300 within the soil matrix. Here the macropores have performed a valuable regulating service by
301 ensuring that the nitrogen would be available for the trees.

302

303 With grazing cows, urine patches represent an intense local application of nitrogen, up
304 to $1000 \text{ kg-N ha}^{-1}$ within the 'footprint' of the patch. These patches may only cover less than
305 5% of the grazed field, but over a year they might occur over about a quarter of the field
306 (Cichota et al., 2010). Locally within the patch this represents an intense exogenous
307 application of a plant nutrient. Cichota et al. (2010) studied the leaching of nitrogen from
308 urine patches in four lysimeters. They applied $1000 \text{ kg-N ha}^{-1}$ of 'urine' to the surface of four
309 lysimeters and monitored drainage at the base over the eight months of winter and spring.
310 There was 700 mm of drainage, as there was in the orchard example above. The cumulative
311 nitrate leaching results are shown in Figure 6. Much of the applied nitrate was leached below
312 the rootzone, such that some 45-65% was lost to the soil-plant system and despatched further
313 into the vadose zone. Here, a significant fraction of the exogenously applied nitrogen was
314 available at the surface to be picked up by the rainfall and preferentially transported through
315 the macropores, thereby avoiding being taken up by the plant whose roots ramify the soil
316 matrix. So the value of the nutrient regulating service provided by the vadose zone's
317 buffering and filtering capacity is low, and results in the degradation process of potentially
318 contaminating the underlying groundwater. With agricultural intensification in New Zealand
319 many dairy farms are stocked at 4-5 cows per hectare, and the losses from urine spots mean
320 that the non-point source load from the sum of all these point sources can lead to high
321 nutrient leaching rates to ground and surface waters.

322 These contrasts in the performance of the soil's regulating services to the vadose
323 zone highlight the complexity of trying to value the ecosystem services provided by the soils
324 of the rootzone of plants. Challenges exist in both identifying services and degradation
325 processes, their adverse effects, and projecting how these may change into the future.

326

327 **Applications:**

328 **Global and National Scale Resource Use**

329 Ecosystem service assessment requires not only an understanding of the state of
330 ecosystems, but more critically the change that occurs, especially given projected changes in
331 drivers, such as land use or climate change. In order to achieve this assessment, models play a
332 central role in providing the capability to forecast the expected impacts of decisions. Decision
333 support tools have been, and are, an important scientific and research product. With regard to
334 the assessment of natural capital and ecosystem services there is a strong emphasis on the
335 development and use of biophysical models that predict ecosystem change, both in space and
336 time and at a range of scales.

337 At a global scale, GUMBO (the global unified metamodel of the biosphere) is an
338 example of an earth system biophysical and economic model that attempts to assess the
339 dynamics and values of ecosystem services (Boumans et al., 2002). It makes a bold attempt to
340 model the earth system in an integrated way by incorporating both the biophysical
341 characteristics of the earth system and the socio-economic aspects of man's activities. The
342 model includes various components to simulate water, carbon, mineral and nutrient fluxes
343 through the lithosphere, hydrosphere, biosphere and atmosphere. The pedosphere is not dealt
344 with as an explicit module, but is included in the lithosphere. There are predictions of the rate
345 of soil formation, plus carbon and nutrient fluxes and weathering and erosion processes. The
346 hydrosphere and biosphere modules deal with the unsaturated vadose zone. The model then
347 divides the earth's surface into the 11 biomes of open-ocean, coastal ocean, forests,
348 grasslands, wetlands, lakes/rivers, deserts, tundra, ice/rock, croplands, and urban area. These
349 might be considered the fund-service resource assemblages. Material and energy flows
350 around the earth system as stock-flow resources, some of which are harvested into the
351 anthroposphere. These can be returned as waste or manufactured capital, as shown in Fig. 4.
352 The purpose of such models is not to predict every aspect of the earth system, but to give

353 some indication of the direction and magnitude of potential change, given different policy
354 scenarios. A number of predictions are presented in Fig 7 showing the biophysical outputs for
355 soil formation and nutrient cycling. These can be assigned an economic price, allowing cross
356 comparison for example with energy prices. Surprisingly, soil formation simply shows a
357 downward decline, which is perhaps an artefact of the way it is determined. A common
358 assumption at these scales is that soil formation is a combination of geochemical rock
359 weathering and organic matter accumulation. However, many soils form from regolith or
360 after the deposition of sediments, either alluvial, wind-blown, or increasingly mankind's
361 earth-moving activities (Wilkinson, 2005). Soil formation therefore occurs on this 3D
362 regolith, rather than as the regression of a 2D rock surface. The model perhaps indicates an
363 important knowledge gap. What are the rates of soil formation at a global scale, and how will
364 man's activities influence these rates? Pricing, as we might expect, follows energy prices to
365 some extent (Fig 7), but it does raise the question of how, and which, soil services we value.

366 At the national and regional scales, a number of models are rapidly being developed
367 using different architectures and approaches to assess ecosystem services (Vigerstol and
368 Aukema, 2011). One such model is MIMES (the Multiscale Integrated Earth Systems Model)
369 as summarised in Boumans and Costanza (2007). This builds on the GUMBO model.
370 However, InVEST (Integrated Valuation of Ecosystem Services and Tradeoff tools) is
371 perhaps the most advanced model in this regional scale category, using production functions
372 as the basis for modelling ecosystem services, with examples of development scenarios run
373 for the Willamette Basin in Oregon (Nelson et al., 2009). Another model gaining increasing
374 exposure in the ecosystem services arena is ARIES (Villa et al., 2009) (ARTificial
375 Intelligence for Ecosystem Services). This maps the potential provision locations of
376 ecosystem services ("sources") their users ("use"), and biophysical features that can deplete
377 service flows ("sinks") using deterministic ecological process models, or *ad hoc* Bayesian
378 models" (ARIES, 2013).

379 The availability of soil property data is likely to present a constraint on such
380 modelling approaches as soil maps present a snap shot of soil properties in time.
381 Quantification of soil change at these scales are limited to a few national surveys such as the
382 Countryside Survey in the UK (Emmett et al., 2010; Robinson et al., 2012). The SoilTrEC
383 team in the EU is trying to address this issue of understanding and incorporating the
384 dynamics of soils into regional scale models, and it is rapidly developing the CAST (coupled,
385 Carbon, Aggregation and Structure Turnover) model (Banwart et al., 2012). CAST focuses

386 on describing aggregate dynamics, with aggregate structure being seen as a key property to
387 be maintained for mineral soil health.

388

389 **Intermediate and Local Scale Land Use Decision Making**

390 One of the limitations of regional scale models is that in order to parameterize them,
391 the geometry of the landscape must be simplified or aggregated. These can result in an
392 inability to represent properly the pathways of both stock, and service flows. This may, or
393 may not, be such a major limitation for decision making at the regional or national scale, but
394 it does become an important issue at farm and intermediate scales. This is especially so if
395 pathways are incorrectly represented, for the modelled interception of stock-flow resources
396 and services may be erroneous. For example, soils with high storage or high infiltration
397 capacity have the capacity to mitigate floods, and reduce sediment loads to water bodies and
398 built infrastructures. They can decrease lateral, yet increase vertical movement of chemicals
399 by acting as a sink for the fast moving overland flow and near-surface subsurface flow. They
400 can either store this water, or route it more slowly through subsurface routes. The function of
401 such elements within the landscape on runoff changes depends on their spatial placement.
402 Elements with negligible “up-hill” contributing areas have far less impact than those
403 receiving contributions from low-permeability areas (Jackson et al., 2008).

404 The LUCI (2013) (Land Utilisation and Capability Indicator) model, a second-
405 generation extension and software implementation of the Polyscape framework is described
406 in Jackson et al. (2013). It was developed to overcome this limitation. It is specifically
407 tailored to investigate the impact of farm scale interventions on catchment scale function.
408 LUCI estimates a variety of ecosystem services which depend significantly on soil function.
409 These include namely agricultural productivity, carbon sequestration, floods, erosion,
410 sediment transfer, and habitat. Tradeoffs and synergies between individual service provisions
411 are also considered. LUCI explicitly tracks the lateral as well as vertical movement of mass
412 (water, sediment and chemicals) through the landscape at spatial resolutions on the order of
413 meters. Although this more sophisticated treatment of hydrological fluxes is computationally
414 more expensive, some novel algorithms have been developed and implemented within LUCI
415 to reduce significantly the normal cost of such an approach. There does appear to be future
416 potential to extend the scales considered within ecosystem service models to include the
417 impact of multiple subfield scale interventions which can be analysed at the regional scale.

418 Figure 8 shows an example of LUCI maps for a variety of soil-reliant provisioning
419 ecosystem services, along with maps of where trade-offs and synergies exist between services
420 for the 12.5 km² Pontbren catchment in mid-Wales, which might be considered the fund-
421 service resource assemblage in this case. Details on the physical characteristics of the
422 catchment can be found in Marshall et al. (2009). In brief, land cover consists mainly of
423 'improved' pasture, semi-natural, unmanaged moorland, mature woodland and tree
424 plantations. Agricultural soils in the catchment have high clay contents and are generally
425 relatively impermeable, with less intensively farmed moorland having higher organic matter
426 content. Elevation ranges between 170m and 425m a.s.l. LUCI is used to identify where
427 opportunities to improve carbon sequestration, reduce erosion, improve water flow, water
428 quality and biodiversity exist, while still maintaining farm productivity and hence
429 livelihoods. We find that increasing the number of services under consideration generally
430 increases the amount of land where trade-offs in service provision exist. However, where
431 services are more interlinked, as for example with flood mitigation, erosion and carbon
432 sequestration, more synergies in service provision exist. Hence large proportions of land
433 provide multiple existing services, or conversely they provide an opportunity to increase the
434 provision of multiple services. For example, increasing organic matter content in soils not
435 only reduces CO₂ emissions, but also increases the water holding capacity and infiltration
436 capacity. This leads to flood and drought alleviation and increased soil structural stability. In
437 turn, this results in reduced erosion, increased crop yields and greater plant biomass, thereby
438 increasing nutrient reserves and enhancing biodiversity in soil ecosystems.

439 These modelling approaches are useful in identifying data and knowledge gaps in
440 soils information. A major limitation is the lack of spatial and temporal data on the changes
441 in soil properties with land-use change. Much of the work of the previous century focused on
442 soil mapping for inventory, where static properties were the focus. Current environmental
443 issues require both the understanding and mapping of soil dynamics, especially to determine
444 how both natural and anthropogenic activity change soil properties and stocks (Richter et al.,
445 2011; Robinson et al., 2011). Fundamental questions need to be addressed, such as how deep
446 is the soil and the vadose zone (Richter and Yaalon, 2012)? How do they vary in space and
447 time? The description of the soil should not be limited by 1 or 2m boundaries imposed for
448 resource inventory mapping. This is important because it impacts on the parameterization
449 ability and the prediction capability from our hydrological process models. Combining
450 rooting depth data (Canadell et al., 1996) with habitat data may serve as a first approximation

451 for mapping soil depth. If combined with hydropedological models this may serve as a more
452 realistic research direction. Rates of soil formation and turnover are also poorly addressed at
453 regional and global scales. Much of pedology has focused on the processes governing the
454 slow formation of soils over time that lead to the distinctive horizonation that we see. There
455 is however, an urgent need to understand the rates of soil formation and loss which result
456 from anthropogenic activities, ranging from semi-natural systems, through agro-ecosystems,
457 to urban systems. The limited data available on rates of soil change tend to be confined to
458 arable systems with loamy soils. There is a need to broaden this information (Richter and
459 Markewitz, 2001). Increasingly it is likely that this type of information on soil stocks and
460 services, and their changes on anthropogenic time scales, will be used to aid both land
461 management and land use decisions, which as a result of pressure on the finite resource that is
462 land, will increasingly extend to legal arguments in judicial hearings.

463

464 **Natural Capital in the Environment Court**

465 The horticultural industries of New Zealand annually generate \$3.5 billion of export
466 revenues and contribute another \$1.5 billion to the domestic economy
467 (www.freshfacts.co.nz). This \$5 billion industry covers just 70,000 hectares of land, and it is
468 often prime high class land with versatile soils on the periphery of cities. This small area of
469 land not only provides a provisioning ecosystem service of \$5 billion, but also it provides
470 other valuable, regulating and cultural services. New Zealand's urban areas and built
471 infrastructures cover nearly 1 million hectares of land, and every year there is a loss of 40,000
472 hectares of productive lands to peri-urban expansion (Mackay et al., 2011). The range and
473 value of the ecosystem services that flow from urban areas are very different from those
474 provided by horticultural lands.

475

476 Legislation around the world seeks to protect natural and physical resources. In 1991,
477 New Zealand passed innovative and omnibus legislation to deal with environmental and
478 developmental issues: the Resource Management Act (RMA). Section 5 details that the '...
479 purpose of this Act is to promote the sustainable management of natural and physical
480 resources'. The Act would enable "... managing the use, development and protection of
481 natural and physical resources to enable people and communities ... to provide for their social
482 economic and cultural well being and for their health and safety while ...

483

- 484 • sustaining the potential and natural physical resources ...
- 485 • safeguarding the life-supporting capacity of air, water, soil, and ecosystems;
- 486 • and avoiding, remedying, or mitigating any adverse effects of activities on the
- 487 environment.”

488

489 It would seem that there have only been a few attempts in judicial hearings to use
490 natural capital and ecosystem services thinking to argue about the sustainability of natural
491 resources use and the safeguarding of life-supporting capacities. We describe one attempt in
492 relation to the proposed peri-urban expansion of a city onto prime horticultural land.

493

494 The hardware retailer Bunnings’ purchased 4 ha of orchard land on the outskirts of the
495 town of Hastings and sought resource consent to build a large-format store. The Hastings
496 District Council (HDC) appointed independent commissioners to hear Bunnings’ application.
497 In July 2009, the Commissioners declined Bunnings’ application and stated that “... if these
498 soils are as valuable as described, their loss should be avoided”. Bunnings’ appealed that
499 decision and the appeal was heard in the Environment Court during March 2011. One of us
500 acted as an expert witness for the respondent, the HDC (Clothier, 2011). Clothier (2011)
501 argued that “... we cannot afford to lose such valuable natural capital assets, whose presence
502 is needed for their ecosystem services, and whose use will be needed to enable the
503 horticultural industries to realise their strategic goals, and whose functioning will continue to
504 enhance the life-supporting capacities of the Heretaunga Plains”, as required by the Hastings’
505 District Council’s District Plan for the Heretaunga Plains.

506

507 Moreover, Clothier (2011) noted that “several key ecosystem services are provided by
508 the soil of this site: primary production, nutrient cycling, water storage, platform, and water
509 supply regulation” for the vadose zone which is linked to the nearby Karamu Stream. He
510 added “that this deep soil has no impeding layers of low conductivity which means that it can
511 provide the ecosystem service of water supply regulation” to the Karamu Stream, which a
512 hard, impermeable surface of a large-format store and its car-park could not.

513

514 Also, Clothier (2011) stated that “... horticulture and agriculture on elite soils on the
515 Heretaunga Plains enable a wide range of provisioning ecosystem services for the district.

516 The biodiversity of the Hastings District reflects its natural history and more recently the
517 significant development of horticulture. The loss now of this horticultural land, would result
518 in a loss of refugia for elements of the horticulturally-based biodiversity which provides the
519 Hastings District with its distinctive and valued character”.

520

521 However, an expert witness for Bunnings argued that “... the concept of natural
522 capital value was still an emerging discipline” and that the concept of natural capital was in
523 his view “... unhelpful in terms of the issue confronting this Court. That issue is, as expressed
524 in the RMA, ‘safeguarding the life supporting capacity of the air, water, soil and ecosystems’
525 ”. Bunnings’ lawyer in his closing address considered that “... there is no quantitative or
526 qualitative analysis of the ecosystem services at the site other than in relation to food
527 production”.

528

529 The judgment (Dwyer, 2011) was cautious and noted that “... we do not propose to
530 enter that [natural capital] debate ... but it seemed to us that Dr Clothier took a somewhat
531 more holistic approach to assessment of the value of the soils of the site”. The judgement
532 noted that although the “... loss of 4 ha of Plains land is insignificant in itself the wider policy
533 implications are significant.” The appeal was declined, and costs awarded to the HDC. In
534 his costs decision, Judge Dwyer (Dwyer, 2012) stated clearly that “... in reaching [our]
535 decision we emphasised the importance of the District Plan to protect the rural resource.”
536 The latter term is, in our opinion, natural capital. That seems to imply that the rural resource
537 needs to be protected to ensure the continued flow of ecosystem services from it. Judge
538 Dwyer concluded that “... Bunnings considerably understated the versatile nature and
539 capacity of the soils at the site ... In those respects, Bunnings’ case might be described as
540 without substance or unmeritorious. Bunnings will pay 50% of the costs incurred by
541 Council”.

542

543 So although Judge Dwyer and his two Commissioners did not directly buy into a
544 natural capital argument, they did note a holistic view was needed. Holism, it seems, is an
545 ecosystem services approach in principle, at least in a judicial sense. It would appear then
546 that some headway has been made by the use of natural-capital reasoning in judicial
547 proceedings in relation to the ‘safeguarding the life-supporting capacity of ... soil, and
548 ecosystems’ (RMA, Sect., 5). Yet, precedence in a legal sense would not, however, seem to
549 have been registered.

550

551 **Conclusions**

552

553 The ecosystem services approach has been important in highlighting the lack of
554 consideration of the economic value of ecosystem services in decision making. Here we have
555 synthesized ecosystem services and earth system concepts, and addressed some of the
556 typology challenges for soils identified in Robinson et al. (2011). An important challenge for
557 the ecosystem services approach is the ‘public’ nature of ecosystem services. One way of
558 overcoming this challenge is to adopt the same approach used to overcome market failures in
559 the provision of public socio-economic services. In other words, we must invest in the
560 underlying infrastructure that provides these services.

561

562 Bristow et al. (2010) argue that while built infrastructure investment has been ever-
563 increasing, we have not been investing sufficiently in our ecological infrastructure and
564 ecosystem service supply chains. Indeed, inadequate investment in ecological infrastructure
565 has led to a worsening environmental crisis, in which critical ecosystem services have been,
566 and are being, lost across the globe. For example 60% of ecosystem services examined by the
567 MEA (2005) were found to be degraded. ‘Public’ ecological infrastructure will continue to be
568 fragmented and destroyed if we continue to undervalue and under-invest in it.

569

570 A likely consequence of improving the management of public goods is that we will
571 require new or restructured institutions to manage resources and services at appropriate
572 scales. The difficulty illustrated by the lack of agreement and consensus on how to tackle
573 climate change, a global problem, demonstrates this. The development of institutions is not
574 the remit of most scientists. However, there are important contributions to be made through
575 informing the debate about the appropriate scale of management of different ecosystem
576 services, along with the development of decision support tools and data sets that inform
577 policy and provide support in judicial hearings to protect, restore or enhance ecological
578 infrastructure.

579

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587

588

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788 Table 1. Soil ecosystem services modified from Robinson et al. (2012)

| Stock-flow resource | Ecosystem-service | Ecosystem-service |
|---------------------------------|--|------------------------------------|
| Provisioning goods | Regulating service | Cultural service |
| Topsoil | Climate regulation | Sports and recreational fields |
| Sub soil | Buffering extremes of heat and cold | Preservation of historic artifacts |
| Peat | GHG regulation | Cooking |
| Turf / sod | Hydrological regulation | Burial grounds |
| Sand / clay minerals | Buffering floods and droughts | Aesthetic landscapes |
| Biomedical resources | Water filtration | Spiritual |
| Bio-resources, soil stabilizers | Hazard regulation | |
| | Structural support buffering, shrink/swell | |
| | Landslides/slumps | |
| | Liquifaction | |
| | Dust emissions | |
| | Disease regulation | |
| | Human pathogens | |
| | Disease transmission and vector control | |
| | Biodiversity | |
| | Gene pool | |
| | Pathogen regulation | |
| | Waste processing | |
| | Cleaning, degrading, transforming | |

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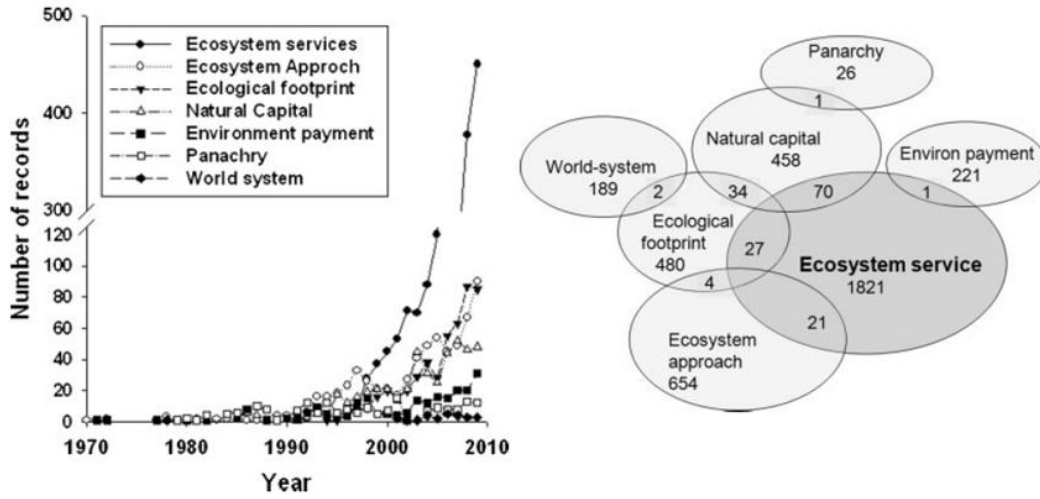
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796 Figures

797 Figure 1. Number of references to ecosystem services and natural capital etc. showing
798 exponential growth and the link between terms; from Dick et al. (2011).



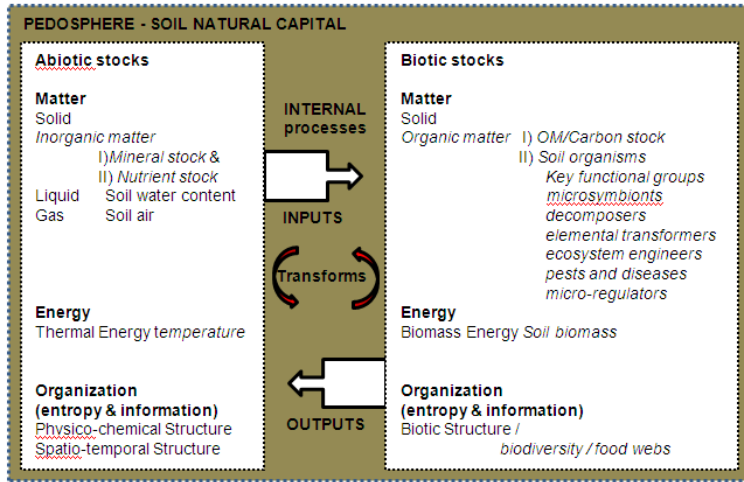
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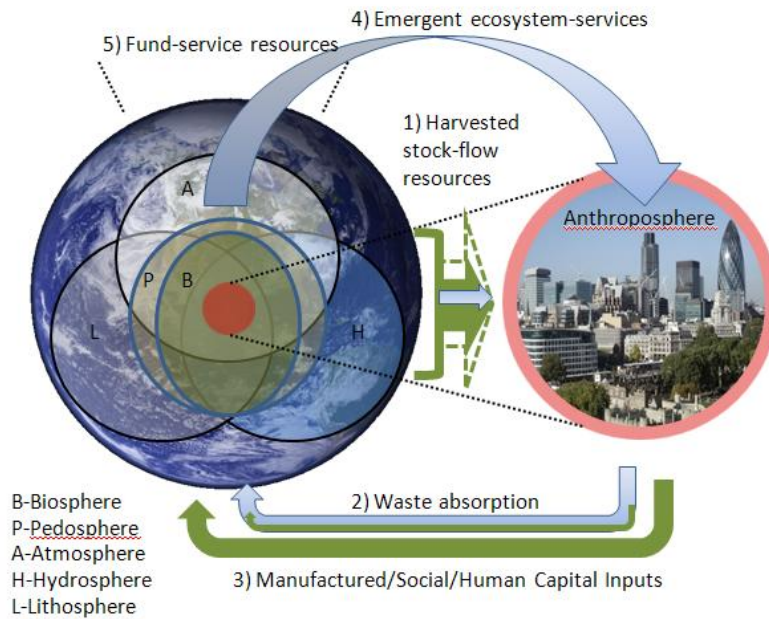
803 Figure 2. Pedosphere natural capital and their internal cycling between abiotic and biotic
804 components. Modified from Robinson et al. (2012)



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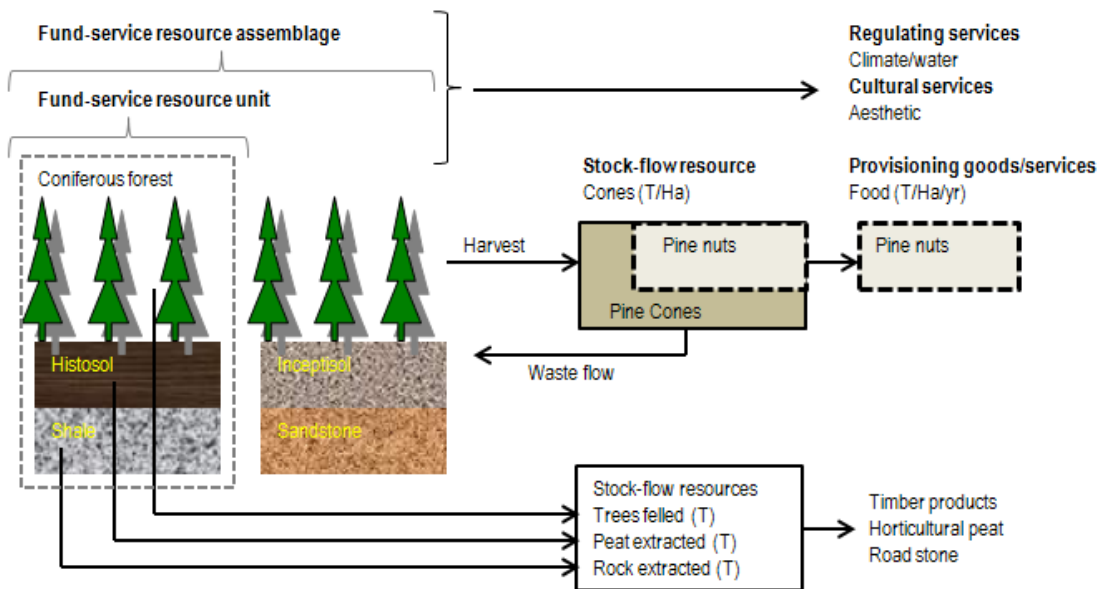
808 Figure 3. Earth system model of the spheres from which we draw natural resources and
809 obtain ecosystem services. Humans harvest goods (1, stock-flow resources) into the
810 anthroposphere which may return as waste (2) or be transformed into a capital input (3). The
811 interaction of the earth system spheres results in emergent fund-services (4) derived from the
812 fund-service resources (5).



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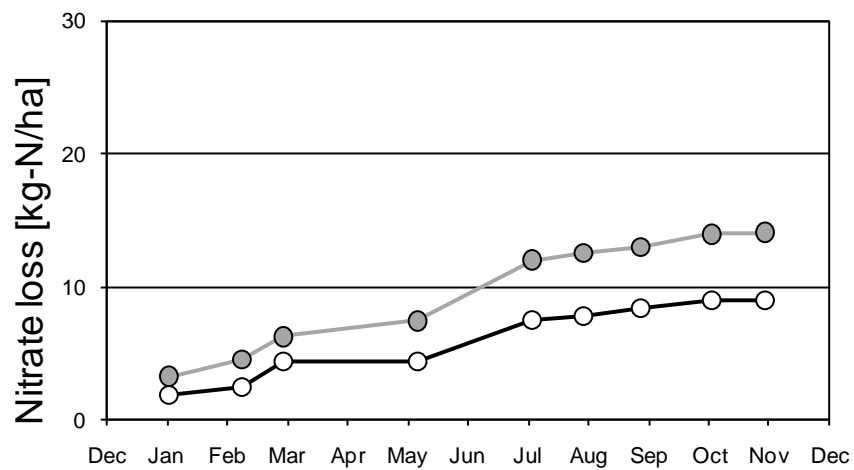
815 Figure 4. Fund-service resource units, and fund-service resource assemblages derived from
 816 the combination of earth system components/spheres. The relationship between the stock-
 817 flow resource, fund-service resource and MEA (2005) provisioning, regulating and cultural
 818 services is shown in the bottom schematic diagram.

| Earth system compartments / spheres | | | | |
|--|---|---|------------------------------------|--|
| Lithosphere (Rocktypes) | Pedosphere (Soil orders) | Terrestrial Biosphere (Biomes) | Hydrosphere Aquatic (Biomes) | Atmosphere (Layers) |
| Sedimentary Igneous Metamorphic | Alfisols Andisols Aridisols Entisols Gelisols Histosols Inceptisols Mollisols Oxisols Spodosols Ultisols Vertisols | Desert Forest Grassland Tundra | Fresh water Marine | Troposphere Stratosphere Mesosphere Ionosphere Exosphere |
| Shale Sandstone Shale Shale | Histosol Inceptisol | Forest Forest | River Lake | Troposphere Troposphere Troposphere Troposphere |
| Fund-service resource unit | | | | |
| Fund-service resource assemblage, e.g. watershed | | | | |



820 Figure 5. Top: The time series in the mean of the cumulative nitrate leaching under apple
821 (grey circles) and dwarf apple (open circles) as measured by a set of 6 tension drainage
822 fluxmeters at each orchard site during 2009 (after Green et al., 2010)

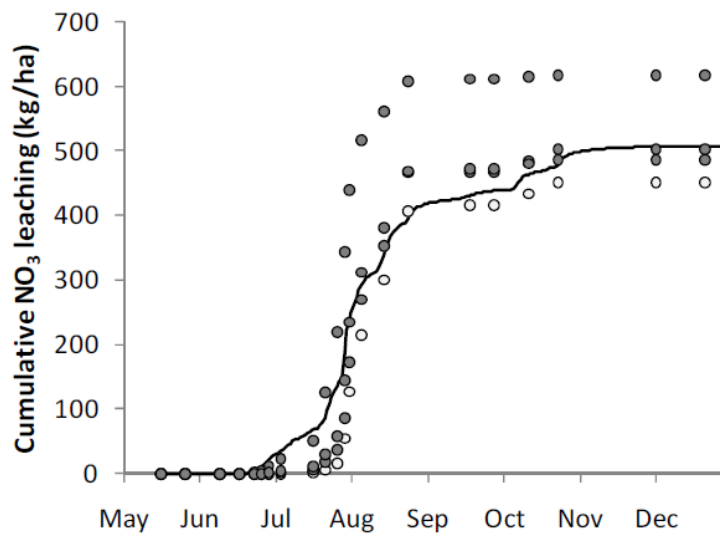
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825 Figure 6. The cumulative nitrate leaching from 4 lysimeters to which had been applied urine
826 to simulate a 'urine patch' at a concentration of 1000 kg-N/ha (from Cichota et al., 2010)

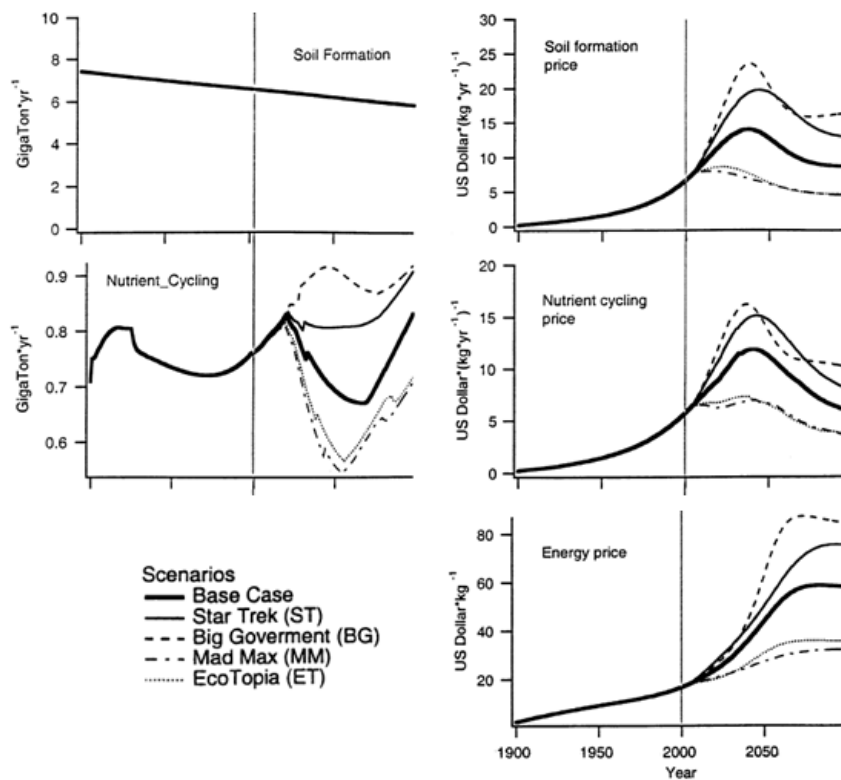
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829 Figure 7. GUMBO predictions for soil formation, nutrient cycling and energy prices; altered
 830 from Boumans et al., (2002). These scenarios include a Base Case (using the ‘best fit’ values
 831 of the model parameters over the historical period). Star Trek, technologically optimistic
 832 policies (higher rates of consumption and investment in built capital, lower investment in
 833 human, social and natural capital), the real state of the world corresponds to the optimistic
 834 parameter assumption set (new alternative energy comes on line, etc.); Mad Max,
 835 technologically optimistic policies and the real state of the world corresponds to the skeptical
 836 parameter assumption set; Big Government, technologically skeptical policies (lower rates of
 837 consumption and investment in built capital, higher rates of investment in human, social and
 838 natural capital) and the real state of the world corresponds to the optimistic parameter
 839 assumption set, and EcoTopia, technologically skeptical policies and the real state of the world
 840 corresponds to the skeptical parameter assumption set.

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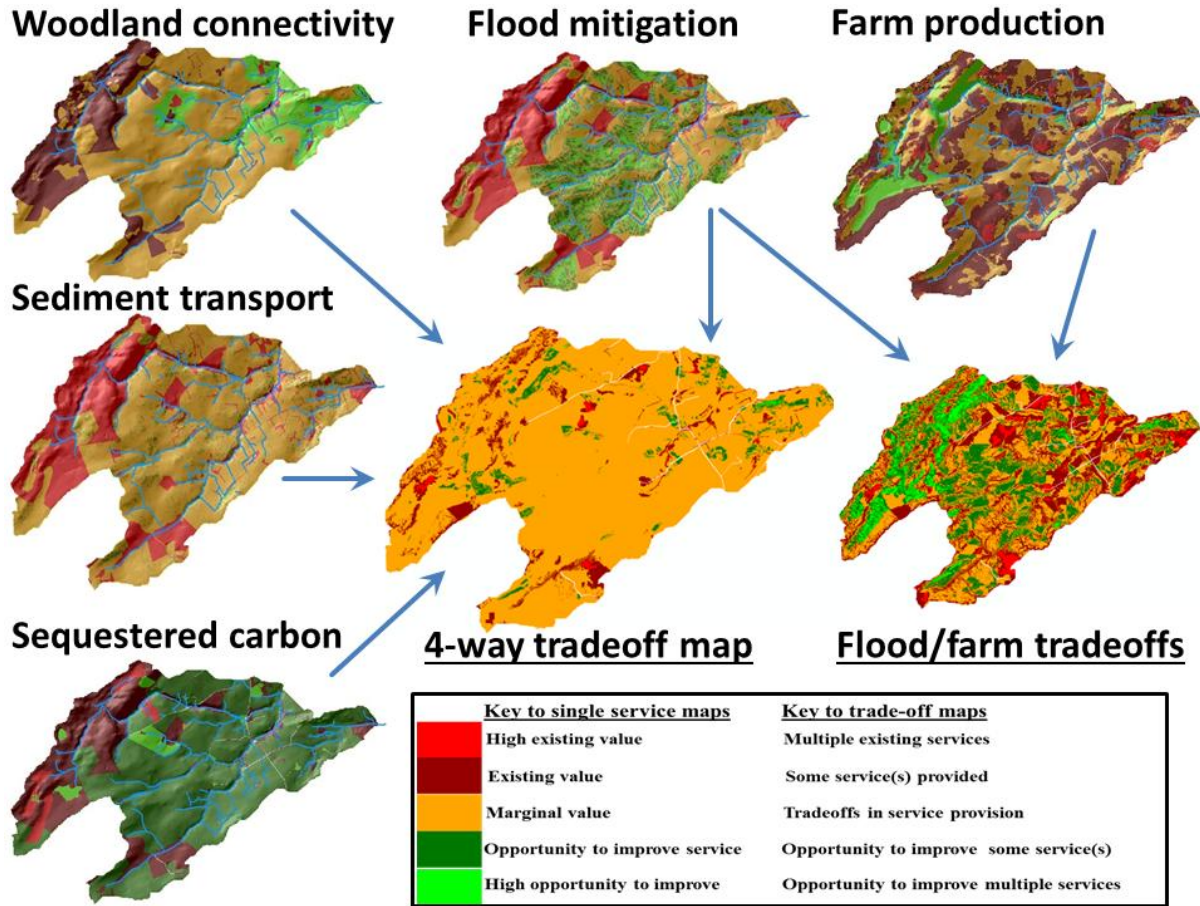
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845 Figure 8. Example LUCI application: Single service provisions and tradeoffs/synergies between service in the Pontbren catchment, mid-Wales.

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