



**Is There a Simple Relationship Between  
Different Phases, Phase Transitions and  
Stability of Drugs?**

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**Introduction**

**Research Interests**

**Examples of a solid state characterisation using  
conventional thermo-analytical instrumentation**

**Thermally Stimulated Current Spectroscopy (TSC)  
as a new mean of characterising materials**

**Conclusions**

# **Educational Background**

**BSc Chemistry – 1997, University of Belgrade**

**Over 4 years – QA/QC, Pharmaceutical Industry,  
Serbia**

**PhD in Pharmaceutical Materials Science – 2005,  
Queens University Belfast**

**Academia (Lecturer in Pharmaceutical Analysis)  
University of East Anglia (2004-2006)  
University of Greenwich (2006-present)**

# **Research Interests**

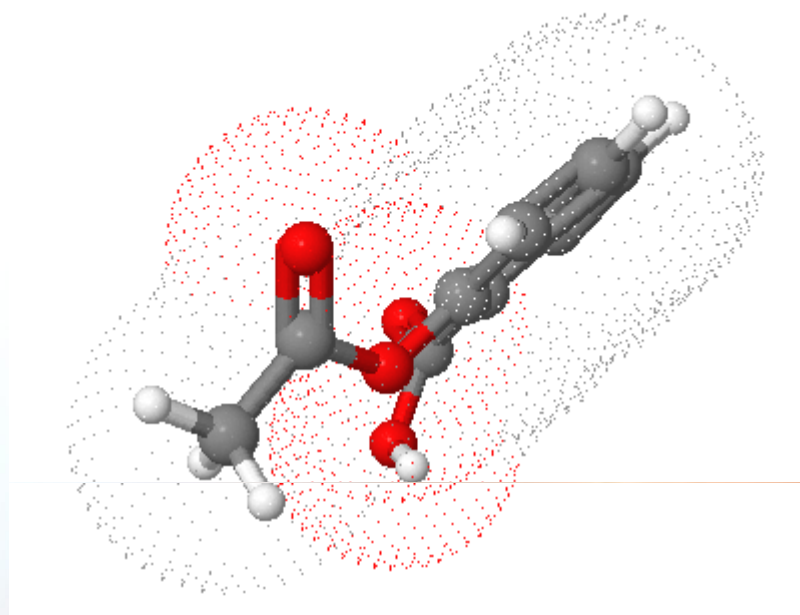
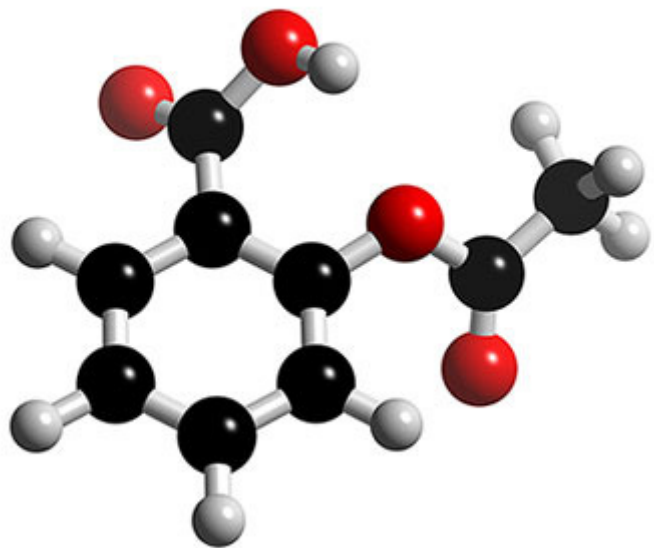
**Solid-State characterisation**

**Understanding relationship between phase transitions and stability of different forms**

**Photo and thermal stability**

**Development of TSC as a new tool for characterisation of small (pharmaceutically important) molecules**

# Aspirin



**Nice model drug**

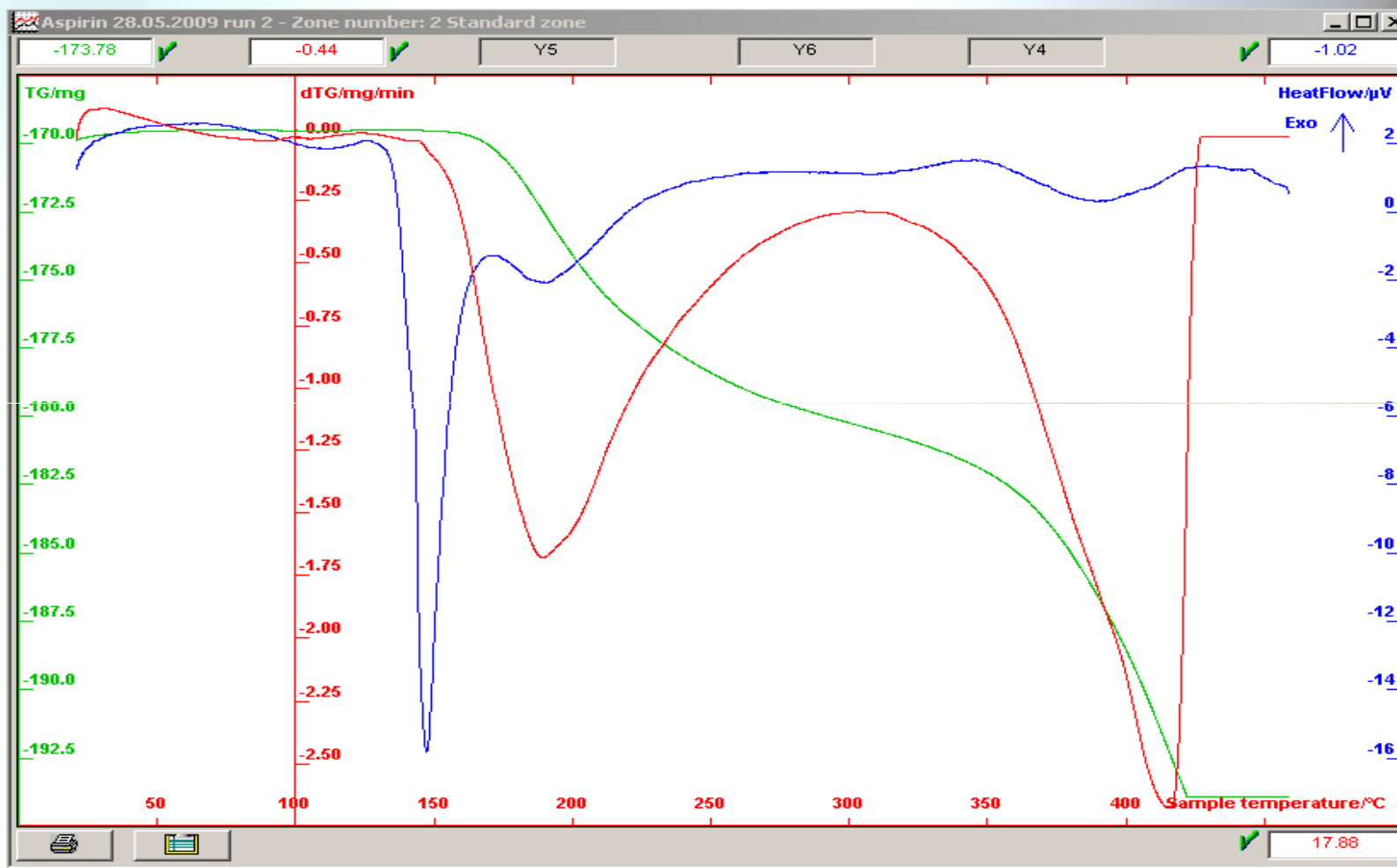
**Cheap, non hazardous**

**Significant information available**

**Gaps in knowledge**

# TGA Results

## Stability in solid-state

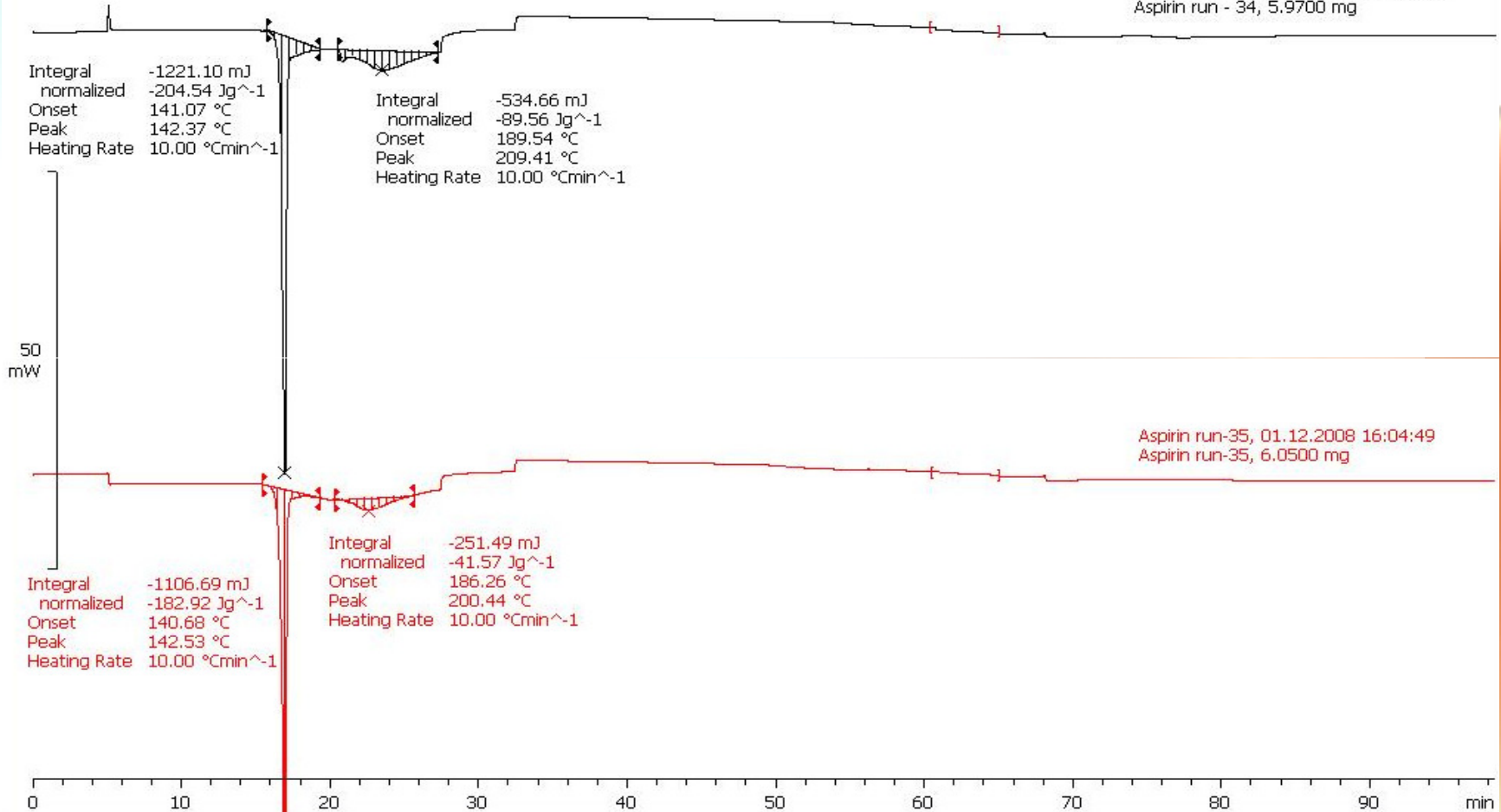


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# DSC Results

## Aspirin (25to210°C/210to-30°C/-30to210°C@10)

Aspirin run - 34, 01.12.2008 14:19:13  
Aspirin run - 34, 5.9700 mg

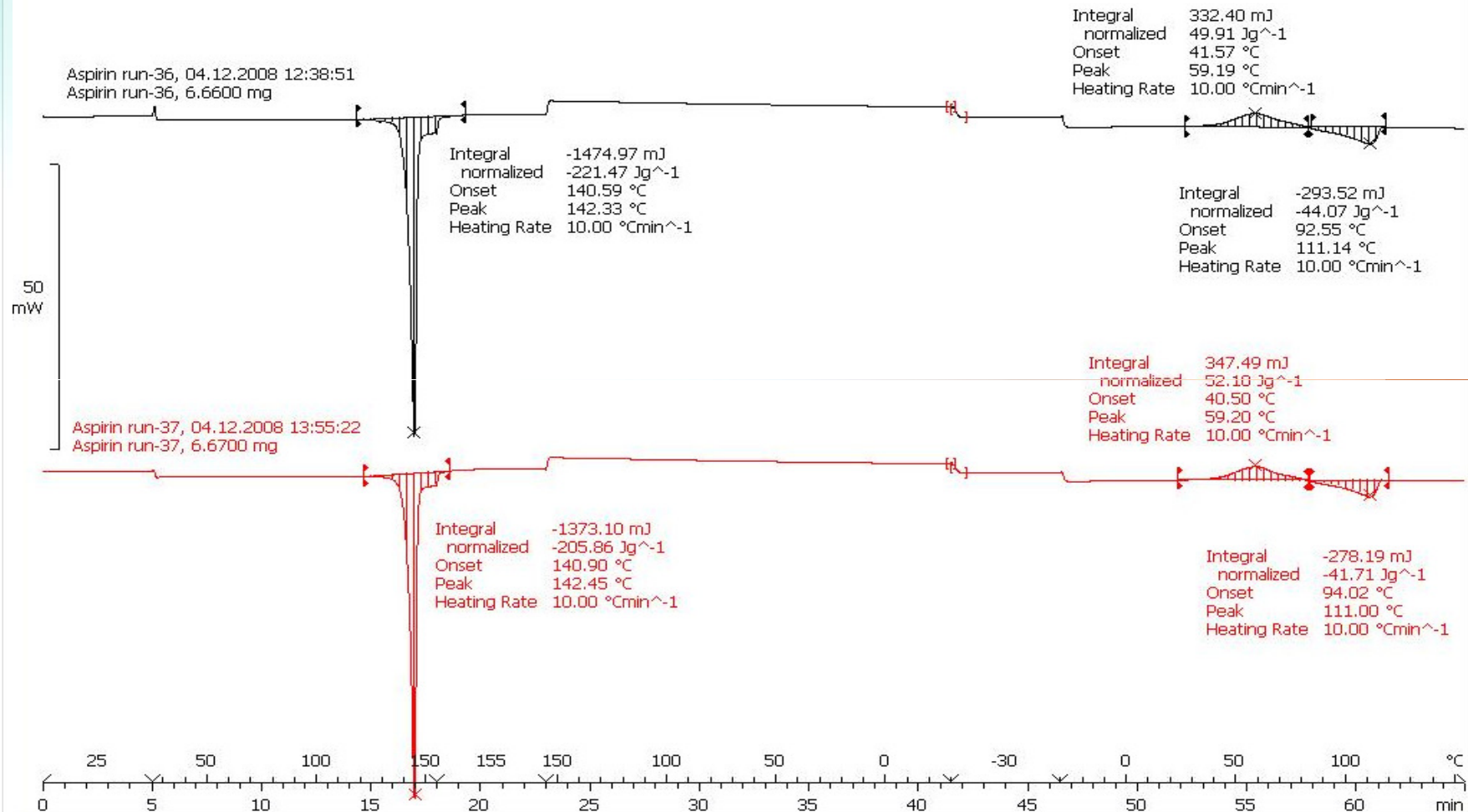


Aspirin run-35, 01.12.2008 16:04:49  
Aspirin run-35, 6.0500 mg



# DSC Results

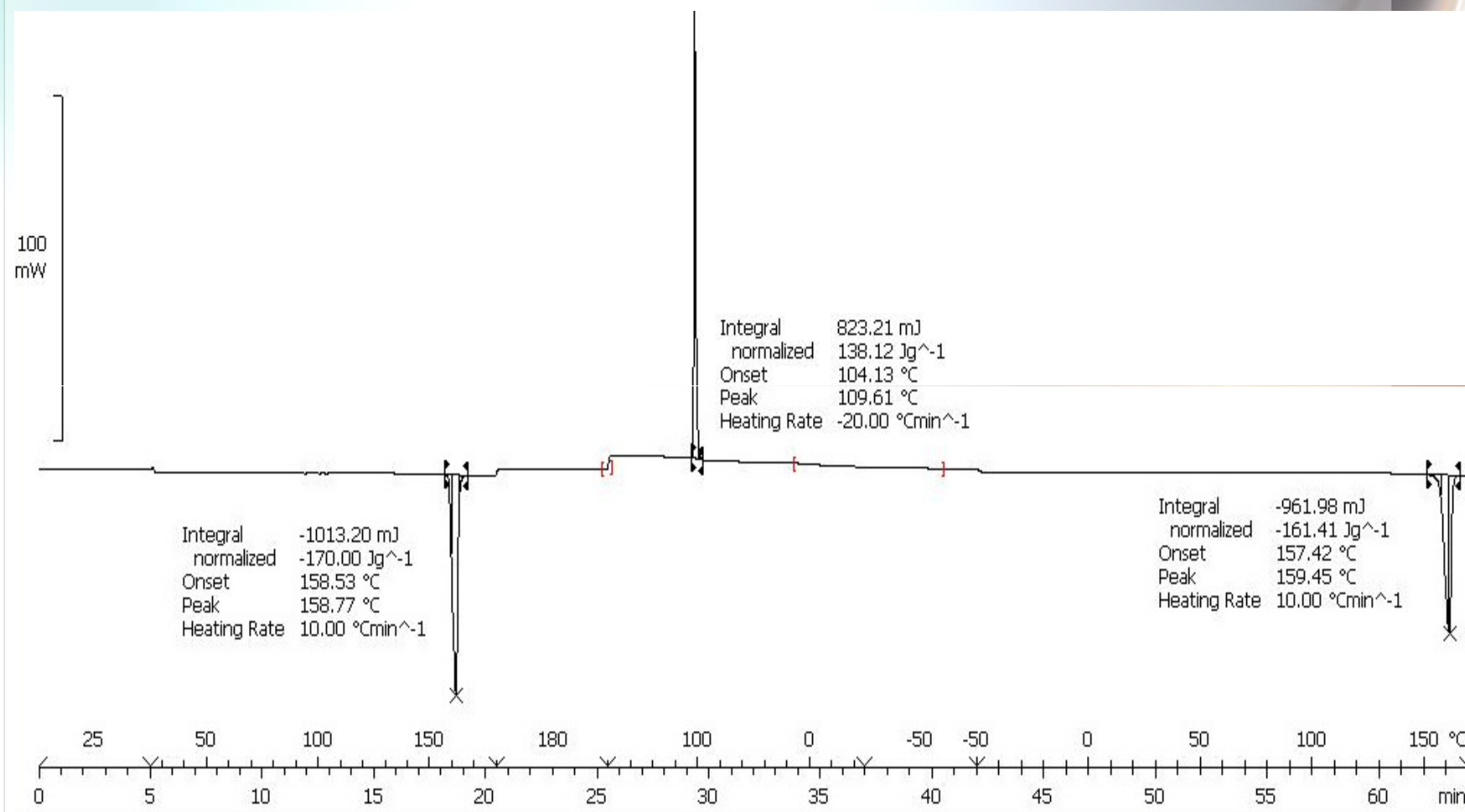
## Aspirin





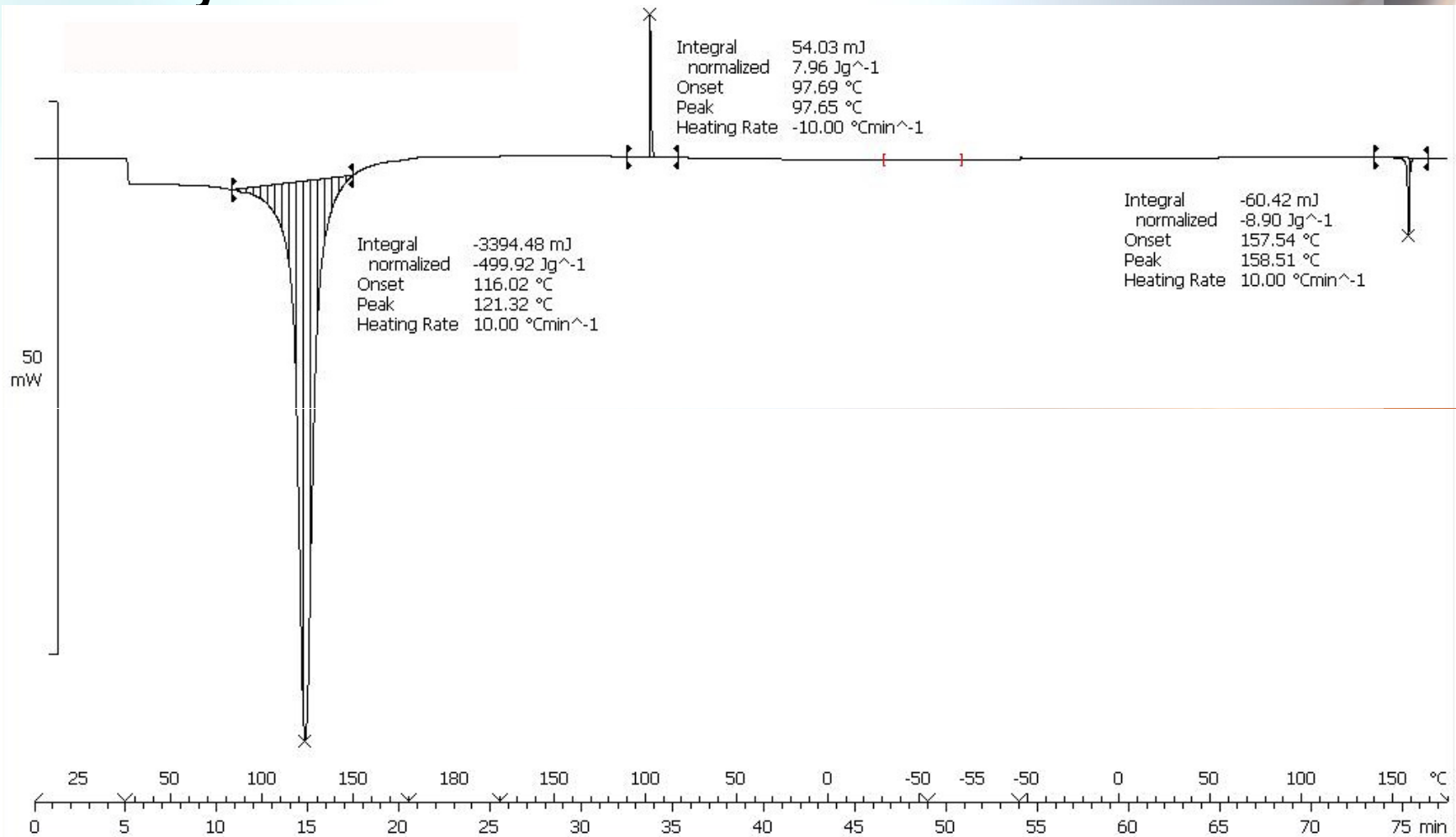
# DSC Results

## Salicylic Acid



# DSC Results

## Salicylic Acid + Acetic Acid



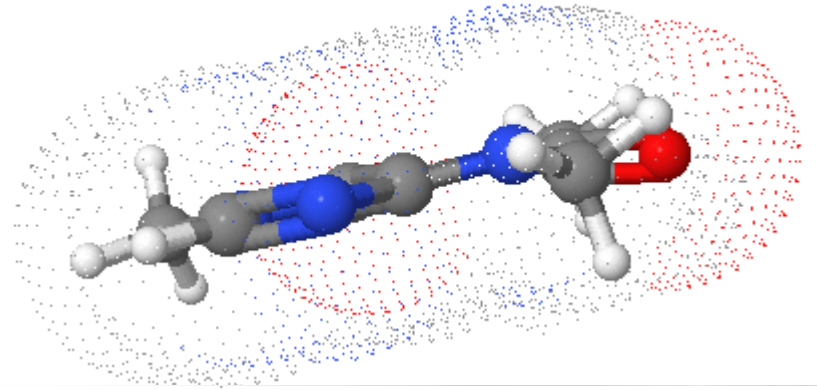
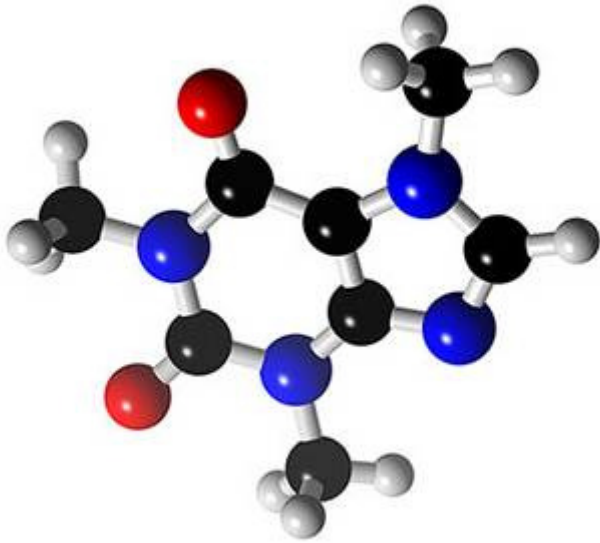
# DSC Results

## Correlation between presence of Acetic Acid and temperature of a phase transitions

	1 <sup>st</sup> heating	2 <sup>nd</sup> heating		
25-155/-55/155	Melting Point (°C)	Tg (°C)	Exo (°C)	Endo (°C)
Crimped pans				
cooling@5	141.2±0.6	-38.2±0.6	58.4±0.2	94.8±1.3
cooling@10	141.2±0.7	-38.2±0.6	58.5±0.4	94.4±1.2
cooling@20	140.6±0.5	-38.9±0.3	42.5±1.2	92.7±0.5
Pinhole pans				
cooling@5	141.0±0.4	-20.4±0.4	69.3±0.5	109.7±0.4
cooling@10	140.9±0.3	-24.5±0.8	61.2±1.3	102.8±0.6
cooling@20	140.6±0.4	-25.7±0.3	58.2±1.2	100.8±0.5

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# Caffeine



**Polymorphic transition**

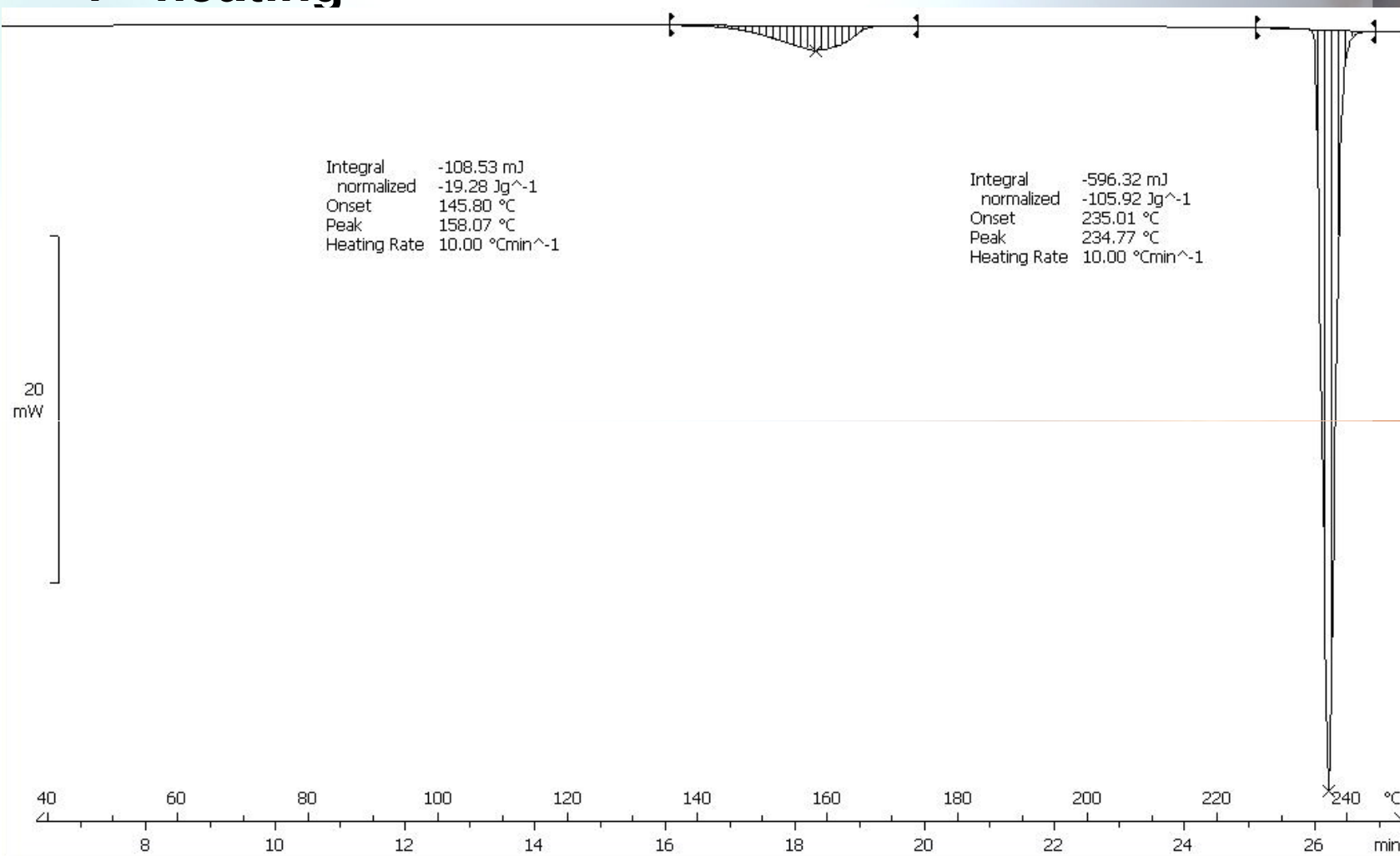
**Form II is stable at room temperature**

**Form I is stable above 150°C**

**Xanthine alkaloid (theophylline, theobromine)**

# Caffeine Form II – DSC Results

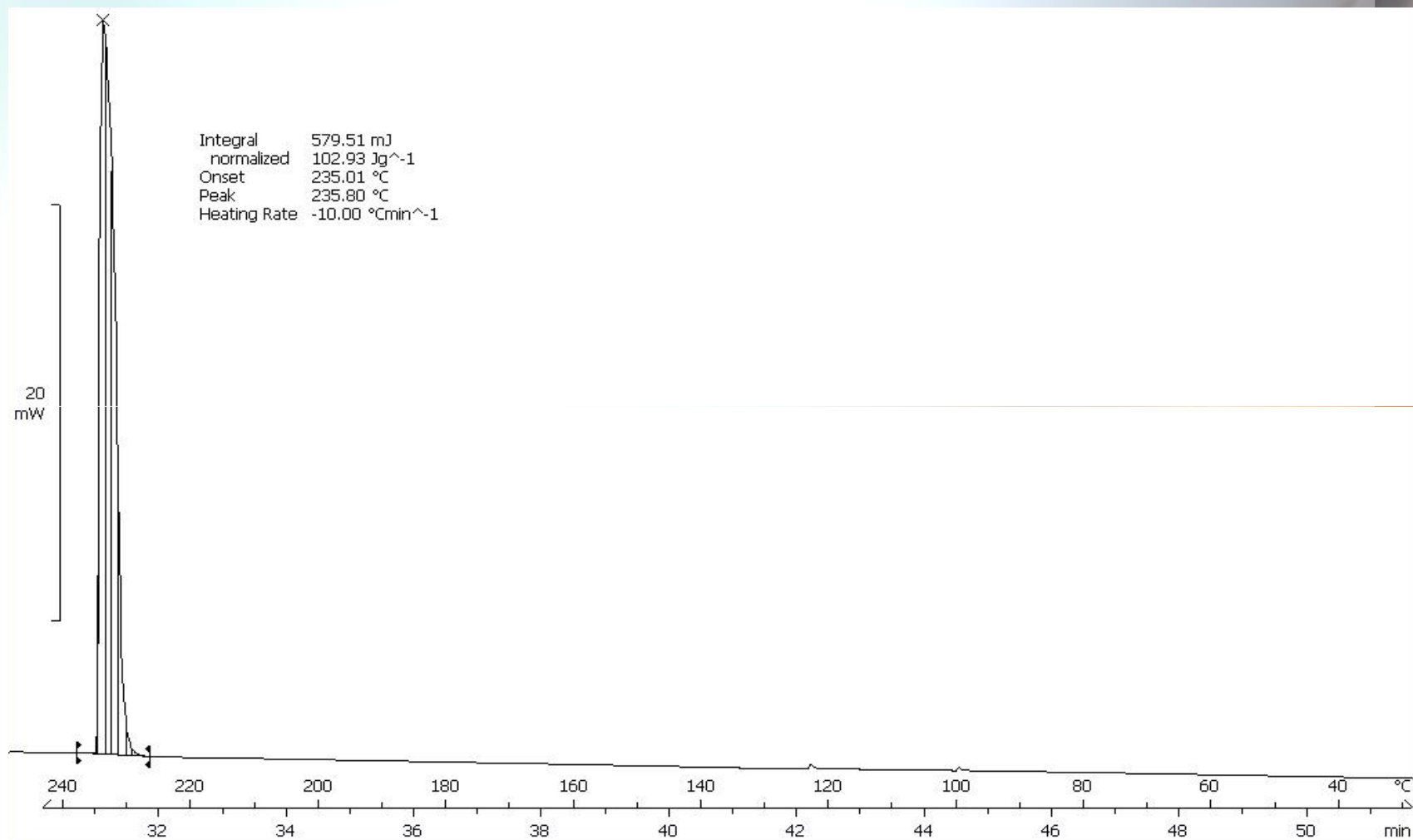
1<sup>st</sup> heating



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# Caffeine Form II – DSC Results

cooling

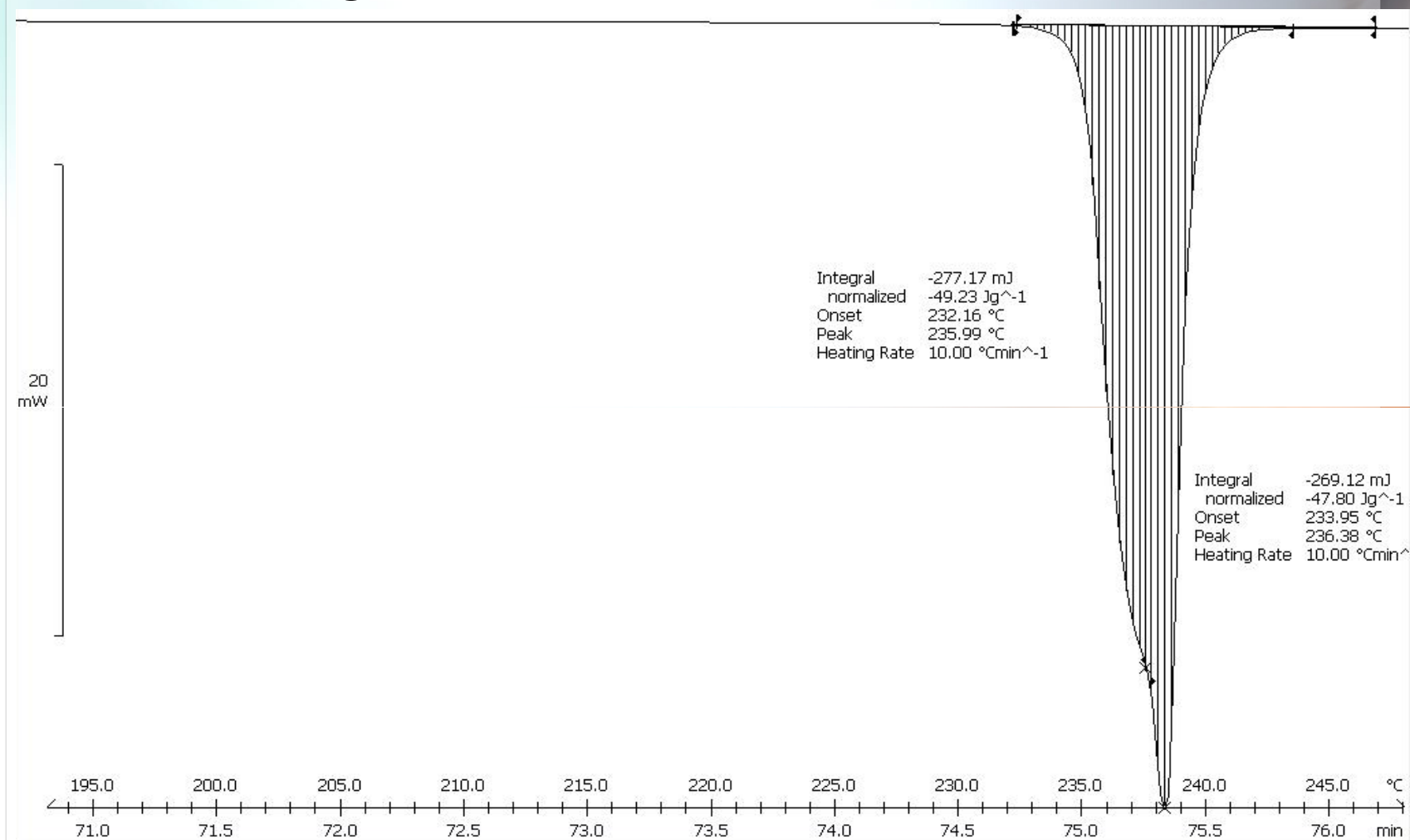


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# Caffeine Form II – DSC Results

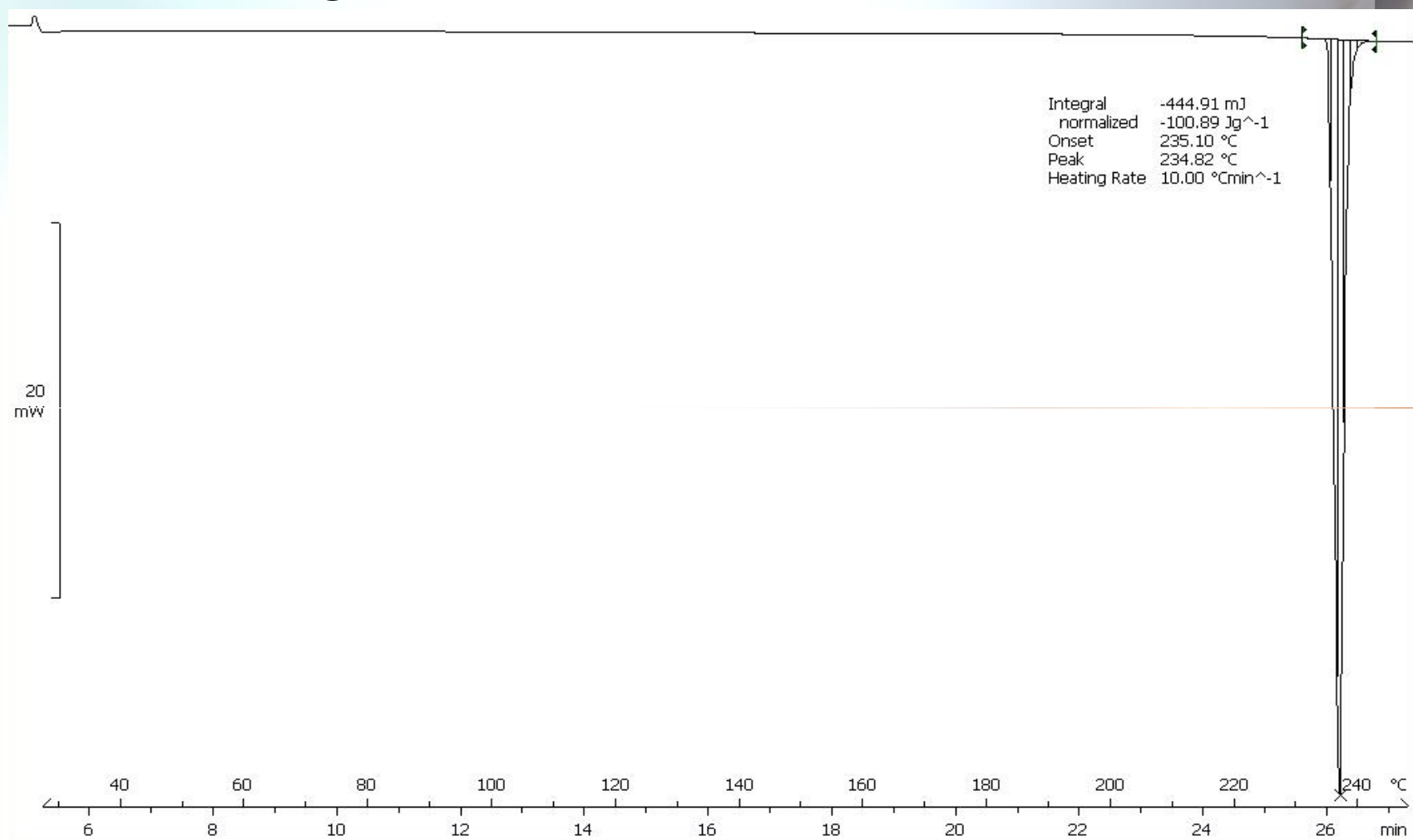
## 2<sup>nd</sup> heating



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# Caffeine Form I – DSC Results

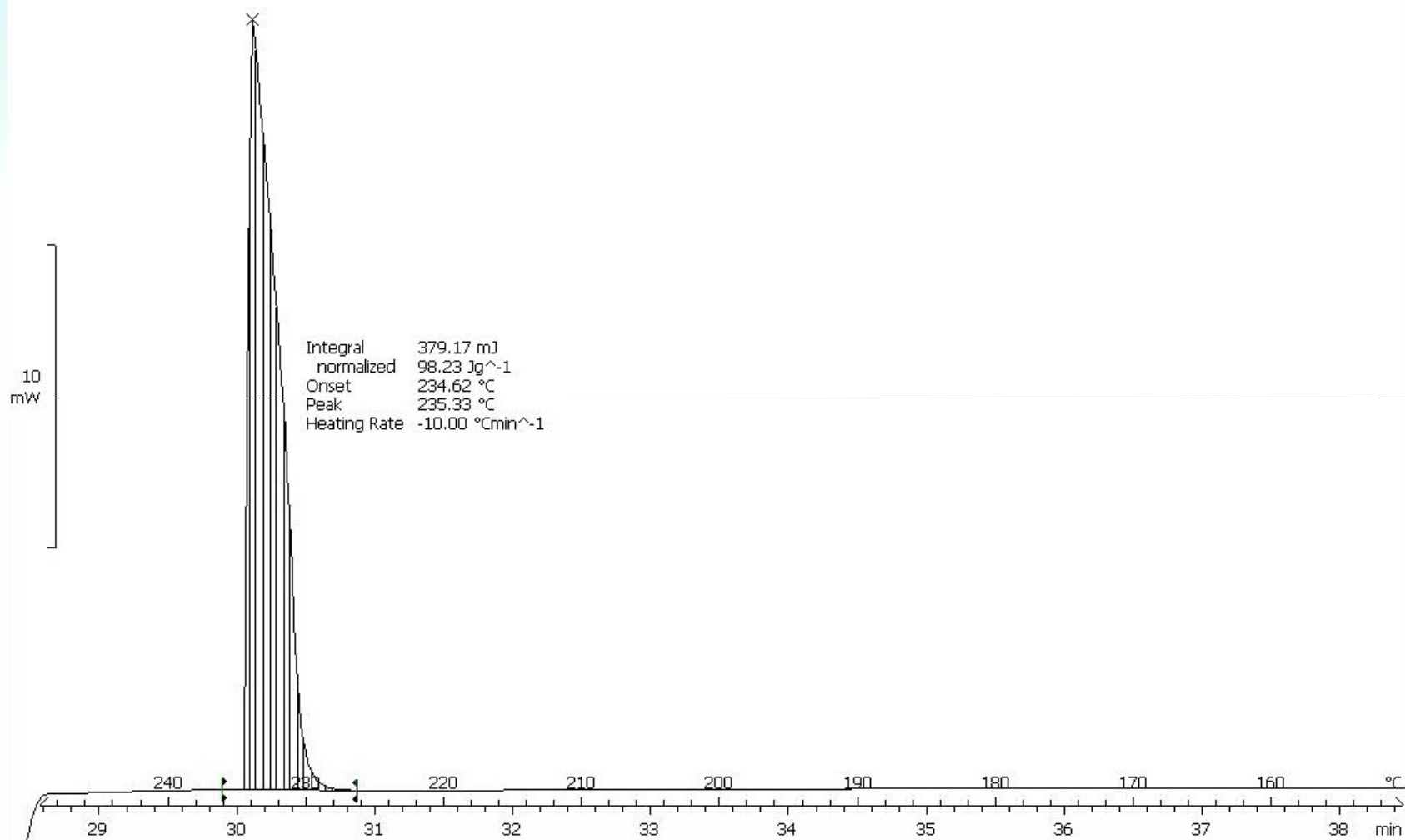
1<sup>st</sup> heating



TA Instruments, London 2009

# Caffeine Form I – DSC Results

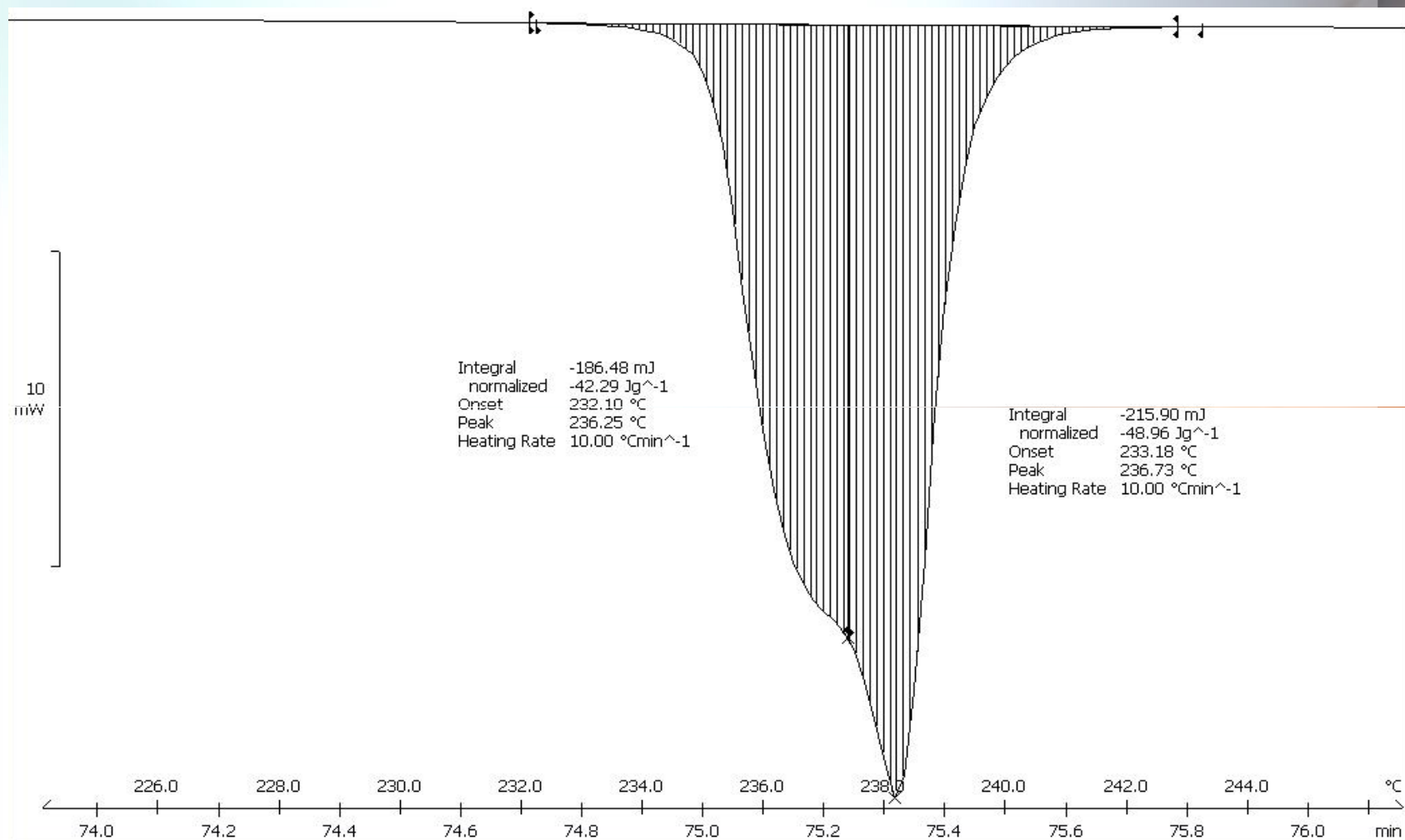
cooling



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# Caffeine Form I – DSC Results

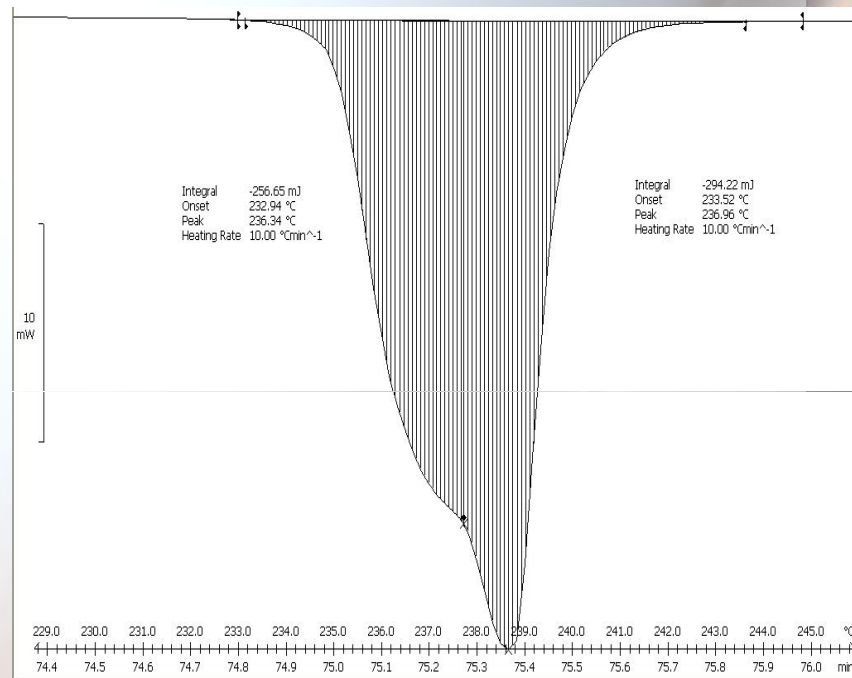
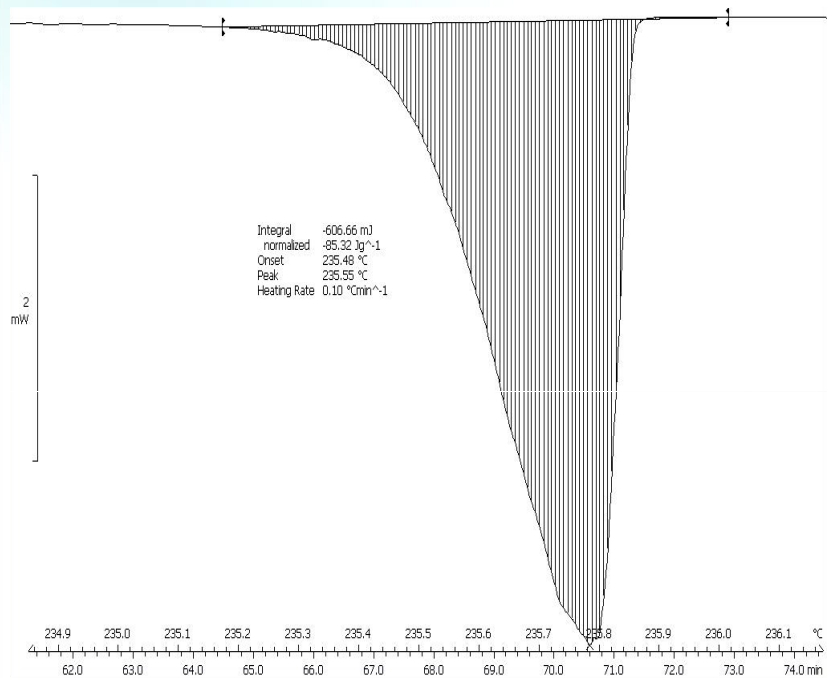
## 2<sup>nd</sup> heating



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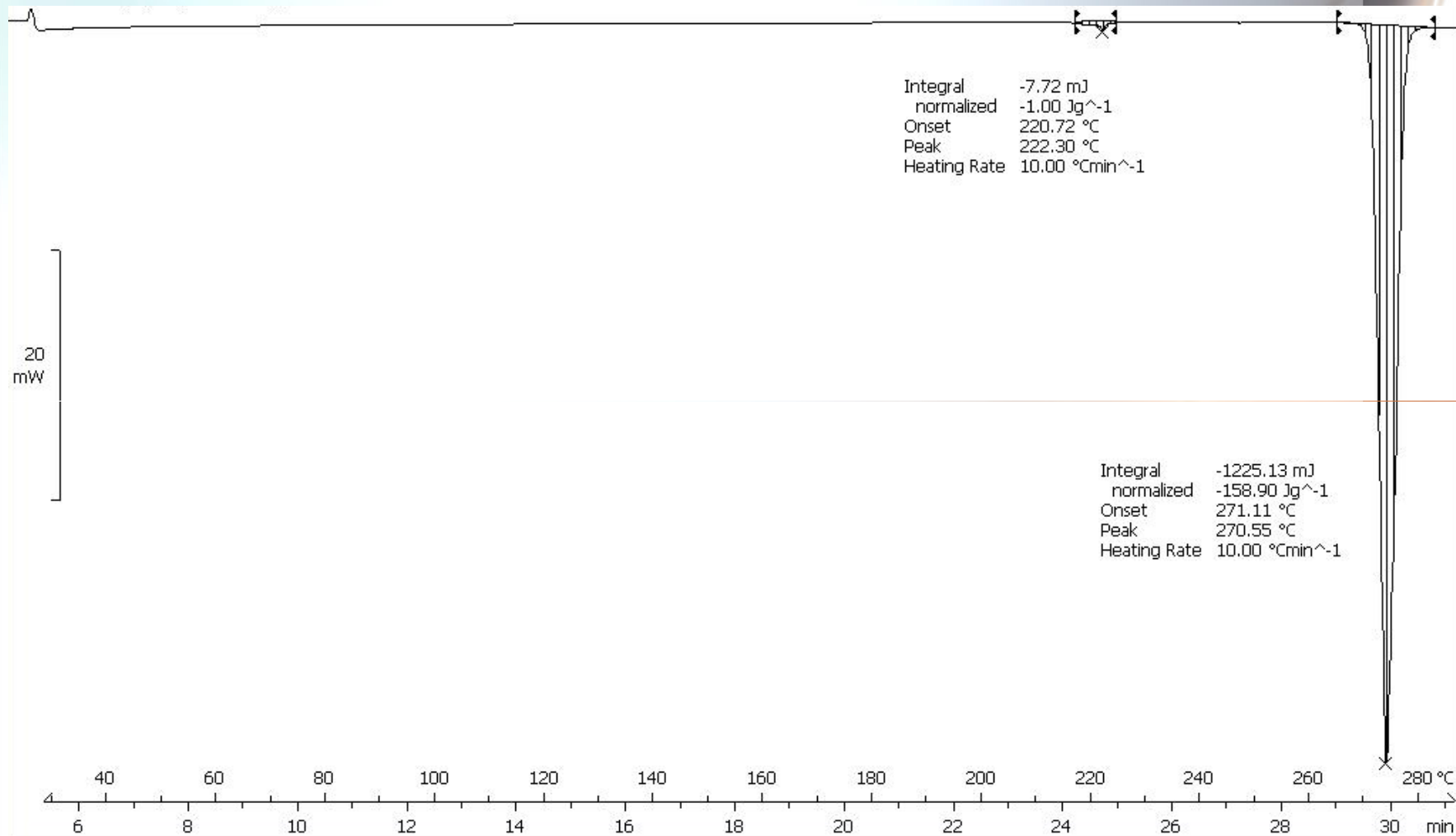
# Caffeine Form I – DSC Results

## Melting of caffeine in 1<sup>st</sup> and 2<sup>nd</sup> heating



# Theophylline - DSC

## 1<sup>st</sup> heating

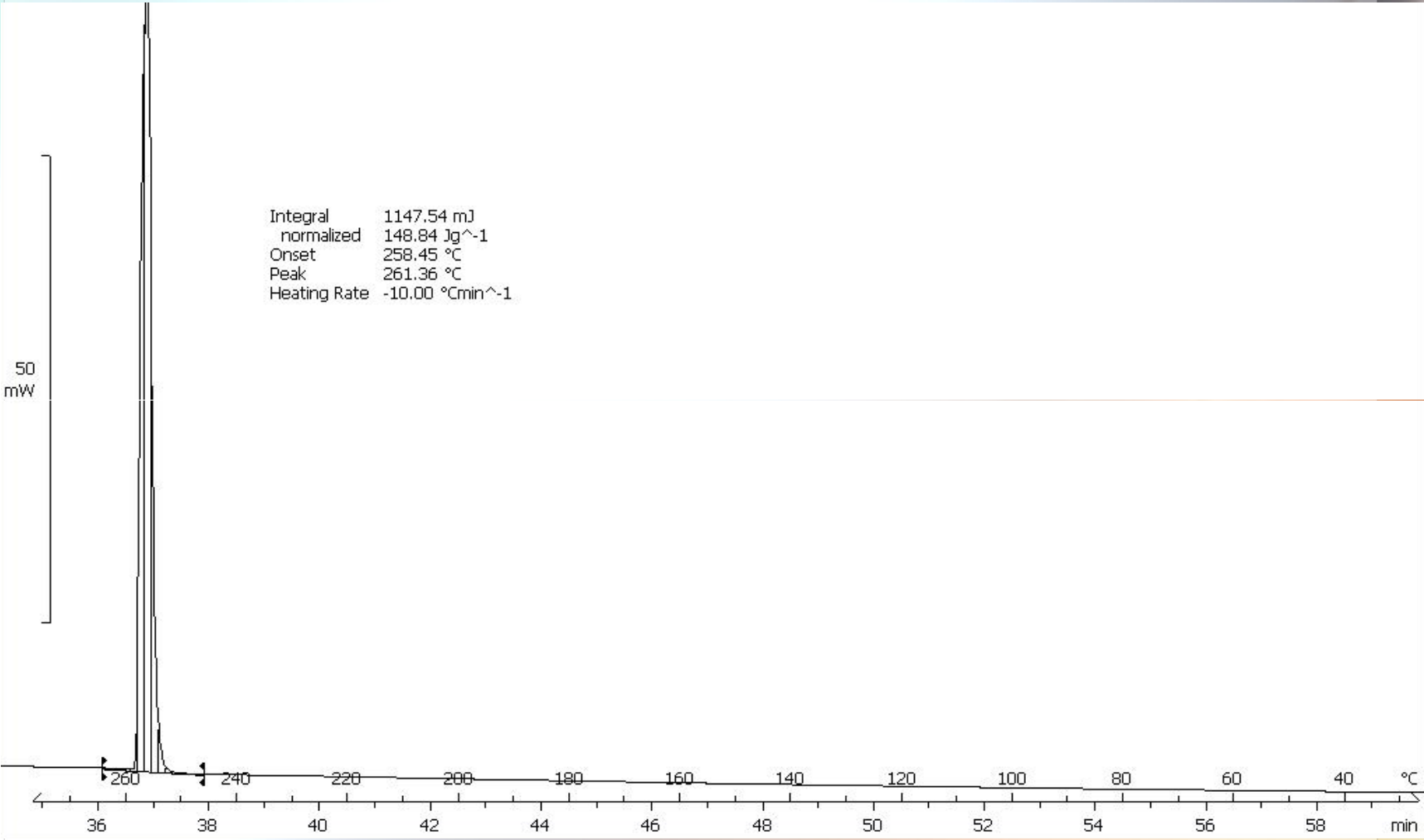


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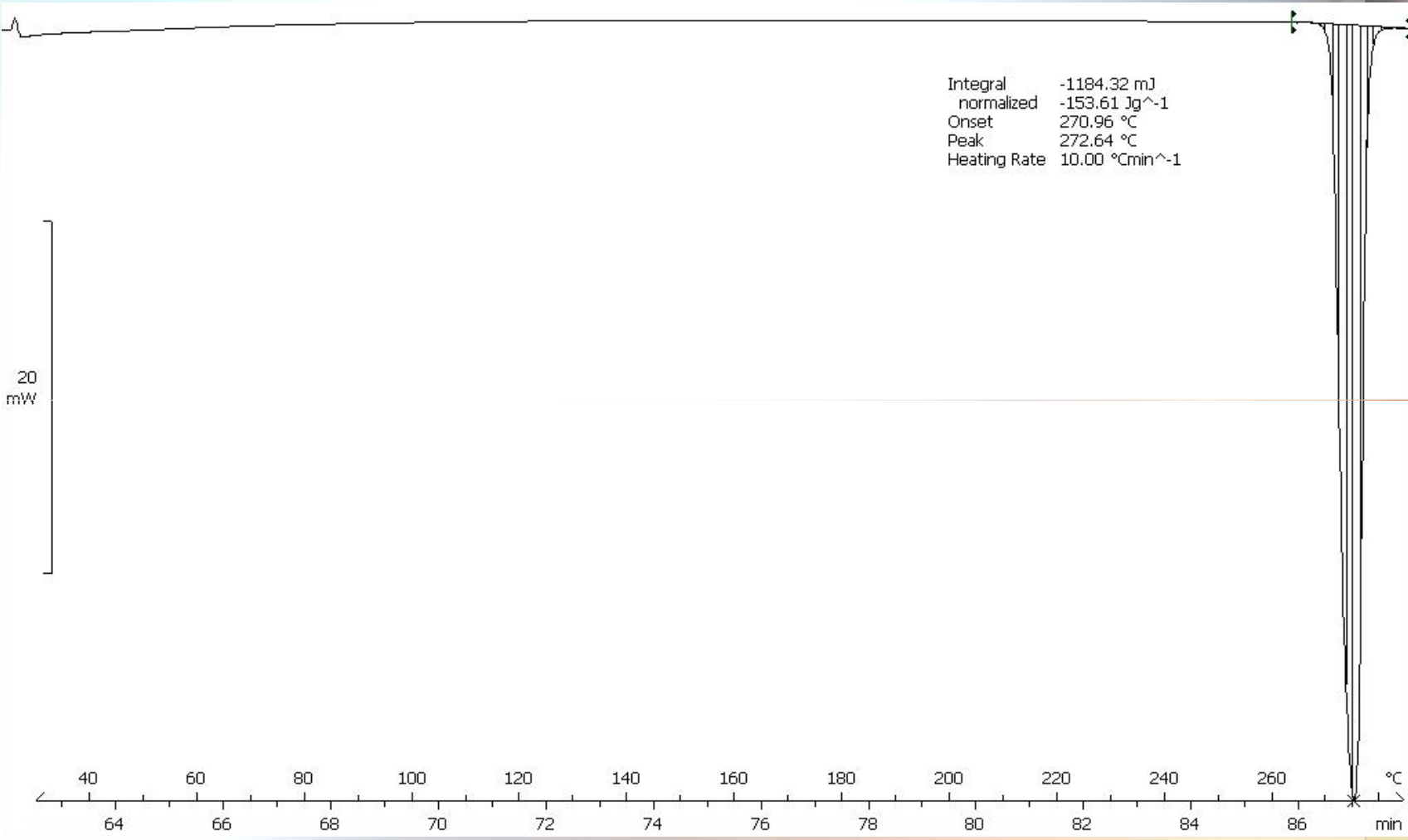
# Theophylline

cooling

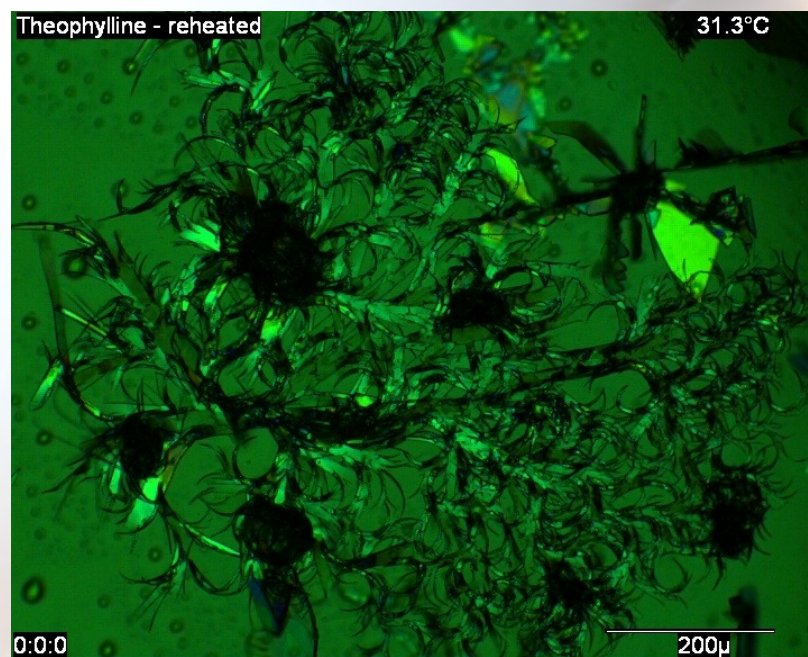


# Theophylline

2<sup>nd</sup> heating

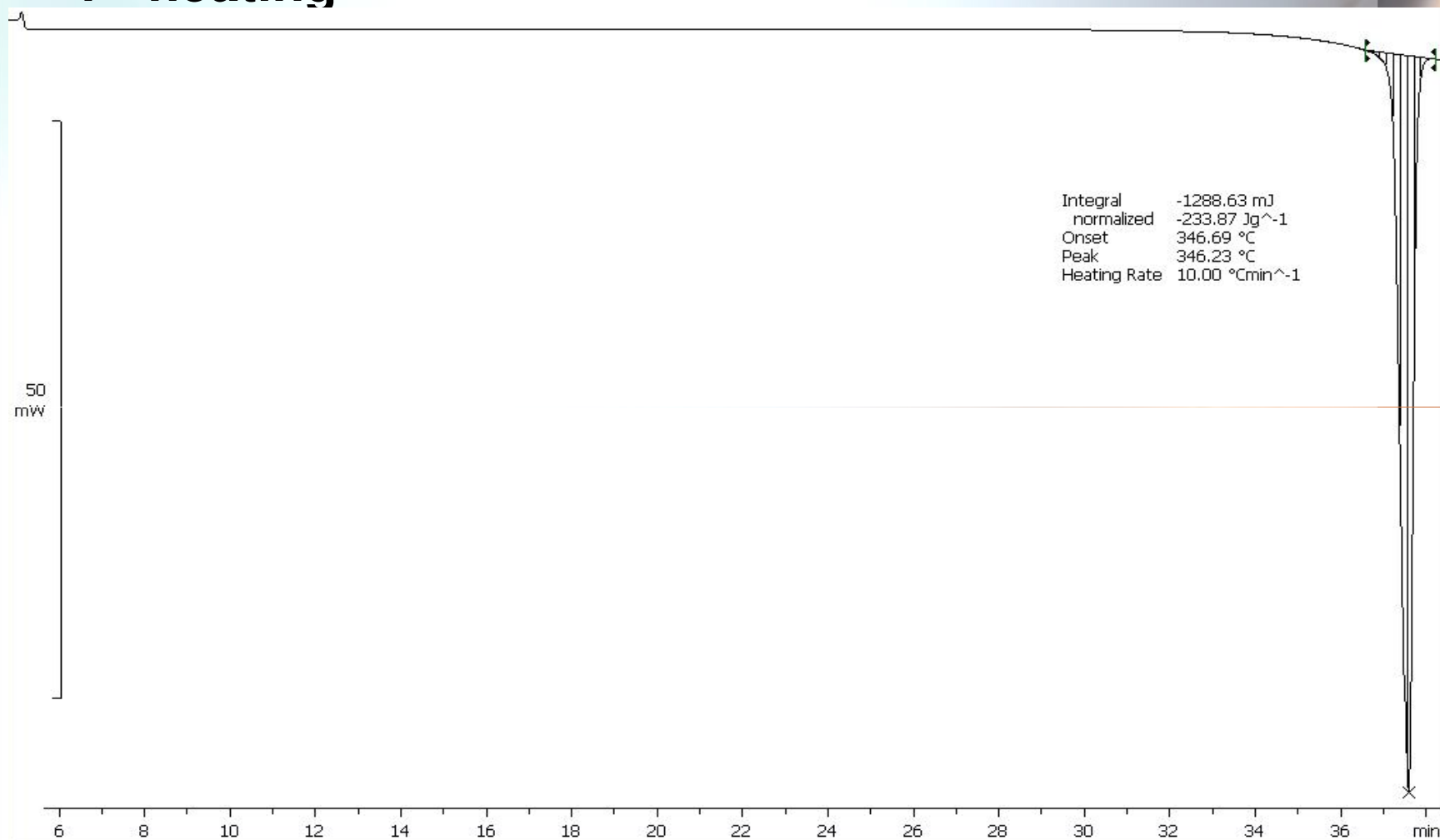


# Theophylline



# Theobromine

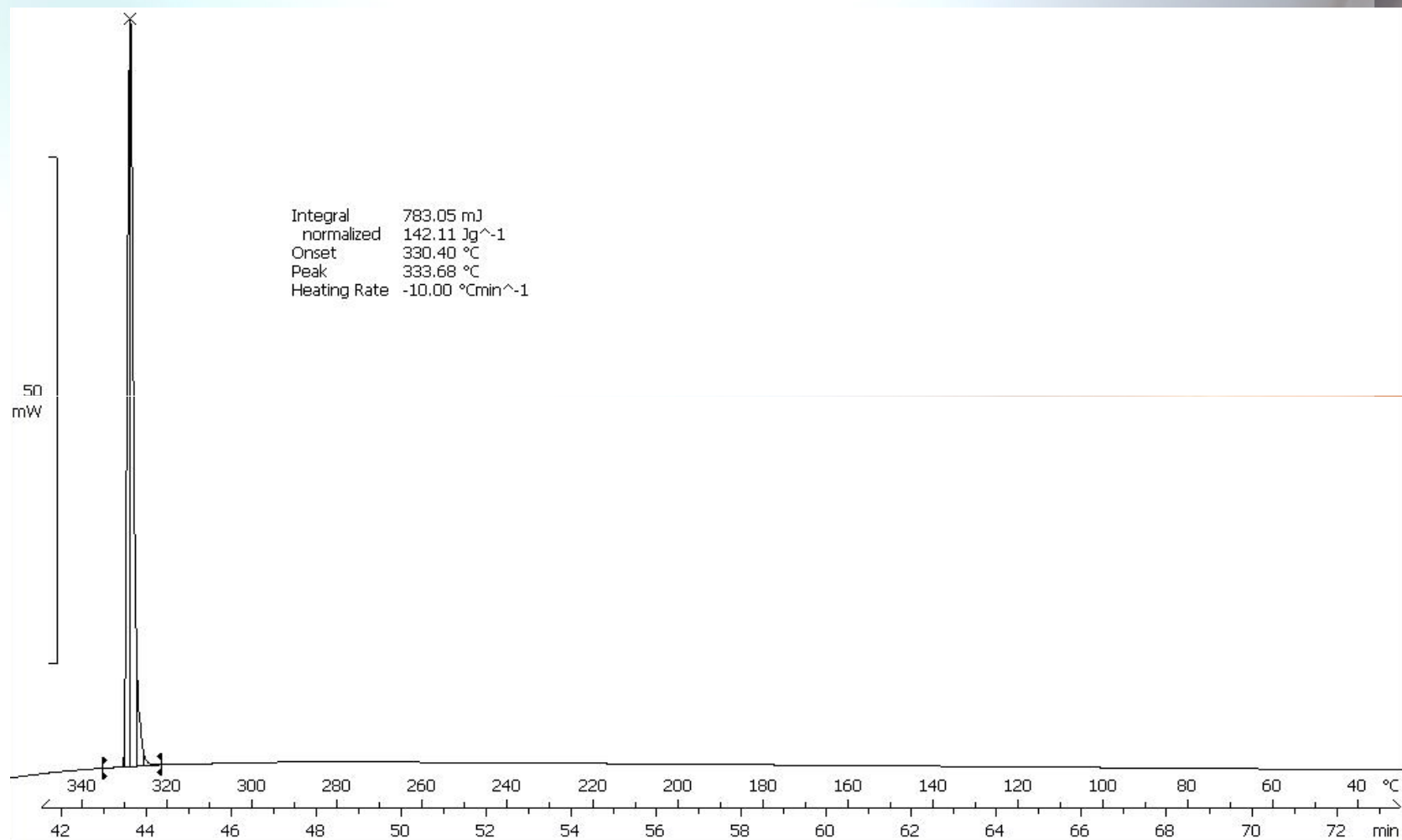
1<sup>st</sup> heating



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# Theobromine

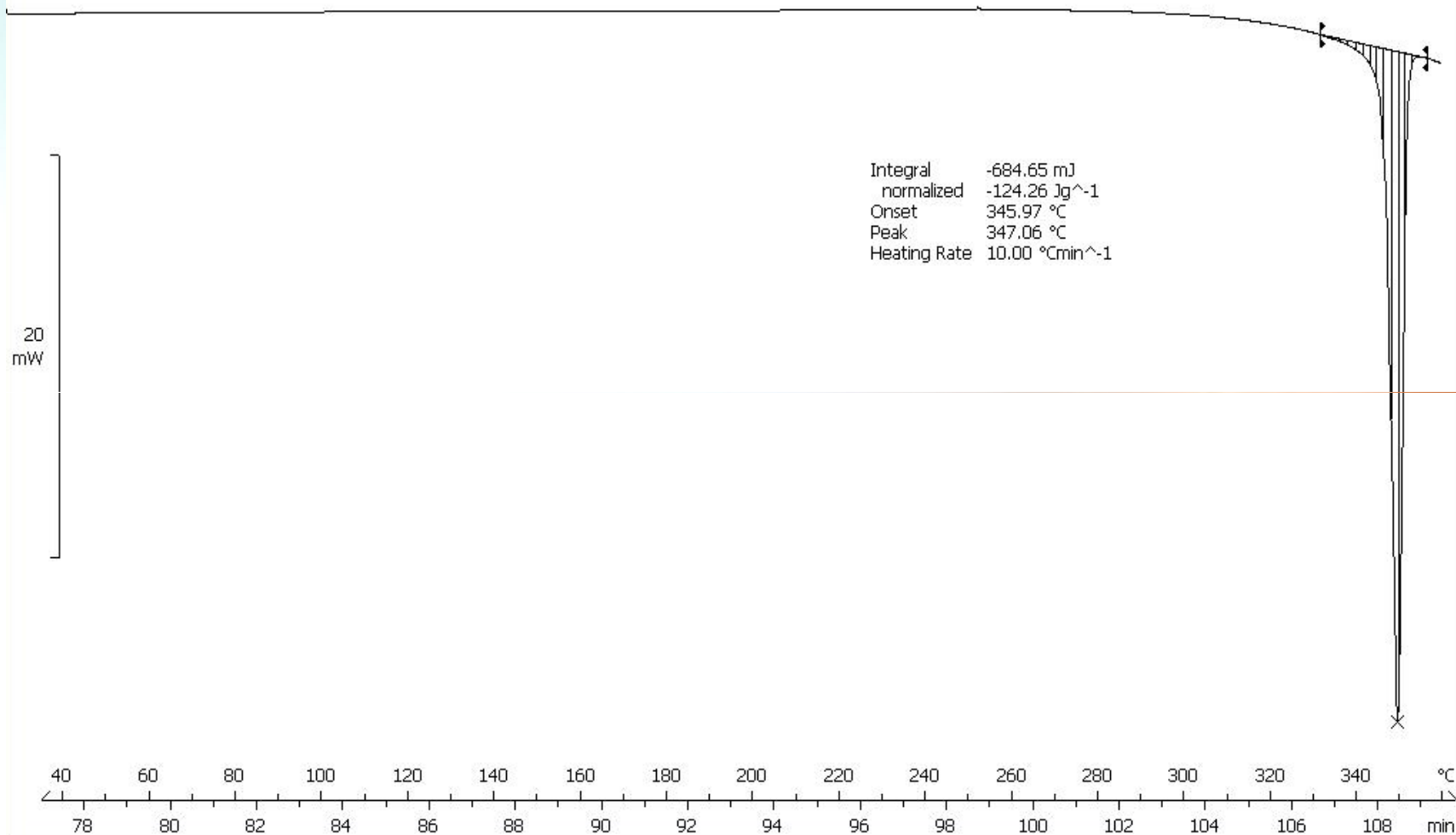
cooling



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# Theobromine

## 2<sup>nd</sup> heating



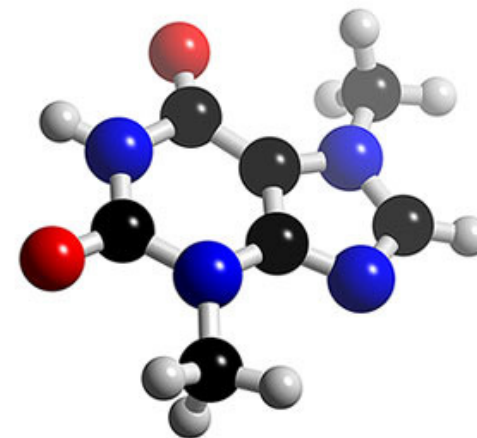
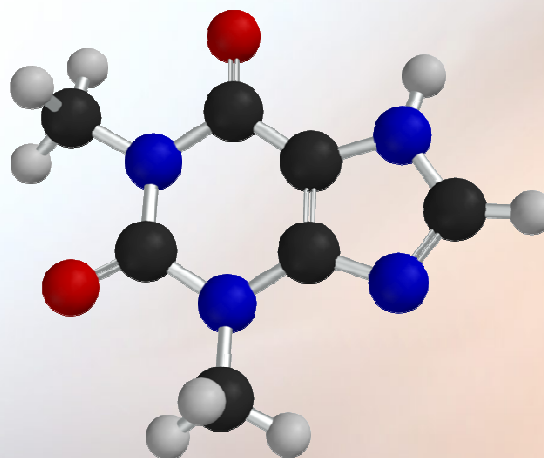
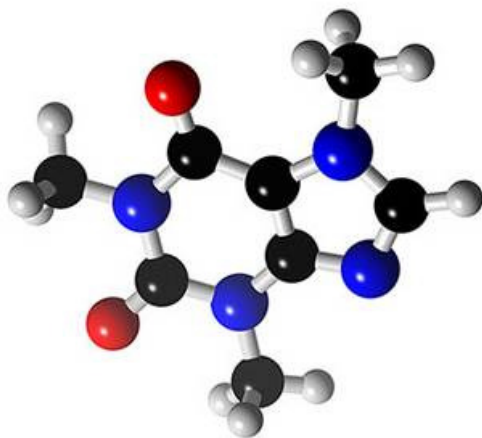
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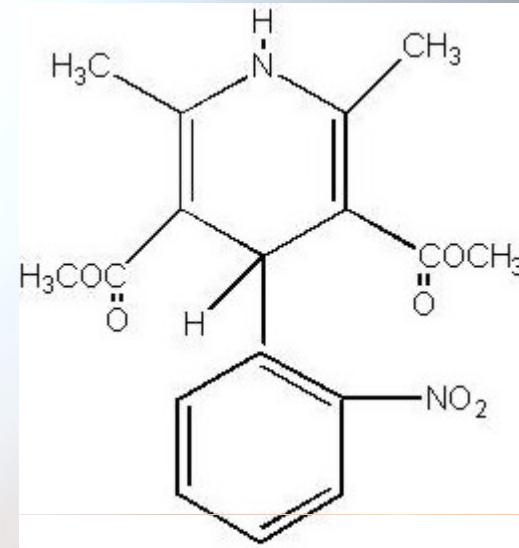
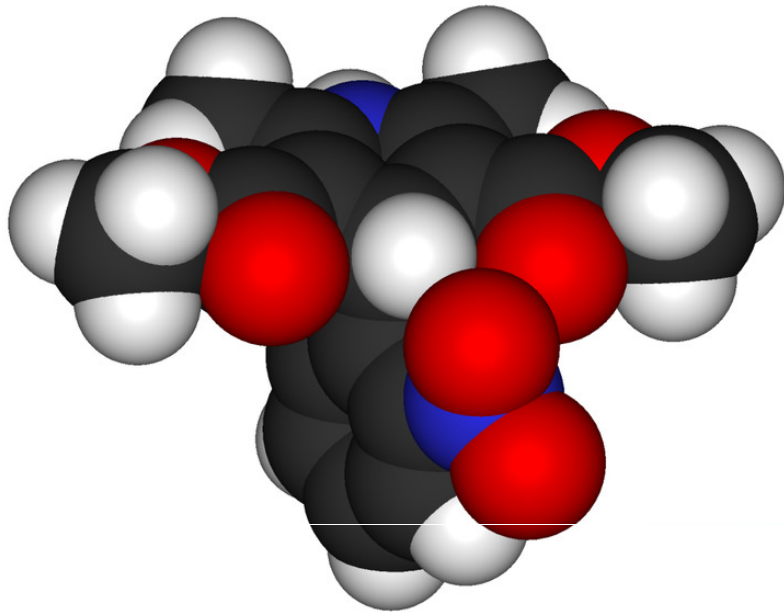
# Caffeine, Theophylline, Theobromine

## Caffeine and Theobromine – sublime rapidly

	Melting point (°C)	Phase Transition (°C)	Recrystallisation (°C)
Caffeine	~ 235	~ 145	~ 235
Theophylline	~ 271	~ 220	~ 260
Theobromine	~ 346	-	~ 333



# Nifedipine



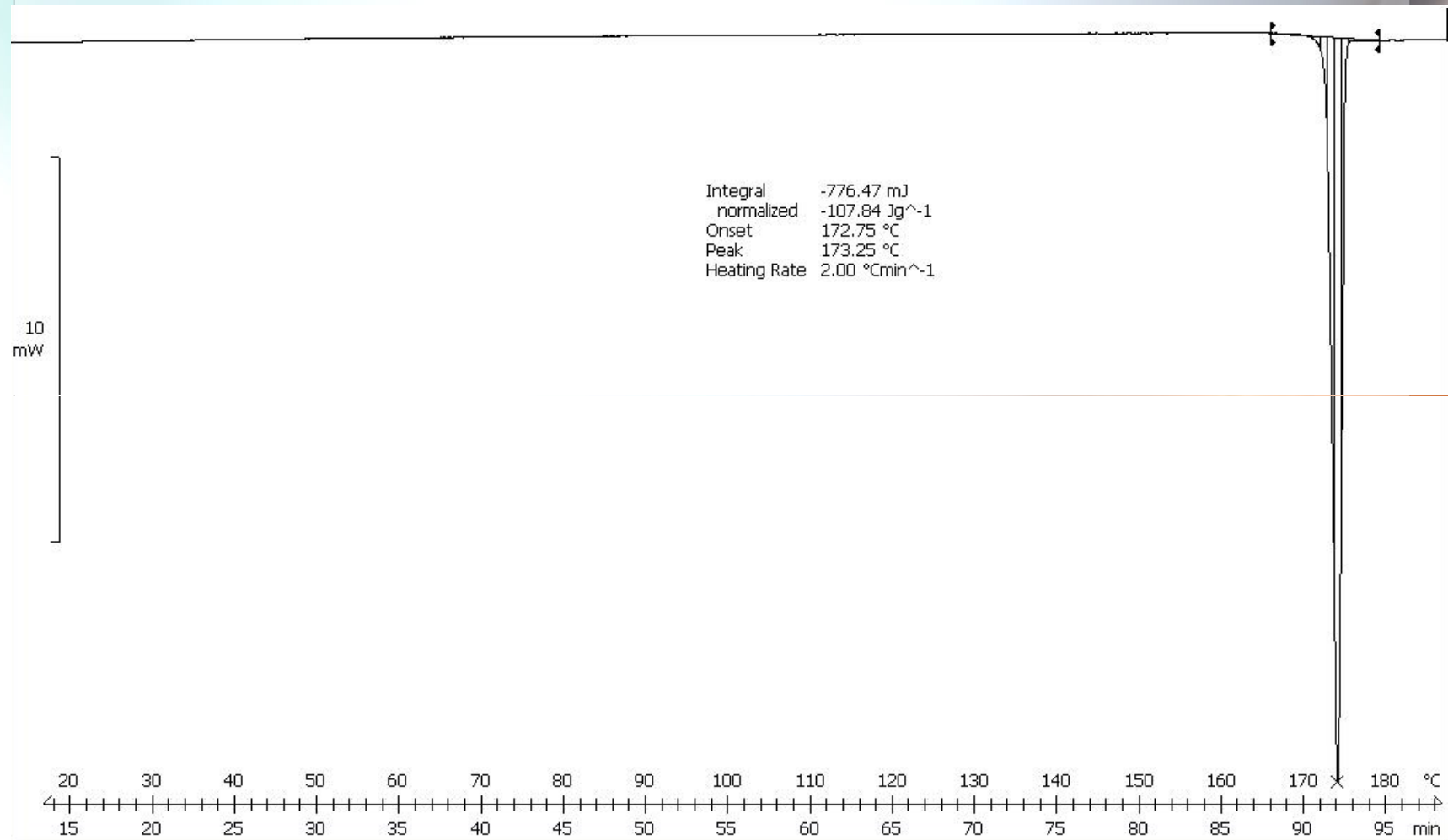
**Exists in several polymorphic forms**

**May exist in amorphous form**

**Photo sensitive**

# Nifedipine

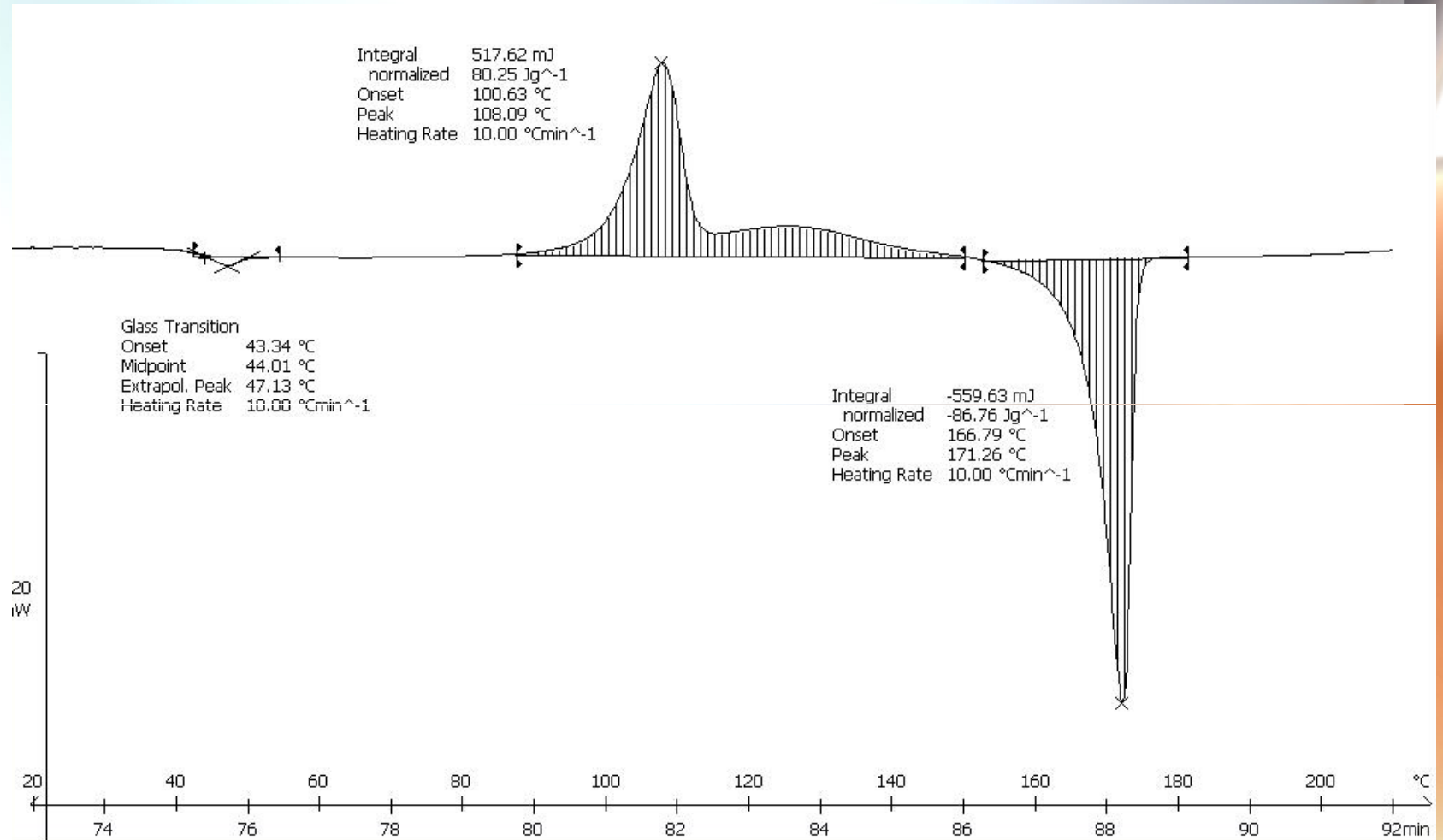
As received – 1<sup>st</sup> heating



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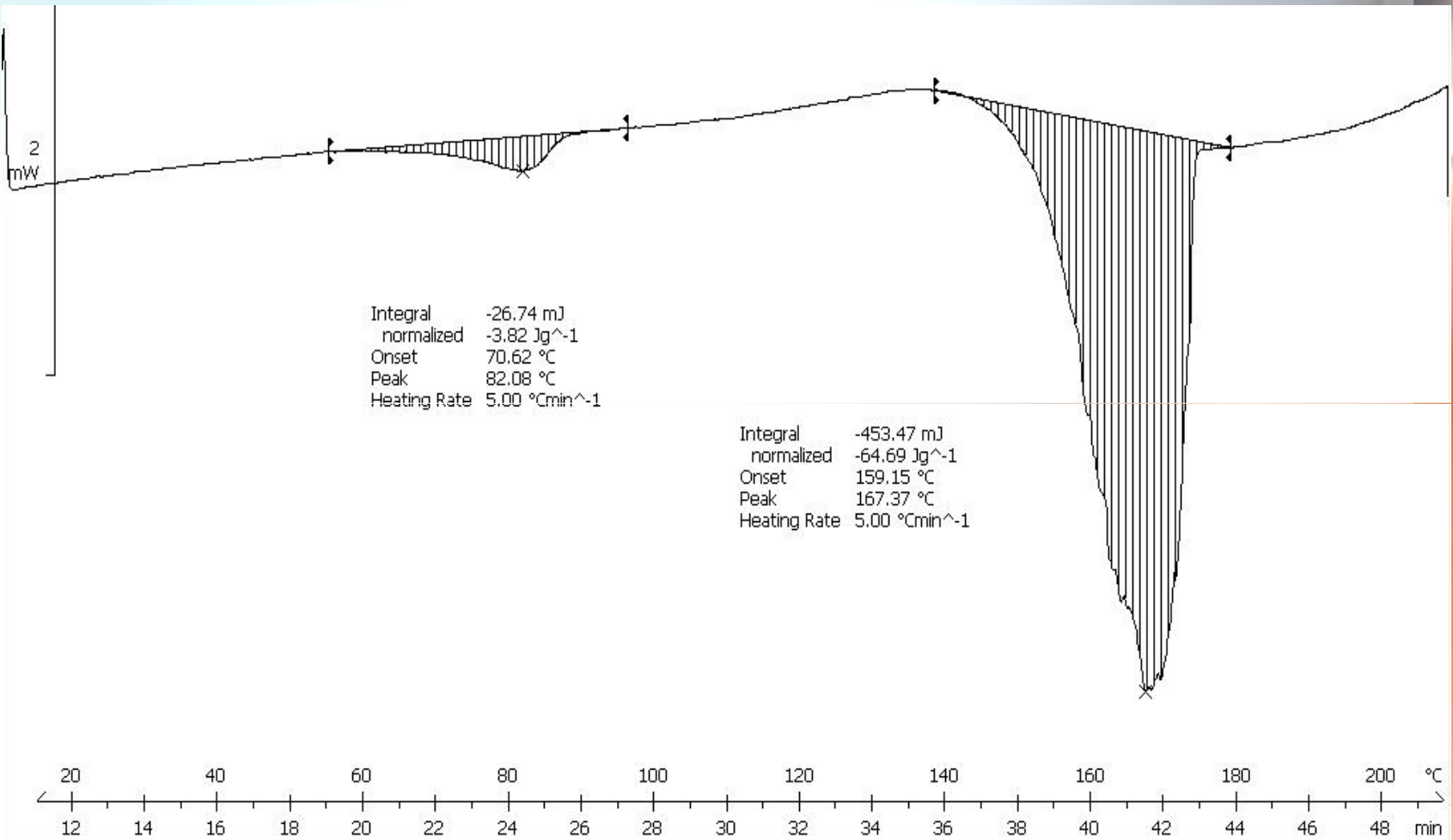
# Nifedipine

## As received – 2<sup>nd</sup> heating



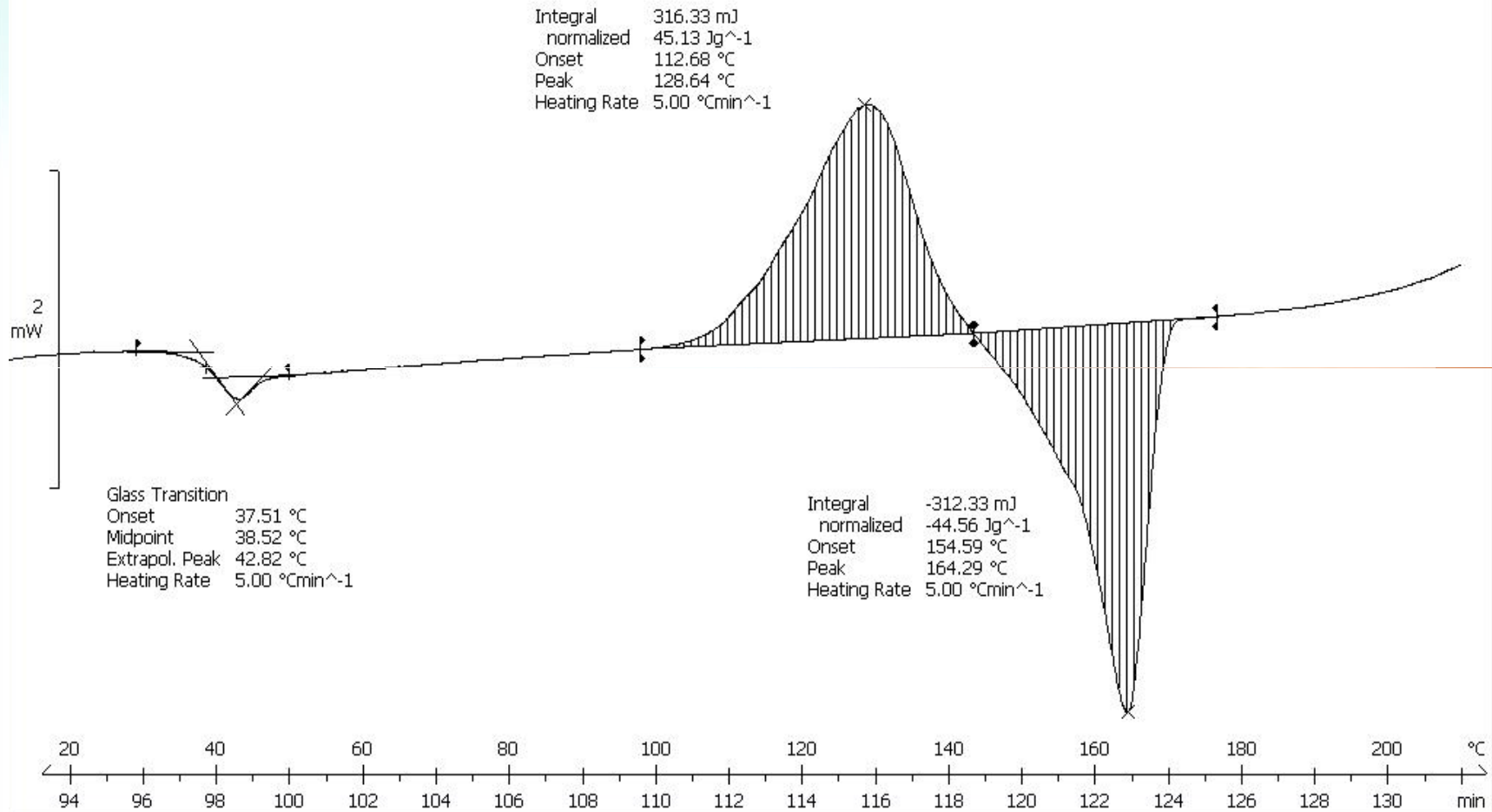
# Nifedipine

## UV irradiated – 1<sup>st</sup> heating



# Nifedipine

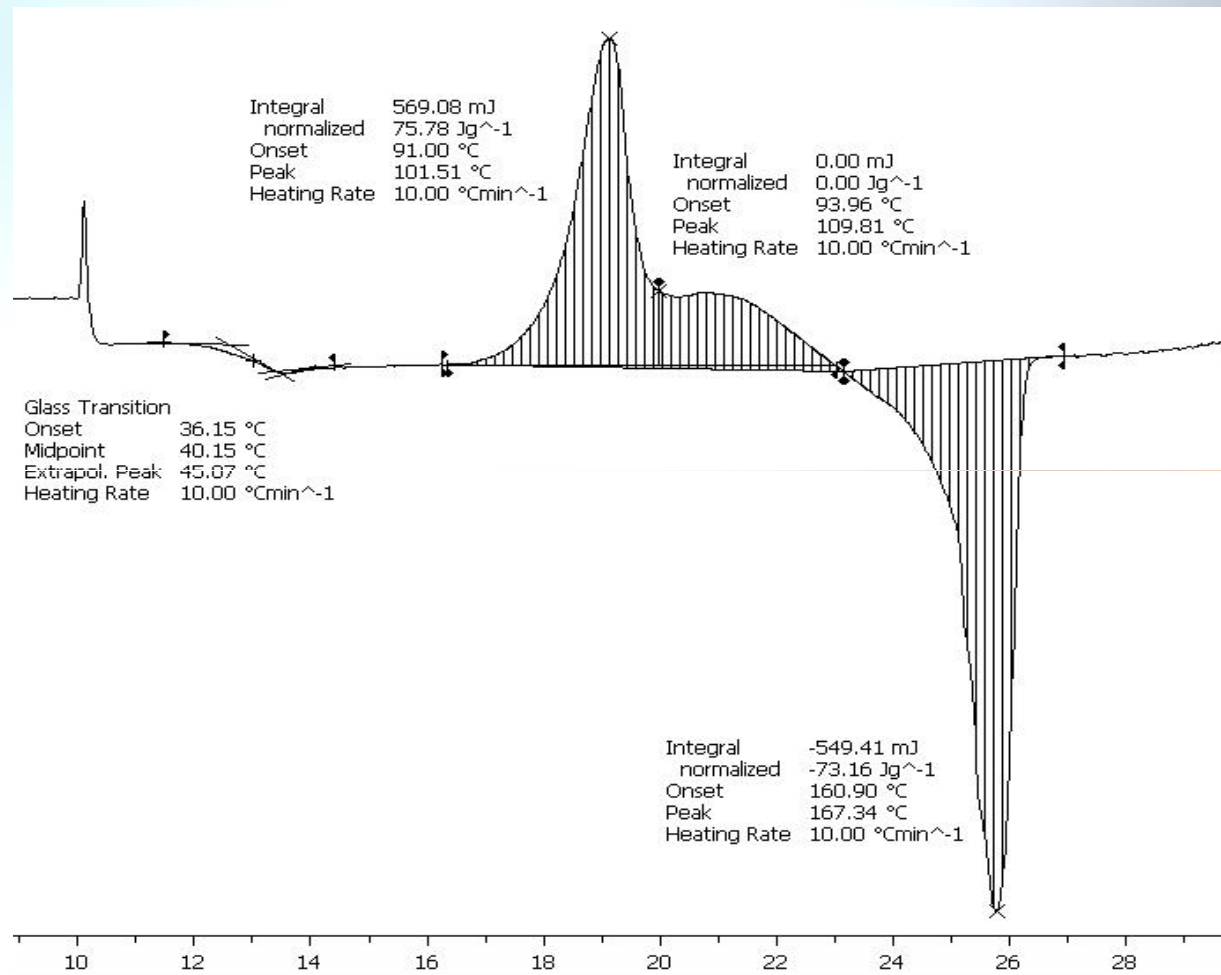
## UV irradiated – 2<sup>nd</sup> heating





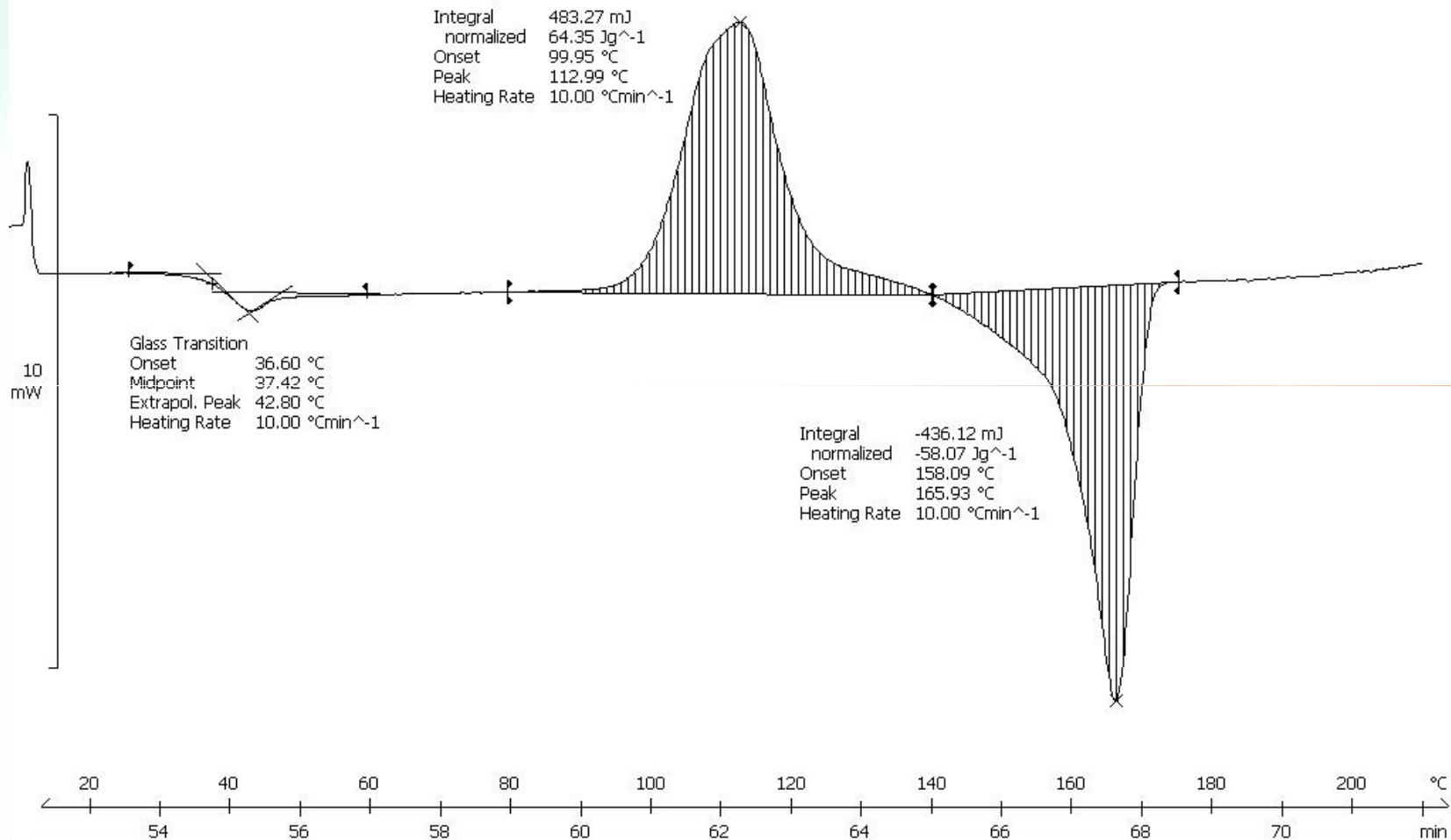
# Nifedipine

## Amorphous – 1<sup>st</sup> heating



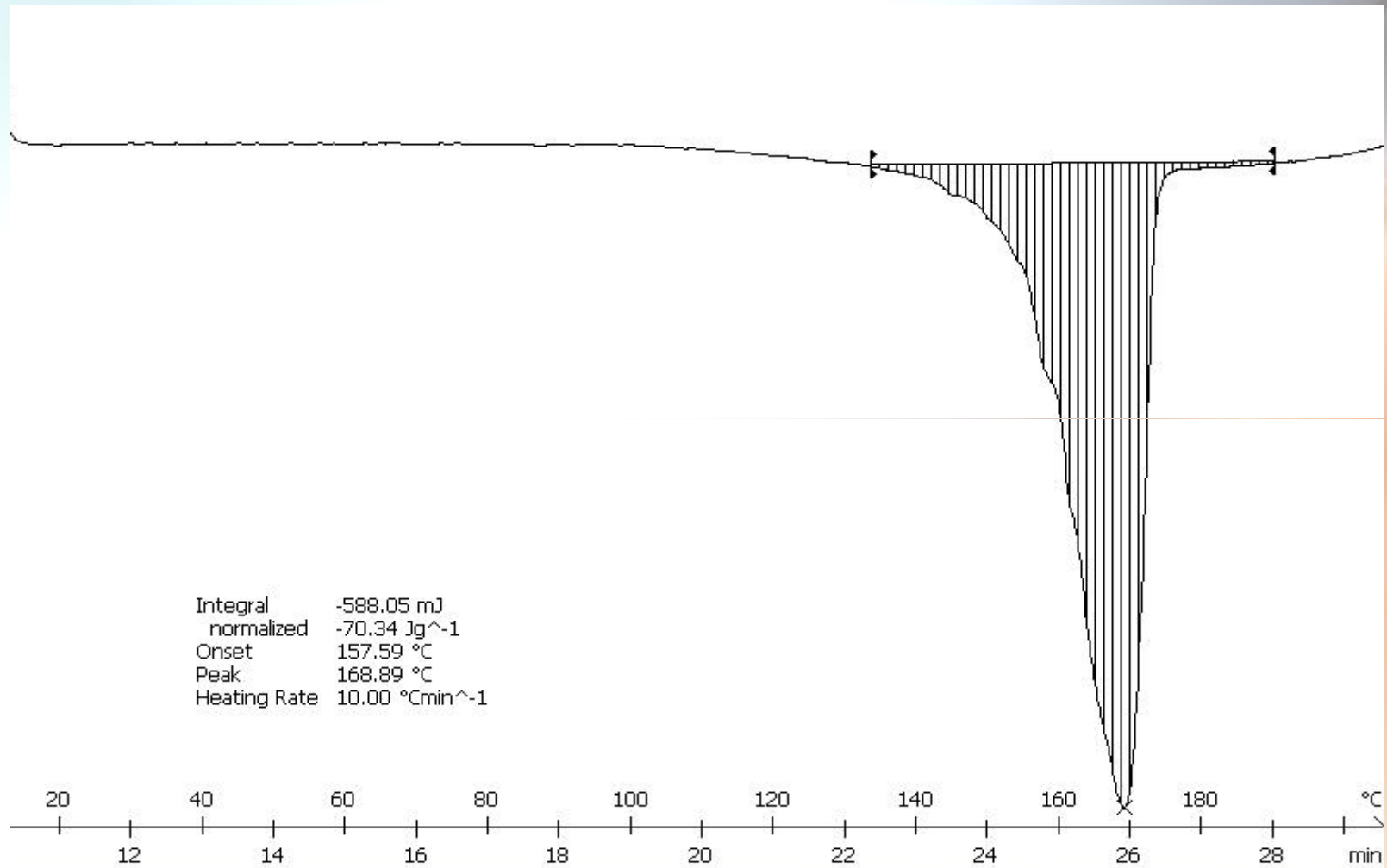
# Nifedipine

## Amorphous – 2<sup>nd</sup> heating



# Nifedipine

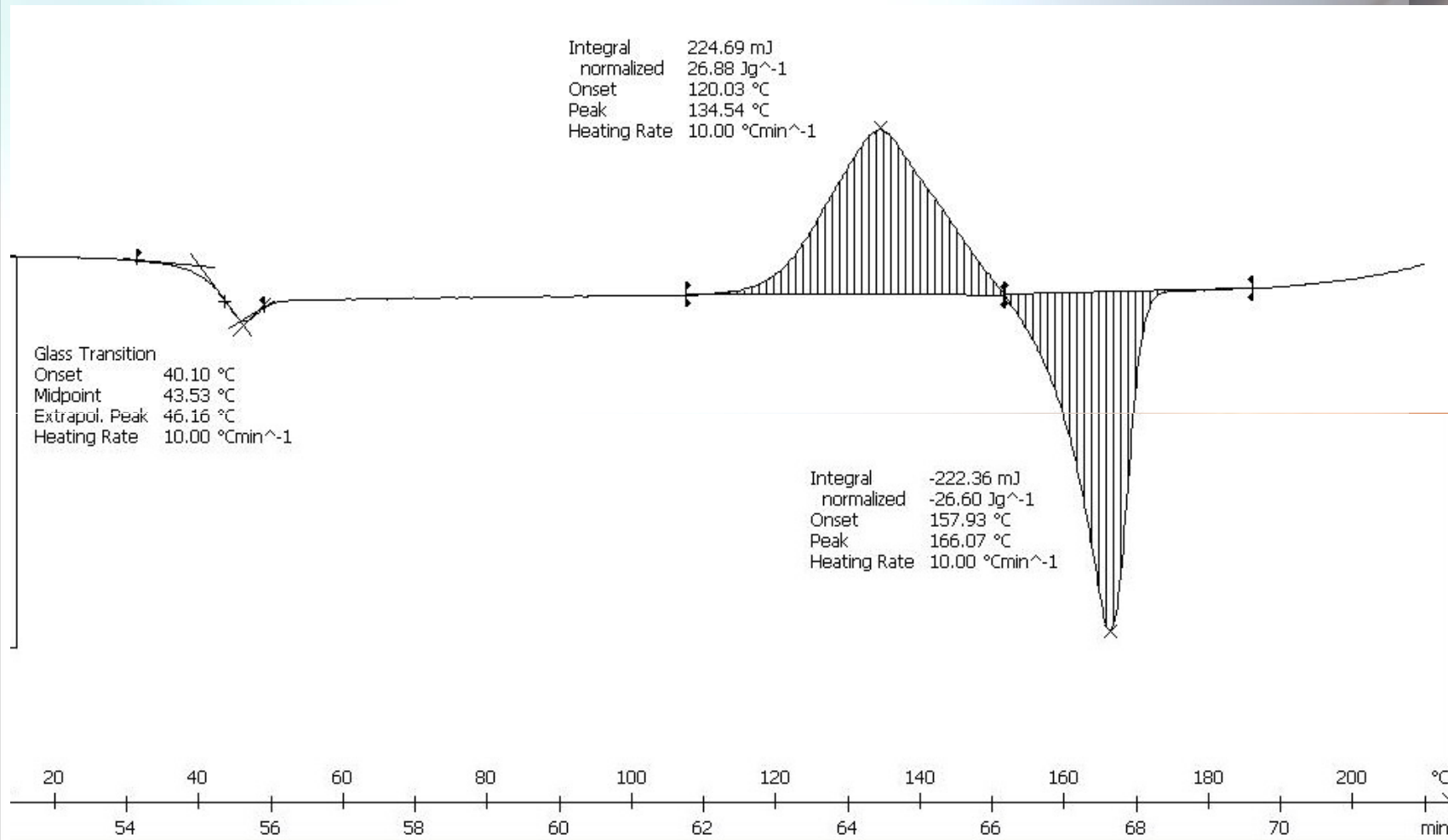
## Amorphous UV irradiated – 1<sup>st</sup> heating



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# Nifedipine

## Amorphous UV irradiated – 2<sup>nd</sup> heating



# Conclusion

**Crystalline Nifedipine more prone to photo degradation compare to amorphous**

**Less stable crystalline form may be formed upon the exposure of crystalline Nifedipine to the UV light**

	1 <sup>st</sup> heating				2 <sup>nd</sup> heating			
	Tg (°C)	Tc (°C)	PT (°C)	Tm (°C)	Tg (°C)	Tc (°C)	PT (°C)	Tm (°C)
Crystalline				~ 172	~ 45	~ 100	~ 135	~ 166
Crystalline-UV		Tm - 72		~ 159	~ 37		~ 135	~ 154
Amorphous	~ 36	~ 91	~ 102	~ 160	~ 37	~ 99		~ 158
Amorphous-UV				~157	~ 40	~ 120		~157

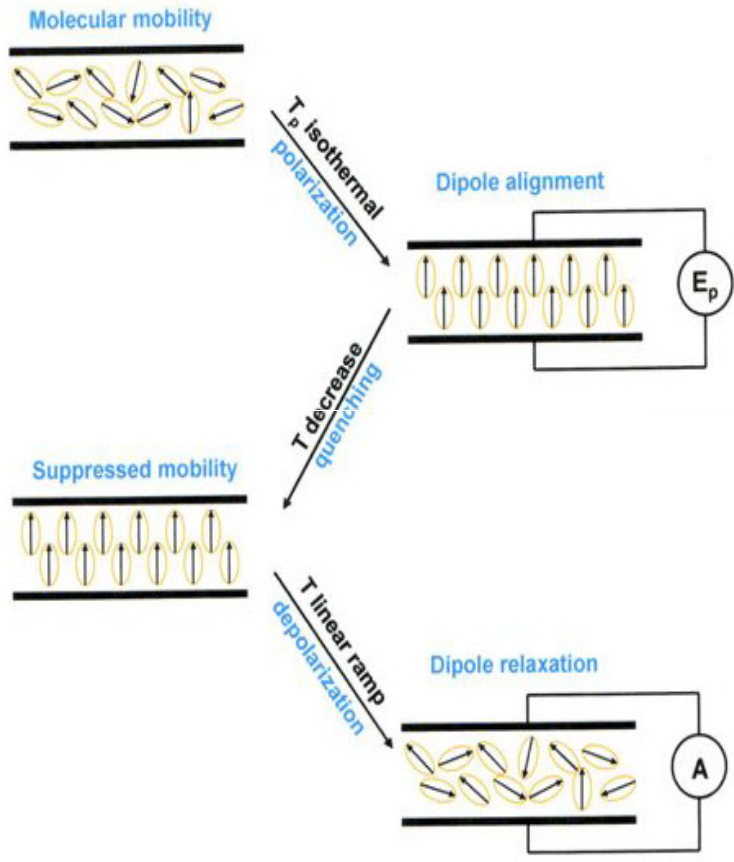
# **Thermally Stimulated Current Spectroscopy (TSC)**

**TSC is a general term applied to the measurement of current generated by temperature-activated relaxation of molecular dipoles in response to the application of a static electric field**

- **1936, Frei and Grotzinger**
- **electrets, ionic crystals**
- **waxes, resins**
- **ceramics, plastic**



# TSC origin

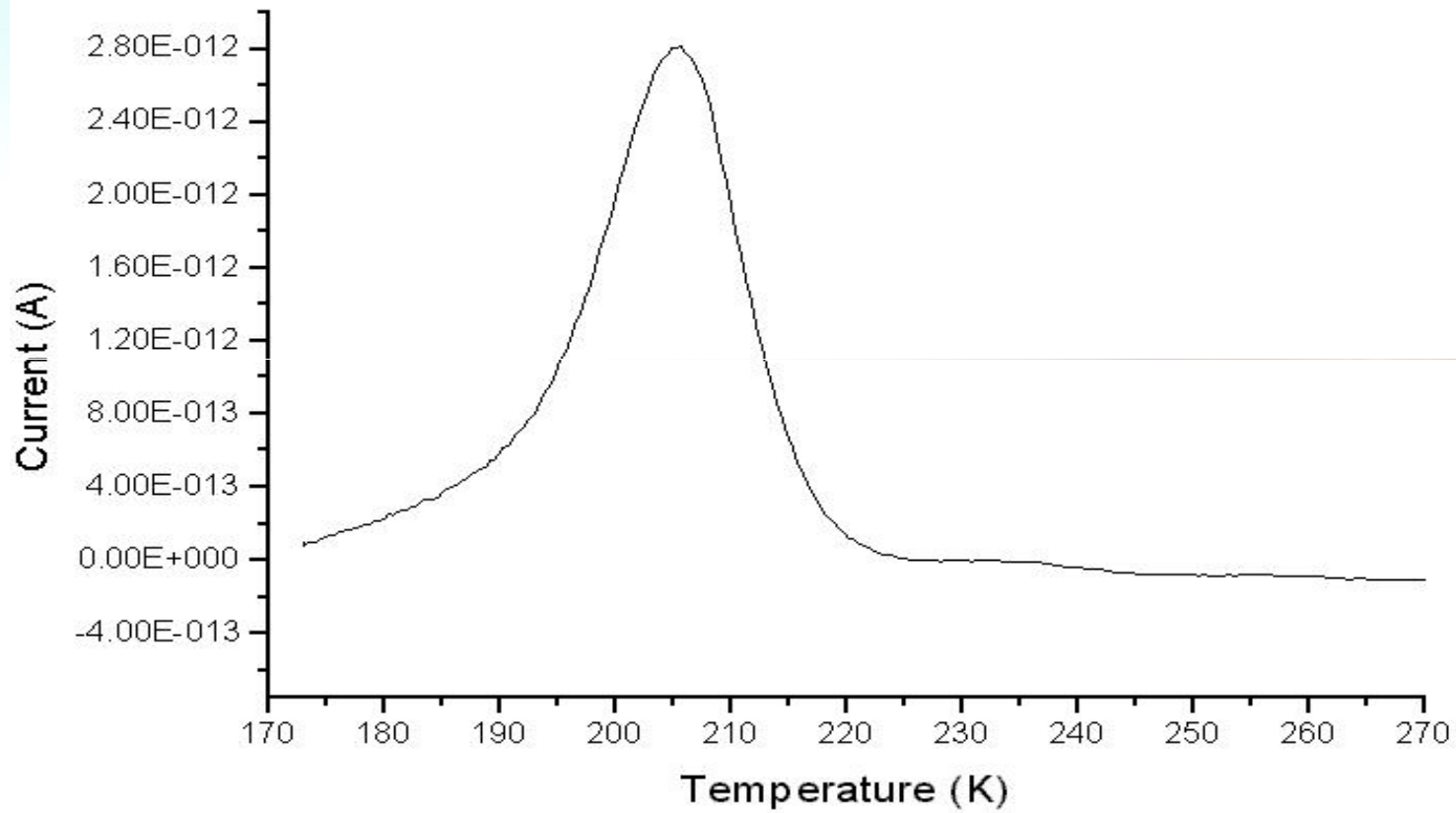


## Experimental variables:

- Temperature of polarization
- Time of polarization
- Polarization field
- Cooling rate
- The lowest temperature
- Time at lowest temperature
- Heating rate
- Final temperature
- Temperature of stabilization



# TSC spectrum



# Main parts of the instrument

**Thermostated sample holder**

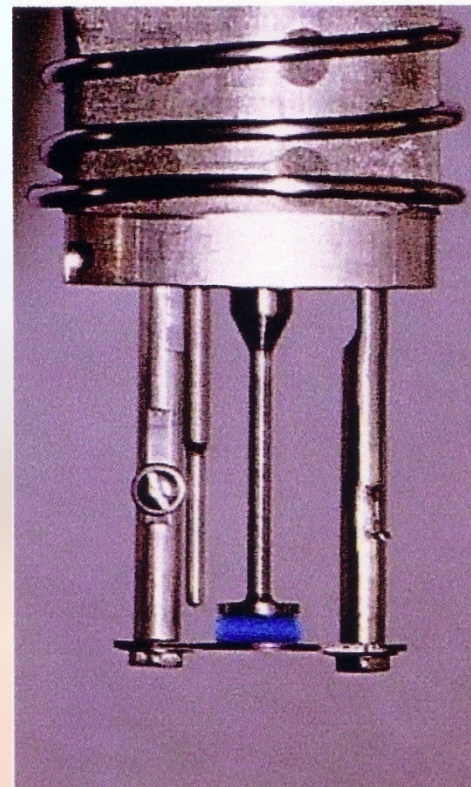
**Vacuum system**

**Heating and Cooling unit**

**DC generator**

**Current detector ( $10^{-4}$  to  $10^{-16}$  A)**

**Recording unit**



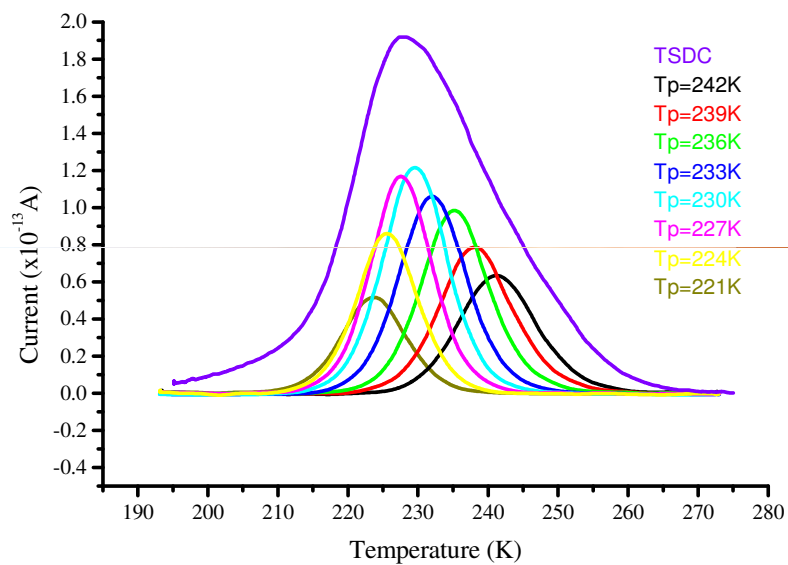
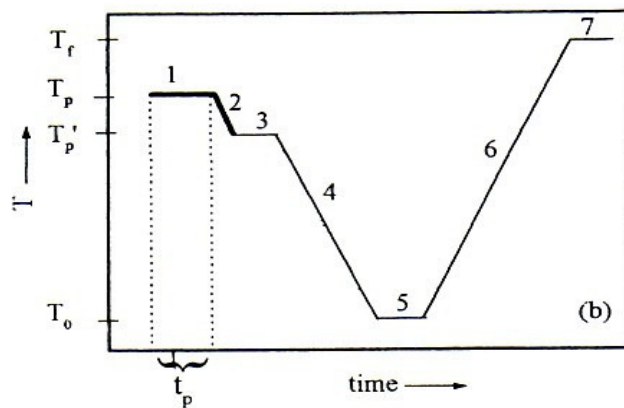
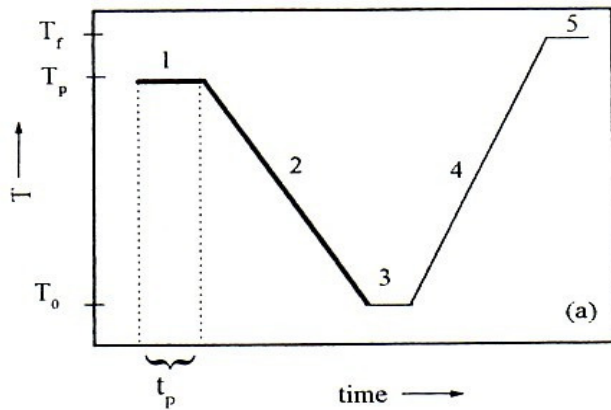
# **Amorphous Materials**

**Glass transition is characterised by:**

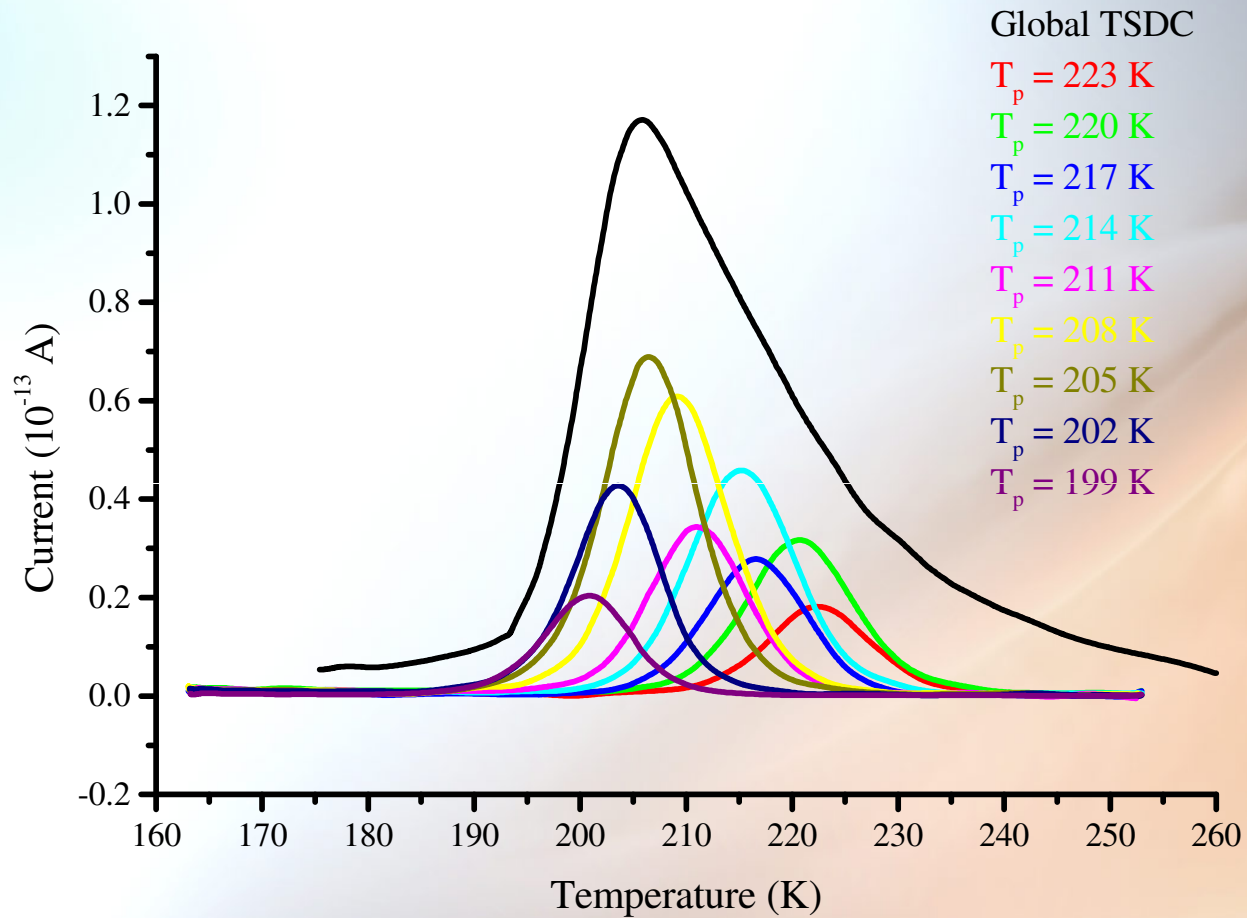
**Heat capacity change (DSC)**

**Visco-elastic changes (TSC)**

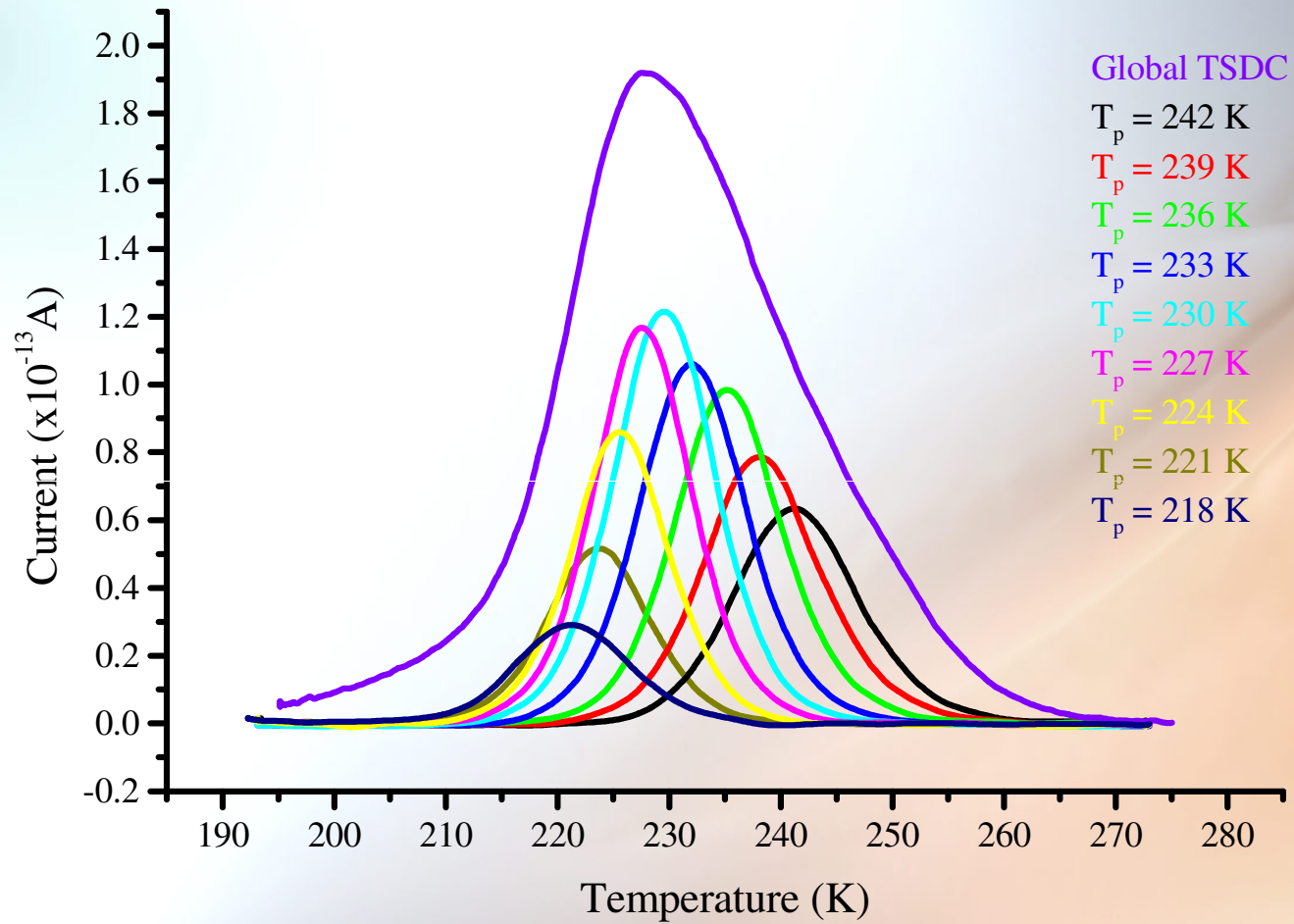
# TW-TSDC



# TSC PEG 4000 - Aldrich

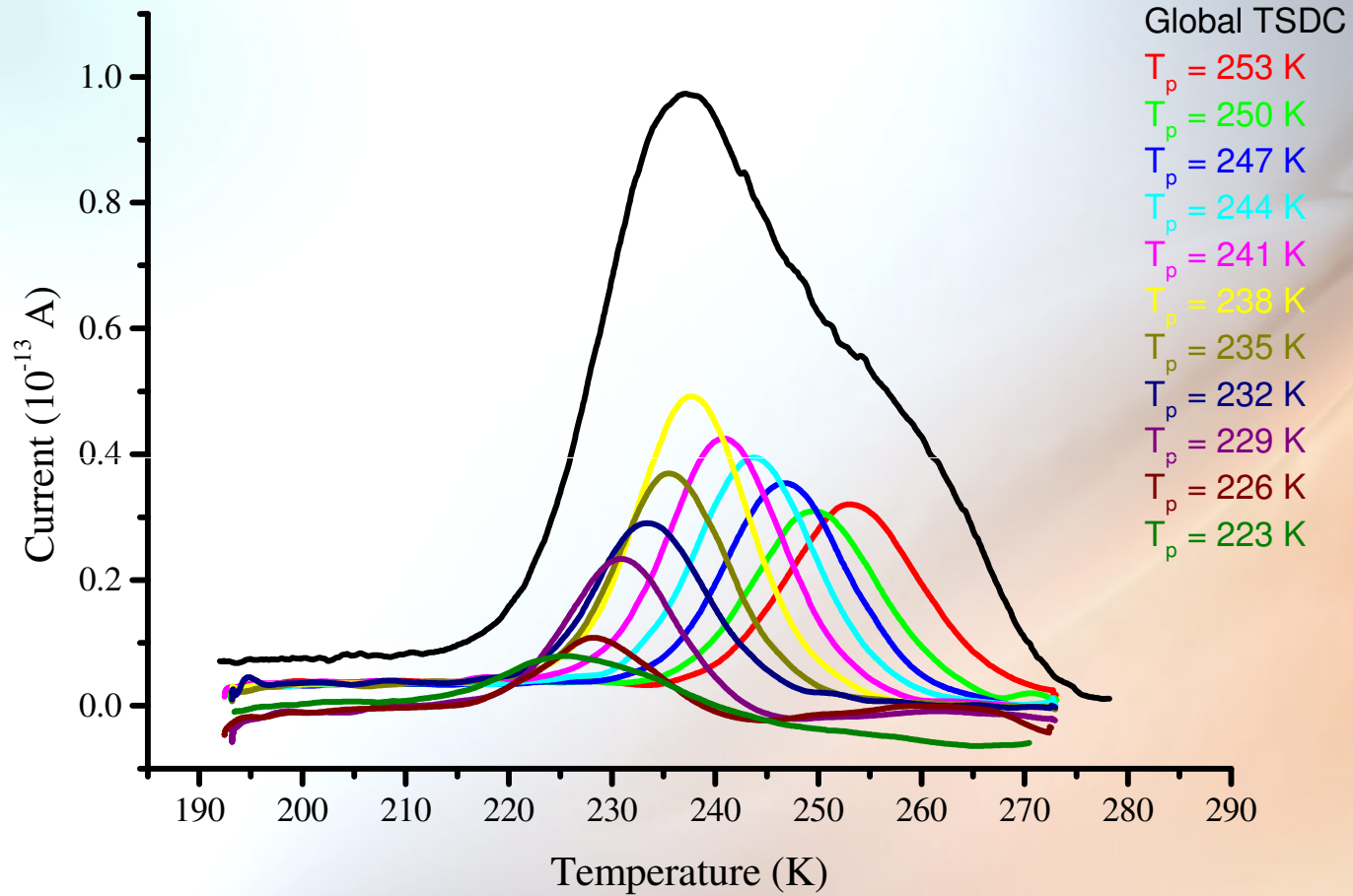


# TSC PEG 6000 - BDH





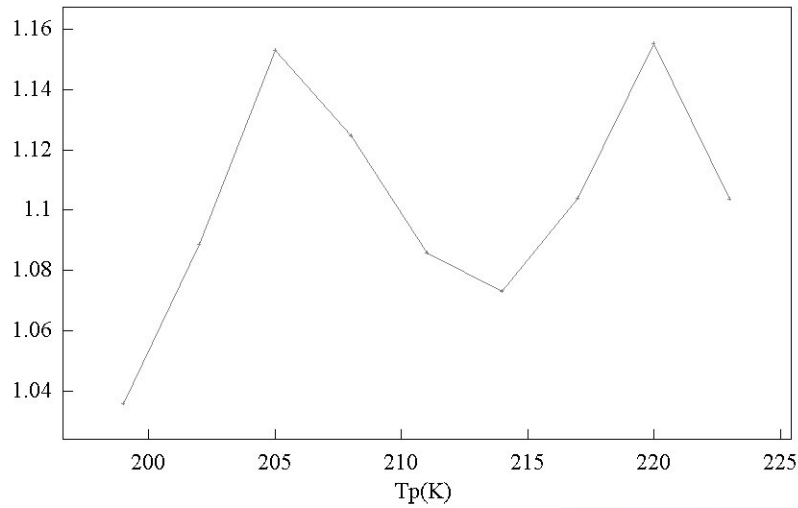
# TSC PEG 20000 - Clariant



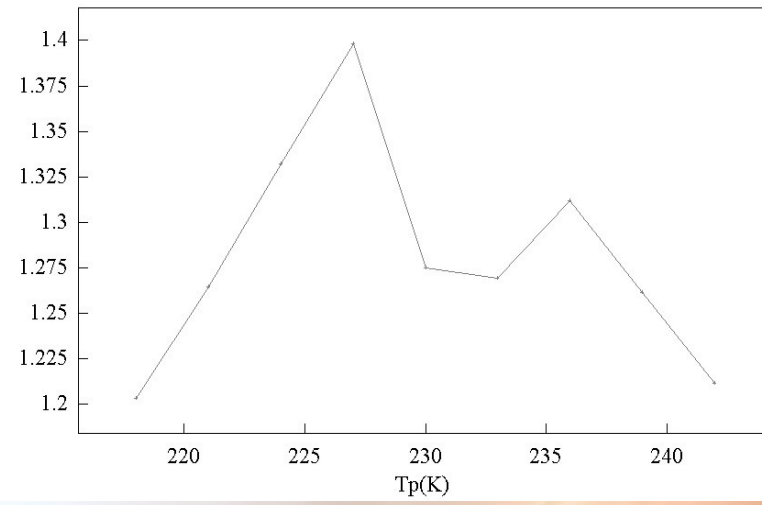


# TSC PEG 4000/6000/20000

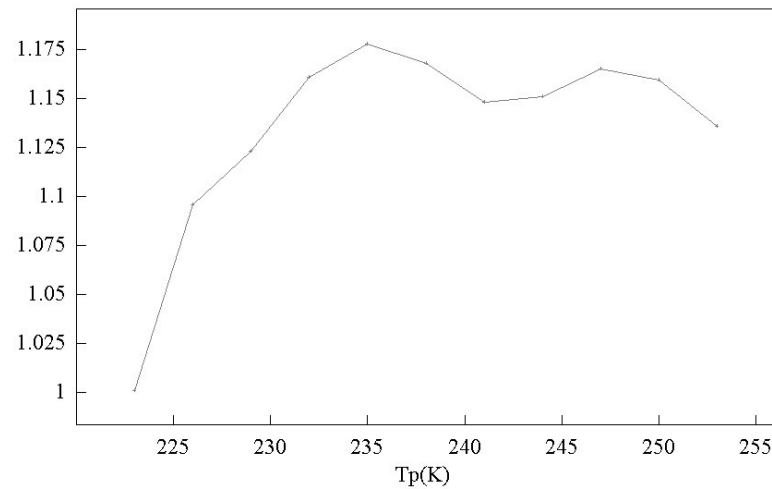
deltaH(eV)



deltaH(eV)



deltaH(eV)

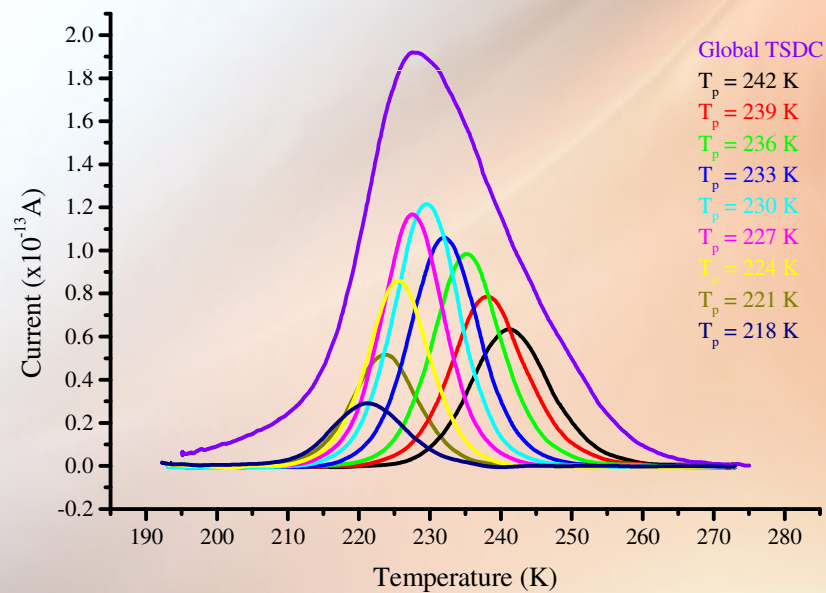
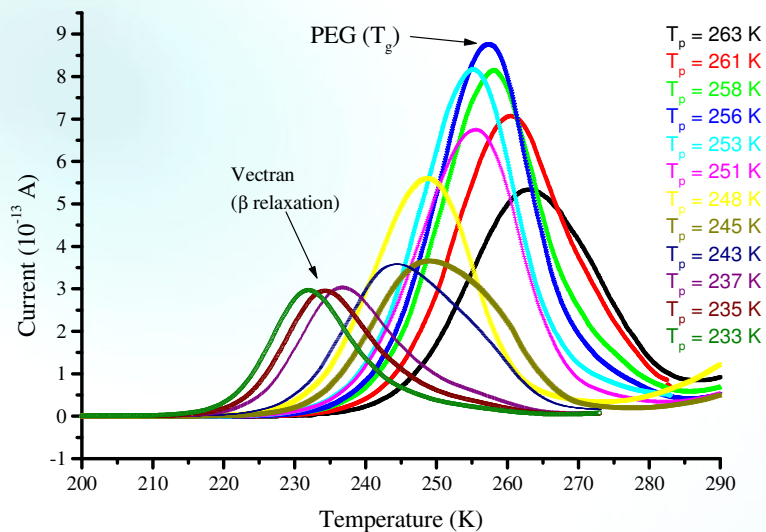


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# TSC PEG 4000/6000/20000

	PEG 4,000	PEG 6,000	PEG 20,000
Melting T (K)	326 - 331	328 - 333	333- 340
TW $T_{g1}$ (K)	205	227	235
TW $T_{g2}$ (K)	220	236	247
TSDC $T_g$ (K)	205.8	223.2	237.1
$\Delta H$ TW $T_{g1}$ (kJmol <sup>-1</sup> )	111.25	134.91	112.43
$\Delta H$ TW $T_{g2}$ (kJmol <sup>-1</sup> )	111.46	116.96	113.64
Fragility index $T_{g1}$	28.3	31.0	25.0
Fragility index $T_{g2}$	26.5	25.9	24.0

# TSC PEG 6000 – Lancaster/BDH



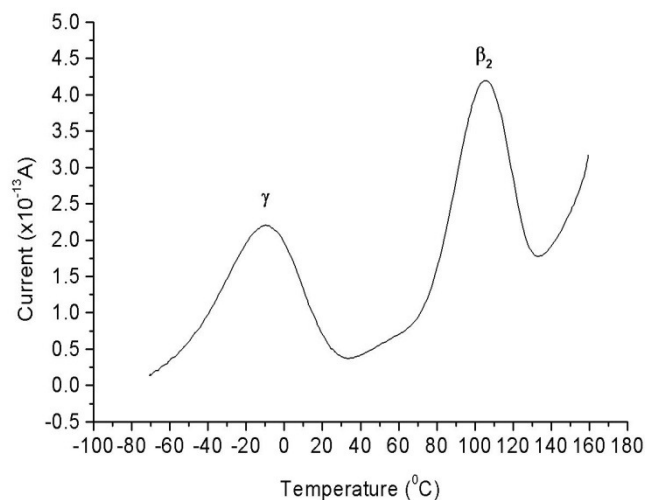
# HS Microscopy PEG 6000 – Lancaster/BDH



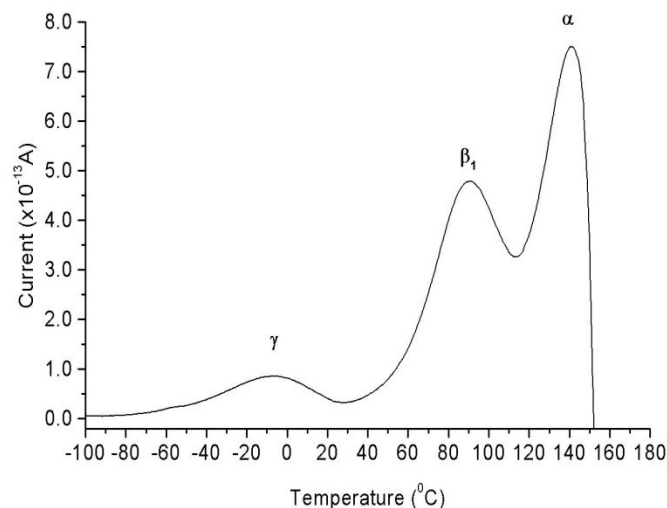
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# Caffeine - TSDC Results

## Form I



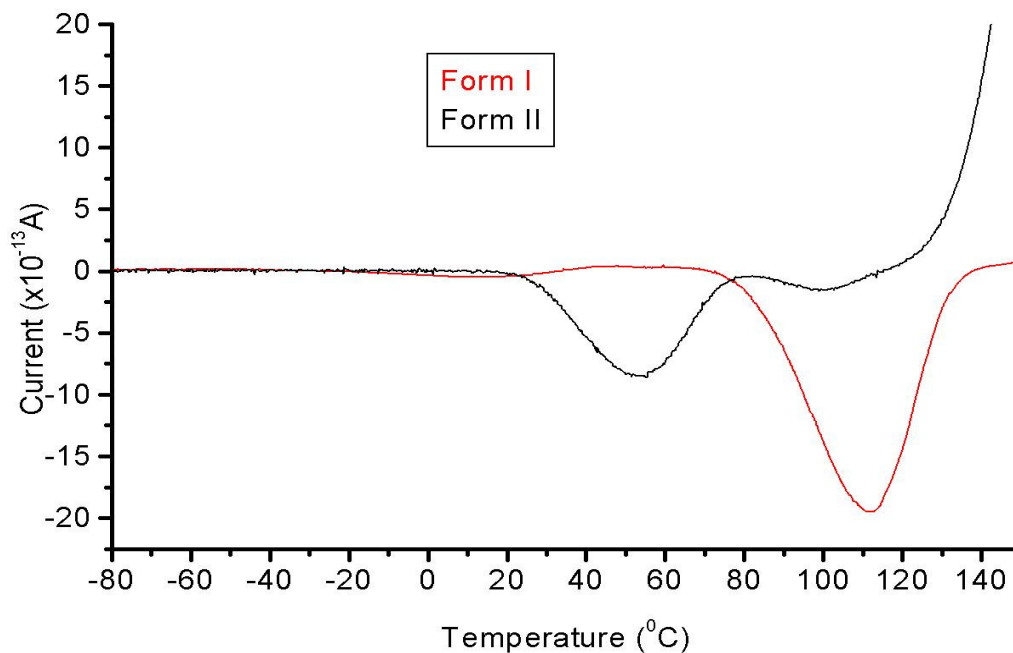
## Form II



$\alpha$ -process	139°C	Form II only - <i>polymorphic transition</i>
$\gamma$ -process	-8°C	Forms I and II - <i>orientation of side group</i>
$\beta_1$ -process	91°C	Form II
$\beta_2$ -process	107°C	Form I - <i>orientation/mobility of sub-unit</i>



# Caffeine - SDC Results

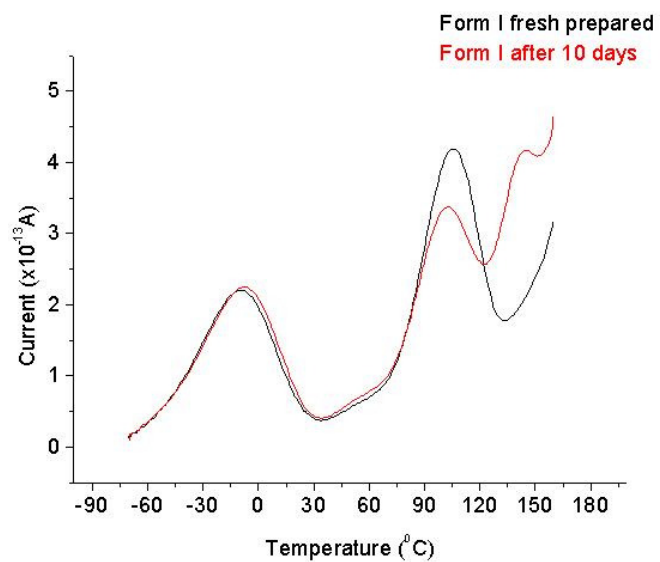


**Form I - negative peak at -8°C and 112°C**

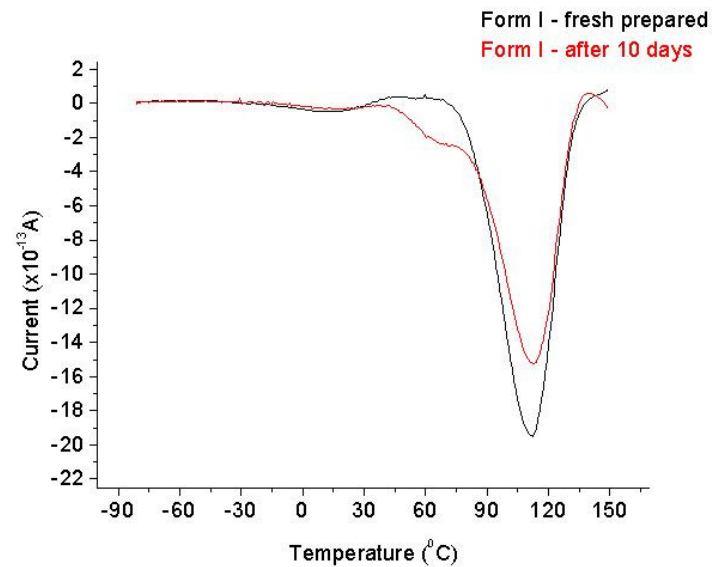
**Form II - negative peak at 52°C**

# Kinetic Parameters - TSC Method

## TSDC



## SDC

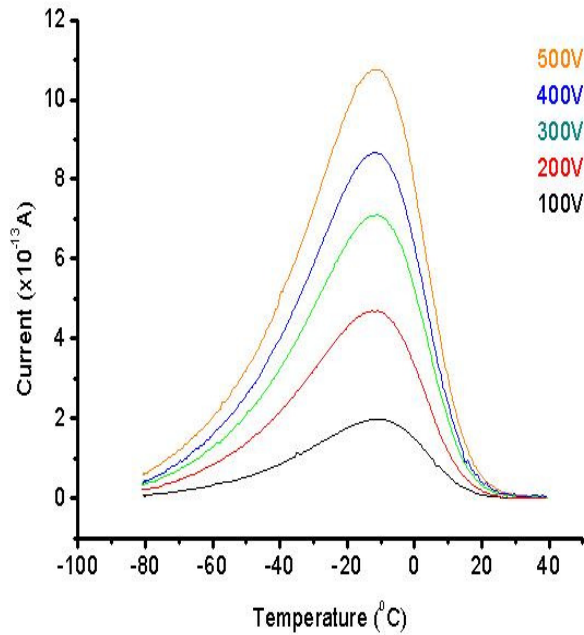




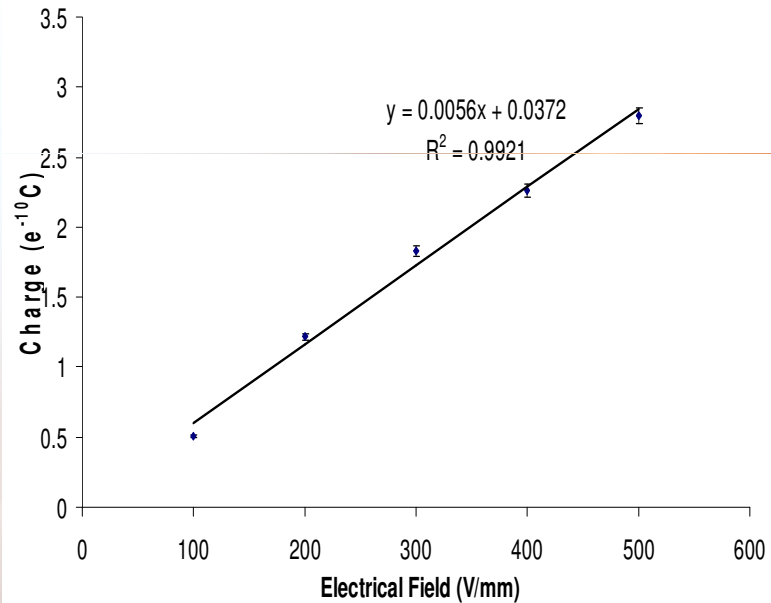
# Validation Characteristics

## TSC Method

### Signal-to-Noise



Total Charge under the peak ( C ) versus the strenght of applied electrical fieled ( V/mm ) for the  $\gamma$ -process in Caffeine Form II



# Conclusions

## TSC technique:

- **Appears as a powerful technique for the detection of the polymorphs and polymorphic transitions, weak glass transitions and second order transitions in materials.**
- **Ability to detect movements of side groups makes this thermal technique potentially applicable in the field of structural analysis, and could even expand its thermodynamic use.**

# Conclusions

**There have been well established correlations between phases and phase transitions such as:**

**Stability of amorphous phase ( $T_g - 50^\circ\text{C}$ )**

**Stability of pseudo-polymorphs (solvent-drug inter.)**

**Stability of polymorphs (difference in energy)**

**Poorly understood relationships:**

**Width of the phase transition process**

**Importance of second order transitions ( $\beta$  and  $\gamma$ )**

# Acknowledgments

## **MSc students**

**Rahul Patil**

**Amit Narayankar**

**Abdul Syed**

**IESTE student**

**Ivana Lazarevic**

**Erasmus student**

**Inmaculada Andres Tome**