

1 **Uropathogenic *Escherichia coli* virulence and innate immune responses during**
2 **urinary tract infection**

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22

23 **Abstract**

24 Urinary tract infections (UTI) are among the most common infectious diseases of humans
25 and are the most common nosocomial infection in the developed world. It is estimated that
26 40-50% of women and 5% of men will develop a UTI in their lifetime, and UTI accounts for
27 more than 1 million hospitalizations and \$1.6 billion in medical expenses each year in the
28 USA. Uropathogenic *Escherichia coli* (UPEC) is the primary cause of UTI. This review
29 presents an overview of recent discoveries related to the primary virulence factors of
30 UPEC and major innate immune responses to infection of the lower urinary tract. New and
31 emerging themes in UPEC research are discussed in the context of the interface between
32 host and pathogen.

33

34 **Introduction**

35 Urinary tract infections (UTI) are one of the most common bacterial infections of humans
36 and are a major cause of morbidity. UTI usually starts as a bladder infection (cystitis), but
37 can develop to acute kidney infection (pyelonephritis), ultimately resulting in scarring and
38 renal failure. UTI is caused by a range of pathogens, with uropathogenic *Escherichia coli*
39 (UPEC) being the most common etiological agent. This review will focus on UPEC,
40 discussing recent advances in our knowledge of its virulence factors and innate immune
41 responses to acute bladder infection.

42

43 **UPEC express multiple virulence factors that promote UTI**

44 UPEC cause more than 80% of all UTI. UPEC strains possess an arsenal of virulence
45 factors that contribute to their ability to cause disease, including fimbrial adhesins, toxins,
46 flagella, autotransporter proteins and iron-acquisition systems [1]. UPEC fitness in the
47 nutritionally poor urinary tract is also aided by the utilization of short peptides and amino
48 acids as a carbon source during infection [2] as well as the presence of type II toxin-
49 antitoxin systems [3].

50

51 UPEC adherence to the urinary tract epithelium is primarily mediated by fimbriae
52 assembled by the chaperone-usher pathway [4] (Box 1). Type 1 fimbriae, one of the best-
53 characterized UPEC chaperone-usher fimbriae, bind to α -D-mannosylated proteins such
54 as uroplakins that are abundant in the bladder via the tip-located FimH adhesin. Type 1
55 fimbriae enhance colonization and activation of host innate immune pathways in the
56 murine UTI model, and promote biofilm formation and host cell invasion [1]. FimH-
57 mediated binding to target receptors is enhanced through the formation of catch bonds,
58 mechanical forces that contribute to FimH-receptor complex interactions [5]. Recent work

59 has also shown that FimH is recognized by host pattern recognition receptors (PRRs),
60 thus leading to potent induction of innate antimicrobial responses [6]. Additional UPEC
61 surface components that contribute to colonization of the urinary tract include established
62 factors such as other fimbriae (e.g. P, F1C, S and Afa) [7] and more recently identified
63 factors such as curli [8], autotransporter proteins (e.g. Ag43, UpaH) [9,10], TosA [11] and
64 flagella [12].

65
66 UPEC secrete a number of toxins that damage or kill host epithelial cells. One of the most
67 common UPEC toxins, α -hemolysin, mediates host cell lysis, thus promoting the release of
68 nutrients such as iron that can be utilized by UPEC for growth and/or survival. Sublytic
69 concentrations of α -hemolysin also contribute to virulence by enabling UPEC to modulate
70 epithelial cell functions as will be discussed later [13]. Another toxin, cytotoxic necrotizing
71 factor 1 (CNF1) is a Rho GTPase that promotes invasion of UPEC into host cells [14].
72 Recent data suggest that UPEC CNF1 and α -hemolysin may contribute to the signs and
73 symptoms of cystitis (along with LPS as discussed further below) [15]. In the zebrafish
74 model, α -hemolysin and CNF1 function primarily in the neutralization of phagocytes [16].

75
76 Iron is essential for bacterial growth and is limited in the urinary tract. Four different Fe^{3+} -
77 chelating siderophore systems have been characterized in UPEC, namely enterobactin,
78 the glucosylated enterobactin derivative salmochelin, yersiniabactin and aerobactin [17].
79 UPEC strains may express different combinations of these siderophores, with some
80 strains able to express all four siderophores [18]. The siderophore repertoire expressed by
81 a given UPEC strain may influence the ability of the bacteria to grow and persist in human
82 urine [19]. Siderophores also possess functions distinct from iron binding; for example,
83 Chaturvedi *et al.* showed that yersiniabactin can sequester host-derived copper (II), thus

84 enhancing resistance to copper stress [20]. Many UPEC strains also express heme
85 receptors (e.g. ChuA and Hma) that enable iron uptake and contribute to virulence [21]. An
86 increased understanding of the role of iron acquisition systems, and indeed additional
87 systems required for the transport of other transition metals such as zinc, copper and
88 manganese, may uncover new concepts in UPEC virulence and nutritional immunity at the
89 host-pathogen interface [22].

90

91 **Intracellular and extracellular lifestyles are hallmarks of UPEC**

92 UPEC pathogenesis during experimental UTI involves the occupancy of both extracellular
93 and intracellular niches. Prototype UPEC strains including the pyelonephritis strain
94 CFT073 and the cystitis strain UTI89 possess different sets of virulence factors and utilize
95 these lifestyles to different degrees. For example, CFT073 is a highly toxigenic strain that
96 can cause severe damage to the urothelium and immunopathology [23], but can also
97 invade epithelial cells and form intracellular bacterial communities (IBCs) [24]. In contrast,
98 UTI89 is a more invasive strain that forms IBCs and survives intracellularly, but also
99 expresses several toxins that cause urothelial damage [25]. The mosaic nature of the
100 UPEC genome means that there are no unique genetic features that clearly distinguish
101 these different lifestyles. Recent attempts to define UPEC population dynamics during
102 infection may also reflect differences between these two broad host-adapted lifestyle traits
103 [26,27]. Added to the complexity of these different UPEC lifestyles is the emergence of
104 multidrug resistant globally disseminated clones such as *E. coli* of serotype O25b:H4 and
105 sequence type 131 (*E. coli* ST131), for which a genome sequence and particular key
106 virulence mechanisms were recently described [28]. For cystitis strains like UTI89, type 1
107 fimbriae-mediated adherence to superficial bladder facet cells leads to invasion, rapid
108 bacterial replication in the facet cell cytoplasm and the formation of IBCs [29]. These

109 events are dependent on the FimH adhesin and are associated with specific amino acid
110 residues that are under positive selection [30]. Other UPEC factors that contribute to IBC
111 formation include Ag43, the polysaccharide capsule and sialic acid [31]. IBC formation
112 culminates in the bursting of superficial facet cells and the release of UPEC, often as long
113 filamentous bacteria [32]. IBCs may enable UPEC evasion of the host immune response,
114 permit re-infection and contribute to chronicity [29]. IBCs and filamentous bacteria have
115 also been observed in urine from women suffering acute cystitis [33]. A comprehensive
116 inventory of UPEC biofilm-associated genes was recently mapped using transposon
117 mutagenesis and may provide a framework for further analysis of UPEC extracellular and
118 intracellular biofilm growth [34]. UPEC can also establish quiescent intracellular reservoirs
119 (QIRs) that contain small numbers of bacteria and may play a role in latent chronic
120 infection and recurrent UTI [35]. The ability of UPEC to survive intracellularly is not limited
121 to epithelial cells. Some UPEC strains can survive in primary mouse macrophages within
122 lysosomal-associated membrane protein 1-positive vesicles, a property that may
123 contribute to their dissemination in the urinary tract [36].

124

125 **Innate immune responses to UPEC control but may also predispose to UTI**

126 Several findings over the past few years continue to inform the view that UPEC cystitis is
127 not a simple condition that develops, is detected and resolved by management, and leaves
128 a convalescent host without further implications for disease. Increased risk for recurrent
129 UTI subsequent to primary cystitis has been known for sometime, but recent studies have
130 uncovered new contributions of innate defenses to pain, symptoms, defense, and
131 predisposition to chronicity (Figure 1). Hannan *et al.* showed that inflammatory events
132 activated in the bladder during early responses to UPEC in mice provide the
133 immunological stage for subsequent chronicity and susceptibility to recurrent infection [37].

134 Signature responses comprising interleukin (IL)-5, IL-6, and granulocyte-colony stimulating
135 factor (G-CSF) appear to mediate increased susceptibility in a Toll-like Receptor (TLR)4-
136 dependent manner, which suggests involvement of UPEC lipopolysaccharide (LPS) and/or
137 *P fimbriae* [38]. Two genome-wide transcriptomic studies by Duell *et al.* and Tan *et al.*
138 have provided insight into the factors that might contribute to innate resistance and/or
139 susceptibility to UPEC on a global scale [39,40]. These studies build on prior analyses of
140 mice with cystitis [41] and show that bladder inflammation in response to UPEC is rapid,
141 pathogen-specific, and extensive encompassing 1564-2507 active genes that drive diverse
142 canonical pathways such as IL-10, IL-17A, TLR, and NOD-like receptor signalling, as well
143 as networks for cell movement, death, proliferation and maturation [39,40]. Collectively,
144 this suggests that UPEC may somehow harness complex innate immune responses in the
145 bladder to promote bacterial survival, predisposition to UTI and chronicity.

146

147 The double-edge sword of innate immune responses to UPEC does not appear to be
148 limited to experimental models; a recent description of patients that progressed from
149 cystitis to UPEC bacteremia, for example, suggests clinical relevance. In individuals with
150 cystitis, Marschall *et al.* showed that specific symptoms of hesitancy/retention are a risk
151 factor for progression to urinary-source bacteremia [42]. UTI symptoms such as pelvic pain
152 appear to have a basis in local inflammatory events such as TLR signalling [43], possibly
153 linking innate immune activation, pain and UTI progression. However, the O-antigen of
154 UPEC LPS appears to play a role in the pain sensation independent of inflammation [44].
155 Also in the murine model of UTI, pain occurs independent of the level of bacterial
156 colonization and inflammation, and pain can persist after clearance of UPEC from the
157 genitourinary tissues [45]. While toxins contribute to inflammation and especially
158 exfoliation and/or destruction of the urothelium toxin expression is not required for

159 inflammation in acute UTI and the contribution of toxins to pain responses have yet to be
160 elucidated [15]. Thus, while recent data hint at potential links between inflammatory events
161 and pain, studies are now needed to define which inflammatory pathways and molecules
162 contribute to pain, how host genetic background impacts on these responses, and the
163 extent to which these pathways are triggered in patients during acute versus subacute
164 UTI. Clinically relevant models such as described in [37] will be essential to further define
165 the basis of pain, severity and progression in experimental UTI. TLR4 signalling [43] has
166 also been associated with inflammation and acute UTI because TLR4-deficient mice
167 develop asymptomatic infection [46], although questions about other unidentified genes
168 and/or PRRs that may impact host susceptibility (Box 2) warrant further investigation [47].

169

170 **Recently identified innate mechanisms that constrain UPEC: peptides, receptors,**
171 **and cytokines**

172 Recent discoveries on the antimicrobial peptide cathelicidin, as well as the erythropoietin
173 and P2Y receptors, have revealed new aspects of defense against UPEC. Production of
174 cathelicidin constrains UPEC in the bladder, and its production is boosted by vitamin D,
175 which may represent a potential new adjunct for the prevention of UTI [48]. New insight
176 into potential therapeutic avenues is also provided from discoveries on invasion into
177 urothelial cells. Polgarova *et al.* described a synthetic erythropoietin analogue that
178 modifies early steps in the host response to UPEC by moderating IL-8 production and
179 reducing UPEC invasion [49]. This could aid in the elimination of bacteria while reducing
180 immunopathology that has been linked to chronic and recurrent infection [37]. Extracellular
181 ATP and P2Y receptor activation appear to drive IL-8 production [50], representing an
182 alternate mechanism of non-TLR4-driven pro-inflammatory cytokine production in
183 urothelial cells infected with UPEC. Finally, Erman *et al.* demonstrated that UPEC induces

184 serum amyloid A, an acute phase protein, and that this constrained early UPEC
185 colonization of the bladder [51]. This effect may stem from prevention of biofilm formation,
186 however other mechanisms may also be involved; for example, serum amyloid A promotes
187 IL-10 production from neutrophils [52], which could contribute to beneficial effects.

188

189 Two recent discoveries on IL-17 [53] and IL-10 [39] have revealed protective roles in
190 UPEC infection. Both cytokines, like G-CSF [54], are produced following UPEC infection,
191 but unlike G-CSF, they appear to somehow restrict UPEC's ability to maintain bladder
192 infection. Ingersoll *et al.* showed that neutrophils are rapidly recruited to the mouse bladder
193 in a G-CSF-dependent manner and reported an association between increased UPEC
194 survival and reduced neutrophil responses [54]. Others previously showed that neutrophil
195 responses in the infected urinary tract depend on host genetic background and are reliant
196 on macrophage inflammatory protein-2 [55,56]. The mechanisms by which reduced G-CSF
197 responses directly promote UPEC survival remain unknown, as discussed elsewhere [54].
198 The known actions of IL-17 and IL-10 towards cell recruitment and immune regulation
199 [57,58] imply that these cytokines may be required to fine tune innate cellular defenses to
200 UPEC in the bladder. Recent insights into NF-kappaB [59] and IRF3-dependent signalling
201 [60] also point to activation of specific signalling pathways to distinct *E. coli* strains that
202 trigger different mechanisms of innate immune activation [40,61]. Thus, further analysis of
203 IL-17, IL-10, and G-CSF regulation and function will be needed to specify their role in UTI
204 considering these new insights.

205

206 **UPEC Employ Multiple Mechanisms to Curb Innate Immune Responses**

207 Manipulation of innate immune responses by UPEC may enhance their survival [62] and
208 there are several recent examples of this. Some UPEC strains secrete TcpC, a Toll/IL-1
209 receptor (TIR) domain-containing protein that exhibits structural similarity to the TIR
210 domain of human TLR1 [63]. TcpC inhibits TIR domain signalling and downstream
211 pathways through myeloid differentiation primary response protein-dependent and -
212 independent effects [64]. Wang *et al.* has shown that expression of dynamin2- and
213 endothelial nitric oxide synthase is driven by UPEC and promotes invasion into host cells
214 [65]. Two other recent demonstrations by Hilbert *et al.* and Dhakal *et al.* show that UPEC
215 utilizes α -hemolysin to inhibit epithelial cytokine production, as well as cell adhesion and
216 inflammation [13,66]. Finally, UPEC curli were recently shown to interact with the
217 antimicrobial peptide cathelicidin LL-37, and thereby modulate early inflammatory events
218 mounted against infection [8].

219

220 Autophagy has emerged as an important mechanism in bacterial pathogenesis, and recent
221 data suggests that this process is involved in innate defense against UPEC. Wang *et al.*
222 demonstrated a pro-pathogen role for the autophagy protein Atg16L1; deficiency in this
223 factor conferred protection against infection [67]. Utilization of autophagy pathways by
224 UPEC may impede the release of inflammatory cytokines such as IL-1 β [68]. Two other
225 separate studies on attenuation of innate responses by UPEC in the context of dampening
226 innate responses offer alternative avenues for further investigation; firstly, the discovery
227 that cyclooxygenase-2 is regulated by UPEC in urothelial cells [69], and secondly, the
228 finding that indoleamine 2,3-dioxygenase is induced by UPEC, which attenuates innate
229 responses to epithelial infection [70]. Jointly, these data suggest a potential link between
230 cyclooxygenase-2 and indoleamine 2,3-dioxygenase in UPEC mediated UTI, as reviewed

231 elsewhere in the context of non-infectious diseases [71].

232

233 **Challenges, Opportunities and Future Research Directions**

234 As with many pathogens, UPEC employs multiple strategies to evade and manipulate host
235 barrier defence and innate immune responses. Our increased understanding of these
236 pathogen-host interactions has uncovered novel approaches that could be used to combat
237 UPEC mediated UTI, such as strategies aimed at selectively boosting the production or
238 function of molecules like IL-10, IL-17, cathelicidin and serum amyloid A. Novel
239 therapeutics using pathogen-derived molecules that directly impact innate immune
240 responses and manipulate host response pathways also seem plausible. These areas of
241 investigation should be considered in view of the genotypic and phenotypic diversity of
242 UPEC clonal groups, and the wide spectrum of UTI pathologies associated with different
243 strains. While research has focused on prototype UPEC strains such as CFT073 and
244 UTI89, future research also needs to study emerging strains. In particular, the
245 pathogenesis mechanisms employed by multidrug resistant UPEC strains such as the
246 globally disseminated *E. coli* ST131 clone should be addressed.

247

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598 **Figure 1.**

599 UPEC virulence factors and innate immune responses that help to shape the pathogenesis
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601 bacteremia is associated with immunopathology that stems from severe inflammation, and
602 this underlies the pain and certain symptoms of acute infection. Decreased severity of
603 infection, on the other hand, is associated with less severe inflammatory responses. UPEC
604 virulence factors directly influence the extent of innate immune responses and determine
605 potential lifestyles of the pathogen within the host environment.

606

607 **BOX 1**

608 **Adherence to host cells, a critical first step in UPEC infection, is mediated by**
609 **fimbriae.**

610

611 • Chaperone-Usher (CU) fimbriae are encoded by a cluster of genes under the
612 control of the same promoter (operon). CU fimbrial operons typically consist of a gene set
613 encoding an outer membrane usher and a periplasmic chaperone, flanked by one or more
614 genes encoding structural subunits.

615

616 • Many UPEC strains contain >10 CU fimbrial operons. These are either located on
617 the chromosome backbone or on mobile genetic elements, such as pathogenicity islands
618 and plasmids.

619

620 • Expression of some CU fimbriae in UPEC is phase variable, resulting in
621 heterogeneous bacterial populations with respect to adhesin production.

622

623 • CU fimbriae are hierarchically displayed at the bacterial cell surface via regulatory
624 cross-talk. This aids the temporal and spatial colonisation of distinct niches during
625 infection. For example, expression of P fimbriae in UPEC turns off type 1 fimbriae
626 (negative cross-talk), while it sequentially turns on other P-related fimbrial operons
627 (positive cross-talk) [72].

628

629 • Regulatory cross-talk also takes place between CU fimbriae and other surface
630 organelles of UPEC, such as flagella, capsule and the autotransporter protein antigen 43.

631

632 **BOX 2**

633 **Innate pattern recognition events involving multiple TLR signalling pathways are**
634 **associated with susceptibility and resistance to bacterial UTI.**

635

636 • Single-nucleotide polymorphisms (SNPs) in PRR genes are associated with UTI.
637 Examples: a TLR1_G1805T SNP with protection from pyelonephritis [73]; TLR2_R753Q
638 [74] and TLR4_A896G SNPs with increased risk for UTI in children and women [75,76];
639 and a TLR5_C1174T SNP with recurrent UTI [73].

640

641 • TLR4 promoter variants, which are linked to reduced expression of TLR4 and
642 reduced innate immune responses, are associated with asymptomatic bacteriuria [77].

643

644 • Mice deficient in PRR genes reveal the functional inputs of TLRs for determining
645 severity of UTI. Examples: TLR4 knockout (KO) mice develop asymptomatic bacteriuria
646 instead of acute UTI [43]; TLR5 KO mice are highly susceptible to UPEC infection [78];
647 and TLR11 KO mice are more prone to upper UTI [79].

648

649 • Nucleic acid-sensing PRRs such as TLR9, TLR13, STING and AIM2 may have a
650 role in UTI; these are activated by bacterial DNA/RNA [80,81].

651

652 • Nucleotide-binding oligomerization domain-like receptors (NLRs) including NLRP1,
653 NLRP3 and NLRC4 respond to pathogen products such as toxins (e.g. α -hemolysin) and
654 flagellin [82], but their roles in UTI have not been analyzed.

655