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University of Oxford



Clinical Trial Protocol

Study Reference: 006

A Phase I study of the safety and immunogenicity of BCG (Bacille Calmette-Guerin) vaccine delivered intradermally by a needle injection in healthy volunteers who have previously received BCG.

Version 2; 22.6.2004

CONFIDENTIAL

1. INTRODUCTION AND BACKGROUND

1.1 The need for new vaccine against tuberculosis

Tuberculosis (TB) kills about three million people annually. It is estimated that one third of the world's population are latently infected with *Mycobacterium tuberculosis* (*M.tb*). Multi-drug resistant strains of *M.tb*, and co-infection with *M.tb* and HIV present major new challenges. The currently available vaccine, *M. bovis* BCG, is largely ineffective at protecting against adult pulmonary disease in endemic areas and it is widely agreed that a new more effective tuberculosis vaccine is a major global public health priority¹. However, it may be unethical and impractical to test and deploy a vaccine strategy that does not include BCG, as BCG does confer worthwhile protection against TB meningitis and leprosy. An immunisation strategy that includes BCG is also attractive because the populations in which this vaccine candidate will need to be tested will already have been immunised with BCG.

M.tb is an intracellular organism. CD4+ Th1-type cellular responses are essential for protection and there is increasing evidence from animal and human studies that CD8+ T cells also play a protective role². However, it has generally been difficult to induce strong cellular immune responses in humans using subunit vaccines. DNA vaccines induce both CD4+ and CD8+ T cells and thus offer a potential new approach to a TB vaccine. DNA vaccines encoding various antigens from M. tuberculosis have been evaluated in the murine model, and to date no DNA vaccine alone has been shown to be superior to BCG^{3,4}.

A heterologous prime-boost immunisation strategy involves giving two different vaccines, each encoding the same antigen, several weeks apart. Such regimes are extremely effective at inducing a cellular immune response. Using a DNA- prime/MVA-boost immunisation strategy induces high levels of CD8+ T cells in animal models of malaria and HIV^{5,6}, and high levels of both CD4+ and CD8+ T cells in animal models of TB⁷. BCG immunisation alone induces only CD4+ T cells in mice. A prime-boost strategy using BCG as the prime and a recombinant MVA encoding an antigen from *M.tb* that is also present in BCG (antigen 85A: 'MVA85A') as the boost, induces much higher levels of CD4+ T cells than BCG or MVA85A alone. In addition, this regime generates specific CD8+ T cells that are undetectable following immunisation with BCG alone.

1.2 Recombinant viruses as vaccines.

Recombinant viruses used alone have for some years represented a promising vaccine delivery system, particularly for inducing cellular immune responses⁸. The recombinant virus encodes the immunising protein or peptide. Immunisation by a recombinant virus vaccine occurs when host cells take up and express the inoculated attenuated virus encoding a protective antigen⁹. The expressed protein often has the native conformation, glycosylation, and other post-translational modifications that occur during natural infection. Recombinant viral vaccines may elicit both antibody and cytotoxic T-lymphocyte responses¹⁰, which persist without further immunisations. Many viruses have been investigated as potential recombinant vaccines. The successful worldwide eradication of smallpox via vaccination with live vaccinia virus highlighted vaccinia as a candidate for recombinant use^{11,12,13}. The recognition in recent years that non-replicating strains of poxvirus such as MVA and avipox vectors can be more immunogenic than traditional replicating vaccinia strains has enhanced the attractiveness of this approach. MVA (modified

vaccinia virus Ankara) is a strain of vaccinia virus which has been passaged more than 570 times though avian cells, is replication incompetent in human cell lines and has a good safety record. It has been administered to more than 120,000 vaccinees as part of the smallpox eradication programme, with no adverse effects, despite the deliberate vaccination of high risk groups 14,15. This safety in man is consistent with the avirulence of MVA in animal models¹⁶. MVA has six major genomic deletions compared to the parental genome severely compromising its ability to replicate in mammalian cells¹⁷. Viral replication is blocked late during infection of cells but importantly viral and recombinant protein synthesis is unimpaired even during this abortive infection¹⁸. Replication-deficient recombinant MVA has been seen as an exceptionally safe viral vector^{19,20}. When tested in animal model studies recombinant MVAs have been shown to be avirulent, yet protectively immunogenic as vaccines against viral diseases and cancer^{6,21,22,23,24}. The most useful data on the safety and efficacy of various doses of a recombinant MVA vaccine comes from clinical trial data with a recombinant MVA expressing a number of CTL epitopes from Plasmodium falciparum pre-erythrocytic antigens fused to a complete pre-erythrocytic stage antigen, Thrombospondin Related Adhesion Protein (TRAP). These trials have given a total of 169 immunisations with this recombinant MVA, to 49 UK vaccinees 38 Gambian vaccines (20 of whom were children aged 1-5). 6 doses of 1 x 10⁷ pfu, 139 doses of 5 x 10⁷ pfu, 6 doses of 1 x 10⁸ pfu and 18 doses of 2.5 x 10⁸ pfu have been administered, all without serious adverse effects.

1.3 Recombinant MVA encoding antigen 85A

Secreted antigens from *M. tuberculosis* are released from actively metabolising bacteria, and are important targets in protective immunity²⁵. Antigen 85A is a major secreted antigen from *M. tuberculosis* which forms part of the antigen 85 complex (A, B and C). This complex constitutes a major portion of the secreted proteins of both *M.tb* and BCG. It is involved in fibronectin binding within the cell wall and has mycolyltransferase activity²⁶.

MVA85A induces both a CD4+ and a CD8+ epitope when used to immunise mice. When mice are primed with BCG and then given MVA85A as a boost, the levels of CD4+ and CD8+ T cells induced are higher than with either BCG or MVA85A alone.

We are evaluating the safety and immunogenicity of the following 3 groups:

- 1. BCG alone
- 2. MVA85A alone
- 3. BCG prime-MVA85A boost

BCG-BCG provides a control group for BCG-MVA85A. Many countries have a tradition of repeated BCG vaccination and the criteria for revaccination differ between countries²⁷.

2. DESCRIPTION OF THE STUDY

2.1 Study Objective

To assess the safety and immunogenicity of BCG delivered intradermally into the deltoid region in volunteers who have received BCG 10 - 20 years previously.

2.2 Selection of volunteers

Volunteers for the study will be recruited through advertisements. Each volunteer will have received an information sheet concerning the study and will have agreed to participate in writing. Volunteers will be given at least 48 hours between reading the information leaflet and agreeing to participate. Female volunteers will be told of the theoretical risk of congenital anomaly should they become pregnant during the study and only those who undertake to take precautions to avoid pregnancy during the study period will be eligible. Volunteers will give signed consent for their GP's to be notified about their participation in the trial. The GP will be faxed a letter on the day of screening and asked to reply if they know of a reason why the volunteer should not take part. The signed consent form will also be faxed with the letter.

2.3 Screening

Volunteers will be asked to sign the informed consent form for screening. The following will be performed:

- Medical history and examination
- Laboratory evaluations including clinical chemistry, haematology, HLA typing, anti-HBV antibodies, anti-HCV antibodies, anti-HIV antibodies
- Heaf test to exclude prior exposure to TB
- Urinalysis and urine pregnancy test if female

2.4 Inclusion Criteria

- Healthy adult aged 18-55 years.
- Normal medical history and physical examination.
- Normal urine dipstick, blood count, liver enzymes, and creatinine.

2.5 Exclusion Criteria

- a. Exposure to TB at any point. A positive ESAT6/CFP10 Elispot response (defined as greater than 5 spots/well above background and at least double the background response).
- b. Clinically significant history of skin disorder (eczema, psoriasis, etc.), allergy, immunodeficiency, cardiovascular disease, respiratory disease, endocrine disorder, liver disease, renal disease, gastrointestinal disease, neurological illness, psychiatric disorder, drug or alcohol abuse.
- c. Oral or systemic steroid medication or the use of immunosuppressive agents.
- d. Positive HIV antibody test, HCV antibody test or positive HBV serology except post-vaccination.
- e. Heaf test greater than Grade II
- f. Confirmed pregnancy

2.6 Withdrawal Criteria

a. Withdrawal of consent by subject for any reason

- b. Loss to follow-up
- c. Non-compliance with study procedures
- d. Protocol violation
- e. Serious adverse event (as defined in Appendix 3)
- f. Any other reason at discretion of the Principal Investigator
- g. Confirmed pregnancy during study period

2.7 Immunisation

On Day 0, subjects will receive a single intradermal injection of 0.1ml BCG over the deltoid muscle. Vital signs will be monitored at 30 and 60 minutes post-immunisation. Local reactions at the site of administration will be evaluated at 60 minutes.

A photograph of the injection site may be taken at 48 hours (with written consent). The injection site will be reviewed 7 days after each immunization.

Blood will be taken at the following time points: At the screening visit*, prior to the vaccination, 1 week after the first vaccination*, 2 weeks, 4 weeks, 8 weeks, 12 weeks* and 24 weeks after the vaccination. Up to 55 mls will be taken at any one time with the total being no more than 500 mls over the study period. *Samples taken on these dates will be tested for full blood count and biochemical screen. Immunological assays will be performed at all time points to determine vaccine immunogenicity. A pregnancy test will be performed prior to vaccination for female volunteers. Peripheral blood mononuclear cells will be prepared for cellular immunological assays to be performed without or following cryopreservation. Other serological measures of immune response, i.e. antibody titres, will be assayed on frozen plasma samples.

All blood tests will be taken within 1-3 days of the due date as described in the schedule above.

At the 24 week timepoint, a Heaf test will be repeated in order to document the change (if any) in Heaf responsiveness after the second BCG vaccination.

2.8 Endpoints

The occurance and severity of local side-effects

The occurance and severity of systemic side-effects

The induction of T cell responses (as measured by an interferon-gamma Elispot assay).

Proliferation assays and cytotoxic T cell assays will be performed on strong CD4+ and CD8+ responses respectively.

2.9 Adverse Events

See Appendix 1.

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APPENDIX 1

ADVERSE EVENTS

1. Definition and Grading Intensity of Adverse Events

An adverse event is defined as any unintended change in the body structure (signs) or body function (symptoms), whether or not considered related to test product. During the entire study, subjects will be instructed to report all adverse events. All adverse events, whether volunteered, elicited or noted on physical examination, will be recorded throughout the study.

The severity of adverse events will be categorized as follows:

- MILD = Experience that is minor and does not cause significant discomfort to subject or change in activities of daily living (ADLs); subject is aware of symptoms but symptoms are easily tolerated.
- MODERATE = Experience is an inconvenience or concern to the subject and causes interference with ADLs but the subject is able to continue with ADLs.
- SEVERE = Experience significantly interferes with ADLs and the subject is incapacitated and/or unable to continue with ADLs.

2. Criteria for Determining Relationship to Test Product

The Investigator will make a determination of the relationship of the adverse event to the test product. The relationship to test product of all adverse events will be classified according to the following guidelines:

- NOT RELATED = Data available to clearly identify an alternative cause of the reaction, e.g., hemorrhage due to mechanical injury.
- UNLIKELY
 - •• Reasonable temporal relation to vaccination, BUT
 - •• Unlabeled/unexpected reaction, AND
 - •• The reaction can be reasonably explained by other factors (such as interventions), AND
 - Negative de-challenge, if available, OR
 - •• No reasonable temporal relation to vaccination.

POSSIBLE

- Reasonable temporal relation to vaccination, AND
- •• Labeled/expected reaction, OR
- •• Unlabeled/unexpected reaction, BUT
- •• Other factors could have caused or contributed to the reaction (such as subject's clinical state, concomitant therapy, and/or other interventions).

PROBABLE

- Reasonable temporal relation to vaccination, AND
- •• Labeled/expected reaction, AND
- •• The reaction cannot be reasonably explained by other factors (such as the subject's clinical state, concomitant therapy, and/or other interventions).

HIGHLY PROBABLE

- •• Reasonable temporal relation to vaccination, AND
- •• Labeled/expected reaction, AND
- •• The reaction cannot be reasonably explained by other factors (such as the subject's clinical state, concomitant therapy, and/or other interventions), AND
- •• Positive de-challenge, if applicable, AND
- Positive re-challenge, OR
- Application/vaccination site reaction.

3 Definition of Reportable Events

The following adverse events are considered "serious reportable adverse events:"

- Death of a subject or life threatening events.
- Hospitalization (other than elective procedures or outpatient observation of <24 hour duration) or prolongation of hospitalization.
- Cancer or congenital anomaly.
- Chronic or permanent disability.
- Overdose.
- Any serious adverse event (i.e., an adverse event that is graded as serious or life-threatening in appendix 2).

APPENDIX 2

Table for Grading Severity of Adult Adverse Experiences for Vaccine Trials

Guidelines

ABBREVIATIONS: Abbreviations utilized in this Table include:

ULN =Upper Limit of Normal LLN =Lower Limit of Normal

 R_x =Therapy Req =Required Mod =Moderate IV =Intravenous ADL =Activities of Daily Living Dec =Decreased

ESTIMATING SEVERITY GRADE

For abnormalities NOT found elsewhere in the Toxicity Table use the scale below to estimate grade of severity:

GRADE 1 Mild Transient or mild discomfort (< 48 hours); no medical

intervention/therapy required.

GRADE 2 Moderate Mild to moderate limitation in activity – some assistance may

be needed; no or minimal medical intervention/therapy required.

GRADE 3 Severe Marked limitation in activity, some assistance usually

required; medical intervention/therapy required, hospitalization possible.

GRADE 4 Life- threatening Extreme limitation in activity, significant assistance required;

significant medical intervention/therapy required, hospitalization or hospice care

probable.

SERIOUS OR LIFE-THREATENING Adverse Events

ANY clinical event deemed by the clinician to be serious or life-threatening should be considered a Grade 4 Adverse Event. Clinical events considered to be serious or life-threatening include, but are not limited to: seizures, coma, tetany, diabetic ketoacidosis, disseminated intravascular coagulation, diffuse petechiae, paralysis, acute psychosis, severe depression.

MISCELLANEOUS

- When two values are used to define the criteria for each parameter, the lowest values will appear first.
- Parameters are generally grouped by body system.
- Some protocols may have additional protocol specific grading criteria.

| Parameter | Grade 1 Mild | Grade 2 Moderate | Grade 3 Severe | Grade 4 Potentially Life-Threatening |
|--------------------------|-------------------|---------------------|-------------------|--------------------------------------|
| POTASSIUM | | | | - |
| Hyperkalemia | 5.0 – 5.5 meq/L | 5.6 – 6.0 meq/L | 6.1 – 6.5 meq/L | >6.5 meq/L |
| Hypokalemia | 3.2 - 3.4 meq/L | 2.9 – 3.1 meq/L | 2.5 - 2.8 meq/L | <2.5 meq/L |
| PHOSPHATE | | | | |
| Hypophosphatemia | 2.0-2.4~mg/dL | 1.5 – 1.9 mg/dL | 1.0-1.4 mg/dL | <1.0 mg/dL |
| CALCIUM – (corrected | | | | |
| for albumin) | | | | |
| Hypocalcemia | 7.8 - 8.4 mg/dL | 7.0 - 7.7 mg/dL | 6.1 - 6.9 mg/dL | <6.1 mg/dL |
| Hypercalcemia | 10.6 – 11.5 mg/dL | 11.6 – 12.5 mg/dL | 12.6 – 13.5 mg/dL | >13.5 mg/dL |
| MAGNESIUM | | | | |
| Hypomagnesemia | 1.2 – 1.4 meq/L | 0.9 – 1.1 meq/L | 0.6-0.8 meq/L | <0.6 meq/L |
| BILIRUBIN | | | | |
| Hyperbilirubinemia | >1.0 – 1.5 x ULN | >1.5 – 2.5 x ULN | >2.5 – 5 x ULN | >5 x ULN |
| GLUCOSE | | | | |
| Hypoglycemia | 55 - 84 mg/dL | 40-54 mg/dL | 30 -39 mg/dL | <30 mg/dL |
| Hyperglycemia | 118 – 160 mg/dL | 161 - 250 mg/dL | $251-500\ mg/dL$ | >500 mg/dL |
| (nonfasting and no prior | | | | |
| diabetes) | | | | |
| Triglycerides | | 400 - 750 mg/dL | 751 – 1200 mg/dL | >1200 mg/dL |
| URIC ACID | | | | |
| Hyperuricemia | 7.5-10.0~mg/dL | 10.1 – 12.0 mg/dL | 12.1 – 15.0 mg/dL | >15.0 mg/dL |
| LIVER TRANS- | | | | |
| AMINASE (LFTs) | | | | |
| AST (SGOT) | 1.25 – 2.5 x ULN | >2.5 – 5.0 x ULN | >5.0 – 10.0 x ULN | > 10.0 x ULN |
| ALT (SGPT) | 1.25 – 3.0 x ULN | >3.0 – 5.0 x ULN | >5.0 – 10.0 x ULN | > 10.0 x ULN |
| GGT | 1.25 – 2.5 x ULN | >2.5 – 5.0 x ULN | >5.0 – 10.0 x ULN | > 10.0 x ULN |
| Alk Phos | 1.25 – 2.5 x ULN | >2.5 – 5.0 x ULN | >5.0 – 10.0 x ULN | > 10.0 x ULN |
| PANCREATIC | | | | |
| ENZYMES | | | | |
| Amylase | >1.0 – 1.5 x ULN | >1.5 – 2.0 x ULN | >2.0 – 5.0 x ULN | >5.0 x ULN |
| Pancreatic amylase | >1.0 – 1.5 x ULN | >1.5 – 2.0 x ULN | >2.0 – 5.0 x ULN | >5.0 x ULN |
| Lipase | >1.0 – 1.5 x ULN | >1.5 – 2.0 x ULN | >2.0 – 5.0 x ULN | >5.0 x ULN |

| | | | | 0.1.4 |
|------------------|---|----------------------------------|---|-------------------------------|
| Parameter | Grade 1 | Grade 2 | Grade 3 | Grade 4 |
| | Mild | Moderate | Severe | Potentially |
| | | | | Life-Threatening |
| | | CARDIOVASCULAR | | |
| Cardiac | | Asymptomatic; | Recurrent/persistent | Unstable |
| Arrhythmia | | transient dysrhythmia, | dysrhythmia; | dysrhythmia, |
| , | | no R _x req | symptomatic R_x req | hospitalization and |
| | | 1 | , , , , , , , , , , , , , , , , , , , | R _x req |
| Hypertension | Transient, increase >20 mm | Recurrent; chronic | Acute R _x req; outpatient OR | Hospitalization req |
| Trypertension | Hg diastolic BP; no R _x req | increase >20 mm Hg | hospitalization possible | OR end organ |
| | rig diastone Bi , no K _x ieq | | nospitanzation possible | _ |
| | | diastolic BP; R _x req | | damage |
| Hypotension | Transient orthostatic | Symptoms OR BP | IV fluid req OR | Mean arterial |
| | hypotension with heart rate | decreased by <20 mm | hospitalization | pressure <60 mm |
| | increased by >20 beats/min | Hg systolic, correctable | | Hg, OR end organ |
| | OR decreased by <10 mm | with oral fluid R _x | | damage, OR |
| | Hg systolic BP, no R _x req | | | shock, vasopressor |
| | | | | R_x req |
| Pericarditis | Minimal effuision | Mild/mod | Symptomatic effusion, pain, | Tamponade OR |
| | | asymptomatic effusion, | EKG changes | pericardiocentesis |
| | | no R _x | | OR surgery req |
| Hemorrhage, | | Mildly symptomatic, no | Gross blood loss OR 1-2 | Massive blood |
| blood loss | | R _x req | units transfused | loss OR >2 units |
| 01000 1000 | | πχτοφ | umis transfused | transfused |
| | | GASTROINTESTINAL | | transfasea |
| Nausea | Mild OR transient; | Mod discomfort OR | Severe discomfort OR | Hospitalization req |
| Nausea | | | | nospitalization req |
| | reasonable intake maintained | intake decreased for <3 | minimal intake for ≥ 3 days | |
| | | days | | |
| Vomiting | Mild OR transient; 2-3 | Mod OR persistent; 4-5 | Severe vomiting of all | Hypotensive shock |
| | episodes per day OR mild | episodes per day; OR | food/fluids in 24 hrs OR | OR hospitalization |
| | vomiting lasting <1 week | vomiting lasting \geq week | orthostatic hypotension OR | req for IV R _x req |
| | | | IV R _x req | |
| Diarrhea | Mild OR transient; 3-4 loose | Mod OR persistent; 5- | >10 loose stools/day bloody | Hypotensive shock |
| | stools per day OR mild | 10 loose stools per day | diarrhea; OR orthostatic | OR severe |
| | diarrhea lasting <1 week | OR diarrhea lasting ≥1 | hypotension OR electrolyte | electrolyte |
| | 8 | week | imbalance, >2 L IV fluid req | imbalance |
| Oral Discomfort/ | Mild discomfort, no | Difficulty swallowing | Unable to swallow solids | Unable to drink |
| Dysphagia | difficulty swallowing | but able to eat and drink | Chaole to Swallow Solids | fluids; IV fluids |
| Djopingia | difficulty swallowing | out able to cat and utilik | | req |
| Constinct: | | Moderate -1-1 | Dogwining disi | Distention with |
| Constipation | | Moderate abdominal | Requiring disimpaction or | |
| | | pain 78 hours with | hospital treatment | vomiting OR |
| | | impaction require | | obstipation |
| | | outpatient prescription | | |

| Parameter | Grade 1 | Grade 2 | Grade 3 | Grade 4 |
|-----------------------|------------------------------------|-------------------------------------|-------------------------------|------------------------|
| T di di di di | Mild | Moderate | Severe | Potentially Life- |
| | 1,1114 | TVIO del alle | Severe | Threatening |
| Cough (for aerosol | Transient; no R _x | Treatment associated | Uncontrolled cough; | Timeutening |
| studies) | Transient, no K _x | cough; inhaled | systemic R _x req | |
| studies) | | bronchodilator | systemic K _x req | |
| D 1 A . | T D | | NT 11 01 141 | C ' FEVI |
| Bronchospasm Acute | Transient; no R _x ; | R _x req; normalizes with | No normalization with | Cyanosis; FEV1 or |
| | FEV1 or peak flow | bronchodilator; FEV1 or | bronchodilator; FEV1 or | peak flow <25% |
| | reduced to 70% - 80% | peak flow 50% - 69% | peak flow 25% - 49%, | OR intubated |
| | | | retractions | |
| Dyspnea | Dyspnea on exertion | Dyspnea with normal | Dyspnea at rest | Dyspnea requiring |
| | | activity | | O ₂ therapy |
| | | NEUROLOGICAL | | |
| Neuro-cerebellar | Slight incoordination | Intention tremor OR | Ataxia requiring assistance | Unable to stand |
| | OR | dysmetria OR slurred | to walk or arm | |
| | Dysdiadochokinesia | speech OR nystagmus | incoordination interfering | |
| | | | with ADLs | |
| Neuro-psych/mood | | | Severe mood changes | Acute psychosis |
| 1 7 | | | requiring medical | req hospitalization |
| | | | intervention; suicidal | ; suicidal |
| | | | ideation | gesture/attempt |
| Parasthesia (burning, | Mild discomfort; no R _x | Mod discomfort; non- | Severe discomfort: OR | Incapacitating; OR |
| tingling, etc.) | req | narcotic analgesia required | narcotic analgesia req with | not responsive to |
| unging, etc.) | 104 | mare and analysis required | symptomatic improvement | narcotic analgesia |
| Neuro-motor | Mild weakness in | Mod weakness in feet | Marked distal weakness | Confined to bed or |
| rearo motor | muscle of feet but able | (unable to walk on heels | (unable to dorsiflex toes or | wheel chair |
| | to walk and/or mild | and/or toes), mild | foot drop, and mod proximal | because of muscle |
| | increase or decrease in | weakness in hands, still | weakness e.g., in hands | weakness |
| | reflexes | able to do most hand tasks | interfering with ADLs | weakness |
| | Tellexes | and/or loss of previously | and/or requiring assistance | |
| | | present reflex or | to walk and/or unable to rise | |
| | | development of | from chair unassisted | |
| | | hyperreflexia and/or | from chair unassisted | |
| | | · · | | |
| | | unable to do deep knee | | |
| 37 | 3.6'11.1' | bends to weakness | | G 1 |
| Neuro-sensory | Mild impairment | Mod impairment (mod | Severe impairment | Sensory loss |
| | (decreased sensation, | decreased sensation, e.g., | (decreased or loss of | involves limbs and |
| | e.g., vibratory, | vibratory, pinprick, | sensation to knees or wrists) | trunk |
| | pinprick, hot/cold in | hot/cold to ankles) and/or | or loss of sensation of at | |
| | great toes) in focal | joint position or mild | least mod degree in multiple | |
| | area or symmetrical | impairment that is not | different body sites (i.e., | |
| | distribution | symmetrical | upper and lower extremities) | |

| Parameter | Grade 1 | Grade 2 | Grade 3 | Grade 4 |
|-------------------------|------------------------|-----------------------------|-------------------------------|--------------------------|
| | Mild | Moderate | Severe | Potentially |
| | | | | Life-Threatening |
| Arthralgia/Arthritis | Arthralgia | Arthralgia with joint | Frank arthritis with or | |
| | | effusion or moderate | without effusion OR | |
| | | impairment of activity | resulting in severe | |
| | | | impairment of activity | |
| Myalgia | Myalgia without | Muscle tenderness at | Frank myonecrosis OR | |
| | limitation of activity | other than injection site | with severe impairment | |
| | | or with moderate | of activity | |
| | | impairment of activity | | |
| | | SKIN | | |
| Skin (vaccination site) | Refer to A | ppendix 4 for evaluation of | specific changes at site of v | raccination |
| Skin (general) | Scattered macular or | Scattered macular or | Generalized | Exfoliative dermatitis |
| | papular eruption or | papular eruption or | symptomatic macular, | or ulcerating dermatitis |
| | erythema that is | erythema with pruritus | papular, or vesicular | |
| | asymptomatic | or other associated | eruption | |
| | | symptoms | | |
| URINALYSIS | | | | |
| Proteinuria: | | | | |
| Random urine | 1+ | 2 - 3+ | 4+ | Nephrotic syndrome |
| Proteinuria: | 200 mg - 1 g loss/day | 1 – 2 g loss/day OR | 2 – 3.5 g loss/day OR | Nephrotic syndrome |
| 24 hour urine | OR <0.3% OR <3 g/l | 0.3 – 1.0% OR 3 - 10 | >1.0% OR > 10 g/l | OR >3.5 g loss/day |
| | | g/l | | |
| Proteinuria: | Microscopic only ≤10 | >10 RBC/HPF | Gross, with or without | Obstructive OR |
| Hematuria | RBC/HPF | | clots OR RBC casts | transfusion req |

| Parameter | Grade 1 | Grade 2 | Grade 3 | Grade 4 | |
|-------------------|---|---|--|--|--|
| | Mild | Moderate | Severe | Potentially | |
| | | | | Life-Threatening | |
| | MISCELLANEOUS | | | | |
| Fever | 37.7 - 38.9°C | 39.0 – 39.5°C | 39.8 – 40.5°C | >40.5°C (105°F) | |
| Oral>12 hours | (100.0 – 101.5°F) | (101.6 – 102.9°F) | (103 - 105°F) | OR max temp of >105°F | |
| | | OR max temp of 103°F | OR max temp of 103.5°F | | |
| Headache | Mild, no R_x req, OR non- narcotic analgesia R_x | Mod; OR responds to initial narcotic R _x | Severe; intractable; OR requiring repeated narcotic R _x | Requiring hospitalization, associated with neurologic, respiratory or cardiovascular abnormalities | |
| Allergic Reaction | Pruritus without rash at injection site | Localized urticaria at injection site | Generalized urticaria angioedema | Anaphylaxis | |
| ADL | Normal activity reduced <48 hours | Normal activity reduced 25 - 50% >48 hours | Normal activity reduced >50%; cannot work >48 hours | Unable to care for self | |
| Eye | | Mild pain, visual changes, conjunctival erythema, abnormal slit lamp | Loss of vision, clinically diagnosed uveitis, mod- severe pain, glaucoma | | |