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# Nefazodone in Psychotic Unipolar and Bipolar **Depression: A Retrospective Chart Analysis and** Open Prospective Study on Its Efficacy and Safety versus Combined Treatment with **Amitriptyline and Haloperidol**

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# **Key Words**

Nefazodone · Amitriptyline · Haloperidol · Psychotic depression · Delusional depression

#### **Abstract**

Although atypical antipsychotics are on the rise, traditional treatment of psychotic (or delusional) depression mostly includes the addition of classical antipsychotics to antidepressants. As there are only few data supporting this approach compared with antidepressant monotherapy, and almost no data comparing it with antidepressants of the latest generation, we conducted a retrospective chart analysis and a prospective, randomized open study on the efficacy and tolerability of nefazodone monotherapy versus combined treatment with amitriptyline and haloperidol in psychotic depression. The results suggest that the addition of classical antipsychotics should be reserved for those with very severe psychotic symptoms, but may not be needed in milder forms.

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#### Introduction

Psychotic (or delusional) depression is characterized by its greater severity, longer episodes, and greater incapacity compared with depression without psychotic features [1]. Since the landmark study of Spiker et al. [2], only few controlled trials have been published, and the appropriate pharmacological treatment of severe depression with psychotic features remains a controversial problem. The distinction between mood-congruent and moodincongruent features does not seem to imply a difference as far as the use of antipsychotics is concerned in clinical practice. Mood congruency of paranoid thoughts makes them an endogenous part of the depressive syndrome characterizing its severity. Thus, remission of depression through treatment with antidepressants should also ameliorate those psychotic symptoms. Nevertheless, classical antipsychotics are often added to antidepressants in clinical practice. Traditionally, a combination of tricyclics, e.g. amitriptyline (AMI) combined with haloperidol (HAL), is widely used, as these drugs are effective, well known to the practitioner and inexpensive. However, this combination may yield several disadvantages, exerting adrenergic, antihistaminergic, anticholinergic and, for HAL, antidopaminergic side effects.

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Only few data exist so far on the use of selective serotonin-reuptake inhibitors (SSRIs) for the treatment of psychotic depression. A small trial of the combination of fluoxetine and perphenanzine suggested similar efficacy as the standard regimens tricyclic antidepressant (TCA) + antipsychotics or electroconvulsive therapy [3]. Interestingly, two trials with antidepressant monotherapy (sertraline vs. paroxetine [4] and venlafaxine vs. fluvoxamine [5]) also showed efficacy of monotherapy with these new antidepressants in delusional depression in a doubleblind and randomized design. However, it still remains unclear how a new antidepressant as a monotherapy would perform in comparison with the traditional combination therapy with a tricyclic and an antipsychotic.

Nefazodone (NEF) is a dual-acting serotonergic drug. Presynaptically, it inhibits serotonine reuptake and post-synaptically, it blocks 5-HT2 receptors. Its affinity to other receptors, i.e. alpha-adrenergic and cholinergic receptors, is very weak. This gives NEF a profile of high tolerability without side effects usually seen with classical TCAs. Alpha-1-related side effects, such as dry mouth, blurred vision or constipation, may be observed, but clearly less frequent than with its precursor trazodone or with TCAs, e.g. imipramine [6].

The efficacy of NEF in treating major depression appears comparable with typical SSRIs [7, 8] and established TCAs. Several studies comparing NEF with imipramine found equal efficacy [9–12]; the same holds true for another study versus AMI [13].

As mentioned, for psychotic depression, it is still controversial whether the addition of antipsychotics is really needed, especially as depression-triggering effects of classical antipsychotics have also been reported [14]. Similar to atypical antipsychotics, e.g. clozapine, olanzapine and risperidone, NEF also binds to the 5-HT2A receptor. Both agonist and antagonist binding to the 5-HT2A receptor finally result in a downregulation [15]. These preclinical data may imply that NEF not only has an intrinsic anxiolytic, but also antipsychotic action, probably making the addition of classical antipsychotics unnecessary.

The study described here was performed to test whether monotherapy with NEF achieves similar improvement of psychotic depression as standard treatment with AMI and HAL. Tolerability of these treatments was a secondary outcome parameter. The study consisted of two parts: first, we checked in a retrospective chart analysis whether there is sufficient evidence for comparable efficacy; next, we conducted an open, randomized prospective study in 20 inpatients allocating 10 to each treatment arm.

#### Methods

Retrospective Chart Analysis

This analysis was done using the records of 20 inpatients of the Departments of Psychiatry of the University of Munich and Freiburg, Germany, with the documented clinical diagnosis of psychotic depression and a Clinical Global Assessment Inventory (CGI) rating of at least 5 (markedly ill). In contrast to the prospective study, bipolarity was not an exclusion criterion. The allocation to treatment arms was random in so far that the last 10 admissions treated with either regimen (NEF vs. AMI-HAL) were chosen. Patients with a comedication other than short-term benzodiazepines for sleep disturbances or biperiden were excluded. Patients were treated on different wards of the hospital by different doctors according to their clinical needs, not with the intention of a study. However, as part of the hospital standard documentation system, the CGI was administered on a weekly basis. All patients had given their written consent at the entry to the hospital that data gathered during their stay can be used for post hoc scientific analysis.

Comparison was made between the documented CGI scores before treatment and after 4 weeks on either treatment.

Prospective Open Study

This 4-week, prospective trial was conducted in 20 adult inpatients of the Departments of Psychiatry of the University of Munich and Freiburg, Germany. Patients fulfilled the ICD-10 diagnostic criteria for severe depression with psychotic features (F32.3 or F33.3) and had a score of at least 25 on the Hamilton depression scale, 21-item version (HAMD [16]). Exclusion criteria were a psychiatric diagnosis different from F32.3 or F33.3, the need of continuous treatment with other antidepressants or mood stabilizer, electroconvulsive therapy during the last month, depot antipsychotics within the last 2 months, suicidality and medical comorbidity. The only comedication allowed during the 4-week trial were oxazepam and biperiden for the AMI-HAL group. After giving their informed written consent, the patients were randomized on either treatment arm in the order of admission.

Treatment success was documented by the HAMD and the Brief Psychiatric Rating Scale (BPRS), and the respective values at the beginning and after 4 weeks were compared. Due to the small number of patients in both groups, the results are descriptive, but not statistically analyzed.

#### **Results**

Retrospective Chart Analysis

The NEF group consisted of 8 female and 2 male, the AMI-HAL group of 6 female and 4 male patients. Age was comparable between groups (mean  $\pm$  SD, 47  $\pm$  8.2 for NEF, 49.2  $\pm$  7.5 for AMI-HAL), as well as the mean number of previous depressive episodes (3.2 for NEF vs. 3.8 for AMI-HAL). Three patients in the NEF group were diagnosed as bipolar (F31.5), as well as 1 in the AMI-HAL group (31.4), but all of them were without a mood stabilizer during the observation period. This difference may be explained by the fact that clinicians consider it more hazardous to prescribe TCAs in bipolar depressed pa-

**Table 1.** Results of the retrospective chart analysis

#### a NEF group

Patient No.	ICD-10 diagnosis	NEF dosage mg/day	CGI baseline	CGI at day 28
1	32.2	200	5	1
2	32.3	400	7	5
3	32.3	400	7	61
4	31.5	350	7	3
5	32.3	400	6	5
6	32.2	400	6	4
7	31.5	500	7	4
8	31.5	400	7	4
9	32.3	500	7	7
10	32.3	400	7	6
Mean ± SD		$395 \pm 83$	$6.6 \pm 0.7$	$4.5 \pm 1.7$

#### **b** AMI-HAL group

Patient No.	ICD-10 diagnosis	AMI dosage mg/day	HAL dosage mg/day	CGI baseline	CGI at day 28
11	32.3	150	5	7	4
12	32.3	150	8	7	5
13	32.3	150	5	7	4
14	32.2	75	2	6	4
15	32.3	225	5	7	3
16	32.3	150	5	7	7
17	32.2	150	5	6	3
18	32.3	225	10	7	6
19	32.3	150	8	7	3
20	31.4	75	3	5	4
Mean $\pm$ SD		$150 \pm 50$	$5.6 \pm 2.4$	$6.6 \pm 0.7$	$4.3 \pm 1.3$

Premature discontinuation on day 14, LOCF.

tients due to the high switch risk; however, none of the patients in either group had a switch during the observation period. Both NEF and AMI-HAL were dosed according to clinical needs, as patients were not part of a study during their individual treatment. The mean dosage of NEF at the endpoint of the analysis (day 28) was 395  $\pm$  83 mg/day, the mean dosage of AMI 150  $\pm$  50 mg/day, and of HAL 5.6  $\pm$  2.4 mg/day.

Within 4 weeks, the mean of the CGI declined in the NEF group from  $6.6 \pm 0.7$  (range 5-7) to  $4.5 \pm 1.7$  (range 1-7) with last observation carried forward (LOCF) from one premature discontinuation. 6/10 patients were considered as responders with a response criterion of a CGI improvement of at least 2 points (with all 3 bipolar patients being responders). One patient had the treatment stopped after 2 weeks due to strong sedation after little improvement (CGI decrease from 7 to 6).

For the AMI-HAL group, all patients remained for at least 4 weeks on this treatment. The mean of the CGI at the beginning was identical with the NEF group and declined from  $6.6 \pm 0.7$  (range 5–7) to  $4.3 \pm 1.3$  (range 3–7). 7/10 patients were considered as responders with a response criterion of a CGI improvement of at least 2 points (with the 1 bipolar patient not responding sufficiently).

Table 1 shows the individual patient characteristics and treatment response.

## Prospective Open Study

NEF or AMI-HAL were dosed at the clinicians' discretion and the daily dosage did not appear different from what was seen in the retrospective chart analysis. Two out of 10 patients of the NEF group discontinued prematurely after 1 and 2 weeks, respectively, 1 due to worsening of

**Table 2.** Results of the prospective open study

Patient No.	Medication	HAMD baseline	HAMD day 28	BPRS baseline	BPRS day 28
1	NEF	39	14	51	39
2	NEF	30	7	43	23
3	NEF	26	8	39	35
4	NEF	27	17	44	32
5	NEF	31	8	48	24
61	NEF	25	31	41	48
7	NEF	28	6	50	24
82	NEF	24	11	38	34
9	NEF	25	8	43	29
10	NEF	25	10	43	37
Mean $\pm$ SD		$28 \pm 4.5$	$12 \pm 7.5$	$44 \pm 4.4$	$32.5 \pm 7.9$
11	AMI-HAL	30	12	47	29
123	AMI-HAL	35	24	62	46
13	AMI-HAL	30	6	46	26
141	AMI-HAL	35	28	53	45
15	AMI-HAL	33	5	50	20
162	AMI-HAL	30	21	43	35
17	AMI-HAL	33	17	56	38
18	AMI-HAL	41	19	52	32
19	AMI-HAL	28	7	44	19
20	AMI-HAL	32	10	55	20
Mean $\pm$ SD		$32.7 \pm 3.7$	$14.9 \pm 8.1$	$50.8 \pm 6$	$31 \pm 10$

<sup>&</sup>lt;sup>1</sup> LOCF, discontinuation after day 14.

symptoms, the other due to dizziness and nausea. In comparison, 3/10 patients of the AMI-HAL group were dropouts after 1, 2 and 3 weeks. The reasons included lack of efficacy and intolerable EPMS in 2 patients, and dry mouth and strong sedation in the third.

Again, both NEF and AMI-HAL were dosed according to clinical needs. The mean dosage of NEF at the endpoint of the analysis (day 28) was 425  $\pm$  45 mg/day, the mean dosage of AMI 175  $\pm$  37 and of HAL 7.2  $\pm$  4.4 mg/day.

At baseline, patients in the AMI-HAL group were slightly more ill with an HAMD score of  $32.7 \pm 3.7$  and a BPRS score of  $50.8 \pm 6$  compared with  $28 \pm 4.5$  (HAMD) and  $44 \pm 4.4$  (BPRS) for the NEF group. Within 4 weeks, the mean of the HAMD in the AMI-HAL group declined to  $14.9 \pm 8.1$  and in the NEF group to  $12 \pm 7.5$  with LOCF in the 5 patients with premature discontinuation. Defining a 50% reduction of the HAMD as sufficient treatment response, 8/10 patients were responders in the NEF group and 6/10 in the AMI-HAL group.

Accordingly, the BPRS score declined to  $31 \pm 10$  in the AMI-HAL group and to  $32.5 \pm 7.9$  in the NEF group.

The individual results are shown in table 2. Figure 1 is a graphic depiction of the means of the HAMD and BPRS in both groups.

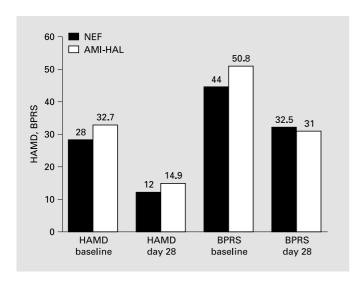
Oxazepam was rarely needed in both groups. Only 2 patients (1 in each group) received oxazepam for more than 3 days during the observation period. However, 4/10 patients in the AMI-HAL group needed biperiden (4 mg/day) for at least 14 days.

### Discussion

The appropriate treatment of psychotic depression is still a controversial topic. Treatment habit is the addition of antipsychotics, often typical antipsychotics such as HAL. As NEF may have an intrinsic antipsychotic component of action by its 5-HT2A antagonism, we investigated whether it may be a candidate drug efficient enough

<sup>&</sup>lt;sup>2</sup> LOCF, discontinuation after day 7.

<sup>3</sup> LOCF, discontinuation after day 21.



**Fig. 1.** Prospective comparison of NEF vs. AMI-HAL in psychotic depression. Results are LOCF for the dropouts (2 in the NEF group and 3 in the AMI-HAL group).

to treat psychotic depression without the addition of antipsychotics.

Both the retrospective chart analysis and the prospective open trial suggest that the overall efficacy of NEF is comparable with the one of the standard treatment with AMI and HAL. From the point of view of tolerability, more dropouts were observed in the AMI-HAL group despite the allowed use of biperiden. However, due to the small number of patients, this may also be by chance.

Patients in the NEF group responded not as well for the BPRS score as they did for the HAMD. Analysis of the single items of the BPRS revealed that those items associated with psychotic features, item 4 (thought disorder), 10 (hostility), 11 (paranoia), 12 (hallucinations), and 15 (unusual thought content), responded less markedly than those focusing more on depressive symptoms and anxiety. Thus, in depression with severe and prominent psychotic features, the addition of antipsychotics to NEF still appears to be needed. When using HAL, it has to be kept in mind that NEF increases the area under curve for HAL plasma concentration by 36% after a one-time administration of HAL [17]. The pharmacological mechanism for this is not yet understood. However, in clinical practice, this means that HAL should be reduced when coadministered with NEF.

In conclusion, these data do not support a monotherapy approach towards psychotic depression with NEF as long as psychotic features are very prominent. For milder forms, an initial treatment with NEF monotherapy may be an adequate option.

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