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1 **Instability-Driven Benthic Storms Below the Separated Gulf Stream and the**  
2 **North Atlantic Current in a High-Resolution Ocean Model**

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## ABSTRACT

13 Benthic storms are important for both the energy budget of the ocean and  
14 for sediment resuspension and transport. Using 30 years of output from a  
15 high-resolution model of the North Atlantic, it is found that most of the ben-  
16 thic storms in the model occur near the western boundary in association with  
17 the Gulf Stream and the North Atlantic Current, in regions that are generally  
18 co-located with the peak near-bottom eddy kinetic energy. A common feature  
19 are meander troughs in the near-surface jets that are accompanied by deep low  
20 pressure anomalies spinning up deep cyclones with near-bottom velocities of  
21 up to more than 0.5 m/s. A case study of one of these events shows the im-  
22 portance of both baroclinic and barotropic instability of the jet, with energy  
23 being extracted from the jet in the upstream part of the meander trough and  
24 partly returned to the jet in the downstream part of the meander trough. This  
25 motivates examining the 30-year time mean of the energy transfer from the  
26 (annual mean) background flow into the eddy kinetic energy. This quantity is  
27 shown to be co-located well with the region in which benthic storms and large  
28 increases in deep cyclonic relative vorticity occur most frequently, suggesting  
29 an important role for mixed barotropic-baroclinic instability driven cyclogen-  
30 esis in generating benthic storms throughout the model simulation. Regions  
31 of largest energy transfer and most frequent benthic storms are found to be  
32 the Gulf Stream west of the New England Seamounts and the North Atlantic  
33 Current near Flemish Cap.

## 34 **1. Introduction**

35 Benthic storms are near-bottom velocity events that are exceptionally strong for a specific  
36 location. They contribute largely to the bottom energy dissipation rate which is proportional to  
37 the cube of the near-bottom absolute velocity. The bottom energy dissipation is estimated to be  
38 a substantial sink of the global wind power input (Sen et al. 2008; Arbic et al. 2009) and thus,  
39 benthic storms are thought to have a large influence on the global energy balance. Benthic storms,  
40 which are often associated with strong surface flow variability (Cronin et al. 2013), are also the  
41 main driver of sediment transport (Gardner et al. 2017). The stir-up of sediments leads to the  
42 development of nepheloid clouds (Kontar and Sokov 1997; Gardner et al. 2017) which have a  
43 large impact on the sea bed biota and its diversity (Harris 2014). With the realization that global  
44 warming is extending into the deep ocean (Purkey and Johnson 2010; Heuzé et al. 2015), plans  
45 are being developed for a Deep Ocean Observing System DOOS ([www.deeptoceanobserving.org](http://www.deeptoceanobserving.org)).  
46 The prevalence of benthic storms could have important implications for where and how deep  
47 ocean measurements should be made.

48  
49 For this study we focus on the North Atlantic and in particular on the Gulf Stream (GS) -  
50 North Atlantic Current (NAC) system. The GS-NAC system is one of the most energetic current  
51 systems of the world ocean (Ferrari and Wunsch 2009). The GS flows along the Eastern Seaboard,  
52 separates from the coast at Cape Hatteras, and flows into the open ocean where it is characterized  
53 as a strong, narrow and surface intensified current (Watts and Johns 1982; Lee and Cornillon  
54 1996). Immediately downstream of the separation at Cape Hatteras, small amplitude, rather  
55 sinusoidal meanders dominate the variability of the GS (Watts et al. 1995). East of 69°W, very  
56 large meanders can pinch off to form westward propagating GS rings of various size (Parker



57 1971). Between Cape Hatteras and the New England Seamounts, recirculations on both sides  
58 of the GS drive a large downstream increase in GS transport (Worthington 1976; Hogg 1983;  
59 Meinen and Luther 2016), which then stays roughly constant until at least  $55^{\circ}W$  (Hendry 1982;  
60 Hogg 1992). The increase is mainly due to the barotropic component of the flow (Hogg 1992;  
61 Johns et al. 1995). These recirculation gyres are thought to be driven by eddies (e.g. Hogg and  
62 Stommel 1985; Marshall and Nurser 1986; Greatbatch 1987; Greatbatch et al. 2010a; Wang et al.  
63 2017) or by vortex stretching associated with the deep circulation (Greatbatch et al. 1991; Zhang  
64 and Vallis 2007; Wang et al. 2017). At the Grand Banks of Newfoundland, the GS bifurcates. Its  
65 main part reattaches to the bathymetry and flows northwards as the NAC, while a smaller portion  
66 flows south-eastwards as the Azores Current (Gould 1985). The NAC tends to be more barotropic  
67 than the GS but can also have a baroclinic near surface core (Lazier 1994; Meinen 2001). North  
68 of Flemish Cap, the NAC turns eastward in the "Northwest Corner". There, the NAC is observed  
69 to either be a narrow strong current associated with more or less standing eddies or a weaker and  
70 broader flow of lower coherence with a rich eddy field (Lazier 1994).

71  
72 The eddy field of the GS is associated with eddy kinetic energy (EKE) maxima along its  
73 climatological mean path that are one to two orders of magnitude higher than in the surrounding  
74 ocean. This was found for the surface EKE, estimated from satellite measurements (Ducet and  
75 Le Traon 2001), for the near-bottom EKE, derived from current meter measurements (Bower  
76 and Hogg 1992) and for the whole water column at  $55^{\circ}W$ , estimated from a combination of a  
77 range of measurements (Richardson 1985). Measurements at  $55^{\circ}W$  indicate that below the main  
78 thermocline, EKE intensifies towards the bottom.

79  
80 Both GS and NAC strongly interact with the mesoscale eddy field (e.g. Wang et al. 2017).

81 Major advances in understanding the complex eddy-mean and surface-bottom flow interactions  
82 of the GS system were achieved by the Synoptic Ocean Prediction (SYNOP) experiment (Watts  
83 et al. 1995; Shay et al. 1995; Johns et al. 1995). The most important result of SYNOP was the  
84 discovery of strong, coherent, mesoscale, near-bottom cyclones below large GS meander troughs  
85 between  $69^{\circ}W$  and  $66^{\circ}W$ . In this region (the "SYNOP central array"), a mesoscale resolving array  
86 of 12 moorings was deployed from June 1988 to August 1990 and observed six events of meander  
87 trough amplification associated with deep cyclogenesis. Savidge and Bane (1999a) described the  
88 main properties of the measured deep cyclones at 3500 *m* depth below the meander troughs. The  
89 cyclones consistently had a radius of about 130 km and a radius to the maximum velocity of about  
90 55 km. Most of the strong near-bottom velocities could be attributed to these meander trough  
91 amplification events. Orbital speeds of up to  $0.5 \text{ m s}^{-1}$  were measured. Each of the cyclones  
92 lasted between 26 and 63 days, comprising 35 % of the record. Anticyclones were also found  
93 below meander crests, but were much weaker and less durable compared to the deep cyclones.  
94 Andres et al. (2016) investigated 18 ship cruise transects along Line W (from Woods Hole to  
95 Bermuda) between 1994 and 2014. 28 % of the transects observed deep cyclones associated with  
96 large meander troughs, confirming the percentage revealed by SYNOP. The deep cyclone events  
97 occurred so frequently that they contribute to a time-mean deep cyclone below a time-mean GS  
98 trough around  $68^{\circ}W$  for the period of the SYNOP measurements (Cronin 1996; Savidge and Bane  
99 1999a). This was also found by Bower and Hogg (1996) for the SYNOP eastern array around  
100  $55^{\circ}W$ , indicating the occurrence of cyclogenesis also in this region.

101

102 Baroclinic instability could be identified as the main driver of the deep circular movements  
103 in the SYNOP central array (Cronin 1996). The deep cyclones below the GS are the oceanic  
104 counterpart of the atmospheric mid-latitude low-pressure storms below the jet stream (Savidge

105 and Bane 1999b). Idealized baroclinic instability is driven by an amplifying interaction of  
106 horizontal wave-like perturbations of a vertically sheared flow that releases available potential  
107 energy from the sloping pycnocline that is associated with the vertical shear (Charney 1947;  
108 Eady 1949). For the case of a baroclinic upper-troposphere-intensified jet such as the jet stream,  
109 the near-bottom perturbations are often closed circulations while the perturbations of the jet  
110 remain dominantly wavelike (Charney 1947). The genesis of cyclones and anticyclones is thus  
111 an integral component of the baroclinic instability of a baroclinic jet. Savidge and Bane (1999b)  
112 proposed that this is also the case for the GS: wavelike meanders above deep closed circulations.  
113 In terms of the driving force it is mainly the horizontal pressure gradient that accelerates the  
114 deep cyclones (Savidge and Bane 1999b). The local sea surface height drop accompanied by  
115 the developing near-surface meander trough is not fully compensated by the countering vertical  
116 displacement of the thermocline leading to a developing low pressure center below (Savidge and  
117 Bane 1999b; Kämpf 2005). Due to the small density stratification in the deep ocean the low  
118 pressure anomaly extends down to the bottom. It sets up a nearly cyclostrophically balanced flow  
119 with little variation in the vertical. During the development of the deep cyclones in the SYNOP  
120 array, the deep flow was found to be shifted downstream with respect to the near-surface trough, as  
121 expected from baroclinic instability theory. Additionally the flanks of the GS are associated with  
122 horizontal shears which can result in barotropic instability. Both instabilities are accompanied  
123 by energy transfers towards the EKE from the mean available potential and kinetic energy of the  
124 background currents (MPE and MKE). Cronin and Watts (1996) showed that the GS around  $68^{\circ}W$   
125 released both its MPE and MKE to the eddy field during SYNOP, although the energy transfer  
126 due to baroclinic instability was found to be much stronger compared to the one attributable to  
127 barotropic instability.

128

129 The reason cyclogenesis occurs frequently around  $68^{\circ}W$  has been attributed to the influ-  
130 ence of the topography further west as well as to upstream impacts of the New England  
131 Seamounts and ring-stream interactions (Shay et al. 1995). For still unknown reasons, the  
132 destabilization point of the GS has shifted westwards in the last two decades, leading to an even  
133 more frequent occurrence of deep cyclones (Andres 2016).

134

135 The SYNOP experiment greatly changed the view of the coupling between the near-surface  
136 and near-bottom ocean in separated baroclinic currents. Moreover, Andres et al. (2016) found  
137 indication from tracer measurements at Line W that the deep cyclones stir and mix Deep Western  
138 Boundary Current (DWBC) waters from the slope of the Mid Atlantic Bight into the ocean's  
139 subtropical interior. This indicates that the deep quasi-circular movements also have an impact on  
140 the slope-interior exchange and thus on the Atlantic meridional overturning circulation.

141

142 The SYNOP measurements were restricted to a small region around  $68^{\circ}W$  where the mean-  
143 der troughs tend to form and grow, often breaking off into cold core rings. In the present study, we  
144 show that a high-resolution ocean general circulation model (OGCM) reproduces the cyclogenesis  
145 mechanism and properties observed in the SYNOP experiment. This then gives confidence for  
146 using the OGCM to investigate the associated energy transfers and their relation to the occurrence  
147 of benthic storms in other portions of the GS-NAC system. The main questions of this study are:  
148 Where and how often do benthic storms and cyclogenesis occur in the simulated North Atlantic  
149 of the high-resolution model used here? What is the spatial pattern of the energy transfer from the  
150 background flow into the eddy field during a cyclogenesis event? How is the time-mean energy  
151 transfer into the eddy field related to the frequency of benthic storm occurrence?

152

153 For this study, we utilize the model output of the ocean general circulation model VIKING20  
154 (Böning et al. 2016). VIKING20 has a horizontal resolution of  $1/20^\circ$  in the GS-NAC region.  
155 Shriver and Hurlburt (2000) pointed out that a horizontal resolution above  $1/16^\circ$  is needed to  
156 realistically simulate the vertical coupling between the upper and the deep ocean. Kämpf (2005)  
157 was able to reproduce the main properties of the cyclones observed in SYNOP using an idealized  
158 flat bottom two-layer model with a horizontal resolution of  $5\text{ km}$ . A similar resolution and a  
159 partial-cell approach for the bottom cell, makes VIKING20 a promising candidate for simulating  
160 benthic storms, cyclogenesis and energy transfers.

161

162 The paper is organized as follows: The model is described in Section 2. Section 3 surveys  
163 the occurrence of benthic storms. In Section 4 we derive the energy transfers from the slowly  
164 evolving background flow to the EKE. Subsequently, in Section 5, we show that for a case study  
165 i) the simulated mechanism of cyclogenesis is similar to that found in the SYNOP observations  
166 and ii) how the energy transfer is spatially related to the meandering GS and the deep cyclones.  
167 In Section 6 we relate the multi-year averaged energy transfer to the occurrence of benthic storms  
168 and strong increases in deep (anti-)cyclonic relative vorticity. Conclusions and discussion are  
169 presented in Section 7.

## 170 **2. The VIKING20 Simulation**

171 VIKING20 (Böning et al. 2016) is based on the Nucleus for European Modelling of the Ocean  
172 (NEMO, Madec et al. 2008) that uses the primitive equations and the hydrostatic and Boussinesq  
173 approximations. The model was configured by Behrens (2013) and builds on the global eddy  
174 permitting  $0.25^\circ$  resolution model configuration ORCA025 (Barnier et al. 2006) that consists of an  
175 ocean general circulation model coupled with the viscous-plastic sea-ice model Louvain-la-Nueve

176 Ice Model (LIM2, Fichefet and Maqueda 1997). ORCA025 has been developed as part of the  
177 European model collaboration DRAKKAR (Barnier et al. 2007). It is discretised on an ARAKAWA  
178 C-grid (Arakawa and Lamb 1977). In the horizontal, a tri-polar grid with poles at the South Pole  
179 and over Canada and Siberia is used to avoid singularities at the geographical North Pole. In  
180 the vertical, ORCA025 is discretized on 46 z-levels with increasing vertical layer thickness with  
181 depths starting from 6 *m* near the ocean surface to a maximum of 250 *m*. For the bottom cell,  
182 a partial-cell approach is used to improve the influence of topography on the ocean dynamics  
183 (Barnier et al. 2006). A minimum vertical extent of the bottom grid cell was set at 25 *m*. The  
184 bathymetry is based on the ETOPO ([www.earthmodels.org/data-and-tool/topography/etopo](http://www.earthmodels.org/data-and-tool/topography/etopo))  
185 and GEBCO ([www.gebco.net](http://www.gebco.net)) products. As sidewall boundary conditions, VIKING20 uses a  
186 no-normal flow condition for the velocity component normal to the boundary and a free slip  
187 condition for the component parallel to the boundary.

188

189 For the mid-latitude to subarctic North Atlantic ( $30 - 85^{\circ}N$ ), a  $1/20^{\circ}$  horizontal resolution  
190 grid is nested into ORCA025 via the two-way nesting scheme Adaptive Grid Refinement in  
191 FORTRAN (AGRIF, Debreu et al. 2008). AGRIF enables an active interaction between both grids.  
192 In the nested part of the region of both the subtropical and the subpolar gyre, the grid spacing  
193 is smaller than the first baroclinic Rossby radius which is there found to be between 10 and 40  
194 *km* in the model as well as in observations (Chelton et al. 1998). Thus, mesoscale processes are  
195 resolved in most open ocean regions of the high-resolution domain. In the region of GS and NAC  
196 the grid spacing corresponds to horizontal grid scales between 3.5 *km* and 4.5 *km* that are even  
197 smaller than the second and third baroclinic Rossby radius (Chelton et al. 1998).

198

199 Turbulent vertical mixing is simulated with a 1.5-level turbulent kinetic energy scheme (Blanke

200 and Delecluse 1993). In the case of hydrostatic instability, vertical mixing is parameterized by  
 201 an enhanced vertical diffusion for tracer and momentum. The lateral viscosity is discretized for  
 202 momentum by a horizontal bi-Laplacian and diffusion for tracers other than for momentum by  
 203 an iso-neutral Laplacian scheme. A nominal horizontal eddy diffusivity for tracers of  $300 \text{ m}^2 \text{ s}^{-1}$   
 204 is used in the base model and  $60 \text{ m}^2 \text{ s}^{-1}$  in the nest, scaled with the grid size. For the horizontal  
 205 eddy viscosity a value of  $-1.5 \cdot 10^{11} \text{ m}^4 \text{ s}^{-2}$  is used in the base model and  $-6.0 \cdot 10^9 \text{ m}^4 \text{ s}^{-2}$   
 206 in the nest. For tracer advection the Total Variance Dissipation (TVD) scheme (Zalesak 1979)  
 207 is used and for momentum advection the Energy and Enstrophy Conserving (EEN) scheme  
 208 (Barnier et al. 2006). For the bottom boundary layer a diffusive scheme is used with a horizontal  
 209 mixing coefficient of  $1000 \text{ m}^2 \text{ s}^{-1}$ . The bottom friction is parametrized using a non-linear bottom  
 210 friction parameterization. The downward flux of horizontal momentum is thereby computed as  
 211  $C_D \mathbf{u}_{h,btm} \sqrt{u_{btm}^2 + v_{btm}^2 + \varepsilon}$ , where  $C_D = 0.001$  is the bottom drag coefficient,  $\mathbf{u}_{h,btm} = (u_{btm}, v_{btm})$   
 212 the horizontal velocity vector in the lowest grid cell with the zonal component  $u_{btm}$  and the  
 213 meridional component  $v_{btm}$  and  $\varepsilon = 0.0025 \text{ m}^2 \text{ s}^{-1}$  accounts for bottom turbulent kinetic energy  
 214 due to tides, internal wave breaking and other short unresolved time scale currents.

215  
 216 The model was initialized with climatological temperature and salinity fields from Steele  
 217 et al. (2001). The base model ORCA025 was spun up for 30 years under atmospheric forcing  
 218 using bulk formulae developed for the Co-ordinated Ocean-ice Reference Experiments (CORE2,  
 219 Large and Yeager 2009; Griffies et al. 2009), and then integrated with the high-resolution nest  
 220 from 1948 to 2009 under the same forcing. Data for the surface forcing was prescribed with  
 221 6-hourly (wind speed, humidity, and atmospheric temperature), daily (short- and long-wave radi-  
 222 ation), and monthly (rain and snow) resolution, with inter-annual variability. To avoid a long-term  
 223 model drift, the simulated sea-surface salinities are weakly damped towards climatology with

224 a piston velocity of  $16.4 \text{ mmd}^{-1}$  and the precipitation north of  $62^{\circ}\text{N}$  is reduced by 10 %. For  
225 this study we use five-day mean model output data. We analyze the model simulation period  
226 1980-2009, when the simulated dynamics have adjusted to the insertion of the high resolution  
227 nest.

### 228 **3. The Frequency and Spatial Distribution of Benthic Storms**

229 In a similar model setup for the Greater Agulhas Current region, Cronin et al. (2013) showed  
230 a map of the percentage of time that the near-bottom five-day mean absolute velocity exceeded  
231  $0.2 \text{ m s}^{-1}$ . The metric was also computed using historical moored observations and showed good  
232 agreement with the model results. The  $0.2 \text{ m s}^{-1}$  criterion was motivated in part by engineering  
233 considerations for designing a surface mooring for that region, as well as by sediment transport  
234 principles. Such a near-bottom velocity is able to lift sand with a density of quartz and a diameter  
235 of up to  $70 \mu\text{m}$  (Cronin et al. 2013) and generates a large increase in the concentration of particle  
236 matter within the bottom boundary layer (Hollister and McCave 1984; Gardner et al. 2017).

237  
238 In the North Atlantic during the model period 1980-2009, near-bottom absolute velocities  
239 of more than  $0.2 \text{ m s}^{-1}$  occurred in VIKING20 frequently below the GS-NAC system and in the  
240 northwestern Labrador Sea (Fig. 1a). Bottom currents exceeded  $0.2 \text{ m s}^{-1}$  more than 50 % of  
241 the time near the shelf around the sub-polar North Atlantic, south of Cape Hatteras and west of  
242 the Strait of Gibraltar. These high probabilities can be attributed to the boundary currents that are  
243 associated with average near-bottom speeds of more than  $0.2 \text{ m s}^{-1}$  (Fig. 1b)

244  
245 Benthic storms are exceptionally strong events for a specific location. One way to exclude  
246 the boundary currents from the definition of benthic storms is to define benthic storms as events



247 with  $|\mathbf{u}_{h,btm}|' > 0.1 \text{ m s}^{-1}$ , that is events where the near-bottom velocity exceeds the local annual  
248 mean of the respective year by at least  $0.1 \text{ m s}^{-1}$ . The threshold has been chosen to identify rare  
249 events with probabilities of less than 15 % for nearly the whole North Atlantic. The tick marks  
250 the deviation from the annual mean. The probabilities for the condition  $|\mathbf{u}_{h,btm}|' > 0.1 \text{ m s}^{-1}$  show  
251 that the coherent near-bottom currents could be excluded by this threshold (Fig. 2a). Most of the  
252 residual structure remains and its large scale patterns are similar to the near-bottom EKE (Fig.  
253 2b): enhanced probabilities and EKE values are found directly below the near-surface GS, east  
254 of the near-surface NAC, in the Labrador Sea west of Greenland and south-west of the Denmark  
255 Strait (Fig. 2).

256  
257 Highest probabilities for the condition  $|\mathbf{u}_{h,btm}|' > 0.1 \text{ m s}^{-1}$  are found in a circular pattern  
258 east of Flemish Cap ( $46^\circ N, 41^\circ W$ , Fig. 2a), which is also a local maximum in the 30-year  
259 averaged near-bottom absolute velocities (Fig. 1b). Further ring-shaped structures of enhanced  
260 near-bottom absolute velocity are found below the NAC around Flemish Cap ( $44^\circ N, 45^\circ W$ ;  
261  $49^\circ N, 43^\circ W$ ;  $51^\circ N, 46^\circ W$ ) and below the early separated GS ( $36^\circ N, 71^\circ W$ ). All of these regions  
262 are located below a time-mean meander trough of the near-surface current, consistent with  
263 frequent cyclogenesis. Further, a region of enhanced near-bottom speed around ( $37^\circ N, 65^\circ W$ ) is  
264 due to a barotropic signal embedded in the GS.

#### 265 **4. The Derivation of the Energy Transfers**

266 The traditional Reynolds eddy-mean flow approach separates the long-time mean and variable  
267 circulation. Here we are interested in the energy gain of the mesoscale motions due to energy  
268 transfer from the slowly varying background flow and not in the energy transfer between the  
269 time-mean state and the time-variable field. The eddy-current energy transfers can be achieved

270 by a general separation of the temporal shorter and longer variability by dividing the available  
271 period into segments of equal length and subsequently applying Reynolds averaging in each of  
272 the segments. Variables  $X$  are decomposed for each segment into  $X = \bar{X} + X'$  where the over-line  
273 marks the time average of  $X$  over the segment and the tick the deviation from this average. Note  
274 that the temporal resolution of  $X'$  will be the resolution of the model output, which consists  
275 of five day means. Following Rieck et al. (2015), we choose a segment length of one year to  
276 separate the mesoscale variability ("eddies") from variability of longer time-scales ("background  
277 currents"). Consequently, eddies by definition include dynamical features like transient whirls,  
278 waves, jet streaks and the annual cycle. In contrast, interannual variability, for example the  
279 year-by-year differences in the position of the Gulf Stream axis, is not included in the eddy field.  
280 A separation of the variability of both available potential and kinetic energy into a temporally  
281 shorter and a longer part leads to four energy reservoirs, analogous to those of the Lorenz energy  
282 cycle (Lorenz 1955): The mean available potential and mean kinetic energy of the circulation  
283 varying on interannual and longer timescales (MPE and MKE) and the eddy potential and eddy  
284 kinetic energy associated with shorter time scale fluctuations (EPE and EKE). Adjacent reservoirs  
285 exchange energy locally and non-locally.

286

287 To derive the local gain in EKE due to energy transfer, first the perturbations of the Reynolds-  
288 averaged primitive horizontal momentum equations for  $u$  and  $v$  have to be multiplied by  $\rho_0 u'$  and  
289  $\rho_0 v'$  and subsequently added together (see Storch et al. (2012) for details). The resulting equation  
290 is a time series of the budget for the energy reservoir contributing to the time-mean EKE for each

291 temporal segment:

$$\begin{aligned} \text{EKE}_t^{ts} = & -\nabla \cdot (\mathbf{u}\text{EKE}_t^{ts}) - \nabla \cdot (\mathbf{u}'p') - \rho_0 \mathbf{u}'u' \cdot \nabla \bar{u} \\ & - \rho_0 \mathbf{u}'v' \nabla \bar{v} + p'_z w' + \rho_0 \left( \tau^{x'} u' + \tau^{y'} v' \right), \end{aligned} \quad (1)$$

292 where  $\mathbf{u} = (u, v, w)$  is the velocity vector with zonal component  $u$ , meridional component  $v$  and  
 293 vertical component  $w$  and  $\text{EKE}_t^{ts} = 0.5\rho_0(u'^2 + v'^2)$  is the kinetic energy of the eddies for each time  
 294 step (the index  $^{ts}$  marks time-step wise values throughout the paper). Partial derivatives  $\partial a/\partial b$  are  
 295 written as  $a_b$ . In (1),  $\rho_0 = 1024 \text{ kg m}^{-3}$  is the reference density,  $p$  the pressure and  $\tau = (\tau^x, \tau^y)$  the  
 296 vertical flux of horizontal momentum. In the budget, the  $\text{MKE}^{ts}$  to  $\text{EKE}^{ts}$  energy transfer rate (the  
 297 barotropic instability term  $\text{BTI}^{ts}$ ) can be identified as the interaction of the Reynolds stress with  
 298 the mean currents

$$\text{BTI}^{ts} = -\rho_0 [u'u'\bar{u}_x + u'v'(\bar{u}_y + \bar{v}_x) + v'v'\bar{v}_y]. \quad (2)$$

299 The energy transfer due to horizontal Reynolds stress and horizontal background velocity gradients  
 300 was found to be one order larger than the one due to the vertical stress and gradient. Consequently,  
 301 the contribution of the vertical Reynolds stress and the vertical gradients have been neglected. The  
 302  $\text{MPE}^{ts}$  to  $\text{EKE}^{ts}$  energy transfer rate (the baroclinic instability term  $\text{BCI}^{ts}$ ) can be identified as the  
 303 vertical pressure term  $p'_z w'$  in (1). Since the model uses the hydrostatic approximation, it is given  
 304 by

$$\text{BCI}^{ts} = -g\rho'w', \quad (3)$$

305 where  $\rho$  is the in situ density in  $\text{kg m}^{-3}$

306

307 The EKE budget is the time-mean of the budget time series (Eq. 1) for each temporal (i.e.,

308 yearly) segment:

$$\begin{aligned} \text{EKE}_t = & -\nabla \cdot (\overline{\mathbf{u}\text{EKE}}) - \nabla \cdot (\overline{\mathbf{u}'p'}) - \rho_0 \overline{\mathbf{u}'u'} \cdot \nabla \bar{\mathbf{u}} \\ & - \rho_0 \overline{\mathbf{u}'v'} \nabla \bar{v} + \overline{p'_z w'} + \rho_0 \left( \overline{\tau^{x'} u'} + \overline{\tau^{y'} v'} \right), \end{aligned} \quad (4)$$

309 where  $\text{EKE} = 0.5\rho_0\overline{(u'^2 + v'^2)}$  is the annual mean kinetic energy of the eddies. Further, the annual  
310 mean energy transfer rates are given by

$$\text{BTI} = -\rho_0[\overline{u'u'\bar{u}_x} + \overline{u'v'(\bar{u}_y + \bar{v}_x)} + \overline{v'v'\bar{v}_y}]. \quad (5)$$

311

$$\text{BCI} = -g\overline{\rho'w'}, \quad (6)$$

312 Both rates are positive if more energy is transferred from the currents towards the EKE than vice  
313 versa during the averaging period of one year. Cyclogenesis, as part of mixed barotropic-baroclinic  
314 instability, is associated with energy transfers towards the EKE in the upstream half of the meander  
315 troughs as well as energy transfers towards the slowly evolving background flow in the downstream  
316 half (see Section 5 and in particular Fig. 5). Temporally averaging the energy transfers of the  
317 down- or upstream propagating meanders can lead to a lot of cancellation, limiting the usefulness  
318 of the time-mean energy transfers as indicators for cyclogenesis. This occurs especially, where  
319 the locations of cyclogenesis events are not strongly controlled by topography and cyclogenesis  
320 can evolve freely at different locations, for example between the New England Seamounts and the  
321 Newfoundland Rise. To avoid this effect we will investigate the energy gain of EKE due to energy  
322 transfer:

$$\text{BTI}_+^{ts} = \begin{cases} \text{BTI}^{ts}, & \text{if } \text{BTI}^{ts} > 0 \\ 0, & \text{otherwise} \end{cases} \quad (7)$$

323

$$\text{BCI}_+^{ts} = \begin{cases} \text{BCI}^{ts}, & \text{if } \text{BCI}^{ts} > 0 \\ 0, & \text{otherwise} \end{cases} \quad (8)$$

324 The positive energy transfers can then be interpreted as an indicator for cyclogenesis at the lo-  
325 cations of enhanced positive transfers as well as immediately downstream to a distance of one  
326 cyclone radius. If benthic storms are only driven by cyclogenesis as part of the baroclinic instabil-  
327 ity of a jet with downstream propagating meanders, regions of frequent benthic storms and strong  
328 near-bottom EKE are expected to be located below enhanced positive energy transfers as well as  
329 immediately downstream down to a distance where the developing cyclones have dissipated. The  
330 traditional energy transfer terms BCI and BTI are sources of EKE growth along mean streamlines  
331 of the flow, while  $BCI_+^{ts}$  and  $BCI_+^s$  are local  $EKE^{ts}$  sources. Because  $BTI_+^{ts}$  and  $BCI_+^{ts}$  are non-  
332 negative, their annual-means ( $BTI_+$  and  $BCI_+$ ) multiplied by one year gives the total amount of  
333 EKE gain during this year.

## 334 5. Simulated Deep Cyclogenesis

335 For model validation in this section we show how deep cyclogenesis is simulated in the region  
336 of the SYNOP measurements during a very strong cyclogenesis event in spring 1990 and compare  
337 it to the SYNOP measurements and theory. Subsequently we evaluate the energy transfers into and  
338 out of the EKE during the process. In the simulation, around February 11 of 1990, a small GS  
339 meander trough develops around  $68^\circ W$  (Fig. 3a). A small deep cyclone develops simultaneously  
340 around  $67^\circ W$ . The shift in the perturbations is about a quarter meander wavelength which is  
341 the most favorable to growth (Cushman-Roisin 1994) and thus a good indicator for baroclinic  
342 instability. Consequently, both the meander and the deep cyclone grow rapidly.

343  
344 In the following we analyse a five-day mean model output around March 23 of 1990, when  
345 the deep cyclone reached its mature stage and the energy transfer towards the eddy field is  
346 strongest. At this stage, the deep cyclone around  $67^\circ W$  is almost co-located with the surface

347 trough (Fig. 3b). The radius to the maximum near-bottom velocities is about 55 km - a typical  
348 radius of the measured deep cyclones in SYNOP (Savidge and Bane 1999a). The maximum  
349 near-bottom velocity is  $0.65 \text{ m s}^{-1}$ . A major driver of the deep cyclones is the horizontal pressure  
350 gradient in the deep, sub-thermocline layer that is driven by sea surface height changes which  
351 are not fully compensated by the countering vertical displacement of the main thermocline  
352 (Savidge and Bane 1999b; Kämpf 2005). Below the thermocline, the pressure gradient forcing  
353 extends nearly barotropically to the bottom and sets up a nearly geostrophically balanced flow  
354 throughout the water column. Consistently the simulated near-bottom flows follow more or less  
355 the isobars at about 3000 m depth (Fig. 3). The cyclone around  $67^\circ W$  is associated with positive  
356 relative vorticity of up to  $0.2f$  at 3000 m depth (not shown), indicating important ageostrophic  
357 contributions to the flow. One of these ageostrophic components is the centripetal acceleration  
358 that strengthens deep cyclones but weakens deep anticyclones and results in a gradient wind  
359 momentum balance (Kämpf 2005). At the analyzed model time-step, consistently the deep  
360 anticyclones below meander crests are much weaker than the deep cyclones below meander  
361 troughs (Fig. 3) and the amplitude of the negative relative vorticity of the deep anticyclones is  
362 much weaker than for the positive of the deep cyclones (not shown). The signs of the relative  
363 vorticity extrema are consistent with the vertical stretching and squeezing of the water column in  
364 the vicinity of a sloping thermocline analogous to the idealized baroclinic instability mechanism  
365 presented by Phillips (1951) based on a two layer fluid with a sloping interface.

366

367 The deep cyclone - meander trough system around  $67^\circ W$  is associated with an intense ver-  
368 tical secondary circulation. The vertical velocity is directly related to the horizontal velocity  
369 divergence ( $u_x + v_y$ ) by the Boussinesq approximated continuity equation:  $-w_z = u_x + v_y$ . In  
370 the eastern half of the near-surface meander trough, the GS shows a strong divergence and

371 in the western part a strong convergence (Fig. 4a). The divergence takes place mainly in the  
372 upper 700 *m*, above the thermocline, and is compensated by upward vertical velocities in the  
373 eastern and downward vertical velocities in the western part of the cyclone (Fig. 4b). A second  
374 pair of meander trough and deep cyclone west of 70°W shows a similar pattern. The vertical  
375 velocities are coherent over the whole water column and have a maximum below the thermocline  
376 at 700 *m* depth of up to 151 *m/day* = 0.17 *cm/s* (Fig. 4b). Our results are consistent with  
377 vertical velocity fields at 700 *m* depth derived from SYNOP measurements by Lindstrom et al.  
378 (1997) who diagnosed frequently occurring up- and downward motions of  $\pm 170$  *m/day*. Further,  
379 our simulated divergence patterns are consistent with observed estimates of Savidge and Bane  
380 (1999b). Similar to their pattern, we see in Figure 4a strong upwelling in the downstream half  
381 of the cyclone and strong downwelling in the upstream half. It should be emphasized that the  
382 secondary circulation is associated with cross-frontal flow at the thermocline level (i.e., within  
383 the GS jet): the upwelling (including the horizontal circulation) crosses the front from the warm  
384 side to the cold side and the downwelling from the cold side to the warm side thereby releasing  
385 available potential energy (Bower and Rossby 1989; Donohue et al. 2010).

386

387 Near-bottom vertical velocity extrema of similar amplitude compared to the near-surface  
388 are found and can be related to the pattern of the horizontal divergence below 3000 *m* depth.  
389 The near-bottom divergence pattern can be attributed to downhill and uphill near-bottom flow  
390 along the sloping bottom (not shown). The deepest SYNOP measurements of the velocity field  
391 were at 3500 *m* depth. Thus the vertical velocities and associated divergence structures below  
392 3500 *m* depth are new and cannot be directly compared to the SYNOP measurements. Further, the  
393 simulation shows an increase in cyclone maximum velocities towards the bottom below 3500 *m*  
394 depth, which also could not be observed by the single bottom depth SYNOP measurements.

395 This is consistent with an increasing EKE towards the bottom below 2500 *m* depth derived by  
396 Richardson (1985) at 55°*W* - under the assumption that the vertical structure of the deep cyclones  
397 is similar for the regions around 67°*W* and 55°*W*.

398

399 Next, we examine the time-step wise energy transfers computed from the departures from  
400 the annual mean for 1990 using equations (2) and (3) without applying time-averaging to the  
401 energy transfer terms. Our results show that the energy transfers are strictly confined to the GS  
402 and its shears (Fig. 5). Both the potential and the kinetic energy of the (annual mean) background  
403 current are transferred into the eddy field in the upstream half of meander troughs and crest and  
404 out of the eddy field in the downstream half. The trough around 67°*W* is nevertheless associated  
405 with a net release of available potential and kinetic energy of the GS. The  $BTI^{ts}$  is largest above  
406 the thermocline (Fig. 6a), where the background GS is associated with the strongest horizontal  
407 shears. The pronounced double extrema of the  $BTI^{ts}$ , for example at 67.75°*W* (Fig. 5), are also an  
408 artifact of the largest horizontal shears north and south of the annual mean GS (not shown). The  
409 contribution of the Reynolds stresses to the  $BTI^{ts}$  however, lead to a maximum energy transfer  
410 in the core of the GS (Fig. 5a and 6a). The  $BCI^{ts}$  depends only on the anomalies of density and  
411 vertical velocity. If anomalously dense (light) water is elevated stronger than in the annual mean,  
412 the potential energy of the current increases (decreases) and if denser (lighter) water is lowered,  
413 the potential energy of the current is decreased (increased). The trough is associated with a dense  
414 water anomaly lens below the thermocline (not shown). Therefore, the strong vertical circulation  
415 within the deep cyclone - meander trough system drives an energy transfer into the eddy field in  
416 the western part and vice versa in the eastern part. The downstream crest is associated with a  
417 light water anomaly lens above the thermocline. Therefore, the vertical circulation drives again  
418 an energy transfer into the eddy field in the western part and vice versa in the eastern part of the



419 meander crest. The sum of both energy transfers shows that they do not cancel each other out,  
420 in the horizontal nor in the vertical (Fig. 5c and 6c). Combining both energy transfers leads to  
421 extrema of  $+11 \text{ Wm}^{-3}$  upstream and  $-6 \text{ Wm}^{-3}$  downstream of the GS trough axis for the strong  
422 cyclogenesis event around  $67^\circ\text{W}$ .

423

424 The time-evolving velocity field redistributes the density field in such a way that the lag  
425 between upper ocean trough axis and deep cyclone center decreases. At the time step shown,  
426 both cyclone and anticyclone centers are more or less in phase with the meander above. Thus the  
427 energy transfer decreases afterwards and both the meander and the cyclone are decaying while  
428 moving further downstream. The downstream propagating dipole pattern of the energy transfers  
429 will partly be canceled out when averaged over time. That is why we use the time-mean of only  
430 the positive energy transfers into the EKE in Section 6.

## 431 **6. Relating the Prevalence of Benthic Storms with the Energy Transfer and the Occurrence** 432 **of Strong Increases in Deep Relative Vorticity**

433 In this section we present the vertically integrated, 30-year averaged EKE gain due to energy  
434 transfers for the model period 1980-2009 and relate it to the prevalence of benthic storms shown in  
435 Section 3. First, we focus on the extended SYNOP central array region and subsequently we extend  
436 the analysis for the whole of the domain occupied by the VIKING20 nest. Finally, we present  
437 maps of the occurrence of strong increases in deep cyclonic and anticyclonic relative vorticity as a  
438 measure for the occurrence of (anti-)cyclogenesis and relate them to the benthic storm percentages  
439 and the energy transfers.

440 *a. The extended SYNOP central array region*

441 West of the New England Seamounts, the pattern of  $\langle \text{BTI}_+ \rangle$  is more or less symmetric around  
442 the GS core, as expected from the maximum horizontal shear at the flanks of the GS (Fig.  
443 7a). Angled brackets denote the average over the period 1980-2009. In contrast, the  $\langle \text{BCI}_+ \rangle$  is  
444 strongest directly below the GS core, due to its connection to the vertical shear of the baroclinic  
445 GS (Fig. 7b). The region of the strong deep cyclone investigated in Section 5 (the region of  
446 the SYNOP measurements), is associated with two maxima of  $\langle \text{BTI}_+ \rangle$  of up to  $12 \times 10^{-2} \text{ W m}^{-3}$   
447 around  $67.5^\circ \text{W}$  and a very strong  $\langle \text{BCI}_+ \rangle$  of more than  $40 \times 10^{-2} \text{ W m}^{-3}$  around the  $68^\circ \text{W}$ . This  
448 confirms the activity of strong mixed barotropic-baroclinic instability in this region. Further, the  
449 region is associated with a high benthic storm percentage of more than 10 % for the condition  
450  $|\mathbf{u}_{h,btm}'| > 0.1 \text{ m s}^{-1}$  (Fig. 8a). The area of high benthic storm percentage is located below the  
451 maximum of the combined energy transfer and further downstream. At around  $64.5^\circ \text{W}$ , a further  
452  $\langle \text{BTI}_+ \rangle$  maximum is found. Its origin might be connected to the influence of the New England  
453 Seamounts on the GS and the deep cyclones.

454  
455 Upstream, around  $71^\circ \text{W}$ , a second pair of strong meander trough and deep cyclone is seen  
456 in the case study (Fig. 5). Both structures also appear in the 30-year average (Fig. 7). Mixed  
457 barotropic-baroclinic instability associated with cyclogenesis occurs in this region so often that  
458 the trough and the deep cyclone strongly contribute to the MKE. Consistent with Figure 5, the  
459 energy transfers are strongest in the upstream part of the meander trough. However, the presence  
460 of a meander trough and deep cyclone in the annual means (not shown), and also in the 30-year  
461 average, leads to relatively small amplitudes of the energy transfers compared to regions of  
462 more or less parallel background flow. Consistently, the benthic storm percentage of occurrence

463 and near-bottom EKE increase along the GS path are smaller in the region around  $71^{\circ}W$  than  
464 in the region around  $68^{\circ}W$ . The presence of both annual mean meander troughs and annual  
465 mean deep cyclones is a clear indicator for frequent cyclogenesis. For such regions, energy  
466 transfer is a less important indicator. Note that in contrast to the simulation, in the region around  
467  $68^{\circ}W$  a time-mean meander trough was found by Cronin (1996), Lee and Cornillon (1996) and  
468 Thompson and Schmitz (1989). However, their averaging periods were two, eight and three  
469 years, respectively. Cronin (1996) and Thompson and Schmitz (1989) also consistently found a  
470 time-mean deep cyclone below the trough.

471

472 The difference between both cyclogenesis regions can be attributed to the underlying to-  
473 pography. Sutyrin et al. (2001) showed in an idealized model study, that cross-stream bottom  
474 slopes in the same direction as the isopycnal tilt (which is the case between the separation point  
475 of the GS at Cape Hatteras and  $69.5^{\circ}W$ ) limits the meander growth of the GS. Considering the  
476 mechanism of baroclinic instability of Phillips (1951), this can be explained by the reduced  
477 squeezing or stretching of the lower column, when the topography slopes in the same direction  
478 as the interface. The bottom slope in the cross-stream direction decreases from west to east.  
479 Sutyrin et al. (2001) demonstrated that the pinch off of warm and cold core rings from strong  
480 meanders is inhibited by even the smallest slopes at  $70^{\circ}W$ . At  $69.5^{\circ}W$  the Gulf Stream leaves  
481 the slope and flows above the largest downward gradients of the topography. Just downstream of  
482 this topographic slope, a strong gain in EKE due to instabilities occurs, with the largest increase  
483 in near-bottom EKE and the region of highest benthic storm probabilities. Thus the results are  
484 consistent with those of Sutyrin et al. (2001); in particular, the instabilities are only able to fully  
485 develop when the current leaves the slope. However, between  $72.0^{\circ}W$  and  $69.5^{\circ}W$  the simulated  
486 GS flows above a plateau of very small slopes. In this region, meanders and deep cyclones are

487 able to develop. Nevertheless, the topography confines the action of cyclogenesis in this region  
488 much more than east of  $69.5^{\circ}W$ . Thus, in the western region the deep cyclones develop more  
489 or less in the same region and for each year the annual-mean cyclone is associated with higher  
490 annual-mean velocities compared to the eastern annual-mean cyclone. The region around  $71^{\circ}W$   
491 is consequently associated with much lower probabilities for the condition  $|\mathbf{u}_{h,btm}'| > 0.1 \text{ m s}^{-1}$   
492 and much lower energy transfers.

### 493 *b. The North Atlantic*

494 In the simulated North Atlantic, high values of  $\langle \text{BTI}_+ \rangle$  and  $\langle \text{BCI}_+ \rangle$  occur in the GS-NAC  
495 system and in the West-Greenland Current (Fig. 9). Furthermore the overflow regions in the  
496 Denmark Strait, Iceland-Scotland ridge and Faroe Bank Channel are associated with enhanced  
497  $\langle \text{BCI}_+ \rangle$ . Most regions of high energy transfer are associated with high near-bottom EKE and high  
498 benthic storm probability (Fig. 2), indicating the importance of instabilities in driving benthic  
499 storms. Previous studies addressed the strong barotropic instability of the West Greenland Current  
500 (Eden and Böning 2002) and the dominant influence of the baroclinic instability in the Denmark  
501 Strait Overflow (Smith 1976; Jungclaus et al. 2001) as well as in the Faroe Bank Channel  
502 Overflow (Geyer et al. 2006; Guo et al. 2014). In both overflow regions intense cyclogenesis with  
503 the meandering current below and circular movements above has been found (Jungclaus et al.  
504 2001; Geyer et al. 2006).

505

506 In the GS-NAC system, the  $\langle \text{BCI}_+ \rangle$  is found to be in general larger than the  $\langle \text{BTI}_+ \rangle$ . Highest  
507 energy transfers for both routes occur in the NAC around Flemish Cap and in the GS west of  
508 the New England Seamounts. The time-mean NAC around Flemish Cap is associated with four  
509 meander troughs: south, east, north-east and north of Flemish Cap. For both energy transfers

510 maxima are found in the the upstream half of these troughs. This is also true for the time-mean  
511 trough of the Gulf Stream around  $71^\circ W$ . The existence of the time-mean meander troughs as well  
512 as the strong energy transfers into the EKE indicate strong activity of mixed barotropic-baroclinic  
513 instability in these regions. The region of the SYNOP central array is special: the GS does not  
514 show a time-mean meander trough in this region and it is associated with by far the strongest  
515  $\langle BCI_+ \rangle$  in the North Atlantic and a maximum in  $\langle BTI_+ \rangle$ . As discussed above, the energy transfers  
516 are reduced when at a specific location meander troughs form so often that they imprint into the  
517 MKE. This is not the case for the SYNOP region in our model and thus the energy transfers can get  
518 very large. The regions of strong energy transfers - the SYNOP region as well as the time-mean  
519 meander troughs in the NAC and the GS (Fig. 7c) - are co-located with maxima in near-bottom  
520 EKE and benthic storm percentage (Fig. 2), indicating that mixed barotropic-baroclinic instability  
521 is an important driver of benthic storms below the GS-NAC system. Between the New England  
522 Seamounts and the Newfoundland Rise moderate energy transfers, near-bottom EKE and benthic  
523 storm percentages are found. This suggests that mixed barotropic-baroclinic instability is driving  
524 benthic storms also in this region - consistent with the results of Bower and Hogg (1996) - but  
525 relatively rare for each specific location.

526

527 Cyclogenesis is an inherent part of the mixed barotropic-baroclinic instability of a baro-  
528 clinic jet and the mechanism that explains the co-location of strong energy transfers into the  
529 EKE, near-bottom EKE and frequent benthic storms. To underpin this, we identify the percentage  
530 that the five-day mean relative vorticity  $\zeta = v_x - u_y$  at 2054 m depth is positive (cyclonic) and  
531 its subsequent five-day mean is more than  $0.02f$  larger as an indicator for cyclogenesis. Here,  
532  $f = 2\Omega \sin\varphi$  is the planetary vorticity with the rotation rate of the Earth  $\Omega = 7.2921 \cdot 10^{-5} \text{ rad/s}$   
533 and the latitude  $\varphi$ . Strong increases in deep cyclonic relative vorticity occur in the model below

534 the whole GS-NAC system, as well as in the Labrador Sea and at the East-Greenland slope (Fig.  
535 10a). In accordance with the occurrence of benthic storms (Fig. 2a), the near-bottom EKE (Fig.  
536 2b) and the energy transfer (Fig. 9c), enhanced percentages are found for the time-mean troughs  
537 of the NAC around Flemish Cap, for the Gulf Stream around  $71^{\circ}W$  and the SYNOP region and  
538 moderate percentages for the GS between the New England Seamounts and the Newfoundland  
539 Rise. This gives strong indication that, in the GS-NAC system, the connecting mechanism  
540 between upper ocean energy transfer and benthic storms is cyclogenesis.

541

542 Analogously, we identify the percentage that  $\zeta$  is negative (anticyclonic) and its subse-  
543 quent five-day mean is more than  $0.02f$  more negative as an indicator for anticyclogenesis.  
544 The percentages for a strong increase in deep anticyclonic relative vorticity are smaller than  
545 for cyclonic relative vorticity (Fig. 10b). Between the New England Seamounts and the Grand  
546 Banks, strong increases in deep anticyclonic relative vorticity occur very rarely in the model.  
547 Percentages of more than 10 % are found for the Gulf Stream west of  $70^{\circ}W$ , for the time-mean  
548 meander crests of the NAC and in the Northern Labrador Sea. Except for the latter, the regions of  
549 these maxima are associated with only small benthic storm percentages (Fig. 2a) indicating that  
550 anticyclogenesis plays a minor role in driving benthic storms below the GS-NAC system. This is  
551 consistent with the results of the SYNOP measurements and can be attributed, at least partly, to  
552 the net divergence due to the centripetal acceleration that weakens anticyclones and strengthens  
553 cyclones (Kämpf 2005).

554

555 In the Labrador Sea as well as at the East-Greenland slope, the five-day mean model out-  
556 put does not adequately capture the variability of single eddies due to their smaller size because  
557 of the smaller Rossby radius of deformation. Therefore, the percentages for strong increases in

558 (anti-)cyclonic relative vorticity are difficult to interpret in terms of (anti-)cyclogenesis in these  
559 regions. The enhanced percentages in the Labrador Sea are probably due to the propagation of  
560 the West-Greenland Eddies and not due to (anti-)cyclogenesis. To clarify this, a higher sampling  
561 frequency is needed.

## 562 **7. Conclusion and Discussion**

563 In this study we present strong indication that mixed barotropic-baroclinic instability accom-  
564 panied by cyclogenesis is a major driver of benthic storms below the Gulf Stream (GS) and the  
565 North Atlantic Current (NAC). Using 30 years of output from a high-resolution model of the  
566 North Atlantic, it is found that most of the benthic storms in the model occur near the western  
567 boundary in association with the GS-NAC system. In the late 1980's and the early 1990's,  
568 mesoscale resolving mooring arrays were deployed as part of the Synoptic Ocean Prediction  
569 Experiment (SYNOP) in selected regions of the separated GS. The measurements revealed that  
570 the development of GS meander troughs is accompanied by the genesis of deep cyclones with  
571 near-bottom velocities of up to more than 0.5 m/s (Savidge and Bane 1999a). The investigation  
572 of one of these events in the simulation demonstrates that the model used here reproduces the  
573 cyclogenesis mechanism and properties observed in the SYNOP experiment. The analysis of  
574 the energy transfer into the eddy kinetic energy during the event shows the importance of both  
575 baroclinic and barotropic instability, with energy being extracted from the jet in the upstream  
576 part of the meander trough and partly returned to the jet in the downstream part of the meander  
577 trough. This motivates to use the 30-year time-mean of the eddy kinetic energy gain due to energy  
578 transfers from the (annual-mean) background flow as an indicator for mixed barotropic-baroclinic  
579 instability driven cyclogenesis. As a further indicator for the genesis of deep cyclones and  
580 anticyclones we examine the frequency of strong local increases in deep (anti-)cyclonic relative

581 vorticity. The time-mean eddy kinetic energy gain due to energy transfer as well as the frequency  
582 of strong increases in deep cyclonic vorticity are found to be co-located well with the regions  
583 in which benthic storms occur most frequently. This suggests an important role for mixed  
584 barotropic-baroclinic instability driven cyclogenesis in generating benthic storms throughout the  
585 model simulation. The GS between Cape Hatteras and the New England Seamounts and the  
586 NAC near Flemish Cap are found to be the regions of largest energy transfer and most frequent  
587 benthic storms. Large increases in deep anticyclonic relative vorticity occur less often than large  
588 increases in cyclonic vorticity in the simulation. Moreover, regions of frequent large increases in  
589 deep anticyclonic relative vorticity are found to not be associated with frequent benthic storms.  
590 This indicates that anticyclogenesis plays a minor role in driving benthic storms below the  
591 GS-NAC system. A quantitative analysis on which part of the deep flow is (anti-)cyclogenesis  
592 driven as well as an investigation of the contribution of (anti-)cyclogenesis to bottom energy dissi-  
593 pation, sediment transport and surface deep ocean exchange could be the object of future research.

594

595 In regions of very frequent cyclogenesis, the meander troughs and deep cyclones contribute  
596 strongly to the annual mean flow. The non-parallel background flow leads to relatively small  
597 energy transfers while the benthic storm percentages are still high. In such regions, the coexistence  
598 of time-mean meander troughs and time-mean deep cyclones as well as frequent large increases  
599 in deep cyclonic relative vorticity are better indicator for frequent cyclogenesis than the energy  
600 transfer into the eddy field.

601

602 The pattern of the percentages for the simulated VIKING20 bottom currents to exceed  $0.2 \text{ m s}^{-1}$   
603 provides an orientation for the design of deep ocean measurements. The percentages are of the  
604 same order as the observed and simulated probabilities noted by Cronin et al. (2013) for the



605 greater Agulhas region. They are larger than 50 % for the coherent bottom currents, such as  
606 the DWBC along the coast. Below the core of the GS-NAC system percentages of 10-30 % are  
607 found, while such large velocities occur very rarely away from strong near-surface currents. The  
608 model used by Cronin et al. (2013) and VIKING20 have about the same vertical resolution in the  
609 deep ocean and both use a partial cell approach for the bottom cell and a similar bottom friction  
610 parameterization. The validation of Cronin et al. (2013) shows widespread agreement between  
611 the simulation and observation. Differences might be attributable to the coarse vertical resolution  
612 of the model in the deep ocean.

613

614 Eddy-mean energy transfers in the western North Atlantic derived from ocean general cir-  
615 culation model studies were recently presented by Storch et al. (2012), Zhai and Marshall (2013),  
616 Chen et al. (2014) and Kang and Curchitser (2015). All of these studies indicate that the energy  
617 transfer is very strong west of the New England Seamounts in agreement with our results. The  
618 spatial pattern of the EKE gain due to energy transfers presented here is similar to the one obtained  
619 by the model simulations of Kang and Curchitser (2015). Further, the pattern of the Reynolds  
620 stresses (not shown) is similar to the one obtained from satellite measurements presented by  
621 Ducet and Le Traon (2001) and Greatbatch et al. (2010b). Strong energy transfers towards the  
622 EKE, respective strong Reynolds stresses, are found around 68°W and 71°W. Here we show that  
623 these energy transfers are associated with the prevalence of benthic storms.

624

625 Unrepresented ocean-atmosphere feedbacks remain an important deficit of OGCMs. Ma  
626 et al. (2016) showed that the feedback between ocean mesoscale eddies and the atmosphere  
627 via surface turbulent heat fluxes fundamentally controls the energy budget of the Kuroshio by  
628 comparing two high-resolution coupled model simulations. The feedback leads to much stronger

629 EPE dissipation, less energy transfer between EPE and EKE, less EKE and larger MKE. A similar  
630 reduction in EKE and an increase in MKE are also found for the Gulf Stream in their study. Thus,  
631 ocean general circulation models might overestimate the strength of the deep cyclones.

632

633 Results of this study for the Northwest Corner should be treated with care, since the simu-  
634 lated Northwest Corner extends too far northwest (Breckenfelder et al. 2017). However, a velocity  
635 section trough the NAC at  $47^{\circ}N$  derived from measurements of six ship cruises showed very good  
636 agreement with the time-mean model solution (Mertens et al. 2014). Andres et al. (2016) found  
637 evidence for interactions between deep cyclones and the deep western boundary current at the  
638 Mid Atlantic Bight. Such interactions presumably do also occur east of Flemish Cap and need  
639 future research. A further developed VIKING20 with a properly simulated Northwest Corner will  
640 be a promising candidate for that.

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## LIST OF FIGURES

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826	<b>Fig. 1.</b>	(a) The percentage that the near-bottom absolute velocity exceeds $0.2 \text{ m s}^{-1}$ and (b) the 30-year averaged near-bottom absolute velocity for the model period 1980-2009 (color shading). The percentage is derived from five day mean model output. In this paper, angle brackets $\langle \cdot \rangle$ mark the time mean over the 30-year average for the period 1980-2009. Thin black contours show the 30-year averaged sea surface height (in $m$ ) with an interval of $0.2 \text{ m}$ for the same period. Gray contours show $500 \text{ m}$ , $2000 \text{ m}$ and $3000 \text{ m}$ depth contours. The black box marks the region shown in Figures 3, 4a, 5, 7 and 8. . . . .
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833	<b>Fig. 2.</b>	As Figure 1, except that the color shading shows (a) the percentage that the near-bottom absolute velocity exceeds its local annual mean by at least $0.1 \text{ m s}^{-1}$ and (b) the 30-year averaged near-bottom EKE. The percentage is derived from five day mean model output. . . . .
834		41
835		
836	<b>Fig. 3.</b>	The five-day mean pressure in $kPa$ at $3174 \text{ m}$ depth reduced by $33424.1 \text{ kPa}$ below the Gulf Stream west of the New England Seamounts around the model dates FEB 11, 1990 and MAR 23, 1990 (colorshading). The region is marked in Figure 2. Sea surface height (black contours; $CI = 0.1 \text{ m}$ from $-0.3 \text{ m}$ to $0.5 \text{ m}$ ) and horizontal bottom velocities (arrows) are shown. . . . .
837		42
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841	<b>Fig. 4.</b>	(a) As Figure 3b, except that the color shading shows the horizontal divergence in $10^{-6} \text{ s}^{-1}$ at $228 \text{ m}$ depth. Negative values correspond to convergence. The vertical velocity at $989 \text{ m}$ depth (gray-white contours; $\pm 20$ , $\pm 100$ and $\pm 140 \text{ m/day}$ , downward velocity contours are dashed) is shown. (b) The horizontal divergence in $10^{-6} \text{ s}^{-1}$ at the section shown in (a) with a straight line. The cross-section velocity in $\text{m s}^{-1}$ (black contours; $CI = 0.1 \text{ m s}^{-1}$ ; positive northwestwards), vertical velocity (gray-white contours; $\pm 20$ , $\pm 100$ and $\pm 140 \text{ m/day}$ , downward velocity contours are dashed) and thermocline depth (purple-white contour; $12^\circ \text{C}$ isotherm) are shown. . . . .
842		43
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849	<b>Fig. 5.</b>	As Figure 4a, except that the color shading shows the vertically integrated energy transfers (a) $\langle \text{BTI}^{\text{ts}} \rangle$ , (b) $\langle \text{BCI}^{\text{ts}} \rangle$ and (c) the sum of both in $\text{Wm}^{-3}$ . . . . .
850		44
851	<b>Fig. 6.</b>	As Figure 4b, except that the color shading shows the energy transfers (a) $\langle \text{BTI}^{\text{ts}} \rangle$ , (b) $\langle \text{BCI}^{\text{ts}} \rangle$ and (c) the sum of both in $\text{Wm}^{-3}$ . . . . .
852		45
853	<b>Fig. 7.</b>	The vertically integrated, 30-year averaged energy transfers into the EKE: (a) $\langle \text{BTI}_+ \rangle$ and (b) $\langle \text{BCI}_+ \rangle$ in $10^{-2} \text{ Wm}^{-3}$ for the model period 1980-2009. Dark gray contours show the bathymetry ( $CI = 100 \text{ m}$ ), black contours the 30-year averaged sea surface height ( $CI = 0.1 \text{ m}$ ) and arrows the 30-year averaged horizontal bottom velocities. The region is marked in Figure 10. . . . .
854		46
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858	<b>Fig. 8.</b>	As Figure 7, except that the color shading shows (a) the percentage that the near-bottom absolute velocity exceeds its local annual mean by at least $0.1 \text{ m s}^{-1}$ and (b) the 30-year averaged near-bottom EKE for the model period 1980-2009. The percentage is derived from five day mean model output. Thick white contours show the sum of the energy transfers shown in Figure 7 in $10^{-2} \text{ Wm}^{-3}$ . . . . .
859		47
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863	<b>Fig. 9.</b>	As Figure 1, except that the color shading shows the vertically integrated, 30-year averaged energy transfers (a) $\langle \text{BTI}_+ \rangle$ , (b) $\langle \text{BCI}_+ \rangle$ and (c) the sum of both in $10^{-2} \text{ Wm}^{-3}$ for the model period 1980 - 2009. . . . .
864		48
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866	<b>Fig. 10.</b>	As Figure 1, except that the color shading shows the percentage that the five-day mean relative vorticity at $2054 \text{ m}$ depth is (a) positive (cyclonic) and its subsequent five-day mean
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868 value is more than  $0.02f$  larger and (b) negative (anticyclonic) and its subsequent five-day  
869 mean is more than  $0.02f$  smaller. . . . . 49

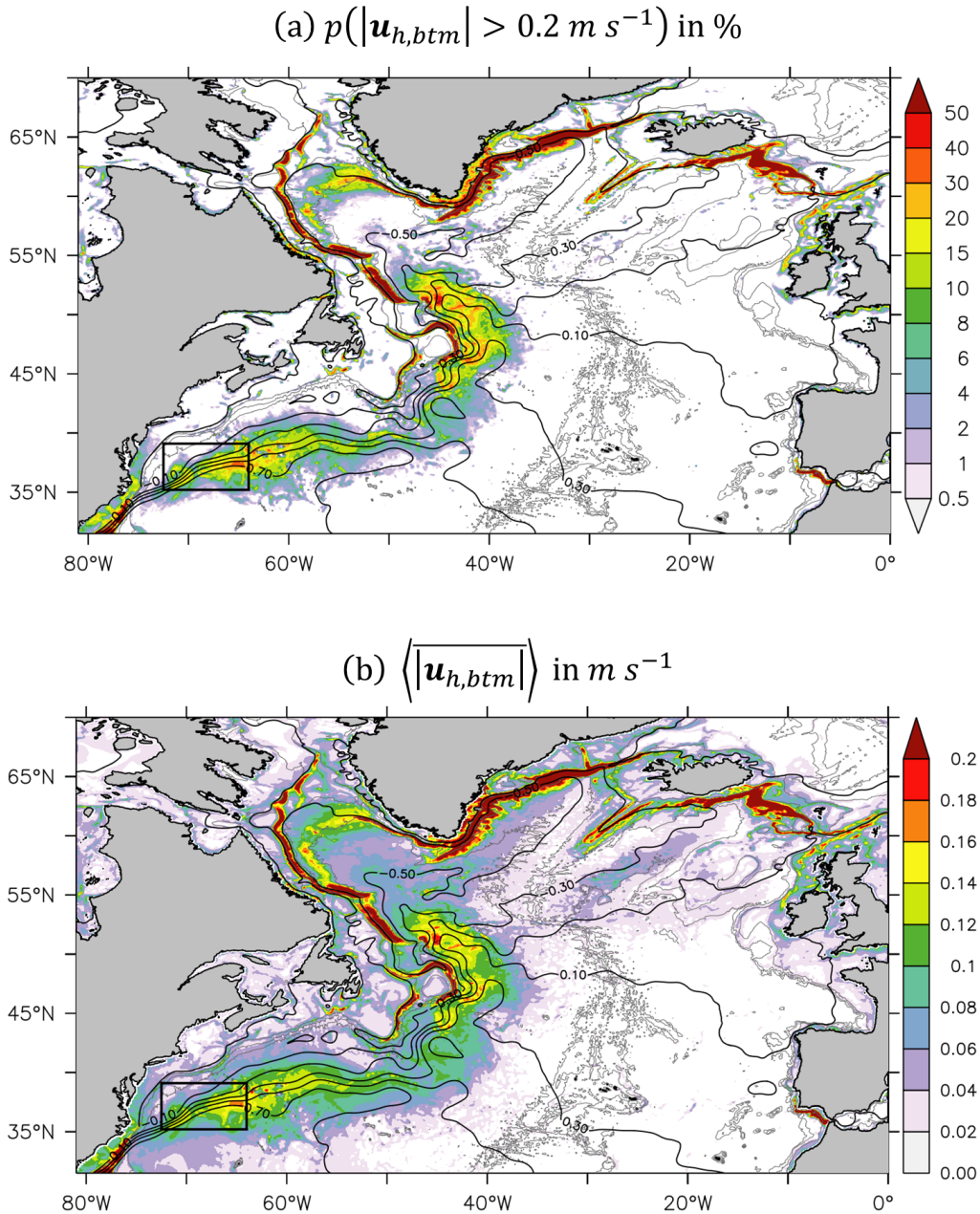


FIG. 1: (a) The percentage that the near-bottom absolute velocity exceeds  $0.2 \text{ m s}^{-1}$  and (b) the 30-year averaged near-bottom absolute velocity for the model period 1980-2009 (color shading). The percentage is derived from five day mean model output. In this paper, angle brackets  $\langle \cdot \rangle$  mark the time mean over the 30-year average for the period 1980-2009. Thin black contours show the 30-year averaged sea surface height (in  $\text{m}$ ) with an interval of  $0.2 \text{ m}$  for the same period. Gray contours show  $500 \text{ m}$ ,  $2000 \text{ m}$  and  $3000 \text{ m}$  depth contours. The black box marks the region shown in Figures 3, 4a, 5, 7 and 8.

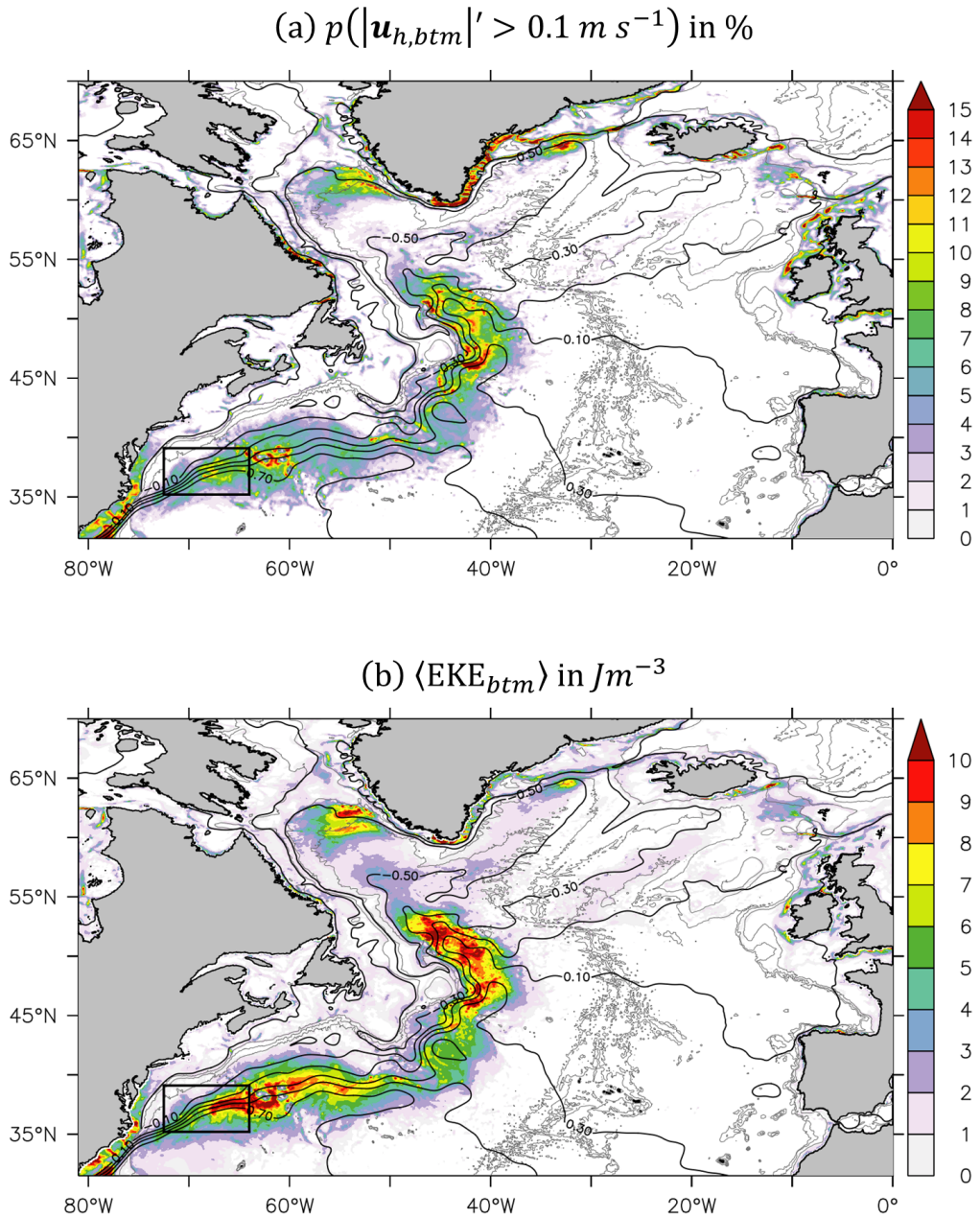


FIG. 2: As Figure 1, except that the color shading shows (a) the percentage that the near-bottom absolute velocity exceeds its local annual mean by at least  $0.1 \text{ m s}^{-1}$  and (b) the 30-year averaged near-bottom EKE. The percentage is derived from five day mean model output.



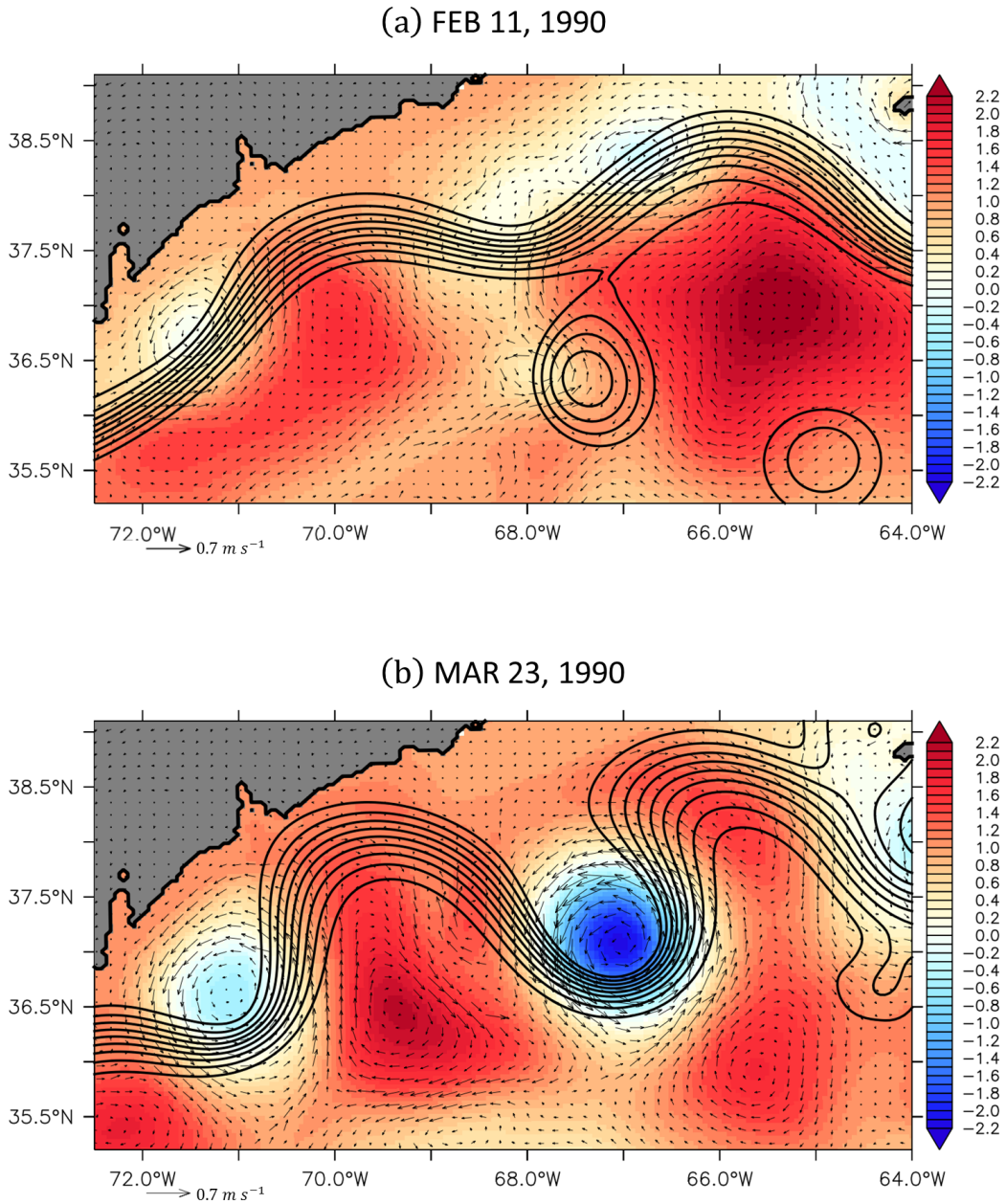
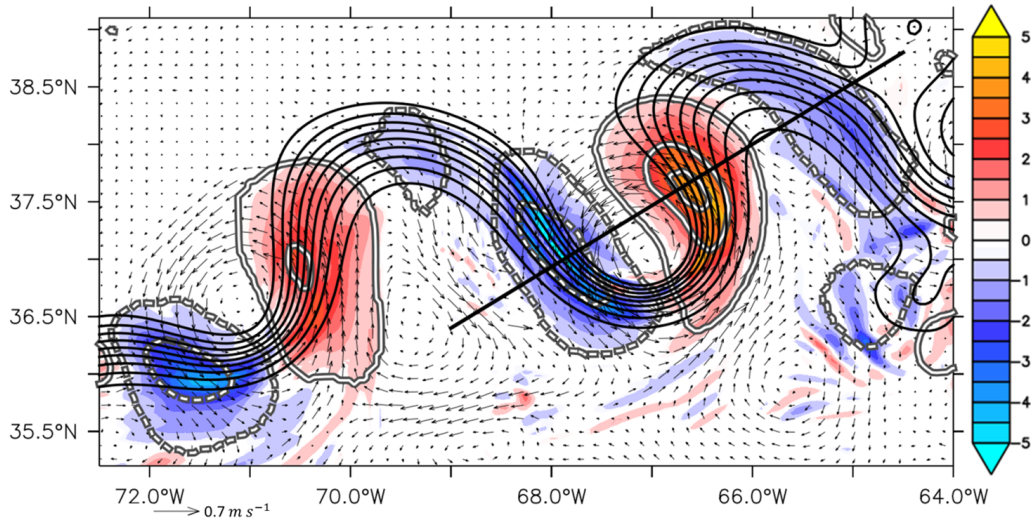


FIG. 3: The five-day mean pressure in  $kPa$  at 3174 m depth reduced by 33424.1  $kPa$  below the Gulf Stream west of the New England Seamounts around the model dates FEB 11, 1990 and MAR 23, 1990 (colorshading). The region is marked in Figure 2. Sea surface height (black contours;  $CI = 0.1 m$  from  $-0.3 m$  to  $0.5 m$ ) and horizontal bottom velocities (arrows) are shown.



(a) Horizontal divergence in  $10^{-6} s^{-1}$  at 228 m depth



(b) Horizontal divergence in  $10^{-6} s^{-1}$  at the section shown in (a)

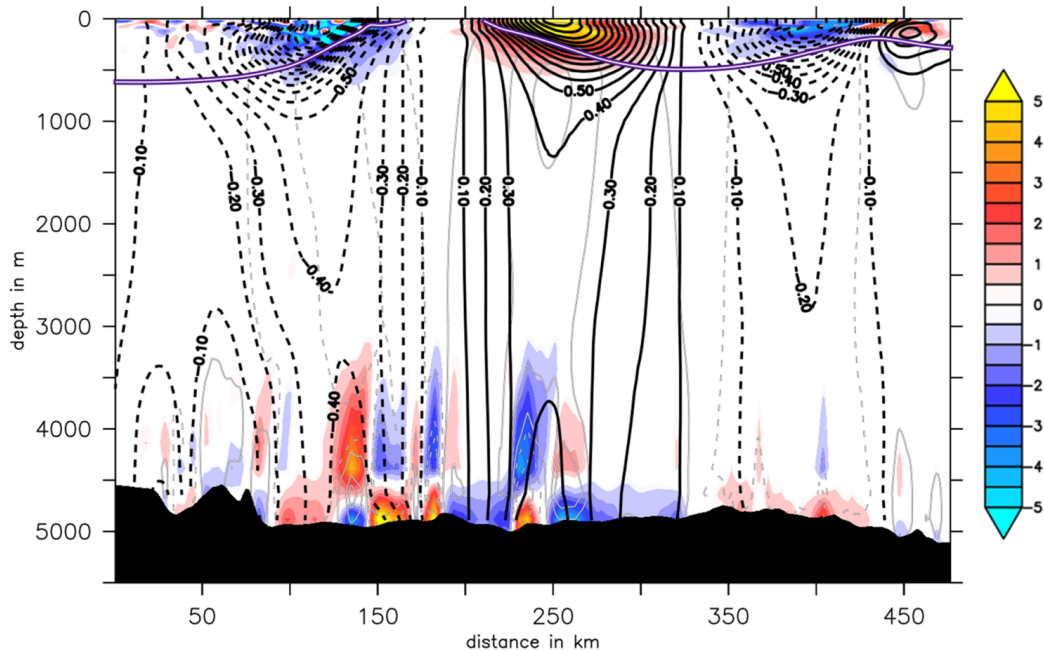


FIG. 4: (a) As Figure 3b, except that the color shading shows the horizontal divergence in  $10^{-6} s^{-1}$  at 228 m depth. Negative values correspond to convergence. The vertical velocity at 989 m depth (gray-white contours;  $\pm 20$ ,  $\pm 100$  and  $\pm 140$  m/day, downward velocity contours are dashed) is shown. (b) The horizontal divergence in  $10^{-6} s^{-1}$  at the section shown in (a) with a straight line. The cross-section velocity in  $m s^{-1}$  (black contours;  $CI = 0.1 m s^{-1}$ ; positive northwestwards), vertical velocity (gray-white contours;  $\pm 20$ ,  $\pm 100$  and  $\pm 140$  m/day, downward velocity contours are dashed) and thermocline depth (purple-white contour;  $12^\circ C$  isotherm) are shown.

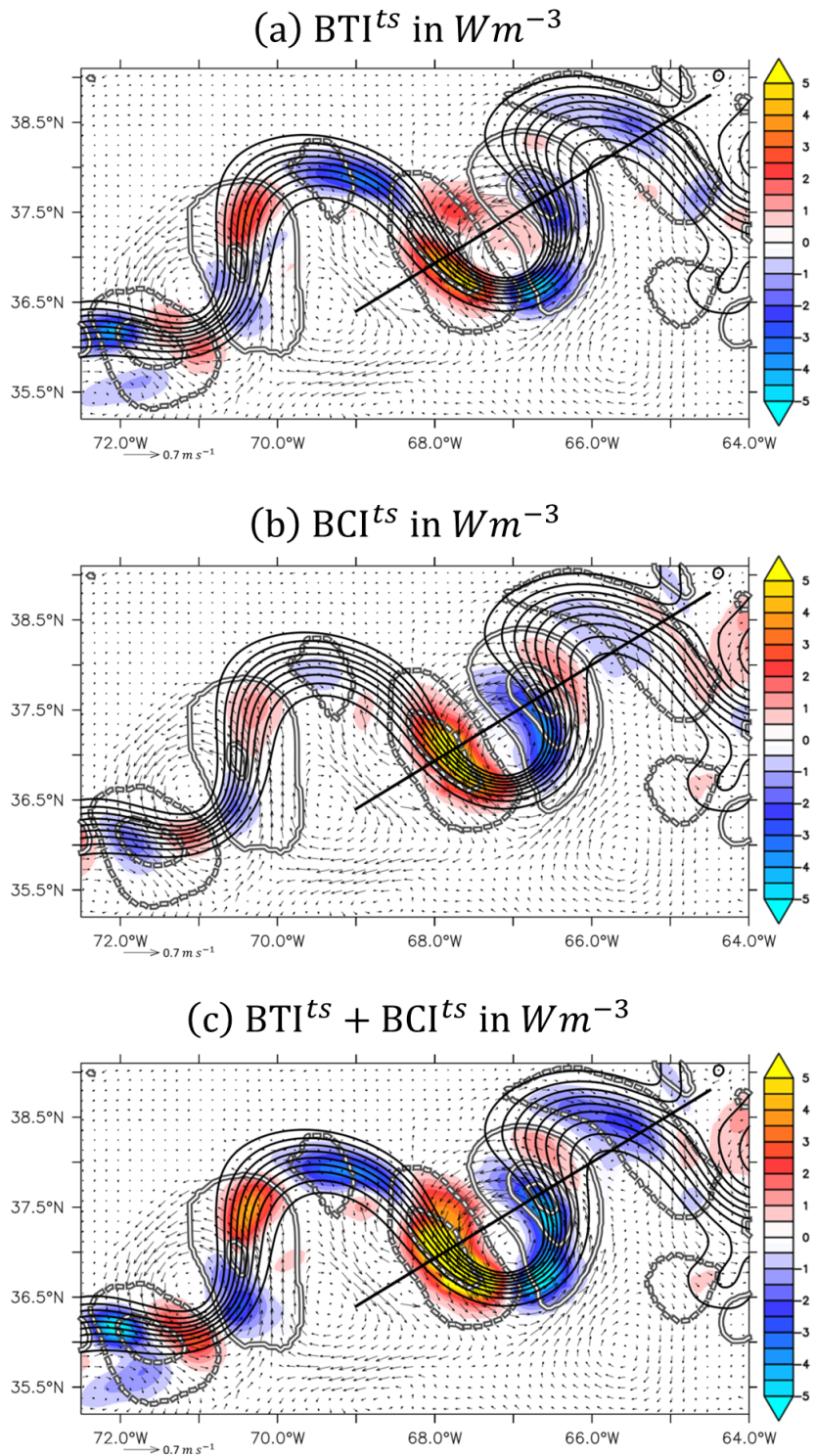


FIG. 5: As Figure 4a, except that the color shading shows the vertically integrated energy transfers (a)  $BTI^{ts}$ , (b)  $BCI^{ts}$  and (c) the sum of both in  $Wm^{-3}$ .

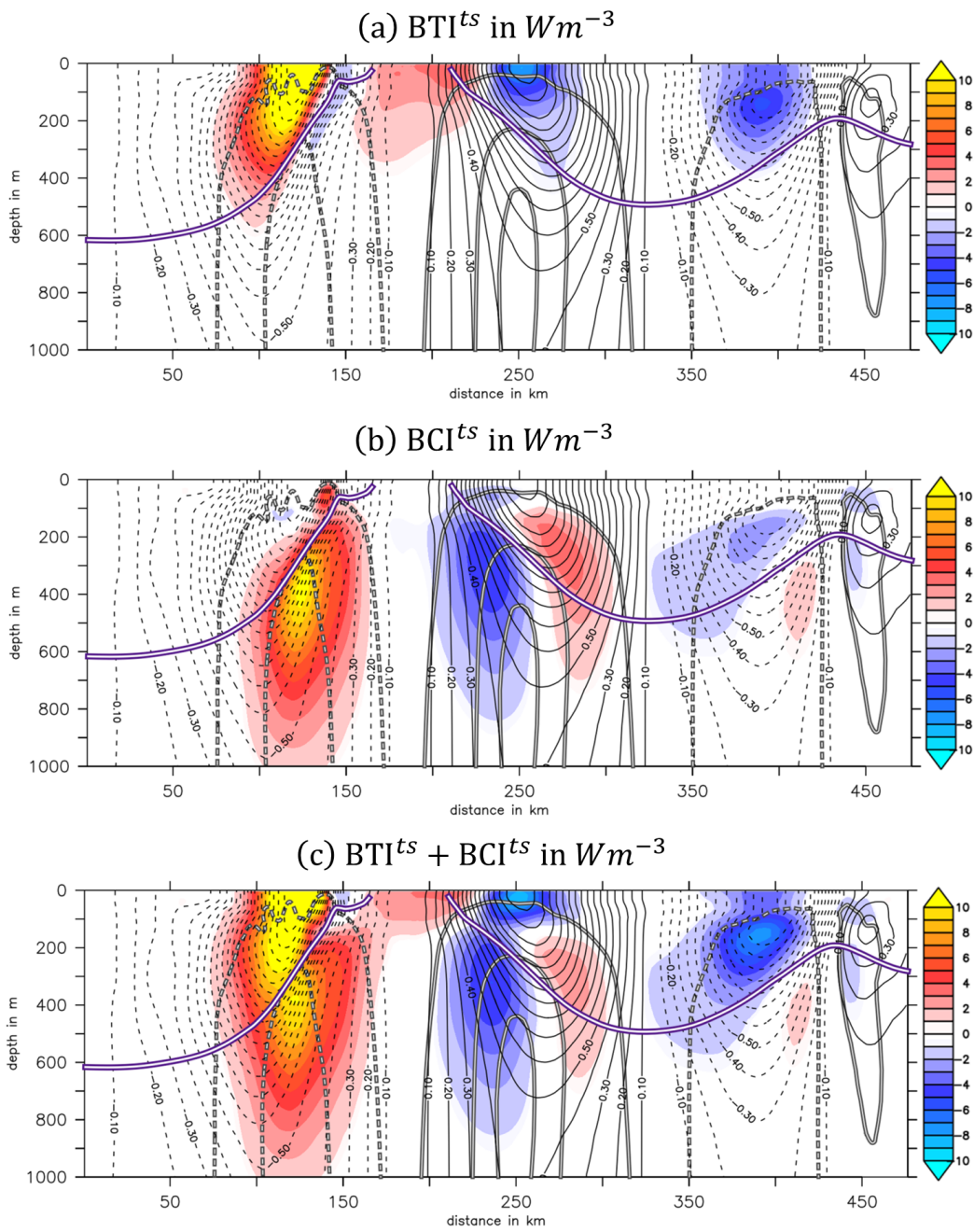


FIG. 6: As Figure 4b, except that the color shading shows the energy transfers (a)  $BTI^{ts}$ , (b)  $BCI^{ts}$  and (c) the sum of both in  $Wm^{-3}$ .



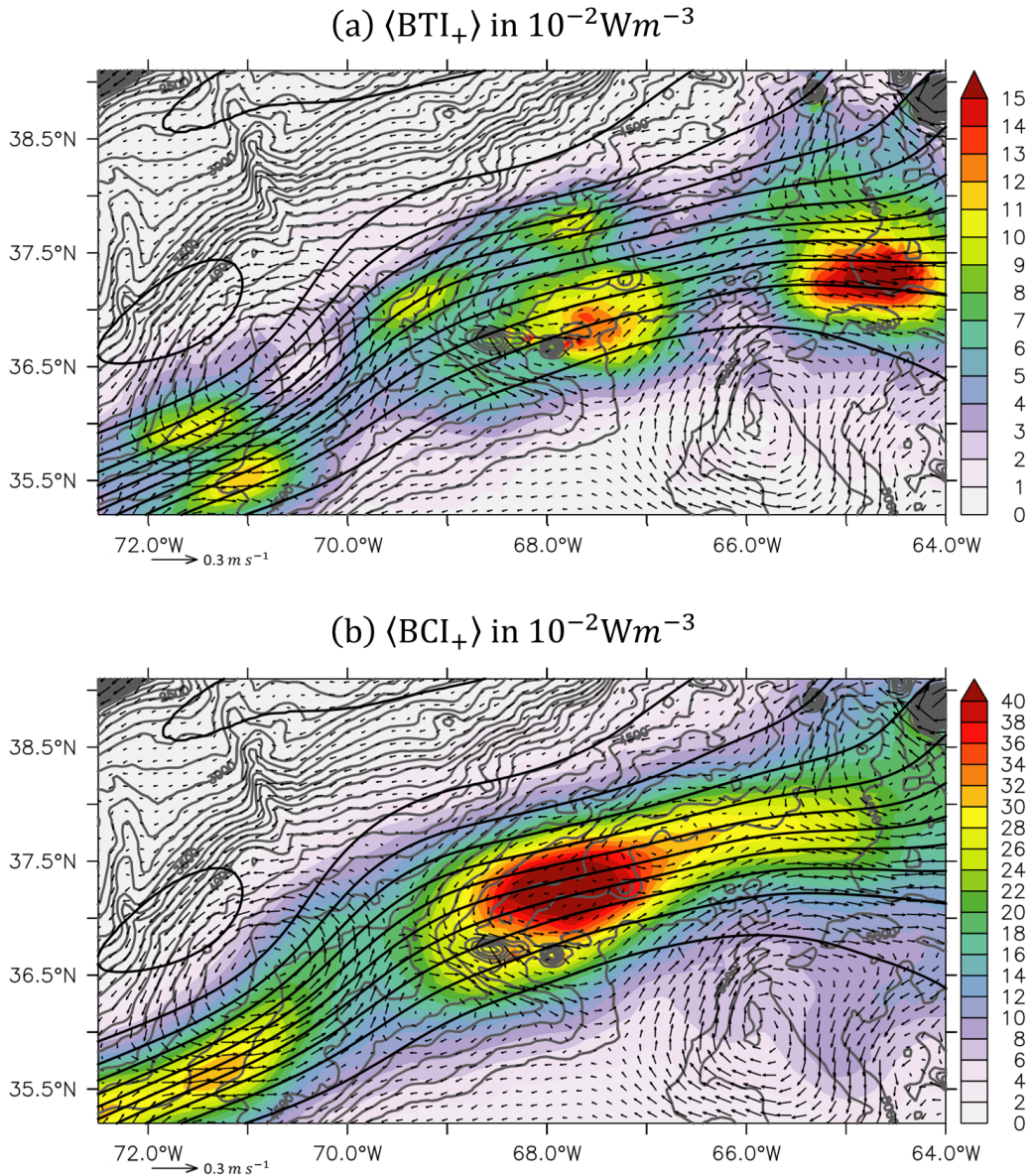


FIG. 7: The vertically integrated, 30-year averaged energy transfers into the EKE: (a)  $\langle \text{BTI}_+ \rangle$  and (b)  $\langle \text{BCI}_+ \rangle$  in  $10^{-2} \text{Wm}^{-3}$  for the model period 1980-2009. Dark gray contours show the bathymetry ( $CI = 100 \text{ m}$ ), black contours the 30-year averaged sea surface height ( $CI = 0.1 \text{ m}$ ) and arrows the 30-year averaged horizontal bottom velocities. The region is marked in Figure 10.

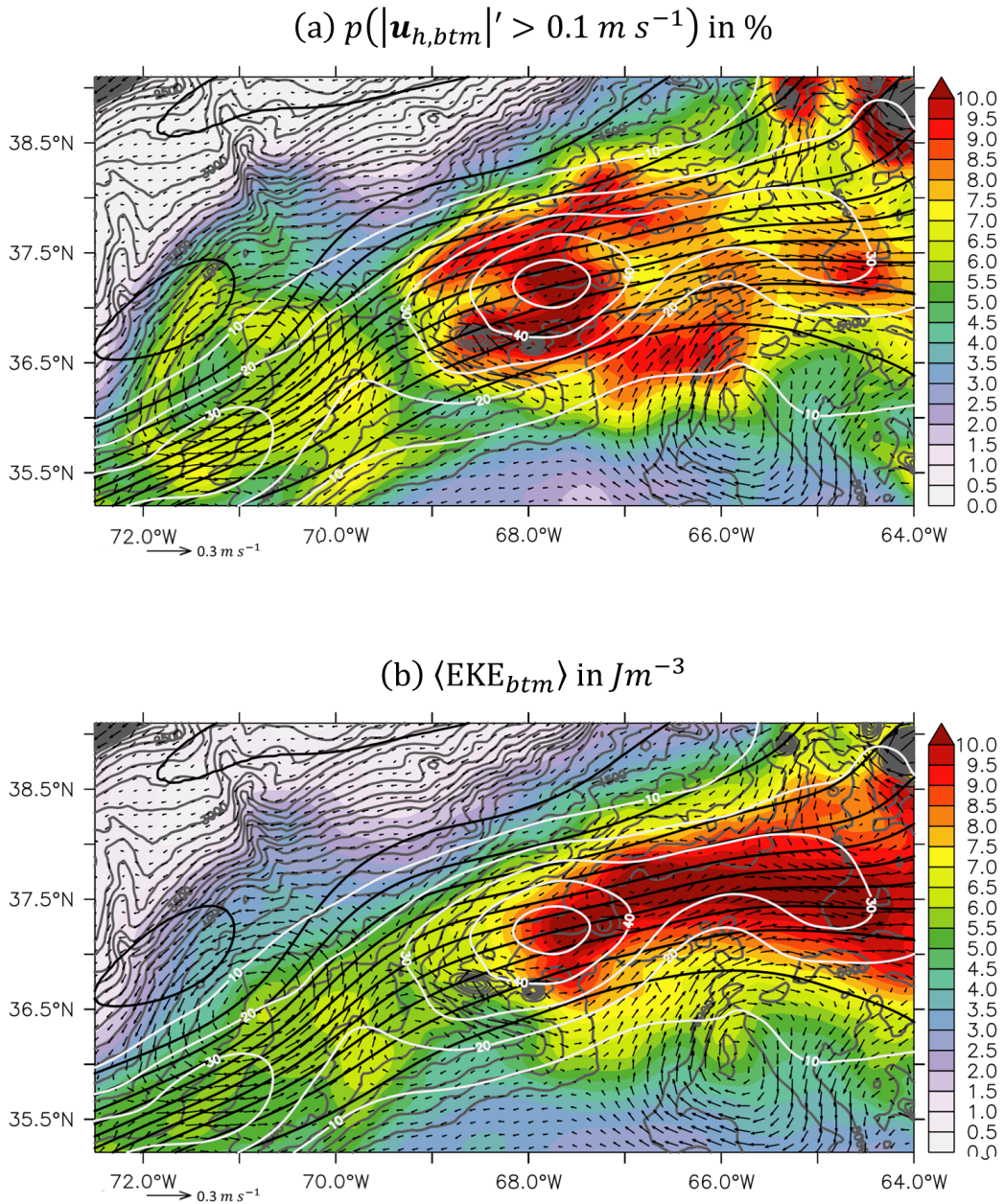


FIG. 8: As Figure 7, except that the color shading shows (a) the percentage that the near-bottom absolute velocity exceeds its local annual mean by at least  $0.1 \text{ m s}^{-1}$  and (b) the 30-year averaged near-bottom EKE for the model period 1980-2009. The percentage is derived from five day mean model output. Thick white contours show the sum of the energy transfers shown in Figure 7 in  $10^{-2} \text{ Wm}^{-3}$ .



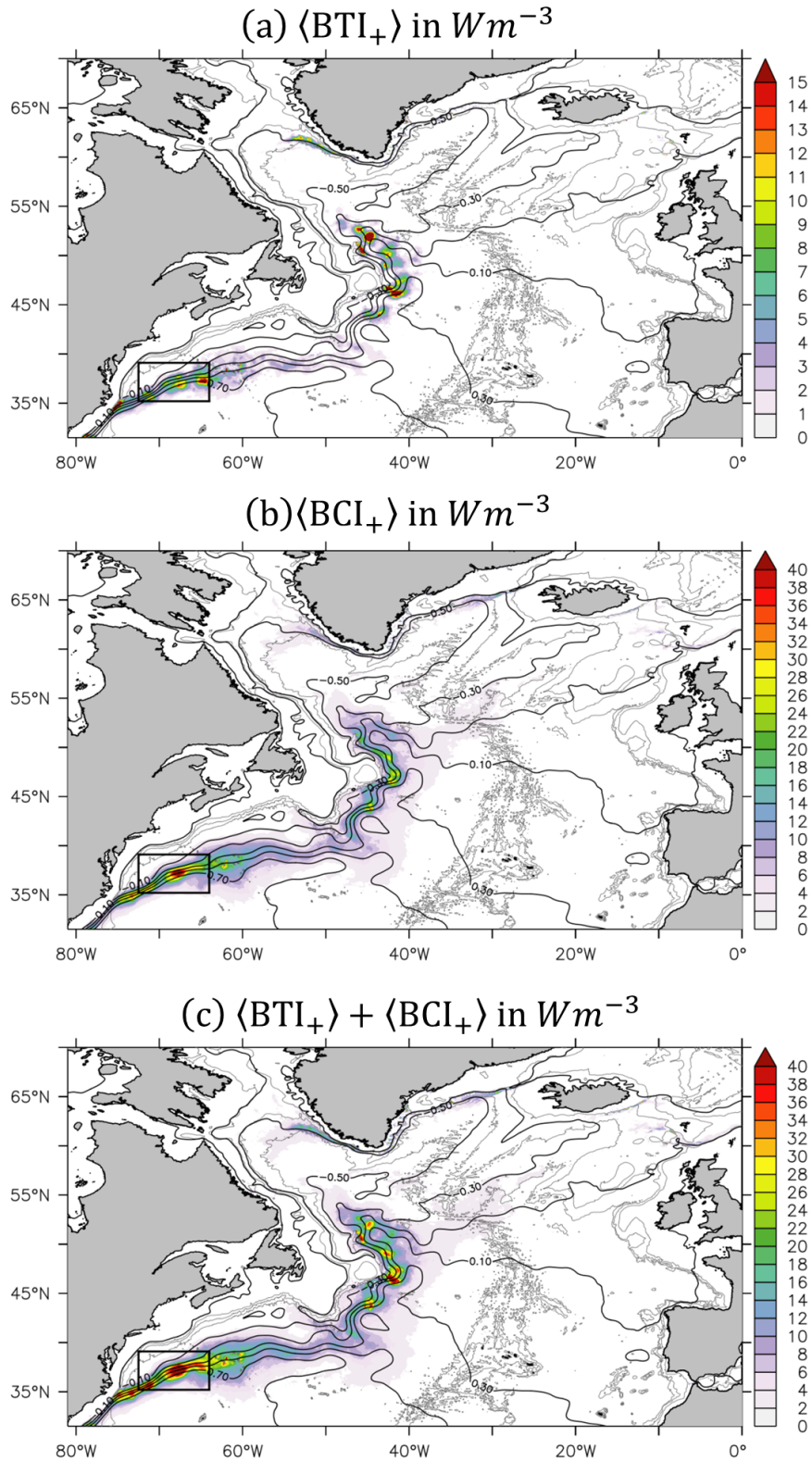


FIG. 9: As Figure 1, except that the color shading shows the vertically integrated, 30-year averaged energy transfers (a)  $\langle BTI_+ \rangle$ , (b)  $\langle BCI_+ \rangle$  and (c) the sum of both in  $10^{-2}Wm^{-3}$  for the model period 1980 - 2009.

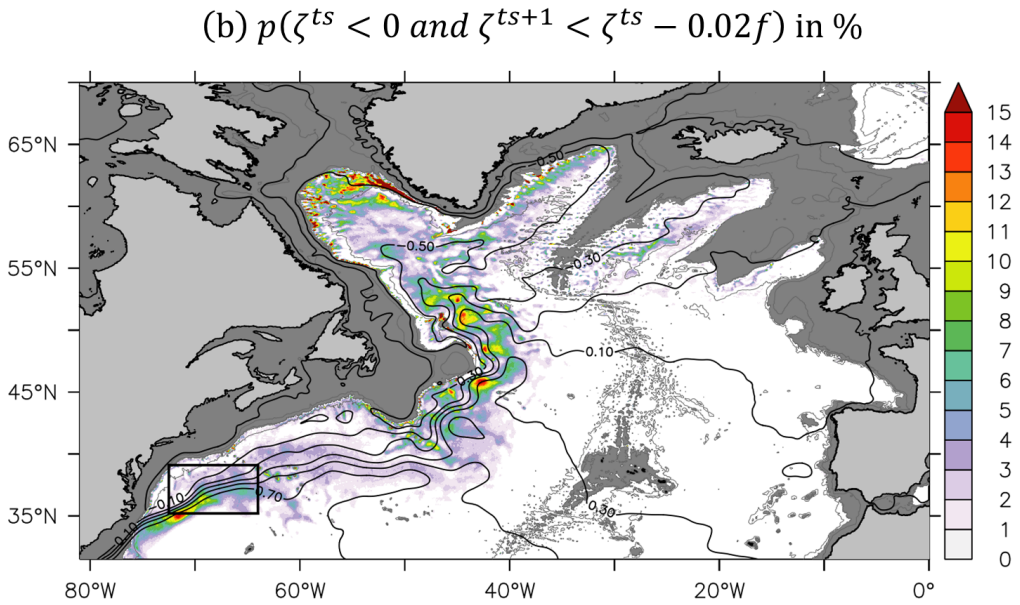
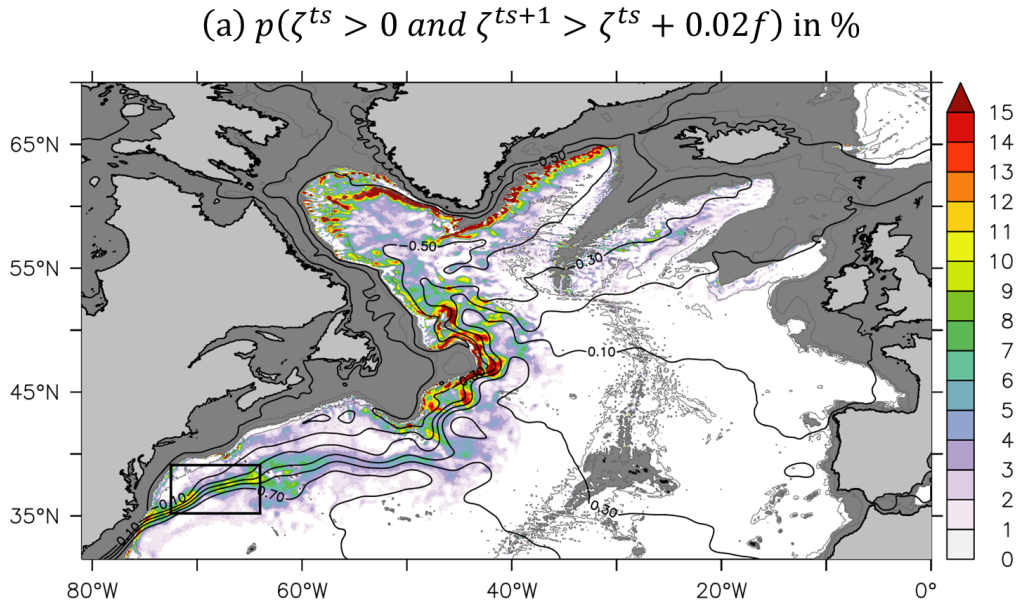


FIG. 10: As Figure 1, except that the color shading shows the percentage that the five-day mean relative vorticity at 2054  $m$  depth is (a) positive (cyclonic) and its subsequent five-day mean value is more than  $0.02f$  larger and (b) negative (anticyclonic) and its subsequent five-day mean is more than  $0.02f$  smaller.