

1 **Apparent efficiency of serially coupled columns in isocratic and gradient elution**
2 **modes**

3

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15 **Apparent efficiency of serially coupled columns in isocratic and gradient elution** 16 **modes**

18 **Abstract**

19 The goal of this work was to understand the variation of apparent efficiency when serially
20 coupling columns with identical stationary phase chemistries, but with differences in their
21 kinetic performance. For this purpose, a mathematical treatment was developed both for
22 isocratic and gradient modes to assess the change in plate numbers and peak widths when
23 coupling arbitrary several columns. To validate the theory, experiments were also carried out
24 using various mixtures of compounds, on columns packed with different particle sizes, to
25 mimic highly efficient (new, not used) and [poorly efficient columns \(used one with many](#)
26 [injections\)](#). Excellent agreement was found between measured and calculated peak widths.
27 [The average error in prediction was about 5 % \(which may be explained by the additional](#)
28 [volume of the coupling tubes\).](#)

29 In isocratic mode, the plate numbers are not additive when the coupled columns possess
30 different efficiencies, and a limiting plate count value can be calculated depending on the
31 efficiency and length of the individual columns. [Theoretical efficiency limit can also be](#)
32 [determined assuming one column in the row with infinite efficiency.](#)

33 In gradient [elution](#) mode, the columns' order has a role (non-symmetrical system). When the
34 last column has high enough efficiency, the gradient band compression effect may
35 outperform the competing band broadening caused by dispersive and diffusive processes
36 [\(peak sharpening\)](#). Therefore, in gradient mode, the columns should generally be
37 sequentially placed according to their increasing efficiency.

39 **Keywords:**

40 Column coupling, apparent efficiency, plate number, peak capacity, column length

42 1. Introduction

43 The idea of coupling columns to analyze complex samples appeared quite early in
44 chromatography [1,2,3,4,5,6]. The purpose of column coupling can be either to improve
45 kinetic performance by increasing the column length or adjust selectivity by combining
46 different stationary phase chemistries. This latter idea lead to the development of
47 multidimensional chromatographic separations.

48 There are two ways to combine two or more columns in mono-dimensional separations,
49 namely parallel and serial arrangements [7]. Serial columns generally outperform parallel
50 setups, as the resolution power is appreciably extended in this configuration. The effect of
51 changes in column length is different in the serial and parallel approaches. The serially
52 coupled columns approach has an intrinsic advantage: there is an additional separation
53 factor (the column length), which however has no consequence in the experimental effort. In
54 practice, each serial combination of short columns of different chemical nature and length
55 operates as a new column, with its own selectivity. This increases enormously the wealth of
56 columns available in a laboratory, from which the best one can be selected for a given
57 application [7].

58 In most cases, the aim of column coupling remains to increase the chromatographic
59 performance. The kinetic plot method (KPM) is often used as a design tool to find out the
60 optimal column length to achieve a given number of theoretical plates [8,9]. The KPM can be
61 used to predict the analysis time and efficiency which vary over a wide range of different
62 column lengths, from very short to very long columns. Although the length independence is
63 implicitly contained in the definition of the plate height concept, there are a number of cases
64 wherein deviations from this behavior can be expected (axial temperature gradient due to
65 viscous heating, extra-column band broadening effects which have relatively higher impact
66 on small columns, side-wall effects that persist along the column length, pressure-related
67 effects, etc.) [10,11,12,13]. The possibility to predict the performance of coupled columns
68 systems has been extensively studied in the past. Coupling of up to six columns (900 mm = 6
69 x 150 mm) showed that the KPM prediction was in good agreement with the obtained

70 performance on the coupled column system [14]. In another study [15], it has been
71 demonstrated that up to 8 columns (packed with 5 μm particles) could be coupled in series
72 and operated at a constant flow rate without any significant loss of efficiency, again implying
73 that the observed plate heights were independent on the column length.

74 The best combination of coupled columns in isocratic mode having different lengths and
75 particle sizes was determined in a previous study from Cabooter *et al.* based on the Knox-
76 Saleem speed limit [16]. Considering an ultrahigh-performance liquid chromatography
77 (UHPLC) system operating at a pressure of 1200 bar, the best possible serial connection
78 system can approach the 75–85 % of its Knox-Saleem limit whereas a three-column parallel
79 system can only get about 50–60 % of the speed limit, while needing 50–100 % more total
80 column length. In absolute terms, the serially-connected system with individually optimized
81 segment lengths should be able to cover a range of 5000–75,000 theoretical plates in an
82 average analysis time of 14.3 min when using a 1200 bar instrument [16].

83 When working in gradient mode, the overall peak capacity can be predicted in a very similar
84 way on the basis of peak capacity measured on one single column, and assuming no
85 differences in the performance of columns that will be coupled in series. Peak capacity
86 prediction has indeed shown very good accuracy when coupling four columns of 150 mm in
87 series [17]. Despite neglecting the possible variations in performance of the individual
88 columns (different batches, history of the column), the kinetic performance limit approach
89 works well in practice, as long as chromatographers couple the same type of columns (same
90 stationary phase and dimension) in series.

91 Therefore, in isocratic mode the plate numbers are expected to be additive, while in gradient
92 mode, the peak capacity is proportional with the square root of the column length [18].

93 Serial column coupling can be useful for various types of applications and is particularly used
94 in RPLC mode [19]. By using a 450 mm long column (3 x 150 mm), the peak capacity of an
95 antibody peptide mapping analysis was increased up to $n_c = 704$ [20]. The same concept has
96 also been used for intact and sub-units antibody analysis [20]. Another study showed the
97 possibilities to achieve high plate count and peak capacity at various combinations of column

98 lengths and temperatures [21]. Column coupling has also been applied in ion-exchange (IEX)
99 chromatography to improve the separation of intact antibody charge-variants [22]. In
100 supercritical fluid chromatography (SFC), 4 columns (4 x 100 mm) were successfully coupled
101 to increase the separation of 24 pharmaceutical compounds [23]. Column coupling can also
102 be applied for chiral separations [24]. As an example, an in-line coupling of achiral and chiral
103 columns was shown to be a good alternative to multidimensional chiral chromatography [24].
104 Coupling columns of different pore sizes in series is also commonly used in size exclusion
105 chromatography (SEC) to tune the selectivity of polymer separations [25].

106 Other purposes of column coupling can be post-column derivatization, on-line clean-up or the
107 protection of the analytical column by using guard (pre-) columns [26,27].

108 The purpose of this study was to estimate and measure the apparent efficiency of columns
109 made of identical stationary phase chemistry but possessing differences in their kinetic
110 performance. It may happen that the individual columns do not have identical efficiency
111 (different batch, different lifetime and antecedents, or different packing quality which is well-
112 known to be dependent on column length and diameter [28]). Different particle sizes were
113 used to mimic columns of different batches or columns providing different efficiencies when
114 coupling them in series. In isocratic mode, the plate numbers do not always seem additive
115 and kinetic performance has a limiting value, depending on the efficiency and length of the
116 individual columns. Furthermore, in gradient elution mode, the system is indifferent to the
117 column order. Theory has been developed to show the evolution of plate numbers for
118 coupling arbitrary several columns in isocratic mode and to predict peak widths for two
119 columns system in gradient mode. Experimental measurements have been performed to
120 validate the theory.

121

122 2. Theory

123 2.1 Peak widths in isocratic elution

124 The band dispersion in serially connected columns can be calculated by solving the following
125 ordinary differential equation:

126
$$\frac{d\sigma_z^2}{dz} = H(z) \quad (1)$$

127 where σ_z^2 is the spatial variance of bands of compounds inside the column, z the spatial
 128 variable, and $H(z)$ the height equivalent to a theoretical plate, HETP.

129
$$H(z) = H_i \quad \text{if} \quad \sum_{j=0}^{i-1} L_j \leq z < \sum_{j=1}^i L_j \quad (2)$$

130 where H_i and L_i are the HETP and length of the i^{th} column, respectively. Note that L_0 is
 131 equal to zero.

132 The solution of Eq. (1) in case of n sequentially connected columns with the initial condition
 133 $\sigma_z^2(0) = 0$ is:

134
$$\sigma_z^2 = \sum_{i=1}^n L_i H_i = \sum_{i=1}^n \frac{L_i^2}{N_i} \quad (3)$$

135 Assuming that retention factors (k) of solutes are the same in all the columns (identical
 136 stationary phase chemistry), the retention time of a compound can be expressed as:

137
$$t_R = \sum_{i=1}^n t_{R,i} = (1+k) \sum_{i=1}^n t_{0,i} = (1+k) \sum_{i=1}^n \frac{L_i}{u_{0,i}} \quad (4)$$

138 where $t_{0,i}$ is the hold-up time, and $u_{0,i}$ is the [average linear velocity](#) of the eluent in the i^{th}
 139 column.

140 [By matching the spatial \(\$\sigma_z\$ \) and time \(\$\sigma\$ \) variances through the definition of efficiency and](#)
 141 [replacing \$t_R\$ by Eq. \(4\), the following is obtained for a chromatographic peak eluted from \$n\$](#)
 142 [sequentially connected columns is:](#)

143
$$\sigma^2 = \sigma_z^2 \frac{(1+k)^2}{u_{0,n}^2} \quad (5)$$

144 The fraction on the right hand side of Eq. (5) can be expressed from Eq. (4) as:

145
$$\frac{1+k}{u_{0,n}} = \frac{t_R}{L_n} \frac{V_{0,n}}{V_0} \quad (6)$$

146 where L_n and $V_{0,n}$ are the length and dead volume of the last segment, V_0 is the total dead
 147 volume of the n sequentially connected columns. Explicitly, $V_{0,i} = L_i \frac{d_i^2 \pi}{4} \varepsilon_i$ with d_i and ε_i are
 148 the internal diameter and total porosity of column i .

149 Eq. (6) can be combined with Eq. (5) and the peak width can be calculated as:

$$150 \quad w = 4\sigma = 4\sqrt{\sum_{i=1}^n \frac{L_i^2 t_R V_{0,n}}{N_i L_n V_0}} = 4\alpha t_R \quad (7)$$

151 where,

$$152 \quad \alpha = \frac{1}{L_n} \sqrt{\sum_{i=1}^n \frac{L_i^2 V_{0,n}}{N_i V_0}} \quad (8)$$

153 The total plate number of the sequentially connected columns is the sum of the number of
154 theoretical plates of the n columns. The apparent plate number, however, can be calculated
155 as:

$$156 \quad N_{app} = \frac{t_R^2}{\sigma^2} = \frac{1}{\alpha^2} \quad (9)$$

157 Eqs. (8) and (9) can be generalized after the following considerations:

$$158 \quad \lambda_i = \frac{L_i}{L_n}, \quad v_i = \frac{N_i}{N_n}, \quad \omega_i = \frac{V_{0,i}}{V_{0,n}} \quad (10)$$

159 Accordingly,

$$160 \quad \alpha = \frac{1}{\sqrt{N_n}} \sqrt{1 + \sum_{i=1}^{n-1} \frac{\lambda_i^2}{v_i} \frac{1}{1 + \sum_{i=1}^{n-1} \omega_i}} \quad (11)$$

161 and,

$$162 \quad N_{app} = N_n \frac{(1 + \sum_{i=1}^{n-1} \omega_i)^2}{1 + \sum_{i=1}^{n-1} \frac{\lambda_i^2}{v_i}} \quad (12)$$

163 The ratio of N_{app} and the total plate number is:

$$164 \quad \frac{N_{app}}{\sum_{i=1}^n N_i} = \frac{(1 + \sum_{i=1}^{n-1} \omega_i)^2}{(1 + \sum_{i=1}^{n-1} v_i) \left(1 + \sum_{i=1}^{n-1} \frac{\lambda_i^2}{v_i}\right)} \quad (13)$$

165 For a two-column system Eqs. (11), (12) and (13) become:

$$166 \quad \alpha = \frac{1}{\sqrt{N_2}} \sqrt{1 + \frac{\lambda_1^2}{v_1} \frac{1}{1 + \omega_1}} \quad (14)$$

$$167 \quad N_{app} = N_2 \frac{(1 + \omega_1)^2}{1 + \frac{\lambda_1^2}{v_1}} \quad (15)$$

$$168 \quad \frac{N_{app}}{N_1 + N_2} = \frac{(1 + \omega_1)^2}{(1 + v_1) \left(1 + \frac{\lambda_1^2}{v_1}\right)} \quad (16)$$

169 There are also several specific situations for two-columns:

170 If the plate numbers of the two columns are equal:

$$171 \quad N_{app} = \frac{N}{1 + \frac{L_1^2}{L_2^2}} \left(1 + \frac{V_{0,1}}{V_{0,2}} \right)^2 \quad (17)$$

172 If the column diameters are equal:

$$173 \quad N_{app} = \frac{N_1 N_2}{N_1 + \frac{L_1^2}{L_2^2} N_2} \left(1 + \frac{L_1}{L_2} \right)^2 \quad (18)$$

174 If the column diameters and lengths are equal:

$$175 \quad N_{app} = 4 \frac{N_1 N_2}{N_1 + N_2} \quad (19)$$

176 If the plate numbers and diameters of the two columns are equal:

$$177 \quad N_{app} = N \frac{(L_1 + L_2)^2}{L_1^2 + L_2^2} \quad (20)$$

178 If the efficiency of one of the two columns is infinite ($N_2 = \infty$)

$$179 \quad N_{app} = N_1 \frac{L_2^2}{L_1^2} \left(1 + \frac{V_{0,1}}{V_{0,2}} \right)^2 \quad (21)$$

180 If the efficiency of one of the two columns is infinite ($N_2 = \infty$) and the column dimensions are

181 identical:

$$182 \quad N_{app} = 4N_1 \quad (22)$$

183 Peak capacity, n , can be obtained as the solution of the following ordinary differential

184 equation with the initial condition of $n(t_1) = 1$:

$$185 \quad \frac{dn}{dt} = \frac{1}{w} \quad (23)$$

186 where w is peak width as a function of time, t .

187 The peak capacity of a series of columns connected together in isocratic mode can then be

188 calculated as the solution of Eq. (23):

$$189 \quad n = 1 + \frac{1}{4\alpha} \ln \frac{t_n}{t_1} = 1 + \frac{\sqrt{N_{app}}}{4} \ln \frac{t_n}{t_1} \quad (24)$$

190 For a two-columns system, the following equation can be written:

191
$$n = 1 + \frac{1}{4} \sqrt{\frac{N_1 N_2}{N_1 + \frac{L_1^2}{L_2^2} N_2}} \left(1 + \frac{V_{0,1}}{V_{0,2}} \right) \ln \frac{t_n}{t_1} \quad (25)$$

192 If the column dimensions are identical and one of the columns has an infinite efficiency ($N_2 =$
 193 ∞):

194
$$n = 1 + \frac{\sqrt{N}}{2} \ln \frac{t_n}{t_1} \quad (26)$$

195

196 2.2 Peak widths in gradient elution

197 In gradient chromatography, the arrival time of a peak to a position z along the column is no
 198 longer just proportional to time, but has to be retrieved from solving a differential equation,
 199 given by:

200
$$\frac{dt}{dz} = \frac{1}{u}, \quad t(0) = 0 \quad (27)$$

201 where the velocity u is given by the [instantaneous linear velocity of the solute, related to that](#)
 202 [of the mobile phase \(\$u_0\$ \) through the solute retention \(\$k\$ \):](#)

203
$$u = \frac{u_0}{1+k} \quad (28)$$

204 [Notice that the condition \$t\(0\) = 0\$ implies a negligible dwell volume. This is always the case](#)
 205 [for initially highly retained compounds, that are stopped at the head of the column until the](#)
 206 [gradient releases them.](#) According to the linear solvent strength (LSS) theory, the retention
 207 factor can be written as a function of the mobile phase composition [\[29\]](#):

208
$$k = k_w e^{-S\phi} \quad (29)$$

209 where S is the slope of the LSS model (log k vs. % organic modifier) and k_w is the
 210 extrapolated value of k for a compound eluted with pure A eluent (i.e., $\Phi=0$). When running a
 211 linear gradient [over a time \$t_G\$](#) the mobile phase composition [at the inlet of the column](#) is given
 212 by:

213
$$\phi = \phi_0 + \frac{t}{t_G} \Delta\phi \quad (30)$$

214 The retention at a time t and position z , [taking into account the time \$z t_0/L\$ it takes for the](#)
 215 [mobile phase to reach that point](#), will be ([again neglecting the dwell volume](#)):

216
$$k = k_0 \exp \left\{ -b \left(\frac{t}{t_0} - \frac{z}{L} \right) \right\} \quad (31)$$

217 where $k_0 = k_w e^{-S\phi_0}$ is the initial retention, L the length of the column, $t_0 = L/u_0$ the hold-up
 218 time, and:

219
$$b = S\Delta\phi \frac{t_0}{t_G} \quad (32)$$

220 Is the intrinsic gradient steepness.

221 The solution of (27) is the well-known chromatography formula:

222
$$t(z) = t_0 \left[\frac{z}{L} + \frac{1}{b} \ln \left(1 + k_0 b \frac{z}{L} \right) \right] \quad (33)$$

223 The time to travel to $z = L$ is the retention time, expressed as:

224
$$t_R = t(L) = t_0 \left[1 + \frac{1}{b} \ln(1 + k_0 b) \right] \quad (34)$$

225 Peak width is mostly affected by diffusion and dispersion processes and by the gradient band
 226 compression effect. The peak is compressed because of the changes to its trajectory while
 227 crossing the gradient within the column. During gradient elution, the rear part of the peak
 228 moves faster than its front part, because the mobile phase strength increases along the
 229 column. The steeper the gradient, the higher the band compression effect is. To model the
 230 band compression effect, it is useful to consider a peak between a point z and $\tilde{z} = z + \sigma_z$.

231 Then the next formula can be written:

232
$$\frac{d\sigma_z}{dt} = \frac{d\tilde{z}}{dt} - \frac{dz}{dt} = u(\tilde{z}, t) - u(z, t) = u(z + \sigma_z, t) - u(z, t) \quad (35)$$

233 When w is small compared to the total length over which the motion of the peak is integrated,
 234 the right-hand side of eq (35) can be expanded at first order in w to obtain:

235
$$\frac{d\sigma_z}{dt} = \partial_z u(z, t) \cdot \sigma_z \quad (36)$$

236 Our goal is to have z as an independent variable, so with the chain rule, the following
 237 equation can be obtained:

238
$$\frac{d\sigma_z}{dz} = \frac{d\sigma_z}{dt} \frac{dt}{dz} = \frac{\partial_z u}{u} \sigma_z = \partial_z \ln u \cdot \sigma_z \quad (37)$$

239 The speed gradient at a given position is obtained by plugging in the solution the equation
 240 (33):

241
$$\partial_z \log u(z, t(z)) = \frac{-1}{L} \frac{p}{1+\frac{pz}{L}} \quad (38)$$

242 where,

243
$$p = b \frac{k_0}{1+k_0} \quad (39)$$

244 is a measure of the gradient steepness. It takes into account that initially unretained
245 substances ($k_0 = 0$) will not be compressed at all.

246 The band broadening effects can be dependent on the column HETP measured in isocratic
247 mode, which we call here H_0 ,

248
$$\frac{d\sigma_z^2}{dz} = H_0 \quad (40)$$

249 Equation (40) can be joined with (37) to give:

250
$$\frac{d\sigma_z^2}{dz} = H_0 + 2\partial_z \log u \cdot \sigma_z^2 \quad (41)$$

251 If the width $\sigma_{z,0}^2$ at a given point along the column z_0 (this will be necessary for the coupling)
252 is known:

253
$$\sigma_z^2(z_0) = \sigma_{z,0}^2 \quad (42)$$

254 the solution is:

255
$$\sigma_z^2(z) = \frac{\sigma_{z,0}^2 \left(1 + \frac{pz_0}{L}\right)^2 + H_0(z-z_0) \left(1 + \frac{p(z+z_0)}{L} + \frac{1}{3} \frac{p^2(z^2+zz_0+z_0^2)}{L^2}\right)}{\left(1 + \frac{pz}{L}\right)^2} \quad (42)$$

256 By neglecting the initial peak width caused by the injection process, $\sigma_{z,0}^2 = 0$ when $z = 0$, we
257 obtain the known formula at elution ($z = L$):

258
$$\sigma_z^2(L) = H_0 L \frac{1+p+\frac{1}{3}p^2}{(1+p)^2} \quad (43)$$

259 We now assume a two-column system possessing different HETP values, H_1 for length L_1 ,
260 and H_2 for length L_2 . If the same gradient steepness and linear velocity are considered on the
261 two columns, then the migration can still be described by equation (33), with $L = L_1 + L_2$. In
262 the first column, the width evolves from the injection width $\sigma_{z,i}$ at $z = 0$. By setting $H_0 = H_1$,
263 $\sigma_{z,0} = \sigma_{z,i}$ and $z_0 = 0$ in equation (42), the following equation can be obtained:

264
$$\sigma_{z,1}^2(z) = \frac{\sigma_{z,i+H_1}^2 \left(1 + \frac{pz}{L} + \frac{1}{3} \left(\frac{pz}{L}\right)^2\right)}{\left(1 + \frac{pz}{L}\right)^2} \quad (44)$$

265 When it reaches $z = L_1$, it starts migrating under H_2 . The coupling condition is:

266
$$\sigma_{z,2}^2(L_1) = \sigma_{z,1}^2(L_1) \quad (45)$$

267 This means that $\sigma_{z,2}$ follows equation (42), with $H_0 = H_2$, $z_0 = L_1$ and $\sigma_{z,0}^2 = \sigma_{z,1}^2(L_1)$, thus
 268 defining:

269
$$\theta = \frac{(H_1 - H_2)}{H_2} = \frac{H_1}{H_2} - 1 \quad (46)$$

270 the solution becomes:

271
$$\sigma_{z,2}^2(z) = \frac{\sigma_{z,i+H_2}^2 \left[\left(1 + \frac{L_1}{z}\theta\right) + \frac{pz}{L} \left(1 + \left(\frac{L_1}{z}\right)^2 \theta\right) + \frac{1}{3} \left(\frac{pz}{L}\right)^2 \left(1 + \left(\frac{L_1}{z}\right)^3 \theta\right) \right]}{\left(1 + \frac{pz}{L}\right)^2} \quad (47)$$

272 or over the whole coupled system:

273
$$\sigma_z(z) = \begin{cases} \sigma_{z,1}(z) & \text{if } 0 \leq z \leq L_1 \\ \sigma_{z,2}(z) & \text{if } L_1 \leq z \leq L \end{cases} \quad (48)$$

274 The result is very similar to (44), with a correction factor proportional to θ . At elution, $z = L =$

275 $L_1 + L_2$:

276
$$\sigma_{z,e}^2 = \sigma_{z,2}^2(L) = \frac{\sigma_{z,i+H_2}^2 \left[\left(1 + \frac{L_1}{L}\theta\right) + p \left(1 + \left(\frac{L_1}{L}\right)^2 \theta\right) + \frac{1}{3} p^2 \left(1 + \left(\frac{L_1}{L}\right)^3 \theta\right) \right]}{(1+p)^2} \quad (49)$$

277 Please note that the dependence in H_1 only comes through θ . In particular, if L_1 is smaller

278 than L , then the efficiency is basically dominated by the second column.

279

280 3. Experimental

281 3.1 Chemicals and columns

282 Acetonitrile, methanol and ethanol (gradient grade) were purchased from Sigma-Aldrich

283 (Buchs, Switzerland). Water was obtained with a Milli-Q Purification System from Millipore

284 (Bedford, MA, USA).

285 Uracil, methylparaben, ethylparaben, propylparaben, butylparaben, cannabidivarin (CBDV),

286 cannabigerolic acid (CBGA), tetrahydrocannabivarin (THCV), cannabichromene (CBC),

287 delta9-tetrahydrocannabinolic acid (THCA-A) and human serum albumin (HSA), were

288 purchased from Sigma–Aldrich. Cannabidiolic acid (CBDA), cannabigerol (CBG), cannabidiol
289 (CBD), cannabinol (CBN), (-)-delta9-THC (d9-THC) and (-)-delta8-THC (d8-THC) were
290 purchased from Lipomed AG (Arlesheim, Switzerland).

291 Ammonium hydroxide solution, formic acid (FA), trifluoroacetic acid (TFA), dithiothreitol
292 (DTT) and trypsin were obtained from Sigma-Aldrich.

293 X-Bridge C18 (5 μm , 150 x 4.6 mm) (A), X-Bridge C18 (3.5 μm , 100 x 4.6 mm) (B) and X-
294 Bridge C18 (2.5 μm , 75 x 4.6 mm) (C) columns were purchased from Waters (Milford, MA,
295 USA). Jupiter C18 (5 μm 300 Å, 150 x 2.0 mm) (D) and Jupiter C18 (3 μm 300 Å, 150 x 2.0
296 mm) (E) columns were purchased from Phenomenex (Torrance, CA, USA).

297

298 3.2 Equipment and software

299 The measurements were performed using a Waters Acquity UPLC™ I-Class system
300 equipped with a binary solvent delivery pump, an autosampler and UV detector and/or
301 fluorescence detector (FL). The system includes a flow through needle (FTN) injection
302 system equipped with 15 μL needle, a 0.5 μL UV flow-cell and a 2 μL FL flowcell. The
303 connection tube between the injector and column inlet was 0.003" I.D. and 200 mm long
304 (active preheating included), and the capillary located between the column and detector was
305 0.004" I.D. and 200 mm long. The overall extra-column volume (V_{ext}) was about 8.5 μL and
306 11 μL as measured from the injection seat of the auto-sampler to the detector cell (UV and
307 FL, respectively). The average extra-column peak variance of our system was found to be
308 around $\sigma_{EC}^2 \sim 0.5 - 3 \mu\text{L}^2$ (depending on the flow rate, injected volume, mobile phase
309 composition and solute). Data acquisition and instrument control were performed by
310 Empower Pro 3 Software (Waters).

311

312 3.3 Chromatographic conditions and sample preparation

313 3.3.1. Apparent plate numbers: Isocratic measurements of parabens and uracil

314 A mix solution containing uracil, methylparaben, ethylparaben, propylparaben and
315 butylparaben was prepared in 80 : 20 v/v water : acetonitrile at 50 µg/mL.

316 For isocratic chromatographic measurements, the mobile phase was composed of 55 : 45 v/v
317 water : acetonitrile. Experiments were performed at a flow rate of 1 mL/min at ambient
318 temperature. Detection was carried out at 254 nm (40 Hz), the injection volume was 5 µL.
319 The plate numbers were measured on three single columns, namely the 5 µm, 150 x 4.6 mm
320 (A), 3.5 µm, 100 x 4.6 mm (B) and 2.5 µm, 75 x 4.6 mm (C) columns, then the columns were
321 coupled in series using 5 cm long (0.175 mm ID) stainless steel tubing and the apparent
322 plate numbers were measured. The following combinations were tested: (1) columns A + B,
323 (2) columns A + C, (3) columns B + C and (4) columns A + B + C.

324

325 3.3.2. Apparent peak widths: gradient measurements of small molecules (mix of
326 cannabinoids)

327 A mix solution containing eleven cannabinoids (i.e. CBDV, CBGA, THCV, CBC, THCA-A,
328 CBDA, CBG, CBD, CBN, d9-THC and d8-THC) was prepared from individual stock solutions
329 diluted in solvent having the same composition as the initial mobile phase (55 : 45 v/v 10 mM
330 ammonium-acetate : acetonitrile) at 90 µg/mL. The individual stock solutions were prepared
331 in either methanol, acetonitrile or ethanol depending on their solubility. Mobile phase "A" was
332 10 mM ammonium-acetate (pH = 5.8), mobile phase "B" was acetonitrile. Linear gradients
333 were run from 45 %B to 100 %B at 1 mL/min flow rate and ambient temperature. The
334 gradient time (t_G) over column length (L) ratio was kept constant ($t_G/L=1$ min/cm) when
335 running gradients on different column lengths (corresponds to e.g. $t_G = 10$ min on 10 cm long
336 column). Detection was carried out at 220 and 254 nm (40 Hz), the injection volume was 10
337 µL. The peak widths (peak capacity) were measured on three single columns, namely on the
338 5 µm, 150 x 4.6 mm (A), 3.5 µm, 100 x 4.6 mm (B) and 2.5 µm, 75 x 4.6 mm (C) columns,
339 and then these columns were coupled in series using 5 cm long (0.175 mm ID) stainless
340 steel tubing, and the apparent peak widths (peak capacity) were measured. The following

341 combinations were used: (1) columns A + B, (2) columns A + C, (3) columns B + C and (4)
342 columns A + B + C.

343

344 3.3.3. Apparent peak widths: gradient measurements of peptides (HSA tryptic digest)

345 Tryptic digestion of human serum albumin (HSA) was carried out as described in a recent
346 protocol [30]. Mobile phase "A" was 0.1 % TFA in water, mobile phase "B" was 0.1 % TFA in
347 acetonitrile. Linear gradients were run from 10 to 70 %B at a flow rate of 0.3 mL/min and 50
348 °C. The gradient time (t_G) over column length (L) ratio was kept constant ($t_G/L=2$ min/cm)
349 when running gradients on different column lengths (corresponds to e.g. $t_G = 30$ min on 15
350 cm long column). Fluorescence detection was carried out at 280 nm as excitation and 350
351 nm as emission wavelengths, the injection volume was 5 μ L. The peak widths (peak
352 capacity) were measured on two widepore columns of 5 μ m, 150 x 2.0 mm (D) and 3 μ m,
353 150 x 2.0 mm (E) columns, then these two columns were coupled in series using 5 cm long
354 (0.175 mm ID) stainless steel tubing, and the apparent peak widths (peak capacity) were
355 measured. The following combinations were used: (1) columns D + E and (2) columns E + D.

356

357 4. Results and Discussion

358 4.1. Apparent plate number in isocratic mode for serially coupled columns

359 As it is possible to couple together two columns possessing different lengths and efficiencies,
360 an informative representation of the apparent plate number (N_{app}) can be obtained when
361 plotting the ratio of N_{app}/N_{sum} (where N_{sum} is the sum of the individual plate counts) as a
362 function of N_1/N_2 (corresponding to the efficiency of the first and second columns,
363 respectively). In this type of representation, various ratios of column lengths (L_1/L_2) can be
364 tested. Figure 1 shows some plots for $L_1/L_2 = 0.75, 1, 1.5$ and 2 (calculations are based on
365 eq 18). As suggested by the theory, all the curves show a maxima ($N_{app}/N_{sum} = 1$), indicating
366 that the highest reachable efficiency with two serially coupled columns is equal to the sum of
367 the individual plate numbers. However, it only occurs at a given ratio of column lengths.
368 When coupling two columns of identical lengths ($L_1/L_2 = 1$) in series, then this maximum

369 occurs when the columns possess identical plate numbers ($N_1/N_2 = 1$). When the first column
370 is twice longer than the second one ($L_1/L_2 = 2$), then the maximum plate number is attained
371 when the first column performs twice as high plate numbers than the second one ($N_1/N_2 = 2$).
372 Similarly, when $L_1/L_2 = 1.5$ and 0.75 , the maximum performance is expected for $N_1/N_2 = 1.5$
373 and 0.75 , respectively. Accordingly, to obtain the maximum efficiency from equal coupled
374 columns configuration, it is required that their plate heights should be the same. In this case,
375 the apparent plate count is the sum of the individual plate counts. In any other case,
376 N_{app}/N_{sum} will be smaller than 1. An important feature of the system is its symmetric property,
377 meaning that the system (or N) is indifferent to the order. One can choose the more efficient
378 column either in the first or the second position, without affecting the global efficiency.

379 **Table 1** shows the measured and calculated plate numbers on single columns (A, B and C)
380 and serially coupled configurations (including two and three columns) for four model
381 compounds (parabens). As shown, the measured and predicted plate numbers are in very
382 good agreement, with a variation between measured and predicted efficiency comprised
383 between -7 and +5 %. **Figure 1** also includes the experimentally measured values which fit
384 quite well with the theoretical curves. As an example, **Figure 2** shows some representative
385 chromatograms of the four parabens separated on three different columns with different
386 lengths and efficiency as well as with the three columns serially coupled.

387 Another interesting aspect is to track the efficiency increase of two serially coupled columns
388 compared to just one of the columns used for this coupling. **Figure 3** illustrates N_{app}/N_1 as a
389 function of N_1/N_2 for three cases, namely for $L_1/L_2 = 0.2$, 1 and 2 with identical column
390 diameters. When $L_1/L_2 = 2$ (the first column is twice as long as the second one), the intercept
391 of the curve corresponds to $N_{app}/N_1 = 2.25$. This means that the maximum efficiency is 2.25
392 times higher vs. that of the first column. It occurs when the second column has infinite
393 efficiency (intercept at $N_1/N_2=0$). In this case, the second column only increases retention
394 times without any effect on band broadening. As illustrated in **Figure 3**, it is not possible to
395 attain higher plate numbers with this setup. On the other hand, if the efficiency of the second
396 column is five times lower than that of the first column, then the apparent plate number of

397 serially coupled columns will be the same as of the first column. When N_1/N_2 is above 5, the
 398 overall efficiency of the coupled system is lower than the efficiency of the first column. This
 399 means that it is possible to combine two HPLC columns that finally generate lower resolution
 400 than that offered by the most efficient column alone. This counter instinctive consequence is
 401 analogous to the band broadening effect due to the extra column contributions. Similarly,
 402 when $L_1/L_2 = 1$, the maximum achievable efficiency is four times higher than that of the first
 403 column, while if the efficiency of the second column is at least three times lower than the first
 404 column, then no increase in efficiency is obtained when coupling these two columns. Finally,
 405 when the first column is very short compared to the second column ($L_1/L_2 = 0.2$) and the
 406 second column has very high efficiency (infinite) then $N_{app}/N_1 = 36$ can be attained when
 407 coupling the columns.

408 In general, when efficiency of the second column is infinite, the apparent plate number of the
 409 two-column system becomes:

$$410 \quad N_{app} = N_1 \left(\frac{1+\lambda}{\lambda} \right)^2 \quad (50)$$

411 The condition when additional gain of efficiency can be obtained by coupling two columns is:

$$412 \quad \nu < 1 + 2\lambda \quad (51)$$

413 or similarly,

$$414 \quad \xi > \frac{\lambda}{1+2\lambda} \quad (52)$$

415 where $\nu = N_1/N_2$, $\lambda = L_1/L_2$, and $\xi = H_1/H_2$.

416 Accordingly, additional gain of efficiency and resolution is possible by coupling two HPLC
 417 columns only if the column plate heights do not differ too significantly.

418

419 4.2. The evolution of peak width and peak capacity in gradient mode for serially coupled
 420 columns

421 In gradient elution mode, the order of the columns is concerned, and the observed apparent
 422 efficiency strongly depends on the order of the columns (non-symmetrical system). An
 423 illustration is given in **Figure 4**. Assuming two columns (with the same internal diameter) with

424 plate heights, $H = 10 \mu\text{m}$ and $H = 40 \mu\text{m}$, respectively coupled in series. The peak width will
425 evolve in different ways depending on the column order and length of the individual
426 segments (the different plate heights were assumed to mimic columns of different batches or
427 the combination of old and new columns). The continuous lines in **Figure 4** show the peak
428 widths for coupled columns possessing different efficiencies as a function of the position of
429 the solute (z) along its travel. The dashed lines correspond to columns having either $H = 10$
430 μm or $H = 40 \mu\text{m}$ efficiency along its entire length (10 cm) – as reference values.

431 **Figure 4A** shows the case where two segments of 5 cm are coupled at a moderate gradient
432 steepness ($p=1$). When placing the more efficient column in the first position and the less
433 efficient one in the second position (continuous red line) – as expected – the peak will
434 broaden drastically after entering the second (less efficient) column as the band broadening
435 caused by dispersion and diffusion processes becomes more important. However, when
436 having the less performing column in the first position and the most efficient column in the
437 second position (continuous blue line), interestingly the peak width will decrease
438 continuously during the travel of the solute along the second column (“peak sharpening”). It
439 suggests that the gradient band compression effect outperforms the dispersive and diffusive
440 effects in the second column as the more efficient column offers much lower H value than the
441 first column. If the second - more efficient - column is very long compared to the first one, the
442 peak width will approach the limiting value theoretically obtained only with the more efficient
443 column - indeed, the dashed line (single column with maximal efficiency) is an asymptote of
444 the solid line (coupled system), that are equal in the large z limit.

445 **Figure 4B** represents a situation where the column lengths are different. The first one is four
446 times shorter than the second one. When placing the better column in the first position, then
447 a trend similar to that of **Figure 4A** can be seen. However the coupled system approaches
448 faster its limit (see the dashed and continuous red lines) because at the beginning of the
449 solute’s travel along the column, the gradient compression effect is stronger than later during
450 the travel (e.q. 38). When putting the more efficient column as the second one, then no band
451 broadening occurs in the second column, and the peak width remains more or less constant

452 whilst the solute is traveling through the second column (continuous blue line). It suggests
453 that the gradient band compression effect nearly compensates the band broadening caused
454 by dispersion and diffusion processes. Please note that the differences between the coupled
455 systems – with columns possessing different efficiencies - were larger in this case compared
456 to the situation where the lengths were identical (see the differences at $z = 10$ cm between
457 the continuous blue and red lines in Figures 4A and 4B).

458 Finally, figure 4C corresponds to a situation with two columns of 5 cm – similarly to Figure 4A
459 – but for a steeper gradient ($p = 10$). The trends were similar as the ones observed in Figure
460 4A, but as expected the gradient focusing effect was more important, and therefore the total
461 peak width was smaller. When placing the better column in the second position (continuous
462 blue line), the speed of peak compression was faster on the second column compared to the
463 case where a flatter gradient was applied.

464 To verify the theory developed for predicting the peak width in gradient mode, two sets of
465 compounds were analyzed using serially connected columns having different particle sizes
466 and lengths. Figure 5 shows the separations of 11 cannabinoids on three different individual
467 columns and on different combinations of two or three columns, as selected examples. Table
468 1 contains the experimentally measured and predicted peak widths for the first and last
469 eluting peaks. The peak width prediction for serially coupled columns was based on the peak
470 widths measured on the individual columns. In particular, values for single column
471 efficiencies were retrieved from direct measurements of peak width. These efficiencies were
472 then used as the input for the coupled formula (e.q. 49). The measured and calculated widths
473 were in very good agreement, as the average error in prediction was about 5-6 %.

474 Another experimental verification was performed by injecting HSA tryptic digest on two
475 individual widepore columns packed with 5 and 3 μm particles and on the combination of
476 these two columns in different orders (Figure 6). Larger molecules (peptides) possess higher
477 S values, therefore it was interesting to check the validity of the model calculations for such
478 molecules. The peak widths of the three most intense (and well separated) peaks was
479 predicted for the coupled systems from the widths on the single columns. Again, very good

480 agreement was found between experimentally observed and calculated peak widths (Table
481 3), as the average error in prediction was about 6 %. The results confirm the importance of
482 the columns order as the order “D + E” always gave thinner peaks than “E + D” (both for
483 predicted and measured peak widths).

484

485 **5. Conclusions**

486 The serially coupled columns approach has an intrinsic advantage as it offers an additional
487 separation factor (the column length). In most cases, the column length is increased by
488 coupling columns packed with the same material (i.e. stationary phase and particle size). In
489 this case, the plate number observed with the coupled column system is the sum of the plate
490 counts observed on the individual column segments. However, it may happen that the
491 individual columns do not have identical efficiency (different batch, different lifetime and
492 antecedents, or different packing quality which is well-known to be dependent on column
493 length and diameter). Therefore, coupling columns with different efficiencies in series raises
494 some questions: (1) What will be the final apparent efficiency?, (2) What is the maximum
495 efficiency that can be reached?, and (3) Does the column order play a significant role?

496 Theory was developed for both isocratic and gradient modes, to predict the peak widths for
497 coupled column systems. In isocratic mode, the plate numbers are not additive anymore
498 when the columns possess different plate count, and kinetic performance has a limiting value
499 which depends on the efficiency and length of the individual columns.

500 Furthermore, in gradient elution mode, the order of the columns is not indifferent. Indeed, the
501 observed apparent efficiency significantly depends on the column order (non-symmetrical
502 system). In combinations, when the latter column has higher efficiency, a decrease of the
503 peak width is predicted (“peak sharpening”), when the solute travels this segment. This
504 means that the gradient band compression effect compensates and outperforms the
505 competing band broadening caused by dispersive and diffusive processes. Therefore, the
506 columns should be placed in order of increasing efficiency.

507 Experimental measurements have been performed in both isocratic and gradient modes to
508 verify the developed theory. Very good agreement was found between measured and
509 calculated peak widths.

510 To conclude for serially coupled column systems in gradient mode, besides the total length of
511 the coupled column, additional important factors are the order and lengths of the individual
512 segments which must be considered when optimizing a gradient separation.

513

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611 chemical sample preparation, *J. Chromatogr. B* 1060 (2017) 325-335.

612

613

614 Table 1.

615

column	L (mm)	N							
		methylparaben		ethylparaben		propylparaben		butylparaben	
		measured	predicted	measured	predicted	measured	predicted	measured	predicted
A	150	15111	-	14911	-	14763	-	15070	-
B	100	6066	-	6329	-	6512	-	6889	-
C	75	11509	-	12046	-	12478	-	12681	-
A+B	250	19388	19920	19792	20233	19863	20427	20956	21225
A+C	225	24744	25598	24955	25621	25599	25635	25902	26414
B+C	175	14406	14329	15301	14961	15921	15417	16440	16160
A+B+C	325	27857	29128	28757	29704	29256	30088	30690	31174

616

617 Table 2.

618

column	L (mm)	peak 1		peak 11		Rs crit 9.10	peak capacity
		$w_{1/2}$	$w_{1/2}$	$w_{1/2}$	$w_{1/2}$		
		measured (min)	predicted (min)	measured (min)	predicted (min)		
A	150	0.0571	-	0.0715	-	0.69	134
B	100	0.0666	-	0.0771	-	0.55	83
C	75	0.0375	-	0.0399	-	0.57	119
B+A	250	0.0909	0.0993	0.1031	0.1162	0.88	144
C+A	225	0.075	0.0698	0.0838	0.0870	0.99	159
C+B	175	0.0852	0.0861	0.0964	0.0994	0.57	110
A+B+C	325	0.1054	0.0957	0.1098	0.1084	1.00	167

619

620

621 Table 3.

622

column	L (mm)	peak 1		peak 2		peak 3		peak capacity
		$w_{1/2}$	$w_{1/2}$	$w_{1/2}$	$w_{1/2}$	$w_{1/2}$	$w_{1/2}$	
		measured (min)	predicted (min)	measured (min)	predicted (min)	measured (min)	predicted (min)	
D	150	0.0697	-	0.0703	-	0.0781	-	240
E	150	0.0592	-	0.0559	-	0.0525	-	312
D + E	300	0.0918	0.0857	0.0849	0.0819	0.088	0.0797	388
E + D	300	0.0923	0.0968	0.095	0.0971	0.0966	0.1066	362

623

624

625 **Figure captions**

626

627 Figure 1. N_{app}/N_{sum} (relative apparent efficiency of the coupled system) as a function of N_1/N_2
628 (ratio of individual column efficiency) for various column length ratios ($L_1/L_2 = 0.75, 1, 1.5$ and
629 2).

630

631 Figure 2. Experimentally obtained chromatograms of a mixture of uracil and 4 parabens on
632 columns A, B and C and on serially connected columns A+B+C in isocratic mode. The
633 mobile phase was composed of 55 : 45 v/v water : acetonitrile. Experiments were performed
634 at a flow rate of 1 mL/min at ambient temperature. Detection was carried out at 254 nm (40
635 Hz), the injection volume was 5 μ L. Peaks: uracil (t_0), methylparaben (1), ethylparaben (2),
636 propylparaben (3) and butylparaben (4).

637

638 Figure 3. N_{app}/N_1 (apparent efficiency compared to the first column) as a function of N_1/N_2
639 (ratio of individual column efficiency) for various column length ratios ($L_1/L_2 = 0.2, 1, \text{ and } 2$).

640

641 Figure 4. The evolution of peak variance (σ_z) along the column (z) for a system composed of
642 two columns coupled in series. Three cases **named A to C** are reported, corresponding to
643 different segment lengths and gradient steepness, considering $H = 10 \mu\text{m}$ and $40 \mu\text{m}$. Please
644 note that time based peak width as a practical measure of band broadening can be obtained
645 at the total length by $= \frac{\sigma_z(L)}{u_0}$.

646

647 Figure 5. Experimental chromatograms of cannabinoids mixture on columns A, B and C and
648 on serially connected combinations in gradient mode. Linear gradients were run from 45 to
649 100 %B at 1 mL/min and ambient temperature. The gradient time (t_G) over column length (L)
650 ratio was kept constant ($t_G/L=1 \text{ min/cm}$) when running gradients on different column lengths.
651 Detection was carried out at 254 nm (40 Hz), and injection volume was 10 μ L.

652

653 Figure 6. Experimental chromatograms of HSA tryptic digest on columns D and E and on
654 serially connected "D+E" and "E+D" combinations in gradient mode. Linear gradients were
655 run from 10 to 70 %B at 0.3 mL/min and 50 °C. The gradient time (t_G) over column length (L)
656 ratio was kept constant ($t_G/L=2 \text{ min/cm}$) when running gradients on different column lengths.
657 Detection was carried out at 280 nm as fluorescence excitation and 350 nm as fluorescence
658 emission wavelengths, and injection volume was 5 μ L.

659

660

661 **Table captions**

662

663 Table 1. Measured and predicted plate numbers for parabens in isocratic mode on three
664 individual columns and on their different combinations. (Predictions are based on eq. 18 for
665 two columns and 12 for three columns.)

666

667 Table 2. Measured and predicted peak widths for cannabinoids in gradient elution mode on
668 three individual columns and on their different combinations. The obtained critical resolution
669 and peak capacity are also shown. (Predictions are based on eq. 47-49.)

670

671 Table 3. Measured and predicted peak widths for peptides obtained in gradient elution mode
672 on two individual columns and on their combinations. The obtained peak capacity is also
673 indicated. (Predictions are based on eq. 47-49.)

674

Figure

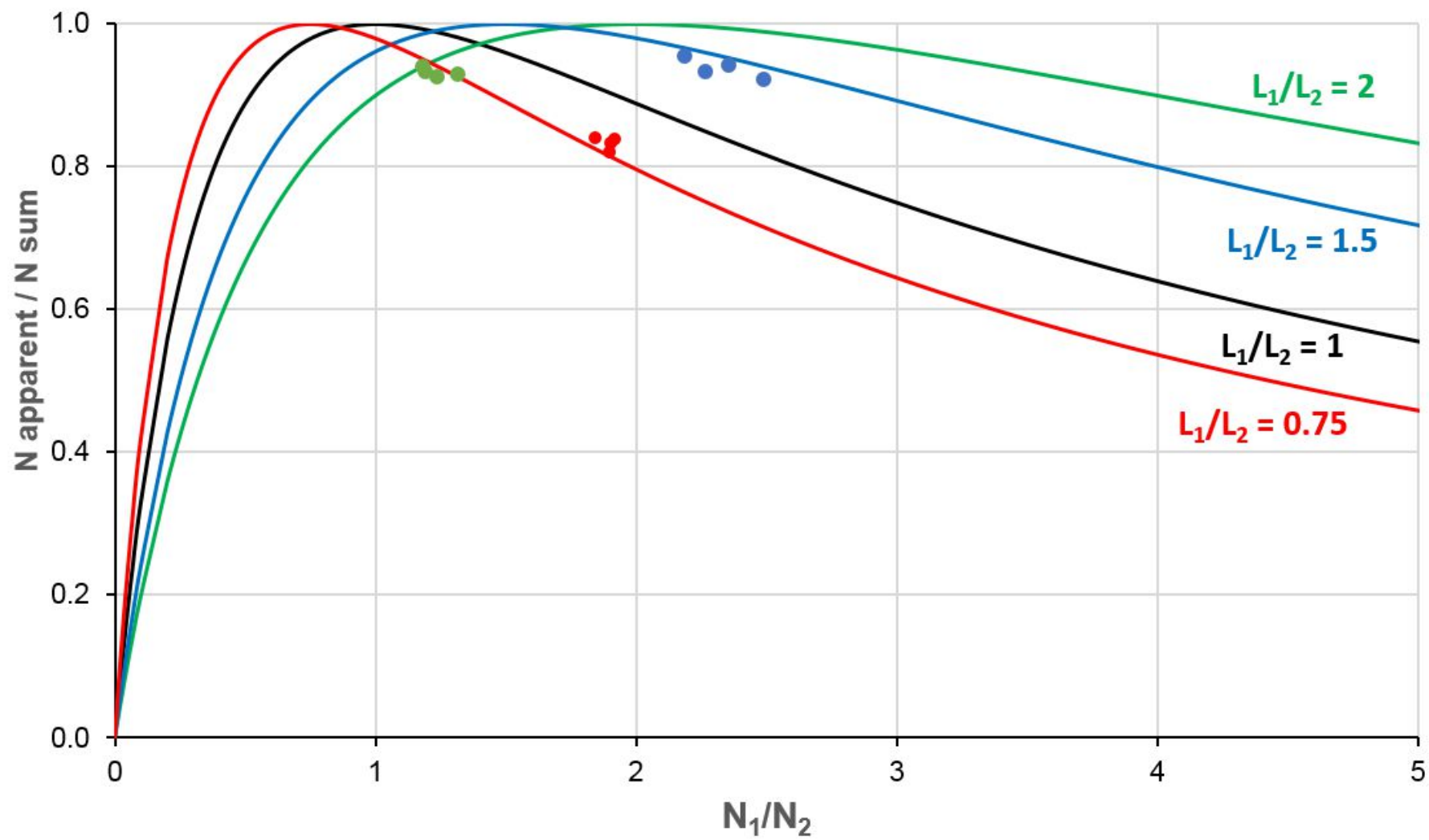


Figure 1

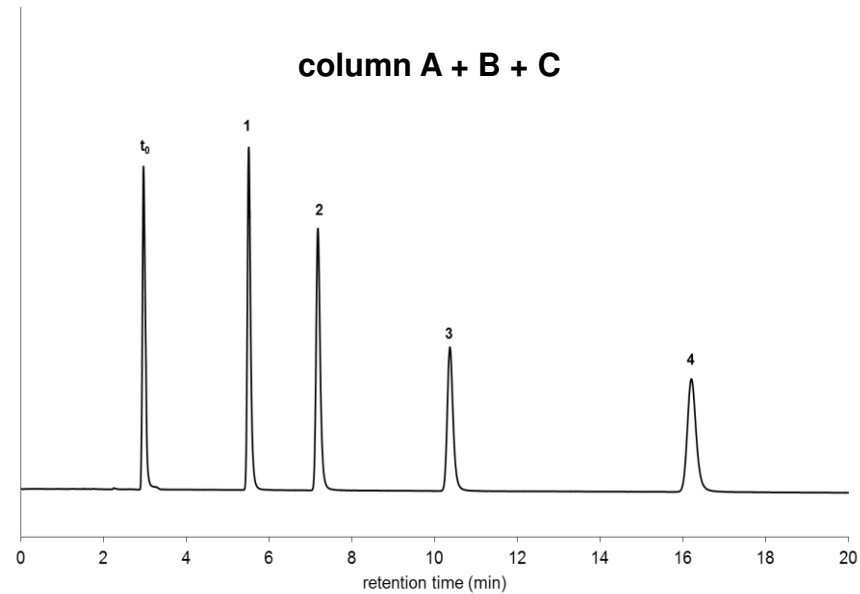
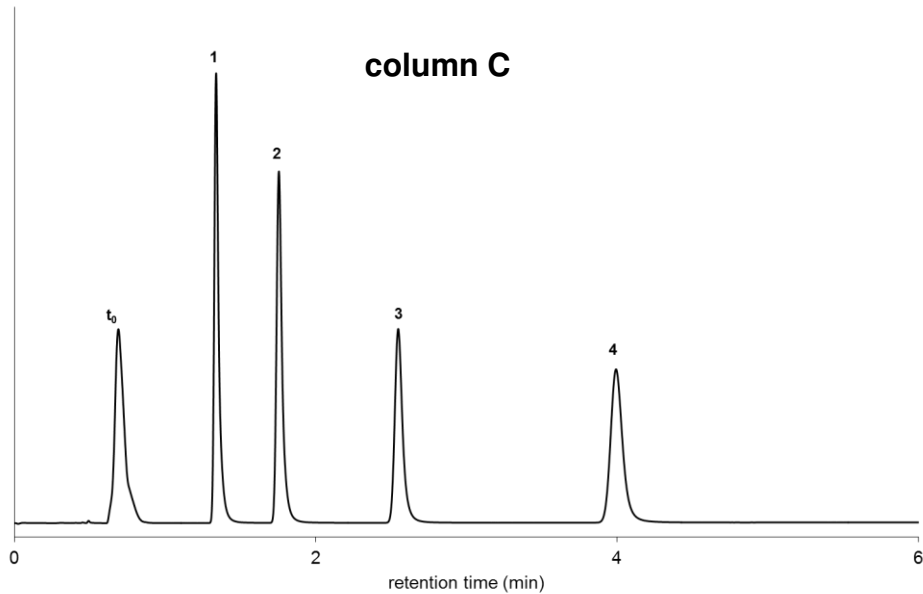
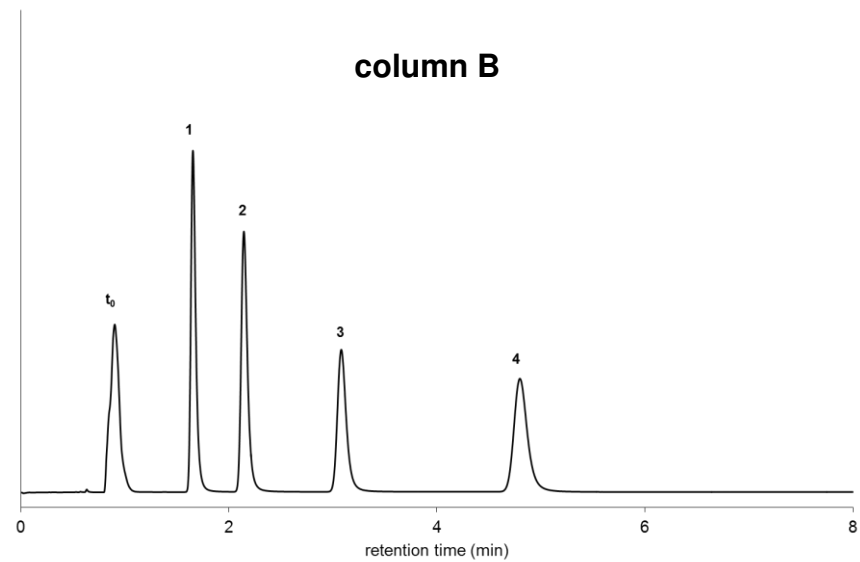
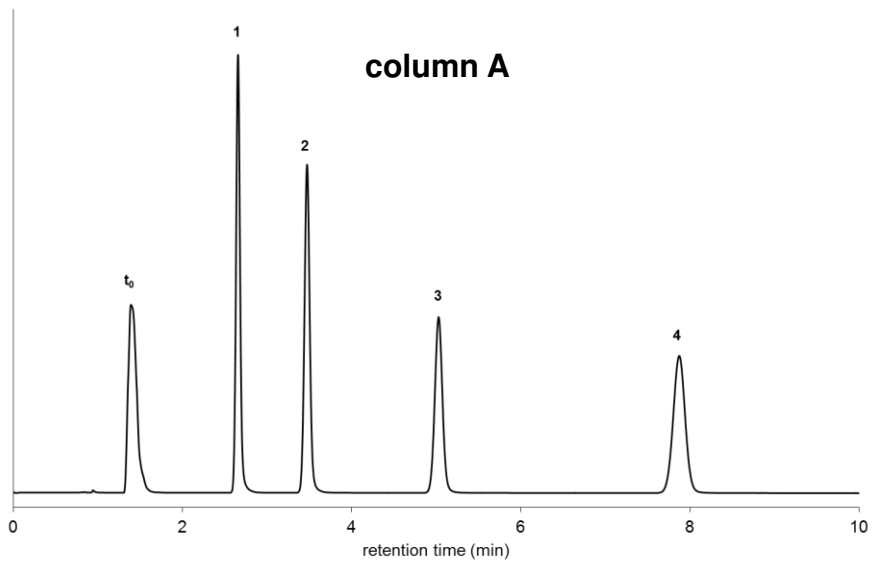


Figure 2

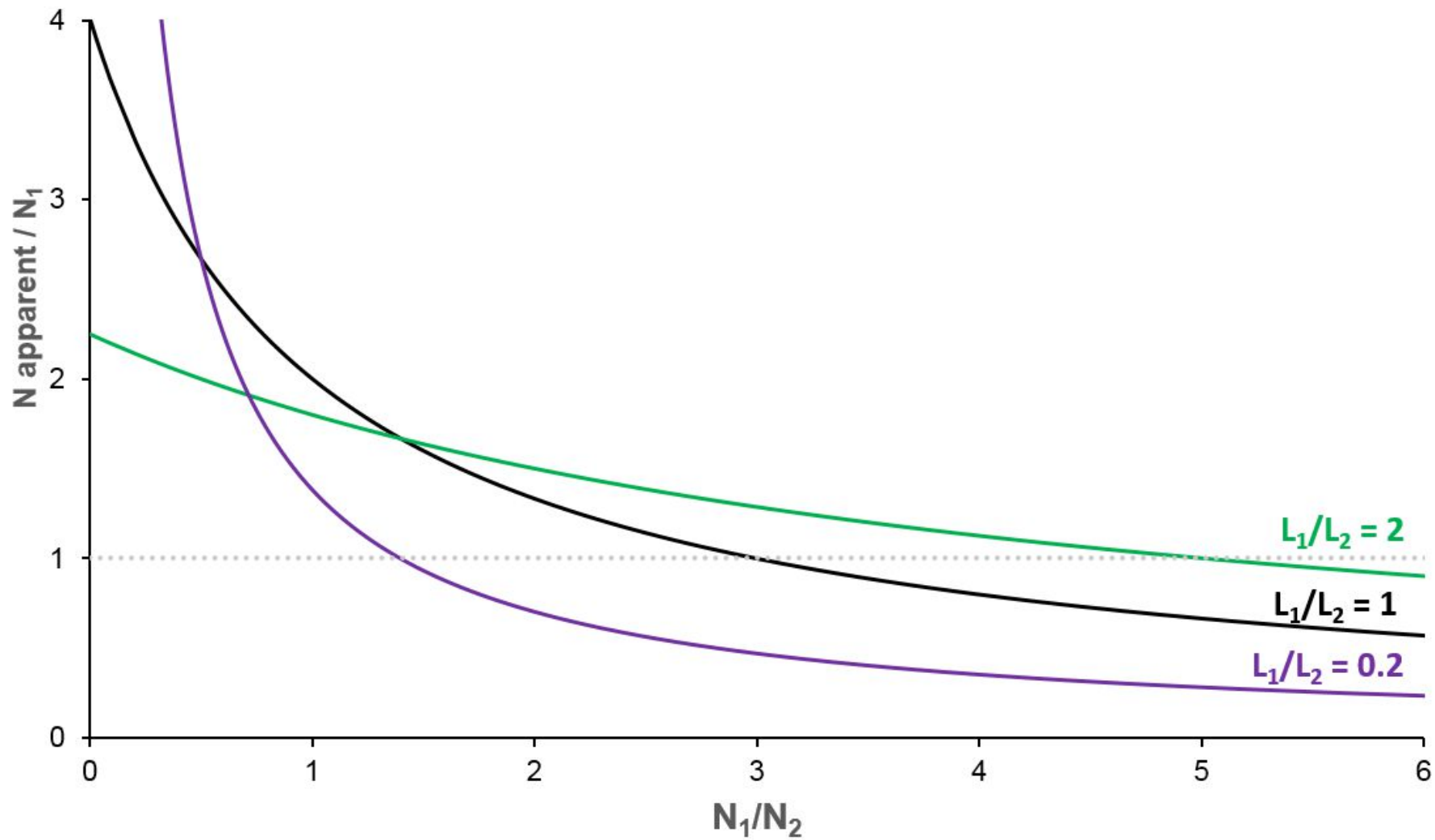


Figure 3

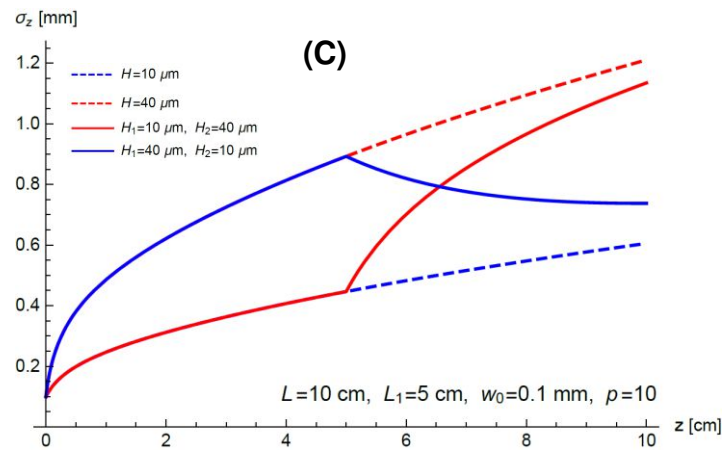
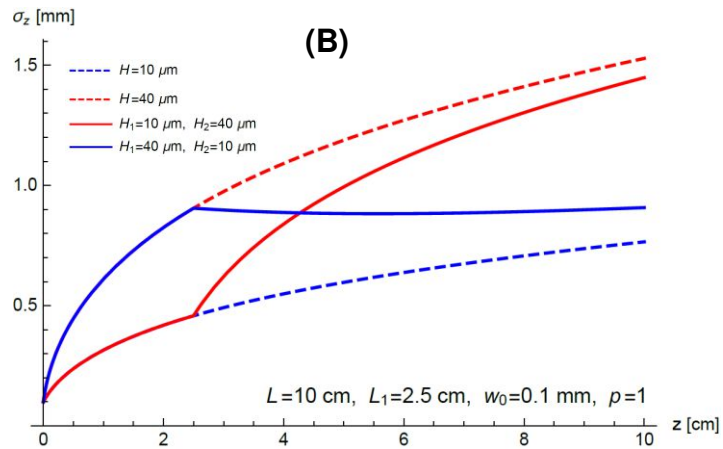
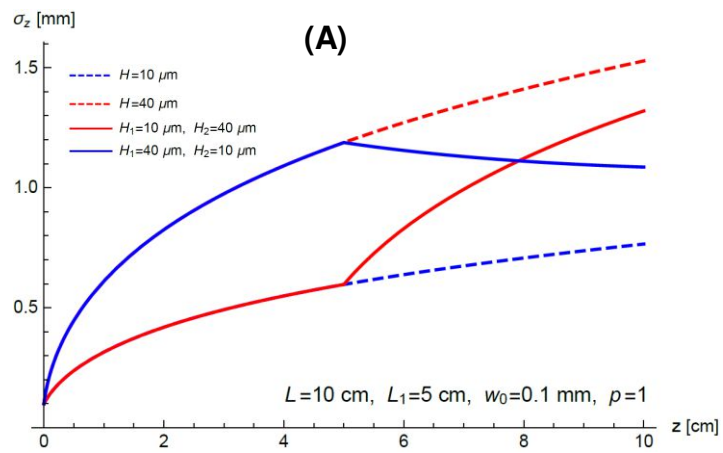


Figure 4

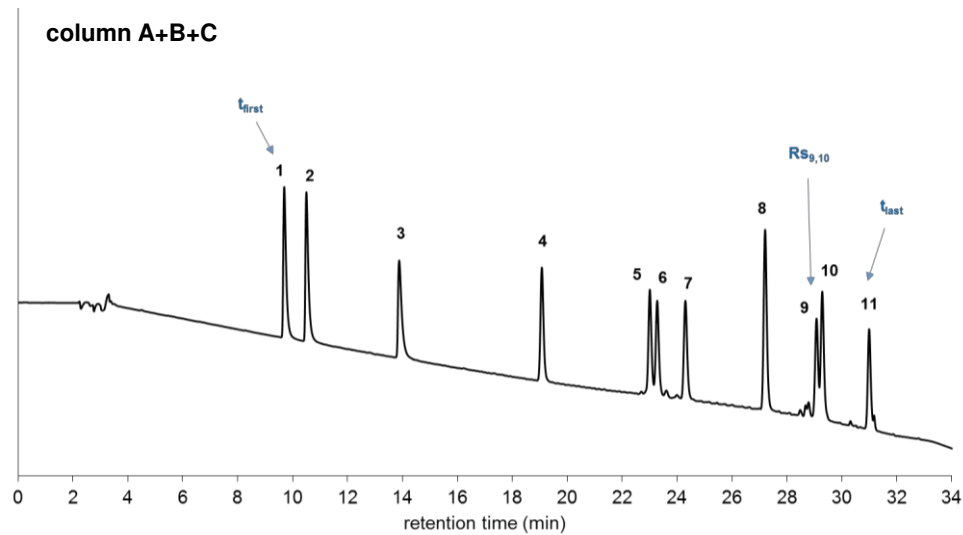
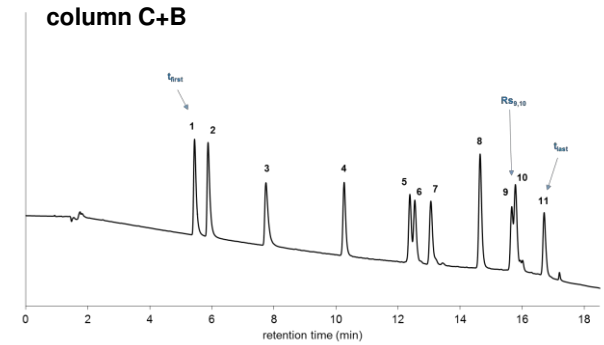
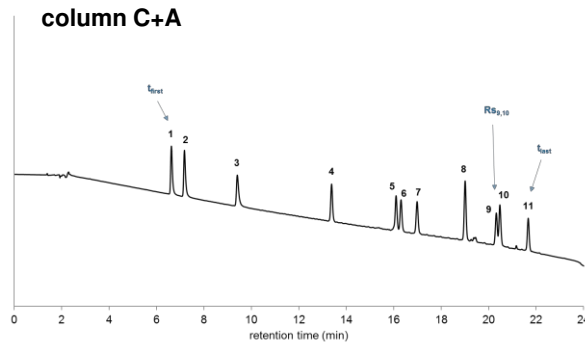
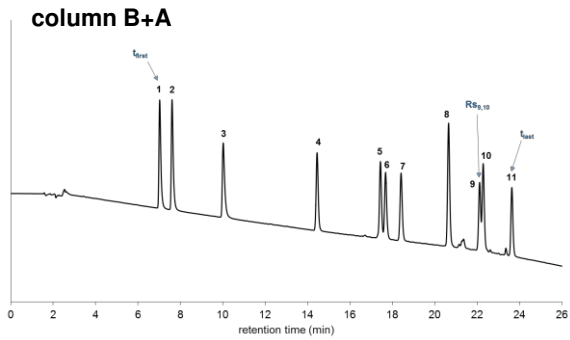
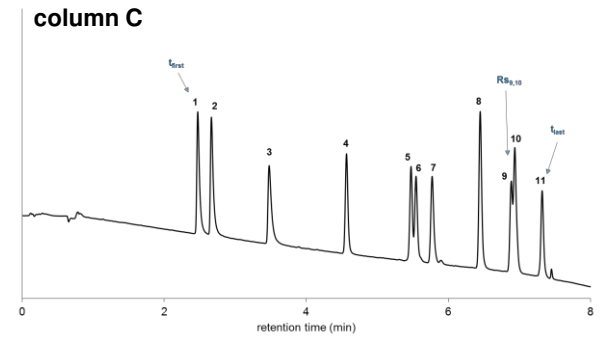
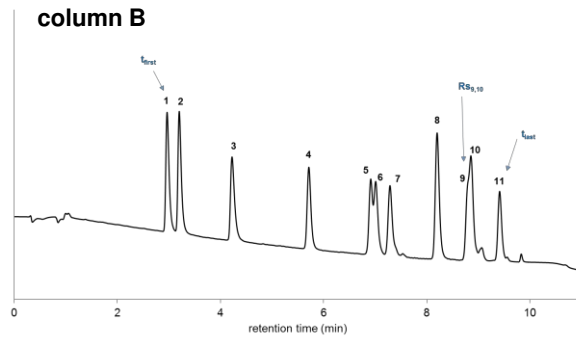
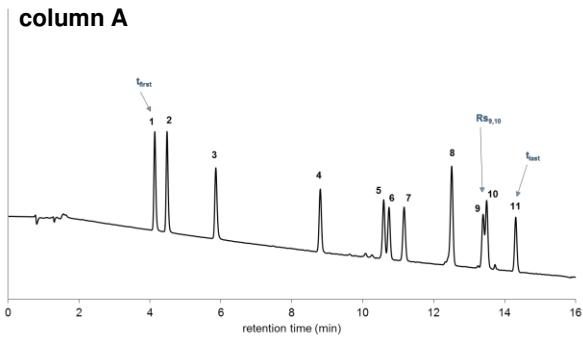
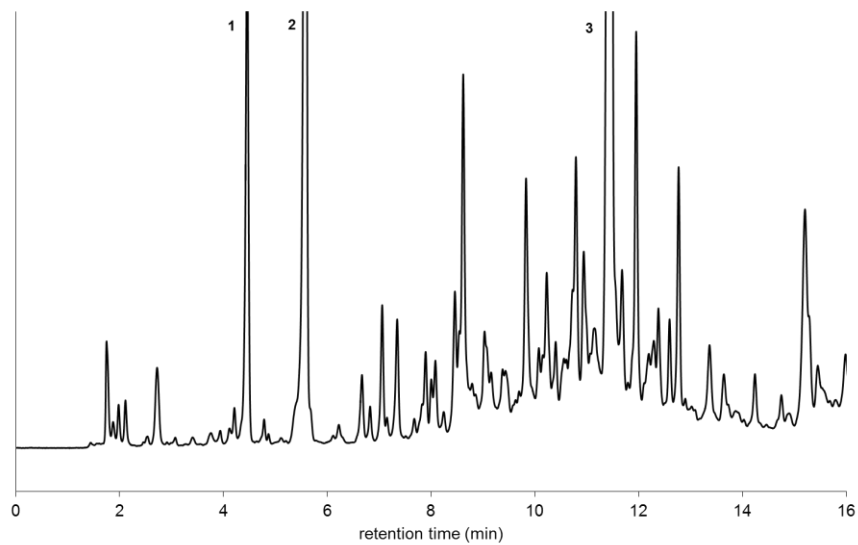
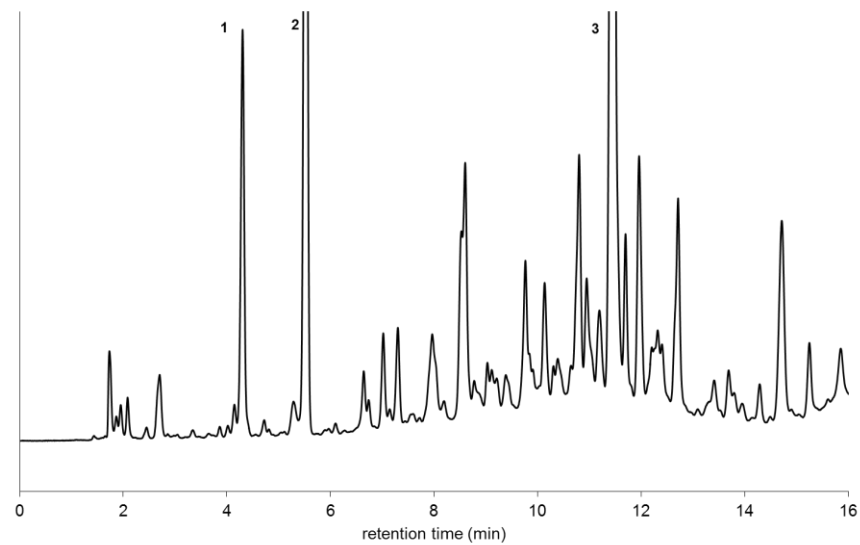


Figure 5

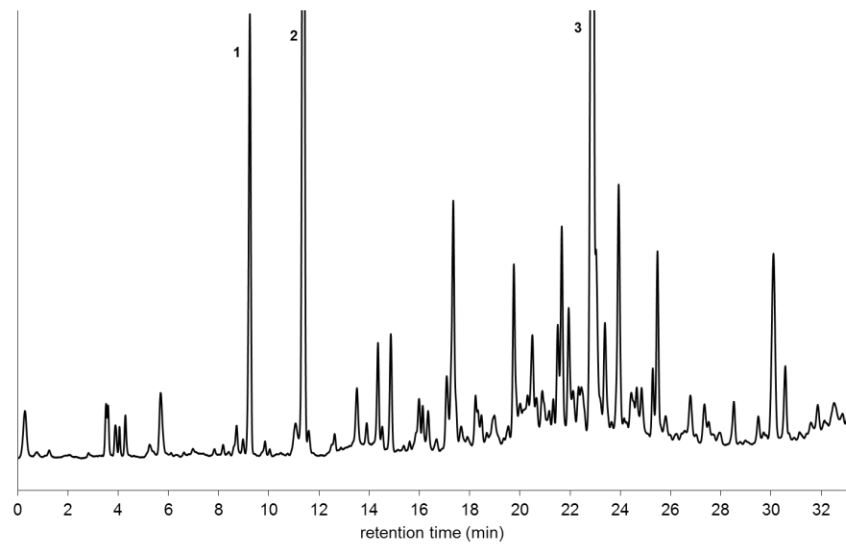
column D



column E



column D + E



column E + D

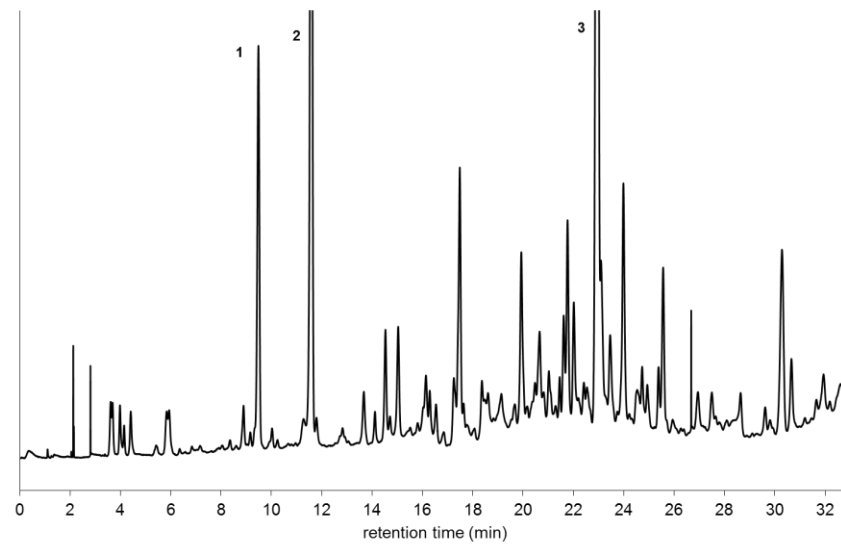


Figure 6