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# Orthodox Core-Heterodox Periphery? Contrasting Citation Networks of Economics Departments in Vienna

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## Orthodox Core-Heterodox Periphery? Contrasting Citation **Networks of Economics Departments in Vienna**

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#### **ABSTRACT**

The notion of an 'orthodox core-heterodox periphery' structure and the extent of interdisciplinary links have been widely discussed, and partially investigated bibliometrically, within economic discourse. We extend this research by applying tools from social network analysis to citation data of three economics departments located in Vienna, two mainstream and one non-mainstream, to assess their relative citation patterns. We show that both mainstream economics departments follow the asserted core-periphery pattern and have a mono-disciplinary research focus, while the citation network of the non-mainstream department has a polycentric structure and is both more heterodox and interdisciplinary. These findings suggest that discussions about the future of heterodox economics should pay more attention to the organizational level and seek allies from other disciplines.

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Heterodox economics; interdisciplinarity; social network analysis; bibliometrics; Vienna

A14; A12; A20; B50

#### 1. Introduction

Throughout its history, economics has experienced episodes of pluralism and episodes of a dominant orthodoxy. What is considered heterodox and orthodox has varied both with time and location (Davis 2008). The last major paradigmatic shift occurred around the 1970s. Marked by the collapse of the Bretton Woods system, the end of the Fordist post-war order brought a profound societal transformation that was accompanied by pronounced changes in economics. States were increasingly seen as inefficient and labor unions were blamed for stagflation, which was conceived, at the time, as a crisis of the still influential post-Keynesian policy framework (Stockhammer and Ramskogler 2012). Since then, a dominant orthodoxy in economics has developed that is characterized by a formal, econometric approach and a broadly neoclassical framework. Once a paradigm becomes dominant, network effects lead to positive-feedback processes that create path dependencies and stabilize the discipline's orthodoxy (Sterman and Wittenberg 1999). Not departing too far from the dominant paradigm may become essential to obtain an academic position, be granted research funds and achieve publication.

Evaluative citation metrics further amplify the existing modes of hierarchy-formation in science (Dobusch and Kapeller 2009). As a result, heterodox economics has been losing ground within the economics discourse as well as within universities (Lee 2004; Colander 2009). In part, heterodox economists have themselves fueled this process through a 'paradigmatic self-marginalization' (Dobusch and Kapeller 2012a, p. 470). While heterodox schools of thought interact little with each other, they strongly reference the mainstream. In the institutional setting of economics as a discipline, where citationbased metrics and rankings play an increasingly important role, this contributes to the dominant position of the mainstream (Kapeller 2010).

Some heterodox ideas, however, have penetrated the field's orthodoxy over the last few years. New research programs including approaches such as complexity economics, behavioral economics, evolutionary economics or neuro-economics have emerged. Contrary to the 'traditional heterodoxy', as described above and incorporating, for instance, post-Keynesian, Marxist or institutionalist thought, this new 'mainstream heterodoxy' (Davis 2008), 'inside-the-mainstream' heterodoxy (Colander, Holt, and Rosser 2004, 2010) or 'mainstream dissent' (Dobusch and Kapeller 2012b) is oriented towards the core of the discipline and largely remains within its formal econometric conventions. It tends to pursue a reform of the core orthodox principles, which still serve as a benchmark, rather than fundamentally challenging or rejecting them.

Many authors have described this relation between heterodoxy and orthodoxy as a core-periphery pattern with insiders and outsiders (e.g., Colander, Holt, and Rosser 2004, 2007, 2010; Davis 2007, 2008; Cronin 2010; Cedrini and Fontana 2015).

The field's core principles and concepts are defined as orthodox and also suppress reference to periphery principles, while the field's heterodox periphery is defined in terms of principles and concepts explicitly seen to lie outside the core and yet which also bear some relationship to the boundaries of the field as a whole. (Davis 2008, p. 355)

This core-periphery pattern of the paradigmatic discourse structure of economics is schematically illustrated by Dobusch and Kapeller (2012b). They suggest that economics is made up of a mainstream core with a neoclassical orthodoxy at its center, a heterodox periphery and mainstream dissenters operating near the core's edge (Figure 1).

While the paradigmatic structure of the discourse in economics has received much attention, much of the literature has relied solely on a verbal and (to a lesser extent) statistical description. So far, only a few attempts have been made to empirically investigate whether economics follows an 'orthodox core-heterodox periphery' pattern.

Furthermore, Davis (2007) argues that, next to this 'internal' structure of the discourse, the 'external' structure—i.e., the openness to other disciplines—is also directly linked to this core-periphery pattern. According to Davis, all imports into economics from other sciences are initially heterodox and may contribute to a broader change of the discipline. However, Pieters and Baumgartner (2002), as well as Fourcarde, Ollion, and Algan (2015), in their much-discussed article on the superiority of economics, suggest that the discourse in economics relates less to other social sciences than its sister disciplines. They argue that economists generally tend to hold other disciplines and interdisciplinary knowledge in low esteem. The majority of economics professors reject the statement, 'in general, interdisciplinary knowledge is better than knowledge obtained by a single discipline' (Fourcarde, Ollion, and Algan 2015, p. 95), whereas the opposite is true for professors from other social science disciplines (Gross 2013). Similarly, according to Varga (2011), the citation patterns of economics are significantly more concentrated than those of sociology, in line

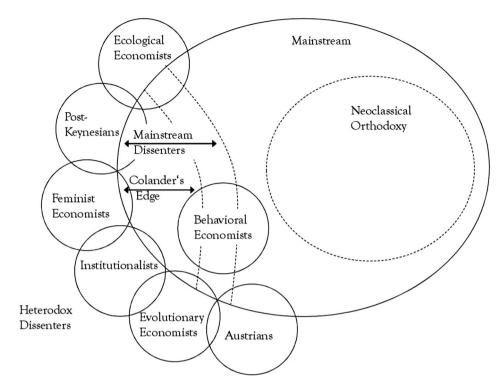


Figure 1. Paradigms in the economic discourse (Dobusch and Kapeller 2012b).

with the more mono-paradigmatic and less interdisciplinary structure of economics. In contrast, Dolfsma and Leydesdorff (2008) find strong links to other disciplines for a set of heterodox journals.

All this prior research has focused on the economics discipline as a whole. Little is known about the discourse structure at the organizational level of university departments. In this article, we provide a case study to assess the economic discourse structure at the department level by applying bibliometric methods and social network analysis to journal citations for three economics departments, two mainstream and one non-mainstream, located in Vienna. We investigate two hypotheses about the patterns of communication at these departments that follow from the literature. First, we expect the mainstream-oriented economics departments to display citation patterns that follow an 'orthodox core-heterodox periphery' structure as asserted for the discipline as a whole, while the non-mainstream oriented Department of Socioeconomics (SocEcon-WU) is likely not to exhibit such a core-periphery structure or a pronounced dominance of orthodox journals in the network.

We distinguish between heterodox and orthodox journals following Lee et al. (2010) and are thereby able to compare the citation networks to Dobusch and Kapeller's (2009) paradigmatic map. Second, the literature suggests that mainstream economics tends to have a mono-disciplinary focus, whereas heterodox economics tends to display strong links to other disciplines. Therefore, we expect the economics departments' citation networks to have few interdisciplinary links, whereas we expect the SocEcon-WU's



citation network to include a broad variety of disciplines. We use community analysis to identify thematic clusters in the network to complement this analysis.

In Section Two, we introduce the case study approach, the bibliometric methods, the tools of social network analysis and give an overview of the data. In Section Three, the findings from the bibliometric, citation network and community analyses are presented. Section Four discusses the findings and Section Five draws conclusions about the role of heterodoxy in economics, the core-periphery hypothesis and interdisciplinarity at the organizational level.

#### 2. Research approach

#### 2.1. Case study

The case study focuses on the citation patterns of three Viennese university departments engaged in economic research. Following the classification by Thomas (2011), the object is to test the hypothesis of an 'orthodox core-heterodox periphery' structure in economic discourse and the extent of interdisciplinary links. The case study is theory-testing (Eckstein 1975; George and Bennett 2005) because it assesses whether the postulated discourse structure can be observed at the department level.1

Vienna provides an especially interesting setting within which to investigate these aspects. There are two mainstream-oriented departments of economics (Econ), one at the University of Vienna (UV) and one at the Vienna University of Economics and Business (WU). In recent years both of these departments have been criticized for the dominance of mainstream economics in their teaching and research agendas, which has led students to found the Society for Pluralist Economics Vienna (Gesellschaft Plurale Oekonomik Wien 2015). Dissatisfied with the narrow foci of these departments, the students participated in the ISIPE Open Letter for Pluralism in Economics and hosted a conference on pluralist economics at the WU in April 2015, with more than 300 participants.<sup>2</sup> Alongside these departments is the Department of Socioeconomics (SocEcon) at the WU, founded with a strong motivation to foster interdisciplinary and holistic economic research addressing 'real-world' problems (Lenoble 2010). The non-mainstream camp at the WU was further strengthened by the introduction of two new Master's programs: Socioeconomics (in 2010) and Socio-Ecological Economics and Policy (in 2012). These three departments in Vienna allows a comparative assessment of the citation patterns of mainstream and non-mainstream departments that are subject to a similar or even the same institutional framework and thus a testing of the core-periphery hypothesis and the extent of interdisciplinary links.<sup>4</sup>

<sup>&</sup>lt;sup>1</sup>The case study is a snapshot at one point in time (February 2015). The focus lies on an institutional rather than a temporal juxtaposition of events. We chose this case for its great potential to exemplify the object as suggested by Thomas (2011, p. 514), who, in contrast to Yin (2014), argues that typicality and representativeness constitute inadequate grounds for the selection of a case.

<sup>&</sup>lt;sup>2</sup>International Student Initiative for Pluralism in Economics.

<sup>&</sup>lt;sup>3</sup>The Master of Socioeconomics succeeded the old diploma program in Socioeconomics, as part of the Bologna reforms of the Austrian higher education system.

<sup>&</sup>lt;sup>4</sup>Moreover, the current state of economics in Vienna is of interest because historically it has been a prominent site for diverse economic schools of thought. It was home to economists such as Böhm-Bawerk, Wieser, Schumpeter, Menger, Mises, Polanyi, Lazarsfeld, Jahoda, Hayek, Rothschild, Morgenstern and Steindl (Rothschild 1999; Screpanti and Zamagni 2005; Guger and Walterskirchen 2012).

<b>Table 1.</b> Publications	by	department	and	journal	category.
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		SocEcon-WU		Eco	n-WU	Econ-UV		
		Number	% of total	number	% of total	Number	% of total	
Publications	0	16	9.9	106	57.9	144	65.8	
	Н	27	16.8	14	7.7	24	11.0	
	N	118	73.3	63	34.4	51	23.3	
	T	161	100	183	100	219	100	

O – Orthodox, H – Heterodox, N – Non-categorized, T – Total.

At the time of data collection, the two departments at the WU were of similar size. In total, 83 post-doctoral researchers and professors worked in each department. The economics department at the University of Vienna was smaller and included 31 researchers. The journals in which the researchers published vary significantly between departments. We used Lee et al.'s (2010) classification of 254 major economics journals to assign journals to a heterodox, an orthodox or a non-categorized group, respectively. The Econ-WU published 58 percent of its articles in orthodox journals and 8 percent in heterodox journals. At the Econ-UV, 66 percent of articles were published in orthodox journals and 11 percent in heterodox journals. In contrast, the SocEcon-WU published only 10 percent of its articles in orthodox journals and 17 percent in heterodox outlets. The share of articles published in non-categorized journals, which include journals from other disciplines, amounted to 73 percent at the SocEcon-WU, 34 percent at the Econ-WU and 23 percent at the Econ-UV (Table 1).

The publication patterns suggest that both economics departments are mainstreamoriented, which reinforces the criticism leveled by students. The SocEcon-WU's publication patterns, with a larger share of articles published in heterodox and non-categorized journals, is congruent with the department's goal of pursuing critical and interdisciplinary approaches. This different orientation of the departments constitutes an adequate basis on which to investigate similarities and differences in the discourse structure between the two mainstream departments and the SocEcon-WU, as well as the links to other disciplines of heterodox and orthodox economics.

#### 2.2. Research method

To test the hypotheses regarding the existence of a core–periphery pattern and the extent of interdisciplinary links at the department level, we combine cognitive bibliometrics and social network analysis. Bibliometrics is used to calculate impact factors for journals and publications and thereby has an evaluative function. It is, nonetheless, also a tool for describing patterns of communication within science. This type of cognitive bibliometrics, as coined by Rip and Courtial (1984), reveals which authors, journals or groups engage in a dialogue with each other. Dense citation structures can be a result of similar content areas, similar methodologies (Pieters and Baumgartner 2002) or the social function of citations to demonstrate affiliation with colleagues or research traditions (Leydesdorff 1998). Citation analysis has been used to identify individual influential authors and papers (Pasadeos, Phelps, and Kim 1998) and theories (van der Merwe et al. 2007) and to investigate the relationships between journals (Cason and Lubotsky 1936; Eagly 1975; Doreian 1988). The investigation of citation flows, specifically cluster analysis, also allows the identification of related research as well as theoretical and disciplinary boundaries (Narin, Carpenter, and Berlt 1972; Arms and Arms 1978; Gatrell and Smith 1984).

Within the discipline of economics, Eagly (1975) shows that there are more systematic citation flows between journals with a theoretical orientation than between theoretical and applied journals. Similarly, Stigler, Stigler, and Friedland (1995) illustrate the importance of economic theory as an intellectual exporter to applied economics using citation-level data. McCain (1990, 1991) uses cluster analysis to identify the emergence of distinct schools of thought. Recently, network graphs have also been used to illustrate citation patterns. Cronin (2008) uses citations between heterodox journals from 1995 to 2007 to show the changing position of journals within the heterodox group over time. Similarly, Dolfsma and Leydesdorff (2008) use social network analysis to visualize the structural properties of a set of key heterodox journals.

As a first step in the analysis, we use bibliometrics to provide a statistical overview of the citation patterns of the three departments. As a second step, we establish citation networks to visualize the discourse structure and investigate the core-periphery hypothesis by applying tools from social network analysis. We aggregate the publications and citations in our data set to the journal level and treat each journal as one node in the network and citations as edges directed from the journal of publication to the journal cited. The more often a citation directed from one journal to another occurs, the higher the weight of the edge.

In the visualizations of the findings presented in Section Three, this is illustrated by an increased edge width. To create the citation network graphs, we use Gephi software (Bastian, Heymann, and Jacomy 2009), a social network analysis program that provides a variety of options for network visualization and can calculate a broad range of network analysis metrics. In recent years it has been increasingly used for scientometric research (see, for example, Grauwin and Jensen 2011; Horlings and Gurney 2013; Tatry et al. 2014).

The layout chosen for the network graphs is determined with the algorithm 'Force Atlas 2<sup>'.5</sup> This layout, developed by Jacomy et al. (2014), is widely used in social network analysis (for exemplary applications, see Grauwin and Jensen 2011; Liberman and Wolf 2015). It is a force-directed layout, simulating a physical system with charged particles (nodes) repulsing each other, while springs (edges) attract connected particles, which produces the spatialization of the network. The algorithm is non-deterministic and the position of a node can thus not be interpreted on its own but only in relation to others. The layout produces a visualization of structural proximities as spatial proximity in the form of clusters in the network graph. These proximities can be interpreted as communities in which actors (in our case, journals) have denser relations with each other than with the rest of the network. This allows for an intuitive interpretation of the network graphs.

As a final step, we use the unbiased measure called 'modularity' proposed by Newman (2006) to detect such communities in the network. Applied to citations, modularity 'is used to determine the natural grouping of references that cite each other more than is expected by chance' (Chi and Young 2013, p. 150). Specifically, we apply the widely-

<sup>&</sup>lt;sup>5</sup>Specific settings were: Activated Algorithms (Dissuade Hubs, Prevent Overlap, Approximate Repulsion); Edge Weight Influence (1.0); Scaling (2.0); Gravity (1.0); Tolerance [speed] (0.1); Approximation (1.2). In order to ensure comparability between the departments' networks, all are based on the same settings for the algorithm.

used modularity algorithm by Blondel et al. (2008), which is available in Gephi. Identifying common themes or disciplines of these clusters then builds the basis on which to evaluate the extent of interdisciplinary links in the departments' citation networks.

#### 2.3. Data set

The study focuses on all publications of post-doctoral researchers and professors employed at the Econ-WU, SocEcon-WU and Econ-UV in February 2015. To collect the publication and citation data, we extracted the employee data of respective departments from their online databases.

From the employee data, we constructed a search string to retrieve the publications from the Web of Science Core Collection (WoS). As many entries in the database contain only the initial of the first name, the search string was set up accordingly. After this search, the data set covered 3,944 publications. To ensure that the data set included only publications of the researchers employed at the three selected departments and not entries from other authors with the same or a very similar name<sup>6</sup>, publication data from the respective department's online repositories (the WU's BACH database and the IDEAS-RePEc database for the UV) was also retrieved. These sources provided 10,928 publications of various types (including book chapters, reports, etc.), published prior to and during the researchers' employment at the three departments. Merging the two data sets based on their titles and categorizing the journals into heterodox, orthodox and uncategorized groups, based on Lee et al. (2010), yielded the final data set for analysis.<sup>7</sup>

The data set comprises 551 articles and 11,344 citations listed on the Web of Science between 1980 and 2015 and covers 161, 183 and 219 articles by authors who work at the SocEcon-WU, Econ-WU and Econ-UV, respectively.8 The temporal distribution of publications is similar for all three departments. The mean (median) year of publication is 2006.2 (2008) for the SocEcon-WU, 2007.7 (2009) for the Econ-WU and 2005.2 (2006) for the Econ-UV (see Table A1 in the Appendix).

#### 3. Findings

#### 3.1. Bibliometric analysis

During their careers, the authors employed at the three departments cited 3,599, 4,459 and 3,868 WoS-listed articles, respectively (see Table 2). For the SocEcon-WU, 24 percent of

<sup>&</sup>lt;sup>6</sup>That is, with a string of the following form: '(Lastname<sub>1</sub>, X\*) OR (Lastname<sub>2</sub>, X\*) OR ... OR (Lastname<sub>1</sub>, X\*)'. In the case of double names or two first names, both variants were included in the search string. However, purely initial-based name disambiguation leads to distorted results, as the authors' identity cannot be unreservedly confirmed (Kim and Diesner

 $<sup>^7</sup>$ The list comprises 254 journals, of which 62 are heterodox and 192 are orthodox. This raises a number of questions that we have not considered in this study, such as: How does the perceived quality of orthodox and heterodox journals compare? Does the employment requirement for a researcher's output to be considered 'valuable' lead to heterodox economists seeking to publish in orthodox journals?

<sup>8</sup>As the variable including the cited references only contains an abbreviation of the cited journal name, in the next step these abbreviations were matched with the list of abbreviations provided by the Web of Science (2015). All journals that are not included in the Web of Science and thus could not be found with journal abbreviations are not included in the network graphs and statistics. The abbreviations were also checked for possible spelling mistakes, which impede automatic matching, and manually matched to all journals on the Lee et al. (2010) list. The same was done for all abbreviations with more than five citations.

		SocEcon-WU		Eco	n-WU	Econ-UV	
		Number	% of total	Number	% of total	Number	% of total
Citations	0	858	23.84	3,205	71.88	3,012	77.87
	Н	425	11.81	139	3.12	113	2.92
	N	2,316	64.35	1,115	25.01	743	19.21
	T	3,599	100	4,459	100	3,868	100

**Table 2.** Citations by department and orthodoxy of cited journal.

O - Orthodox, H - Heterodox, N - Non-categorized, T - Total.

**Table 3.** H–O citation ratios by department and type of journal.

	SocEcon-WU	Econ-WU	Econ-VU	Total
Orthodox publication	0.222	0.014	0.035	0.034
Heterodox publication	1.762	0.597	0.089	0.729
Non-categorized publication	0.175	0.024	0.024	0.060
Total	0.495	0.043	0.038	0.101

the citations are to orthodox journals, while citations to orthodox journals account for 72 percent at the Econ-WU and 78 percent at the Econ-UV. Conversely, for the SocEcon-WU, 12 percent of the citations are to heterodox journals. In the economics departments, this is only 3 percent. The largest share of citations at the SocEcon-WU is to non-categorized journals (64 percent), whereas this share is only 25 and 19 percent for Econ-WU and Econ-UV, respectively.

A decomposition of the citations by department and by journal category reveals some remarkable differences. To illustrate these differences we calculated the ratio of citations to heterodox journals to citations to orthodox journals:

$$H - O_{citation\ ratio} = \frac{Citations\ to\ heterodox\ journals}{Citations\ to\ orthodox\ journals}$$

A ratio higher than one denotes more citations to heterodox journals than to orthodox journals and vice versa. Table 3 shows the respective ratios for each journal category and department.

For the total data set, the ratio is 0.101. Thus, overall, authors cite orthodox journals ten times more often than heterodox journals. Orthodox articles are cited more frequently in all three journal categories. With a value of 0.729, the H-O citation ratio of heterodox publications is, however, over 10 times higher than for non-categorized publications (0.060) and over 20 times higher than for orthodox publications (0.034). The ratio is distinctively higher for the SocEcon-WU, with 0.495, compared to 0.043 for the Econ-WU and 0.038 for the Econ-VU, respectively. Considering the department and the journal category together reveals that only heterodox publications of the SocEcon-WU cite heterodox articles more frequently than orthodox ones; the ratio is 1.762.

The calculated H-O citation ratios do not correct for the lower number of heterodox journals in comparison with orthodox journals, which is one reason for the high ratios. However, the lower number of heterodox journals may already be considered one element of a possible peripheral position of heterodox economics.

The ratio of 1.762 can either stem from the different composition of publication outlets between departments or the department itself. To isolate the effect of the department on the H–O citation ratio, the ratio is calculated and compared for journals in which (at least)

**Table 4.** H–O citation ratios for publications in intersecting journals for each department combination.

Journal intersection between departments	SocEcon-WU	Econ-WU	Econ-UV	No. of intersecting journals
SocEcon-WU and Econ-UV	0.542	-	0.043	8
SocEcon-WU and Econ-UV	1.721	0.256	_	14
Econ-UV and Econ-WU	_	0.026	0.038	34

**Table 5.** Network statistics.

	SocEcon-WU	Econ-WU	Econ-UV
Nodes	623	414	375
Unique edges	1,470	1,798	1,549
Network diameter	8	5	5
Average path length	3.506	3.106	2.972
Graph density	0.008	0.021	0.022
Maximum cliques	920	1312	1,036
Size of largest clique	7	10	13

two departments published, and corrected for the number of publications in the respective journals. Table 4 shows the ratios for these data samples.

The decomposition reveals that the H–O citation ratio for the SocEcon-WU is also significantly higher when controlling for the variation in the journals in which publications occur. It is 0.542 for the SocEcon-WU compared to 0.043 for the Econ-UV, and 1.721 for the SocEcon-WU compared to 0.256 for the Econ-WU. This suggests that there is an effect of the actual department on citation patterns.

These findings are indicative of the substantial differences between the SocEcon-WU's and the economics departments' citation patterns. To investigate these differences and to explore whether the discourse structures of the departments follow a core-periphery structure, we analyzed the citation networks of the three departments.

#### 3.2. Citation network analysis

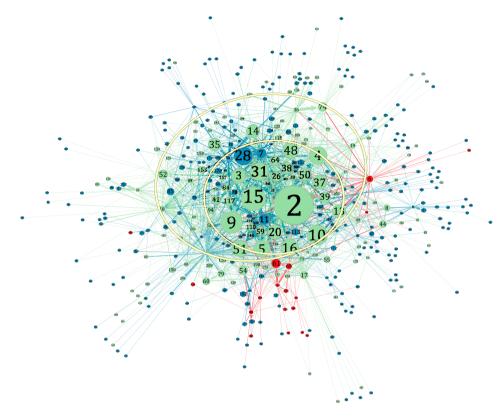
The Econ-WU's network includes 414 nodes (journals) and the Econ-UV's 375; the SocEcon-WU's network is substantially larger, with 623. However, as the network statistics indicate, the network structure of the SocEcon-WU is significantly less dense and cohesive. The network diameter, i.e., the greatest distance between two nodes in the network, is five for the two economics departments compared to eight for the SocEcon-WU. Also the average path length is substantially higher for the latter. Similarly, the graph density, which measures the overall degree of connectedness in the network, is 0.021 and 0.022 for the economics departments and 0.008 for the SocEcon-WU.

Measures on cliques (subsets of nodes that are fully cohesive) indicate that cohesion is lower in the SocEcon-WU as it has a low number of maximum cliques (cliques that are not subsets of other cliques) and its largest clique is smaller than those of Econ-WU and Econ-UV, despite the fact that it has more nodes in its network (Table 5).

Figures 2-4 show the citation network graphs for the three departments. Heterodox journals are colored red (dark-gray), orthodox journals are colored green (light-gray) and non-categorized journals are colored blue (gray). 10 The node size is ranked according

<sup>&</sup>lt;sup>9</sup>For a detailed description of these network metrics, see, for instance, Kolaczyk and Csárdi (2014).

<sup>&</sup>lt;sup>10</sup>Please refer to the online version of the article for the figures in color.

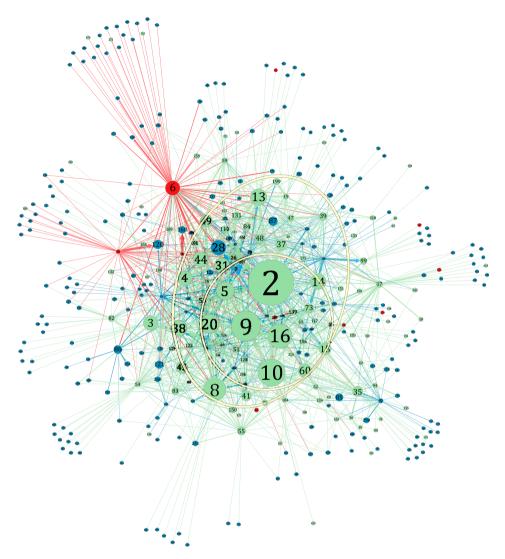


**Figure 2.** Citation network of the Econ-WU. Green (light-gray): orthodox journals. Red (dark-gray): heterodox journals. Blue (gray): non-categorized journals. Node size: weighted in-degree. Edge width: number of citations. Number: journal ID; see Table A4, Appendix.

to the weighted in-degree, i.e., the number of connections directed to the respective node, weighted by the respective frequency (for a ranking by weighted in-degree, see Table A3). The journals corresponding to the ID numbers on the nodes can be found in Table A4 in the Appendix. Below, the ID numbers are listed in brackets next to the journal name.

The key feature of the citation networks for the two economics departments is that they reveal a similar 'orthodox core–heterodox periphery' structure. This is underlined by the yellow (bold) ellipses added to the network graphs. Orthodox journals predominantly lie within them, while heterodox journals and non-categorized journals tend to be located in the periphery of the networks.

The dominant core of the networks consists of several heavily cited orthodox journals. For both economics departments, the *American Economic Review* (2) is the most important journal in this respect. Together with the *Quarterly Journal of Economics* (9), *Econometrica* (10), as well as the *Review of Economics and Statistics* (15) for the Econ-WU and the *Journal of Political Economy* (16) for the Econ-UV, it constitutes the center of the core group. The primary heterodox journal present in both networks is the *Journal of Economic Behavior and Organization* (6), which is located in the periphery but shows strong ties to the core of the network. The *Journal of Evolutionary Economics* (66) is located next to it. The most relevant non-categorized journal in both departments is the *Journal of Finance* (28).



**Figure 3.** Citation network of the Econ-UV. Green (light-gray): orthodox journals. Red (dark-gray): heterodox journals. Blue (gray): non-categorized journals. Node size: weighted in-degree. Edge width: number of citations. Number: journal ID; see Table A4, Appendix.

At the Econ-WU there is a small heterodox group around the *Cambridge Journal of Economics* (61) and *Metroeconomica* (69), which exhibits significantly weaker ties to the core than the heterodox journals discussed previously. Moreover, there are two other major non-categorized journals at the core of the network, namely, the *Journal of Corporate Finance* (7) and the *Journal of International Business Studies* (11).

The structure of the SocEcon-WU's citation network differs substantially. It is less dense and does not follow a core-periphery structure. It is composed of a number of distinct clusters that are strongly connected within but relatively loosely connected to other groups. While the orthodox core group around the *American Economic Review* (2) is present, it is not dominant as is the case for the economics departments.

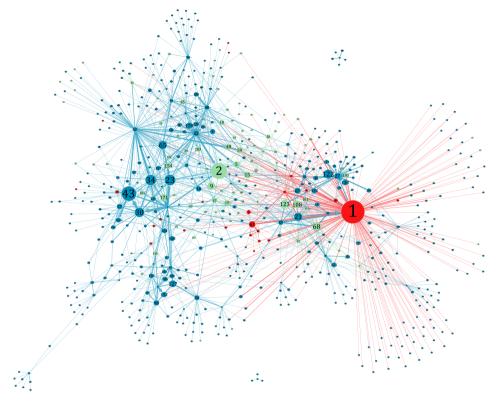


Figure 4. Citation network of the SocEcon-WU. Green (light-gray): orthodox journals. Red (dark-gray): heterodox journals. Blue (gray): non-categorized journals. Node size: weighted in-degree. Edge width: number of citations. Number: journal ID; see Table A4, Appendix.

Non-categorized journals play a major role. The greater importance of the heterodox group at the SocEcon-WU is strongly linked to Ecological Economics (1), which is the most important journal in the network. There are significantly more heterodox journals present in the network than for the economics departments; however, the Cambridge Journal of Economics is the only other heterodox journal ranked under the Top 20 in terms of weighted in-degree. The most important non-categorized journals include Environmental Values (21), Climate Change (122), Urban Studies (43), Regional Studies (23) and European Planning Studies (30).

The dominant journals cited by the three departments suggest that the departments not only differ with respect to the role of heterodox and non-categorized journals within their networks, but also focus on different research areas. In the following, we use the modularity algorithm to identify clusters in the network in order to investigate the thematic and disciplinary orientation of the departments.

#### 3.3. Citation community analysis

Only 25 percent of citations at the Econ-WU and 19 percent at the Econ-UV are to noncategorized journals (see Table A2). This is indicative of a mono-disciplinary research focus. In contrast, non-categorized journals are of great importance at the SocEconWU. They account for 73 percent of publications and 64 percent of citations. The results from the modularity algorithm further underline that the SocEcon-WU's citation network is organized more poly-centrically than the economics departments' networks. For the SocEcon-WU it shows an overall modularity score of 0.592 and 13 communities, compared to 0.383 and 9 communities for the Econ-WU, and 0.333 and 11 communities for the Econ-UV. In Figures 5-7 each community is illustrated with a different color; the caption indicates the thematic areas of the modules.<sup>11</sup>

In the economics departments, the modules are ordered in circles around the center of the network. Identifying specific thematic areas within the modules is not straightforward, as most clusters contain many (orthodox) general-interest economics journals. Those thematic areas that can be identified largely remain within the disciplinary boundaries of economics. Clusters dominated by other disciplines tend to be very small and are located at the periphery of the network.

In contrast, the SocEcon-WU's network consists of a large number of distinct modules. Each cluster is dominated by distinct disciplines, topics and schools of thought. These include clusters focused on environmental and ecological issues; regional studies and geography; social policy; psychology; and business and management. This is consistent with the interdisciplinary research focus of the department.

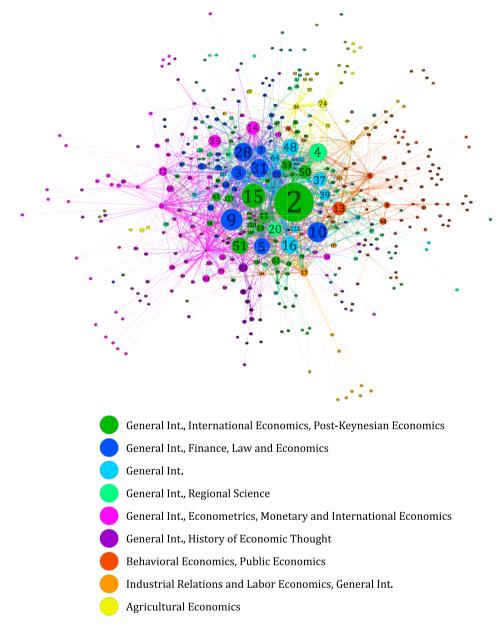
#### 4. Discussion

The results from the case study show that articles published in orthodox journals barely cite articles in heterodox journals. In contrast, articles published in heterodox journals cite heterodox journals more frequently; nevertheless, more references in heterodox articles are to orthodox than heterodox journals. This finding aligns with Dobusch and Kapeller's (2012a) notion of a 'paradigmatic self-marginalization', as the mainstream constitutes an important point of reference for heterodox economists while heterodox research is disregarded by the mainstream. In addition, there is relatively little interaction between heterodox researchers. This may reinforce the dominant position of the mainstream, due to the increasingly important role of rankings and bibliometric indices in academia, which tend to be skewed towards the mainstream in economics (Lee 2008; Lee and Elsner 2008; Kapeller 2010).

Further, a substantial difference between the two economics departments and the SocEcon-WU can be observed. The vast majority of citations of the economics departments are to orthodox journals and virtually zero citations are to heterodox journals. At the SocEcon-WU, the share of citations to heterodox journals is markedly higher. Nonetheless, orthodox outlets are still cited around twice as frequently. This department seems to have a direct effect on citation patterns, as articles published by authors from the SocEcon-WU cite heterodox journals significantly more often than articles published in the same journals by authors employed at the two economics departments. Only articles published in heterodox outlets by authors employed at the SocEcon-WU cite heterodox journals more frequently than orthodox ones.

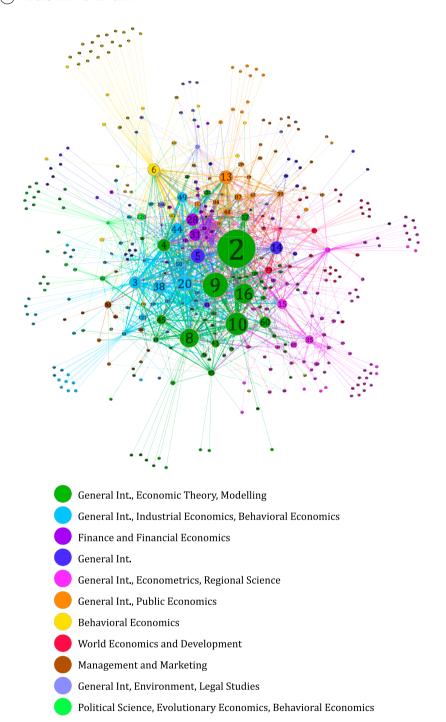
These findings are substantiated by the analysis of the department-level citation networks. Both economics departments closely follow the 'orthodox core-heterodox

<sup>&</sup>lt;sup>11</sup>Please refer to the online version of the article for the figures in color.

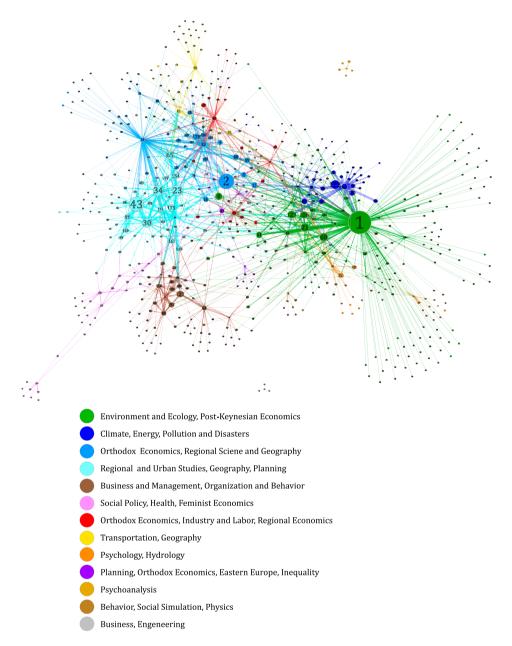


**Figure 5.** Citation networks of Econ-WU. Color according to modularity class (please refer to digital version of the article). Categorized according to dominant discipline, topic and school of thought. Node size: weighted in-degree. Edge width: number of citations. Number: journal ID; see Table A4, Appendix.

periphery' structure suggested in the literature and illustrated schematically by Dobusch and Kapeller (2012b). The citation networks of both departments are mono-centric, where the center is made up of a few heavily-cited orthodox journals. Heterodox journals are located in the periphery. The heterodox journals that exhibit the strongest ties to the orthodox core are the *Journal of Economic Behavior and Organization* and the



**Figure 6.** Citation networks of Econ-UV. Color according to modularity class (please refer to digital version of the article). Categorized according to dominant discipline, topic and school of thought. Node size: weighted in-degree. Edge width: number of citations. Number: journal ID; see Table A4, Appendix.



**Figure 7.** Citation networks of SocEcon-WU. Color according to modularity class (please refer to digital version of the article). Categorized according to dominant discipline, topic and school of thought. Node size: weighted in-degree. Edge width: number of citations. Number: journal ID; see Table A4, Appendix.

Journal of Evolutionary Economics. This is consistent with the notions of 'mainstream heterodoxy' (Davis 2008), 'inside-the-mainstream' heterodoxy (Colander, Holt, and Rosser 2004, 2010) and 'mainstream dissent' (Dobusch and Kapeller 2012b) attributed to behavioral economics and evolutionary economics. The community analysis of the networks further suggests that both economics departments have a strong mono-disciplinary focus. The comparatively low number of citations to non-categorized journals lends

support to this finding. The most important non-categorized journals in the economics departments' networks are finance and business journals.

The results for the two economics departments suggest that the mainstream in economics is isolated both with respect to research from other schools of thought and other disciplines. This is consistent with a mono-paradigmatic structure of economics as suggested by Varga (2011), as well as Pieters and Baumgartner's (2002) and Fourcarde, Ollion, and Algan's (2015) observation that economics tends to exhibit few ties to other social science disciplines. Such isolation may constitute a barrier for innovation within economics, as interdisciplinary and heterodox approaches seem to be discounted a priori. Moreover, they may constitute a barrier for critical issues such as climate change that would merit more attention within economic discourse.

The SocEcon-WU's citation network differs from those of the economics departments in many respects. The network is poly-centric with many spatially distinct hubs and does not follow a core-periphery structure. Other than at the economics departments, the orthodox group of journals is not dominant in the network, while heterodox journals play a substantially more important role. The high share of citations to non-categorized journals as well as the modularity analysis indicate that the SocEcon-WU's research focus is interdisciplinary. The network includes clusters dominated by journals in the areas of the environment and ecology; regional studies and geography; social policy; psychology; and business and management.

These findings are markedly different from the isolation observable for the two mainstream departments. The greater openness to alternative and heterodox approaches goes hand-in-hand with a greater openness to work from a broad variety of subject areas and disciplines (see also, Dolfsma and Leydesdorff 2008).

#### 5. Conclusions

Mainstream economics departments reveal an 'orthodox core-heterodox periphery' structure in their citation behavior. They are characterized by a double isolation from research of both other schools of thought and other disciplines, which constitutes a barrier to innovation and entails a neglect of critical interdisciplinary issues such as climate change.

This closed community structure of mainstream economics makes it difficult for heterodox economics to thrive. It may, however, at the same time present an opportunity for the future development of heterodox economics. A closer collaboration with other disciplines and a research focus on important policy-relevant interdisciplinary challenges appears to be a viable strategy. This closely relates to Colander's (2014) suggestion that heterodox economists should pursue a pluralism that incorporates a greater blending of social sciences. While this strategy may also be fruitful for individual heterodox researchers, this article highlights the potential of such a collaboration at the department level and provides an example of a university department that has institutionalized an interdisciplinary and heterodox approach.

While the Department of Socioeconomics at the WU may be seen as a potential role model, it also reveals weaknesses. In order to be successful, heterodox economists must cease to use the mainstream as the main point of reference and thereby strengthen their position in the logic of citation metrics. Heterodox schools of thought should try



to increase communication between each other and with other disciplines. In particular, existing heterodox departments should consider collaboration with other social science departments at their universities, in addition to collaboration with other heterodox departments. This includes cross-disciplinary conferences, and project proposal collaboration with other disciplines and schools of thought.

This article is the first to investigate the discourse structure of economics at the level of a university department and the first to apply social network analysis to investigate the existence of a core-periphery pattern in the paradigmatic discourse structure. There are valuable insights from such case studies and additional investigations in this area may prove a fruitful avenue for future research. The combination of bibliometric methods and social network analysis applied in this article provides a framework for further studies to investigate the discourse in economics generally.

#### Disclosure statement

No potential conflict of interest was reported by the authors.

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### **Appendix**

**Table A1.** Temporal distribution of publications.

Department	Mean	Min	1st quartile	Median	3rd quartile	Max
SocEcon-WU	2006.2	1980	2002	2008	2012	2014
Econ-WU	2007.7	1982	2004	2009	2013	2015
Econ-UV	2005.2	1986	2001	2006	2011	2014

Table A2. Citations and journals cited by department and journal category.

		SocEcon-	WU (%)	Econ-W	Econ-WU (%)		V (%)	Total (%)	
		absolute	%	absolute	%	absolute	%	absolute	%
Orthodox publication	0	176	50.57	2025	77.32	2014	79.14	3893	76.51
	Н	39	11.21	28	1.07	70	2.75	131	2.57
	N	133	38.22	566	21.61	461	18.11	1064	20.91
	Τ	348	100	2619	100	2545	100	5088	100
	R	0.222		0.014		0.035-		0.034-	
Heterodox publication	0	168	18.18	144	49.66	292	67.13	560	35.07
	Н	296	32.03	86	29.66	26	5.98	408	25.55
	Ν	460	49.78	60	20.69	117	26.90	629	39.39
	Τ	924	100	290	100	435	100	1597	100
	R	1.762		0.597		0.089		0.729	
Non-categorized publication	0	514	22.09	1036	66.84	706	79.50	2190	47.01
	Н	90	3.87	25	1.61	17	1.91	132	2.83
	N	1723	74.04	489	31.55	165	18.58	2337	50.16
	Τ	2327	100	1550	100	888	100	4659	100
	R	0.175		0.024		0.024		0.06	
Total	0	858	23.84	3205	71.88	3012	77.87	6643	58.56
	Н	425	11.81	139	3.12	113	2.92	671	5.92
	N	2316	64.35	1115	25.01	743	19.21	4030	35.53
	Τ	3599	100	4459	100	3868	100	11344	100
	R	0.495		0.043		0.038		0.101	
Total journals cited	0	99	16.12	134	33.58	114	30.89	147	15.79
	Н	24	3.91	18	4.51	10	2.71	33	3.54
	Ν	491	79.97	247	61.90	245	66.40	751	80.67
	Τ	614	100	399	100	369	100	931	100
	R	0.242		0.134		0.088		0.224	

 $O-Orthodox,\,H-Heterodox,\,N-Non-categorized,\,T-Total,\,R-H-O\ citation\ ratio.$ 

**Table A3.** Journal rankings by weighted in-degree.

Rank	SocEcon-WU	Econ-WU	Econ-UV
1	ECOLOGICAL ECONOMICS (1)	AMERICAN ECONOMIC REVIEW (2)	AMERICAN ECONOMIC REVIEW (2)
2	AMERICAN ECONOMIC REVIEW (2)	REVIEW OF ECONOMICS AND	QUARTERLY JOURNAL OF
		STATISTICS (15)	ECONOMICS (9)
3	URBAN STUDIES (43)	QUARTERLY JOURNAL OF	ECONOMETRICA (10)
		ECONOMICS (9)	
4	REGIONAL STUDIES (23)	ECONOMETRICA (10)	JOURNAL OF POLITICAL
_	255542544 20454 (2.4)	101101111 05 51111116111	ECONOMY (16)
5	RESEARCH POLICY (34)	JOURNAL OF FINANCIAL	JOURNAL OF ECONOMIC THEORY
_	EUROPEAN PLANNING STUDIES (30)	ECONOMICS (31) JOURNAL OF FINANCE (28)	(8) REVIEW OF ECONOMIC STUDIES
6	EUROPEAN PLANNING STUDIES (30)	JOURNAL OF FINANCE (28)	(20)
7	CLIMATIC CHANGE (122)	EUROPEAN ECONOMIC REVIEW (4)	ECONOMIC JOURNAL (5)
8	ENVIRONMENT AND PLANNING (65)	JOURNAL OF POLITICAL ECONOMY	JOURNAL OF PUBLIC ECONOMICS
		(16)	(13)
9	ENVIRONMENTAL VALUES (21)	ECONOMIC JOURNAL (5)	RAND JOURNAL OF ECONOMICS (38)
10	LAND ECONOMICS (68)	Journal of International Economics (51)	ECONOMICS LETTERS (14)
11	ENVIRONMENTAL AND RESOURCE	JOURNAL OF ECONOMIC	GAME AND ECONOMIC BEHAVIOR
	ECONOMICS (123)	LITERATURE (48)	(44)
12	QUARTERLY JOURNAL OF ECONOMICS	INTERNATIONAL JOURNAL OF	JOURNAL OF FINANCE (28)
	(9)	INDUSTRIAL ORGANIZATION (3)	
13	JOURNAL OF ENVIRONMENTAL	REVIEW OF ECONOMIC STUDIES	JOURNAL OF FINANCIAL
	ECONOMICS AND MANAGEMENT (108)	(20)	ECONOMICS (31)
14	ACADEMY OF MANAGEMENT REVIEW (127)	Journal of Economic Perspectives (37)	EUROPEAN ECONOMIC REVIEW (4)
15	CAMBRIDGE JOURNAL OF	ECONOMICS LETTERS (14)	INTERNATIONAL JOURNAL OF
	ECONOMICS (61)		INDUSTRIAL ORGANIZATION (3)
16	REVIEW OF ECONOMICS AND	JOURNAL OF PUBLIC ECONOMICS	JOURNAL OF ECONOMIC
	STATISTICS (15)	(13)	BEHAVIOR AND
			ORGANIZATION (6)
17	INDUSTRIAL AND CORPORATE CHANGE	Journal of Industrial	JOURNAL OF MONETARY
	(171)	ECONOMICS (50)	ECONOMICS (60)
18	PHOTOGRAMMETRIC ENGINEERING AND REMOTE SENSING (178)	JOURNAL OF ECONOMETRICS (35)	EXPERIMENTAL ECONOMICS (49)
19	JOURNAL OF ECONOMIC GEOGRAPHY	RAND JOURNAL OF ECONOMICS	REVIEW OF ECONOMICS AND
20	(154)	(38)	STATISTICS (15)
20	GLOBAL ENVIRONMENTAL CHANGE HUMAN AND POLICY DIMENSIONS (27)	PUBLIC CHOICE (39)	ECONOMIC THEORY (45)

Heterodox journals are indicated in **bold** letters; non-categorized journals are indicated in *italics*.



#### Table A4. Journal IDs

Tab	le A4. Journal IDs.				
1	ECOLOGICAL ECONOMICS	39	PUBLIC CHOICE	74	AMERICAN JOURNAL OF
2	AMERICAN ECONOMICS	40	PAPERS IN REGIONAL SCIENCE		AGRICULTURAL ECONOMICS
	REVIEW	41	INTERNATIONAL ECONOMIC	75	JOURNAL OF INTERNATIONAL
3	INTERNATIONAL JOURNAL OF		REVIEW		MONEY AND FINANCE
	INDUSTRIAL ORGANIZATION	42	JOURNAL OF THE EUROPEAN	76	RISK ANALYSIS
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456	WATER AIR AND SOIL	490	JOURNAL OF CROSS CULTURAL		ANEURYSM GENETICS
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457	WORLD POLITICS	491	JOURNAL OF ECONOMIC		MOLECULAR BIOLOGY
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539	BEHAVIORAL AND BRAIN	573	JAPAN ECONOMIC REVIEW		DEVELOPMENT REVIEW
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