

Multimodal Observation and Classification of People Engaged in Problem Solving: Application to Chess Players

Thomas Guntz, Raffaella Balzarini, Dominique Vaufreydaz, James L. Crowley

▶ To cite this version:

Thomas Guntz, Raffaella Balzarini, Dominique Vaufreydaz, James L. Crowley. Multimodal Observation and Classification of People Engaged in Problem Solving: Application to Chess Players. Multimodal Technologies and Interaction, MDPI, 2018, 2 (2), 10.3390/mti2020011. hal-01886354

HAL Id: hal-01886354 https://hal.inria.fr/hal-01886354

Submitted on 2 Oct 2018

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.





Article Multimodal Observation and Classification of People Engaged in Problem Solving: Application to Chess Players

Thomas Guntz, Raffaella Balzarini, Dominique Vaufreydaz and James Crowley *

University Grenoble Alpes, Inria, CNRS, Grenoble INP, LIG, F-38000 Grenoble, France; Thomas.Guntz@inria.fr (T.G.); Raffaella.Balzarini@inria.fr (R.B.); Dominique.Vaufreydaz@inria.fr (D.V.) * Correspondence: James.Crowley@inria.fr; Tel.: +33-476615361

Received: 28 February 2018; Accepted: 23 March 2018; Published: 31 March 2018

Abstract: In this paper we present the first results of a pilot experiment in the interpretation of multimodal observations of human experts engaged in solving challenging chess problems. Our goal is to investigate the extent to which observations of eye-gaze, posture, emotion and other physiological signals can be used to model the cognitive state of subjects, and to explore the integration of multiple sensor modalities to improve the reliability of detection of human displays of awareness and emotion. Domains of application for such cognitive model based systems are, for instance, healthy autonomous ageing or automated training systems. Abilities to observe cognitive abilities and emotional reactions can allow artificial systems to provide appropriate assistance in such contexts. We observed chess players engaged in problems of increasing difficulty while recording their behavior. Such recordings can be used to estimate a participant's awareness of the current situation and to predict ability to respond effectively to challenging situations. Feature selection has been performed to construct a multimodal classifier relying on the most relevant features from each modality. Initial results indicate that eye-gaze, body posture and emotion are good features to capture such awareness. This experiment also validates the use of our equipment as a general and reproducible tool for the study of participants engaged in screen-based interaction and/or problem solving.

Keywords: multimodal perception; affective computing; situation awareness

1. Introduction

Commercially available sensing technologies are increasingly able to capture and interpret human displays of emotion and awareness through non-verbal channels. However, such sensing technologies tend to be sensitive to environmental conditions (e.g., noise, light exposure or occlusion), producing intermittent and unreliable information. Techniques for combining multiple modalities to improve the precision and reliability of modeling of awareness and emotion are an open research problem. Few research results have been published on how such signals can be used to inform a system about cognitive processes such as situation awareness, understanding or engagement. For instance, published results show that mental states can be inferred from facial expressions and from head and body gestures [1,2].

Awareness of mental and emotional state of the human partner could allow systems to deliver more appropriate services during interactions. For example, a system for testing cognitive abilities can be useful for assessing cognitive decline from ageing. An ability to model the cognitive abilities of elderly subjects can permit an artificial systems to provide assistance that is appropriate but not excessive. Such an ability can be used to provide appropriate emotion and cognitive stimulation replacing gradual declines in natural cognitive and motor abilities. Similarly, the abilities to model mental state and emotional reaction can be used in on-line training systems to pose challenges that stimulate a user to learn while avoiding problems that are overly simple (boring) or excessively difficult (frustrating). Such systems can be constructed for interactive training of games (including chess) as well as any other area of cognitive activity such as reading, mathematics, programming, chemistry and engineering.



Figure 1. Multimodal view of gathered data. Left to right: RGB (with body joints) and depth view from Kinect 2 sensors, screen record of chess task (red point is current position of gaze, green point is position of last mouse click), plot of current level of positive emotion expression (valence) and frontal view of face from webcam sensor.

We have constructed an instrument for capturing and interpreting multimodal signals of humans engaged in solving challenging problems (see Figure 1). Our instrument, shown in Figure 2, captures eye gaze, fixations, body postures, and facial expressions signals from humans engaged in interactive tasks on a touch screen. As a pilot study, we have observed these signals for players engaged in solving chess problems.



Figure 2. The experimentation equipment used for data collection. On top, a Kinect2 device looking down at the player. In the middle, a webcam to capture the face. At bottom, the touch screen equipped with an eye-tracker presenting the chess game. These views are respectively at left, right and center of Figure 1. The wooden structure is rigid to fix position and orientation of all sensors. The lighting conditions are controlled by 2 USB LED lamps on the sides.

Recordings are used to estimate subjects' understanding of the current situation and their ability to respond effectively to challenging tasks. Such recorded data are to be used to detect when participants

are challenged beyond their abilities. In this paper we present results with experiments that evaluate the event to which our set up can capture information about cognitive abilities.

Section 2 discusses current methods for capture and interpretation of physiological signs of emotion and awareness. This lays the ground for the design of our experimental setup presented in Section 3. Section 4 presents the results from our pilot experiment that was undertaken to validate our installation and evaluate the effectiveness of our approach. We conclude with a discussion on limitations and further directions to be explored in Section 5.

2. State-of-the-Art

Chess analysis has long been used in Cognitive Science to understand attention and to develop models for task solving. In their study [3,4], Charness et al. showed that when engaging in competitive game, chess players display engagement and awareness of the game situation with eye-gaze and fixation. This suggests that the mental models used by players can be at least partially determined from eye gaze, fixation and physiological response. The ability to detect and observe such models during game play can provide new understanding of the cognitive processes that underlay human interaction. Experiments described in this article are the preamble to more advanced research on this topic.

Humans display awareness and emotions through a variety of non-verbal channels. It is increasingly possible to record and interpret information from such channels. Thank to progress in related research, notably recently using Deep Learning approaches [5–8], publicly available efficient software can be used to detect and track face orientation using commonly available web cameras. Concentration can be inferred from changes in pupil size [9]. Measurement of physiological signs of emotion can be done by detection of Facial Action Units [10] from both sustained and instantaneous displays (micro-expressions). Heart rate can be measured from the Blood Volume Pulse as observed from facial skin color [11]. Body posture and gesture can be obtained from low-cost RGB sensors with depth information (RGB+D) [12]. Awareness and attention can be inferred from eye-gaze (scan path) and fixation using eye-tracking glasses as well as remote eye tracking devices [13]. This can be directly used to reveal cognitive processes indicative of expertise [3], situation awareness in human-computer interaction (HCI) systems [14] or vigilance system used in cars [15].

The information provided by each of these modalities tends to be intermittent, and thus unreliable. Most investigators seek to combine multiple modalities to improve both reliability and stability [16,17].

Drawing on recent results from computer vision and cognitive science, this study proposes an experimental set up based recently published techniques to interpret subjects engaged in solving challenging problems. Our initial research question was:

Can our experimental set up be used to capture reliable recordings for such study?

If successful, this should allow us to a second research question:

• Can we detect when chess players are challenged beyond their abilities from such measurements and what are the most relevant features?

3. Experiments

As a pilot study, chess players were asked to solve chess tasks within a fixed, but unknown, time frame. We recorded eye gaze, facial expressions, body postures and physiological reactions of the players as they solved problems of increasing difficulty.

3.1. Materials and Participants

3.1.1. Experimental Setup

Figure 2 presents the recording setup for our experiment. This system drew on lessons learned from an earlier system used to record children during storytelling sessions [18]. As can be seen from Figure 2, it is composed of several hardware elements: a 23.8 inches Touch-Screen computer, a Kinect

2.0 mounted 35 cm above the screen focusing on the chess player, a 1080p Webcam for a frontal view, a Tobii Eye-Tracking bar (Pro X2-60 screen-based) and two adjustable USB-LED for lighting condition control. The Touch-Screen was chosen to provide a gesture-based interaction resembling play with a physical board. A wooden super-structure is used to rigidly mount the measuring equipment with respect to the screen in order to assure identical sensor placement and orientation for all recordings. This structure has been made using a laser cutter.

Several software systems were used for recording and/or analyzing data. The Lichess Web Platform (https://en.lichess.org/ (last seen September 2017)) serves for playing and recording games. Two commercial software systems provide both online and offline information: Tobii Studio 3.4.7 for acquisition and analyze of eye-gaze; Noldus FaceReader 7.0 for emotion detection. Body posture is provided by two different means: by the Kinect 2.0 SDK and by using our enhanced version of the Realtime Multi-Person Pose Estimation software [6]. Considering the state-of-the-art results of the second software, we decided to keep only this one for this experiment. During the study, data were recorded from all sensors (Kinect 2, Webcam, Screen capture, user clicks, Tobii-Bar) using the RGBD Sync SDK (https://github.com/Vaufreyd/RGBDSyncSDK (last seen September 2017)) from the MobileRGBD project [19]. This framework provides synchronization of data (gaze fixation, emotion detection, body skeleton position, etc.) by associating a timestamp with a millisecond precision to each recorded frame. The same framework can read, analyze and display the same way all gathered or computed data. An example is presented on Figure 1 where most of the data are depicted.

3.1.2. Participants

An announcement for our experiment with an invitation to participate was communicated to chess clubs, on the local university campus and within the greater metropolitan area. We received a positive response from the president of one of the top metropolitan area chess clubs, and 32 members volunteered to participate in our pilot experiment. Unfortunately, of these initial 32 participants, 9 recordings were not usable due to poor eye-tracking results and have not been included in our analysis. These poor tracking results were traced to several causes: some participants had difficulties to performing the eye-tracking calibration process, or the eye-tracking process was disrupted too many times by participants' movement (e.g., head movements, hand covering the eye-tracking viewing field etc.) during long recording time (some of them last up to 40 min).

The 23 remaining chess players in our study were 9 experts and 14 intermediates level players (10-51 years, 2 female, age: M = 30.0; SD = 12.9). Expert players were all active players and with *Elo* ratings (The *Elo* system is a method to calculate rating for players based on tournament performance. Ratings vary between 0 and approximately 2850. https://en.wikipedia.org/wiki/Elo_rating_system (last seen September 2017)) ranged from 1759 to 2150 (M = 1937.3; SD = 123.2). For the intermediate players, the *Elo* ratings ranged from 1100 to 1513 (M = 1380.3; SD = 100.5) and 6 among them were casual players who were not currently playing in club. We can also give some statistics on the recorded session: the average recording time per participant is 13:35 min (MIN = 4:54, MAX = 23:54, SD = 5:02) and the average compressed size of gathered data is 56.12 GiB per session.

3.2. Methods

3.2.1. Chess Tasks

Thirteen chess tasks were defined by our team in coordination with the president of the chess club. Two kinds of task were selected: *chess openings tasks*, where only 3 to 5 moves were played from the original state; and *N-Check-Mate tasks*, where 1 to 6 moves were required to check-mate the opponent (and finish the game).

Openings. Skilled players are familiar with most of the chess openings and play them intuitively. Intuitive play does not generally require cognitive engagement for reasoning. An important challenge is to detect when a player passes from intuitive reaction to a known opening, to challenging situations. Thus, two uncommon openings were selected to this end: a King's Gambit (3 moves from the initial state) and a Custom Advanced Variation of the Caro-Kann Defense (6 moves from initial state). The goal here is to pull participants out from their comfort zone as much as possible to evoke emotions and physiological reactions. Openings correspond to task number 1 and 2.

N-Check-Mate. Eleven end game tasks were defined. These are similar to the daily chess puzzles that can be found in magazines or on chess websites. Each of these tasks was designed to check-mate the opponent in a number of predefined moves ranging from 1 to 6. Tasks requesting 1 to 3 moves are viewed as easy task whereas 4 to 6 moves tasks require more chess reasoning abilities, etc. Distribution among the 11 tasks differs according to their number of required move and thus to their difficulty: 4 tasks with one move, 4 tasks with two and three moves (2 of each) and 3 tasks with four, five and six moves (1 of each). End games were presented to participants in this order of increasing difficulty while alternating the played color (white/black) between each task.

3.2.2. Procedure

Participants were tested individually in sessions lasting approximately 45 min. Each participant was asked to solve the 13 chess tasks and their behaviors were observed and recorded. To avoid biased behavior, no information was given about the recording equipment. Nevertheless, it was necessary to reveal the presence of the eye-tracker bar to participants in order perform a calibration step. After providing informed consent, the Lichess web platform was presented and participants could play a chess game against a weak opponent (*Stockfish* (*Stockfish* is an open-source game engine used in many chess software, including Lichess. https://en.wikipedia.org/wiki/Stockfish_(chess) (last seen September 2017)) algorithm level 1: lowest level) to gain familiarity with the computer interface. No recording was made during this first game.

Once familiar and comfortable with the platform, the eye-tracking calibration was performed using Tobii Studio software, in which subjects were instructed to sit between 60 and 80 cm from the computer screen and to follow a 9-point calibration grid. Participants were requested to avoid large head movement in order to assure good eye-tracking quality. Aside from this distance, no other constraints were instructed to participants.

Each task to solve was individually presented, starting with the openings, followed by the N-Check-Mate tasks. Participants were instructed to solve the task by either playing a few moves from the opening or to check mate the opponent (played by *Stockfish* algorithm level 8: the highest level) in the required number of moves. The number of moves needed for the N-Check-Mate tasks was communicated to the subject. A time frame was imposed for each task. The exact time frame was not announced to the participant, they only knew that they have a couple of minutes to solve the task. This time constraint ranges from 2 min for the openings and the easiest N-Check-Mate tasks (1–2 moves) to 5 min for the hardest ones (4–5–6 moves). An announcement was made when only one minute was remaining to solve the task. If the participant could not solve the task within the time frame, the task was considered as failed and the participant proceeded to the next task. The experiment is considered finished once all tasks were presented to the participant.

3.3. Analysis

3.3.1. Eye-Gaze

Eye movement is highly correlated with focus of attention and engaged cognitive processes [20], in problem solving and human-computer interaction [21]. Other studies [3,4] show that expertise estimation for chess players can be performed using several eye-tracking metrics such as fixation duration or visit count. In this case, gaze information can be useful to determine information such as:

1. What pieces received the most focus of attention from participants?

2. Is there significant difference in gaze movements between novices and experts?

To reach these aims, Areas Of Interests (AOIs) were manually defined for every task. An AOI can be a key piece for the current task (e.g., a piece used to check-mate the opponent), the opponent king, destination squares where pieces have to be moved, etc. An example of AOI is showed on Figure 3 where 3 different AOIs are drawn. One AOI is center around the black king, one around a white bishop and the last covered an empty square where the bishop should be moved to complete the task. Afterward, statistics have been computed for every AOI of each task. Among possible metrics, results depicted in this article are based on *Fixation Duration, Fixation Count* and *Visit Count*.



Figure 3. Three Areas Of Interests (AOIs) are centered around 2 key pieces and one square where a piece has to be moved.

Interpretation for these metrics differs according to the task domain. For example, in the domain of web usability, Ehmke et al. [22] would interpret long fixation duration on AOI as a difficulty to extract or interpret information from an element. In the field of chess, Reingold and Charness [3,4] found significant differences in fixation duration between experts and novices.

3.3.2. Facial Emotions

Micro-expressions, as defined by Ekman and Fiesen [10] in 1969, are quick facial expressions of emotions that could last up to half a second. These involuntary expressions can provide information about cognitive state of chess players. In our pilot study, the Noldus FaceReader software [23] has been used to classify players' emotions in the form of six universal states proposed by Ekman:

happiness, sadness, anger, fear, disgust and surprise (plus one neutral state). These emotional states are commonly defined as regions in a two-dimensional space whose axes are valence and arousal. Valence is commonly taken as an indication of pleasure, whereas arousal describes the degree to which the subject is calm or excited.

In practice, the FaceReader software analyses video by first applying a face detector to identify a unique face followed by a detection of 20 Facial Action Units [10]. Each action unit is assigned a score between 0 and 1 and these are used to determine the state label for emotion. Valence and arousal can be then computed as:

- **Valence**: intensity of positive emotions (*Happy*) minus intensity of negatives emotions (*sadness, anger, fear* and *disgust*);
- Arousal: computed accordingly to activation intensities of the 20 Action Units.

Finally, besides giving us information about emotional responses, FaceReader software also provided a heart rate estimation.

FaceReader was tested on two different datasets: the Radboud Faces Database [24] containing 59 different models and the Karolinska Directed Emotional Faces [25] which regroups 70 individuals. Both dataset display 7 different emotional expressions (plus neutral) on different angles. FaceReader algorithm correctly classified 90% of the 1197 images from Radboud Face Database [26] and 89% of the Karolinska Dataset (4900 images) [23].

3.3.3. Body Posture

Body posture is a rich communication channel for human to human interaction with important potential for human computer interaction [27]. Studies have shown that self-touching behavior is correlated with negative affect as well as frustration in problem solving [28]. Thus, we have investigated a number of indicators for stress from body posture:

- **Body Agitation**: how many joints are varying along *x*, *y* and *z* axis;
- **Body Volume**: space occupied by the 3D bounding box built around joints (see [29]);
- Self-Touching: collisions between wrist-elbow segments and the head (see [30]).

These signals are computed from the RGBD streams recorded by the Kinect 2 where a list of body joints is extracted by means of our variant of a body pose detection algorithm [6]. These joints are computed on the RGB streams and projected back to Depth data. Thus, a 3D skeleton of the chess player is reconstructed and can be used as input to compute previous metrics. As one can see on Figure 1 at left, from the point of view of the Kinect 2 in our setup (see Figure 2), the skeleton information is limited to the upper part of the body, from hips to head.

Features	Modality	Sensor
Fixation Duration Fixation Count Visit Count	Eye-Gaze	Tobii Bar
7 Basics Emotions Valence Arousal Heart Rate	Emotion	Webcam
Agitation (X, Y, Z) Volume Self-Touch	Body	Kinect

Table 1. Features of interest.

4. Results

Synchronous data for every feature, depicted in Table 1, have been extracted from all sensors. Several tasks, like regression over *Elo* ratings or over the time needed to perform a task, could be addressed using these data. Among them, we chose to analyze a classification problem that can be interpreted by a human: Is it possible, by the use of gaze, body and/or facial emotion features, to detect if a chess player is an expert or not? This problem is used as example to obtain a first validation of our data relevancy. It is correlated with whether a chess player is challenging beyond his abilities or not. This section presents unimodal and multimodal analysis of extracted features to determine chess expertise of players. Only the data recorded for the 11 N-Check-Mate tasks are considered here, outcomes for participants are presented in Table 2.

Table 2. Experts and Intermediates results summary for N-Check-Mate tasks. The number of moves required to complete the task is also given. The higher the number of moves needed, the higher the difficulty.

	Task 1	Task 2	Task 3	Task 4	Task 5	Task 6	Task 7	Task 8	Task 9	Task 10	Task 11
Difficulty of the task	Easy	Easy	Easy	Easy	Easy	Easy	Medium	Medium	Hard	Hard	Hard
Number of moves required to complete the task	1	1	1	1	2	2	3	3	4	5	6
Number of experts who pass the task (/9)	9	8	8	9	9	9	9	8	8	4	1
Number of intermediates who pass the task (/14)	13	9	12	8	12	13	7	6	3	2	0

4.1. Unimodal Analysis

4.1.1. Eye-Gaze

Two AOIs were defined for each task: one AOI is centered on the very first piece to move in the optimal sequence to successfully achieve the check-mate; and the second one on the destination square where this piece has to be moved. Fixations information of every task are gathered for all participants and results are presented in Figure 4.

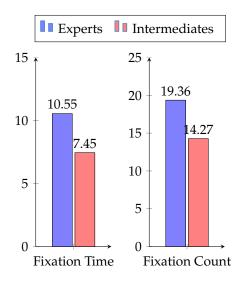


Figure 4. Eye-gaze histograms. Left: Percentage of fixation (in seconds) for all tasks. Right: average over all tasks of the number of fixations.

As can be clearly seen in this figure, experts have longer and more fixations than intermediates on relevant pieces. These results can be explained knowing the four stages of the thought process for chess players proposed by De Groot [31]:

- 1. The orientation phase: participants scan the board to grasp information about piece's organization;
- 2. The exploration phase: participants consider variations (moves) from the current configuration;
- 3. The investigation phase: participants analyze in depth the two most probable candidates from phase 2;
- 4. The proof phase: participants confirm the validity of their choice.

In our experiments, intermediates were found to spend less time fixating on relevant pieces than experts, one hypothesis could be that these participants spend more time during the first phase or do not consider the correct pieces fast enough during the investigation phase. On the other hand, experts tend to spend more time on relevant pieces. This difference in duration between experts and intermediates is statistically significant (p < 0.05) and could be used as discriminant feature. This is explained by expert's skill encoding capacity that enables them to quickly focus their attention on relevant piece by a better pattern matching ability. More work has to be done on eye-gaze such as analyzing and comparing the scan path order of participants, measuring how fast are participants to identify relevant pieces or analyzing fixation on empty squares.

4.1.2. Emotions

The increasing difficulty in the non-interrupting tasks has caused our participants to express more observable emotions across the experiment. Emotions in a long-task experiment are expressed as peaks in the two-dimensional space (valence, arousal). Thus, standard statistics tend to shrink toward zero as the record becomes longer.

Other approaches should be considered to visualize emotion expressions. One possibility is to consider the number of changes of emotions having the highest intensity (i.e., the current detected facial emotion). As emotion intensities are based on facial unit detection, changes in the main emotion denote underlying changes in facial expression. The result metric is shown on the graph presented in Figure 5a.

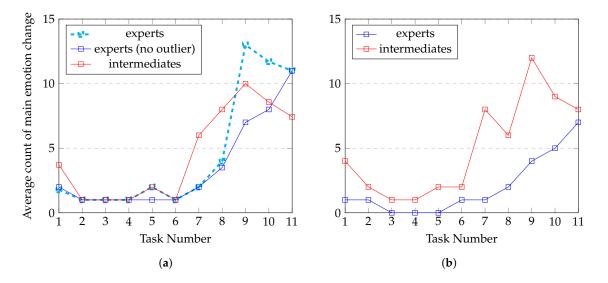


Figure 5. Average count of variation of main detected facial emotion in regard to the task (1–13). Tasks are ranging in an increasing difficulty order. (a) Current study analysis on 23 participants; (b) Previous study realized on 14 participants.

It is clear from our data that expressions of emotion increases with the difficulty of the problem to solve. For both group levels, experts and intermediates, the number of observable emotions increases when participants started to be challenged with difficult tasks. As can be observed, all lines remain close to 0 for tasks requiring less than 3 moves to check mate the opponent (below task number 6). From task 7 (3 moves), participants started to be challenged. Among the expert group, one participant presented surprising results with a really high number of emotion variations. This participant could be interpreted as an outlier as more than twice the average of variations have been detected for him. To illustrate this, two lines have been plotted, the cyan one represents all experts, whereas the blue one omits this potential outlier. To support this outlier hypothesis, the resulting blue line (along with the red line for intermediates participants) is similar to a result we showed during the same experiment environment with 14 participants (see Figure 5b). More analysis have to be performed to determine if the cause of this high expressiveness is due to the participant himself or is caused by an unexpected behavior from the emotion detection software.

Another interesting aspect of that plot is the final decrease for both groups after task 9, this could be interpreted as a sort of resignation, when players knew that tasks beyond of their skills and could not be resolved. Indeed, as showed in Table 2, only 6 participants (2 intermediates and 4 experts) passed the 10th task and only one expert solved the last task.

These primary results suggest that situation understanding and expertise knowledge can be inferred from variation of facial emotions. Although, more detailed analysis, such as activation of Action Units, derivative of emotions or detection if a micro expression occurs right after a move being played should be performed.

4.1.3. Body Posture

Published research has shown that physiological reactions such as stress can be observable through body behavior to [28]. Using technique presented in [30] to detect self-touching, we can observe how participants' body reacts to the increasing difficulty of tasks.

The Figure 6 presents statistics about self-touching. Similarly to the results on emotions, a variation in participants' behavior is observed from the first task requiring more than 2 moves (task 7) to be completed. This change is observed as an increase of self-touches, especially for intermediates whereas experts' line shape looks more like the beginning of an exponential curve. Thus, a first observation is that the number of self-touches increases as tasks get harder and it reveals that this is a relevant feature to consider. Furthermore, the difference in number of self-touches between experts and intermediates is statistically significant (p < 0.05) and could be used, as well as other related features on body (like agitation), to perform a classification. More discussion of this experiment can be found in Section 5.

4.2. Statistical Classification and Features Selection

To demonstrate the potential benefit of a multimodal approach, a supervised machine learning algorithm has been used to quantify accuracy of different modalities for classification.

Support Vector Machines (SVM) have been built for each modality and for each possible combination of modalities. For this purpose, we computed statistical analysis in respect to the nature of our data: discrete (count, duration) or continuous (mean, variance, standard deviation) over our features of interest. Giving us a total of 3 features for the gaze modality, 30 for the emotion modality and 14 for body modality. (see Table 3). Then, we constructed input samples as the following: one input sample would be the instantiation of one participant for one particular task, given a total number of $23 \times 11 = 253$ input samples.

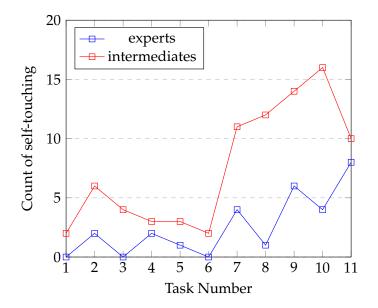


Figure 6. Count of self-touching in regard to the task (1–11). Tasks are ranging in an increasing difficulty order.

Modality	Features	Data Type	Statistical Transformation	Number of Features
Gaze	Fixation	Discrete	Duration-Count	2
	Visit	Discrete	Count	1
Emotion	7 Basics Emotions Valence Arousal Heart Rate	Continuous Continuous Continuous Continuous	Mean - Var - Std Mean - Var - Std Mean - Var - Std Mean - Var - Std	21 3 3 3 3
Body	Agitation (X, Y, Z)	Continuous	Mean-Var-Std	9
	Volume	Continuous	Mean-Var-Std	3
	Self-Touch	Discrete	Duration-Count	2

Table 3. Features of interest overview.

A 10-fold stratified cross-validation procedure has been used on every SVM to compute their accuracy. An exhausting grid search over different set of hyper parameters is used to explore and find the best parameters for each SVM to consider. Two grids were explored, one *RBF* kernel and one *Linear* kernel. The *C* parameter for both kernels took values in [1, 10, 50, 75, 100, 1000] and the γ for *RBF* kernel ranged in [0.1, 0.01, 0.001, 0.0001, 0.00001]. To compute accuracy for one SVM, each stratification is randomly shuffled before splitting into batches and before computing accuracy. This process is repeated 100 times and the final accuracy for the SVM is the average of all randomly shuffled stratification.

Accuracy results over all features are depicted in the first line of Table 4. First observation is that emotion and body modalities reached good accuracies, 86% and 90% respectively, confirming that theses features capture relevant information. Gaze modality performed less than expected, only 62%, this could be explained by the way the metric have been chosen. Indeed, we decided first to analyze fixations for only 2 AOIs without considering scan path order. More work has to been performed to improve gaze interpretation.

Modalities	G	В	Е	G + B	G + E	B + E	G + B + E
Number of Features	3	14	30	17	33	44	47
Accuracy Score	0.62	0.90	0.86	0.81	0.86	0.83	0.83
Standard Deviation	0.24	0.09	0.17	0.14	0.16	0.12	0.19

Table 4. Best accuracy scores from cross-validation for SVMs (10-Fold Cross Validation). The number of sample *N* is the number of participants (23) times the number of N-Check-Mate tasks (11). Columns are the modality subset chosen to train the SVM (G: Gaze, B: Body, E: Emotion).

Secondly, modality fusion varied between 81% and 86% for any possible combination which is less than a unimodal approach. To perform expertise classification, one might be tempted to choose only body or emotions features. However, a system relying on a unique modality may face unreliable or noisy data in real life conditions. For example, an emotion detection can not be performed if there is occlusions in front of the subject head. Being able to rely on multi modalities to build a robust system is essential. Now, as several features characterize each modality and our system takes into account 47 features, we propose to evaluate the most relevant for the expertise classification task.

For this purpose, among existing methods in the literature, we selected two different techniques, the first one is the Minimum Redundancy Maximum Relevance (mRMR) technique [32] for the expressiveness of the selected features we experienced formerly [33] and the second is the Fisher Feature Selection [34,35]. These algorithms allow a dimensionality reduction of the feature space according to the maximal statistical dependency criterion based on mutual information. Both techniques search to maximize features relevance and effectiveness, however, they differ in their redundancy policy. Indeed, mRMR will try to reduce redundancy as much as possible even if its impact the overall effectiveness, on the other hand, Fisher may keep redundancy if it can improve effectiveness. We propose here to use both techniques separately on our data and compare results.

Using these techniques, we expect to reduce drastically the feature space to improve the data fusion performed by the SVM classifier. Both mRMR and Fisher techniques have been used on all our features and the resulting ranking are listed in Tables 5 and 6. A key information here is that among the top rated selected features, all three modalities are present. It confirmed that all modalities bring relevant information. Fisher's selection rather prefer to keep body and emotion information as its maximize effectiveness, this has been observed with SVM accuracy (see Table 4), even if some features are redundant (like agitation in x, y, z) where mRMR preferred to keep only one of them. It is interesting to observe that both techniques agreed to select top feature for each modality. Variance about agitation and volume combined with the number of self-touches give us significant information about body behavior. Variance, standard deviation and mean about valence, heart rate and —negatives—basics emotions (disgusted, scared and angry) capture emotional reactions the participants when being challenged. Finally, as specified in Section 4.1.3, fixation duration is relevant to classify a participant as expert or intermediate.

With the aim of benefit from both feature selections, the impact on accuracy is showed on Figures 7 and 8. Highest accuracy score for mRMR selection is 84% using its top 9 ranked features. Using Fisher features selection, accuracy can reach up to 90% with its top 7 ranked features. One interesting observation from these plots is the two different paces for accuracies to reach their maximum. Only 4 non redundant features combined from mRMR ranking are enough to reach more than 80% of accuracy where the slowly but steady curve from Fisher needs to combine 7 features to go beyond this 80% threshold (and going even way higher with 90%). 8 firsts features are enough to reach up to 77%, which is close from the one achieved earlier (82%) by using all features.

These results show that features from different modalities are not equally relevant. Figure 7 illustrates that after certain amount of features, performance stagnates or even decreases slightly. This phenomena starts after 15 and 17 features for mRMR and Fisher techniques respectively. This can have 2 explanations. The first one is that the number of input data is not high enough to take

advantage of these features. The second explanation is that these last features do not actually provide new information for classification. This hypothesis is consistent with the ranking results from both mRMR and Fisher techniques which classified them last and therefore shows that thy contain a large amount of redundant information already included in top features.

mRMR Ranking Order	Feature	Modality	Description
1	Y_Agitation_var	Body	Variation of agitation on Y axis
2	Disgusted_std	Emotion	Standard Deviation of the detected basic emotion: Disgusted
3	Fixation_Duration	Gaze	Average Fixation Duration on AOI
4	Valence_mean	Emotion	Mean of the computed Valence
5	Volume_var	Body	Variance of the body volume
6	HeartRate_std	Emotion	Standard Deviation of Heart Rate
7	Angry_var	Emotion	Variance of the detected basic emotion: Angry
8	SelfTouches_Count	Body	Average number of self-touches
9	Scared_var	Emotion	Variance of the detected basic emotion: Scared
10	Angry_mean	Emotion	Mean of the detected basic emotion: Angry
11	Fixation_Count	Gaze	Average Number of Fixation on AOI
12	X_Agitation_std	Body	Standard Deviation of agitation on X axis
13	Happy_mean	Emotion	Mean of the detected basic emotion: Happy
14	Disgusted_var	Emotion	Variation of the detected basic emotion: Disgusted
15	Volume_std	Body	Standard Deviation of the body volume
16	HeartRate_mean	Emotion	Mean of Heart Rate
17	Sad_std	Emotion	Standard Deviation of the detected basic emotion: Sad
18	Arousal_mean	Emotion	Mean of the computed arousal
19	SelfTouches_Duration	Body	Average duration of self-touches
20	Neutral_var	Emotion	Variation of the detected basic emotion: Neutral

Table 5. Ordered list of the 20 most relevant features generated by mRMR algorithm [32].

Table 6. Ordered list of the 20 most relevant features generated by Fisher algorithm [35].

Fisher Ranking Order	Feature	Modality	Description
1	Valence_mean	Emotion	Mean of the computed Valence
2	Y_Agitation_var	Body	Variation of agitation on Y axis
3	Z_Agitation_var	Body	Variation of agitation on Z axis
4	Y_Agitation_std	Body	Standard Deviation of agitation on Y axis
5	X_Agitation_var	Body	Variation of agitation on X axis
6	Angry_mean	Emotion	Mean of the detected basic emotion: Angry
7	Z_Agitation_std	Body	Standard Deviation of agitation on Z axis
8	X_Agitation_std	Body	Standard Deviation of agitation on X axis
9	Volume_mean	Body	Mean of the body volume
10	HeartRate_mean	Emotion	Mean of Heart Rate
11	Disgusted_std	Emotion	Standard Deviation of the detected basic emotion: Disgusted
12	Angry_var	Emotion	Variance of the detected basic emotion: Angry
13	Sad_mean	Emotion	Mean of the detected basic emotion: Sad
14	Fixation_Duration	Gaze	Average Fixation Duration on AOI
15	X_Agitation_mean	Body	Mean of agitation on X axis
16	Y_Agitation_mean	Body	Mean of agitation on Y axis
17	Z_Agitation_mean	Body	Mean of agitation on Z axis
18	Disgusted_var	Emotion	Variance of the detected basic emotion: Disgusted
19	Volume_std	Body	Standard Deviation of the body volume
20	SelfTouches_Count	Body	Average number of self-touches



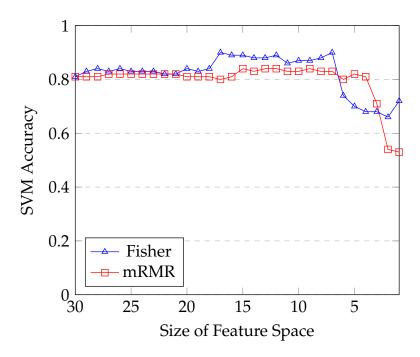


Figure 7. Mean of the accuracy scores from 10-fold cross-validation for SVMs with decreasing number of features. Features order is given by mRmR (see Table 5) and Fisher (see Table 6) algorithms.

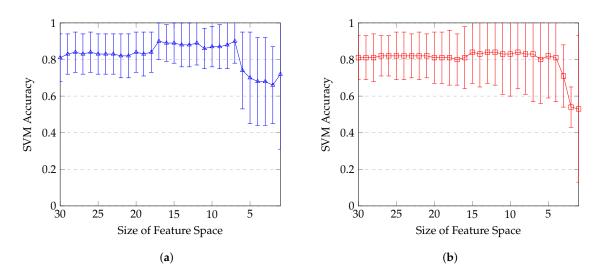


Figure 8. Mean with standard deviation of the accuracy scores from 10-fold cross-validation for SVMs with decreasing number of features. Features order is given by Fisher (see Table 6) and mRMR (see Table 5) algorithms. (a) Fisher ranking features; (b) mRMR ranking features.

5. Discussion

This research and primary results (see Section 4) show consistency results on unimodal features used to distinguish expert and intermediate chess players. Although these results appear promising, they are only preliminary: the number of participants (23); the variation of recording duration (from seconds to a couple of minutes depending on the task and players' expertise); and the tasks must all be expanded and developed. Due to the size of our dataset, generalizing this preliminary results is not possible for the moment. Further experiments must be conducted to validate them.

Our results showed that negative emotions carry significant information about participant's situation understanding. However, the semantic and class names used by the FaceReader software

(i.e., the 7 basics emotions) should be carefully interpreted. This study does not intend to demonstrate that human engage in problem solving would express always the same basic emotion but would rather show a variation in facial action unit (AU) activations. Thus, even if the ranking Tables 5 and 6 reveals that variations in negative emotions are relevant, one should understand that action units' activation (involved in these emotions) are varying significantly.

Both feature selections from mRMR and Fisher algorithms give interesting results and improve data fusion alongside with classification accuracy. By only considering relevant features and removing redundancy, mRMR feature selection uses its 4 top features to reach 81% of accuracy. Fisher selection authorizes redundancy if it can increase effectiveness, using 7 features, Fisher selection performs 90%. Observing these results, one might asks whether selection should be done, based on which criteria. One should question the importance of redundancy in a multi modal study. Real life recordings may experiment missing or noisy data on one or several modalities. Having redundancy between modality may allow the system to still operating even if some modality are facing unreliable data. Fisher selection shows that redundancy requires more features than non redundancy selection but can improve efficiently the accuracy. On the other hand, if a light system can not process too many data, one can chose only relevant and non redundancy features to guarantee maximum accuracy with the lowest set of features.

The conditions of the chess tasks should also draw attention. In the experimental configuration, chess players were facing a chess algorithm engine in tasks where they knew the existence of a winning sequence of moves. Moreover, players are seating (see Figure 1) in front of a touched screen and where asked to interact with it for playing. Some clues like body agitation or body volume may provide different information in another experimental environment. Also, participants may not be as engaged as they would have been in a real chess tournament facing a human opponent using an actual chess board. In these particular situations, involving stakes for players, the physiological reactions and emotional expressions are more interesting to observe.

Nevertheless, these experiments reveal that valuable information can be observed from human attention and emotions to determine understanding, awareness and affective response to chess solving problems. The feature selection gives good insight on where we should put our attention to grasp the most relevant information from every modality. Another underlying result is the validation of our setup in monitoring chess players.

6. Conclusions

This paper presents results from initial experiments with the capture and interpretation of multimodal signals of 23 chess players engaged in solving 13 challenging chess tasks. Reliable observations have been made with our experimental equipment composed of a Kinect capturing body posture, a webcam recording variation of facial action units and an eye-tracker analyzing gaze trajectories. These records contain relevant information about situation awareness of human engaged in solving 13 challenging chess tasks. In addition to validating our initial question that our set up can capture reliable recordings for such study, this equipment is based on off-the-shelf commercially available components as well as open source programs and thus can be easily replicated. As well as providing a tool for studies of participants engaged in problem solving, this equipment can provide a general tool that can be used to study the effectiveness of affective agents in engaging users and evoking emotions.

Afterward, unimodal and multimodal analysis have been perform on these signals to validate our second research question. Fixation durations from eye-gaze reveal encoding skills of participant according to their expertise level. Self-touching and body posture agitation can be interpreted as a stress reaction when participants are challenged. Finally variation in emotional responses of participants are correlated with task difficulty. Each of these modality carry enough information to answer our second research question about detecting when participants are challenged beyond their abilities. Moreover, Support Vector Machine classifiers trained with 10 cross-fold validation revealed that combining such modalities could also give good performances: 90% with only 7 features. This would allow us to build multimodal system that are more reliable and more stable than systems relying on only one sensor.

These results encourage us to perform further experiments increasing the number of participants, integrating more modalities and defining new set of reasoning tasks (full game task, facing human opponent, etc.). First lead to further improve interpretation of human engaged in problem solving is to embed protocol analysis and self report from participants in our system. This would provide better situation awareness and semantic annotations for our multimodal signals.

Acknowledgments: This research has been be funded by the French ANR project CEEGE (ANR-15-CE23-0005), and was made possible by the use of equipment provided by ANR Equipment for Excellence Amiqual4Home (ANR-11-EQPX-0002). Access to the facility of the MSH-Alpes SCREEN platform for conducting the research is gratefully acknowledged. We are grateful to all of the volunteers who generously gave their time to participate in this study and to Lichess webmasters for their help and approval to use their platform for this scientific experience. We would like to thank Isabelle Billard, current chairman of the chess club of Grenoble "L'Échiquier Grenoblois" and all members who participated actively in our experiments.

Author Contributions: For this study, James Crowley proposed the experiment and outlined its objectives and measures. All authors participated in designing the experiment. Thomas Guntz recruited participants and supervised the execution of the experiments. Thomas Guntz, Dominique Vaufreydaz and Raffaella Balzarini contributed in analyzing the data. Finally, all authors contributed to writing of the paper.

Conflicts of Interest: The authors declare no conflict of interest.

References

- 1. El Kaliouby, R.; Robinson, P. Real-time inference of complex mental states from facial expressions and head gestures. In *Real-Time Vision for Human-Computer Interaction*; Springer: Berlin, Germany, 2005; pp. 181–200.
- Baltrušaitis, T.; McDuff, D.; Banda, N.; Mahmoud, M.; El Kaliouby, R.; Robinson, P.; Picard, R. Real-time inference of mental states from facial expressions and upper body gestures. In Proceedings of the 2011 IEEE International Conference on Automatic Face & Gesture Recognition and Workshops (FG 2011), Santa Barbara, CA, USA, 21–25 March 2011; IEEE: Piscataway, NJ, USA, 2011; pp. 909–914.
- 3. Charness, N.; Reingold, E.M.; Pomplun, M.; Stampe, D.M. The perceptual aspect of skilled performance in chess: Evidence from eye movements. *Mem. Cogn.* **2001**, *29*, 1146–1152.
- 4. Reingold, E.M.; Charness, N. Perception in chess: Evidence from eye movements. In *Cognitive Processes in Eye Guidance*; University of Oxford: Oxford, UK, 2005; pp. 325–354.
- 5. Baltrušaitis, T.; Robinson, P.; Morency, L.P. OpenFace: An open source facial behavior analysis toolkit. In Proceedings of the 2016 IEEE Winter Conference on Applications of Computer Vision (WACV), Lake Placid, NY, USA, 7–10 March 2016; pp. 1–10.
- 6. Cao, Z.; Simon, T.; Wei, S.E.; Sheikh, Y. Realtime Multi-Person 2D Pose Estimation using Part Affinity Fields. *arXiv* **2017**, arXiv:1611.08050.
- 7. Simon, T.; Joo, H.; Matthews, I.; Sheikh, Y. Hand Keypoint Detection in Single Images using Multiview Bootstrapping. *arXiv* **2017**, arXiv:1704.07809.
- 8. Wei, S.E.; Ramakrishna, V.; Kanade, T.; Sheikh, Y. Convolutional pose machines. *arXiv* **2016**, arXiv:1602.00134.
- 9. Kahneman, D. *Thinking, Fast and Slow;* Macmillan Publishers: Basingstoke, UK, 2011.
- 10. Ekman, P.; Friesen, W.V. Nonverbal leakage and clues to deception. *Psychiatry* 1969, 32, 88–106.
- 11. Poh, M.Z.; McDuff, D.J.; Picard, R.W. Advancements in noncontact, multiparameter physiological measurements using a webcam. *IEEE Trans. Biomed. Eng.* **2011**, *58*, 7–11.
- 12. Shotton, J.; Sharp, T.; Kipman, A.; Fitzgibbon, A.; Finocchio, M.; Blake, A.; Cook, M.; Moore, R. Real-time human pose recognition in parts from single depth images. *Commun. ACM* **2013**, *56*, 116–124.
- 13. Stiefelhagen, R.; Yang, J.; Waibel, A. A model-based gaze tracking system. *Int. J. Artif. Intell.Tools* **1997**, 6, 193–209.
- Paletta, L.; Dini, A.; Murko, C.; Yahyanejad, S.; Schwarz, M.; Lodron, G.; Ladstätter, S.; Paar, G.; Velik, R. Towards Real-time Probabilistic Evaluation of Situation Awareness from Human Gaze in Human-Robot Interaction. In Proceedings of the Companion of the 2017 ACM/IEEE International Conference on Human-Robot Interaction, Vienna, Austria, 6–9 March 2017; ACM: New York, NY, USA, 2017; pp. 247–248.

- D'orazio, T.; Leo, M.; Distante, A. Eye detection in face images for a driver vigilance system. In Proceedings of the 2004 IEEE Intelligent Vehicles Symposium, Parma, Italy, 14–17 June 2004; IEEE: Piscataway, NJ, USA, 2004; pp. 95–98.
- 16. Giraud, T.; Soury, M.; Hua, J.; Delaborde, A.; Tahon, M.; Jauregui, D.A.G.; Eyharabide, V.; Filaire, E.; Le Scanff, C.; Devillers, L.; et al. Multimodal Expressions of Stress during a Public Speaking Task: Collection, Annotation and Global Analyses. In Proceedings of the 2013 Humaine Association Conference on Affective Computing and Intelligent Interaction (ACII), Geneva, Switzerland, 2–5 September 2013; IEEE: Piscataway, NJ, USA, 2013; pp. 417–422.
- Abadi, M.K.; Staiano, J.; Cappelletti, A.; Zancanaro, M.; Sebe, N. Multimodal engagement classification for affective cinema. In Proceedings of the 2013 Humaine Association Conference on Affective Computing and Intelligent Interaction (ACII), Geneva, Switzerland, 2–5 September 2013; IEEE: Piscataway, NJ, USA, 2013; pp. 411–416.
- Portaz, M.; Garcia, M.; Barbulescu, A.; Begault, A.; Boissieux, L.; Cani, M.P.; Ronfard, R.; Vaufreydaz, D. Figurines, a multimodal framework for tangible storytelling. In Proceedings of the WOCCI 2017—6th Workshop on Child Computer Interaction at ICMI 2017—19th ACM International Conference on Multi-modal Interaction, Glasgow, UK, 13–17 November 2017.
- Vaufreydaz, D.; Nègre, A. MobileRGBD, An Open Benchmark Corpus for mobile RGB-D Related Algorithms. In Proceedings of the 13th International Conference on Control, Automation, Robotics and Vision, Singapore, 10–12 December 2014.
- 20. Holmqvist, K.; Nyström, M.; Andersson, R.; Dewhurst, R.; Jarodzka, H.; Van de Weijer, J. *Eye Tracking: A Comprehensive Guide to Methods and Measures*; OUP Oxford: Oxford, UK, 2011.
- 21. Poole, A.; Ball, L.J. Eye tracking in HCI and usability research. *Encycl. Hum. Comput. Interact.* 2006, 1, 211–219.
- 22. Ehmke, C.; Wilson, S. Identifying web usability problems from eye-tracking data. In Proceedings of the 21st British HCI Group Annual Conference on People and Computers: HCI... but Not As We Know It, University of Lancaster, Lancaster, UK, 3–7 September 2007; Volume 1, pp. 119–128.
- 23. Den Uyl, M.; Van Kuilenburg, H. The FaceReader: Online facial expression recognition. In Proceedings of the Measuring Behavior, 2005, Wageningen, The Netherlands, 30 August–2 September 2005, Volume 30, pp. 589–590.
- 24. Langner, O.; Dotsch, R.; Bijlstra, G.; Wigboldus, D.H.; Hawk, S.T.; Van Knippenberg, A. Presentation and validation of the Radboud Faces Database. *Cogn. Emot.* **2010**, *24*, 1377–1388.
- 25. Goeleven, E.; De Raedt, R.; Leyman, L.; Verschuere, B. The Karolinska directed emotional faces: A validation study. *Cogn. Emot.* **2008**, *22*, 1094–1118.
- 26. Bijlstra, G.; Dotsch, R. FaceReader 4 emotion classification performance on images from the Radboud Faces Database. 2015. Available online: http://gijsbijlstra.nl/wp-content/uploads/2012/02/TechnicalReport_ FR4_RaFD.pdf (accessed on 2 March 2018)
- 27. Anzalone, S.M.; Boucenna, S.; Ivaldi, S.; Chetouani, M. Evaluating the engagement with social robots. *Int. J. Soc. Robot.* **2015**, *7*, 465–478.
- 28. Harrigan, J.A. Self-touching as an indicator of underlying affect and language processes. *Soc. Sci. Med.* **1985**, 20, 1161–1168.
- Johal, W.; Pellier, D.; Adam, C.; Fiorino, H.; Pesty, S. A cognitive and affective architecture for social human-robot interaction. In Proceedings of the Tenth Annual ACM/IEEE International Conference on Human-Robot Interaction Extended Abstracts, Portland, OR, USA, 2–5 March 2015; ACM: New York, NY, USA, 2015; pp. 71–72.
- 30. Aigrain, J.; Spodenkiewicz, M.; Dubuisson, S.; Detyniecki, M.; Cohen, D.; Chetouani, M. Multimodal stress detection from multiple assessments. *IEEE Trans. Affect. Comput.* **2016**, *PP*, 1.
- 31. De Groot, A.D. Thought and Choice in Chess; Walter de Gruyter GmbH & Co KG: Berlin, Germany, 1978.
- 32. Peng, H.; Long, F.; Ding, C. Feature selection based on mutual information criteria of max-dependency, max-relevance, and min-redundancy. *IEEE Trans. Pattern Anal. Mach. Intell.* **2005**, *27*, 1226–1238.
- 33. Vaufreydaz, D.; Johal, W.; Combe, C. Starting engagement detection towards a companion robot using multimodal features. *arXiv* **2015**, arXiv:1503.03732.

- 34. Duda, R.O.; Hart, P.E.; Stork, D.G. Pattern Classification; Wiley: New York, NY, USA, 1973; Volume 2.
- 35. Li, J.; Cheng, K.; Wang, S.; Morstatter, F.; Robert, T.; Tang, J.; Liu, H. Feature Selection: A Data Perspective. *arXiv* **2016**, arXiv:1601.07996.



 \odot 2018 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).