

1 **Regional changes in corneal shape over a 6 month follow-up**
2 **period post FS-LASIK**

3

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20 **Conflict of Interest**

21 The authors indicate no financial conflict of interest.

22

1 **Running title**

2 Regional changes in corneal shape post FS-LASIK

3

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8 **Synopsis:**

9 Corneal shape changes after LASIK followed different trends in different regions (from
10 central to peripheral area). Over the follow-up period, the shape changes were small
11 and followed a reverse trend.

12

13 Number of words: 3639

1 **Abstract**

2 **PURPOSE:** To assess the regional changes in corneal shape following FS-LASIK in
3 patients with different myopia extents.

4 **SETTING:** Eye Hospital, WenZhou Medical University, WenZhou, China.

5 **DESIGN:** Retrospective case series.

6 **METHODS:** A retrospective study of 608 myopic eyes treated with FS-LASIK was
7 conducted to assess the shape changes within different corneal regions following
8 surgery. Corneal curvature was measured in the central region (0-3mm diameter),
9 pericentral region (3-6mm diameter) and peripheral region (6-9 mm diameter) before
10 (pre) and after surgery (1 week: pos1w to 6 months: pos6m).

11 **RESULTS:** During the 6 month follow-up, the anterior cornea became steeper in
12 central and pericentral regions, but flatter in the peripheral region ($p < 0.01$),
13 representing a partial, gradual, yet significant reversal of the immediate change in
14 corneal shape after laser ablation. In contrast, the posterior surface experienced much
15 less change than the anterior surface, with the cornea becoming slightly flatter ($p < 0.01$)
16 in the central region at pos1w, and steeper elsewhere ($p < 0.05$), and remaining stable in
17 the rest of follow-up. On the other hand, anterior astigmatism experienced significant
18 decreases in the central region (pos1w, $p < 0.01$) and slight increases in the peripheral
19 region (pos1w, $p < 0.01$), and that remained stable over the follow-up period. In contrast,
20 posterior astigmatism experienced little and non-significant changes throughout follow-
21 up ($p > 0.05$).

22 **CONCLUSIONS:** Post-surgery shape changes that were different in different regions,
23 the follow-up period saw shape changes in individual corneal regions that represented
24 reverse trends but were much smaller than the short-term changes observed 1 week after
25 surgery.

26

27 **Keywords:** FS-LASIK, Corneal topography

28

1 **Introduction**

2 Most Refractive surgeries (RS), which reshape the anterior corneal surface to adjust the
3 refractive power of the eye, are becoming increasingly popular worldwide. Laser in situ
4 keratomileusis (LASIK) is currently the most common refractive surgery procedure,
5 known to be relatively safe and effective ¹⁻⁴. The refractive outcome of LASIK, which
6 depends on the post-operative corneal shape, is affected by several factors including the
7 surgery parameters (flap thickness and diameter, and ablation depth and profile), the
8 value of intraocular pressure (IOP), wound healing (causing alteration in corneal tissue
9 ultrastructure and hence mechanical stiffness) ⁵, and possible post-operative
10 inflammation.

11
12 The introduction of new technologies has enabled better control of the ablation profile,
13 improved energy delivery to corneal tissue and development of more effective ablation
14 algorithms. With these developments, 93% of eyes undergoing LASIK achieve a ± 1.00
15 D refractive outcome ⁶, and reach an acceptable visual acuity with few debilitating
16 visual complaints ⁷. Further, while current surgery planning is largely based on a
17 population-based normative response, corneal reaction to surgical ablation is
18 individualized, possibly causing residual refractive error, refractive regression, and
19 even corneal ectasia ⁸. The current increasing emphasis on customization of treatment
20 make it important to characterize corneal shape changes caused by the surgery.

21
22 Several earlier studies sought to evaluate the topographical changes after LASIK and
23 predict corneal response to surgical tissue subtraction ⁹⁻¹². These studies relied on
24 interpretation of corneal topography to analyze the changes in curvature, asphericity,
25 aberrations, whole corneal thickness, epithelium thickness and elevation of posterior
26 cornea ¹²⁻¹⁷. In these studies, the cornea was covered as one region without considering
27 that different sub-regions could be affected differently. This study attempts to address
28 this shortfall through a retrospective analysis of topography data with emphasis on the
29 regional variation in response to surgery, and with attention given to the shape changes
30 in a 6 months follow up period. The analysis covers separately the central, pericentral

1 and peripheral regions of both the anterior and posterior surfaces, and considers the
2 induced, and sometimes unexpected, modifications of corneal shape caused by LASIK.

3 4 **Materials and Methods**

5 6 *Study participants*

7 Patients who had undergone femtosecond assisted LASIK for myopia between -0.75
8 and -10.75 D and/or astigmatism between 0.00 and -3.00 D were evaluated
9 retrospectively, and records were included if the patients had completed a 6 month-long
10 post-operative follow-up including ophthalmologic examinations before surgery (pre),
11 and 1 week (pos1w), 1 month (pos1m), 3 months (pos3m) and 6 months (pos6m) post-
12 LASIK. 608 patients (303 male and 305 female, age: 22.8 ± 5.5 years) who had
13 undergone LASIK were included in the study. Since bilateral corneas are correlated
14 with each other and behave with mirror symmetry as reported in our earlier study ¹⁸,
15 only the right eyes were selected for analysis to avoid this confounding effect.

16
17 The protocol for the retrospective analysis was reviewed and approved by the ethic
18 Committee of the Eye Hospital, WenZhou Medical University. The LASIK procedure,
19 and pre-operative and post-operative ophthalmologic examinations were performed at
20 the Refractive Surgery Center of the Eye Hospital. In the LASIK procedure, 90-110 μm
21 thick, 8.0-9.0 mm diameter flap with a superior hinge was created using two
22 femtosecond laser machines (FEMTO LDV, Ziemer Ophthalmic Systems AG, Port,
23 Switzerland) or (IntraLase iFS150, Abbott Medical Optics, CA, USA). A 0.4-mm-thick
24 flap hinge was chosen in the former, and a 45° hinge with a 70° side-cut angle was set
25 up in the latter. This step was followed by tissue ablation using the Schwind Amaris
26 750 excimer laser (Schwind eye-tech-solutions, Kleinostheim, Germany).

27 28 *Data Acquisition*

29 The following clinical observations were recorded before surgery and after by 1 week,
30 1 month, 3 months and 6 months: refractive error (RE), corneal thickness data, and

1 elevation data of corneal anterior and posterior surfaces. Surgical parameters including
2 refractive error correction (REC), which consists of spherical (S) and cylindrical (C)
3 corrections, spherical equivalent (SE), astigmatism axis, and optical/transition zones
4 (OZ/TZ) were excerpted from medical records. Manifest RE before and 1 week and 6
5 months after surgery was measured with a phoropter (Nidek RT-2100; Nidek Inc,
6 Gamagori, Japan) and converted to SE. According to SE measured pre-surgery,
7 participants were divided into three groups with low myopia ($-0.50D > SE \geq -3.00D$, 59
8 eyes), moderate myopia ($-3.00D > SE \geq -6.00D$, 323 eyes) and high myopia group ($-$
9 $6.00D > SE$, 226 eyes). Corneal thickness and elevation were provided by a Pentacam
10 HR (OCULUS Optikgerate GmbH, Wetzlar, Germany; Software Version 6.02r23). The
11 best Pentacam measurement in each follow-up stage, with an instrument-generated
12 quality factor of at least 95% and 90% for the anterior and posterior surfaces,
13 respectively, was selected for analysis.

14

15 Elevation data with reference to a plane that is tangential to the corneal surface at the
16 apex and perpendicular to the ocular longitudinal axis was exported from Pentacam. As
17 some of the peripheral data were missing because of the eyelids and eyelashes, only
18 elevation data within the 9mm diameter central region were used in analysis. This
19 region included approximately 6400 data points with 0.1mm spacing in the horizontal
20 (temporal-nasal) and vertical (inferior-superior) directions. The elevation data $z(x, y)$ at
21 each point (x, y) on either the anterior or posterior corneal surface with Cartesian
22 coordinates was defined as the Z distance from corneal surface to an XOY plane passing
23 through the origin point, at which the instrument axis intercepts the cornea, which was
24 described in a previous study¹⁸ (Figure 1).

25

26 ***Computation of corneal curvature***

27 The elevation data of corneal topography within an aperture of 9 mm diameter was
28 imported into a bespoke Matlab code for surface fitting with a set of Zernike
29 polynomials up to order 10. The first and second derivatives of this Zernike expression
30 were then derived to calculate the principal curvatures and their corresponding principal

1 directions at each point on corneal surface, based on the differential geometry theory ¹⁹.
2 The local power of corneal surface was obtained as

$$3 \quad P_i(x, y) = (n' - n) \cdot \kappa_i(x, y), \quad i = 1, 2$$

4 where $\kappa_i(x, y)$ is the principal curvature at the location (x, y) , κ_1 and κ_2 are the min and
5 max principal curvatures, respectively, n and n' are the refractive indexes of the medium
6 separated by corneal surface. Then, the local corneal surface astigmatism $A(x, y)$ was
7 given by

$$8 \quad A(x, y) = P_2(x, y) - P_1(x, y)$$

9 we converted the local corneal surface power to local power vector form ²⁰ by using the
10 following equations:

$$11 \quad M(x, y) = \frac{P_2(x, y) + P_1(x, y)}{2}$$
$$12 \quad J_0(x, y) = -\frac{A(x, y)}{2} \cos 2\alpha_1(x, y)$$
$$13 \quad J_{45}(x, y) = -\frac{A(x, y)}{2} \sin 2\alpha_1(x, y)$$

14 where $\alpha_1(x, y)$ is the principal direction of the min principal curvature, $M(x, y)$ is the
15 local spherical equivalent, and $J_0(x, y)$ and $J_{45}(x, y)$ are the local astigmatism at 0-degree
16 and 45-degree meridians, respectively. Numerical integration was used to determine the
17 mean values of the power components M , J_0 and J_{45} over three corneal sub-regions;
18 central 0-3mm, pericentral 3-6 mm and peripheral 6-9 mm.

19 Keratometry, normally calculated based on topography data obtained in the central 3
20 mm diameter zone, is used to describe the central corneal shape ²¹. Further, most
21 refractive surgery procedures (such as LASIK and SMILE) consider the optical zone to
22 be around the 6 mm diameter area. In addition, there is high likelihood of peripheral
23 data beyond the 9 mm diameter region being missed due to interference by eyelids and
eyelashes ^{18,22}. For these reasons, the cornea's topography data was divided in this study

1 into three regions with diameters 0-3, 3-6 and 6-9 mm, respectively.

2

3 ***Statistical analysis***

4 Commercial software SPSS Statistics (version 20.0, IBM, Inc.) was utilized for all
5 statistical analyses. Analysis of variance (ANOVA) was carried out to compare the
6 shape parameters among the three groups with different myopia severity, while
7 MANOVA of repeated measurements was employed in the analysis of data obtained at
8 different follow-up periods for the same participant. Correlation analyses were assessed
9 using the Pearson's or Spearman linear correlation factor according to a normal
10 distribution test.

11

12 **Results**

13

14 Before surgery, SE was -2.38 ± 0.55 D, -4.62 ± 0.86 D and -7.73 ± 1.23 D in low, moderate
15 and high myopia groups, respectively. After the surgery procedure, RE showed some
16 limited hyperopia (pos1w: 0.09 ± 0.40 D, 0.23 ± 0.41 D and 0.32 ± 0.54 D for the three
17 myopia groups), and this hyperopia decreased during the follow-up period in moderate
18 and high myopia groups (pos6m: 0.12 ± 0.30 D, 0.19 ± 0.38 D and 0.13 ± 0.58 D). The
19 change in RE at pos6m compared with pos1w was statistically significant in high
20 myopia group (-0.19 ± 0.53 D, $p < 0.01$), while not significant in low and moderate
21 myopia groups (0.02 ± 0.39 D, $p = 0.806$; -0.04 ± 0.41 D, $p = 0.078$).

22

23 ***Changes in spherical refractive power***

24 Within the 0-3mm diameter anterior central region, the cornea became flatter with
25 surgery (42.67 ± 2.29 D vs 48.18 ± 1.58 D; $p < 0.01$), then gradually steeper post-surgery
26 in all three myopic groups (43.03 ± 2.13 D for pos6m; $p < 0.01$, Table 1), Figure 2A,C,E
27 (black lines). The steepening at pos6m compared with pos1w was statistically
28 significant: 0.14 ± 0.24 D (45.37 ± 1.54 D vs 45.22 ± 1.61 D; $p < 0.01$), 0.21 ± 0.31 D
29 (43.54 ± 1.86 D vs 43.33 ± 1.89 D; $p < 0.01$) and 0.63 ± 0.45 D (41.68 ± 1.75 D vs
30 41.07 ± 1.86 D; $p < 0.01$) in low, moderate and high myopia groups, respectively, and
31 these curvature changes showed significant increases with myopic correction ($r = -0.537$,

1 p< 0.01).

2

3 In contrast, the anterior peripheral annulus region (6-9mm diameter) showed the
4 opposite trends as curvature became steeper after surgery (48.54 ± 2.12 D vs 44.94 ± 1.65
5 D for pos6m and pos1w, respectively; $p < 0.01$), then flatter gradually from pos1w to
6 pos6m in all myopic groups (47.90 ± 2.04 D; $p < 0.01$, Table 1), Figure 2A,C,E (blue
7 lines). Corneal curvature became flatter at pos6m compared with pos1w by -0.45 ± 0.73
8 D (46.15 ± 1.79 D vs 46.59 ± 1.71 D; $p < 0.01$), -0.62 ± 0.92 D (47.56 ± 1.86 D vs
9 48.19 ± 1.89 D; $p < 0.01$) and -0.71 ± 1.12 D (48.85 ± 1.88 D vs 49.54 ± 2.02 D; $p < 0.01$) in
10 low, moderate and high myopia groups, respectively, while no correlation was found
11 with REC (Total: $r = 0.078$, $p = 0.058$).

12

13 The red lines in Figure 2A,C,E show that the anterior surface shape changes within the
14 pericentral annulus region (with diameter between 3 and 6 mm) were similar to the
15 central regions (Table 1). The steepening at pos6m compared with pos1w was
16 statistically significant; 0.31 ± 0.25 D (45.47 ± 1.46 D vs 45.15 ± 1.49 D; $p < 0.01$), 0.38
17 ± 0.41 D (44.69 ± 1.72 D vs 44.33 ± 1.73 D; $p < 0.01$) and 0.35 ± 0.35 D (44.74 ± 1.64 D
18 vs 44.40 ± 1.66 D; $p < 0.01$) from low to high myopia groups, respectively. However,
19 these curvature changes were not correlated with REC ($r = 0.016$, $p = 0.690$).

20

21 Compared with anterior corneal surface, the curvature of posterior surface in all three
22 regions experienced much less change (Table 2). In all groups, posterior corneal
23 curvature became slightly flatter (-6.26 ± 0.24 D vs -6.29 ± 0.23 D; $p < 0.01$) in the central
24 region (0-3mm diameter), and slightly steeper in both the pericentral (-6.25 ± 0.23 D vs
25 -6.24 ± 0.22 D; $p < 0.05$) and peripheral regions (-5.70 ± 0.28 D vs -5.65 ± 0.25 D; $p < 0.05$)
26 after surgery (pos1w) compared with pre-operation period (pre), then remained almost
27 unchanged in the remainder of the follow-up period (Figure 2B,D,F). The difference in
28 posterior curvature between pre-surgery and pos1w increased with REC in the central
29 region ($r = -0.12$, $p < 0.01$) but not the pericentral and peripheral regions ($p > 0.05$).

30

1 ***Changes in astigmatic refractive power***

2

3 The anterior central region with 0-3mm diameter experienced significant changes ($p <$
4 0.01) in corneal astigmatism, from J_0 : -0.60 ± 0.39 D and J_{45} : -0.04 ± 0.23 D pre-surgery
5 to J_0 : -0.26 ± 0.27 D and J_{45} : 0.04 ± 0.19 D at pos1w, then remained stable in the follow-
6 up period compared to pos1w ($p > 0.05$), Figures 3A,C,E; 4A,C,E (black lines), Table
7 3, 5. The small differences in astigmatic curvature between pos1w and pos6m were not
8 correlated with REC (J_0 : $r = -0.039$, $p = 0.338$; J_{45} : $r = -0.071$, $p = 0.084$).

9

10 On the other hand, the anterior peripheral annulus region (6-9mm diameter) exhibited
11 slight increases in corneal astigmatism at pos1w (J_0 : -0.85 ± 0.82 D, $p < 0.01$; J_{45} : $-$
12 0.22 ± 0.46 D, $p < 0.01$) compared with pre surgery (J_0 : -0.58 ± 0.54 D and J_{45} : -0.13 ± 0.26
13 D), then remained stable in the rest of the follow up period ($p > 0.05$), Figures 3A,C,E;
14 4A,C,E (blue lines), Table 3, 5. In contrast, the anterior pericentral region (with 3-6 mm
15 diameter) had stable J_0 at all stages ($p > 0.05$), while J_{45} changed slightly ($p < 0.01$) until
16 pos1m (-0.10 ± 0.23 D) vs pre stage (-0.13 ± 0.21 D), then remained stable afterwards ($p >$
17 0.05), Figures 3A,C,E; 4A,C,E (red lines), Table 3, 5. As for the posterior surface,
18 corneal astigmatism J_0 and J_{45} experienced little and non-significant changes ($p > 0.05$)
19 between groups with different myopia extents, over the follow up period and in all three
20 surface regions considered, Figures 3B,D,F; 4B,D,F (black lines) , Table 4, 6.

21

22 ***Changes in corneal thickness***

23

24 Corneal thickness at 0.7mm radial distance from apex (taken to represent the cornea's
25 central 0-3mm region) experienced large reductions following surgery of -52.8 ± 14.9
26 μm , -85.4 ± 18.9 μm and -117.1 ± 16.8 μm in the low, moderate and high myopia groups,
27 respectively. These values reduced significantly to -36.6 ± 13.4 μm , -54.2 ± 15.1 μm and
28 -69.3 ± 14.1 μm at 2.2mm radial distance (representing the pericentral 3-6mm region)
29 and then -10.6 ± 17.4 μm , -8.4 ± 11.4 μm and -11.8 ± 12.3 μm at 3.7mm distance
30 (representing the peripheral 6-9mm region).

1

2 Over the rest of the follow-up period, the thickness experienced gradual small recovery
3 in the central and pericentral regions ($p < 0.01$). The increase in thickness at 0.7mm
4 radial distance was less in low ($3.7 \pm 7.3 \mu\text{m}$, $p < 0.01$) and moderate ($5.2 \pm 7.6 \mu\text{m}$, $p <$
5 0.01) myopia groups than that in high myopia group ($9.3 \pm 8.6 \mu\text{m}$). These values
6 reduced significantly to $2.1 \pm 8.1 \mu\text{m}$, $3.0 \pm 8.0 \mu\text{m}$ and $4.6 \pm 9.1 \mu\text{m}$ ($p < 0.05$) at 2.2mm
7 radial distance. Further, the differences in thickness between pos1w and pos6m were
8 significantly correlated with REC (0.7mm: $r = -0.244$, $p < 0.01$, Figure 5A; 2.2mm: $r = -$
9 0.089 , $p < 0.05$, Figure 5B). On the other hand, the peripheral region experienced small
10 and insignificant decreases in thickness at pos6m compared with pos1w of -0.7 ± 11.4
11 μm , $-1.7 \pm 12.0 \mu\text{m}$ and $-1.5 \pm 12.8 \mu\text{m}$ ($p > 0.05$), which were not significantly correlated
12 with REC (3.7 mm: $r = -0.001$, $p > 0.05$, Figure 5C).

13

14 **Discussion**

15 Despite the significant corneal tissue loss in laser refractive surgeries, the planning of
16 the procedures still ignores the resulting effect on corneal biomechanics, and hence on
17 surgical outcome^{8,23}. This effect is made complex by the microstructure of the cornea,
18 in which the cornea has mainly horizontal and vertical collagen fibrils at the centre,
19 circumferential fibres at the limbus and intermediate fibrils in between²⁴. Since
20 collagen fibrils are the main load carrying components of the stroma, this variation in
21 microstructure is expected to lead to variations in the cornea's response to refractive
22 surgery from one region to another. This paper aims to quantify these variations, with
23 particular emphasis on the topography change over a long, 6 month follow-up period.

24

25 In the central corneal region (0-3mm diameter), the central corneal surface undergoes
26 significant changes following surgery, becoming flatter and less astigmatic while the
27 posterior surface undergoes a smaller change in both spherical curvature and
28 astigmatism. This trend became more evident with deeper ablation, in groups with
29 moderate and high myopia, where the flattening trend was stronger in both anterior and
30 posterior surfaces than in the low myopia group. Compared with the anterior surface,

1 the posterior surface continued to undergo lower but still significant changes. A similar
2 but less clear trend was observed in the anterior pericentral annulus region (3-6mm
3 diameter), where ablation made the anterior cornea flatter while astigmatism remained
4 stable, and this trend was stronger with moderate and high myopia, it was weaker than
5 in the central region. Meanwhile, the posterior cornea continued to undergo little but
6 still significant steepening regardless of the extent of myopia, similar to what has been
7 reported in a previous study ²⁵. This trend was partly reversed in the peripheral region
8 (6-9mm diameter) where both the anterior and posterior surfaces became steeper and
9 more astigmatic following surgery. Such trend became stronger from low to high
10 myopia in the anterior surface, as well as the posterior surface but with smaller changes,
11 which were not correlated with the degree of refractive error correction.

12
13 The extended follow-up (up to 6 months) in this study enabled analysis of the long-term
14 shape changes following LASIK and the subsequent wound healing process. The results
15 point clearly at the reversal of the short-term trends discussed above, with the anterior
16 cornea becoming steeper in the central region and flatter in the peripheral region, albeit
17 with much less change compared with that observed immediately after surgery. The
18 same observation was repeated with thickness measurements, where the immediate
19 reductions, caused by ablation, was followed by slight increases over the 6 month
20 follow up period. The increase in corneal thickness was correlated with refractive error
21 correction in both central and pericentral regions. Meanwhile, the astigmatic corneal
22 refractive power in anterior surface, and both spherical and astigmatic refractive power
23 in posterior surface remained stable during the follow-up period.

24
25 The reshaping of the cornea is undoubtedly influenced by a combination of flap
26 separation, tissue ablation, associated biomechanical weakening and later wound
27 healing. In the short term, immediately after surgery, tissue ablation should lead to
28 flattening of the anterior central surface. However, the associated mechanical
29 weakening, due to tissue ablation and flap separation, will cause shape changes that can
30 follow one of the two options depicted in Figures 6A and C, or the intermediate behavior

1 illustrated in Figure 6B. In option A, weakening of the central cornea leads to easier
2 pushing out of the peripheral region under intraocular pressure (IOP), and flattening of
3 anterior central region. In contrast, in option C, the weaker central region is further
4 curved under IOP, pulling the peripheral region towards the center. The result is that the
5 central cornea becomes steeper while the periphery becomes flatter. Considering the
6 hyperopia outcome at one week after surgery ($0.25\pm 0.46D$), it is expected that Option
7 A was more plausible. Then over the rest of the follow up period, up to 6 months after
8 surgery, it is expected that the wound healing would take effect in anterior stroma, and
9 this possibly was responsible for most if not all the reverse changes in corneal shape to
10 account for the decrease in hyperopia according to the mechanism depicted in Figure
11 6C ($0.16\pm 0.46D$).

12
13 Therefore, the immediate changes in corneal topography observed after surgery are
14 caused by both the geometric effects and biomechanical weakening of corneal structure
15 associated with tissue ablation and flap separation. On the other hand, the long-term
16 changes, observed in this study between pos1w and pos6m stages, are expected to be
17 related to the biomechanical effect of wound healing. Since wound healing is expected
18 to lead to tissue stiffening ²⁶, it is logic to cause some reversal of the immediate effects
19 of surgery, which leads to mechanical softening and weakening of the cornea. These
20 progressive changes will continue according to a previous study ²⁷, which indicated that
21 manifest refraction continued to regress up to 5 years after surgery, but the variation
22 amplitude would become significantly small beyond 6 months.

23
24 Epithelial and stromal remodeling may play a further role in post-surgery changes in
25 corneal shape, but this role remains controversial ²⁸. While epithelial response to
26 myopic ablation was found greater in the central sub-region than in pericentral in some
27 studies with very high-frequency (VKH) digital ultrasound ^{29, 30}, others reported the
28 opposite trend ¹⁶. Erie reported no change in stromal thickness between pos1m and
29 pos12m after LASIK ³¹, while it was found to be significantly higher at pos1m ³² in
30 Avunduk's study. The change in manifest refractive error was much lower than the

1 steepening effect of anterior central cornea for the three levels of correction at pos6m
2 compared with pos1w. The calculation of post-operative corneal refraction assumed the
3 shape change only took place in the stroma. However, the shape change in anterior
4 central corneal surface during the follow up after LASIK is mainly due to regrowth of
5 both the epithelium and stroma ³³, which meant that the actual change in corneal
6 refraction was lower than that calculated for the corneal anterior surface.

7
8 The apex in Pentacam topography maps, which coincides with corneal vertex where the
9 instrument axis intersects the cornea, was close to the corneal purkinjie reflex ³⁴ used
10 as the ablation center in this study. Therefore, the changes in angle kappa would not be
11 expected to lead to any notable change in analysis results. However, as laser ablation
12 instruments vary in their cutting algorithms, these variations may have an effect on the
13 topography results obtained for different corneal regions. Further, the thickness
14 information on epithelium and stroma could not be assessed in our study due to
15 limitations in the instrument used (Pentacam). All above indicated were considered as
16 the shortcomings of the study.

17
18 While there is agreement among most studies on the significant trends of anterior
19 topography changes following refractive surgery, there is still disagreement on the
20 posterior changes – apart from the fact that they are much smaller than those observed
21 in the anterior surface. A number of earlier studies reported increased posterior corneal
22 elevation after surgery ^{9, 35-37} that grew with smaller corneal thickness, lower residual
23 thickness, higher myopic correction and higher IOP ^{9, 35}. However, these findings,
24 which are not compatible with the results of the present study, were derived from
25 Orbscan measurements (Bausch & Lomb, Rochester, NY), whose accuracy post corneal
26 refractive surgery, especially for posterior corneal surface assessment, has been largely
27 contested ³⁸.

28
29 Other studies, based on scheinplflug or OCT technology, reported insignificant changes
30 in posterior corneal elevation and curvature ^{14, 22, 39-42}, including slight central flattening

1 and peripheral steepening ¹⁷ (in agreement with the present study), followed by further
2 longer-term overall flattening ^{25, 43-46} or small fluctuations ^{11, 12}. Similar inconsistent
3 findings were reported following other forms of corneal refractive surgery; PRK,
4 SMILE and LASEK ^{39, 46-49}. The posterior surface showed a slight backward shift
5 during the post SMILE period ⁵⁰.

6

7 In order to evaluate the changes in corneal elevation caused by surgery, it would be
8 ideal to use a reference surface that remains stable throughout all pre and post-surgery
9 stages, possibly located on the limbus (with 11-12mm diameter). However, since the
10 accuracy of topography maps reduced progressively towards corneal periphery, only
11 data located within the 8-9 mm diameter region were included in analysis ^{11, 18, 22}. For
12 this data, the reference surface, such as the best-fit sphere (BFS), varied between pre
13 and post-surgery, even if the same data region and surface setting were chosen ^{11, 49},
14 since the change in corneal apex location post-surgery introduced changes in the
15 coordinate system used. These possible alterations in the reference surface may make
16 the elevation changes at specific points, caused by refractive surgery, appear to undergo
17 fluctuations as described in earlier studies ^{14, 42, 11, 12, 25, 43}. For this reason, tangential
18 curvature, which depends on the relative position of adjacent points and is not
19 influenced by the change in reference plane, was used in this study instead of elevation
20 data. This was considered more reliable than the BFS, which could not be used
21 accurately to characterize corneal astigmatism especially in the peripheral sub-region.

22

23 To conclude, the study used a large, gender-balanced database of topography maps
24 obtained before and up to 6 months after LASIK refractive procedure. The database
25 was analyzed to determine the shape changes in both anterior and posterior topography
26 at central, pericentral and peripheral regions, and in groups with low ($-0.50D > SE \geq -$
27 $3.00D$), moderate ($-3.00D > SE \geq -6.00D$) and high myopia ($-6.00D > SE$). The analysis
28 showed immediate steepening and increased astigmatism in the anterior peripheral
29 regions, opposite trends in the central and pericentral anterior region, and smaller yet
30 significant similar changes in the posterior surface. Over a 6 month follow-up period,

1 shape changes in most anterior regions followed a reverse trend with amplitudes that
2 were much smaller than the short-term changes observed 1 week post-surgery, yet
3 significantly correlated with refractive error correction in the central anterior region.
4 Meanwhile corneal astigmatism and posterior surface curvature in the three sub-regions
5 remained stable during the follow up period. The results observed in this study are
6 expected to lead to better understanding of the shape changes – both short and long term
7 – following refractive surgery, and to assist in efforts to improve the prediction and
8 planning of the procedures.

9

10 **WHAT WAS KNOWN**

- 11 ● Most earlier studies, sought to evaluate the topographical changes after LASIK, in
12 which the cornea was covered as one region without considering that different sub-
13 regions may respond differently to surgery.
- 14 ● Central anterior cornea becomes flatter after myopic refractive surgery.
- 15 ● Change in posterior corneal surface remain controversial.

16 **WHAT THIS PAPER ADDS**

- 17 ● Evidence has been presented that different corneal regions and different corneal
18 surfaces respond differently to LASIK surgery.
- 19 ● Analysis results based on a large, gender-balanced database of topography maps
20 obtained before and up to 6 months after FS-LASIK showed immediate steepening
21 and increased astigmatism in the anterior peripheral regions, opposite trends in the
22 central and pericentral anterior region, and smaller yet significant similar changes
23 in the posterior surface.
- 24 ● Over a 6 month follow-up period, shape changes in most regions followed a reverse
25 trend with amplitudes that were much smaller than the short-term changes observed
26 1 week post-surgery, these curvature changes showed significant increases with
27 myopic correction in central anterior region.
- 28 ● Corneal astigmatism and posterior surface curvature in the three sub-regions
29 remained stable during the follow up region.

30

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26

1 **Figure Captions:**

2 **Figure 1** Corneal regions including, central 0-3mm, pericentral 3-6 mm and peripheral
3 6-9 mm regions

4 **Figure 2** Changes in mean corneal curvature in different corneal regions and in eyes
5 with low, moderate and high myopia

6 **Figure 3** Change in astigmatic corneal curvature at 0-degree (J_0) in different corneal
7 regions and in eyes with low, moderate and high myopia

8 **Figure 4** Change in astigmatic corneal curvature at 45-degree (J_{45}) in different corneal
9 regions and in eyes with low, moderate and high myopia

10 **Figure 5** Correlation between the changes in corneal thickness between pos1w and
11 pos6m among different corneal regions with refractive error correction

12 **Figure 6** Conceptual models that depict possible corneal shape changes in response to
13 the LASIK procedure

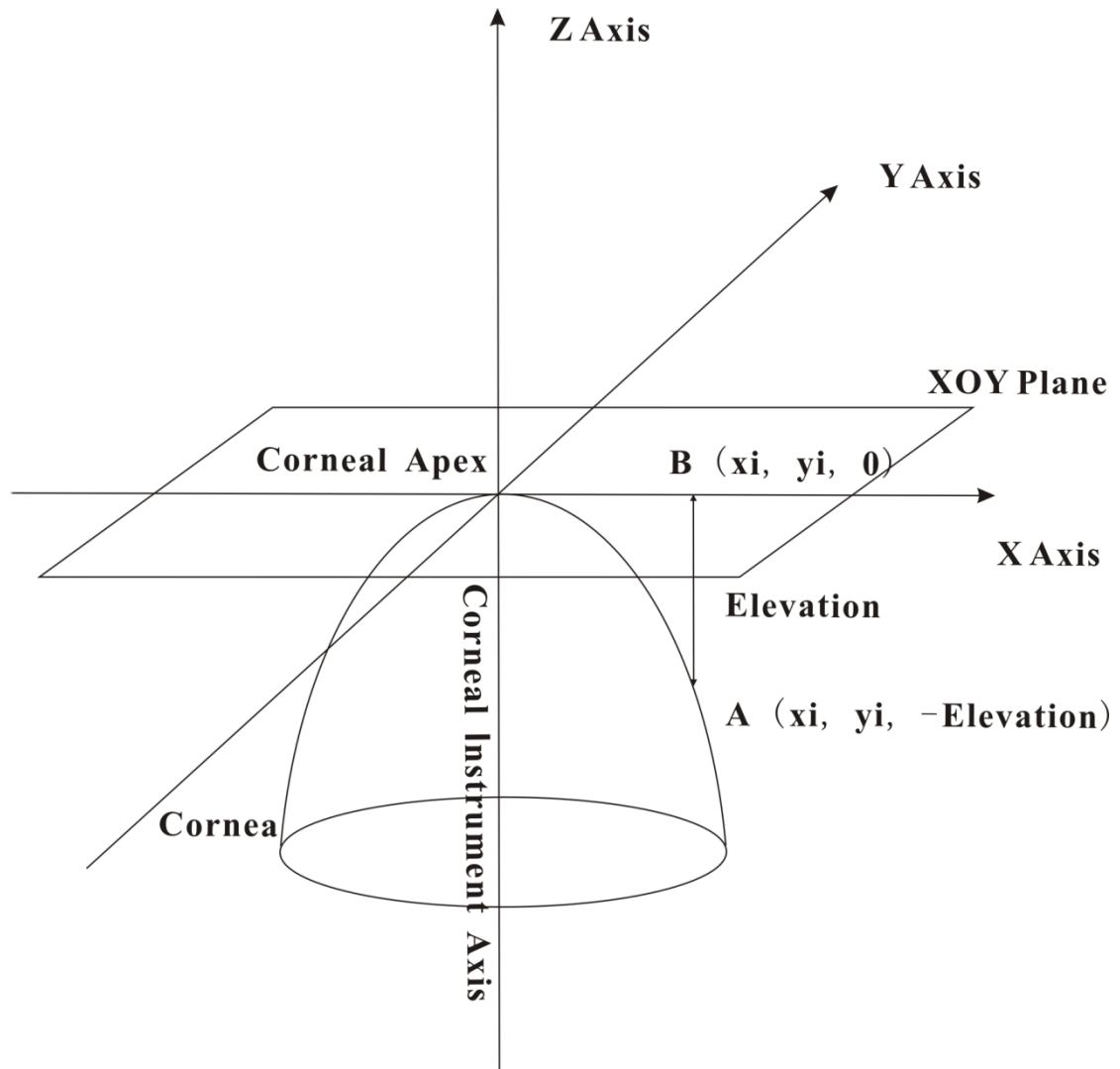


Figure 1 Elevation data obtained from the Pentacam. Elevation z_i is defined as the z axis distance between Point i and the XOY plane

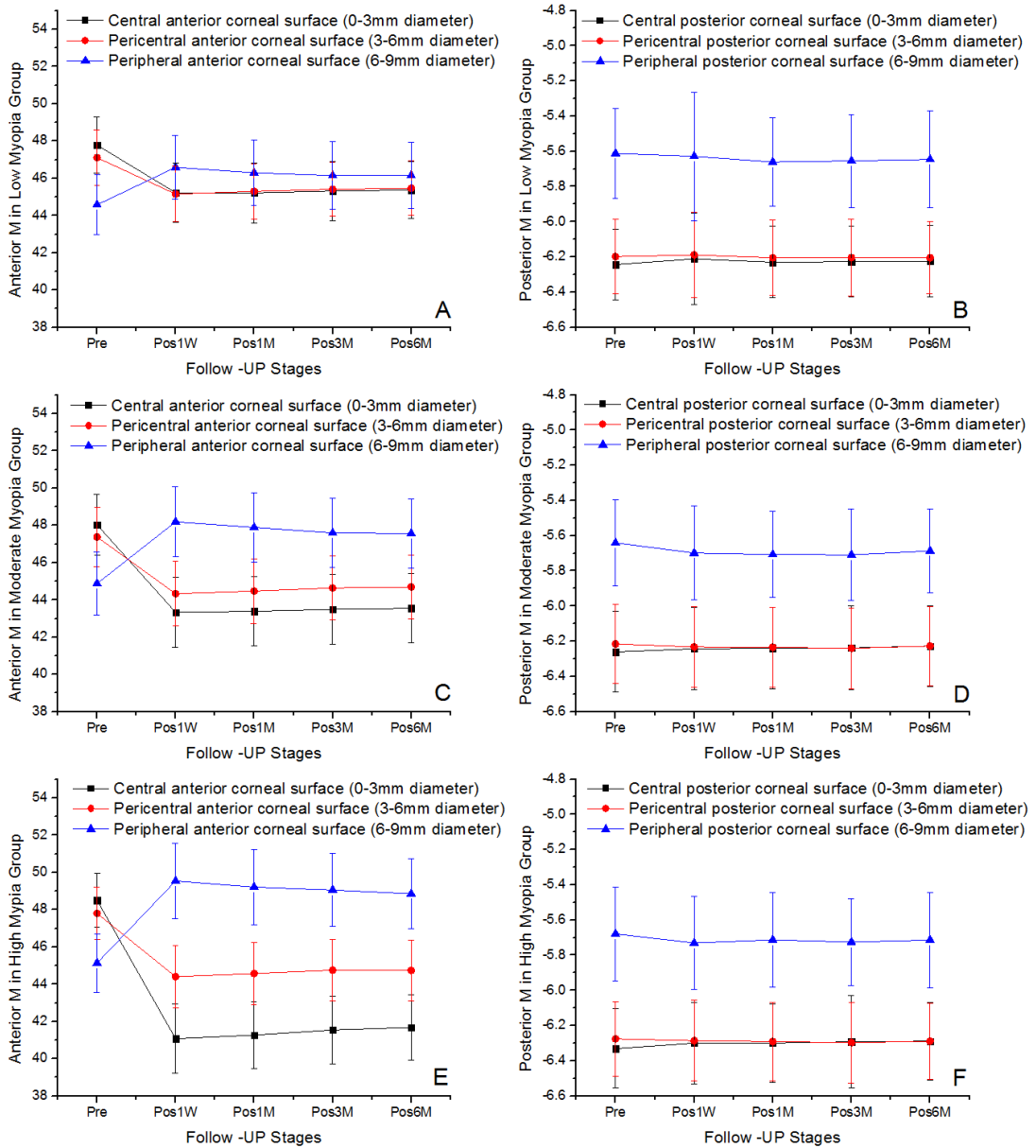


Figure 2 Changes in mean corneal curvature (M) in different corneal regions and in eyes with low, moderate and high myopia

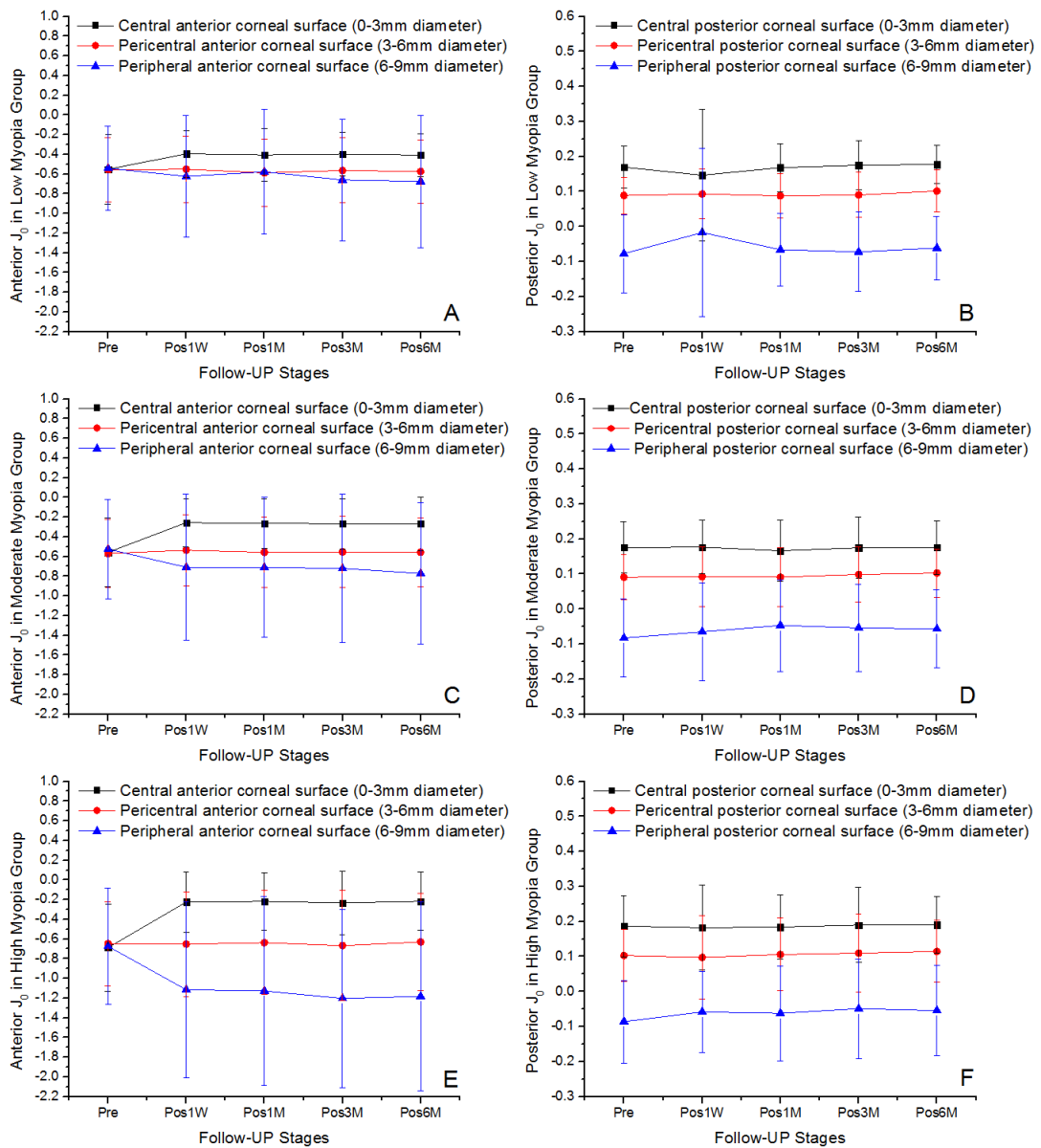


Figure 3 Change in astigmatic corneal curvature at 0-degree (J_0) in different corneal regions and in eyes with low, moderate and high myopia

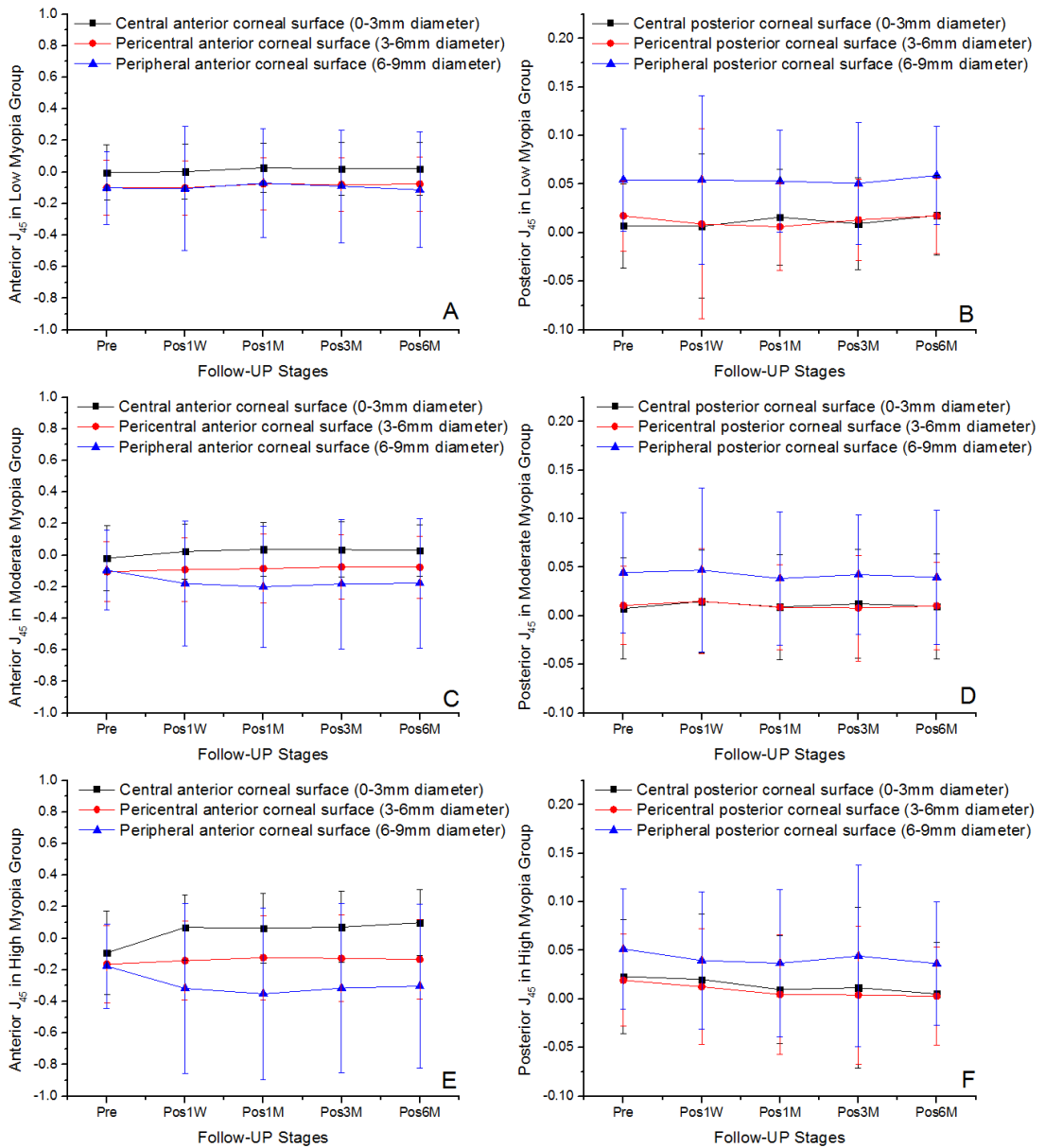


Figure 4 Change in astigmatic corneal curvature at 45-degree (J_{45}) in different corneal regions and in eyes with low, moderate and high myopia

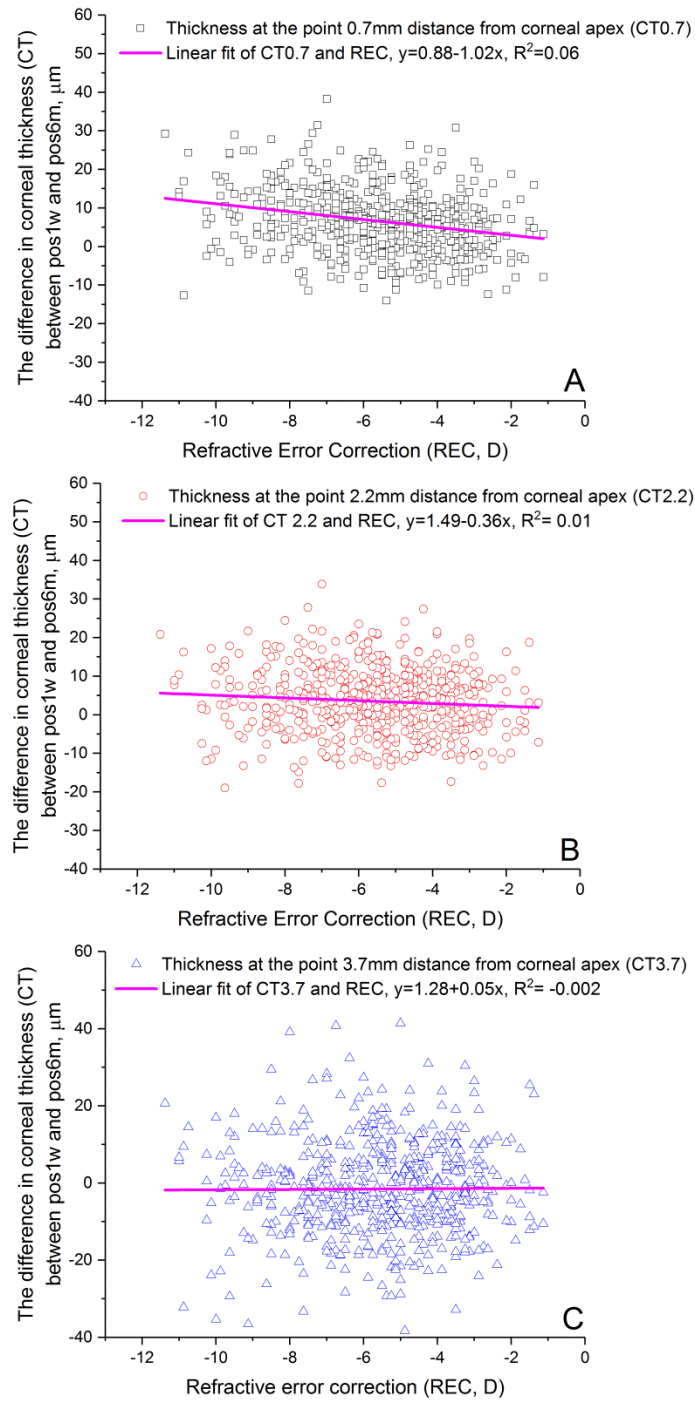


Figure 5 Correlation between the changes in corneal thickness between pos1w and pos6m among different corneal regions with refractive error correction

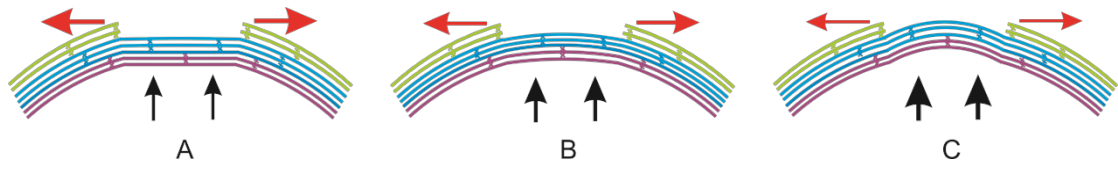


Figure 6 Conceptual models that depict possible corneal shape changes in response to the LASIK procedure

Table Captions:

Table 1: Changes in mean local spherical equivalent curvature (M) of anterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Table 2: Changes in mean local spherical equivalent curvature (M) of posterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Table 3: Changes in local astigmatism at 0-degree (J_0) of anterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Table 4: Changes in local astigmatism at 0-degree (J_0) of posterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Table 5: Changes in local astigmatism at 45-degree (J_{45}) of anterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Table 6: Changes in local astigmatism at 45-degree (J_{45}) of posterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Table 1: Changes in mean local spherical equivalent curvature (M) of anterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Changes between pre and post surgery stages	Central Area (0-3 mm)			Pericentral Area (3-6 mm)			Peripheral Area (6-9 mm)		
	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia
Pos1W - Pre	-2.55±0.59	-4.69±0.96	-7.43±1.21	-1.95±0.61	-3.05±0.79	-3.39±0.79	2.01±0.72	3.32±0.9	4.41±1.04
Pos1M - Pre	-2.57±0.56	-4.64±0.92	-7.24±1.08	-1.81±0.59	-2.90±0.75	-3.22±0.77	1.72±0.76	3.01±0.84	4.07±1.07
Pos3M - Pre	-2.46±0.59	-4.54±0.91	-6.97±1.13	-1.70±0.63	-2.74±0.7	-3.04±0.76	1.57±0.89	2.72±0.87	3.91±1.08
Pos6M - Pre	-2.41±0.58	-4.48±0.89	-6.83±1.01	-1.64±0.62	-2.68±0.74	-3.06±0.66	1.57±0.85	2.68±1.02	3.72±1.08

Table 2: Changes in mean local spherical equivalent curvature (M) of posterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Changes between pre and post surgery stages	Central Area (0-3 mm)			Pericentral Area (3-6 mm)			Peripheral Area (6-9 mm)		
	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia
Pos1W - Pre	0.03±0.15	0.02±0.05	0.03±0.06	0.01±0.09	-0.02±0.07	-0.01±0.07	-0.02±0.28	-0.06±0.16	-0.05±0.14
Pos1M - Pre	0.01±0.04	0.02±0.05	0.03±0.06	-0.01±0.05	-0.02±0.05	-0.02±0.08	-0.04±0.15	-0.07±0.12	-0.03±0.14
Pos3M - Pre	0.02±0.05	0.02±0.08	0.04±0.14	-0.01±0.06	-0.02±0.06	-0.02±0.10	-0.04±0.15	-0.07±0.14	-0.05±0.16
Pos6M - Pre	0.02±0.05	0.03±0.05	0.04±0.06	-0.01±0.06	-0.01±0.06	-0.01±0.07	-0.03±0.16	-0.05±0.14	-0.04±0.16

Table 3: Changes in local astigmatism at 0-degree (J_0) of anterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Changes between pre and post surgery stages	Central Area (0-3 mm)			Pericentral Area (3-6 mm)			Peripheral Area (6-9 mm)		
	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia
Pos1W - Pre	0.16±0.29	0.30±0.33	0.46±0.45	0.01±0.14	0.03±0.21	0.00±0.25	-0.08±0.5	-0.19±0.55	-0.44±0.68
Pos1M - Pre	0.15±0.29	0.29±0.35	0.47±0.44	-0.03±0.14	0.01±0.21	0.01±0.27	-0.04±0.49	-0.19±0.54	-0.45±0.73
Pos3M - Pre	0.15±0.30	0.29±0.33	0.45±0.43	-0.01±0.14	0.02±0.20	-0.02±0.32	-0.12±0.55	-0.20±0.56	-0.53±0.66
Pos6M - Pre	0.14±0.28	0.29±0.31	0.47±0.41	-0.02±0.16	0.01±0.20	0.02±0.24	-0.13±0.48	-0.25±0.53	-0.51±0.67

Table 4: Changes in local astigmatism at 0-degree (J_0) of posterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Changes between pre and post surgery stages	Central Area (0-3 mm)			Pericentral Area (3-6 mm)			Peripheral Area (6-9 mm)		
	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia
Pos1W - Pre	-0.02±0.18	0.00±0.05	0.00±0.1	0.00±0.05	0.00±0.07	-0.01±0.1	0.06±0.23	0.02±0.14	0.03±0.11
Pos1M - Pre	0.00±0.04	-0.01±0.07	0.00±0.07	0.00±0.03	0.00±0.07	0.00±0.09	0.01±0.10	0.04±0.11	0.02±0.14
Pos3M - Pre	0.01±0.05	0.00±0.07	0.00±0.09	0.00±0.04	0.01±0.06	0.01±0.10	0.00±0.11	0.03±0.12	0.04±0.14
Pos6M - Pre	0.01±0.05	0.00±0.05	0.00±0.05	0.01±0.04	0.01±0.05	0.01±0.06	0.02±0.1	0.03±0.11	0.03±0.12

Table 5: Changes in local astigmatism at 45-degree (J_{45}) of anterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Changes between pre and post surgery stages	Central Area (0-3 mm)			Pericentral Area (3-6 mm)			Peripheral Area (6-9 mm)		
	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia
Pos1W - Pre	0.01±0.18	0.04±0.21	0.16±0.31	0.00±0.11	0.01±0.12	0.02±0.15	0.00±0.28	-0.08±0.29	-0.14±0.42
Pos1M - Pre	0.03±0.18	0.06±0.21	0.16±0.29	0.02±0.09	0.02±0.14	0.04±0.14	0.03±0.26	-0.11±0.29	-0.18±0.41
Pos3M - Pre	0.02±0.18	0.05±0.21	0.16±0.28	0.02±0.11	0.03±0.14	0.04±0.17	0.01±0.26	-0.09±0.32	-0.14±0.41
Pos6M - Pre	0.02±0.19	0.05±0.19	0.19±0.25	0.02±0.12	0.03±0.12	0.03±0.14	-0.01±0.28	-0.08±0.31	-0.13±0.40

Table 6: Changes in local astigmatism at 45-degree (J_{45}) of posterior corneal surface between pre and post-surgery stages (1 week: pos1w, 1 month: pos1m, 3 months: pos3m and 6 months: pos6m) in different corneal regions and in eyes with low, moderate and high myopia

Changes between pre and post surgery stages	Central Area (0-3 mm)			Pericentral Area (3-6 mm)			Peripheral Area (6-9 mm)		
	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia	Low Myopia	Moderate Myopia	High Myopia
Pos1W - Pre	0.00±0.07	0.01±0.04	0.00±0.06	-0.01±0.1	0.00±0.04	-0.01±0.05	0.00±0.09	0.00±0.08	-0.01±0.07
Pos1M - Pre	0.01±0.05	0.00±0.05	-0.01±0.05	-0.01±0.04	0.00±0.04	-0.01±0.05	0.00±0.06	-0.01±0.07	-0.01±0.08
Pos3M - Pre	0.00±0.05	0.00±0.05	-0.01±0.08	0.00±0.03	0.00±0.05	-0.02±0.06	0.00±0.07	0.00±0.06	-0.01±0.09
Pos6M - Pre	0.01±0.04	0.00±0.04	-0.02±0.05	0.00±0.03	0.00±0.03	-0.02±0.04	0.00±0.05	0.00±0.07	-0.01±0.07