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1 **Development status and future prospects for a vaccine against *Chlamydia***
2 ***trachomatis* infection**

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20

21 Abbreviations

22

23 EB – Elementary body

24 INF-g – Interferon gamma

25 NHP – Non-human primate

26 MOMP – Major outer membrane protein

27 RB – Reticulate body

28

29 Abstract

30 *Chlamydia trachomatis* continues to be the most commonly reported sexually
31 transmitted bacterial infection in many countries with more than 100 million new
32 cases estimated annually. These acute infections translate into significant
33 downstream health care costs, particularly for women, where complications can
34 include pelvic inflammatory disease and other disease sequelae such as tubal factor
35 infertility. Despite years of research, the immunological mechanisms responsible for
36 protective immunity versus immunopathology are still not well understood, although it
37 is widely accepted that T cell driven IFN-g and Th17 responses are critical for
38 clearing infection. While antibodies are able to neutralize infections *in vitro*, alone
39 they are not protective, indicating that any successful vaccine will need to elicit both
40 arms of the immune response. In recent years, there has been an expansion in the
41 number and types of antigens that have been evaluated as vaccines, and combined
42 with the new array of mucosal adjuvants, this aspect of chlamydial vaccinology is
43 showing promise. Most recently, the opportunities to develop successful vaccines
44 have been given a significant boost with the development of a genetic transformation
45 system for *Chlamydia*, as well as the identification of the key role of the chlamydial
46 plasmid in virulence. While still remaining a major challenge, the development of a
47 successful *C.trachomatis* vaccine is starting to look more likely.

48

49

50 Chlamydial infection and disease

51 Tubal factor infertility (TFI) is a globally significant public health problem caused by
52 several microbial agents, including untreated genital infections with *Chlamydia*
53 *trachomatis* [1]. *C.trachomatis* remains the most commonly reported infectious
54 disease in many countries. It is estimated that in 2008, there were 106 million new
55 cases of *C.trachomatis* in adults (15 – 49 years) with an estimated 100 million people
56 infected at any one time [2]. These acute infections translate into significant
57 downstream health costs with an estimated 714,000 disability-adjusted life years
58 (DALYs) lost as a result of *C.trachomatis* infections [3]. In the United States alone,
59 direct medical costs for chlamydial infections exceed US\$500 million annually,
60 excluding costs for screening programs and indirect costs like lost productivity [4].

61

62 The largest burden of disease from *C.trachomatis* is in women where untreated
63 genital infections can lead to pelvic inflammatory disease (PID) and, in some cases,
64 sequelae including TFI (18% cases following symptomatic PID) resulting from
65 fallopian tube scarring [1,5]. Infections during pregnancy may cause premature labor
66 and may also cause neonates to develop conjunctivitis or pneumonia [6]. The high
67 prevalence of infections among women of child-bearing age exposes an estimated
68 100,000 neonates to *Chlamydia* annually in the United States [7]. In men,
69 *C.trachomatis* is the most commonly reported sexually transmitted infection (STI)
70 and the leading cause of non-gonococcal (non-specific) urethritis [8, 9]. Following
71 upper genital tract ascension, *C.trachomatis* may cause acute infectious epididymitis
72 [10]; *C.trachomatis* infections have been reported in 40-85% men with epididymitis
73 [11]. However, up to 90% of chlamydial infections in females and 50% in males are
74 asymptomatic. This indicates that the incidence of reported chlamydial infections
75 from surveillance data is likely a gross global under-estimate and that screening of
76 asymptomatics would detect even more infections [12-14].

77

78 The need for chlamydial vaccines

79 Potential interventions for reducing the incidence of infection and disease sequelae
80 associated with *Chlamydia* include; (i) educational-based behaviour change
81 promotion (e.g. increasing condom use or reducing partner numbers); (ii) increased

82 screening, treatment and contact tracing / partner notification; (iii) the development of
83 new biomedical prevention or therapeutic technologies (such as vaccines) (see
84 review by Gottlieb et al. in this issue) [15]. However, it is not feasible to implement
85 behaviour change campaigns to a sufficient scale and efficacy to result in population-
86 level impacts.

87

88 Since a *Chlamydia* vaccine is not currently available, the only viable public health
89 strategy is the scale-up of screening for chlamydial infection coupled with the
90 administration of a course of antibiotics and counselling or follow up for partner
91 notification or contact tracing and also rescreening. *Chlamydia* screening may be
92 cost-effective and partner notification is an effective adjunct, with treatment using
93 azithromycin evaluated to be cost-effective [16]. Screening is generally considered to
94 be acceptable and feasible among most target populations [17,18]. However, uptake
95 is likely to be the limiting factor, even in ideal study conditions with specific invitations
96 for screening, with less than 45% of populations at risk of *Chlamydia* being routinely
97 screened [18-22]. Modelling studies have indicated that at least 45-60% screening
98 levels are required to have noticeable epidemiological impacts [22-25] and these
99 coverage levels, or greater, must be sustained at least annually, indefinitely. It is
100 unlikely that the coverage and frequency of screening and treatment interventions
101 could reach sufficiently high levels to result in epidemic declines approaching
102 elimination. Not only are there issues of limited coverage and frequency which
103 reduces effectiveness, but treatment efficacy is not perfect [26-28], drug resistance is
104 possible, re-infection is extremely common,[29,30] and there is no end to the need to
105 continue regular rescreening.

106

107 In addition, despite continued improvements in diagnostic and screening procedures
108 for *Chlamydia*, and although antibiotics like azithromycin are available to treat
109 infections, notifications of infections continues to increase. Antibiotic treatment of
110 individuals may also increase susceptibility to re-infection, which is most likely due to
111 interrupting the natural course of protective chlamydial immunity [31]. Recently, data
112 from an *in vivo* study reported that not only were T-helper (Th)1 immune responses
113 against *C.trachomatis* in individual women slow to develop, but that these responses
114 were also altered by treatment with ceftriaxone and azithromycin [32]. Taken
115 together, these facts suggest that the current main line of defence against chlamydial

116 infections (ie. administration of antibiotics following screening) is far from fully
117 effective on a population level, and hence a vaccine may be the only way to address
118 this problem. In addition, the strategy of control programs based on screening,
119 treatment and contact tracing is extremely costly and requires substantial societal
120 infrastructure. This makes this approach impractical for the developing world, where
121 the burden of disease is the greatest.

122

123 Thus, development of a safe and effective vaccine is the ultimate goal in the control
124 of *Chlamydia*. The relative uptake of a vaccine versus screening is difficult to
125 quantify at present, but it is likely that a vaccine would be more widely accepted as
126 evidenced by uptake of the HPV vaccine in settings where it is available and
127 supported [33,34]. Costing of a *Chlamydia* vaccine is not possible at this stage.
128 However, based on experience from other vaccines, prices could be negotiated to
129 levels that are cost-effective. The most important issue of all is whether a vaccine
130 actually works, that is, has high efficacy and prevents acquisition of infection,
131 transmitting infection or developing disease. This can only be ascertained through
132 clinical research after the development of suitable vaccine candidate(s). With no
133 other long-term strategy available, investment in *Chlamydia* vaccine design,
134 development and evaluation is the most appropriate way forward.

135

136 Our objectives in this review are to discuss infections and diseases of the genital
137 tract caused by *C.trachomatis* with a focus on the complexities and challenges of
138 chlamydial vaccine development. These include considerations such as how to; (i)
139 better understand the range of immunological responses elicited by / to this
140 organism, and therefore to subsequently define effective vaccine antigens and
141 suitable biomarkers of protection, (ii) interpret the results obtained from animal
142 models of infection, (iii) optimally choose, combine, and present vaccine antigens
143 (surface and/or internal antigens, mucosal adjuvants) and, (iv) interpret mathematical
144 models to define effective vaccine goals for preventing acquisition of infection,
145 interrupting transmission, and/or preventing tubal disease.

146

147 The immunological challenges

148

149 *C.trachomatis* is a small (0.5µm) bacterium that elicits inflammatory cytokine
150 responses following infections of epithelial cells and macrophages. The complex,
151 two-stage developmental cycle of *Chlamydia* is described in Figure 1 (a). The
152 extracellular infectious elementary bodies (EB) avoid lysosomal fusion to survive and
153 differentiate into metabolically active reticulate bodies (RB) [35,36 and reviewed in
154 [37]). The chlamydial RBs then replicate by around 500-fold, and subsequently re-
155 differentiate into EBs inside a membrane-bound parasitophorous vacuole (“inclusion”)
156 eventually being released by extrusion and/or cytolysis after 40-72 hours to infect
157 new cells or hosts [38]. *Chlamydia* can also enter a persistent growth state if
158 exposed to molecular and cellular stresses such as inadequate antibiotic treatment
159 or host cytokines, particularly IFN-g. The persistent form is characterised by large
160 viable, non-infectious aberrant bodies (AB) (reviewed in [39]). In this form
161 chlamydiae are refractory to killing by azithromycin [40] and this may allow for *in vivo*
162 persistence of the pathogen.

163
164 In humans, immune responses to resolve *C.trachomatis* genital tract infections
165 apparently develop over months to years. In uncomplicated, productive chlamydial
166 genital infections, a myriad of host immune responses are elicited that include innate
167 and adaptive immune mechanisms acting to clear infection and to resist re-infection
168 [41](summarized in Figure 1 (b) and reviewed in [42]). *Chlamydia* can, however, also
169 grow inside macrophages and dendritic cells (DCs) to produce persistently-infected
170 cells (reviewed in [43]). In both productively and persistently-infected chlamydial host
171 cells inflammatory cytokines are released that may induce and sustain tissue
172 damage and host inflammatory responses [44-46]. Chlamydial infections induce
173 both innate and adaptive cascades but it is acknowledged that the key effectors for
174 both protection and pathology pathways are IFN-g and interleukin 17. While high
175 levels of IFN-g are chlamydicidal, low levels can actually result in persistence and
176 this may lead to worse pathology. This highlights the critical nature of the correct
177 balance between mechanisms of protection (as will be required for effective vaccines)
178 versus triggering adverse pathology.

179
180 During active primary infections in women, serum and genital mucosal IgA and IgG
181 antibodies to chlamydial EBs and specific chlamydial proteins including heat-shock
182 (HSP) and plasmid proteins, are usually detected [47]. In patients with current genital

183 infections, the predominant serum responses are maintained for at least 6 months
184 and are mainly IgG1 and IgG3 antibodies [48]. Local IgA antibodies correlate with
185 reduced shedding of the chlamydial organism from the genital tract [49]. However,
186 high titres of local IgA antibodies do not correlate with resolution of infection, but can
187 act as markers of prior chlamydial infections. The major role antibodies appear to
188 play in clearance of infection is in the enhancement of Th1 activation with CD4+ T
189 cells secreting IFN-g correlating primarily with the resolution of infections. Of note
190 however, is the fact that CD4+T cell immunity is slow to develop and therefore
191 infections frequently are repeated and chronic.

192

193 Chronic infections are characterised by genital tract inflammation and infiltration of
194 innate immune cells along with inflammatory mediators to the genital mucosa [for a
195 summary of chemokines and cytokines produced during chlamydial infections see
196 [50]. High levels of IFN-g are found in the cervix and fallopian tubes in women with
197 *C.trachomatis* genital tract infections [51]. IFN-g delays the developmental cycle of
198 *Chlamydia* which may result in persistent and in-apparent infections that might
199 contribute to promoting inflammatory damage of the genital tract [52].

200

201 The inability of immune responses to clear infections and prevent ascension of
202 bacteria to the oviduct is also due to several strategies used by *Chlamydia* to evade
203 the immune system [53]. Mechanisms used by *Chlamydia* to subvert host innate
204 immune responses include blocking transcription factor NF-kB activation directly
205 through the proteolysis of the p65/RelA subunit of NF-kB [54]. Virulence associated
206 genes of *Chlamydia* have also recently been reported to be transcriptionally
207 regulated by the Pgp4 protein encoded by the highly conserved 7.5kB cryptic
208 plasmid of *Chlamydia trachomatis* [55]. These genes include *pgp3* that encodes a
209 protein to which immune responses are elicited in patients with *C.trachomatis*
210 infection (see Table 1). *Chlamydia* also inhibit IFN-g-inducible major
211 histocompatibility complex (MHC) class II expression [56], down-regulate
212 MHC class I heavy chain (HC) presentation [57], and in human endocervical cells
213 this is mediated by direct and indirect (soluble) factors [58]. The multiple potential
214 mechanisms used by *Chlamydia* dampen immune responses have recently been
215 well summarized [50].

216

217 The consequent development of chlamydial disease following genital tract infections
218 in humans is multifactorial and involves not only chlamydial factors such as virulence
219 via different *C.trachomatis* strains but also host and environmental factors. For
220 example, a recent prospective study of African-American women with clinically
221 suspected mild to moderate cases of PID showed that gene polymorphisms in
222 several innate immune receptors (including Toll-like receptors [TLR] 1 and 4) were
223 associated with increased genital tract *C.trachomatis* infections [59]. The female
224 genital tract is also a unique mucosal site in that it is influenced by fluctuating
225 hormone levels and the polymicrobial environment. Hormone changes directly affect
226 cell type and indirectly affect both the innate and adaptive immune responses to
227 chlamydial genital infections [60]. Changes in bacterial flora and genital tract
228 inflammation are both suggested cofactors for persistence of *Chlamydia* at this site
229 and affect vaginal pH, which may be associated with the risk of acquiring
230 *C.trachomatis* infection [61] [62]. The reproductive tract microbiome, sex hormones
231 and immune responses are challenges for development of vaccines against genital
232 tract pathogens and are discussed in detail in a paper in the current issue [63].

233

234 The value of animal models

235 **What the mouse model has, and has not, told us**

236 While animal models are useful and convenient, they must provide data about
237 vaccination that will eventually be transferrable to the human situation. In the case
238 of chlamydial STIs, the mouse model is the most widely used model for infection,
239 pathogenesis and vaccine studies. Primary genital tract infections of female mice
240 with elementary bodies of the mouse-adapted *C.muridarum* strain are enough to
241 cause tubal dilatation since a consistent observation is the development of
242 hydrosalpinx shortly (1-2 days) after initial chlamydial infection in this model [64].
243 Hydrosalpinx characteristically is also associated with tubal factor infertility in
244 humans [65], making this model useful in this respect. However this observation in
245 the murine model contrasts with documented evidence from the guinea pig *C.caviae*
246 model of a primary genital tract infection in which chronic oviduct pathology was
247 reported in only 12% of the animals, even though almost 80% were infected[66]. In
248 humans, long-term chronic infections can develop after the primary infection [67] and
249 the risk of pathology is known to increase after repeated infections [5]. Thus the

250 guinea pig model, with observed pathology following primary chlamydial infections
251 and anatomy, and physiology similar to the human female genital tract, more closely
252 resembles human chlamydial disease than the murine model.

253 Choosing the most informative animal model to investigate CD4+ effector cell
254 subsets elicited to combat *C.trachomatis* genital tract infections in humans will
255 require prudence. Rather than using the mouse strain, *C.muridarum*, several groups
256 have used human *C.trachomatis* and shown that intravaginal inoculation of mice with
257 this strain results a mild, self-limiting, lower reproductive tract infection with minimal
258 ascension to the upper genital tract [68]. The eradication of *C.trachomatis* in the
259 mouse is reportedly independent of indoleamine 2, 3-dioxygenase (IDO) [69] and yet
260 this is a principle mechanism of protection against *C.trachomatis* infection in human
261 cells, where IDO-catalyzed tryptophan degradation starves the chlamydial inclusion
262 of this amino acid [70]. Nevertheless, using this murine model, it has been
263 established that to resolve genital chlamydial infection an influx of IFN-g-producing
264 CD4+ Th1 cells is required [71,72] along with numerous host factors including matrix
265 metalloproteinases (MMPs) such as MMP9 [73].

266 The host response to chlamydial infection is also proposed to directly damage
267 mucosal tissues of the female genital tract. One hypothesis states that infected
268 epithelial cells secreting pro-inflammatory cytokines/chemokines to initiate
269 pathogenesis (the cellular paradigm) whilst the second (immunological paradigm), as
270 mentioned earlier in this paper, proposes that T-cell responses that are essential to
271 resolve infection can also cause tissue damage [46, 53,74]. The immunological
272 paradigm for pathogenesis is supported by observations from both the guinea pig [75]
273 and the non-human primate (NHP) [76] models of genital infection in which repeated
274 oviduct infections cause rapid infiltration of CD4 and CD8 T cells to the infection site.

275 **The value of the non-human primate model**

276 Despite the fact that the majority of vaccine studies have been undertaken using the
277 mouse model, there has also been a long history of non-human primate (NHP)
278 models used in the study of chlamydial disease (dating back to 1936). The value of
279 using NHPs as a model lies in their evolutionary closeness to *Homo sapiens*. NHPs
280 have been particularly effective in the study of tubal pathology (pelvic inflammatory

281 disease) (reviewed in [77]). However, the use of NHPs in vaccine evaluation has
282 been less effective. Currently there are no studies that have evaluated the protective
283 efficacy of a vaccine targeting urogenital infections (the closest simply measuring
284 immune responses at multiple mucosal sites following immunisation [78]).
285 Nevertheless, recent studies have shown the NHP model to be a promising platform
286 for the evaluation of trachoma vaccines [79, 80], including one recent study showing
287 promise with a live, plasmid-free, attenuated vaccine [81]. Although NHP models
288 offer a biological system much more comparable to that of the human they are not
289 without limitations. Currently there is no known natural NHP strain of *Chlamydia*.
290 High inoculum doses of *C.trachomatis* are required to establish an infection (and
291 pathology) [81, 82], as well as the fact that differences in immune responses and
292 disease states have been found with different infecting serovars [82,83], as well as
293 the NHP species used [78]. Therefore, for the successful use of NHPs in vaccine
294 evaluation, it is essential to define the immunological mechanisms behind clearance
295 of the human strains, and to compare that to the paradigm associated with clearance
296 in humans. If this can be done, then NHP models will indeed be valuable in the
297 development of *C.trachomatis* vaccines for humans.

298 Update on current vaccine progress

299 Given the global importance of *C.trachomatis* STIs, and the strong case for a
300 vaccine to curb increasing infection rates, how are we progressing towards the goal
301 of an effective vaccine? The critical questions to ask are, (i) why doesn't natural
302 infection result in strong protection? and (ii) how successful have past vaccination
303 attempts been, or at least, what can we learn from these trials? The answers to both
304 of these questions are actually quite promising.

305 **Natural infection can induce partial immunity but may also result in adverse**
306 **pathology** : Natural infection does lead to a degree of protection. In the mouse
307 model this is certainly the case, with animals given a live infection being very solidly
308 protected against a second (challenge) infection in that they shed very low levels of
309 organisms [64]. A similar effect was observed in the early trachoma vaccine trials in
310 which inactivated *C.trachomatis* organisms offered some degree of protection [84].
311 Indeed, there are some valuable lessons that can be learned from the early
312 trachoma trials as well as more recent studies of ocular *C.trachomatis* natural

313 infections (reviewed by Mabey et al., 85] The early trachoma vaccine trials in
314 countries such as Saudi Arabia, Taiwan, The Gambia, India and Ethiopia, showed
315 that it was possible to induce short term immunity to ocular infection, and also to
316 reduce the incidence of inflammatory trachoma, by administering vaccines based on
317 killed or live whole organisms. The problem though is that these whole organism
318 vaccines, whether infectious chlamydial elementary bodies or whole inactivated
319 organisms, contain both protective as well as deleterious antigens. In the case of the
320 early trachoma vaccine trials, the approach led to some enhanced immunopathology
321 in some of the vaccinees [84]. This same enhanced immunopathology effect is
322 observed in mouse trials in animals given a live vaccine and then challenged with
323 live organisms at a later date [86]. Recent studies have also suggested that some
324 women, infected naturally at the genital tract site, can mount a level of immunity
325 against subsequent infections [87]. Indeed, these observations are extremely
326 encouraging for the development of effective vaccines as these individuals can be
327 utilised to identify bio-profiles of protection (for humans) and then vaccine trials can
328 attempt to induce these protective bio-profiles. The second issue, which is often not
329 discussed, is that any protection is usually only short lived, with most vaccine trials
330 only evaluating protection up to 4 weeks post vaccination.

331 **Identification and choice of vaccine target antigens** : As a result of the issues
332 surrounding crude, whole chlamydial vaccines, all efforts now involve the use of
333 purified / cloned individual chlamydial antigens and virtually all of these studies have
334 been conducted in the *C.muridarum* – mouse model. Indeed, while early vaccine
335 efforts focussed very much on MOMP, and other surface antigens (eg Omp2), the
336 past 5 years has seen a significant expansion in the number and type of antigens
337 evaluated, including CPAF, PmpD, PmpG, CopN, IncA, NrdB and Pgp3 (many of
338 which are intracellular proteins or at least not outer membrane proteins), in addition
339 to the earlier favourites of MOMP, Omp2 and Hsp60 [42] (see Table 1 for a list of all
340 antigens that have been shown to induce an immune response following genital tract
341 infection). Figure 1 (a) provides an overview of the chlamydial developmental cycle,
342 including points of attack as well as vaccine candidates that have, or could be, tested
343 for each of these stages. Progress towards identifying the “holy grail” vaccine
344 antigen has been relatively slow, with most new antigens evaluated only providing
345 very modest, stepwise improvement in protection against live challenge. The search

346 for the best protective antigens though has become much more sophisticated
347 recently, with groups directly identifying effective T cell antigens [88]. Brunham and
348 colleagues are using an immunoproteomic screening approach to identify chlamydial
349 antigens, or more correctly the actual T cell peptides, that are presented by
350 *C. muridarum*-infected dendritic cells in the mouse model. Using this approach they
351 have recently identified 13 *Chlamydia* peptides derived from eight novel epitopes
352 presented by MHC class II molecules from bone marrow derived dendritic cells
353 infected with *Chlamydia*. While some of the targets are new (RpIF, FabG, AasF,
354 ClpP-1, Gap, PmpE), interestingly, some overlap with previously identified antigens
355 (PmpG).

356 **Will a single antigen be sufficient or are antigen combinations required** : In
357 addition to searching for the most highly protective vaccine candidate antigen,
358 several groups now believe that a combination of antigens will be required. There
359 are several lines of thought in compiling combination antigen vaccines.
360 *C. trachomatis* has multiple serovars and to cover the antigenic diversity that exists
361 across the main genital tract strains (D to K) will require the vaccine to contain
362 epitopes or whole proteins for each strain; this is certainly the case for a MOMP-
363 based vaccine and will probably also be the case for other variable proteins, such as
364 the Pmps. A more sophisticated strategy that is evolving, is to target several different
365 but key proteins in the chlamydial repertoire. *Chlamydia* has evolved over its long
366 history to have multiple mechanisms of infecting and controlling its host and hence a
367 vaccine that does not rely on a single target has the best chance of success. To this
368 end, the concept of targeting several surface proteins (such as MOMP, Pmps, Incs)
369 as well as some internal or secreted regulatory proteins (such as CPAF, NrdB) has
370 significant merit (Figure 1 (a) summarizes the antigens related to each stage of the
371 chlamydial developmental cycle, and Table 2 shows how these might be combined
372 effectively in multi-antigen vaccines). In addition, specifically targeting antigens that
373 are more highly expressed in the persistent or chronic phase of infection / disease,
374 has considerable merit. While the major goal of a chlamydial vaccine is to prevent
375 infection in naive individuals, it may not be possible to screen all vaccinees to ensure
376 they are negative prior to vaccination. In addition, if sterilizing immunity is difficult or
377 impossible to achieve, then including persistence phase antigens in a vaccine would
378 have significant merit. Such multi-target vaccines are well within the reach of current

379 technologies and clearly are successful with other infectious disease vaccines, such
380 as meningococcal disease vaccines.

381 **A key role for adjuvants** : All candidate antigens though require effective adjuvants
382 and the optimal delivery mechanism to be an effective vaccine. The challenge with a
383 *C.trachomatis* STI vaccine is that the vaccine-adjuvant combination must elicit the
384 correct balance of Th2 (neutralising antibodies) and Th1 (IFN-g and Th17 cytokines)
385 responses and it must do this at the required mucosal sites (female genital tract).
386 Thanks to recent progress in vaccinology and immunology more broadly, the range
387 of adjuvants that are now available, and well advanced in human safety trials [89] is
388 rapidly increasing and some promising results with *C.trachomatis* vaccines are
389 emerging. The range of adjuvants and delivery systems that have been evaluated
390 with *C.trachomatis* vaccines include immunostimulating complexes [88,90],
391 detergent/surfactant-based adjuvants [91], live viral vectors [92], *Vibrio cholerae*
392 ghosts [93], liposomes [[94], CpG and their more recently developed, safe
393 derivatives [88] and cytokines.

394 **Vaccines against infection or downstream pathology** : One challenge for
395 chlamydial vaccine development is whether it should (i) primarily aim to significantly
396 reduce or even eliminate the infection, or (ii) should also, or perhaps only, aim to
397 reduce or eliminate the adverse pathology, in particular upper genital tract pathology
398 in females. The holy grail is to produce a sterilizing vaccine that would completely
399 prevent infection in the individual and hence also prevent transmission of infection to
400 others. However, if 100% prevention of infection is not possible to achieve, then
401 some consideration needs to be given to a vaccine that mainly prevents ascending
402 infections that lead to disease pathology. In fact, one argument might be to focus on
403 the disease pathology, as this is the major consequence of infection. A vaccine that
404 could do both would clearly be ideal. The reality though is that any vaccine needs to
405 be evaluated in clinical trials and the measurement of reduction of infection is more
406 readily quantifiable than immune-mediated damage, such as PID or infertility. Until
407 recently, the majority of efforts have focused on evaluating prototype vaccines by
408 measuring the reduction in infectious burden following live challenge of vaccinated
409 animals, almost totally in the mouse model. As already mentioned, these vaccines
410 are much easier to evaluate through the regulatory process. Recently though, there

411 have been increasing and encouraging reports of vaccine strategies that can protect
412 against the downstream adverse pathology [95].

413 The other aspect of a *C.trachomatis* vaccine is the target group. All efforts to date
414 have been directed at developing prophylactic vaccines, with the assumption that the
415 vaccine would be administered to young girls prior to sexual activity. In reality though,
416 a therapeutic vaccine that could be safely administered to women who either had a
417 past or even current infection, would be very useful. There are very few published
418 studies in this area, although the report of Carey et al. [86] in the *C.muridarum* –
419 mouse model suggest that vaccinating either presently infected or previously
420 infected individuals may not result in a strong immune response.

421 Modelling the impact of a *C.trachomatis* STD vaccine

422 There are no absolute criteria for the properties that a vaccine should have before it
423 can be recommended for wide use in programs to improve the health of populations.
424 The World Health Organization recommends vaccines which have long-term
425 protection and high efficacy [89][100], however, vaccines which offer lower levels of
426 protection are suggested for use in certain circumstances or populations [97-101].
427 When it is anticipated that only partially effective vaccines may become available,
428 mathematical models have been used to investigate the potential epidemiological
429 impact for the infectious disease in question, associated with different vaccine
430 properties and implementation strategies [102]. Most theoretical vaccine modeling
431 studies for sexually transmissible infections have been for HIV (e.g. [103-110]), but
432 numerous vaccine modelling studies have emerged for HPV in recent years due to
433 the availability and implementation of the cervical cancer vaccine in many countries
434 [111-114]. These models have identified the most crucial vaccine parameters
435 (vaccine take, efficacy and waning/duration) and demonstrated the trade-off between
436 these factors and required frequencies and levels of boosting, coverage and intensity
437 of scale-up among targeted population groups. It is particularly useful when
438 comparison analyses across multiple models is done to produce a 'consensus' from
439 the field (such as been attempted for aspects of HIV [115], HPV [114], and influenza
440 [116] vaccine implementation).

441 A comparison of *Chlamydia* screening models has been conducted [117] but
442 currently there is only one modeling study that has assessed the potential impact

443 and critical properties associated with *Chlamydia* vaccines [118]. This analysis
444 considered not only the public health outcomes of vaccine implementation but the
445 measurable biological properties to be assessed in vaccine design and regulation. It
446 found that in order to have the greatest public health impact, a vaccine should
447 primarily aim to increase the threshold of the infectious dose required to infect
448 susceptible individuals. The next most important objective would be to decrease the
449 peak infectiousness among infected individuals. Both these parameters are regularly
450 measured in vaccine trials (in the mouse model) and several vaccine antigens are
451 showing promise in this regard. The duration of vaccine efficacy was also identified
452 to be of large importance and would influence the coverage and boosting schedule
453 required in implementation to achieve a desired epidemiological outcome. This is
454 one aspect that has not yet been well addressed in vaccine trials. But an imperfect
455 vaccine can still have an impact. For example, a vaccine which reduces the peak
456 chlamydial load among infected individuals by just 1-log_{10} could reduce prevalence
457 of *Chlamydia* in the population by 40-50% after 20 years. In this respect, the models
458 are very useful in that they give us an idea of just how effective a vaccine needs to
459 be to (ie. what level of infectious load reduction) when translating mouse model data
460 eventually across to human population studies.

461 Future directions and opportunities

462 While progress towards an effective *C.trachomatis* vaccine has been reasonably
463 slow, it nevertheless has moved forward in a stepwise fashion, and there are some
464 recent events that could significantly accelerate this goal. Whole organism vaccines
465 (whether live or inactivated) do show a significant degree of protection, usually far
466 beyond that obtained by individual purified antigen vaccines. Therefore, if we can
467 avoid the deleterious pathology associated with these earlier versions, perhaps we
468 can use this general approach. In this respect, the recent findings that the chlamydial
469 plasmid contributes, by an as yet undefined mechanism, to the adverse pathology
470 observed in both *C.trachomatis* and *C.muridarum* infections, could be a major
471 opportunity [119]. A plasmid-free, attenuated strain of *C.muridarum*, while it grows
472 similarly to the isogenic wild type, plasmid containing strain, fails to activate TLR 2-
473 independent immune responses and as a result, is attenuated during mouse genital
474 tract infections and does not result in the upper genital tract pathology that is seen
475 with the wild type strain [120]. The plasmid-deficient strain also functioned as a

476 successful live attenuated vaccine in mice, whereby infection (vaccination) with the
477 plasmid-negative strain limited the pathology usually associated with subsequent
478 infections [121]. Importantly, Kari et al. [80] showed a similar phenomenon with
479 *C.trachomatis*, whereby they generated a plasmid-free, attenuated strain of ocular
480 *C.trachomatis* and showed that it could protect against trachoma in a nonhuman
481 primate model. These plasmid-free strains could be our best chance of a vaccine
482 that can generate sufficiently strong immunity, involving both B and T cell responses,
483 to an array of important antigens, in the absence of adverse pathology. Of course,
484 the regulatory requirements involved with the use of live attenuated vaccines means
485 that it will be essential to fully understand the molecular mechanisms underpinning
486 these plasmid-free “vaccine” strains. In this respect, the other recent breakthrough
487 that could significantly accelerate vaccine research is that we now have the ability to
488 genetically manipulate *Chlamydia* [122]. This major achievement that still has some
489 technical challenges, means that potentially we can delete, or inactivate, key genes
490 to understand their role in pathogenesis, and this should eventually result in a
491 controlled means to produce a live attenuated vaccine strain that is unable to cause
492 adverse pathology. These exciting advances, combined with rapid developments in
493 vaccine adjuvants and delivery mechanisms, means that the previously elusive
494 *C.trachomatis* vaccine goal may soon be within our reach.

495

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501

502 Table 1: *Chlamydia trachomatis* antigens that stimulate host immune responses to
 503 genital tract infection

Chlamydial antigen	Immune response(s) elicited	Reference(s)
Polymorphic membrane protein D (pmpD)	Genital tract Th1 cells and IgG2a mucosal antibodies	Eko et al., 2011 [123]
Chlamydial type III-secreted effector protein (Tarp)	Th1 dominant humoral and cellular responses	Wang et al., 2009 [124]
Protein antigens CT823 and CT144	CD8+ T cell, Th1-polarised CD4+T cell, Th1-skewed antibody responses	Picard et al., 2012 [125]
MOMP -based serovar E DNA vaccine	Serum IgM, IgG and IgA, CD8+ and CD4+ T cells in spleen and pelvic lymph nodes	Schautteet et al., 2011 [126]
<i>C.trachomatis</i> serovar D strain pgp3 gene	Humoral (serum IgG) and mucosal IgA anti-Pgp3 antibodies	Comanducci et al, 1994 [127]
Pgp4 gene	Unknown - but the mutant exhibited decreased expression levels of Pgp3, a potential virulence factor amongst others	Song et al., 2013 [55]
TC0052, TC0189, TC0582, TC0660, TC0726, TC0816 and, TC0828	IgG antibodies with both Th1 and Th2 bias	Molina et al., 2010 [128]
MOMP (CT681), HtrA (CT823), OmcB (CT443), TARP (CT456), GroEL (CT110), Lcr-E (CT089), Nqr3 (TC0551/CT279), MAC-perforin (TC0431/CT153), Inca (TC0396/CT119), and the hypothetical proteins CT622, TC0284, TC0313, TC0651, TC0890, and TC0106 (CT016, CT043, CT372, CT601, and CT733).	Human serum IgG, IFN- γ -producing CD4 ⁺ T cells	Finco et al., 2011 [129]
DnaK (CT396)	Human CD4+ T cell responses	Coler et al., 2009 [130]
CT043	CD4+ Th1 cells	Meoni et al., 2009 [131]
OmcB (CT443) And also CT004, CT043, CT184, CT509, and CT611, CT082, CT089, CT322, CT396, and CT681, CT110	T cells, B cells or both B and T cells (OmcB)	Follman et al., 2008 [132]
Enolase (CT587)	Human CD4+ T cells	Goodall et al., [133]
chlamydial protease-like activity factor (CPAF)	CD4+T cell, IFN- γ	Murthy et al. 2006 [134]
NrdB	CD4+ T cells	Barker et al., 2008 [135]
Heat shock protein 60 (cHSP60)	Cervical IgG and IgA antibodies, IFN- γ	Agrawal et al., 2007 [136]
Outer Membrane Protein 2 (OMP2)	Humoral antibody responses	Portig et al., 2003 [137]

504

505 Table 2: Strategies for targeting antigens from various stages of the chlamydial
506 developmental cycle

Stage of the chlamydial developmental cycle	Immune response needed / possible	Opportunities and challenges
Initial attachment of the chlamydial EB to the new host cell	Antibodies – should be neutralizing	Has been the traditional approach for antigens such as MOMP. Vaccine needs to produce antibodies to conformational epitopes. Difficult to get 100% neutralization / blocking.
Early alteration of host cell pathways to enable <i>Chlamydia</i> to successfully enter its inclusion and convert to the RB stage	Primarily T cell response but antibodies may also be effective	This phase has not been directly targeted although proteins such as TARP are involved. Many more targets are available for targeting.
RB multiplication	Primarily T cell response but antibodies may also be effective	Many targets are available on / in the RB phase. Could an ineffective response to RB targets result in pushing the infected cell into persistence?
Persistence phase	Primarily T cell response but antibodies may also be effective	This phase has not yet been specifically targeted. A multi-antigen vaccine consisting of acute and persistence phase targets could well have advantages.
Late stage development and exit	Primarily T cell response but antibodies may also be effective	For <i>Chlamydia</i> to be “infectious” for its next host cell, it must transform from the RB stage to the EB stage, including all necessary surface displayed proteins. In addition to MOMP, other surface proteins are appealing targets.

507

508

509

510 Figure 1: Overview of the chlamydial developmental cycle, stages at which potential
511 vaccine targets have, or could be, directed, and the immune pathways triggered
512 during chlamydial infection. Panel A describes the seven key stages in the
513 chlamydial developmental cycle; 1 – extracellular elementary bodies (EBs) and their
514 initial attachment to susceptible cells; 2 – internalization of EBs inside suitable host
515 cells and immediate subversion of host cell pathways; 3 – conversion of EBs to
516 reticulate bodies (RBs) and further subversion of host cell pathways; 4 -
517 multiplication of RBs via binary fission; 5 – persistent phase of chlamydial
518 development in which the RBs are still metabolically active but have altered gene
519 expression patterns and are less susceptible to host defences and antibiotics; 6 –
520 conversion of RBs to EBs by an unknown trigger; 7 – exit of infectious EBs from the
521 host cell.

522

523 Panel B summarises the steps in the immune response (either following natural
524 infection or immunisation), with a focus on the adaptive immune pathways. Red bold
525 indicates components which have been experimentally confirmed for *Chlamydia*.
526 Innate immune cells constitutively secrete an array of soluble antimicrobials including
527 elafin, lysozyme and cathelicidins, amongst others. Chlamydial infection of columnar
528 epithelial cells and local genital tract innate natural killer (NK) cells induces the
529 production of interferon-g (IFN-g) and other pro-inflammatory cytokines and
530 chemokines. Recruitment and activation of B cell (Humoral) and T cell (Cell-
531 mediated/Adaptive) immunity is also coordinated by the release of these factors.
532 Humoral immunity in the female genital tract is dominated by immunoglobulin (Ig) G
533 although secretory IgA antibodies are also present at this mucosal site. Once
534 *Chlamydia* have established intracellular infection, cells of the adaptive immune
535 system, and particularly T helper 1 (Th1) type CD4+ T cells secreting IFN-g are
536 required for effective clearance of primary infection and to protect from re-infection.
537 Other major lineages of activated CD4+ T cells that play critical roles in chlamydial
538 infections include the Th2 cells, Th17 cells (producing IL-17 and IL-23) and
539 Tregulatory (Treg) cells. Additional T cells involved in adaptive immunity include
540 intraepithelial lymphocytes ($\gamma\delta$ T cells) and cytotoxic T lymphocytes (CD8+ T cells)
541 that are known to induce apoptosis of infected chlamydial cells.

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