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Abstract

 Purpose: To assess the accuracy of existing basal metabolic rate (BMR) prediction equations in men with chronic (> 1 year) spinal cord injury (SCI). The primary aim is to develop new SCI population-specific BMR prediction models, based on anthropometric, body composition and/or demographic variables that are strongly associated with BMR. **Methods:** Thirty men with chronic SCI (Paraplegic; n = 21, Tetraplegic; n = 9), aged $38 \times 35 \pm 11$ years (mean \pm SD) participated in this cross-sectional study. Criterion BMR values were measured by indirect calorimetry. Body composition (dual energy X-ray absorptiometry; DXA) and anthropometric measurements (circumferences and diameters) were also taken. Multiple linear regression analysis was performed to develop new SCI-specific BMR prediction models. Criterion BMR values were compared to values estimated from six existing and four developed prediction equations **Results:** Existing equations that use information on stature, weight and/or age, significantly (P < 0.001) over-predicted measured BMR by a mean of 14–17% (187– 234 kcal/day). Equations that utilised fat-free mass (FFM) accurately predicted BMR. The development of new SCI-specific prediction models demonstrated that the addition of anthropometric variables (weight, height and calf circumference) to FFM 50 (Model 3; $r^2 = 0.77$), explained 8% more of the variance in BMR than FFM alone 51 (Model 1; $r^2 = 0.69$). Using anthropometric variables, without FFM, explained less of 52 the variance in BMR (Model 4; $r^2 = 0.57$). However, all the developed prediction 53 models demonstrated acceptable mean absolute error $\leq 6\%$. **Conclusion:** BMR can be more accurately estimated when DXA derived FFM is incorporated into prediction equations. Utilising anthropometric measurements

- provides a promising alternative to improve the prediction of BMR, beyond that achieved by existing equations in persons with SCI.
-

 Key Words: Basal Metabolism, Anthropometry, Body Composition, Spinal Cord Injuries, Indirect Calorimetry.

Introduction

 A critical determinant of body weight fluctuations over time is the imbalance between energy intake and expenditure (kcal). Energy intake reflects the ingestion of macronutrient food groups (carbohydrate, protein, fat and alcohol), whereas energy expenditure can be partitioned into three components; basal metabolic rate (BMR), dietary induced thermogenesis (DIT) and activity energy expenditure (AEE). BMR represents the energy required to maintain homeostasis and the metabolic activities of cells at rest. It is the largest component of total daily energy expenditure (TDEE), approximately 70% for inactive persons with chronic spinal cord injury (SCI) (1). In 71 comparison to non-disabled controls, BMR is significantly reduced by $14 - 27\%$ in persons with SCI, although, values were comparable between groups when adjusted for fat free mass (FFM) (2). Reductions in BMR after SCI are primarily driven by skeletal muscle disuse atrophy below the level of the injury (3, 4). The adoption of a more sedentary lifestyle after SCI reduces AEE (1, 5), further eroding TDEE, which can lead to a sustained positive energy balance and thus the accumulation of excess adiposity. Obesity, and its associated negative metabolic sequelae (i.e. impaired glucose tolerance, insulin resistance and dyslipidaemia), commonly occurs at a heightened frequency in persons with SCI (6-8).

 Considering BMR accounts for the greatest proportion of TDEE in inactive populations, its accurate measurement is of utmost importance. Multiples of BMR can be used to derive an individual's daily energy needs and inform energy intake adjustments in a clinical setting. From a public health perspective, the prescription of a calorie-restricted diet is integral for obesity management, through the creation of a sustainable energy deficit. The gold standard method for assessing BMR is indirect 87 calorimetry. However, this approach requires expensive, specialised equipment (i.e. metabolic cart) which typically restricts its use to research settings. Accurate BMR measurements should be performed upon waking in a quiet, darkened, thermal neutral room, following an overnight fast, with participants in a complete resting posture. To achieve these appropriate conditions, BMR is usually measured following an overnight in-patient stay, which may be impractical. Consequently, in clinical practice, BMR is often predicted using equations which feature variables that are easily measured; body weight, stature and/or age (9-11). However, a recent review reported that such equations, derived from able-bodied populations, over-predicted BMR by 4 – 92% in persons with SCI (12). Variations in the prediction error across studies likely reflect both error intrinsic to the equations themselves and variance between study populations. For example, when using the equation from the seminal work of Harris and Benedict (9), Aquilani *et al*, (13) observed only a 4% overestimation compared to criterion BMR. Not only did these participants have sub-101 acute injuries (~2 months post traumatic SCI) but they were also hypermetabolic due to the presence of urinary tract infections and pressure injuries, which may explain the reduced overestimation. Therefore, the accuracy of commonly used BMR prediction equations remains to be assessed in a cohort representative of men with chronic (>1 year) SCI.

 of this study was to assess the accuracy of existing BMR predictive equations in men with chronic (> 1 year) SCI.

Methods

Participants

Thirty men with chronic (> 1 year) motor complete (American Spinal Injury

Association Impairment Scale classification; A or B) SCI participated in this study.

All participants had lesion levels below C5 and were aged between 18 – 65 years old

140 with a BMI less than 32 kg/m^2 . Exclusion criteria included; cardiovascular disease,

hypertension, type II diabetes, pressure ulcers greater than grade II and urinary tract

infection or symptoms. This experimental protocol was approved by the McGuire

Veteran Affairs Investigational Research Board and the Virginia Commonwealth

University (VCU) Office of Research and Innovation. All participants provided

written informed consent and procedures were conducted in accordance with the

principles of the Declaration of Helsinki.

Basal metabolic rate

Participants were woken up ~6.30 am, following a 12 hour overnight fast. All BMR

measurements were completed in a darkened, thermoneutral environment (ambient

temperature between 20-25°C). Participants abstained from caffeine, nicotine and

152 alcohol \geq 12 hours, in accordance with minimal criteria for best practice BMR

153 guidelines (22). A portable metabolic system (COSMED $K4b²$, Rome, Italy) was used

to measure BMR. The unit was calibrated prior to use according to manufacturer's

instructions and has been demonstrated to be valid (23). Following calibration, a

 canopy was placed over the participant's head as they lay in a supine position, with continuous breath-by-breath measurements made over a 20-minute period. Gas exchange values for the first 5 minutes were discarded, with BMR (kcal/day) averaged over the last 15 minutes. Energy expenditure was determined using the Weir equation (24). If respiratory exchange ratio (carbon dioxide production / oxygen used) 161 values were ≤ 0.70 or > 1.00 participants were excluded from the analysis, as these values are deemed indicative of protocol violations or inaccurate gas measurements (22).

Anthropometric measurements

 Prior to performing anthropometric measurements, participants were instructed to void their bladder. Body mass (kg) was obtained using a digital wheelchair scale (Tanita PW-630U, IL, USA), with the weight of the wheelchair subtracted from the combined weight of participant and wheelchair to derive the participants mass. Participants' height was measured in a supine position following transfer onto a mat. The distance between two wooden boards, one at the apex of the head and the other positioned at the sole of the foot, was measured using a Holtain height caliper to the nearest 0.1 cm. For participants with knee flexion contracture, segmental measures were taken from the greater trochanter to the lateral knee joint and from the lateral knee to the lateral aspect of the sole of the foot.

Circumference measurements were taken using a standard inflexible measuring tape

(MFG, Lufkin, Executive Diameter Pocket Tape measure). The mean of three values

(within 0.5 cm of each other) was recorded to the nearest 0.1 cm. Abdominal

circumference was measured at the level of the umbilicus. Waist circumference was

 measured at the midpoint between the crest of the illium and the inferior margin of the last rib. Hip circumference was measured around the widest part of the trochanters. These measurements were taken after exhalation of a preceding deep breath. Thigh and calf circumferences were also measured on the right leg. Thigh circumference was measured at the midpoint between the anterior superior iliac spine and the superior border of the patellar. Calf circumference was taken at the widest point. All circumference measurements were taken in a supine position, except for the calf, which was taken with participants sitting in their wheelchair. Sagittal and transverse abdominal diameters (SAD and TAD) were also measured at the level of the umbilicus in a supine position, using a Holtain-Kahn abdominal caliper.

Dual energy X-ray absorptiometry

A trained operator measured body composition using a dual energy X-ray

absorptiometry (DXA) scanner (Lunar Prodigy Advance DXA scanner, WI, USA).

Whole-body lean mass, FM and bone mineral content (BMC) were extracted from

DXA computer software. FFM was calculated by adding BMC and lean mass. Whole-

body FFM was also predicted from body weight using the following equation, Gorgey

198 *et al*, (25): $0.288 \times$ body weight (kg) + 26.3. This was to assess whether, in the

absence of a direct DXA FFM measurement, predicted FFM could be used to

accurately predict BMR in persons with chronic SCI.

Basal metabolic rate prediction equations

BMR (kcal/day) was estimated using three established equations, which incorporated

weight, height and age (9-11). For male adults, the Schofield equation utilised three

separate equations to predict BMR from weight, depending on the participants' age

Error statistics

Accuracy of established and developed prediction models of basal metabolic rate

- are displayed in Figure 2. Established equations, which feature variables that are
- 283 easily measured (body weight, stature and/or age), significantly $(P \le 0.001)$ over-
- predicted measured BMR by a mean of 14 17% (187 234 kcal/day). Established
- equations that utilised FFM (highlighted in grey) more accurately predicted measured
- BMR in persons with SCI. The Nelson *et al*, (17) equation, which also incorporated
- 287 FM, significantly ($P < 0.001$) under-predicted BMR by $5 \pm 6\%$ (82 ± 95 kcal/day).
- The remaining two established equations were not significantly different from the
- 289 criterion BMR and displayed negligible mean bias \pm SD; -1 \pm 6% (-20 \pm 92 kcal/day)
- 290 and $1 \pm 6\%$ (3 \pm 91 kcal/day) using the Cunningham, (14) and SCI-specific (16)
- equations, respectively. Mean absolute percentage error for the generated Models
- were small (≤ 6%) and comparable to the Cunningham (14) and Chun *et al*, (16)
- 293 prediction equations. There was a trend $(P = 0.065)$ for significantly elevated absolute
- 294 percentage error using predicted FFM in Model 1 ($8 \pm 6\%$) (not shown on Figure), as
- 295 opposed to DXA measured FFM $(5 \pm 4\%)$.
-
- *[PLEASE INSERT FIGURE 2 ABOUT HERE]*
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Discussion

- Existing equations developed for non-disabled individuals, which incorporate stature,
- weight and/or age, significantly over-predicted BMR and are not fit for purpose in
- person with SCI. Equations that utilise FFM, the Cunningham (14) and newly-
- developed SCI-specific model (16), were not significantly different to criterion BMR.
- In this sample of participants with chronic SCI, FFM as a single predictor variable

 We hypothesised that it might be possible to use certain demographic and injury characteristics, such as age, level of injury and TSI, which are easily attainable and thus reduce the burden on clinicians/nutritionists to predict BMR. We found no 317 significant differences in BMR between paraplegic (1497 \pm 148 kcal/day) and 318 tetraplegic (1467 \pm 178 kcal/day) participants. Previous studies have demonstrated increased BMR in paraplegic compared to tetraplegic participants of 224 and 370 kcal/day (21, 28), whereas other researchers have shown there to be no difference (16, 29). One possible reason for similar BMR's between the subgroups in this current study could be due to race. BMR has been shown to be higher in White than in African-American individuals (30) and in this study, there was a greater percentage of White participants with tetraplegia than paraplegia, 82% and 57%, respectively. Due to the relatively small sample size and the requirement to develop models with external validity to the wider male SCI population, it was not possible to develop race-specific equations. As FFM is strongly associated with BMR, it is surprising that age or TSI are not also associated with BMR, given the loss of skeletal muscle mass

 Whilst our generated multiple linear regression models demonstrate a negligible mean 347 bias (Figures 1 $\&$ 2), this can be somewhat misleading as under and over-estimations for each participant likely cancel each other out. Using a limits of agreement analysis (exploring the distribution of individual differences) and mean absolute percentage error (ignoring the sign/direction of difference) are alternative approaches that offer greater insight into the accuracy of developed models. The 95% LoA for all the 352 generated models ranged between \pm 152 kcal (Model 3) to \pm 207 (Model 4), which are less than the values reported previously for the Cunningham (14) and SCI-specific (16) equations, 236 and 231 kcal, respectively. Moreover, the mean absolute

 participants, with a considerably lower mean FFM than participants in this current 381 study (42.1 vs. 51.3 kg). Nevertheless, this equation showed the lowest mean \pm SD 382 bias of the pre-existing equations tested, $1 \pm 6\%$ (3 \pm 91 kcal/day) and further highlights the importance of incorporating a measurement of FFM into BMR prediction models.

 An alternative approach could be to utilise estimates of FFM, although whole-body FFM was significantly under-predicted (3.6 kg) using the Gorgey *et al,* (25) equation 388 in this study. Consequently, using estimates of FFM in Model 1 significantly ($P <$ 389 0.001) under-predicted BMR (mean bias \pm 95% LoA; -84 \pm 262 kcal/day), with 390 increased mean absolute percentage error $(8 \pm 6\%)$. This equation estimates FFM from weight, and weight itself explains the same amount of variance in criterion BMR. Therefore, in the absence of expensive scanning equipment it is perhaps advisable to use Model 4 (including height, weight and transverse abdominal diameter) to predict BMR in persons with SCI. It is worth noting, that any error in the estimation of BMR will be amplified if these data are used to derive an individual's total daily energy expenditure (TDEE). For context, multiplying BMR by an activity factor of 1.2 [as has been used previously in inactive persons with SCI (36)] would equate to a TDEE of 1799 kcal/day in our sample. Extrapolating the mean absolute error percentage for Model 3 & 4 indicates there is the potential to under or over-400 predict TDEE by 72 and 108 kcal/day, respectively. Despite our generated equations 401 showing acceptable error $(5%)$, it is important for practitioners to be aware of the implications of using predicted BMR to estimate TDEE, when looking to prescribe a suitable energy intake in persons with SCI.

Limitations

 The accuracy of the generated prediction models was assessed using the same sample of participants that developed the model. In these circumstances evaluation statistics (i.e. mean bias) can be somewhat biased (37). These equations were only tested in men with motor-complete SCI to ensure a more homogenous sample. The performance of these generated Models therefore remains to be assessed in women with SCI, who represent 25% of the entire SCI population. It is possible the development of future sex-specific Models are necessary to accurately predict BMR in women with SCI. Spasticity, whereby motor control of skeletal muscles is disturbed, occurs in more than 80% of persons with SCI (38). If episodes of spastic hypertonia were to occur during the assessment of criterion BMR, this can lead to increased energy expenditure due to excessive co-contraction (39). Therefore, future studies should consider multiple measurements of BMR by indirect calorimetry to accurately evaluate BMR in persons with severe spasticity (15). Although the use of anthropometric measurements can improve the accuracy of BMR prediction and potentially negate the requirement to use expensive scanning equipment (i.e. DXA), it should be noted that transferring participants into the supine position could be difficult. This is especially relevant when assessing persons with higher-level injuries where access to lifting apparatus is not available.

Conclusion

Existing equations incorporating age, stature and weight that have been validated in

non-disabled individuals show considerable prediction error when used in persons

with SCI and are not fit for purpose. When direct measurements of FFM are available,

utilising FFM-based prediction equations offers a more accurate estimation of BMR,

which can be further improved with the incorporation of anthropometric

measurements. Moreover, in the absence of detailed body composition information,

utilising anthropometric measurements (height, weight and transverse abdominal

diameter) offers a useful alternative methodology to predict BMR in persons with

chronic SCI. However, these generated Models should be cross-validated with an

independent, larger sample of male and female participants, with a range of body

composition characteristics to demonstrate external validity to the wider SCI

population.

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Conflict of Interest

The authors have no conflict of interest to declare. The results of the study are

presented clearly, honestly, and without fabrication, falsification, or inappropriate

 data manipulation. The results of the present study do not constitute an endorsement by the American College of Sports Medicine.

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Figure Legend

613 **Table 1: Basal metabolic rate prediction equations**

627 **Table 2: Participant characteristics**

643 **Table 3: The association (***r***) between independent predictive traits (injury and**

644 **demographic characteristics, body composition components and anthropometric**

645 **measurements) and criterion basal metabolic rate**


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648 absorptiometry; FFM, fat free mass; LM, lean mass; LOI, level of injury; SAD,
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- 649 **sagittal abdominal diameter TAD, transverse abdominal diameter; TSI, time**
- 650 **since injury.**
- 651 *** P < 0.05, † P < 0.01**
- 652
- 653
- 654
- 655

656 **Table 4: Generated basal metabolic rate prediction models using fat free mass**

657 **and anthropometric measurements**

658

659

660 Abbreviations: **BMR, basal metabolic rate; FFM, fat free mass; SAD, sagittal**

661 **abdominal diameter; SEE, standard error of the estimate; TAD, transverse**

662 **abdominal diameter; THIGH CIRC, thigh circumference.**