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1	Predicting basal metabolic rate in men with motor complete spinal cord injury
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3	Nightingale, T.E <sup>1,2</sup> ., and Gorgey, A.S <sup>1,2</sup> .
4	
5	Institutional affiliations:
6	<sup>1</sup> Spinal Cord Injury and Disorders Service, Hunter Holmes McGuire VA Medical
7	Center, Richmond, VA, USA
8	<sup>2</sup> Physical Medicine and Rehabilitation, Virginia Commonwealth University,
9	Richmond, VA, USA.
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19	Corresponding author:
20 21 22 23 24 25 26 27 28 29	Ashraf S. Gorgey, MPT, PhD, FACSM Chief of Spinal Cord Injury Research Hunter Holmes McGuire VA Medical Center Spinal Cord Injury & Disorders Service 1201 Broad Rock Blvd, Richmond, VA 23249, United States. Telephone: 804-675-5000 ext 3386 Fax: 804-675-5223 ashraf.gorgey@va.gov
30	

#### 31 Abstract

32 **Purpose:** To assess the accuracy of existing basal metabolic rate (BMR) prediction 33 equations in men with chronic (> 1 year) spinal cord injury (SCI). The primary aim is 34 to develop new SCI population-specific BMR prediction models, based on 35 anthropometric, body composition and/or demographic variables that are strongly 36 associated with BMR. 37 **Methods:** Thirty men with chronic SCI (Paraplegic; n = 21, Tetraplegic; n = 9), aged 38  $35 \pm 11$  years (mean  $\pm$  SD) participated in this cross-sectional study. Criterion BMR 39 values were measured by indirect calorimetry. Body composition (dual energy X-ray 40 absorptiometry; DXA) and anthropometric measurements (circumferences and 41 diameters) were also taken. Multiple linear regression analysis was performed to 42 develop new SCI-specific BMR prediction models. Criterion BMR values were 43 compared to values estimated from six existing and four developed prediction 44 equations 45 **Results:** Existing equations that use information on stature, weight and/or age, significantly (P < 0.001) over-predicted measured BMR by a mean of 14–17% (187– 46 47 234 kcal/day). Equations that utilised fat-free mass (FFM) accurately predicted BMR. 48 The development of new SCI-specific prediction models demonstrated that the 49 addition of anthropometric variables (weight, height and calf circumference) to FFM (Model 3;  $r^2 = 0.77$ ), explained 8% more of the variance in BMR than FFM alone 50 (Model 1;  $r^2 = 0.69$ ). Using anthropometric variables, without FFM, explained less of 51 the variance in BMR (Model 4;  $r^2 = 0.57$ ). However, all the developed prediction 52 53 models demonstrated acceptable mean absolute error  $\leq 6\%$ . 54 Conclusion: BMR can be more accurately estimated when DXA derived FFM is 55 incorporated into prediction equations. Utilising anthropometric measurements

- provides a promising alternative to improve the prediction of BMR, beyond thatachieved by existing equations in persons with SCI.
- 58

Key Words: Basal Metabolism, Anthropometry, Body Composition, Spinal Cord
Injuries, Indirect Calorimetry.

61

#### 62 Introduction

63 A critical determinant of body weight fluctuations over time is the imbalance between 64 energy intake and expenditure (kcal). Energy intake reflects the ingestion of 65 macronutrient food groups (carbohydrate, protein, fat and alcohol), whereas energy 66 expenditure can be partitioned into three components; basal metabolic rate (BMR), 67 dietary induced thermogenesis (DIT) and activity energy expenditure (AEE). BMR 68 represents the energy required to maintain homeostasis and the metabolic activities of 69 cells at rest. It is the largest component of total daily energy expenditure (TDEE), 70 approximately 70% for inactive persons with chronic spinal cord injury (SCI) (1). In 71 comparison to non-disabled controls, BMR is significantly reduced by 14 - 27% in 72 persons with SCI, although, values were comparable between groups when adjusted 73 for fat free mass (FFM) (2). Reductions in BMR after SCI are primarily driven by 74 skeletal muscle disuse atrophy below the level of the injury (3, 4). The adoption of a 75 more sedentary lifestyle after SCI reduces AEE (1, 5), further eroding TDEE, which 76 can lead to a sustained positive energy balance and thus the accumulation of excess 77 adiposity. Obesity, and its associated negative metabolic sequelae (i.e. impaired 78 glucose tolerance, insulin resistance and dyslipidaemia), commonly occurs at a 79 heightened frequency in persons with SCI (6-8).

81 Considering BMR accounts for the greatest proportion of TDEE in inactive 82 populations, its accurate measurement is of utmost importance. Multiples of BMR can 83 be used to derive an individual's daily energy needs and inform energy intake 84 adjustments in a clinical setting. From a public health perspective, the prescription of 85 a calorie-restricted diet is integral for obesity management, through the creation of a 86 sustainable energy deficit. The gold standard method for assessing BMR is indirect 87 calorimetry. However, this approach requires expensive, specialised equipment (i.e. 88 metabolic cart) which typically restricts its use to research settings. Accurate BMR 89 measurements should be performed upon waking in a quiet, darkened, thermal neutral 90 room, following an overnight fast, with participants in a complete resting posture. To 91 achieve these appropriate conditions, BMR is usually measured following an 92 overnight in-patient stay, which may be impractical. Consequently, in clinical 93 practice, BMR is often predicted using equations which feature variables that are 94 easily measured; body weight, stature and/or age (9-11). However, a recent review 95 reported that such equations, derived from able-bodied populations, over-predicted 96 BMR by 4 - 92% in persons with SCI (12). Variations in the prediction error across 97 studies likely reflect both error intrinsic to the equations themselves and variance 98 between study populations. For example, when using the equation from the seminal 99 work of Harris and Benedict (9), Aquilani et al. (13) observed only a 4% 100 overestimation compared to criterion BMR. Not only did these participants have sub-101 acute injuries ( $\sim 2$  months post traumatic SCI) but they were also hypermetabolic due 102 to the presence of urinary tract infections and pressure injuries, which may explain the 103 reduced overestimation. Therefore, the accuracy of commonly used BMR prediction 104 equations remains to be assessed in a cohort representative of men with chronic (>1 105 year) SCI.

106	A major disadvantage of equations that utilise body weight to predict BMR is that this
107	variable is unable to distinguish between FFM and fat mass (FM). FFM has been
108	shown to explain most of the variance in BMR (14-16), with other studies
109	demonstrating an independent, secondary contribution of FM (17). In persons with
110	SCI, recent evidence would suggest incorporating FFM measured via dual energy X-
111	ray absorptiometry (DXA) more accurately predicts BMR than using height and
112	weight measurements (16). However, it is possible that prediction models utilising
113	FFM alone might not be sensitive enough to estimate individual BMR, and perhaps
114	other sources of variation (i.e. age and injury characteristics) should also be
115	considered (18, 19). Moreover, equations incorporating FFM also require the
116	acquisition of body composition data using expensive equipment (i.e. DXA), which
117	might not be available in a clinical setting, or inaccurate techniques (i.e. bioelectrical
118	impedance). Therefore, anthropometric measurements (i.e. circumferences and/or
119	diameters) might improve BMR prediction accuracy, with a trivial increase in
120	clinician/nutritionist workload to attain desirable predictor variables.
121	
122	It remains to be seen whether the incorporation of injury characteristics could act as
123	surrogates for FFM or anthropometric measurements in the prediction of BMR. Both
124	level of injury and time since injury (TSI) influence body composition parameters (3,
125	20). Significant differences have been reported in BMR measured via indirect
126	calorimetry between paraplegic and tetraplegic participants (21). Utilising such easily
127	attainable injury characteristics to predict BMR in persons with SCI would further
128	reduce the burden on clinicians/nutritionists. The primary aim was to develop new
129	SCI population-specific BMR prediction models, based on injury characteristics or
130	anthropometric variables that are strongly associated with BMR. The secondary aim

131	of this study was to assess the accuracy of existing BMR predictive equations in men
132	with chronic (> 1 year) SCI.

134 Methods

135

#### 136 Participants

137 Thirty men with chronic (> 1 year) motor complete (American Spinal Injury

138 Association Impairment Scale classification; A or B) SCI participated in this study.

139 All participants had lesion levels below C5 and were aged between 18 – 65 years old

140 with a BMI less than  $32 \text{ kg/m}^2$ . Exclusion criteria included; cardiovascular disease,

141 hypertension, type II diabetes, pressure ulcers greater than grade II and urinary tract

142 infection or symptoms. This experimental protocol was approved by the McGuire

143 Veteran Affairs Investigational Research Board and the Virginia Commonwealth

144 University (VCU) Office of Research and Innovation. All participants provided

145 written informed consent and procedures were conducted in accordance with the

146 principles of the Declaration of Helsinki.

147

#### 148 Basal metabolic rate

149 Participants were woken up ~6.30 am, following a 12 hour overnight fast. All BMR

150 measurements were completed in a darkened, thermoneutral environment (ambient

151 temperature between 20-25°C). Participants abstained from caffeine, nicotine and

- alcohol  $\geq$  12 hours, in accordance with minimal criteria for best practice BMR
- 153 guidelines (22). A portable metabolic system (COSMED K4b<sup>2</sup>, Rome, Italy) was used
- to measure BMR. The unit was calibrated prior to use according to manufacturer's
- 155 instructions and has been demonstrated to be valid (23). Following calibration, a

156 canopy was placed over the participant's head as they lay in a supine position, with 157 continuous breath-by-breath measurements made over a 20-minute period. Gas 158 exchange values for the first 5 minutes were discarded, with BMR (kcal/day) 159 averaged over the last 15 minutes. Energy expenditure was determined using the Weir 160 equation (24). If respiratory exchange ratio (carbon dioxide production / oxygen used) 161 values were < 0.70 or > 1.00 participants were excluded from the analysis, as these 162 values are deemed indicative of protocol violations or inaccurate gas measurements 163 (22).

164

#### 165 Anthropometric measurements

166 Prior to performing anthropometric measurements, participants were instructed to 167 void their bladder. Body mass (kg) was obtained using a digital wheelchair scale 168 (Tanita PW-630U, IL, USA), with the weight of the wheelchair subtracted from the 169 combined weight of participant and wheelchair to derive the participants mass. 170 Participants' height was measured in a supine position following transfer onto a mat. 171 The distance between two wooden boards, one at the apex of the head and the other 172 positioned at the sole of the foot, was measured using a Holtain height caliper to the 173 nearest 0.1 cm. For participants with knee flexion contracture, segmental measures 174 were taken from the greater trochanter to the lateral knee joint and from the lateral 175 knee to the lateral aspect of the sole of the foot.

176

177 Circumference measurements were taken using a standard inflexible measuring tape

178 (MFG, Lufkin, Executive Diameter Pocket Tape measure). The mean of three values

179 (within 0.5 cm of each other) was recorded to the nearest 0.1 cm. Abdominal

180 circumference was measured at the level of the umbilicus. Waist circumference was

181 measured at the midpoint between the crest of the illium and the inferior margin of the 182 last rib. Hip circumference was measured around the widest part of the trochanters. 183 These measurements were taken after exhalation of a preceding deep breath. Thigh 184 and calf circumferences were also measured on the right leg. Thigh circumference 185 was measured at the midpoint between the anterior superior iliac spine and the 186 superior border of the patellar. Calf circumference was taken at the widest point. All 187 circumference measurements were taken in a supine position, except for the calf, 188 which was taken with participants sitting in their wheelchair. Sagittal and transverse 189 abdominal diameters (SAD and TAD) were also measured at the level of the 190 umbilicus in a supine position, using a Holtain-Kahn abdominal caliper.

191

#### 192 Dual energy X-ray absorptiometry

193 A trained operator measured body composition using a dual energy X-ray

absorptiometry (DXA) scanner (Lunar Prodigy Advance DXA scanner, WI, USA).

195 Whole-body lean mass, FM and bone mineral content (BMC) were extracted from

196 DXA computer software. FFM was calculated by adding BMC and lean mass. Whole-

body FFM was also predicted from body weight using the following equation, Gorgey

198 *et al*, (25):  $0.288 \times \text{body weight (kg)} + 26.3$ . This was to assess whether, in the

absence of a direct DXA FFM measurement, predicted FFM could be used to

200 accurately predict BMR in persons with chronic SCI.

201

#### 202 Basal metabolic rate prediction equations

203 BMR (kcal/day) was estimated using three established equations, which incorporated

weight, height and age (9-11). For male adults, the Schofield equation utilised three

separate equations to predict BMR from weight, depending on the participants' age

206	group (age 18-30, 30-60, >60 years). This equation was previously used by the Food
207	and Agricultural Organization, World Health Organisation and United Nations
208	University (FAO/WHO/UNU) technical report series (26). BMR was also estimated
209	using body composition parameters (FFM and FM) (14, 16, 17). These equations are
210	described in full in Table 1.
211	
212	[PLEASE INSERT TABLE 1 ABOUT HERE]
213	
214	Statistical Analysis
215	
216	Data modelling
217	To explore the associations between criterion BMR and potential predictive traits,
218	simple univariate linear regressions were performed to derive Pearson correlation
219	values ( $r$ ). A multivariate regression analysis, with both forward inclusion and
220	backward deletion, was then performed to develop SCI-specific BMR prediction
221	Models, incorporating the best combination of predictor variables (demographic
222	characteristics, anthropometric measurements and body composition parameters) that
223	explain the greatest variance in criterion BMR. Standard error of the estimate (SEE)
224	was also calculated to determine the accuracy of these prediction models. A 95%
225	Limits of Agreement (LoA) analysis was performed (mean difference ± 1.96 SD)
226	comparing criterion and predicted BMR, with data displayed using Bland-Altman
227	plots.
228	

*Error statistics* 

230	Predicted BMR from each of the six established equations and generated prediction
231	models was compared to corresponding criterion BMR for each participant.
232	Comparison statistics included mean signed error (MSE) and mean absolute error
233	(MAE). Error of estimate data is presented as a percentage [ $Eq$ . Percentage error =
234	(Estimated BMR – criterion BMR) / criterion BMR $\times$ 100]. Differences between
235	predicted and criterion BMR were also compared by paired <i>t</i> -tests, with a Bonferroni
236	stepwise correction applied to correct for multiple comparisons. Statistical
237	significance was set at a priori of $\alpha < 0.05$ and all analyses were performed using
238	SPSS Statistics 25 for Windows (IBM, NY, USA).
239	
240	Results
241	Participant demographics are presented in Table 2. Mean $\pm$ SD measured BMR and
242	respiratory exchange ratio (RER) was $1499 \pm 162$ kcal/day and $0.83 \pm 0.04$ ,
243	respectively.
244	
245	[PLEASE INSERT TABLE 2 ABOUT HERE]
246	
247	
248	[PLEASE INSERT FIGURE 1 ABOUT HERE]
249	
250	Associations between predictive traits and basal metabolic rate
251	FFM measured by DXA explained most of the variance (69%) in BMR ( $r = 0.83$ ; P <
252	0.01). Predicted FFM using Gorgey et al. (17) did not explain anymore of the
253	variance in BMR than weight, however, both were strongly associated with criterion
254	BMR ( $r = 0.56$ , P < 0.01). The predicted FFM equation significantly under-estimated

255	FFM by 3.6 kg ( $P < 0.001$ ). Height and other anthropometric measurements (supine
256	waist and abdominal circumference, sitting calf circumference) were moderately
257	associated with BMR (Table 3). None of the demographic or injury characteristics
258	were associated with BMR.
259	
260	[PLEASE INSERT TABLE 3 ABOUT HERE]
261	
262	Accuracy of developed prediction models
263	The addition of circumferences and diameters to FFM (Model 2) slightly improved
264	the prediction of BMR in comparison to just FFM alone (Model 1) (Table 4).
265	However, the best prediction algorithm generated was Model 3 (incorporating FFM,
266	weight, height and calf circumference as predictor variables), which explained 77% of
267	the variance in BMR. For researchers/clinicians without access to expensive scanning
268	equipment (DXA), a final prediction algorithm was generated (Model 4), with the
269	FFM predictor variable removed. This explained the least variance in criterion BMR
270	$(r^2 = 0.57)$ . Relative to criterion BMR, mean bias for all the generated prediction
271	models was zero. The 95% limits of agreement (indicative of random error) were
272	greatest for Model 4 (anthropometrics alone: $\pm$ 207 kcal/day) and the smallest for
273	Model 3 (FFM plus anthropometrics: ± 152 kcal/day) (Figure 1). Entering predicted
274	FFM into Model 1 resulted in a mean bias $\pm$ 95% LoA of $-84 \pm 262$ kcal/day.
275	
276	[PLEASE INSERT TABLE 4 ABOUT HERE]
277	
278	[PLEASE INSERT FIGURE 1 ABOUT HERE]
279	

#### 280 Accuracy of established and developed prediction models of basal metabolic rate

281 The variability in error of established and newly developed BMR prediction equations

- are displayed in Figure 2. Established equations, which feature variables that are
- easily measured (body weight, stature and/or age), significantly (P < 0.001) over-
- predicted measured BMR by a mean of 14 17% (187 234 kcal/day). Established
- equations that utilised FFM (highlighted in grey) more accurately predicted measured
- BMR in persons with SCI. The Nelson *et al*, (17) equation, which also incorporated
- FM, significantly (P < 0.001) under-predicted BMR by  $5 \pm 6\%$  ( $82 \pm 95$  kcal/day).
- 288 The remaining two established equations were not significantly different from the
- criterion BMR and displayed negligible mean bias  $\pm$  SD;  $-1 \pm 6\%$  ( $-20 \pm 92$  kcal/day)
- and  $1 \pm 6\%$  (3 ± 91 kcal/day) using the Cunningham, (14) and SCI-specific (16)
- equations, respectively. Mean absolute percentage error for the generated Models
- were small ( $\leq 6\%$ ) and comparable to the Cunningham (14) and Chun *et al*, (16)
- prediction equations. There was a trend (P = 0.065) for significantly elevated absolute
- 294 percentage error using predicted FFM in Model 1 ( $8 \pm 6\%$ ) (not shown on Figure), as

295 opposed to DXA measured FFM  $(5 \pm 4\%)$ .

296

297

- [PLEASE INSERT FIGURE 2 ABOUT HERE]
- 298

#### 299 Discussion

300 Existing equations developed for non-disabled individuals, which incorporate stature,

301 weight and/or age, significantly over-predicted BMR and are not fit for purpose in

- 302 person with SCI. Equations that utilise FFM, the Cunningham (14) and newly-
- 303 developed SCI-specific model (16), were not significantly different to criterion BMR.
- 304 In this sample of participants with chronic SCI, FFM as a single predictor variable

305	explained the greatest variance in BMR ( $r^2 = 0.69$ ), which is in accordance with
306	previous studies ( $r^2 = 0.63 - 0.79$ ) (2, 15, 27). However, the addition of volumetric
307	(circumferences and diameters) and anthropometric (height and weight)
308	measurements to FFM explained an additional 8% of the variance in BMR. Removal
309	of FFM from generated prediction models increased the prediction error, but offered a
310	useful alternative methodology in the absence of FFM measurement and improved the
311	prediction of BMR relative to existing equations validated for use in non-disabled
312	individuals.

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313

~ ~ =

314 We hypothesised that it might be possible to use certain demographic and injury 315 characteristics, such as age, level of injury and TSI, which are easily attainable and 316 thus reduce the burden on clinicians/nutritionists to predict BMR. We found no 317 significant differences in BMR between paraplegic ( $1497 \pm 148$  kcal/day) and 318 tetraplegic (1467  $\pm$  178 kcal/day) participants. Previous studies have demonstrated 319 increased BMR in paraplegic compared to tetraplegic participants of 224 and 370 320 kcal/day (21, 28), whereas other researchers have shown there to be no difference (16, 321 29). One possible reason for similar BMR's between the subgroups in this current 322 study could be due to race. BMR has been shown to be higher in White than in 323 African-American individuals (30) and in this study, there was a greater percentage of 324 White participants with tetraplegia than paraplegia, 82% and 57%, respectively. Due 325 to the relatively small sample size and the requirement to develop models with 326 external validity to the wider male SCI population, it was not possible to develop 327 race-specific equations. As FFM is strongly associated with BMR, it is surprising that 328 age or TSI are not also associated with BMR, given the loss of skeletal muscle mass

329	with aging (31) and post SCI (3). It appears that these variables cannot be used as
330	surrogates for FFM in BMR prediction models for persons with SCI.

332 Besides skeletal muscle, bone mineral content (which contributes to FFM) is 333 significantly correlated to BMR (r = 0.48). Yilmaz et al, (28) demonstrated that hip 334 bone mineral density was significantly associated with BMR ( $R_s = 0.41$ ) in persons 335 with SCI. These results indicate that bone metabolism is a major component of BMR 336 and might explain why height as an anthropometric variable explains 18% of the 337 variance in BMR. To date, no studies in persons with SCI have sought to assess the 338 improvement in the prediction of BMR with the addition of simple anthropometric 339 measurements that can be easily obtained. In non-disabled individuals, the addition of 340 FFM to a regression equation using the predictors of mass, height and age increased the associations between predicted and criterion BMR from  $r^2 = 0.71$ , (SEE = 125) 341 kcal/day) to  $r^2 = 0.80$  (SEE = 103 kcal/day) (32). Similarly, the results of this current 342 343 study demonstrate the addition of anthropometric measurements to FFM (Model 3) 344 explains an additional 8% of the variance in BMR. 345

346 Whilst our generated multiple linear regression models demonstrate a negligible mean 347 bias (Figures 1 & 2), this can be somewhat misleading as under and over-estimations 348 for each participant likely cancel each other out. Using a limits of agreement analysis 349 (exploring the distribution of individual differences) and mean absolute percentage 350 error (ignoring the sign/direction of difference) are alternative approaches that offer 351 greater insight into the accuracy of developed models. The 95% LoA for all the 352 generated models ranged between  $\pm$  152 kcal (Model 3) to  $\pm$  207 (Model 4), which 353 are less than the values reported previously for the Cunningham (14) and SCI-specific 354 (16) equations, 236 and 231 kcal, respectively. Moreover, the mean absolute

355	percentage error was small, even for Model 4, which utilised only anthropometric
356	measurements (MAE = $6 \pm 4\%$ ), and were comparable to existing equations that
357	incorporate FFM. Therefore, in the absence of direct analyses of body composition,
358	we posit that the use of anthropometric measurements in models derived specifically
359	for males with chronic SCI can be used to improve the prediction of BMR. This is in
360	accordance with data from non-disabled individuals, which suggests utilizing
361	anthropometric data (height, weight, mid-upper arm and waist and hip
362	circumferences) provides a useful alternative methodology to better predict BMR
363	when detailed information on body composition is not available (33).
364	
365	A recent systematic review highlighted the problems in predicting BMR in persons
366	with SCI from existing equations developed for non-disabled individuals (12). The
367	Harris Benedict (9) and Schofield et al, (11) equations have previously been shown to
368	over-predict BMR by 15-32% and 6% respectively (2, 34, 35). In conjunction with
369	findings herein, it is therefore not advisable to utilise equations developed for non-
370	disabled individuals that incorporate stature, weight and/or age to predict BMR in
371	persons with SCI. This study cross-validated, for the first time, the SCI-specific BMR
372	prediction equation developed by Chun et al, (16). This SCI-specific equation was
373	generated with criterion indirect calorimetry measurements taken between 8:00 and
374	10:00 am, rather than upon waking (~ 6:30am) in a darkened room following an
375	overnight stay. Occasionally in the wider literature, resting metabolic rate (RMR;
376	often measured under less restricted conditions) and BMR (as measured in this
377	current study) are often used interchangeably, but it is important to distinguish the
378	differences in terminology as this can help to reflect differences in prediction error
379	between studies. Moreover, the Chun et al, (16) equation was developed in East Asian

participants, with a considerably lower mean FFM than participants in this current study (42.1 vs. 51.3 kg). Nevertheless, this equation showed the lowest mean  $\pm$  SD bias of the pre-existing equations tested,  $1 \pm 6\%$  ( $3 \pm 91$  kcal/day) and further highlights the importance of incorporating a measurement of FFM into BMR prediction models.

385

386 An alternative approach could be to utilise estimates of FFM, although whole-body 387 FFM was significantly under-predicted (3.6 kg) using the Gorgey *et al*, (25) equation 388 in this study. Consequently, using estimates of FFM in Model 1 significantly (P < P389 0.001) under-predicted BMR (mean bias  $\pm$  95% LoA; -84  $\pm$  262 kcal/day), with 390 increased mean absolute percentage error  $(8 \pm 6\%)$ . This equation estimates FFM 391 from weight, and weight itself explains the same amount of variance in criterion 392 BMR. Therefore, in the absence of expensive scanning equipment it is perhaps 393 advisable to use Model 4 (including height, weight and transverse abdominal 394 diameter) to predict BMR in persons with SCI. It is worth noting, that any error in the 395 estimation of BMR will be amplified if these data are used to derive an individual's 396 total daily energy expenditure (TDEE). For context, multiplying BMR by an activity 397 factor of 1.2 [as has been used previously in inactive persons with SCI (36)] would 398 equate to a TDEE of 1799 kcal/day in our sample. Extrapolating the mean absolute 399 error percentage for Model 3 & 4 indicates there is the potential to under or over-400 predict TDEE by 72 and 108 kcal/day, respectively. Despite our generated equations 401 showing acceptable error (< 5%), it is important for practitioners to be aware of the 402 implications of using predicted BMR to estimate TDEE, when looking to prescribe a 403 suitable energy intake in persons with SCI.

404

405 *Limitations* 

406 The accuracy of the generated prediction models was assessed using the same sample 407 of participants that developed the model. In these circumstances evaluation statistics 408 (i.e. mean bias) can be somewhat biased (37). These equations were only tested in 409 men with motor-complete SCI to ensure a more homogenous sample. The performance of these generated Models therefore remains to be assessed in women 410 411 with SCI, who represent 25% of the entire SCI population. It is possible the 412 development of future sex-specific Models are necessary to accurately predict BMR 413 in women with SCI. Spasticity, whereby motor control of skeletal muscles is 414 disturbed, occurs in more than 80% of persons with SCI (38). If episodes of spastic 415 hypertonia were to occur during the assessment of criterion BMR, this can lead to 416 increased energy expenditure due to excessive co-contraction (39). Therefore, future 417 studies should consider multiple measurements of BMR by indirect calorimetry to 418 accurately evaluate BMR in persons with severe spasticity (15). Although the use of 419 anthropometric measurements can improve the accuracy of BMR prediction and 420 potentially negate the requirement to use expensive scanning equipment (i.e. DXA), it 421 should be noted that transferring participants into the supine position could be 422 difficult. This is especially relevant when assessing persons with higher-level injuries 423 where access to lifting apparatus is not available.

424

#### 425 Conclusion

426 Existing equations incorporating age, stature and weight that have been validated in

427 non-disabled individuals show considerable prediction error when used in persons

428 with SCI and are not fit for purpose. When direct measurements of FFM are available,

429 utilising FFM-based prediction equations offers a more accurate estimation of BMR,

430 which can be further improved with the incorporation of anthropometric

431 measurements. Moreover, in the absence of detailed body composition information,

432 utilising anthropometric measurements (height, weight and transverse abdominal

433 diameter) offers a useful alternative methodology to predict BMR in persons with

434 chronic SCI. However, these generated Models should be cross-validated with an

435 independent, larger sample of male and female participants, with a range of body

436 composition characteristics to demonstrate external validity to the wider SCI

437 population.

438

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450

#### 451 **Conflict of Interest**

452 The authors have no conflict of interest to declare. The results of the study are

453 presented clearly, honestly, and without fabrication, falsification, or inappropriate

454 data manipulation. The results of the present study do not constitute an endorsement455 by the American College of Sports Medicine.

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# 576 Figure Legend

578	Figure 1: Bland-Altman plots depicting mean bias (solid line) and 95% limits of
579	agreement (dashed lines) of estimated relative to criterion basal metabolic rate
580	measured by indirect calorimetry for prediction Model 1 (FFM alone; A), 2 (FFM
581	plus anthropometrics and circumferences; <b>B</b> ), 3 (FFM plus anthropometrics; <b>C</b> ) and 4
582	(anthropometrics alone; <b>D</b> ). Bias represents predicted-criterion BMR. Abbreviations:
583	BMR, basal metabolic rate.
584	
585	Figure 2: Scatterplot displaying BMR prediction error for each of the pre-existing
586	equations (absolute, A; percentage, C) and generated Models (absolute, B;
587	percentage, <b>D</b> ). Mean error for each equation is displayed as a thick black bar, with
588	individual data points also shown (open circles). The highlighted areas (grey) are for
589	equations that utilize fat free mass (FFM) to predict BMR, with the dashed line
590	representing zero prediction error. Absolute error (accounting for under and over-
591	prediction) mean $\pm$ SD is displayed for each equation above the Figures. $\ddagger$ Significant
592	difference between predicted and criterion BMR ( $P < 0.001$ ). Abbreviations: BMR,
593	basal metabolic rate.
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Equation aution	BMR prediction equation
Weight, height and age	
Harris-Benedict (9)	$= 66.4730 + (13.7516 \times \text{weight}) + (5.0033 \times \text{height})$
	(6.7550 × age)
Mifflin-St. Jeor (10)	$= 10 \times \text{weight} + 6.25 \times \text{height} - 5 \times \text{age} + 5$
Schofield (11)	= 15.057 × weight + 692.2 (age, 18 – 30 years)
	$= 11.472 \times \text{weight} + 873.1 \text{ (age, } 30 - 60 \text{ years)}$
	= 11.711 × weight + 587.7 (age, > 60 years)
FFM and FM	
Nelson et al, (17)	$= 25.80 \times FFM + 4.04 \times FM$
Cunningham (14)	$= 370 + 21.6 \times FFM$
Chup at al (16) SCI anasis	$= (24.5 \times \text{FFM} + 244.4)$
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m
Abbreviations: <b>BMR, basa</b>	metabolic rate; FFM, fat free mass; FM, fat m

# **Table 1: Basal metabolic rate prediction equations**

Age (years) $35 \pm 11$ 19 - 61         Body mass (kg) $74.5 \pm 14.1$ $52.3 - 106.3$ Height (m) $1.78 \pm 0.05$ $1.69 - 1.87$ Race       11 African American (37%) $19$ white (63%)         Body fat (%) $30.6 \pm 10.2$ $14.8 - 48.2$ Fat mass (kg) $22.9 \pm 11.3$ $8.7 - 47.5$ Bone mineral content (kg) $2.95 \pm 0.39$ $2.09 - 3.66$ Fat free mass (kg) $51.3 \pm 5.7$ $41.4 - 64.7$ Level of injury       9 Tetraplegic (30%)       C5 - C7         21 Paraplegic (70%)       T4 - L1         TSI (years) $9 \pm 9$ $1 - 34$ AIS $20 \text{ A } (67\%)$ $8 \text{ B } (27\%)$ $2 \text{ C } (6\%)$ $8 \text{ B } (27\%)$ $2 \text{ C } (6\%)$ BMR (Kcal/day) $1499 \pm 162$ $1169 - 1843$ RER $0.83 \pm 0.04$ $0.74 - 0.90$ Abbreviations: AIS, American Spinal Injury Association Impairment Scale;       BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sin injury.	Characteristic	Mean ± SD	Range (minimum – maximum)
Body mass (kg)       74.5 ± 14.1       52.3 - 106.3         Height (m)       1.78 ± 0.05       1.69 - 1.87         Race       11 African American (37%)       19 white (63%)         Body fat (%)       30.6 ± 10.2       14.8 - 48.2         Fat mass (kg)       22.9 ± 11.3       8.7 - 47.5         Bone mineral content (kg)       2.95 ± 0.39       2.09 - 3.66         Fat free mass (kg)       51.3 ± 5.7       41.4 - 64.7         Level of injury       9 Tetraplegic (30%)       C5 - C7         21 Paraplegic (70%)       T4 - L1         TSI (years)       9 ± 9       1 - 34         AlS       20 A (67%)       8 B (27%)         2 C (6%)       8MR (Kcal/day)       1499 ± 162       1169 - 1843         RER       0.83 ± 0.04       0.74 - 0.90         Abbreviations: AIS, American Spinal Injury Association Impairment Scale;         BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sin         injury.	Age (years)	$35 \pm 11$	19 - 61
Height (m) 1.78 ± 0.05 1.69 - 1.87 Race 11 African American (37%) 19 white (63%) Body fat (%) 30.6 ± 10.2 14.8 - 48.2 Fat mass (kg) 2.95 ± 10.3 8.7 - 47.5 Bone mineral content (kg) 2.95 ± 0.39 2.09 - 3.66 Fat free mass (kg) 51.3 ± 5.7 41.4 - 64.7 Level of injury 9 Tetraplegic (30%) C5 - C7 21 Paraplegic (70%) T4 - L1 TSI (years) 9 ± 9 1 - 34 AIS 20 A (67%) 8 B (27%) 2 C (6%) BMR (Kcal/day) 1499 ± 162 1169 - 1843 <u>RER</u> 0.83 ± 0.04 0.74 - 0.90 Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sin injury.	Body mass (kg)	$74.5 \pm 14.1$	52.3 - 106.3
Race       11 African American (37%) 19 white (63%)         Body fat (%)       30.6 ± 10.2       14.8 - 48.2         Fat mass (kg)       22.9 ± 11.3       8.7 - 47.5         Bone mineral content (kg)       2.95 ± 0.39       2.09 - 3.66         Fat free mass (kg)       51.3 ± 5.7       41.4 - 64.7         Level of injury       9 Tetraplegic (30%)       C5 - C7         21 Paraplegic (30%)       C5 - C7         21 Paraplegic (70%)       T4 - L1         TSI (years)       9 ± 9       1 - 34         AlS       20 A (67%)       8 B (27%)         2 C (6%)       8MR (Kcal/day)       1499 ± 162       1169 - 1843         RER       0.83 ± 0.04       0.74 - 0.90    Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sin injury.	Height (m)	$1.78 \pm 0.05$	1.69 - 1.87
Body fat (%) $30.6 \pm 10.2$ $14.8 - 48.2$ Fat mass (kg) $2.95 \pm 11.3$ $8.7 - 47.5$ Bone mineral content (kg) $2.95 \pm 0.39$ $2.09 - 3.66$ Fat free mass (kg) $51.3 \pm 5.7$ $41.4 - 64.7$ Level of injury       9 Tetraplegic (30%) $C5 - C7$ 21 Paraplegic (70%) $T4 - L1$ TSI (years) $9 \pm 9$ $1 - 34$ AlS       20 A (67%) $8 B (27\%)$ $2 C (6%)$ BMR (Kcal/day) $1499 \pm 162$ $1169 - 1843$ RER $0.83 \pm 0.04$ $0.74 - 0.90$ Abbreviations: AIS, American Spinal Injury Association Impairment Scale;       BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sin injury.	Race	11 African American (3 19 white (63%)	37%)
Fat mass (kg) $22.9 \pm 11.3$ $8.7 - 47.5$ Bone mineral content (kg) $2.95 \pm 0.39$ $2.09 - 3.66$ Fat free mass (kg) $51.3 \pm 5.7$ $41.4 - 64.7$ Level of injury       9 Tetraplegic (30%) $C5 - C7$ 21 Paraplegic (70%) $T4 - L1$ TSI (years) $9 \pm 9$ $1 - 34$ AIS $20 \land (67\%)$ $8 B (27\%)$ $2C (6\%)$ $8B(27\%)$ $2 C (6\%)$ BMR (Kcal/day) $1499 \pm 162$ $1169 - 1843$ RER $0.83 \pm 0.04$ $0.74 - 0.90$ Abbreviations: AIS, American Spinal Injury Association Impairment Scale;         BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sininjury.	Body fat (%)	$30.6 \pm 10.2$	14.8 - 48.2
Bone mineral content (kg) $2.95 \pm 0.39$ $2.09 - 3.66$ Fat free mass (kg) $51.3 \pm 5.7$ $41.4 - 64.7$ Level of injury       9 Tetraplegic (30%) $C5 - C7$ $21$ Paraplegic (70%) $T4 - L1$ $TSI (years)$ $9 \pm 9$ $1 - 34$ $AlS$ 20 A (67%) $8 B (27\%)$ $2 C (6\%)$ BMR (Kcal/day) $1499 \pm 162$ $1169 - 1843$ $RER$ $0.83 \pm 0.04$ $0.74 - 0.90$ Abbreviations: AIS, American Spinal Injury Association Impairment Scale;       BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sin         injury.       injury. $1169 - 1843$	Fat mass (kg)	$22.9 \pm 11.3$	8.7 - 47.5
Fat free mass (kg) $51.3 \pm 5.7$ $41.4 - 64.7$ Level of injury       9 Tetraplegic (30%) $C5 - C7$ 21 Paraplegic (70%) $T4 - L1$ TSI (years) $9 \pm 9$ $1 - 34$ AlS       20 A (67%)         8 B (27%)       2 C (6%)         BMR (Kcal/day)       1499 ± 162         1169 - 1843         0.83 ± 0.04       0.74 - 0.90    Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time similarity.	Bone mineral content (kg)	$2.95 \pm 0.39$	2.09 - 3.66
Level of injury 9 Tetraplegic (30%) C5 – C7 21 Paraplegic (70%) T4 – L1 TSI (years) 9 ± 9 1 - 34 AIS 20 A (67%) 8 B (27%) 2 C (6%) BMR (Kcal/day) 1499 ± 162 1169 - 1843 RER 0.83 ± 0.04 0.74 – 0.90 Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time siminjury.	Fat free mass (kg)	$51.3 \pm 5.7$	41.4 - 64.7
21 Paraplegic (70%)       T4 - L1         751 (years)       9 ± 9       1 - 34         AlS       20 A (67%)       8 B (27%)         2 C (6%)       2 C (6%)       2 C (6%)         BMR (Kcal/day)       1499 ± 162       1169 - 1843         RER       0.83 ± 0.04       0.74 - 0.90         Abbreviations: AIS, American Spinal Injury Association Impairment Scale;         BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time siminjury.	Level of injury	9 Tetraplegic (30%)	C5 – C7
TSI (years)       9 ± 9       1 - 34         AIS       20 A (67%)       8 B (27%)         2 C (6%)       2 C (6%)         BMR (Kcal/day)       1499 ± 162       1169 - 1843         RER       0.83 ± 0.04       0.74 - 0.90    Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time siminjury.		21 Paraplegic (70%)	T4 - L1
AIS 20 A (67%) 8 B (27%) 2 C (6%) BMR (Kcal/day) 1499 ± 162 1169 - 1843 <u>RER</u> 0.83 ± 0.04 0.74 - 0.90 Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time siminjury.	TSI (years)	$9\pm9$	1 - 34
8 B (27%) 2 C (6%) BMR (Kcal/day) 1499 ± 162 0.83 ± 0.04 0.74 - 0.90 Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time siminjury.	AIS	20 A (67%)	
2 C (6%) BMR (Kcal/day) 1499 ± 162 1169 - 1843 0.83 ± 0.04 0.74 - 0.90 Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time siminjury.		8 B (27%)	
BMR (Kcal/day)       1499 ± 162       1169 - 1843         0.83 ± 0.04       0.74 - 0.90    Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sin injury.		2 C (6%)	
RER       0.83 ± 0.04       0.74 – 0.90         Abbreviations: AIS, American Spinal Injury Association Impairment Scale;         BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sin         injury.	BMR (Kcal/day)	$1499 \pm 162$	1169 - 1843
Abbreviations: AIS, American Spinal Injury Association Impairment Scale; BMR, basal metabolic rate; RER, respiratory exchange ratio; TSI, time sin injury.	RER	$0.83 \pm 0.04$	0.74 - 0.90
	injury.		

# 627 Table 2: Participant characteristics

643 Table 3: The association (*r*) between independent predictive traits (injury and

644 demographic characteristics, body composition components and anthropometric

Demog	graphic and	Body composition Anthropomet		ic		
injury cl	haracteristics			measurements		
Age	0.04	DXA-	0.83+	Body mass (kg)	0 56*	
(yrs)	0.04	FFM (kg)	0.05	Body mass (kg)	0.50	
LOI	0.22	DXA- FM	0.20	Hoight (om)	0 42*	
LUI	0.22	(kg)	0.30	Height (Chi)	0.42"	
TSI	0.06	DXA-	0 40+	Supine waist	0.41*	
(yrs)	0.00	BMC (kg)	<b>0.40</b> j	circumference (cm)	0.41	
		Predicted	0 56+	Supine abdominal	0.37*	
		FFM (kg)	0.501	circumference (cm)		
				Supine hip	0.22	
				circumference (cm)		
				Supine thigh	0.27	
				circumference (cm)	0.27	
				Sitting calf	0 47÷	
				circumference (cm)	<b>0.4</b> / j	
		Supine SAD (cm)		0.30		
				Supine TAD (cm) 0.29		

645 measurements) and criterion basal metabolic rate

646

647	Abbreviations:	BMC, b	one minera	l content;	DXA,	dual-energy	x-ray
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648 absorptiometry; FFM, fat free mass; LM, lean mass; LOI, level of injury; SAD,
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649 sagittal abdominal diameter TAD, transverse abdominal diameter; TSI, time

- 650 since injury.
- 651 \* **P** < **0.05**, † **P** < **0.01**
- 652
- 653
- 654

### 656 Table 4: Generated basal metabolic rate prediction models using fat free mass

## 657 and anthropometric measurements

658

Model name	BMR (kcal/day) prediction algorithm	$\mathbf{R}^2$	SEE (kcal/day)
1. FFM alone	= 23.469 × FFM (kg) + 294.330	0.69	93
<ol> <li>FFM plus circumferences and diameters</li> </ol>	= 23.995 × FFM (kg) + 6.189 × SAD (cm) + 6.384 × TAD (cm) – 6.948 × THIGH CIRC (cm) + 275.211	0.73	90
3. FFM plus anthropometrics	= 19.789 × FFM (kg) + 5.156 × weight + 8.090 × height – 15.301 × calf (cm) – 860.546	0.77	84
4. Anthropometrics alone	= 13.202 × height (cm) + 11.329 × weight (kg) – 16.729 × TAD (cm) – 1185.445	0.57	112

659

660 Abbreviations: BMR, basal metabolic rate; FFM, fat free mass; SAD, sagittal

abdominal diameter; SEE, standard error of the estimate; TAD, transverse

662 **abdominal diameter; THIGH CIRC, thigh circumference.**