Predicting Residence Time

- 1 Predicting residence time using a continuous-time discrete-space model of leatherback
- 2 turtle satellite telemetry data
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18 Abstract

The utilization and capabilities of biotelemetry are expanding enormously as technology 19 and access rapidly improve. These large, correlated datasets pose statistical challenges requiring 20 advanced statistical techniques to appropriately interpret and model animal movement. We used 21 satellite telemetry data of critically endangered Eastern Pacific leatherback turtles (Dermochelys 22 *coriacea*) to develop a habitat-based model of their motility (and conversely residence time) 23 using a hierarchical Bayesian framework, which could be broadly applied across species. To 24 25 account for the spatiotemporally auto-correlated, unbalanced, and presence-only telemetry 26 observations, in combination with dynamic environmental variables, a novel modeling approach was applied. We expanded a Poisson generalized linear model in a continuous-time discrete-27 28 space (CTDS) model framework to predict individual leatherback movement based on environmental drivers, such as sea surface temperature. Population-level movement estimates 29 were then obtained with a Bayesian approach and used to create monthly, near-real time 30 predictions of Eastern Pacific leatherback movement in the South Pacific Ocean. This model 31 framework will inform the development of a dynamic ocean management model, "South Pacific 32 Turtle Watch (SPTW)," and could be applied to telemetry data from other populations and 33 species to predict motility and resident times in dynamic environments, whilst accounting for 34 statistical uncertainties arising at multiple stages of telemetry analysis. 35

36 Key Words

animal behavior; Bayesian; biologging; CTDS; leatherback turtles; movement; telemetry.

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38 Introduction

With the advancements in telemetry technologies, animal movement data have been 39 collected with increasing duration, resolution, and accuracy (Hooten et al. 2017). These 40 41 telemetry data provide opportunities for resource selection studies (Johnson et al. 2008b), which examine the complex interactions between the animal population and environmental processes. 42 The high dimensionality of modern animal movement data, however, calls for development of 43 efficient computational methods and the ability to incorporate both static (e.g. bathymetry) and 44 dynamic (e.g. sea surface temperature) environmental drives that can influence animal 45 movements. For aquatic and exploited species, insights from such studies can in turn enable 46 dynamic ocean management, spatiotemporally varying management based on the incorporation 47 of near real-time data (Howell et al. 2008, Block et al. 2011, Maxwell et al. 2015, Hazen et al. 48 2016, 2018). 49

Telemetry data provide valuable animal movement information, but are characterized by 50 many challenging statistical properties, such as non-normal measurement errors (Jonsen et al. 51 2005), temporal auto-correlation (Fleming et al. 2015), and unbalanced and presence only 52 sampling design (Aarts et al. 2008). Modern statistical models include state-space model 53 approaches to model measurement errors and dynamics of the movement process (Jonsen et al. 54 2005), continuous-time models of unbalanced sampling (Johnson et al. 2008a), and agent-based 55 or velocity-based models (Hooten et al. 2010, Hanks et al. 2011). These approaches, however, 56 tend to use customized Markov chain Monte Carlo (MCMC) algorithms for statistical inference, 57 which are computationally demanding, and generally focus on statistical inference of individual 58 level movement (Hooten et al. 2016). With the increasing availability of telemetry observations 59 60 from multiple individuals, it is natural to generalize this individual level inference to the

population level, although there are the added challenges of individual level or samplingvariability (Aarts et al. 2008).

Telemetry data are increasingly being used in species distribution models (e.g. Hazen et al. 2016, 2018). Although terrestrial studies tend to use environmental variables based on static geographic features at a fixed resolution, this may not adequately represent the environmental conditions experienced by marine species within a dynamic seascape (Hidalgo et al. 2016). Thus, it is important to consider movements of highly migratory marine species in relation to the contemporaneous environment they are experiencing, particularly for highly dynamic features (Mannocci et al. 2017).

In order to account for the various sources of uncertainty and complexity inherent within 70 telemetry data, Hooten et al. (2016) and Hanks et al. (2015) proposed a Bayesian hierarchical 71 72 approach to statistical inference of population level movement. This hierarchical approach incorporates uncertainties from multiple sources, such as inaccuracy of location observations, 73 process variability from stochastic movement processes, and sampling variability among 74 individuals. In addition, this approach can be formulated in the classical generalized linear mixed 75 model framework. Although previously implemented with static environmental variables, we 76 generalized the modeling approach to incorporate dynamic environmental drivers, essential to 77 understanding and predicting the spatiotemporal distribution of highly migratory species. We 78 utilized and modified this statistically robust approach to study dynamic resource selection using 79 a large telemetry dataset of Eastern Pacific leatherback turtles (Dermochelys coriacea). The 80 'ctmcmove' R package (Hanks 2018) was generalized to achieve the input of dynamic 81 environmental drivers (see Data S1). Thus, this dynamic framework can be applied to other 82

- populations and species tracked through a dynamic seascape to predict motility and residence
 time based on changing environmental conditions.
- 85 Methods
- 86 Leatherback turtle data

Adult females were tagged with Argos satellite transmitters at nesting beaches in Mexico (n = 1) and Costa Rica (2003 – 2008) (Fig. 1) (n = 42; Shillinger et al. 2008, 2010, Bailey et al. 2012b). Two juvenile leatherbacks caught in the Peruvian driftnet fishery were released with Argos tags (2014). Additional data from Mexiquillo, Cahuitán, and Agua Blanca, Mexico (n = 24; 1993 – 2003), Playa Grande, Costa Rica (n = 8; 1992 – 1995), and Peru (n = 2; 2014 – 2015) were not included within the final analyses due to significant gaps in the availability of associated remotely sensed environmental datasets.

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State-space model

We applied a Bayesian switching state-space model (SSSM) (Jonsen et al. 2005, 2007) to 95 raw satellite telemetry positions and obtained mean daily location and behavioral mode estimates 96 (classified as transiting or foraging) for each individual track. We distinguished post-nesting 97 behavior from inter-nesting behavior for the leatherbacks tagged on the nesting beaches by 98 removing the initial part of the track that was indicative of inter-nesting behavior as in Bailey et 99 al. (2008, 2012a). Only post-nesting portions of the tracks of at least 30 days duration were 100 included in the analysis (Bailey et al. 2012a). The SSSM package "bsam" (Jonsen et al. 2005, 101 Jonsen 2016, R Core Team 2017) was chosen over other R packages (e.g. "crawl"; Johnson et al. 102 103 2008a, Johnson and London 2018) because the SSSM provided the behavioral mode estimation 104 necessary for this track delineation and had been used in previous studies with these tracks (Bailey et al. 2008, 2012a, 2012b, Shillinger et al. 2008, 2010, Block et al. 2011). By removing 105 106 inter-nesting females, we assumed sex did not influence movement behavior in this population

107 (James et al. 2005, Benson et al. 2011). Two MCMCs were run with 30,000 samples, a burn-in of 20,000, and thinning of 10. SSSM-derived position estimates on land were corrected to the 108 nearest plausible location at sea. Tracks with gaps too large for the SSSM to accurately 109 110 interpolate across (≥ 20 days) were split into track sections (n = 12 tracks split). All analyses were conducted in the R statistical environment (R Core Team 2017). 111 **Environmental covariates** 112 Environmental covariates included in the model were sea surface temperature (SST), 113 bathymetry, sea surface height (SSH), frontal probability index (FPI), and Ekman upwelling 114 (Fig. 1; see Appendix S1: Table S1). Monthly values were obtained from the NOAA/NMFS 115 Southwest Fisheries Science Center, Environmental Research Division's ERDDAP server 116 (https://coastwatch.pfeg.noaa.gov/erddap) (Simons 2016), E.U. Copernicus Marine and 117 Environment Monitoring Service (CMEMS) (marine.copernicus.eu), and Plymouth Marine 118 Laboratory (following method in Miller et al. 2015) within a latitudinal range of -42° to 30°N 119 and longitude from -140° to -70°E. We chose to build the model over a 0.5° grid cell to provide 120 121 a cell size that leatherbacks could move across within one day given transiting speed estimates (Shillinger et al. 2008), but as large as possible to reduce computational intensity given the large 122 prediction area (see Appendix S2). 123

124

Continuous-time discrete-space model

125 Continuous-time discrete-space (CTDS) (Hanks et al. 2015) is a habitat model that

accommodates unbalanced telemetry data from individual Argos tracks and gridded estimates of

127 environmental covariates to provide movement estimates through a given area. Specifically, let

128 $\{\hat{y}_i(u), u = 1 \dots, T\}$ denote the estimated daily track locations from the SSSM, where *i* denotes an

- individual animal. Let β_i denote the CTDS parameter for this individual. We denote the
- 130 individual CTDS model $\pi(\hat{y}_i|\beta_i)$ as following: discretize the continuous track according to the

131 granularity of the environmental covariates, and let $S_i = \{g_i, t_i, \tau_i\}$ denote the animal's CTDS 132 path, where $g_i = \{g_{ic}, c = 0, ..., C\}$ denotes the sequence of starting cells traversed by the 133 animal, $t_i = \{t_{ic}, c = 1, ..., C\}$, and $\tau_i = \{\tau_{ic}, c = 1, ..., C\}$ the time of entry and residence time 134 for each cell $g_{i,c-1}$ before transition into $g_{i,c}$.

135 Let $k \sim l$ denote two neighboring grid cells, and $\mathbf{x}_{i,kl,t}$ the corresponding vector of 136 environmental drivers. The transition rate between cells can then be modeled with the

137 environmental data via a log link

$$\lambda_{i,kl}(\beta_i, t) = \exp\{\mathbf{x}_{i,kl,t}^{\mathrm{T}}\beta_i\}.$$
(1)

We considered only motility based covariates and assumed that impacts of gradient basedcovariates on turtle movements would be minor at the population level.

$$\lambda_{i,kl}(\beta_i, t) = \exp\{\mathbf{x}_{i,k,t}^{\mathrm{T}}\beta_i\}.$$
(2)

140 The transition to neighboring cells follows a multinomial process with probability 141 proportional to (1). Let $|N_k|$ denote the number of adjacent cells to cell k, the total transition rate 142 from a cell *k* equals

$$\lambda_{i,k}(\beta_i, t) = \sum_{l \sim k} \lambda_{i,kl}(\beta_i, t) = |N_k| \exp\{\mathbf{x}_{i,k,t}^{\mathrm{T}} \beta_i\},\tag{3}$$

143 and the corresponding residence time at cell k follows an exponential distribution

$$\tau_{i,g_c} \equiv \tau_{i,c} \sim \text{exponential}[\lambda_{i,k}(\beta_i, t)], \tag{4}$$

144 where exponential(λ) denotes the distribution with mean $1/\lambda$.

Assuming conditional independence between transitions and residence time – given the

- environmental drivers within and across transitions, the likelihood of the CTDS path S_i
- 147 according to models (1 4) can be written as

$$\prod_{c=1}^{C} \lambda_{i,c-1,c}(\beta_i, t_{i,c}) \exp\{-\tau_{i,c}\lambda_{i,c-1}(\beta_i, t_{i,c})\},$$
(5)

148 where we write $\lambda_{i,g_{c-1},g_c} \equiv \lambda_{i,c-1,c}$ for brevity of notation. Hanks et al. (2015) introduced the 149 latent variable $z_{c,c'} = 1(g_{c'} = g_{c+1})$ and write the likelihood above as

$$\prod_{c=1}^{C} \prod_{c'\sim c-1} \lambda_{i,c-1,c'} (\beta_i, t_{i,c})^{z_{i,c-1,c'}} \exp\{-\tau_{ic}\lambda_{i,c-1,c'}(\beta_i, t_{ic})\}.$$
(6)

150 The advantage of the parameterization is that (6) is an independent Poisson likelihood with data

151
$$\{z_{i,c,c'}, c = 0, C - 1, c \sim c'\}$$
 and offset $\{\log(\tau_{i,c}), c = 1, ..., C\}$, for which the iterative

152 generalized least squares algorithm can be used for estimation in the frequentist framework.

153 **Population level inference**

The CTDS models individual level association between movement and environmental cues. To account for sampling variabilities among individuals and then generalize the individual response pattern to the population level, we applied a hierarchical model that uses random effects for individual level parameters (Hooten et al. 2016). Let *n* denote the number of individuals and $\pi(\hat{y}_i|\beta_i)$ denote the CTDS likelihood function (6) of individual i = 1, ..., n as the first stage of the hierarchical model. At the second stage, we specify a conventional normal prior for β_i

$$\beta_i \sim \mathbf{N}(\mu_\beta, \Sigma_\beta), \quad \text{for} \quad i = 1, \dots, n,$$
(7)

where μ_{β} , Σ_{β} denote the population level parameter and the covariance matrix of individual level sampling variabilities around μ_{β} . Letting $\nu = \dim(\mathbf{x})$ denote the dimensionality of the environmental covariates, we assign a vague hyper-prior to complete the hierarchical Bayesian model specification.

$$\mu_{\beta} \sim N(\mu_0, \Sigma_0)$$
, $\Sigma^{-1} \sim Wishart(\nu, Q)$, (8)

164 where μ_0 is $\nu \times 1$ vector of zero, Σ_0 is $100 \times I$, where I is a $\nu \times \nu$ identity matrix and $Q = \nu^{-1}I$.

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165	Markov chain Monte Carlo algorithm
166	The hierarchical model $(6-8)$ follows the generalized linear mixed model family (see
167	Appendix S2). We used the Hamilton MCMC with No-U-Turn algorithm (Hoffman and Gelman
168	2014) due to its superior convergence performance. The "brms" package (Bürkner 2017) served
169	as an R interface to Stan and model selection. MCMC convergence diagnostics were conducted
170	for μ_{β} , Σ_{β} , and selected β_i parameters based on the R "coda" package (Brooks and Gelman 1998,
171	Plummer et al. 2006).
172	Model selection
173	The full model available for selection was symbolically
	$log(motility) \sim bathymetry + f(SST) + Ekman + FPI + SSH + crw,$ (9)
174	where motility is the total rate of transitions out of a grid cell per day. Each environmental
175	variable is incorporated as a dynamic driver based on the time of entry into the initial cell for
176	each movement c. We incorporated quadratic sea surface temperature and linear bathymetry,
177	Ekman upwelling, frontal probability index, and sea surface height. The crw term is a
178	constructed auto-covariate term (Illian et al. 2012), which measures the directional change
179	between the adjacent moves, and is important in the model to incorporate temporal auto-
180	correlation. The remaining model covariates were selected first using a "top-down" strategy
181	based on posterior leave-one-out (LOO) predictive statistics (Vehtari et al. 2017). We
182	sequentially removed each variable from the hierarchical model $(6 - 8)$ and compared the leave-
183	one-out (LOO) statistics between the original model and each simplified model. The variable
184	resulting in the least significant difference in the LOO statistic is removed. This drop-one
185	process stops when no variable can be removed from the model. The automatic model selection
186	was supplemented by manual interpretations.

187 Model prediction

We used residence time as a metric to map the predictive resource selection (Hanks et al. 2015). Let \mathbf{x}_{j}^{p} , j = 1, ..., J denote the value of selected covariates at grid cells g_{j} , which covers the entire leatherback habitat in the eastern Pacific. The mean residence time at each cell can be defined as

$$r_j(\mu_b) = \exp\left\{-\mathbf{x}_j^{p^{\mathrm{T}}}\mu_\beta\right\} \text{ for } j = 1, \dots J.$$
⁽¹⁰⁾

Posterior inference of $r_i(\mu_\beta)$ can be forward simulated based on posterior samples of μ_β , while 192 accounting for the joint distribution of all model parameters. The posterior mean and inter-193 quartile-range can be mapped as the estimated residence time and associated uncertainty. 194 **Results** 195 Based on the results of the SSSM, there were 58 tracks from 45 individual turtles used 196 in the modeling process, totaling 10,066 daily positions spanning February 2003 through 197 December 2014 (Table 1). The best model from the posterior LOO model selection was the full 198 model bathymetry, SST, SST², FPI, and SSH (Δ LooIC = -4.57, SE(Δ LooIC) = 3.53, p = 0.20; 199 200 see Appendix S1: Table S2). Population level Eastern Pacific leatherback motility estimates (μ_{β}) indicate effects for each model covariate given no zero overlap for each 95% confidence 201 interval (Table 2). Leatherbacks are more likely to move (decrease residence time) with high 202 and low values of SST ($\mu_{\beta} = 0.54$; SST²: $\mu_{\beta} = 0.72$) and high FPI ($\mu_{\beta} = 0.76$), while reducing 203 movement in areas of high SSH (μ_{β} = -1.330). Environmental predictors were checked for 204 collinearity. Residence time in days were predicted for June 2016 (Fig. 2a) and December 2016 205 206 (Fig. 2b).

207 Discussion

208

This habitat-based movement modeling approach can serve as a foundation for studies

209 utilizing tagging data to overcome statistical challenges for population level movements (see Appendix S1: Fig. S1). Organismal movement is often highly complex, and this framework 210 accommodates intricate environmental selection by individuals scaled to populations. This 211 212 process can be applied to tagging studies to account for the spatiotemporally auto-correlated, unbalanced, and presence-only telemetry observations in a dynamic environment. It is broadly 213 applicable, automatable, parallelizable, and interpretable, easing computing demands of vast 214 datasets. This considers and accounts for often-overlooked data source errors, reducing model 215 uncertainty. Overall, this hierarchical modeling approach represents a higher-level estimation of 216 persistent, predictable behavior across any population of study. 217 Compared to other movement studies, the CTDS model framework does not require the 218 creation of false-absences or pseudo-tracks commonly used in generalized additive mixed model 219 analyses of telemetry data (e.g. Willis-Norton et al. 2015, Hazen et al. 2016). This framework 220 221 provides a means strengthening dynamic management models by incorporating multiple data

222 sources, including irregularly spaced data, which strengthens the ability to model available data and maximize sample sizes. The approach reported here is flexible, particularly in that it is can 223 224 be adapted around the same framework indeterminate of the model used. Wilson et al. (2018) utilized a CTDS approach to model species distribution based on static seascape variables. Their 225 approach accounted for unobserved movements into preferred habitats, highlighting these model 226 benefits for marine telemetry data. We incorporated dynamic environmental covariates in CTDS 227 to better reflect changing environmental conditions encountered by migrating marine species 228 through time (e.g. seasonal seascape changes). This enabled short-term forecasting of preferential 229 spatial use at a monthly time-scale. The monthly prediction could be more relevant for dynamic 230 ocean management than the long-term utilization density, which was more appropriate for 231

species interacting with static environmental variables. Overall, CTDS provides population-level
 inference through MCMC compared to many movement studies based on individual-level
 models.

Using the CTDS modeling framework, we predicted the residence time of leatherback 235 turtles during two months in 2016 (Fig. 2). Residence time estimates should predict 236 leatherbacks transiting quickly from warm, coastal waters in December near the nesting 237 beaches, moving towards productive fronts in the South Pacific Gyre (Fig. 2b). Turtles begin 238 their post-nesting migration southwards through the eastern tropical Pacific in February to May 239 (Shillinger et al. 2008). In the South Pacific Ocean, there is a seasonal pattern with turtles 240 moving south to the South Pacific Subtropical Convergence (Saba et al. 2008) in the austral 241 summer (December to April) when temperatures are higher at these temperate latitudes 242 243 (approximately 30-40°S) (Shillinger et al. 2011). Leatherbacks generally return north to warmer, tropical waters (approximately 0-20°S) in the winter (May to November) (Fig. 2a). As 244 expected, there is a large area in the South Pacific Gyre with low residence time in this warm, 245 246 less productive water mass during both June and December 2016. In both months, leatherbacks were likely to move more slowly through the productive waters west of Peru and Chile. The 247 248 prediction maps can serve as a valuable tool to assist with dynamic ocean management (e.g. Howell et al. 2015) to prevent and ultimately reduce by catch of leatherback turtles in fisheries 249 through their incorporation into an end-user interface, South Pacific TurtleWatch (SPTW). 250 Previous studies have conveyed the complex relationship between satellite-derived 251 surface environmental metrics and leatherback distribution (e.g. Shillinger et al. 2008, 2011, 252 Bailey et al. 2012a). Higher latitudes in the South Pacific are more productive, but temperature is 253

ultimately expected to be a proxy for predicting prey abundance (gelatinous zooplankton), the

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255 driver of leatherback movement (Heaslip et al. 2012, Jones et al. 2012). Leatherbacks avoid cooler water farther south where they forage around 19°C and generally avoid warmer water (> 256 31°C) when breeding (Shillinger et al. 2011). This expectation of higher residence time in the 257 north during austral winter and higher probability in the south during summer, creating a north-258 south seasonal cycle throughout the South Pacific, is generally captured in our model predictions. 259 260 Although this modeling approach has many benefits, there are several limitations and complexities to consider. The model must be evaluated for its ability to predict the biologically 261 realistic behavioral responses. If regression coefficients are small at the individual-level, patterns 262 across population-level inferences will not be observed. The uncertainty in the estimated 263 movement paths of individuals can be decreased in this framework by applying multiple path 264 imputations (Hooten et al. 2010, Hanks et al. 2015, Wilson et al. 2018), but with larger telemetry 265 datasets, it can become computationally challenging. Within our model, vague priors were used 266 267 to drive inference. However, priors can be specified to increase predictive power when greater species information is available, a benefit of using this Bayesian approach. A manual backward 268 model selection was conducted, but a Bayesian model averaging approach could make it easier to 269 270 evaluate overall predictive power of a given set of predictor variables. We used a generalized linear model, having to assume the association between the leatherback movement and 271 environmental variables was parametric. However, a semi-parametric generalized additive mixed 272 model could be incorporated to model more complex associations with the environment. 273 The amount of telemetry data becoming available is ever-expanding, as are the complex 274 models relating animal behavior to environmental cues, but high computational power is often 275 276 required. Therefore, it is essential to use a predictive model capable of incorporating robust model estimates of movement over large tracking datasets and vast amounts of environmental 277

information. Here, we utilized a novel approach by incorporating dynamic drivers of animal
movement in a broad framework other studies can utilize and addressed the data analysis needs
of more advanced observational techniques without super-computing computational
requirements. The ability to predict motility and residence times of marine species based on
environmental conditions can play a valuable role in assisting with their management and
conservation in a dynamic ocean.

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436 Tables

- 437 **Table 1**. Post-nesting Eastern Pacific leatherback positional information modified from Bailey et
- 438 al. (2012a). All tracks included Argos satellite tag data from starting locations in Mexico, Costa
- 439 Rica, and Peru with either nesting or fisheries incidentally caught turtles.

Tagging			Total Daily	No.	Track Duration (Days)		
Location	Data Type	Years	Positions	Tags	Mean	Min	Max
Mexico: nesting	Argos Satellite Tag	2003	92	1	NA	NA	NA
Costa Rica: nesting	Argos Satellite Tag	2004- 2008	9841	42	179	34	513
Peru: driftnet fisheries bycatch	Argos Satellite Tag	2014	133	2	67	48	85
Total Post- Nesting Tracks	Argos Satellite Tag	2003- 2014	10,066	45	174	34	513

Table 2. Population level coefficient estimates (posterior median and 95% credible sets) from
CTDS hierarchical model relating motility with environmental covariates. crw denotes the
direction of the most recent movement at each time point. Tracks (n = 58) used were longer than
30 days, include all environmental predictors, and do not exhibit collinearity between predictors.
Bathy is bathymetry, SST is sea surface temperature, SST² is quadratic sea surface temperature,
FPI is the frontal probability index, and SSH is sea surface height.

		Estimate		
Coefficient	Estimate	Error	2.5%ile	97.5%ile
Intercept	-1.070	0.190	-1.460	-0.680
Bathy	0.080	0.030	0.030	0.130
SST	0.540	0.080	0.380	0.700
SST ²	0.720	0.190	0.370	1.100
FPI	0.760	0.120	0.530	0.970
SSH	-1.330	0.220	-1.760	-0.890
crw	0.670	0.030	0.610	0.730





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Figure 1. Monthly values for each of the modeled environmental variables: (a) bathymetry (m),
(b) sea surface temperature (°C), (c) frontal probability index, (d) Ekman upwelling (m/s), and
(e) sea surface height (m) for leatherback observations based on the estimated mean daily
positions from the switching state-space model. Maps were generated using 'ggmap' in R (Kahle
and Wickham 2013).



456 **Figure 2**. Leatherback turtle residence time (days) based on CTDS predictions using 45

457 individuals (n = 58 tracks) and environmental covariates of bathymetry, sea surface temperature,

458 quadratic sea surface temperature, frontal probability index, and sea surface height for (a) June

- 459 2016 and (b) December 2016. Plus signs represent daily positions of leatherbacks included in the
- 460 model during the respective months.