1 Autogenous self-healing of cement with expansive minerals-I: Impact in 2 early age crack healing

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7 ABSTRACT

This study investigates the impact of expansive minerals, namely magnesium oxide, bentonite clay, 8 9 and quicklime on the early age autogenous self-healing capacity of Portland cement (PC) paste. Individual mineral dosage in PC was studied comprehensively together with several multiple mineral 10 11 combinations. The study also covers a brief state of the art on autogenous self-healing and the use of minerals. The healing performance was compared using flexural strength recovery, crack sealing, and 12 permeability tests. Materials microstructural investigations were carried out using XRD, TGA and 13 SEM-EDX. The hydrated and swelling products of expansive minerals have effectively contributed to 14 the production of healing materials. Cracks in the range of 150 µm healed efficiently in a mineral 15 containing mixes within 28 days. Self-healing recovery was triggered through the crack bridging 16 (strength recovery), sealing (physical closer of cracks through crystallisation) and durability 17 performance improvement. 18

Keywords: Magnesium oxide (MgO), bentonite clay, quicklime, strength recovery, crack bridging,
durability improvement, crystallisation.

21 1. Introduction

Portland cement (PC) concrete is an efficient, quasi-brittle, and heterogeneous material, which can withstand high compressive strength. Yet, concrete cracks due to mechanical actions, physicochemical phenomena and/or environmental weathering. Although traditional design codes do not consider microcracks (up to 0.4 mm) as an immediate threat to structural integrity [1], these

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cracks are undesirable. These cracks reduce concrete's toughness and increase permeability, which
 consequently leads to the compromise of concrete's durability and lifespan. Self-healing concrete
 could be a promising solution to mitigate these issues.

4 The concept of self-healing in cement-based materials is new, and progressed significantly in last two 5 decades. Self-healing phenomenon in concrete was identified early in the mid-nineteenth century by 6 Lauer and Slate [2] and the concept was gradually established by several researchers [3–7]. The 7 healing processes in concrete are classified broadly into two principal groups, autonomic and autogenous healing [8]. In the former, healing agents are encapsulated into the concrete or 8 composites. These encapsulated healing agents release by breaking the encapsulation (microvascular 9 10 glass tube network [9–11] or microcapsule [12,13]) to repair the cracks. In contrast, autogenous selfhealing is an intrinsic repairing process of cementitious material [4]. This inherent repairing occurs 11 due to the hydration of remaining un-hydrated cement as well as carbonation of cement hydrates in 12 13 the crack planes.

Compatible supplementary minerals can improve the self-healing capacity of traditional cementitious 14 materials through increasing the formation of healing products. Depending on mineral types, it can 15 serve either or both functionalities, i.e., to remain considerably un-hydrated after the initial mixing 16 17 stage, and to produce compatible expansive hydrated compounds that can heal cracks. Potential 18 minerals are required to remain substantially unhydrated after the initial concrete production. Then, a 19 portion of primary hydration product and remaining unhydrated minerals are expected to contribute to the autogenous healing process. The formation of calcium carbonate in crack also plays a vital role in 20 21 the healing process. Calcium carbonates form while primary cement hydration product calcium 22 hydroxide in crack reacts with carbon dioxide in the atmosphere and dissolved in water. The 23 crystallisation of calcium carbonate within the crack is considered as the primary mechanism for self-24 healing of matured concrete [5]. Table 1 summarises the published literature on the use of mineral 25 additives for improving autogenous self-healing. Common minerals used by different researchers are 26 calcium sulfoaluminate, lime, bentonite clay, fly ash (FA), silica fumes (SF), blast-furnace slag (BFS).

Minerals	Composition	Damage	Curing	Performance	Source
	F	type	condition	(healed crack width in time	
				etc.)	
CSA, ^a H, ^b A,	Up to 10%	3PB,	water	-160-220 µm in 33d	[18]
^c L, Mont.	(concrete)	mechanical		- Calcite, CASH	
CSA	4.44% and 15.24% of	tension	still/continuo	-100 µm –reduce flow	[19]
	DC with 10% CS A and	Torce Sn tangila	US HOW Water	- cont. now is better	[20]
^{b}A , ^{c}L , Mont.	1.5% CA	test	water	-Calcite	[20]
Silica, ^d CEA,	-8% individual	Compressio	water, wet-	-220 µm in 2 weeks	[21]
bentonite, CA	-combination up to 14%	n, Sp.	dry, air, frizz-	- ^d CEA (individually efficient)	X
		tensile	thaw	-silica, bent., CA (combination is	
				efficient)	
FA, SF, CA	OPC, OPC+30%FA,	splitting	water	-50 µm in 12d	[22]
	OPC+10%SF,	tensile test		- larger cracks heals efficiently	
	15 to 200/ with DC	abrinteas	motor	With SF	[22]
ГА	(Paste)	micro	water	and 364 d	[23]
	(I aste)	cracks		and 504 d	
FA	5 to 15% wt. of sand	freeze-thaw	water	-Improve ^e DME over 90% in 28d	[24]
	(concrete)				
BFS	OPC+50% BFS	mechanical	water	-product formation is 3 times	[17]
				faster for CEM I	
FA, slag	30-40% of cement	shrinkage	water	-Improvement in compressive	[15]
	(mortar)	200		strength	[25]
L, slag, FA	50, 50% FA; 50, 75,85%	JPB, mechanical	water	-200 μm in 42d	[25]
slag	66% of cement (paste)	Sliced	Ca(OH)	-60% of 10 um in 240 h	[26]
Shug	sovo or coment (puste)	mechanical	solution	- C-S-H, ettringite, hvdrogenate	[20]
				etc.	
Bentonite	nanoclay in mortar as	mechanical	water	-enhanced hydration for self-	[27]
	internal water reservoir			healing	
Bentonite,	2% PVA by vol.	4PB	Water, wet-	-naloclay improves the reloading	[28]
slag, °L	Length=8mm, dia=40		dry cycle, air	deflection capacity	
Out al l'inne EA	μm				[20]
Quicklime, FA	(3%) on fly asn-PC	mechanical	water	-Increased SiO_2 solubility	[29]
Expanded clay	Na-MEP and PC coated	Imechanical	water		[30]
LWAs	(Mortar)	Incentancea	water	- sodium, phosphorous and	[50]
	(Worka)			fluoride, CH	
CSA	-PVA coated, up to 10%	3PB	water	- <100 µm -11d.	[31]
	by wt. of cement			- 100-200 μm -14d,	[+-]
	(mortar,1:3)			->200 µm-16d	
CA: cement+sand	1-2% of cement	4PB	water, open	-60% cracks sealed under open	[32]
+microsilica			air	air condition	
MgO	4-12% of cement	drying	water	- <500 μm in 28d	[33]
	<u>.</u>	shrinkage,3PB		- durability improved	

Table 1. Advancement in autogenous self-healing of cementitious materials with mineral additives.

Note: ${}^{a}H =$ hauyne, ${}^{b}A =$ anhydrite, ${}^{c}L =$ lime/limestone powder, ${}^{d}CEA =$ chemical expansive agent, 3/4PB = Three/four-point bending, OPC = Ordinary Portland cement, CASH= calcium aluminosilicate hydrate, CSA = Calcium sulfoaluminate, CA = crystalline additive, FA = Fly ash, SF= Silica fume, ${}^{e}DME =$ dynamic modulus of elasticity, LWAs = lightweight aggregates, Na-MFP = Sodium mono fluorophosphate (Na2FPO3, Na-MFP)

Smaller cracks (60 μ m) in BFS and limestone powder containing fibre-reinforced cementitious composites healed efficiently due to the formation of CaCO₃ [14]. Another similar study reported the optimum healing performance of slag and fly ash based concrete with the mixing content of 30% and 40% with PC, respectively [15]. However, there is a maximum allowable crack width at a given slag content and healing conditions, beyond which only partial healing is possible [16]. Olivier has
investigated a BFS type as partial substitution of CEM I 52.5 PC cement for improving autogenous
self-healing [17]. He found that 100% PC samples showed better self-healing performance than slag
PC mix in the early healing periods (first 21 days). However, this performance alters in the case of PC
with 50% BFS samples, thus resulting higher healing efficiency in later stages.

6 Tittelboom et al. [25] have compared the autogenous self-healing of individual and combination 7 limestone, slag (BFS) and FA containing cementitious materials. The BFS and specifically FA 8 showed negative influence in sealing efficiency, although both BFS and FA were expected to improve 9 autogenous self-healing through remaining as a considerably unhydrated binder in the matrix. Then 10 again, the strength and durability performance has improved, which can be attributed to the hydration 11 of additive minerals inside crack planes.

Minerals such as FA, SF, and crystalline additive (CA) minerals were investigated by Jaroenratanapirom etal. for improving the self-healing of mortars [22]. Their proposed CA composed of 35.58% CaO, 16.81% SiO₂, 15.22% Na₂O, 1.98% Fe₂O₃, 1.93% Al₂O₃, and 1.29% MgO. Microcracks up to 50 µm were healed within 12 days, and wider cracks healed better with silica fume additives. Fourier Transform Infrared Spectroscopy (FT-IR) from their study confirmed that the formed healing materials were composed of calcium carbonate.

Exposure conditions also influence the concrete healing performance. Cracked concrete specimen 18 19 cured under boiled water condition recovers mechanical strength with limited crack sealing performance [34]. While considering the influence of water flow in healing process, concrete with a 20 21 Calcium sulfoaluminate (CSA) type expansive additive shows better healing performance under the 22 continuous water flows than cured in stagnant water condition [19]. In a separate study, the 23 replacement of 1% of cement content with active silica based CA sealed about 60% cracks under open 24 air exposure condition [32,35]. Healing rate was reported faster initially (1 month) and continues 25 slowly thereafter, and limited healing performance was observed without a continuous water supply.

1 The importance of water in the healing process is further addressed by Ferrara et.al. [36]. They used a 2 specific CA for improving the self-healing properties of fibre reinforced concrete and studied the 3 healing performance in different healing conditions (immersed, water contact, high humidity, and 4 laboratory ambient). CA improved the durability of concrete in the immersed condition and resulted 5 in an efficient sealing of \sim 250 µm cracks within 42 days. They also found that a crack sealing of over 6 70-80% is required for reasonable mechanical performance to be recovered. In this case, Ferrara et. al 7 [36] reported stiffness recovery exceeding 20% in autogenously healed samples. The healing 8 compounds formed by the crystalline admixture are similar to cement hydration products such as ettringite and calcium silicate hydrates. Although the healing performance of original concrete 9 10 increases by this CA, a complete investigation is required to understand hydration mechanisms after concrete casting, as well as re-hydration kinetics and the carbonation of cement hydrates during the 11 12 healing process.

Autogenous healing is also influenced by the marine environment [37,38]. In a laboratory scale mimic 13 with seawater immersion, Ordinary Portland cement (OPC) mortar specimens show higher healing 14 functionalities compared to BFS mortar specimens [37]. However, the situation reversed in fresh-15 water conditions. After 56 days data showed that about 100% of OPC and a BFS blended OPC 16 samples in sea-water healed cracks up to 592 µm and 104 µm respectively, while this changed into 17 18 168 µm and 408 µm in the freshwater condition. It was found that the healing process is considerably 19 influenced by the precipitation of brucite, i.e. Mg(OH)₂ and aragonite. In a similar study [38], the autogenous healing efficiency of OPC and BFS mortar samples was investigated in chloride, and a 20 21 combination of chloride and sulphate solution to simulate a marine environment. While a chloride 22 solution did not show much influence on the healing process, solutions with additional magnesium 23 sulphate improve the sealing of cracks forming brucite layers at the crack planes. Therefore, the 24 compositions of cement matrix such as specific supplementary cementitious materials and healing 25 environment have a significant influence on the autogenous healing process.

It was found that the expansive minerals as supplementary cementitious materials improve the autogenous self-healing capacity of PC concrete. Minerals optimum expansive action promote the 1 formation of efficient hydration products, which not only can seal but also heal the cracks [18,33,39– 2 43]. The current study aims to investigate the autogenous healing performance of cement paste with 3 three different expansive minerals (MgO, bentonite and quicklime) under continuous water 4 immersion. Findings of this study are presented in two parts. The first part (Part-I), herein this paper, 5 presents the early age self-healing performances of cement past composition with minerals at a 6 constant standard consistency limit. Second part (Part-II) paper covers the optimisation of mineral 7 mix composition in PC and correlates the impact of hardened cement paste age at crack formation 8 with the healing process. In Part-II, the water to cement ratio was constant, while the workability was

9 adjusted using the water reducing admixtures.

10 2. Materials and methods

11 2.1 Materials

12 Table 2 presents the chemical and physical properties of PC and minerals.

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Table 2. Chemical and Physical properties of PC, MgO, bentonite, and quicklime.

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		PC: CEM	MgO	Bentonite	Quicklime	
		I 52.5 N	(M)	Clay (B)	(L)	
	Chemical Property					
	SiO ₂ (%)	19.50	2.25	54.20	0.80	
	$Al_2O_3(\%)$	4.90	0.22	18.80	0.10	
	CaO(%)	63.60	0.87	4.90	93.90	
	$Fe_2O_3(\%)$	3.10	0.53	5.00	0.06	
	MgO(%)	0.90	93.18	3.70	0.50	
	SO ₃ (%)	3.30			0.03	
	$Na_2O(\%)$			3.00		
	$K_2O(\%)$			0.60		
	TiO ₂			0.70		
F	CaCO ₃				4.00	
	LOI(%)-Loss	2.10	2.59			
	on ignition					
	Physical properties					
	Avg. Particle	5.00-	30-40*	4.75-75	53	
	Size (µm)	30.00				
	Density	3.16	3.02*	2.80	3.34	
	(g/cm^3)					
	S. Surface	0.30-0.40	16-20*	0.48		
	area (m ² /g)					
	Bulk density	0.90-1.50			0.84-1.02	
	(g/cm^3)					
	Reactivity		145		49	
			Seconds		Seconds	
di D	11 1.0	0 544	-			

14 **Note:** * Properties collected from reference [44].

Portland cement type CEM 1 52.5 N satisfying BS EN 197-1 [45], was supplied by Hanson, UK.
RBH Ltd, China supplied moderate reactive grade magnesia (MgO 92/200). Bentonite was supplied
by Kentish minerals and Quicklime (Calbux) satisfying BS EN 459-1:2001[46] was supplied by
Tarmac Buxton Lime and Cement, UK. The reactivity of MgO and quicklime were measured using an
accelerated reactivity test (as described in [47]) and a digital pH meter was adjusted in the
experimental setup (as described by [48]). Fig. 1 illustrates the XRD diffractograms of pure minerals
used in this experiment. Each XRD graph characteristic peaks corresponds to associated minerals.



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Fig. 1. The XRD of magnesia (MgO), quicklime, and bentonite used in this experiment.

10 2.2 Sample preparation and curing

Table 3 presents the mix designs used in this study. The CON (PC100) was the reference control 12 100% PC paste without additives, while the rest of the mixtures contained different percentages of 13 minerals as PC supplements. Tap water was used to prepare the cement paste. The standard 14 consistency limit of mixes following ASTM C 187 [49] was used to determine water to cement ratio. 15 This constant consistency limit allowed comparing the healing performance of different cement-16 mineral mix composition at the same workability condition. 1 The mixes were cast and finished as prescribed at BS EN 196-1:2005 [50] and left to set for 24 hours. 2 A planetary type mixer was used in the mixing process. Cube samples (40mm x 40mm x 40 mm) 3 were prepared for the compressive strength test. Prism samples (40mm x 40mm x 160mm) were 4 prepared for crack forming through a three-point bend test and investigating their corresponding 5 healing performance. A flexible steel wire (40 mm long and ~1 mm diameter) was placed at 2.5 mm 6 below the top loading surface to avoid complete collapse of the sample during the test. Disks 50 mm 7 diameter and 15 mm thick were prepared for gas permeability tests. All samples were then cured under water at 20±1 °C in desirable periods as described in the corresponding experimental method at 8 9 section 2.3 and 2.4.

10

Table 3. Cement mix compositions by percentage weight.

Mix		PC	MgO	Bentonite	Quicklime	Water
		(PC)	(M)	(B)	(L)	
Individual minerals	CON (PC100)	100	-	- ())	-	28.5
	PC97.5M2.5	97.5	2.5		-	29.2
	PC95M5	95	5	-	-	30
	PC90M10	90	10	- '	-	33
	PC97.5B2.5	97.5	-	2.5	-	30.3
	PC95B5	95	-	5	-	32
	PC90B10	90	¥	10	-	35.5
	PC97.5L2.5	97.5	-	-	2.5	29.5
	PC95L5	95	-	-	5	30.5
	PC90L10	90	-	-	10	32.5
Combination minerals	PC90M5B5	90	5	5	-	33.5
	PC85M10B5	85	10	5	-	35
	PC90M5L5	90	5	-	5	32
	PC85M10L5	85	10	-	5	33
	PC85M5B5L5	85	5	5	5	35.5
	PC80M10B5L5	80	10	5	5	36

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12 **2.3 Compressive strength test**

13 Compressive strength was measured following BS EN 196-1:2005 [50]. Cube samples were cured

14 under water and compressive strength was measured at 1, 14 and 28 days.

1 **2.4 Evaluation of the self-healing performance**

Healing performance was studied by using visual observations of crack sealing, strength recovery and
durability improvement as indicated by the gas permeability test. Prism and disc samples were
cracked at one day (24hr) after casting, and their healing was investigated up to 28 days.

5 2.4.1 Crack sealing efficiency evaluation

6 Cracked prisms were marked along the crack path (at least two spots at the bottom and one spot on both sides). Prism samples were cracked using three-point bend test according to BS EN 12390-5 [51] 7 and ASTM: D790-10 [52]. Prior to cracking, all specimens were notched (depth 1.5 mm and width 8 2.0 mm) with a rotating diamond blade. Preceding testing, a clip gauge was attached close to the 9 notch edges to monitor crack mouth opening displacement (CMOD) as presented in Fig.2a. The 10 11 prisms were loaded over a span of 120 mm and at a rate of 0.125 mm/min. Loading was stopped when the crack opening reached 0.30 mm. Upon the removal of the load, specimens had a bottom residual 12 crack width in average 0.18±0.04 mm, while few samples split completely only holded by the flexible 13 14 wire at top surface. However, six prisms were cast for each mix type to have at least three prims in desirable damage state after the three-point bend test. The widths and corresponding cross-sectional 15 areas of those marked places were observed after the initial cracking and at different recovery periods 16 (initial cracking-0 day, and 7 days, 14 days and 28 days). Digital images were taken using a GXCAM 17 1.3 type digital stereo microscope (GT Vision Ltd.). Finally, the crack area from the image was 18 measured using Image-J software and the crack sealing efficiency CA (%) over time was calculated 19 using equation 1: 20

21

$$CA(\%) = \frac{CA_0 - CA_t}{CA_0} \times 100$$
 (1)

where \overrightarrow{CA}_0 is the crack cross-section area just after cracking, and \overrightarrow{CA}_t is the crack cross-section area after healing in time t.

24 2.4.2 Strength recovery

25 Flexural stress and strain were calculated using equations 2 and 3 below.

$$\sigma_f = \frac{3PL}{2bd^2} \tag{2}$$

$$\varepsilon_f = \frac{6Dd}{L^2} \tag{3}$$

1

3 Where, σ_f is stress in the outer surface at the midpoint (MPa), ε_f is the strain in the outer surface 4 (mm/mm), *P* is the load (N), *L* is the support span (mm), *b* is the width (mm), *d* is the depth (mm), 5 and *D* is the maximum deflection of the prism centre (mm). Prisms were reloaded again after healing 6 up to 14 and 28 days and the strength recovery (SR) were then measured using Equation 4.

7
$$SR(\%) = \frac{rec, \sigma_{f,\max}}{ini, \sigma_{f,\max}} X100\% \quad (4)$$

8

9 In this equation, *SR* is the percentage of flexural strength recovery; *rec*, $\sigma_{f, max}$ is the maximum flexural 10 strength recovered at the testing time (after 14 and 28 days); and *ini*, $\sigma_{f, max}$ is the maximum flexural 11 strength in initial cracking (cracking of 1 day sample).

12 2.4.3 Coefficient of gas permeability

A liquid methanol gas source based technique was used to evaluate the gas permeability coefficient as 13 described in [12,53]. The experimental setup of the gas permeability is presented in Fig. 2b. One day 14 15 aged cement paste discs for gas permeability test were cracked under crack mouth opening control mode using an Instron machine and a clip gauge. Cracked discs were left to cure under water for 16 another 28 days. Samples were then dried for 72 hours to remove moisture and fixed with epoxy 17 sealant at the top of the glass pressure cells containing methanol, which were immersed in a 40°C 18 19 water bath (Fig. 2b). The mass loss with time was recorded at predefined time intervals until a steady-20 state loss was reached. Further description of this experiment can be found in [33], which explains 21 how to measure the intrinsic permeability coefficient (k) from the gas permeability test results.







(b)

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1

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Fig. 2. Experimental setup: (a) Three-point bend test, and (b) Gas permeability.

4 2.5 Microstructural investigation

The microstructure of cement paste and healing compounds were 5 investigated using: thermogravimetric analysis (TGA) through Mettler Toledo TGA/DSC-1 in 50-1000 °C temperature 6 range at a rate of 10 ⁰C/min in nitrogen (50 ml/min) environment with ~20 mg of dried powdered 7 samples; a mid-range Fourier transformed infrared (FT-IR) in 4000-525 cm⁻¹ by a Spectrum 100 8 spectrometer (Perkin Eimer); X-ray diffraction (XRD) by Siemens D500X ray diffractometer in a 9 10 range of 5-60° of 2 Θ at a rate of 2 s/step and scanning resolution of 0.05°/step; and a high definition 11 scanning electron microscope (SEM) image and energy dispersive x-ray (EDX) by Nova nono SEM 450. The EDX detector was a Bruker QUANTAX EDX, Xflash 6|100 detector type. 12

13 Powder samples were used to perform the TGA, XRD and FT-IR tests. Initial cement samples were collected after 24 hr casting, from the debris of crushed 40 mm cubes in compression test. Self-14 healing materials were obtained from prisms following the final loading of the specimens to failure 15 after 28-days recovery. Healing materials were extracted carefully from the healed cracked planes 16 17 using a file and a scaler tool. Initially, samples were treated with acetone for three days to suspend 18 hydration. Samples were then dried in a vacuum desiccator for four days and oven dried for another 19 three days at 60° C. Finally, samples were grinded to pass a 75 µm mesh sieve and collected for the 20 test.

Self-healing materials for SEM and EDX were collected cautiously in small pieces, and care was taken so that healed plane remained intact. The rest of the steps were same as XRD sample preparation except for the grinding of samples prior preserving in a desiccator. Samples were then gold coated using a K550 Emitech coating machine to ensure suitable conductivity for microscopy and EDX. The microscope was operated at an accelerating voltage of 15 keV with a working distance of ~6-8 mm and the time of EDX analysis per point was 60 s with ZAF correction using a built-in machine software.

8 **3. Results and discussion**

9 **3.1 Compressive strength development**

The compressive strength of mixes at 1, 7 and 28 days is illustrated in Fig. 3. Compressive strength 10 gradually increased in all mixes over time. Compared to CON (PC100), the substitution of 5% MgO 11 12 has no impact on compressive strength at 28 days, whereas substitution of 5% bentonite and 13 quicklime reduced ~7% and ~22% compressive strength, respectively. However, increasing the 14 mineral substitution amount to 10% caused a considerable reduction in the measured compressive strength at all ages. At 28 days, PC90M10, PC90B10, and PC90L10 mixes resulted in about 14%, 15 16 40% and 20% reduction in compressive strength, respectively, compared to PC100. Combined 17 minerals had a combined impact on compressive strength (Fig. 3b).





22

combination mixes.

1 MgO up to 5% slightly improves the 14 days compressive strength of PC95M5 mix compared to 2 PC100 due to its chemical properties. The primary hydration product of MgO is brucite, i.e., 3 $Mg(OH)_{2}$, one of the highly compatible cement hydration products which trigger expansion in the 4 matrix [54]. Optimum proportions of MgO in the cement mix produced efficient internal stress inside 5 the cement matrix which balances the shrinkage stress and results in a positive impact on strength 6 development. Besides that, unconsumed MgO acts as a filler material in the microstructure [48], 7 which compacted the paste matrix and improve strength properties. Quicklime, on the other hand, 8 produces Ca(OH)₂ as an initial hydration product. Although higher proportions of Ca(OH)₂ in the 9 cement matrix have the potential to improve autogenous self-healing capacity, the presence of more 10 than optimum proportions results in a little decrease in compressive strength development compared to PC100. Unlike MgO and quicklime, bentonite drastically reduces compressive strength due to its 11 more water-absorbing nature and lower participation in the pozzolanic reaction of cement. Bentonite 12 instead acts like filler and water absorber which negatively affects the strength development of PC 13 14 blends.

15 **3.2 Impact of minerals on self-healing properties**

16 **3.2.1 Crack sealing efficiency**

The cracks occurred near the surface was hydrated and carbonated with the availability of air and 17 water, then sealed up tight in the outer zone. The crack sealing was typically visualised in the crack 18 tip. Different types of healing materials were formed at different crack depths (Fig. 4). The inner core 19 20 section of the cracks was mostly hydrated then limitedly carbonated compared to the outer periphery 21 zone. Fig. 5 shows a typical crack closures pattern in PC100 and mineral containing (e.g. PC95M5) 22 samples. It can be noted that expansive mineral containing samples show much efficient sealing 23 compared to PC100 samples. Effective sealing can cause the reduction of water passing through the 24 cracks, which was similarly reported in the literature [13,18]. Samples with expansive minerals were 25 mostly sealed within 14 days, and higher content of expansive mineral in the mixes showed higher 26 sealing nature.



2 Fig. 0. Healing material formation pattern in a typical prism crack plane (*left*), corresponding location 3 SEM image at 2000 x magnification (right).

The crack sealing efficiency (CA%) following Equation 1 is presented in Fig. 6. Sealing efficiency 4 5 showed increasing trend over time. Average cracks in the bottom of the prisms were 0.18±0.04 mm. Smaller cracks sealed faster than the wider once. After 28 days recovery, crack sealing efficiency was 6 over 95% for PC90M5B5 followed by around 85% for PC95B5, PC95L5, and PC80M10B5L5, 7 compared to the PC100 samples 56% efficiency. Bentonite and quicklime showed slightly better 8 9 sealing property compared to MgO individually. Nonetheless, combined minerals resulted in 10 improved sealing efficiency in the most of the cement mixes.



(a)



(b)

11

1

After healing-28d

Before healing

After healing-28d

12 13

14

minerals.

Fig. 5. The typical crack sealing pattern in 28 days: (a) CON (PC100), and (b) Cement with expansive

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3 **3.2.2 Strength recovery**

Flexural strength recovery from three-point bend test using Equation 4 is considered as the 4 5 mechanical strength recovery parameter. Although the incorporation of higher mineral proportions was found to reduce the compressive strength to some extent (Fig. 3), this compensates for an 6 increase in self-healing performance in most cases. The strength recovery results are presented in Fig. 7 7. All individual minerals substitutions up to 5% showed maximum strength recovery. Overall the 8 9 strength recovery shows increasing trend from 14 days to 28 days in all mixes. Although a higher 10 proportion of individual minerals increased crack sealing, strength recovery performance was 11 reversed from 5% to 10% substitution mixes. Since there was no reinforcement in these cement paste prisms to restrain crack, excessive expansion of matrix without restraint might cause a negative 12 13 impact on crack bridging and limits strength recovery. The healing performances of PC with high 14 content of expansive minerals may be beneficial in cases where the crack width is restrained with 15 fibres or reinforcements or even with the help of external pressure conditions to contain expansive 16 healing products [55]. Overall, MgO showed higher recovery performance followed by quicklime and 17 the least with bentonite.



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Fig. 7. Flexural strength recovery -SR(%) of mixes in 14 and 28 days.

4 The combination of minerals results in higher strength recovery than individual substitutions. This is somewhat similar to the crack sealing performances. Magnesium oxide shows good compatibility 5 6 with bentonite and quicklime. The strength recovery of PC100 was only 4% and 6% at 14 days and 28 7 days, whereas it was nearly 28% and 60% for the PC90M5B5 specimens. Lower average crack width 8 (~150µm) of PC90M5B5 showed a positive impact on strength recovery performances. Quicklime also demonstrated a positive influence with MgO and bentonite. As found in PC85M5B5L5, strength 9 10 recovery increased to around 40% in 28 days. One of the possibilities is that the healing may have occurred in deeper crack planes rather than only being superficial in those mixes. So far, the most 11 12 efficient strength recovery ranged between 5% cement replacement of each mineral mixes 13 (PC85M5B5L5) and 5% of both MgO and bentonite mixes (PC90M5B5).

1 **3.2.3** Gas permeability

2 Gas permeability is considered as an indicator of durability performance improvement after healing. 3 Fig. 8a shows the gas permeability coefficients of different mixes after 28 days healing. In the graph, 4 PC100-un cracked and PC100-cracked represented un-cracked and cracked PC100 discs respectively. 5 PC100 un-cracked discs showed about 89% reduced coefficient values compared to PC100 cracked 6 healed discs. Overall, MgO samples resulted in considerable permeability reduction compared to 7 PC100-cracked samples followed by quicklime and bentonite mixes. Then again, individually 5% 8 MgO samples showed the least permeability. The bentonite and quicklime samples showed a gradual 9 reduction in the permeability with the increasing proportions of substitution in the mix. Combination mixes showed reduced permeability performance except for the highest substitution mix 10 PC80M10B5L5. Little higher permeability in the PC80M10B5L5 mix may be attributed to the 11 excessive expansive nature of the mix. Besides, excessive amount of expansive minerals may have 12 adverse effect on the durability performance of that cement based concrete. Permeability in the 13 combination mixes almost follows the trend of MgO 5% and 10% mixes. The reduction of 14 15 permeability coefficient compared to PC100-cracked varied from around 70% for PC90M5B5, PC85M10L5 and PC85M5B5L5 followed by other combination mixes, and then individual MgO, 16 bentonite and quicklime PC mixes. 17

18 Fig. 8b presents the correlation between crack sealing and gas permeability recovery as well as between crack sealing and strength recovery. The trend line shows increasing strength recovery and 19 decreasing permeability coefficient with the increasing crack sealing, although there are anomalies out 20 21 of the trend line due to different crack tip sealing mechanisms. While the higher expansive nature of 22 some mixes showed effective crack sealing, corresponding strength recovery was not always 23 promising. As noted, strength recovery trend was not the same as crack sealing performance in 10% 24 individual substitution mixes and PC80M10B5L5. The crack tip in those mixes may sealed in faster 25 rate which might have restricted the further penetration of water and dissolved CO₂ in depth for 26 continuous rehydration and carbonation required for efficient healing. A similar phenomenon was 27 described in [20] that erstwhile the highly and faster crystal producing nature of cementitious

1 materials might have resulted in a thin layers of crack tip closing compounds restricting hydration.

2 This also explains the anomalies that are less noted in crack sealing versus permeability coefficient

3 trend compared to crack sealing verses strength recovery trend.



Fig. 8. (a) Gas permeability coefficients of hardened cement paste discs after 28-day healing. (b)
 crack sealing efficiency (CA%) correlation with gas permeability coefficient (K) and strength
 recovery (SR %).

1 The durability improvement due to self-healing is a good indicator of healing, and these permeability 2 results are in agreement with the results of crack sealing and strength recovery. High strength 3 recovery and moderate rate of crack sealing might allow efficient healing inside the crack plane 4 avoiding only sealing in the crack tip. This phenomenon was most common in 5% MgO mixes.

5 Healing performances were higher in the mineral-containing cement mixes compared to PC100 mix 6 despite their higher water/binder ratio. This is an interesting finding since the unhydrated cementitious 7 materials contents were expected to be lower with higher w/b ratio. Therefore, substituted minerals 8 remained considerably unhydrated even in the higher w/b ratio, which positively triggered the healing 9 process. To better understand the entire healing process, the microstructural investigations of 10 materials were undertaken, and are presented in the following sections,

11 **3.3 Microstructure of the initial cement paste**

12 The microstructural investigation of initial, i.e. one (1) day aged cement mixes have been carried out 13 using XRD and TGA. This was conducted to understand the state of hardened cement pastes at the 14 time of cracking and initiation of healing in immersed condition.

15 **3.3.1 XRD of initial mixes**

16 The XRD patterns (Fig. 9) of initial cement mixes showed similar features in the main cement hydration phases (portlandite, ettringite, calcite, anhydrite) and unhydrated phases (C₂S, C₃S). MgO 17 mixes showed the peak of major hydration product Mg(OH)₂ (brucite). It was noted that the intensity 18 of unhydrated MgO and anhydrites peaks showed an increasing trend with the increasing proportions 19 of MgO content (eg. PC97.5M2.5 to PC90M10 in Fig. 9a). The major unhydrated MgO peak around 20 \sim 43° was distinctly correlating to the amount added in the mixes. Similarly, quicklime elucidated the 21 22 corresponding increment in the portlandite and unhydrated CaO peaks (e.g. PC95L5, PC85M5B5L5). 23 The presence of anhydrite was noted, which may have enhanced the rehydration capacity of mixes. 24 Anhydrite phase was reported to consume through hydration by increasing the compressive strength 25 in later stages [56]. These remaining unhydrated C₂S, C₃S, MgO and CaO together with higher 26 proportions of brucite, anhydrite and portlandite phases were crucial in the healing process.



Fig. 9. X-ray diffraction diagrams of initial cement mixes after 24 hr of casting , (a) CON (PC100)
and MgO-mixes, (b) bentonite-mixes, (c) Lime-mixes, and (d) combination mixes (Graph notations: E=
Ettringite, A= Anhydrite, Hy= Hydrotalcite, N/HM= Nesquehonite/Hydromagnesite, B=Brucite, C= Calcite,
CS= C₂S and C₃S, P= Portlandite, M=MgO/MgCO₃).

6

7 3.3.2 TGA of initial mixes

Fig. 10 presents the TGA results of initial cement mixes, i.e. after 24 hrs from casting. Various TGA decomposition peaks in all mixes were overlapping as similarly evident in XRD diagrams (Fig. 9). The overlapping of hydrated phases may have resulted intense peaks in TGA. The endotherms and their corresponding mass losses in Fig. 10 were similar to others study [56–59] and ascribed to C-S-H gel ~150°C [57]; Aluminium, ferric oxide, mono-sulphate (Afm phase) and gehlenite hydrate ~ 200°C; portlandite (Calcium hydroxide: CH) 425-550°C [58], ettringite ~68°C, brucite ~350°C[59], calcite ~640–670°C [56] and different carbonate phases ~700°C. The DTG curve indicated all these
 hydration peaks similarly presents in individual mineral substitution mixes (Fig. 10a-c) and
 combination mineral substitution mixes (Fig. 10d).

4 A quantification of TGA weight loss (non-evaporative water loss) percentage between three major 5 ranges associated to brucite: 300 - 400°C, CH: 400 - 500°C, and carbonate phases (CP): 600 - 750°C, 6 was measured and presented in Fig. 10e. Calcium hydroxide (CH) is the primary crystalline products 7 of the hydration reactions of dicalcium and tricalcium silicates (C₂S and C₃S) of PC, and quicklime. 8 Quicklime individually and in combination with MgO show increasing trend of CH production. This confirms high reactivity of quicklime at an early age of cement hydration. A slight indication of 9 10 brucite peak is noted with the increasing proportions of MgO (Fig. 10a and 10d). However, corresponding peak and weight loss were much lower compared to C-S-H, ettringite, portlandite and 11 carbonate phases. The quantification of weight loss percentage suggests that brucite production is not 12 13 much influenced in initial mix with MgO substitution compared to PC100. The impact of individual bentonite entrapped water could not be separated since this overlaps with ettringite phase and 14 15 carbonate phases peaks. However, bentonite in combination with MgO shows increasing brucite formation which results in about 1.03% weight loss for brucite phase in PC85M10B5 compared to 16 that of 0.80% weight loss in PC100. Therefore, MgO considerably remained unhydrated in the initial 17 18 cement mixes, although bentonite encourages little proportions of MgO nucleation to produce brucite. 19 The high proportion of expansive mineral substitution in M80M10B5L5 increases hydration products, in particular, weight loss percentage for calcite increased to 3.39% compared to 2.93% in PC100. 20 21 Overall the weight loss variation in all mixes due to the addition of expansive minerals is not 22 extensive, although there is a slight indication of brucite peak in MgO mixes and minor deviation in 23 carbonate phases due to the addition of quicklime and bentonite. Hence, a considerable proportion of 24 substitution minerals remained unhydrated in the matrix, which was expected to improve the healing 25 process.



8 bentonite-mixes, (c) CON and Lime-mixes, (d) combination mixes, and (e) TGA weight loss (%) for

9

brucite, CH, and carbonate phases.

1 3.4 Microstructure of the self-healing materials

2 This section depicts the influence of expansive minerals on the microstructure of healing product3 formation and the recovery mechanism.

4 **3.4.1 XRD of healing materials**

5 The XRD analysis on healing compounds is shown in Fig. 11. Several common PC hydration 6 products were noted in the XRD graphs (Fig. 11). The XRD spectrum indicated that principal healing 7 materials were carbonates and cementing hydrated products. In particular, calcite, portlandite, 8 ettringite, and brucite were ientified dominantly.



9



12 Nesquehonite/Hydromagnesite, B=Brucite, C= Calcite, $CS = C_2S$ and C_3S , P= Portlandite, M=MgO/MgCO₃).

The intensity of healing compounds for calcite, portlandite/brucite and ettringite peaks wereconsiderably sharper (Fig. 11) compared to initial (one day hydrated) cement mixes (Fig. 9). Primary

PC hydrated clinker product C-S-H gel was not identified due to its amorphous nature. Then again
 ordered C-S-H tobermorite/gennite-type phases was indicated in all mixes around 27° and 30° (2θ).
 Also, anhydrite peaks diminishes in the healing materials XRD graphs.

4 Substitutions of expansive minerals have produced additional hydrated and carbonated healing 5 products along with the typical healing compounds. Magnesium oxide has improved the production of 6 brucite and different hydromagnesite phases. Associated peaks for the MgO hydration products showed an increasing trend with the increase of MgO proportion in the mix (Fig. 11a). Similarly, 7 quicklime and bentonite improved the formation of portlandite and ettringite in the healing 8 9 compound. Combined minerals have combined impact on the healing compound formation characteristics. Magnesian calcite and dolomite peaks were also identified in mixes containing 10 11 reactive MgO. However, calcite and magnesian calcite peak patterns were similar, making it difficult 12 to distinguish each other.

13 **3.4.2 TGA of healing materials**

The comparative TGA curves of healing products are presented in Fig. 12. The TGA curves pattern and intensity of healing products are different compared to initial mixes, although DTG curves indicated major weight loss for similar products. TGA weight loss pattern in the healing materials commonly corresponds to ettringite, C-S-H, brucite, calcium hydroxide (CH), and carbonate phases.

18 A quantification of TGA weight loss (non-evaporative water loss) percentage between three major ranges associated to brucite: 300 - 400°C, CH: 400 - 500°C, and carbonate phases (CP): 600 - 750°C, 19 was measured and plotted in Fig. 12e as similarly presented in Fig. 10e for initial mixes. The weight 20 loss percentages are higher in healing materials compared to the initial cement mixes. One of the 21 22 major healing product ettringite presences is indicated with sharp DTG intensity at ~68°C in all mixes. 23 However, quantification at this low TGA temperature would not be accurate thus it was not 24 considered in quantification. The increasing proportions of MgO resulted in higher proportions of 25 brucite and the formation of associated products in all MgO mixes (Fig. 12 a, d and e). The TGA weight loss for brucite in 2.5, 5 and 10% MgO substitution mixes (Fig. 12e) gradually increases to 26 27 1.05, 1.66 and 2.28%, respectively, compared to that of 0.84% in PC100.



8 bentonite-mixes, (c) CON and quicklime-mixes, (d) combination mixes, and (e) TGA weight loss (%)

9

for brucite, CH, and carbonate phases.

1 MgO in the initial cement mix remained considerably unhydrated which hydrated during the healing 2 process producing higher content of healing products. Bentonite mixes also showed an increasing 3 trend in the weight loss compared to PC100, although increasing bentonite in mix proportions not 4 showed much difference. Quicklime showed increasing mass loss trend for CH with the increasing 5 mix proportions (Fig. 12c and e). The TGA weight loss for CH in 2.5, 5 and 10% quicklime 6 substitution mixes (Fig. 12e) gradually increases to 2.70, 3.33 and 3.96%, respectively, compared to 7 that of 2.55% in PC100. Even though, a portion of quicklime was hydrated in the initial mixes, a 8 considerable proportions remained hydrated influencing the production of higher content of CH to 9 trigger the self-healing process.

10 Combined minerals have combined impact on the TGA curves. Carbonates such as calcite are primary 11 self-healing product in all mixes. Both 2.5% MgO and quickline mixes resulted in higher carbonate production in healing compounds. However, increasing both MgO and quicklime increased the 12 production of their primary healing hydration products which are brucite and portlandite (CH), 13 14 respectively. In fact, TGA weight loss for CH in PC90M10 mix is higher than carbonate phase (Fig. 12e). This is unlike the initial cement mixes TGA results. Healing products of mineral substitution 15 mixes were more hydrated compared to the PC100 mix. This may explain the higher strength 16 recovery nature of mineral containing mixes. 17

18 3.4.3 SEM image and quantitative EDX analysis on healing materials

Microstructural imaging and a quantitative elemental composition are measured to have a further understanding of the formation of healing compounds and self-healing results. Typical healed surface SEM micrographs of each mix are presented in Fig. 13. Common healing products were calcite, portlandite, ettringite, and C-S-H in all mixes which are in agreement with XRD and TGA results. The microstructural morphology of the healing products were clearly visualised, and their corresponding atomic mass composition also showed similar trends.

25



Fig. 13. Typical SEM micrograph images of the healed surface (Notations: B=Brucite, CC= Calcite, CSH
 = C-S-H, Et= Ettringite, HC= Hemi-carbonates, MC= Magnesium carbonates, and P= Portlandite).

Two sets of ternary diagrams, Ca-Mg-Si and Ca-Al-Si, are illustrated in Fig. 14 and Fig. 15 based on 4 the EDX atomic mass proportions normalised values. These diagrams schematically represent the 5 6 materials phase relations. To approach the mineralogy, few known Mg-containing minerals were also 7 plotted in Fig. 14d. The atomic mass percentage of akermanite, monticellite, diopside, merwinite, and 8 bredigite, were collected from the literature [60]. Both sets of ternary diagrams indicated 9 corresponding expansive minerals influence on healing products formation. While mostly calcite and 10 other carbonate layers were commonly found on the edge of the crack plane, a combination of 11 hydration and carbonation products were found in-depth. The dominant proportions of calcite, 12 portlandite, C-S-H, and ettringite were indicated in the healing materials of PC100 and quicklime 13 mixes. The addition of MgO in the mixes has increased the production of brucite, hydromagnesite,

magnesian calcite, and M-S-H formation tendencies in healing substances. Mixes containing bentonite
 showed ettringite, and calcium aluminium silicon hydrate (C-A-S-H) formation tendencies and
 combined minerals show collective impact (Fig. 15 c and d).



Fig. 14. Ternary diagrams of Ca-Mg-Si on healing products EDX material compositions, (a) PC100
and MgO substitution samples, (b) bentonite substitution samples, (c) quicklime substitution samples,
and (d) mineral combination samples.

4

8 The production of C-S-H and portlandite autogenously triggers from the hydration of remaining 9 unhydrated tricalcium and dicalcium silicate (C_3S and C_2S), as well as quicklime in the cement. This 10 is now more clear that Ca^{2+} ion play the major role in the healing products formation. While this Ca^{2+} 11 in the crack plane is supplied by the unhydrated C_3S , C_2S phases and additive quicklime, literature 12 suggests that Ca^{2+} could be further provided by the decalcification of portlandite, C-S-H gel, and ettringite [61,62]. The C-S-H layers were preferably found in the core zone of the healed cross-section since the ingress of dissolved CO_2 through crack reduced due to the outer layer calcite formation (Fig. 4). Calcite and portlandite in the cracks might further react with Ca-rich mono sulphate and formed ettringite and hemi-carbonates for self-healing as can be noted in several SEM images (e.g. PC95M5 in Fig. 13).



6

Fig. 15. Ternary diagrams of Ca-Al-Si on healing products EDX material compositions, (a) PC100
and MgO substitution samples, (b) bentonite substitution samples, (c) quicklime substitution samples,
and (d) mineral combination samples.

Magnesium oxide in the mixes produced magnesium based hydrated products. The relatively lower hydration rate of MgO compared to CaO and cement-pozzolan might have limited the hydration and carbonation rate of MgO in early hydration stages. This is obviously depends on the specific grade of reactive MgO, which in this study was moderately reactive grade with the acidic reactivity of 149 sec.

1 The faster formation of a dense Ca-bearing carbonate layers around MgO might influence the diffusion of Mg^{2+} ions thus creating intact Mg^{2+} prone zones around the MgO and Mg(OH)₂ surface 2 [63–65]. Hence, Mg^{2+} ions were found available on the crack surface, which increases the efficiency 3 4 of healing products formation. The XRD and TGA on the initial cement mix after one-day hydration 5 confirmed the presence of considerable unhydrated MgO (Fig.9 and Fig.10). This remaining 6 unhydrated MgO may lead towards the formation of primary hydration products such as Mg(OH)₂ 7 and MgCO₃.3H₂O as found in SEM images (e.g. PC97.5M2.5 in Fig. 13). There is also an indication 8 of magnesian calcite formation where calcium and magnesium carbonate microstructure found with 9 each other (e.g. PC90M5B5 in Fig. 13).

As revealed by SEM imaging, bentonite might have assisted in the formation of scaffolding-like 10 ettringite networks and its characteristics plane sheet layered microstructure in the crack plane. 11 Although individually bentonite mixes demonstrated limited improvement in healing performance, 12 this improves while bentonite combined with MgO and quicklime. 13

Overal microstructural observation coincided with the self-healing performances of different mixes. 14 Therefore initially an ettringite type and layered rehydrated microstructural network may have 15 formed, and other hydrating and carbonating compounds then started to integrate into the network 16 filling the gaps. This creates strong bonding materials between crack surfaces and heals it. 17

4. Conclusions 18

This paper reports the early age autogenous healing capacity of cement mixes with three expansive 19 20 minerals, magnesium oxide (MgO), bentonite clay and quicklime. The following conclusions can be 21 drawn:

22

The hydration of un-hydrated cementing and expansive minerals initiated the recovery of • 23 flexural strength, sealing of the cracks, and reduction in gas permeability.

24 The strength recovery and crack sealing efficiency in the expansive mineral containing cement mixes improved up to 60% and 95% respectively compared to that of 8% and 56% in 25 the 100% PC cement mix CON (PC100). 26

- The gas permeability coefficient decreased up to 69% in the mineral containing mixes
 compared to PC100. This is an indication of durability improvement due to the substitution of
 expansive minerals.
- Microstructural investigation on initial cement mixes confirms the presence of both hydrated
 and a considerable proportion of unhydrated phases of expansive minerals in the cement
 matrix. This has increased the autogenous healing capacity of different mixes.
- Although higher water to cement ratio was supposed to reduce the healing capacity, the
 supplementary expansive minerals compensate this and further improved healing.
- Common healing compounds were calcite, portlandite, ettringite, and C-S-H. Magnesium
 oxide encouraged in the formation of brucite, other magnesium hydro-carbonate products.
 Bentonite influenced in the formation of ettringite and layered microstructures. Quicklime
 influenced additional portlandite, calcite and calcium-based hydration products.
- Efficient healing performance was noted in 5% individual mineral substitution mixes, 5%
 both MgO and bentonite (PC90M5B5) mix, and 5% each mineral combined (PC85M5B5L5)
 mix. Follow through this, Part-II presents further optimisation in mix proportions and
 cracking age impact on healing process.

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18