1		Adults with autism spectrum condition (ASC) have atypical			
2	p	erception of ambiguous figures when bottom-up and top-down			
3		interactions are incongruous			
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#### Abstract

2 We examined the perception of an ambiguous squares stimulus evoking bistable 3 perception in a sample of 31 individuals with autistic spectrum condition (ASC) and 22 4 matched typical adults (TA). The perception of the ambiguous figure was manipulated by 5 adaptation to unambiguous figures and/or by placing the ambiguous figure into a context of 6 unambiguous figures. This resulted in four conditions testing the independent and combined 7 (congruent and incongruent) manipulations of adaptation (bottom-up) and spatial context 8 (top-down) effects. The strength of perception, as measured by perception of the first reported 9 orientation of the ambiguous stimulus was affected comparably between groups. 10 Nevertheless, the strength of perception, as measured by perceptual durations was affected 11 differently between groups: The perceptual effect was strongest for the ASC group when 12 combined bottom-up and top-down conditions were congruent. In contrast, the strength of 13 the perceptual effect in response to the same condition in the TA group was comparable to 14 the adaptation, but stronger than both the context and the incongruent combined bottom-up 15 and top-down conditions. Furthermore, the context condition was stronger than the 16 incongruent combined bottom-up and top-down condition for the TA group. Thus, our 17 findings support the view of stimulus-specific top-down modulation in ASC.

18 Keywords: Autistic Spectrum Condition; Ambiguous figures; Adaptation; Context

2

# Adults with autism spectrum condition (ASC) have atypical perception of ambiguous figures when bottom-up and top-down interactions are incongruous

Autistic spectrum condition (ASC) is a neurodevelopmental condition characterized by disturbances in social interaction and communication, as well as stereotyped, repetitive behaviors and interests (WHO, 1992). The condition additionally exhibits abnormalities in visual perception and attention (Happé & Frith, 2006; Hill, 2004).

7 Anecdotal evidence suggests that ASC individuals are very accurate and fast at 8 perceiving visual details of a display (bottom-up perception). A growing body of research 9 confirms that ASC participants are better in tasks, which require predominantly "local" or 10 detail-focused processing, e.g., embedded figures (Shah & Frith, 1983), block design (Shah 11 & Frith, 1993), conjunctive visual search (Plaisted, O'Riordan, & Baron-Cohen, 1998), for a 12 recent meta-analysis on the former two tasks refer to Muth, Hönekopp, and Falter (2014). 13 Although evidence for an enhanced local processing is consistent, reports on deficits in global 14 processing are inconclusive (Dakin & Frith, 2005).

15 On a neural level, a decreased top-down neural control might manifest itself in a local 16 processing style at the expense of processing the global 'gist' (Frith, 2003). Frith (2003) 17 suggests lack of pruning of neuronal feedback projections during brain development in ASC 18 individuals, which results in malfunctioning of neural feedback control systems. Functional 19 neuroimaging studies have supported the view of atypical top-down modulation of early 20 sensory processing in ASC individuals: task-dependent early sensory processing areas 21 activate or even hyper-activate, while later processing areas hypo-activate in response to 22 various perceptual and attentional manipulations (Freitag et al., 2008). Loth, Gómez, & 23 Happé (2010) and Cook, Barbalat, & Blakemore (2012) show that top-down influence of prior knowledge and top-down dominance on task performance is reduced in ASC. However,
Bird, Catmur, Silani, Frith, & Frith (2006) and Greenaway & Plaisted (2005) imply that topdown influence on task performance can be stimulus-specific. Mitchell, Mottron, Soulières,
and Ropar (2010) discuss whether top-down processing in ASC might be attenuated in the
sense of a general deficit, or top-down processing might be merely stimulus or taskdependent.

7 We tested this particular question for the first time and explored spontaneous 8 influences of bottom-up and top-down information on perception and their interaction in 9 ASC using an ambiguous figures paradigm. Ambiguous figures are such stimuli that make 10 our perception constantly oscillate between two (or more) alternative interpretations. When 11 participants merely observe the ambiguous figures, ASC participants and typical adults 12 experience similar perceptual changes (Ropar, Mitchell, & Ackroyd, 2003; Sobel, Capps, & 13 Gopnik, 2005). Our ambiguous figures paradigm, however, allows the implementation of 14 bottom-up and top-down processing on their own, as well as under combined congruent and 15 incongruent conditions (Intaite et al., 2013). This is achieved by including adaptation (bottom-16 up) and context (top-down) effects into the task. An adaptation effect is obtained in studies 17 where participants are presented with an unambiguous stimulus before the actual ambiguous 18 stimulus is shown. The unambiguous stimulus represents one of the possible interpretations 19 of the ambiguous figure, hence biasing the subsequent perception of the ambiguous figure, 20 i.e. participants tend to first recognize the alternative interpretation of the subsequently 21 presented ambiguous figure (Long & Moran, 2007). In this study, all participants were 22 adapted to an unambiguous squares stimulus in either 'upwards' or 'downwards' orientation 23 that was followed by the ambiguous squares stimulus. Contrary to the adaptation effect, the

1 context effect is achieved by presenting an ambiguous test figure within the spatial context 2 of unambiguous figures representing one of the possible perceptual interpretations. In this 3 case, the ambiguous test figure is more likely to be perceived in the same orientation as the 4 context figures (Intaité et al., 2013; Sundareswara & Schrater, 2008). In the current study, the 5 ambiguous squares stimulus was presented in the spatial context of unambiguous squares 6 stimuli. Adaptation and context conditions were presented either in separate trials or within 7 the same trials. In the latter case, the orientation of the context stimuli either matched the 8 formerly presented adapting stimulus or not. This resulted in four conditions: an adaptation 9 only condition, a context only condition, an adaptation different from context condition 10 (combined congruent), and an adaptation identical to context condition (combined 11 incongruent) (see Fig. 1). Previously Happé (1996) and Happé & Frith (2006) suggested that 12 perception in ASC individuals might be less influenced by context in a top-down manner. 13 Yet, Greenaway & Plaisted (2005) and Mitchell et al. (2010) indicate that top-down attentional modulation might be stimulus-specific or task-dependent rather than generally 14 15 attenuated. Hence, we sought to investigate these two hypotheses of either a general deficit 16 of top-down modulation (i.e., the ASC group would show weaker responses to context effect 17 alongside to weaker responses to both combined bottom-up and top-down manipulations) or 18 a stimulus-specific top-down modulation by testing ambiguous figure perception under these 19 four conditions.

2

#### Methods

## Participants

3 Thirty-six individuals with Autism Spectrum Condition (ASC; twenty-three males) 4 and twenty-eight typical adults (TA; fourteen males) took part in the experiment. All ASC 5 participants met international criteria for autism (F84.0) or Asperger's Syndrome (F84.5) 6 confirmed by clinical interviews according to ICD-10 (WHO, 1992), as judged by two 7 clinicians specializing in the assessment and diagnosis of the condition. Participants of this 8 study were recruited from the database at the Outpatient Clinic for Adults with Autism 9 Spectrum Disorder at the University Hospital Cologne. Eleven participants (five from the 10 ASC group and six from the TA group) were excluded from analyses because of an inability 11 to report reversals in one or more experimental conditions in spite of reporting to see both 12 interpretations of the ambiguous squares stimulus during the practice period. Thus, the data 13 of thirty-one ASC (twenty males; age = 43:6 years:months, SD = 8.6) and twenty-two TA 14 participants (twelve males; age = 39:3 years:months, SD = 8.7) were entered into the analyses. 15 Each participant had reported normal or corrected-to-normal vision. Written informed 16 consent was obtained from all participants and the ethics board of the University Hospital 17 Cologne formally approved the study.

18

# Psychometric testing

Participants completed this study as part of a larger battery of three perceptual
experiments. They also completed a neuropsychological test battery including the Beck
Depression Inventory (BDI, Hautzinger, Keller, & Kühner, 2006), Wechsler Intelligence
Scale (WIE, Aster, Neubauer, & Horn, 2006), the Autism-Spectrum Quotient (AQ, BaronCohen, Wheelwright, Skinner, Martin & Clubley, 2001), the Empathy Quotient (EQ, Baron-

1	Cohen, Wheelwright, 2004), the Systemizing Quotient (SQ, Baron-Cohen, Richler, Bisarya,
2	Gurunathan, & Wheelwright, 2003), Toronto Alexithymia Scale (TAS-20, Bagby, Parker, &
3	Taylor, 1994), the Intuitive Physics Test (IPT, Baron-Cohen, S, Wheelwright, Scahill,
4	Lawson, & Spong, 2001) and Theory of Mind - Reading the Mind in the Eyes Test (Baron-
5	Cohen, S, Wheelwright, Hill, Raste, & Plumb, 2001). ASC and TA groups were matched
6	with respect to age, verbal, and performance IQ (Table 1; <i>largest</i> $t = 1.82$ ). TA participants
7	were screened for not having a prior history of neurological or psychiatric conditions. Eleven
8	ASC participants were taking antidepressants at the time of the study. Taking into account
9	the altered excitation/inhibition balance in the autistic brain (Nelson & Valakh, 2015), we
10	have rerun our statistics excluding these ASC participants and the results were comparable
11	to the results obtained with a complete sample. Results excluding ASC participants taking
12	antidepressants are available online ( <u>https://osf.io/nhrxk/</u> ).
13	<< <table 1="" about="" here="">&gt;&gt;</table>
14 15	Design and Procedure
16	An ambiguous squares figure was used as the main experimental stimulus. Each trial
17	started with a 120 s adaptation period followed by a blank screen presented for 1 s.
18	Afterwards, participants were presented with an ambiguous squares stimulus (in the four
19	different conditions described below) for 30 s and had to report the perceived changes of the
20	square's orientation by pressing one of the two keys (upwards or downwards) on the response
21	keyboard. An inter-trial interval of 120 s was presented after each trial. A central fixation
22	point was always present in the middle of the screen/figure except during the intertrial
23	
	intervals. The participants were asked to keep their eyes focused on this point throughout the

1) The adaptation condition (hereafter AC): During the adaptation period, an
 unambiguous 'upwards' or 'downwards' oriented squares stimulus was presented. During
 the test period an ambiguous squares stimulus (i.e., no context) was presented (Fig. 1A).

4

2) The context condition (hereafter CC): During the adaptation period, only the
fixation point was used as a stimulus (i.e., no adaptation). During the test period an
ambiguous squares stimulus was presented in the context of surrounding unambiguous
squares stimuli in either 'upwards' or 'downwards' orientation (Fig. 1B).

3) The adaptation different from context (hereafter ADC) condition: During the
adaptation period, an unambiguous squares stimulus oriented either 'upwards' or
'downwards' was presented. During the test period an ambiguous squares stimulus was
presented in the context of surrounding unambiguous squares stimuli in either a 'downwards'
or 'upwards' orientation, respectively (Fig. 1C).

4) The adaptation identical to context (hereafter AIC) condition: During the
adaptation period, the unambiguous squares stimulus oriented either 'upwards' or
'downwards'. During the test period an ambiguous squares stimulus was presented in the
context of surrounding unambiguous squares stimuli in either 'upwards' or 'downwards'
orientation, respectively (Fig. 1D).

All stimuli were drawn in black (~ 12.78 cd/m<sup>2</sup>), presented on a white background (~ 19 14.98 cd/m<sup>2</sup>), and viewed binocularly. A single figure subtended a visual angle of  $1.51^{\circ} \times$ 20 1.51°, the fixation point was 0.02° large, and line thickness in degrees of visual angle was 21 around 0.07°. The entire display consisting of ambiguous squares in the context of 22 unambiguous squares subtended  $5.82^{\circ} \times 5.82^{\circ}$  of visual angle. Stimuli were presented on a 23 24 inch computer screen (frame rate: 59 Hz, mean luminance of the monitor: ~ 13.88 cd/m<sup>2</sup>, Michelson contrast: 0.15, LED monitor) at a viewing distance of ~ 60 cm. The position of
the fixation point on each version of unambiguous squares was adjusted to match the center
of the screen and the center of the subsequently presented ambiguous squares stimulus.

Each participant took part in a 90 min individual testing session. Ambient lighting was kept constant throughout each testing session. Before testing commenced, the ambiguous squares stimulus was shown to each participant, and they were instructed to watch it until reversals were perceived. In the beginning of the session, each participant performed two practice trials (the CC and the ADC conditions) in order to get acquainted with the task requirements.

- 10 <<<<Figure 1 about here>>>
- 11

12 Each of the four conditions consisted of four trials, resulting in a total of 16 13 experimental trials. For each of the three experimental conditions with an adaptation period 14 (the AC, the ADC and the AIC), the four trials consisted in the ambiguous squares stimulus 15 being presented twice after the 'downwards' and twice after the 'upwards' unambiguous 16 squares stimulus. The 16 trials were organized in two experimental blocks, separated by a 5 17 min break. Each block contained eight randomly presented trials, with two trials from every 18 experimental condition and one of each of the two unambiguous orientations: 'upwards' and 19 'downwards'. The participants were asked to let the perceptual reversals occur naturally and 20 were instructed not to intentionally manipulate their percepts. No feedback on performance 21 was given.

22 S

# Statistical analyses

There were two dependent measures used in the analyses: (1) the first responsesregarding the percept of the orientation of the ambiguous squares stimulus (hereafter

1 Orientation First Percept) and (2) the average durations during the 30 sec test period, 2 calculated separately for the 'upwards' and 'downwards' percepts (hereafter Perceptual 3 Durations). The Orientation First Percept is a direct measure of the influence of the 4 experimental manipulations on perception and the Perceptual Durations are metrics of the 5 fluctuations of the perception. Concerning the analysis of Orientation First Percept, the initial 6 interpretations were coded in terms of whether the ambiguous squares stimulus was 7 perceived in the predicted (score = 1) or unpredicted (score = 0) orientation with respect to 8 the expected effects from the experimental stimulus conditions (for further information about 9 calculating the predicted scores see Intaite et al.), and an average score was used for statistical 10 analyses (for further information on statistical analyses see Intaité et al., 2013).

A mixed ANOVA with one between-participant factor of GROUP (ASC and TA) and one within-participant factor of CONDITION (AC, CC, ADC, AIC) were conducted for the dependent variable Orientation First Percept. One-way ANOVAs (Bonferroni-Holm corrected, hereafter B-H) (Holm, 1979) were used as post-hoc tests to compare different conditions in case of a significant effect of CONDITION.

16 For the statistical analyses of the Perceptual Durations, successive presses of the same 17 key during ambiguous squares stimulus observation (e.g. several subsequent indications of 'upwards' => 'upwards' or 'downwards' => 'downwards' percepts) were treated as errors 18 19 and removed from the analyses. This happened on average  $\sim 1.97$  times (SD = 3.04) for the 20 ASC and ~ 1.41 times (SD = 1.12) for TA participants. Due to the limit in the ambiguous 21 squares stimulus presentation duration (i.e., 30 s), a number of percepts were truncated as a 22 result of the end of stimulus display and thus they were not included in the analyses. 23 Kolmogorov-Smirnov tests revealed that Perceptual Durations values did not meet the

condition of normality. The distribution of the raw scores were leptokurtic and positively skewed, thus lognormal transformations were applied to these data (Howell, 2009).

3 A mixed ANOVA with one between-participant factor of GROUP (ASC and TA) and 4 three within-participant factors of CONDITION (AC, CC, ADC, AIC), ADAPTING (or 5 CONTEXT) STIMULUS (upwards and downwards) and PERCEPTUAL RESPONSE 6 (upwards and downwards) were conducted on the data of Perceptual Durations (LOG 7 transformed). After obtaining a significant effect of CONDITION and significant 8 interactions with GROUP, and in order to correct for individual differences, we calculated 9 the difference scores for each Perceptual Duration by subtracting the unpredicted Perceptual 10 Durations from the predicted Perceptual Durations. After this, the predicted difference scores 11 obtained for 'upwards' and 'downwards' perceptual orientations were averaged together 12 creating 'effect of manipulation' variables for each condition. Subsequent one-way 13 ANOVAs (B-H) were used as post-hoc tests to compare different 'effect of manipulation' 14 variables for each GROUP separately. In all cases of significant violations of sphericity, 15 Huynh-Feldt corrections were applied.

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## **Control experiment: Eye-movement recording and analysis**

In order to determine whether the participants were able to maintain central fixation during the experimental task we have performed a short control experiment. The stimuli and procedure were identical to the main experiment with the following exceptions: each of the conditions was presented only twice in order to reduce the total time of the experiment from 90 min to 45 min.

During this control experiment, eye-tracking data (sample frequency 250 Hz) were
 recorded with an Eyelink 1000 (SR Research, Ottawa, Ontario, Canada). The device was

1 individually calibrated with a 9-point calibration routine at the beginning of the experiment. 2 Sixteen ASC (eleven male, mean age = 45.44 years, SD = 8.41) and thirteen TA participants 3 (five male, mean age = 41.08 years, SD = 7.29) took part in the control experiment. ASC and 4 TA groups were matched on age, verbal, and performance IQ (*largest* t = 1.47). Eleven ASC 5 participants (seven male) and seven TA participants (four male) took part in both the main 6 and the control experiments, the latter of which was performed on another day and therefore 7 would have not influenced behavioral performance during the main experiment. The data 8 were prescreened for co-morbidity and medication in the same manner as the data of the main 9 experiment.

10 Fixations were calculated based on the recorded gaze behavior. A fixation duration 11 threshold of 150 ms was used. Fixations that had the same position and were separated by a 12 blink were concatenated. To calculate dwell time (i.e., the percent of time spent fixating on 13 the interest area), consecutive fixations were concatenated. For pupil data analysis, the pupil 14 area values at 1 sec before each perceptual reversal were averaged together. Two rectangular 15 areas of interest (AOI), one comprising the size of the ambiguous squares stimulus  $(1.51^{\circ} \times$ 16 1.51°) (hereafter AOI-1) and one comprising the size of the context stimuli  $(5.82^{\circ} \times 5.82^{\circ})$ 17 (hereafter AOI-2) were defined. As the responses to the provided test stimuli depended on 18 the participants' performance during the adaptation period, for the AOI-1 we analyzed the 19 fixations' data both for the adaptation period (120 s before presenting the ambiguous squares 20 stimulus) and for the test period (30 s of the ambiguous squares stimulus presentation time). 21 For the AOI-2 analyses, only fixations data obtained during the test period were analyzed 22 (Table 2). Kruskal-Wallis tests were performed to compare study groups regarding the 23 average count of fixations, the dwell times both for AOI-1 and for AOI-2, and pupil area

1	values at 1 sec before the presses indicating perceptual reversals between all conditions and
2	groups.
3	<<< Table 2 about here >>>
4	

2

#### **Results**

## Eye tracking

Results revealed no statistically significant group differences between the average count of fixations (largest  $\chi^2 = .52$ ), the dwell times neither for AOI-1 (largest  $\chi^2 = 1.61$ ) nor for AOI-2 (largest  $\chi^2 = .52$ ), and pupil area values at 1 sec before the presses indicating perceptual reversals (largest  $\chi^2 = 2.02$ ) across all conditions and across groups (see Table 2).

7

# **Orientation First Percept**

8 We performed a mixed ANOVA with one between-subjects factor of GROUP (ASC, TA), one within-subjects factor of CONDITION (AC, CC, AIC, ADC) and Orientation First 9 10 Percept as dependent variable. The first reported percepts of the ambiguous squares were 11 differentially influenced by experimental manipulations as indicated by a significant effect of CONDITION (F(3,153) = 15.71, p < .001,  $\eta p^2 = .24$ ). Effects of these experimental 12 13 manipulations were comparable across GROUPS (GROUP effect, CONDITION × GROUP 14 interaction: largest F < 1). First, we wanted to check whether adaptation or context had a 15 stronger influence on the first ambiguous squares figure percept. Thus, we compared the 16 adaptation and context conditions independently and this revealed that irrespective of 17 GROUP (F < 1) the manipulation of context was stronger than that of adaptation (F(1, 51) =18 25.15, p < .001,  $\eta_{p^2} = .33$ ) (Fig. 2A). Further, we solely compared those conditions that had 19 the same predicted orientations of responses (Intaite et al., 2013): AC with the ADC 20 (adaptation-matching first interpretation of ambiguous squares stimulus) and the CC with the 21 AIC (context-matching first interpretation of the ambiguous squares stimulus). Subsequent 22 comparisons revealed that the predicted effect was stronger in response to the ADC than in response to the AC (F(1,51) = 38.56, p < .001,  $\eta p^2 = .43$ ), and the predicted effect was 23

stronger in response to the CC than in response to the AIC,  $(F(1,51) = 6.47, p < .05, \eta p^2 =$ 11), for both GROUPS (all F-values < 1), confirming our previous findings (Intaité et al., 2013). This suggests that context may strengthen (the ADC) or weaken (the AIC) effect of adaptation depending on whether the top-down and bottom-up manipulations are congruent or incongruent.

6 However, the independent adaptation effect obtained in our study was weaker than 7 the effects obtained in response to other experimental conditions. It is known that adaptation 8 effects are heavily influenced by adaptation duration, stimulus size, location, and temporal 9 proximity between adapting and ambiguous stimuli (Long & Moran, 2007; Long et al., 1992; 10 von Grünau et al., 1984). Given that we were exploring the effects of spatial context on 11 adaptation (and vice versa), we have used a lower number of adaptation trials in comparison 12 to earlier studies (Long & Moran, 2007; Long et al., 1992; von Grünau et al., 1984). 13 Furthermore, studies testing adaptation effects have relatively large amounts of participants 14 (i.e., > 60) (Intaite et al., 2013; Long & Moran 2007; Long, Toppino & Mondin, 1992). In 15 order to verify that this effect was indeed weaker due to the smaller sample, we have added 16 the data available from our supplementary experiment to our original adaptation condition 17 and conducted a one-sample t-test. The mean values of the AC were significantly larger than 18 random responding (i.e., 0.5): AC t(63) = 1.83, p < .04, one-tailed, d = .23. The statistical 19 data are summarized in Table 3.

20

<<<Figure 2 about here>>>

21 22

## Perceptual Durations

In order to investigate whether Perceptual Durations were influenced by experimental manipulations, as well as by the 'downwards' and the 'upwards' interpretations of the

1	ambiguous stimulus, we have conducted a mixed ANOVA with CONDITION (AC, CC,
2	AIC, ADC), ADAPTING (or CONTEXT) STIMULUS, PERCEPTUAL RESPONSE
3	(downwards, upwards) as within-subjects factors and GROUP (ASC, TA) as between-
4	subjects factor and Perceptual Durations as dependent variable. The mixed ANOVA showed
5	significant effects of CONDITION (F(3,153) = 7.69, p < .001, $\eta p^2$ = .13) and PERCEPTUAL
6	RESPONSE (F(1,51) = 14.01, $p < .001$ , $\eta p^2 = .22$ ) revealing that perceptual 'downwards'
7	responses were longer than 'upwards' responses, a finding termed the perceptual orientation
8	bias, i.e. the perception of the ambiguous cube-like figure (e.g., Necker cube) is typically
9	biased towards a 'front-side-down' interpretation. Significant PERCEPTUAL RESPONSE
10	$\times$ GROUP (F(1,51) = 5.21, p < .05, $\eta p^2$ = .09), CONDITION $\times$ PERCEPTUAL RESPONSE
11	$(F(3,153) = 3.33, p < .05, \eta p^2 = .06)$ , CONDITION × PERCEPTUAL RESPONSE × GROUP
12	(F(3,153) = 2.90, p < .05, $\eta p^2$ = .05) and CONDITION $\times$ ADAPTING STIMULUS $\times$
13	PERCEPTUAL RESPONSE (F(3,153) = 49.07, p < .001, $\eta p^2$ = .49) interactions were
14	obtained, whereas the effect of GROUP was not significant (F < 1). In order to simplify our
15	data, we have calculated difference scores between the predicted and unpredicted Perceptual
16	Durations (the selection of predicted and unpredicted Perceptual Durations were based on
17	the predicted and unpredicted Orientation First Percept scores). The difference scores were
18	calculated separately for 'upwards' and 'downwards' orientations and then averaged together
19	to obtain a single 'effect of manipulation' variable per condition. In order to further explore
20	the significant GROUP interactions obtained in the mixed ANOVA, subsequent one-way
21	ANOVAs were performed for each GROUP separately.

1	For both ASC and TA groups, there was no difference between the adaptation (the
2	AC) and the context (the CC) conditions (both F-values < 1), meaning that both conditions
3	were influencing the perception of both groups at a comparable strength.
4 5	<< <figure 3="" about="" here="">&gt;&gt;</figure>
6	ASC. The 'effect of manipulation' variables were different between experimental
7	conditions (as shown by significant effect of CONDITION (F(3,90) = 16.29, p < .001, $\eta p^2$ =
8	.35)). Subsequent one-way ANOVAs, comparing pairwise all the experimental conditions
9	(B-H corrected), revealed that the 'effect of manipulation' was larger in response to the ADC
10	condition compared to all other conditions (F(1,30) $\ge$ 11.92, p < .003, $\eta p^2 \ge$ .28). That is, the
11	experimental manipulation was strongest in the ASC group, when bottom-up and top-down
12	manipulations were congruent (Fig. 3).
13	TA. The effect of manipulations was different between experimental conditions as
14	shown by a significant effect of CONDITION (F(3,63) = 6.34, p < .003, $\eta p^2$ = .23).
15	Subsequent one-way ANOVAs, comparing pairwise all experimental conditions (B-H
16	corrected), revealed that the 'effect of manipulation' was stronger in response to the condition
17	where bottom-up and top-down manipulations were congruent (the ADC) compared to the
18	values obtained in response to the context condition (the CC), or the condition where bottom-
19	up and top-down manipulations were incongruent (the AIC) (F(1,21) $\ge$ 10.61, p < .005, $\eta p^2$
20	$\geq$ .34). Furthermore, the effect of context alone (the CC) was stronger than that of AIC
21	$(F(1,21) = 7.98, p < .02, \eta p^2 = .28).$

22 More results (<u>https://osf.io/nhrxk/</u>), as well as the spreadsheet of the summary
23 data

1	(https://mfr.osf.io/render?url=https://osf.io/4bcqz/?action=download%26mode=re
2	nder), and our experimental code (https://osf.io/y73mn/), are available online.
3	<< <table 3="" about="" here="">&gt;&gt;</table>
4	Results containing dependent measures 'Response to the First Reversal' (standard
5	measurement of effects of adaptation on ambiguous figure perception) and 'Reversal Rate'
6	(standard measurement of ambiguous figure perception) are included in the supplement
7	results section online. Furthermore, Spearman correlation coefficients were used to examine
8	the relationship between task performance and symptom severity indexed in AQ scores as
9	well as systemizing tendency indexed in IPT scores ( <u>https://osf.io/nhrxk/</u> ).
10	

#### Discussion

2 We aimed to examine perceptual processing in ASC and a matched TA group using 3 a previously validated paradigm, which, for the first time allows the investigation of both the 4 independent and the combined influence of bottom-up and top-down influences on 5 perception of ambiguous figures in ASC. We replicated previous findings of adaptation 6 (Intaité et al., 2013; Long & Moran, 2007; Long et al., 2002) and context (Intaité et al., 2013; 7 Sundareswara & Schrater, 2008) effects on the perception of ambiguous figures in TA and 8 found no differences between the ASC and the TA groups. The current results confirmed 9 both an adaptation effect in the adaptation condition (the AC) and a context effect in the 10 context condition (the CC), the latter of which surpassed the adaptation effect for both groups. 11 Furthermore, we obtained the perceptual orientation bias, which is a typical finding showing 12 that the cube-like ambiguous figures are perceived in 'front-side-down' orientation for longer 13 durations (Dobbins & Grossmann, 2010; Kersten & Yuille, 2003; Murata et al., 2003; 14 Sundareswara & Schrater, 2008; Toppino & Long, 2015; Troje & McAdam, 2010; Washburn 15 et al., 1931). With respect to group differences, we found that the effect of manipulation on 16 Perceptual Durations for the ASC group was strongest when bottom-up manipulation was 17 further strengthened by the top-down manipulation (the ADC) compared to all other 18 conditions. The results of the TA group revealed that the same manipulation (the ADC) was 19 comparable to independent adaptation (the AC) condition, but stronger than independent 20 context (the CC) or incongruous bottom-up and top-down manipulation (the AIC). To sum 21 up, our results add to converging evidence of additivity of bottom-up and top-down processes 22 operating in the human visual system (Intaité et al., 2013; Long & Toppino, 2004; Toppino, 2003) and show that general characteristics of basic visuo-perceptual functioning appear
 intact in ASC (for an extended discussion please see: (<u>https://osf.io/nhrxk/</u>).

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3 A Bayesian account (Pellicano & Burr, 2012) proposes that the interpretation of 4 sensory information is less biased by prior experiences in ASC. Adaptation is a form of 5 experience-dependent plasticity in which the current sensory experience is modified by the 6 stimuli presented only up to several seconds before. Certain forms of this adaptation are 7 reduced in ASC (Turi et al., 2015; Turi, Karaminis, Pellicano, & Burr, 2016). However, the 8 type of adaptation tested in this study is not reduced in ASC, as in this paradigm the 9 presentation time of at least one and a half minutes is necessary to attain the effect (Long et 10 al., 1992). A shorter stimulus presentation exhibits a priming effect, that is the subsequent 11 ambiguous figure is typically perceived in the same interpretation as the preceding 12 unambiguous figure (Bugelski & Alampay, 1961; Long et al., 1992).

13 Our results also add to the view of perception in ASC being characterized by relative 14 autonomy from top-down or contextual information resulting in more veridical perception as 15 lined out by the Enhanced Perceptual Functioning account (Mottron et al., 2006). However, 16 our results indicate that altered top-down modulation is stimulus- and task-specific 17 (Greenaway & Plaisted, 2005). Mitchell and colleagues (2010) suggest that some of the 18 induced top-down effects are atypically engaged in autistic perception. Top-down effects 19 might be attenuated in autistic cognition, especially when they are not task-relevant; TA 20 individuals are compelled to engage top-down processing to a much greater degree. 21 Perceptual ambiguity and its manipulations reveal that we actively interpret the available 22 visual information, rather than passively view it. Our data suggest that top-down processing 23 exhibited by the ASC participants might as well depend on the respective bottom-up processing, hence in such cases where top-down manipulation was supported by bottom-up manipulation (i.e., the ADC), we observe intact top-down processing. However, if the topdown manipulation is not supported by bottom-up manipulation (i.e., the AIC), the ASC participants show reduced interpretation of the available visual information, thus their topdown processing might be merely stimulus or task-dependent.

6 In summary, this study examines whether top-down processing in ASC is a general 7 or a task-specific deficit. Our findings indicate that both bottom-up and top-down processes 8 can influence perception concurrently and independently for the TA participants. We have 9 observed a perturbation in the dynamics of perceptual ambiguity in ASC individuals when 10 the presented top-down manipulation was not supporting the bottom-up manipulation. Thus 11 ASC participants show the atypical task-specific bottom-up and top-down interactions that 12 imply minor abnormalities in their visual perception and attention, thus supporting the view 13 of stimulus-specific top-down modulation in ASC.

14

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Duration of a single trial (151-sec)

<sup>3</sup> 

Figure 1. Schematic representation of all experimental conditions: (a) the adaptation condition (AC), (b) the context condition (CC), (c) the adaptation different from context condition (ADC), and (d) the adaptation identical to context condition (AIC). A schematic representation of a single experimental trial is depicted in the lower part of the figure.





2 Figure 2. Median number of times that autistic spectrum condition (ASC) and typical adults 3 (TA) first reported the "predicted" orientation of the ambiguous squares stimulus, in 4 accordance with the orientation elicited by the respective experimental condition. Violin 5 plots depict the shape of the distribution and region inside the violin contains all of the 6 observed data. Values obtained in the wider parts of the violin are more probable than those 7 in narrower parts. The median and interquartile ranges are displayed by overlaying a box 8 plot. Error bars represent the lowest and the highest data points still within 1.5 interquartile 9 ranges (IQR).

11





Figure 3. Median "effect of manipulation" variables. Violin plots depict the shape of the distribution and region inside the violin contains all of the observed data. Values obtained in the wider parts of the violin are more probable than those in narrower parts. The median and interquartile ranges are displayed by overlaying a box plot. Error bars represent the lowest and the highest data points still within 1.5 IQR.

	ASC (N=31; 20 male)			TA (N=22; 12 male)			
	Mean	Mean SD Range		Mean	SD	Range	
Age	43:6	8:6	20:2 – 55:9	39:3	8:7	22:2 – 53:0	
VIQ	113	17	81 – 135	115	13	94 – 137	
PIQ	108	18	67 – 141	107	14	73 – 132	
FIQ	113	18	78 – 140	111	13	83 – 139	
AQ	41	4	27 – 48	17	6	6 – 29	
IPT	8	3	2 – 15	10	2	4 – 14	

**Table 1:** Means, standard deviations, and ranges of age (years:months), verbal IQ (VIQ), performance IQ (PIQ), full IQ (FIQ), Autism-Spectrum Quotient (AQ), number of mistakes made in Intuitive Physics Test (IPT) of participants with autism spectrum condition (ASC) and typical adults 5 6 7 8 (TA).

		ASC (N=12; 10 male)		TA			
		Fix	DT	ΡΑ	Fix	DT	ΡΑ
	AP (SD)	70.8 (2.8)	83.6 (35.7)	627.2 (134.9)	77.8 (2.4)	94.6 (29.9)	548.6 (95.0)
AOFT	TP (SD)	71.2 (3.4)	21.1 (11.3)	629.5 (144.9)	74.5 (3.1)	23.2 (9.7)	558.4 (93.4)
4012	AP (SD)						
AUI-2	TP (SD)	98.8 (14.4)	28.2 (4.3)	623.2 (146.9)	98.5 (3.7)	28.7 (1.4)	560.1 (88.4)

**Table 2:** Means (SD) of eye tracking data for fixations (Fix.; %) dwell times (DT; s) and Pupil area (PA; arbitrary units) of participants with an autism spectrum condition (ASC) and typical adults (TA) for the two Areas-of-Interest: AOI-1 (size:  $1.51^{\circ} \times 1.51^{\circ}$ ) and AOI-2 (size:  $5.82^{\circ} \times 5.82^{\circ}$ ) during Adaptation (AP) and Test periods (AP).

Dependent variable	Findings for experimental Conditions and Groups	
Orientation First	Main ANOVA: CONDITION (F(3,153) = 15.71, <i>p</i> < .001, <i>ηp</i> <sup>2</sup> = .24)	
Percept (1stP)	<b>AC &lt; CC</b> : ( <i>F</i> (1, 51) = 25.15, <i>p</i> < .001, ηp <sup>2</sup> = .33)	
	<b>AC &lt; ADC</b> : (F(1,51) = 38.56, p < .001, ηp <sup>2</sup> = .43)	
	<b>AIC &lt; CC</b> : (F(1,51) = 6.47, p < .05, $\eta p^2$ = .11)	
	Group interactions: all F-values < 1	
	Group effect: F < 1	
Perceptual Durations	Main ANOVA: CONDITION (F(3,153) = 7.69, p < .001, ηp <sup>2</sup> = .13)	
	PERCEPTUAL RESPONSE (F(1,51) = 14.01, p < .001, ηp <sup>2</sup> = .22)	
	Group interactions: PERCEPTIAL RESPONSE & GROUP (E(1.51) - 5.21, p	
	$nn^2 = 0.9$ CONDITION & PERCEPTUAL RESPONSE & GROUP (F(3.153))	
	= 2.90, $p < .05$ , $np^2 = .05$ ). All other GROUP interactions F-values < 1	
	Group effect: F < 1	
ASC (N = 31; 20 male) TA (N = 22; 12 male)		
'Effect of	<b>AC ≈ CC</b> (F < 1)	<b>AC ≈ CC</b> (F < 1)
Manipulation'	ADC > AC, CC, AIC: (F(1,30)	<b>ADC &gt; CC and AIC</b> : (F(1,21) ≥ 10.61, p <
	≥ 11.92, p < .003, ηp² ≥ .28)	.005, ηp² ≥ .34)
		<b>AIC &lt; CC</b> : (F(1,21) = 7.98, p < .02, $\eta p^2$ = .28)

**Table 3:** Overview of results across dependent variables and conditions (AC: Adaptation Condition, CC: Context Condition, ADC: Adaptation different from Context, AIC: Adaptation identical to Context)

3 4 5 of participants with an autism spectrum condition (ASC) and typical adults (TA) for all dependent variables of the experiment.