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The virtuous cycle of axon growth: Axonal transport of growth-promoting machinery as an intrinsic determinant of axon regeneration

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1 **Title:** The virtuous cycle of axon growth: Axonal transport of growth-promoting machinery
2 as an intrinsic determinant of axon regeneration

4 **Short title:** Axon transport governs axon regeneration

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13 CNS development

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Abstract

Injury to the brain and spinal cord has devastating consequences because adult central nervous system (CNS) axons fail to regenerate. Injury to the peripheral nervous system (PNS) has a better prognosis, because adult PNS neurons support robust axon regeneration over long distances. CNS axons have some regenerative capacity during development, but this is lost with maturity. Two reasons for the failure of CNS regeneration are extrinsic inhibitory molecules, and a weak intrinsic capacity for growth. Extrinsic inhibitory molecules have been well characterised, but less is known about the neuron-intrinsic mechanisms which prevent axon re-growth. Key signalling pathways and genetic / epigenetic factors have been identified which can enhance regenerative capacity, but the precise cellular mechanisms mediating their actions have not been characterised. Recent studies suggest that an important prerequisite for regeneration is an efficient supply of growth-promoting machinery to the axon, however this appears to be lacking from non-regenerative axons in the adult CNS. In the first part of this review, we summarise the evidence linking axon transport to axon regeneration. We discuss the developmental decline in axon regeneration capacity in the CNS, and comment on how this is paralleled by a similar decline in the selective axonal transport of regeneration-associated receptors such as integrins and growth factor receptors. In the second part, we discuss the mechanisms regulating selective polarised transport within neurons, how these relate to the intrinsic control of axon regeneration, and whether they can be targeted to enhance regenerative capacity.

84 **Introduction**

85 *Long-range regeneration fails in the adult brain and spinal cord*

86 Unlike axons of the peripheral nervous system (PNS) which readily regenerate after injury,
87 mammalian central nervous system (CNS) axons lose their regenerative capabilities with
88 maturity (Bradke and Marin, 2014; Nicholls and Saunders, 1996). Injury to the adult brain
89 and spinal cord can therefore have life-altering consequences. This problem was considered
90 unassailable until a series of seminal studies in the 1980s challenged the long-standing dogma
91 that CNS axons lack the capacity for repair. Aguayo and colleagues showed that injured adult
92 rat axons arising in the CNS can regenerate through a peripheral nerve graft where the
93 environment is more permissive to growth (Benfey and Aguayo, 1982; David and Aguayo,
94 1981; Richardson et al., 1980). These experiments were instrumental in demonstrating that
95 certain CNS neurons retain a limited amount of their intrinsic ability for regeneration, and
96 that failure of regeneration after injury could be attributed to the environment of the injured
97 brain or spinal cord. Since these studies, numerous inhibitory molecules have been identified
98 which oppose regeneration after a spinal cord injury, including the glial-derived chondroitin
99 sulphate proteoglycans (CSPGs) and myelin-associated inhibitors such as Nogo, myelin-
100 associated glycoprotein (MAG) and oligodendrocyte myelin glycoprotein (OMgp) (Schwab
101 and Strittmatter, 2014; Silver et al., 2014; Yang et al., 2014; Yiu and He, 2006; Yu et al.,
102 2018). These discoveries focused research on overcoming the inhibitory environment after
103 injury, and led to the identification of interventions such as chondroitinase (Bradbury and
104 Carter, 2011) and anti-Nogo antibody treatment (Ineichen et al., 2017). The chondroitinase
105 approach, aimed at neutralising CSPGs is currently under intense investigation as a promoter
106 of CNS repair, whilst anti-Nogo is undergoing clinical trials aimed at enhancing recovery
107 after spinal cord injury. Both of these strategies lead to functional recovery through enhanced
108 plasticity and sprouting from spared axons, with modest effects on axon regeneration.

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3 109 Regenerated axons extend over limited distances, forming new synapses with spared circuits
4
5 110 which connect beyond the lesion (Fawcett, 2015; Schwab and Strittmatter, 2014). **Recent**
6
7 111 **work has confirmed that neutralizing the astrocytic scar does not enable robust regeneration,**
8
9 112 **whilst providing evidence that glial derived molecules can actually support regenerative**
10
11 113 **growth of ascending sensory fibres stimulated by growth factor treatment and a growth-**
12
13 114 **priming injury (Anderson et al., 2016). Without these growth-promoting stimuli, injured**
14
15 115 **axons can become ensnared by NG2 positive cells which cause dystrophic axons to form**
16
17 116 **synapses, further hindering attempts at regrowth (Filous et al., 2014).**

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19
20 117 Enabling long-range axon regrowth after injury to the spinal cord remains a challenging
21
22 118 objective, particularly with respect to corticospinal tract (CST) axons. These axons descend
23
24 119 from the cortex and are responsible for motor functions. CST axons have an outstandingly
25
26 120 weak intrinsic capacity for regeneration, even in a permissive environment (Richardson et al.,
27
28 121 1984). For this reason, there are concerted efforts to understand the mechanisms regulating
29
30 122 intrinsic growth capacity, in order to identify new strategies which might be used together
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32 123 with extrinsic interventions to optimise regeneration.
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37 125 *Targeting neuron intrinsic mechanisms to promote regeneration and repair*

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39 126 Studies aimed at intrinsically increasing regenerative capacity have so far identified key
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41 127 signalling pathways, transcription factors and epigenetic mechanisms that can be targeted to
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43 128 increase regeneration (Dergham et al., 2002b; He and Jin, 2016; Hu and Selzer, 2017;
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45 129 Leibinger et al., 2017; Lindner et al., 2013; Liu et al., 2011; Moore et al., 2009; Moore and
46
47 130 Goldberg, 2011; Muramatsu et al., 2009; Puttagunta et al., 2014; Qiu et al., 2002; Tedeschi
48
49 131 and Bradke, 2017). Whilst these have not yet led to clinical treatments, they have generated
50
51 132 new approaches that are potentially clinically translatable. A good example is the study of the
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53 133 tumour suppressor PTEN. PTEN was identified ten years ago as an intrinsic inhibitor of axon
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3 134 regeneration, functioning to oppose the actions of PI3 kinase (PI3K). Deleting PTEN leads to
4
5 135 robust retinal ganglion cell regeneration after optic nerve crush (Park et al., 2008), and can
6
7 136 enable CST axons to regenerate for short distances past a lesion site (Liu et al., 2010). Due to
8
9 137 its nature as a tumour suppressor, deletion of PTEN is not considered a clinically translatable
10
11 138 strategy. However, the PI3K pathway can be successfully targeted with a more translatable
12
13 139 approach that works by potentiating signalling downstream of the growth factor IGF1.
14
15 140 Combined viral delivery of IGF1 and the matrix molecule osteopontin was found to enhance
16
17 141 signalling through the PI3K pathway leading to robust axon regeneration after an optic nerve
18
19 142 crush (Duan et al., 2015). This discovery led to subsequent experiments combining IGF1
20
21 143 with osteopontin as a treatment after a model of spinal cord injury (T10 hemi-section). This
22
23 144 strategy promoted profuse CST axonal sprouting and short-range regeneration leading to
24
25 145 recovery of hind limb function, demonstrating that intrinsic growth capacity can be targeted
26
27 146 in a translatable fashion to promote regeneration and recovery (Liu et al., 2017). However,
28
29 147 the combination did not enable long-range CST regrowth, highlighting the need for further
30
31 148 studies to understand the intrinsic regulation of axon regrowth ability. One particularly
32
33 149 evident obstruction is the change in gene expression that occurs with maturity, combined
34
35 150 with the lack of a cell body response after an axonal injury. Injury to axons in the PNS leads
36
37 151 to an upregulation of regeneration-associated genes (Hoffman, 2010; Neumann and Woolf,
38
39 152 1999), but this is not seen in the CNS (Plunet et al., 2002). Efforts have therefore been made
40
41 153 to enhance growth capacity via intervening with gene expression through transcription factor
42
43 154 manipulation. This has been done either by removing inhibitory transcription factors such as
44
45 155 KLF4 or overexpressing growth-promoting transcription factors such as KLF7 (Blackmore et
46
47 156 al., 2012; Moore et al., 2009; Wang et al., 2015). These approaches have yielded encouraging
48
49 157 results; however, they have not been able to promote the type of regeneration seen in the PNS
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51 158 (Wang et al., 2017b). It is becoming apparent that this may be due to complex epigenetic
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3 159 factors such as closed chromatin affecting transcription factor binding site availability
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5 160 (Trakhtenberg and Goldberg, 2012; Venkatesh et al., 2016). In regenerative neurons, there
6
7 161 are mechanisms to enhance chromatin availability in response to an axonal injury, and these
8
9 162 can be targeted to facilitate CNS regeneration (Weng et al., 2017; Weng et al., 2018).

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12
13 164 *Integrins drive long-range regeneration, but are absent from adult CNS axons*

14
15 165 The studies described above have been instrumental in demonstrating that there are
16
17 166 developmental changes in gene expression and intracellular signalling in CNS neurons that
18
19 167 contribute to their feeble regenerative ability, and that these can be targeted to facilitate
20
21 168 regeneration. However, there remains a gap in our knowledge regarding the mechanisms
22
23 169 downstream of these events that lead to effects on axon growth. The mechanisms regulating
24
25 170 developmental axon growth are well-known and include cytoskeletal reorganisation, axon
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27 171 transport, membrane addition, and insertion of guidance molecules onto the growth cone
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29 172 surface (Allen and Chilton, 2009; Bradke et al., 2012; Hilton and Bradke, 2017; Quiroga et
30
31 173 al., 2018), but the extent to which these are involved in mediating the effects of the
32
33 174 interventions described above is not known. Cytoskeletal reorganisation is clearly an
34
35 175 important consideration as demonstrated by the stimulation of CNS regeneration by targeting
36
37 176 both the microtubule and actin cytoskeleton (Dergham et al., 2002a; Ruschel et al., 2015),
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39 177 and the neutralisation of growth inhibition by targeting growth cone non-muscle myosin (Hur
40
41 178 et al., 2011).

42
43 179 Another critical factor mediating regenerative ability is an efficient axonal supply of the
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45 180 machinery required for growth. It is becoming clear that there are developmental changes in
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47 181 CNS neurons which limit the axonal availability of growth-promoting molecules such as the
48
49 182 integrin family of adhesion/guidance molecules and their transporters, Rab11 positive
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51 183 endosomes (Andrews et al., 2016; Franssen et al., 2015). Integrins and Rab11 endosomes can
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3 184 be manipulated into mature CNS axons *in vitro*, which enables axon regeneration after a laser
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5 185 injury (Eva et al., 2017; Koseki et al., 2017), but it remains to be seen whether these
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7 186 manipulations can be used to promote long-range regeneration *in vivo*.

8
9 187 Intrinsic stimulation of integrin-driven long-range axon growth is possible through the spinal
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11 188 cord, as has been demonstrated by recent studies in sensory (PNS) axons regenerating
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13 189 towards the brain (Cheah et al., 2016). Viral transduction of a growth promoting integrin
14
15 190 ($\alpha 9$) together with its activator kindlin-1 into dorsal root ganglion (DRG) neurons
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17 191 enabled them to regenerate their central axons (after a dorsal root crush) into the spinal cord
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19 192 and over long distances through the spinal cord (from the level of forepaw to medulla). The
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21 193 study demonstrated that long-range axon growth is possible through a normally inhibitory
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23 194 environment, as a result of intrinsic manipulations. The strategy works because PNS axons
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25 195 efficiently transport integrins into their distal axons. It could potentially be used to enable
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27 196 injured CNS axons to regenerate over lengthy distances, except for the issue with axonal
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29 197 localisation: integrins are not transported into adult CNS axons, being instead confined to cell
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31 198 bodies and dendrites (Andrews et al., 2016). An important question is whether this absence of
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33 199 integrin receptors reflects a general axonal deficit of growth-promoting molecules. Growth
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35 200 factor receptors are a good example of this type of molecule, and there is evidence that these
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37 201 are not present in abundant levels after CNS axons have matured. Both TrkB and the IGF
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39 202 receptor are reportedly excluded from CST axons in the spinal cord (Hollis et al., 2009a;
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41 203 Hollis et al., 2009c), and TrkB is similarly not detectable in rubrospinal axons whilst being
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43 204 present in their cell bodies (Kwon et al., 2004). BDNF (the TrkB ligand) can also rescue
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45 205 injured rubrospinal neurons from atrophy and prevent cell death, but only when applied at the
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47 206 level of the soma, and not the axon (Kwon et al., 2002).
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52 207 If growth factor receptors are not abundant in CNS axons, it may explain why previous
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54 208 experiments aimed at facilitating CNS regeneration through growth factor stimulation have
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3 209 not yielded strategies to promote long-range axon growth (Kordower and Tuszynski, 2008).
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5 210 Integrins and growth factor receptors are transported efficiently into CNS axons during
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7 211 developmental growth, being essential for the correct development of the nervous system
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9 212 (Myers et al., 2011), so it is clear that there are developmental changes that occur that limit
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11 213 their presence in adult axons.

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13 214 Here we argue that distributing growth-enabling machinery to the axon is necessary to switch
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15 215 on a pro-regenerative neuronal program for successful regeneration. We discuss the idea that
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17 216 a developmental decline in axonal transport of growth-associated molecules contributes to the
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19 217 intrinsic decline in regenerative ability observed in the CNS; that changes in axonal transport
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21 218 and trafficking result in redistribution of growth molecules and receptors from axons to the
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23 219 somatodendritic domain. We also consider the evidence that interventions that promote
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25 220 regeneration (e.g. conditioning injury or permissive nerve grafts) also enhance axonal
26
27 221 transport. We discuss the axon transport of specific regeneration-associated molecules, and
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29 222 the mechanisms that regulate a polarised distribution within neurons. Focusing on these
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31 223 topics provides insight into mechanisms that can be targeted to facilitate the axon transport of
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33 224 growth-promoting machinery and enable axon regeneration.
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40 226 **Developmental decline in axon regeneration capacity in the CNS**

41 227 *In vivo studies*

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43 228 Evidence from numerous animal models including *C. elegans*, rats, hamsters and opossums,
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45 229 suggest that there is a decline in CNS regeneration capacity that begins after birth and
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47 230 continues to dwindle (Kalil and Reh, 1979; Keifer and Kalil, 1991; Nicholls and Saunders,
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49 231 1996; Wu et al., 2007). The studies discussed in the introduction demonstrate that this decline
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51 232 is due to both the extrinsic environment, and cell intrinsic programmes. Even in cases where
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53 233 regeneration does occur in the adult such as after peripheral nerve injury, the regenerative
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3 234 ability of the peripheral neurons is reduced and delayed in older organisms (Verdu et al.,
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5 235 2000). For example, developmental changes such as impaired clearance of cell debris and the
6
7 236 accumulation of obstacles in the endoneurial tubes of aged animals can affect not only the
8
9 237 speed but also the extent of motor neurons' axonal regeneration after peripheral nerve injury
10
11 238 (Kang and Lichtman, 2013). Importantly, the CNS decline in regenerative capacity appears to
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13 239 continue further into adulthood, as demonstrated by a study into the effects of PTEN deletion
14
15 240 during ageing. PTEN deletion promotes axon regeneration in corticospinal tract (CST)
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17 241 neurons after injury in young adult mice (Liu et al., 2010). Geoffroy and colleagues
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19 242 examined the effects of ageing on the ability of PTEN deletion to induce regeneration of CST
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21 243 axons. Ageing did not reduce the effects of PTEN deletion on the intrinsic ability of axons to
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23 244 regenerate proximally to a spinal cord injury, but greatly reduced axon regeneration distal to
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25 245 the injury, suggesting that long range regeneration becomes increasingly problematic with
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27 246 maturity (Geoffroy et al., 2016).
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32
33 248 *In vitro studies*

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35 249 As it is difficult to separate the effects of the extrinsic environment from intrinsic factors *in*
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37 250 *vivo*, studies have also investigated regenerative ability using CNS neurons cultured *in vitro*
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39 251 (Bradke et al., 2012). A recent investigation by Koseki et al. (2017) used *in vitro* laser
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41 252 axotomy to investigate the intrinsic changes regulating axon regeneration of embryonic
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43 253 cortical neurons cultured to maturity. The study used E18 rat brain cortical neurons which
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45 254 were cultured up to 24 days *in vitro* using astrocyte feeder layers to separate neurons from
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47 255 glia. Development of neurons to a mature state was confirmed by assessing electrical activity
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49 256 and analysing gene expression, and regenerative capacity was measured by recording the
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51 257 axonal response to a laser injury. The study confirmed that the regenerative ability of cultured
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53 258 cortical neurons negatively correlates their maturational state, with less than 10% of neurons
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3 259 cultured for 24 days regenerating compared to 70% of neurons cultured for 4 days. This
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5 260 effect was shown to be due to an intrinsic change by culturing young neurons on 24 day old
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7 261 cultures. The young neurons retained their regenerative capacity despite the aged
8
9 262 environment, regenerating as well as neurons plated on poly-d lysine (Koseki et al., 2017).
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11 263 Retinal ganglion cells show a similar age-dependent decline in axon growth capacity,
12
13 264 whether grown on neonatal or adult optic nerve sections , whilst DRG neurons from the PNS
14
15 265 retain their intrinsic ability to regrow on either of these substrates (Goldberg et al., 2002;
16
17 266 Shewan et al., 1995). Koseki et al continued by analysing gene expression by RNA
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19 267 sequencing and found that that there are vast changes in gene expression as cortical neurons
20
21 268 mature in culture, with an increase in the expression of genes involved in electrical activity
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23 269 and synapse formation and function, and a decrease in genes associated with growth and
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25 270 development. They also investigated the axonal delivery of growth-promoting machinery,
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27 271 finding that there is a developmental decline in the axonal transport of recycling endosomes,
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29 272 and that restoring this transport leads to an increase in regeneration. These findings support
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31 273 the notion that genetic factors are partly responsible for the change in regenerative capacity,
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33 274 and that there are developmental changes in selective axonal transport that limit axon
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35 275 regrowth.
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41 277 **Developmental decline in axon transport**

42 278 *Early studies*

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44 279 It has long been assumed that efficient axon transport is necessary for effective regeneration,
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46 280 with many studies addressing this hypothesis. The foremost studies were aimed at
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48 281 understanding whether axon transport rates varied in regenerative vs. non-regenerative axons,
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50 282 and whether there is an increase in axon transport after a peripheral injury when neurons
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52 283 mount a regenerative response. These studies used techniques such as radiolabelling to
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3 284 measure the transport of peptides into axons *in vivo* comparing early development with later
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5 285 stages, and finding that molecules such as the growth-associated GAP43 are rapidly
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7 286 transported into the optic nerve of neonatal rabbits, but that transport rates declined rapidly
8
9 287 with development (Skene and Willard, 1981). Cytoskeletal transport declined similarly
10
11 288 (Hoffman et al., 1983), as revealed by examining neurofilament transport. A number of
12
13 289 studies also addressed whether the enhanced growth observed after a conditioning lesion is
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15 290 associated with an increase in axon transport rates by measuring the synthesis and axonal
16
17 291 transport of cytoskeletal components, again using radio-labelling, and finding that enhanced
18
19 292 regeneration is associated with elevated axon transport of both microtubules and
20
21 293 neurofilaments (McQuarrie and Grafstein, 1982; McQuarrie and Jacob, 1991; Oblinger and
22
23 294 Lasek, 1988). Similar observations were also reported in later studies examining enhanced
24
25 295 regeneration of optic nerve axons into a peripheral nerve bridge (McKerracher et al., 1990).
26
27 296 Collectively, these early experiments suggested a deceleration in axonal transport during
28
29 297 maturation, and an increase in transport during enhanced regeneration (Fournier and
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31 298 McKerracher, 1995; Hoffman, 2010; McQuarrie et al., 1989).
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37 300 *Live cell imaging to characterise axon transport*

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39 301 The majority of early studies focused on cytoskeletal material as an example of machinery
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41 302 that is required for axon growth. Similar techniques were recently used to examine axon
42
43 303 transport more completely, by coupling radiolabelling with mass spectroscopy and analysing
44
45 304 the transport of various subcellular components in the central branch of DRG axons after a
46
47 305 conditioning injury to the peripheral branch (which results in enhanced regeneration). The
48
49 306 study found enhanced transport of both actin and microtubule cytoskeletal elements, as well
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51 307 as cytosolic proteins including glycolytic enzymes and regenerative molecules such as the
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53 308 14-3-3 proteins and the RhoA inhibitor RhoGDI, and membranous vesicles including
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3 309 lysosomes, and synaptophysin and APP-containing vesicles. Increased levels of molecular
4
5 310 motors and of polyglutamylated tubulin were also observed (Mar et al., 2014). This study
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7 311 demonstrates global changes in axon transport after a conditioning lesion. It also highlights
8
9 312 that techniques such as live cell imaging of fluorescently labelled proteins make it possible to
10
11 313 examine axon transport in a highly selective fashion, focusing on individual cellular
12
13 314 components.

15 315 These techniques were recently used to analyse age-dependant transport as well as
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17 316 regeneration-dependent changes focusing on two specific cargoes, the axon survival factor
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19 317 NMNAT2, and mitochondria. Although this study focused on a much later developmental
20
21 318 period (between 1.5 and 24 months), some specific changes in mammalian CNS and PNS
22
23 319 axonal transport with development were identified (Milde et al., 2015). Interestingly, aged
24
25 320 neurons in an ageing environment (in 24-month-old mice) were capable of supporting higher
26
27 321 levels of axonal transport as stimulated by a peripheral nerve crush – an increase in the
28
29 322 number of anterogradely moving particles containing NMNAT2 and mitochondria was
30
31 323 observed at rates similar to young mice, whilst retrograde transport was unaffected. This
32
33 324 observation suggests that enhanced anterograde transport contributes to axon regeneration
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35 325 after peripheral nerve injury. The movement of mitochondria has long been associated with
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37 326 axon growth (Morris and Hollenbeck, 1993). A number of recent studies have demonstrated
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39 327 the importance of axonal mitochondria for the regeneration process, and this will be
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41 328 discussed in detail later.

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46 47 48 330 *Developmental decline in axon transport of growth machinery*

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50 331 The studies described above suggest that mature CNS axons lack the correct tools for axonal
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52 332 extension, and that PNS axons mount a regenerative response by enabling enhanced transport
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54 333 leading to an increase in axonal growth machinery. However, they do not elucidate precisely
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3 334 what the necessary machinery is, or to what extent it is missing from non-regenerative adult
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5 335 CNS axons. Axon growth is driven by the growth cone and can be compared to the process of
6
7 336 cell migration, in that there are requirements for the interaction of the cell with its
8
9 337 environment through adhesion and guidance molecules which subsequently reorganise the
10
11 338 cytoskeleton and surface membrane in the direction of growth (Dequidt et al., 2007; Itofusa
12
13 339 and Kamiguchi, 2011; Robles and Gomez, 2006; Tojima et al., 2007). One class of molecules
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15 340 that is important for this process is the integrin family of cell surface receptors for the
16
17 341 extracellular matrix. These are important for developmental CNS axon growth and PNS axon
18
19 342 regeneration but are excluded from CNS axons after development, both endogenously and
20
21 343 after viral transduction (Nieuwenhuis et al., 2018)(discussed in detail below). Because
22
23 344 integrins can promote PNS regeneration but are excluded from mature CNS axons, there have
24
25 345 been substantial efforts to understand the mechanisms regulating their transport, with a view
26
27 346 to manipulating this to enable regeneration. These studies initially examined axonal integrin
28
29 347 transport in regenerative PNS axons *in vitro*, focusing on the integrin alpha9 and its binding
30
31 348 partner beta1. Two small GTPases were found to be responsible for regulating integrin
32
33 349 transport into axons, the recycling endosome markers Rab11 and ARF6 (Eva et al., 2012b;
34
35 350 Eva et al., 2010; Nieuwenhuis and Eva, 2018). Rab11 governs integrin transport into axons in
36
37 351 recycling endosomes, and additionally controls integrin recycling onto the growth cone
38
39 352 surface through an interaction with its effector, Rab coupling protein (Rab11-FIP1). ARF6 is
40
41 353 also involved in integrin trafficking within the growth cone, but crucially plays an additional
42
43 354 role in the axon itself, regulating the direction of integrin transport. ARF6 activation state
44
45 355 governs the direction of axon transport such that active ARF6 favours retrograde transport
46
47 356 whilst inactive ARF6 enhances anterograde transport. This has important implications,
48
49 357 because there are stark differences in directional transport in PNS vs CNS neurons. In PNS
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51 358 neurons there is a balance between anterograde and retrograde transport which results in an
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3 359 integrin presence throughout the axon, often in immobile structures (Eva et al., 2012b). In
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5 360 CNS neurons (rat brain cortical neurons) there is a developmental increase in retrograde
6
7 361 integrin transport which results in removal of integrins from mature axons in the CNS (Fig.
8
9 362 1), so that live cell imaging reveals there are very few immobile integrin structures in axons
10
11 363 (Franssen et al., 2015). Predominant retrograde movement has previously been associated
12
13 364 with a state of axon growth arrest, whilst a balance of anterograde and retrograde endosomal
14
15 365 movement is associated with axonal elongation (Hollenbeck, 1993; Hollenbeck and Bray,
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17 366 1987).

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19
20 367 With regard to regenerative ability, it may prove to be crucial that not only are integrins
21
22 368 sequestered from adult CNS axons and retained in the cell body and dendrites, but so are their
23
24 369 carriers – Rab11 endosomes. Rab11 is important for developmental axon growth but its
25
26 370 axonal presence is diminished with maturity, being difficult to detect in mature CNS axons,
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28 371 instead localising principally in the somatodendritic domain (Koseki et al., 2017; Sheehan et
29
30 372 al., 1996). In adult neurons Rab11 is involved in the regulation of post-synaptic plasticity,
31
32 373 with roles in receptor recycling (AMPA and TrkB receptors), dendritic spine development,
33
34 374 and synapse structure (Correia et al., 2008; Esteves da Silva et al., 2015; Lazo et al., 2013;
35
36 375 Sui et al., 2015). Conversely, there is less evidence for a presynaptic role for Rab11 in
37
38 376 mammalian CNS neurons, although it has been observed at low levels in the synaptic vesicle
39
40 377 fraction of synaptosomes. These are enriched with a different set of Rab proteins that are
41
42 378 important for the correct cycling of synaptic vesicles (Rabs 3 and 27) (Binotti et al., 2016;
43
44 379 Pavlos et al., 2010).

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47
48 380 It is likely then that the developmental decline in axonal Rab11 reflects a change in the
49
50 381 requirements for recycling within the axon. There is evidence that as axons lose the necessity
51
52 382 for growth and instead become geared for neurotransmission, there is a change in the type of
53
54 383 endosomal recycling machinery present, with a decline in receptor and membrane protein

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3 384 recycling and a shift towards synaptic vesicle recycling (Bonanomi et al., 2008). Recent
4
5 385 evidence suggests that membrane proteins and synaptic vesicle proteins are also degraded
6
7 386 through separate pathways in axon terminals, in support of the hypothesis that the machinery
8
9 387 for synaptic vesicle turnover is distinct from that which is required for membrane protein
10
11 388 turnover (Jin et al., 2018b). Moreover, the development of synapses has recently been
12
13 389 associated with regenerative decline (Tedeschi et al., 2016). In summary, Rab11 is known to
14
15 390 transport many molecules that are important for axon growth (in addition to integrins) so it
16
17 391 may not be surprising that Rab11 is not needed in large amounts in the fully developed axon.
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19 392 Integrins, Rab11 and other regenerative Rab11 cargo are discussed the next section.
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25 394 **Growth machinery at low levels in mature CNS axons (Rab11 and cargo)**

26 395 *Integrins*

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28 396 Integrins are a diverse family of cell surface receptors for the extracellular matrix (Hynes,
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30 397 2002). They transduce signals from the extracellular environment that lead to the
31
32 398 reorganisation of the cytoskeleton and activation of numerous influential signalling pathways.
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34 399 As they have no enzymatic activity, integrins rely on a vast array of interacting molecules to
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36 400 mediate their actions. They are also regulated by signalling from the intracellular
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38 401 environment (termed inside-out signalling), which modifies the activation state of the
39
40 402 receptors. Integrins have active and inactive conformations, and ultimately depend on
41
42 403 activation by molecules such as the kindlins and talin, which lead to changes in their
43
44 404 extracellular structure (Cheah and Andrews, 2018; Kim et al., 2011). Integrins function as
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46 405 heterodimers composed of an alpha and a beta subunit, the combination of which governs
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48 406 their specificity for their individual ligands, so that different heterodimers can bind with
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50 407 differing affinities to molecules such as laminin, collagen, fibronectin, tenascin and
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52 408 vitronectin (Barczyk et al., 2010; Hynes, 2002). They also exhibit some interaction with other
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3 409 secreted signalling molecules including certain growth factors (LaFoya et al., 2018). There
4
5 410 are therefore a number of ways that the extracellular environment can modify cellular
6
7 411 behaviour mediated by integrin activation, so it is unsurprising that integrins are involved in a
8
9 412 vast array of biological processes in most cell types both during development and in
10
11 413 adulthood. Neurons are no exception to this; integrins have been implicated in many aspects
12
13 414 of neuronal function, including axonal growth and guidance during development (Myers et
14
15 415 al., 2011) as well as playing an influential role in the regulation of synaptic function during
16
17 416 adulthood (Park and Goda, 2016). Integrins are also involved in the PNS regenerative
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19 417 response after injury with the axon transport of alpha5 integrin being enhanced on fibronectin
20
21 418 after a conditioning lesion, whilst the integrin alpha7 mediates enhanced regeneration on
22
23 419 laminin after a peripheral nerve crush (Eva et al., 2012a; Gardiner, 2011; Gardiner et al.,
24
25 420 2005; Gardiner et al., 2007; Nieuwenhuis et al., 2018). **Integrins have long been proposed as**
26
27 421 **a means of promoting axon regrowth (Condic, 2001), and** have recently been used to promote
28
29 422 sensory regeneration through the spinal cord after an injury to the central branch of DRG
30
31 423 axons. Viral introduction of alpha9 integrin (AAV injection into DRG cell bodies) allows
32
33 424 regenerating PNS axons to re-enter the spinal cord and synapse with their targets as well as
34
35 425 continuing to regenerate almost as far as the brain (reaching the medulla but stopping short of
36
37 426 the cuneate nucleus) (Cheah et al., 2016). Because integrins are inactivated by inhibitory
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39 427 molecules (Hu and Strittmatter, 2008; Tan et al., 2011), this sensory axonal repair strategy
40
41 428 relies on co-expression of the integrin activator kindlin-1. **The observed integrin-dependent**
42
43 429 **regeneration** is also only possible because PNS axons support integrin transport (Andrews et
44
45 430 al., 2016). The integrin alpha9 was selected for these experiments because it has two key
46
47 431 features – it binds to tenascin-C and promotes axon extension when bound to this ligand
48
49 432 (Andrews et al., 2009). Tenascin-C is an extracellular matrix glycoprotein that is normally
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51 433 inhibitory to axon growth in the adult CNS and is strongly upregulated after CNS injury in
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3 434 the brain, spinal cord and optic nerve (Andrews et al., 2009; Gervasi et al., 2008; Reinhard et
4
5 435 al., 2017; Tang et al., 2003; Zhang et al., 1997). Alpha9 integrin therefore mediates its
6
7 436 regenerative actions by localising to the axon surface to stimulate axon growth over a
8
9 437 molecule which normally inhibits axon growth. Integrin alpha9 is not normally expressed in
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11 438 the adult nervous system, so exogenous viral introduction is necessary to observe its effects.
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13 439 Other endogenous integrins are important for PNS regeneration, but these do not allow PNS
14
15 440 axons to regenerate into the environment of the spinal cord, despite being efficiently
16
17 441 transported into their axons (Gardiner, 2011). Integrins are therefore potent mediators of the
18
19 442 PNS regenerative response and could potentially be used to enable CNS regeneration after
20
21 443 injury. Alpha9 integrin particularly could be able to promote the regeneration of descending
22
23 444 corticofugal axons when virally introduced with kindlin-1, however the developmental
24
25 445 change in the subcellular distribution of integrins in neurons in the CNS means that they are
26
27 446 no longer present in axons, but instead selectively targeted to the somatodendritic domain
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29 447 (Andrews et al., 2016; Bi et al., 2001; Franssen et al., 2015). This has been observed for
30
31 448 pyramidal neurons in the cortex, hippocampal neurons in the CA1 and CA3 regions,
32
33 449 cerebellar Purkinje neurons, and granule neurons in the dentate gyrus (Bi et al., 2001; Chan et
34
35 450 al., 2003; Einheber et al., 1996; Rodriguez et al., 2000). Virally transduced exogenous
36
37 451 integrins are also restricted from entry to the axons of mature cortical neurons *in vivo*, whilst
38
39 452 they are transported into the axons of young CNS neurons. However, there does appear to be
40
41 453 a role for integrins in retinal ganglion cell (RGC) neurons in the optic nerve, but it is not clear
42
43 454 if they are present in the axons of all the various subtypes of neurons within the retina
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45 455 (Andrews et al., 2016; Vecino et al., 2015). *In vitro* studies have revealed a similar picture,
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47 456 with alpha and beta integrins detectable in the axons and growth cones of adult DRG
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49 457 neurons, but not in the axons of mature cortical neurons. Integrins are detectable in the axons
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51 458 of E18 cortical neurons cultured for 4-7 days, but after this period they become difficult to
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3 459 detect so that by 10-14 days *in vitro* endogenous integrins are almost completely absent from
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5 460 axons (Bi et al., 2001; Franssen et al., 2015; Koseki et al., 2017). This developmental decline
6
7 461 in CNS integrin axon transport contributes to the decline in regenerative ability, because
8
9 462 restoring integrin transport leads to a restoration of regeneration after a laser injury to the
10
11 463 axons of cortical neurons maturing *in vitro* (Eva et al., 2017). Importantly, restoring integrin
12
13 464 transport also leads to an increase in axonal Rab11, suggesting that enabling integrin
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15 465 transport may also facilitate the transport of additional machinery which is transported along
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17 466 with integrins in Rab11 endosomes.
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21 22 468 *Rab11 and recycling endosomes*

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24 469 Rab11 and ARF6 are small GTPases that regulate recycling endosome trafficking and
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26 470 function as the central regulators of axonal integrin transport, with emerging roles in the
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28 471 intrinsic regulation of axon regeneration. Axonal Rab11 declines with development, whilst
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30 472 axonal ARF6 activity is raised (Eva et al., 2012b; Eva et al., 2010; Eva et al., 2017; Franssen
31
32 473 et al., 2015; Sheehan et al., 1996).
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35 474 Small GTPases are molecular switches that cycle between an active GTP bound state and an
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37 475 inactive GDP bound state. Their activation state governs the molecules that they interact
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39 476 with, so that some proteins will interact whilst GTP-bound, and others only whilst they are
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41 477 bound to GDP. They possess intrinsic GTPase activity, so that bound GTP will be catalysed
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43 478 to GDP. The rate at which this occurs is regulated by molecules known as GAPs (**GTPase**
44
45 479 **activating proteins**) whereas activation (to a GTP-bound state) is regulated by GEFs (Guanine
46
47 480 nucleotide exchange factors). Intrinsic GTPase activity varies between small GTPases, with
48
49 481 ARF6 in particular having very little intrinsic GTPase activity (Campa and Randazzo, 2008;
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51 482 Gillingham and Munro, 2007). ARF6 is therefore entirely reliant on its GAPs and GEFs for
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53 483 regulation of its activation state, and a large number of these molecules **have** been identified,
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3 484 some of which are implicated in the regulation of axon growth and regeneration (Eva et al.,
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5 485 2012b; Eva et al., 2017; Hernandez-Deviez et al., 2004).

6
7 486 Rab11 is a regulator of recycling endosomes. These are endosomes that return membrane
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9 487 proteins to the cell surface after they have been internalised. Rab11 was originally identified
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11 488 as regulating a long loop of receptor recycling via an organelle close to the nucleus (the peri-
12
13 489 nuclear recycling centre) (Ullrich et al., 1996). Through this trafficking pathway, membrane
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15 490 proteins can be internalised from one part of the surface membrane and recycled to another.
16
17 491 Subsequently it emerged that Rab11 is additionally involved in a more localised, rapid
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19 492 recycling which occurs when there is high-capacity membrane turnover, such as occurs at the
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21 493 leading edge of migrating cells (Howes et al., 2010). It is also involved in the process of
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23 494 membrane protein exocytosis (Welz et al., 2014).

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25
26 495 ARF6 is also a regulator of recycling endosome traffic but is additionally involved in a range
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28 496 of mechanisms that are central to cellular function. These include the regulation of the actin
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30 497 cytoskeleton through key regulators such as Rac and Cdc42, and the control of
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32 498 phosphoinositide signalling. ARF6 activates PIP5 kinase, an enzyme which is responsible for
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34 499 generating phosphatidylinositol 4,5-bisphosphate (PIP2) (Gillingham and Munro, 2007). This
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36 500 molecule is converted by PI3 kinase to make phosphatidylinositol 3,4,5-triphosphate (PIP3),
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38 501 which can in turn be metabolised by PTEN back to PIP2 (Vanhaesebroeck et al., 2012). This
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40 502 is a key step in an extremely influential signalling pathway which can have wide-ranging
41
42 503 consequences in cell functions such as transcription, translation, epigenetics, cytoskeletal
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44 504 regulation, trafficking and transport, neurotransmission, apoptosis, cell growth, proliferation,
45
46 505 and survival. The majority of ARF6 GEFs and GAPs are either directly regulated by PIP2 or
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48 506 PIP3 or regulated by phosphorylation from key kinases in the PI3 kinase pathway (Hawkins
49
50 507 et al., 2006; Randazzo et al., 2001). ARF6 is therefore both a trafficking and signalling
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52 508 molecule closely involved with pathways that are central to controlling cell function.
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3 509 Both ARF6 and Rab11 were first implicated as regulators of integrin function in non-
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5 510 neuronal cells, being important for recycling of integrins during cell migration and invasion
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7 511 of cancer cells. Recycling of integrins is central to their function in mediating cell migration,
8
9 512 being required for the correct turn-over of focal adhesion complexes (Caswell and Norman,
10
11 513 2008; Caswell et al., 2008; Caswell and Norman, 2006; Dai et al., 2004; Dunphy et al., 2006;
12
13 514 Jones et al., 2006; Pellinen and Ivaska, 2006; Powelka et al., 2004; Roberts et al., 2001; Vitali
14
15 515 et al., 2017). Subsequent studies found that Rab11 is required for targeting integrins to axons
16
17 516 in DRG neurons in culture, as well as being important for recycling integrins locally within
18
19 517 the growth cone (Eva et al., 2012b; Eva et al., 2010). Recycling is necessary within the
20
21 518 growth cone to control directional changes (Tojima et al., 2007; Tojima et al., 2010). More
22
23 519 recently, there have been a number of studies that confirmed the importance of Rab11 for
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25 520 correct growth cone function, with its targeted removal from developing growth cones
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27 521 leading to growth cone collapse, whilst increased growth cone targeting leads to an increase
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29 522 in axon growth (van Bergeijk et al., 2015). Optogenetic disruption of growth cone Rab11 also
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31 523 leads to a reduction in growth cone area, similar to the decreased growth cone area observed
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33 524 when Rab11 is silenced (Eva et al., 2010; Nguyen et al., 2016). *In vivo* studies have
34
35 525 confirmed that Rab11 is required at the growth cone for the correct guidance of axons
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37 526 crossing the midline of the spinal cord during development of the nervous system (Alther et
38
39 527 al., 2016).

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43 528 ARF6 similarly regulates integrins in both the axon and at the growth cone, but crucially it
44
45 529 also regulates the direction of integrin transport within axons, with active ARF6 triggering
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47 530 retrograde transport whilst inactive ARF6 allows anterograde transport (Eva et al., 2012b;
48
49 531 Franssen et al., 2015). Inactivating ARF6 enables both integrin and Rab11 transport leading
50
51 532 to enhanced axon growth and regeneration after laser axotomy (Eva et al., 2012b; Eva et al.,
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53 533 2017; Hernandez-Deviez et al., 2004). This may be due to an increased integrin presence, but
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3 534 may equally be due to an increase in Rab11 itself, as this has also been shown to increase
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5 535 regeneration when its axonal localisation is increased by overexpression (Koseki et al., 2017).
6
7 536 There may also be a role for other Rab proteins in this process, as recycling endosomes are
8
9 537 also regulated by Rabs 8, 10 and 35 which are all involved in the regulation of neurite
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11 538 outgrowth in PC12 cells and axon growth in cortical and hippocampal neurons *in vitro*
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13 539 (Chevallier et al., 2009; Furusawa et al., 2017; Homma and Fukuda, 2016; Huber et al., 1995;
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15 540 Kobayashi and Fukuda, 2012; Villarroel-Campos et al., 2016; Wang et al., 2011). It may also
16
17 541 be that Rab11 enables regenerative axon growth by providing other growth-related molecules
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19 542 to the site of injury, because a number of growth factor receptors and the regenerative
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21 543 reggie/flotillin proteins are also associated with trafficking via Rab11 (Bodrikov et al., 2017;
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23 544 Hulsbusch et al., 2015; Koch et al., 2013). These are discussed below.
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29 546 *IGF-1 and TrkB receptors*

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31 547 With well-defined roles in regulating axon growth, it is understandable that research has
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33 548 focused on promoting axon regeneration through growth factor / growth factor receptor
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35 549 manipulation. Two prominent growth factor receptors that have been investigated in this
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37 550 respect are tropomyosin-related kinase B (TrkB) and insulin-growth factor receptor 1 (IGFR-
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39 551 1), which are activated by the growth factors BDNF and IGF respectively (Duan et al., 2015;
40
41 552 Hollis et al., 2009c; Liu et al., 2017; Lu and Tuszynski, 2008). IGFR-1 has a vital function in
42
43 553 neuronal survival and glial progenitor protection against glutamate toxicity after injury. In
44
45 554 order to perform these functions, IGFR-1 undergoes dynamic recycling and internalisation
46
47 555 upon ligand stimulation which is essential for sustained downstream signalling. Furthermore,
48
49 556 the IGF-1 receptor colocalises with Rab11-positive endosomes and with the transferrin
50
51 557 receptor identifying the IGF-1 receptor as one of the receptors packaged in recycling
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53 558 endosomes (Romanelli et al., 2007). As Rab11-positive endosomes are excluded from the
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3 559 mature axons of CNS neurons, the low availability of IGFR-1 in the axon after injury might
4
5 560 be a further reason for poor CNS axonal properties. The IGF-1 receptor has been implicated
6
7 561 in the specification and initial axon growth in hippocampal neurons acting through the PI3K
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9 562 pathway, in the absence of TrkA or TrkB activation (Dupraz et al., 2009; Nieto Guil et al.,
10
11 563 2017; Sosa et al., 2006). This activation is preceded by targeted accumulation of IGFR-1 in
12
13 564 the developing axon. IGF-1 has also been shown to markedly enhance axon outgrowth in
14
15 565 young cultures of pure corticospinal motor neurons through the PI3K and the ERK/MAPK
16
17 566 pathways, an effect which is separate from its effects on neuronal survival (Ozdinler and
18
19 567 Macklis, 2006). IGF-1 receptor signalling was also shown to be necessary for proper axonal
20
21 568 outgrowth of retinal ganglion cells *in vitro* (Dupraz et al., 2013) and of corticospinal motor
22
23 569 neurons *in vivo* (Ozdinler and Macklis, 2006). Surprisingly, application of IGF-1 to injured
24
25 570 corticospinal tract neurons resulted in their improved survival but not regeneration. The
26
27 571 authors speculated that the effects of the IGFR-1 activation on survival and growth are
28
29 572 developmentally distinct and the reduced availability of the receptor in axons compared to the
30
31 573 soma can explain the inability of overexpressed IGF-1 to promote regeneration (Hollis et al.,
32
33 574 2009c). In a different study, the activation of the IGF-1 receptor by the application of IGF-1
34
35 575 and sensitisation with osteopontin resulted in robust sprouting and partial recovery of
36
37 576 function in two different models of corticospinal tracts injury (Liu et al., 2017). This effect
38
39 577 was attributed to osteopontin's ability to interact with integrins or other surface molecules to
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41 578 cause IGFR-1 clustering, and most likely occurs at the site of the cell body plasma
42
43 579 membrane. Taking into account the versatile functions of the IGFR-1 receptor in neuronal
44
45 580 survival, growth and regeneration, its proper transport and trafficking is essential for optimal
46
47 581 function in development and regeneration. The investigation of osteopontin as a growth
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49 582 stimulator arose from studies in retinal ganglion neurons. These have a small subpopulation
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51 583 of cells that regenerate better than their counterparts. These are known as α RGCs and were
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3 584 found to express higher levels of osteopontin. Experiments in the optic nerve determined that
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5 585 osteopontin facilitates robust regeneration in combination with either IGF, or BDNF, the
6
7 586 TrkB receptor ligand (Duan et al., 2015).
8
9 587 TrkB is similar to the IGF receptor in that it too is involved in developmental axon growth
10
11 588 (Gates et al., 2000), has been targeted to promote CNS regeneration (Hollis et al., 2009b;
12
13 589 Kwon et al., 2004; Plunet et al., 2002), and is transported in Rab11 endosomes. The recycling
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15 590 of TrkB receptor through Rab11-positive recycling endosomes regulates its neuronal
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17 591 localisation, and in mature neurons is involved in post-synaptic receptor recycling in
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19 592 dendrites (Huang et al., 2013; Lazo et al., 2013; Sui et al., 2015). This is in contrast to its
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21 593 developmental enrichment at the growth cone of CNS neurons, from where it stimulates axon
22
23 594 growth. Importantly, BDNF signalling at the growth cone stimulates anterograde transport of
24
25 595 its receptor, TrkB (Cheng et al., 2011). This autocrine feedforward mechanism demonstrates
26
27 596 that signalling from the distal axon can stimulate growth-promoting mechanisms, and is
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29 597 similar to the transport of TrkA in PNS neurons, which also transport TrkA in Rab11
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31 598 endosomes (Ascano et al., 2009). Despite its role in axon growth promotion during
32
33 599 development, TrkB is unable to stimulate regeneration of injured CST axons in adults, and
34
35 600 this appears to be as a result of its somatodendritic localisation. Adult corticospinal neurons
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37 601 show abundant TrkB receptor distribution in their soma and dendrites, but not in their axons
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39 602 which correlated with their inability to regenerate after a subcortical lesion (Lu et al., 2001).
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43 603 **Similarly, rubrospinal axons do not appear to support TrkB transport, although application of**
44
45 604 **BDNF to their cell bodies can promote their survival after injury and encourage regeneration**
46
47 605 **into a peripheral nerve graft (Kwon et al., 2002; Kwon et al., 2004; Plunet et al., 2002). In**
48
49 606 contrast, motor neurons which expressed TrkB throughout their axons were able to re-grow
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51 607 past the injury site suggesting that the presence of the receptor in the axon plays a key role
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53 608 after injury in order for the axon to initiate a growth program (Lu et al., 2001). TrkB agonists
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3 609 can also promote structural and functional repair of cut peripheral nerves (English et al.,
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5 610 2013). Given that integrins, the IGF receptor and TrkB all traffic via Rab11 and all adopt a
6
7 611 somatodendritic localisation, it seems inconsistent that overexpressed TrkB localises to CST
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9 612 axons, and can improve regeneration when stimulated by BDNF after a subcortical lesion
10
11 613 (Hollis et al., 2009b). However, it is important to note that regeneration was only found
12
13 614 subcortically, and that the TrkB receptor was not transported to more distal sites, such as the
14
15 615 spinal cord. The presence of TrkB in the axon is not completely unexpected, because there is
16
17 616 evidence that TrkB has a presynaptic role in regulating neurotransmission (Xu et al., 2000).
18
19 617 This may be as a result of trafficking under the control of different endosomal regulators, as
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21 618 has been reported in hippocampal axons (Arimura et al., 2009), however an axonal presence
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23 619 of TrkB does not appear to be at adequate levels to support robust regeneration. This seems to
24
25 620 be the case for many growth factor receptors, as stimulation with NGF, BDNF, NT-3, NT-4,
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27 621 GDNF, has limited effects on CST regeneration (Kordower and Tuszynski, 2008; Thoenen
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29 622 and Sendtner, 2002)

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34 35 624 *Reggie/flotillin proteins*

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37 625 Reggie and flotillin are the same proteins with different names; reggie 1 and 2 are flotillin 2
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39 626 and 1, respectively. The two proteins localise to lipid-rich microdomains in the surface
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41 627 membrane, (often referred to as lipid rafts) as well as to other endosomal membranes. They
42
43 628 have two names because they were identified simultaneously in two separate labs. The
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45 629 Stuermer lab identified two proteins from larval goldfish using an antibody against Thy-1 to
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47 630 isolate microdomain enriched molecules, and subsequently named them the reggie proteins
48
49 631 because they are upregulated by retinal ganglion cells during axon regeneration in the fish
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51 632 visual system (Schulte et al., 1997). At the same time, the Lodish lab identified flotillin-1
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53 633 from a screen to identify novel components of caveolae (small invaginations of the plasma
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3 634 membrane involved in clathrin independent endocytosis and signal transduction), using the
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5 635 term flotillin because they found the protein resided in a detergent resistant buoyant (floating)
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7 636 membrane fraction in the brain. Importantly, the Lodish lab noted the absence of caveolae in
8
9 637 the brain, highlighting a potential role for the protein outside of caveolae (Bickel et al., 1997).
10
11 638 There is now a large literature regarding the cell biological role of the reggie/flotillin
12
13 639 molecules, mostly regarding their roles in caveolae and clathrin-independent endocytosis
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15 640 (using the flotillin name), and there are detailed reviews around this (Babuke and Tikkanen,
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17 641 2007; Bodin et al., 2014; Hansen and Nichols, 2009). Here we mention the neuronal
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19 642 functions of reggie/flotillin in axon regeneration, their association with Rab11 endosomes,
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21 643 and their function in synapse regulation.
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24 644 A role for reggie/flotillin in the regulation of axon growth and regeneration was first
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26 645 suggested by experiments to silence their expression in the zebrafish retina. Depletion led to a
27
28 646 substantial decrease in the number of regenerating axons. Experiments in hippocampal
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30 647 neurons found the presence of the reggie/flotillin along axons and at the growth cones of
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32 648 neurons developing *in vitro*, and silencing with siRNA led to a similar reduction in axon
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34 649 length (Munderloh et al., 2009). This resulted in experiments aimed at increasing RGC
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36 650 regeneration after an experimental optic nerve crush in rats. Reggie 1 was transduced into
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38 651 RGCs by intravitreal AAV injection two weeks before an optic nerve crush, and regeneration
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40 652 was assessed four weeks later. Overexpression of reggie-1 increased the number of axons
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42 653 crossing the lesion site by 3-5 times, and regenerating axons were found up to 5mm beyond
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44 654 the injury site, confirming a role for the reggie/flotillin molecules in facilitating axon
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46 655 regeneration (Koch et al., 2013). As neurons lack caveolae, it is unlikely that these effects are
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48 656 mediated by membrane internalisation/endocytic functions but may have more to do with
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50 657 trafficking or targeting of molecules onto the cell surface. T-cells also lack caveolae, and in
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52 658 these cells, reggie/flotillin mediates the targeting of the T-cell receptor from an intracellular
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3 659 compartment to a region on the cell surface known as the T-cell cap (Langhorst et al., 2006).
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5 660 The identity of the intracellular compartment is debated, with some studies finding
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7 661 reggie/flotilin on early endosomes, being involved in endocytosis (Glebov et al., 2006),
8
9 662 however in neurons, reggie/flotillin are also found enriched in recycling endosomes (as
10
11 663 marked by Rab11). Amongst the molecules implicated in mediating trafficking together with
12
13 664 reggie/flotillin, are the GTPase TC10 and the secretory exocyst component exo70. Crucially,
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15 665 this complex (TC10 and exo70) mediates growth cone membrane addition and axon growth
16
17 666 downstream of the IGF-1 receptor and PI3 kinase (Dupraz et al., 2009). TC10 is found on
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19 667 Rab11 positive endosomes and stimulates neurite outgrowth by stimulating exocytic fusion of
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21 668 Rab11 endosomes with the plasma membrane (Fujita et al., 2013). Rab11 was subsequently
22
23 669 shown to interact with reggie/flotillin at the recycling endosome, functioning to return
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25 670 cadherins and the transferrin receptor to the plasma membrane (Solis et al., 2013).
26
27 671 Reggie/flotillin also regulate EGF receptor trafficking (Solis et al., 2012), and together with
28
29 672 Rab11 control integrins and focal adhesion recycling (Hulsbusch et al., 2015).
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32
33 673 In mature neurons, reggie/flotillin were recently shown to function with Rab11 in the
34
35 674 regulation of synapse development and post-synaptic trafficking, being involved in the
36
37 675 trafficking of cadherins, glutamate receptors and PSD95 into dendritic spines (Bodrikov et
38
39 676 al., 2017). Other studies have implicated reggie/flotillin in the regulation of synapses, being
40
41 677 involved in strengthening glutamatergic synapses *in vitro* (Swanwick et al., 2010), whilst
42
43 678 protein levels are altered *in vivo* when somatosensory cortical synapses are modulated by
44
45 679 sensory deprivation (Butko et al., 2013). These studies imply a dendritic localisation for
46
47 680 reggie/flotillin in mature CNS, however their localisation to mature axons has not been fully
48
49 681 investigated. It is clear that the reggie/flotillin molecules regulate developmental axon growth
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51 682 and function together with regenerative molecules such as integrins and Rab11 but localise to
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53 683 dendrites after development. It will be important to determine if they are absent/diminished in
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3 684 mature CNS axons. It is likely that interventions that promote *in vitro* regeneration via
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5 685 enhanced integrin/Rab11 axon transport may also be functioning by facilitating the transport
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7 686 of other regenerative molecules such as reggie/flotillin, which are also present on recycling
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9 687 endosomes.

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11 688

12 13 689 **Other organelles/complexes and axon regeneration**

14 15 690 *Mitochondria*

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18 691 Mitochondrial axonal transport and morphology are altered with cortical neuron maturation.
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20 692 During development mitochondria are highly mobile and move bidirectionally along the axon
21
22 693 to meet the high energy demands of the developing cells while also acquiring shortened
23
24 694 morphology to aid this dynamic movement. This situation changes with development, so that
25
26 695 in mature neurons, mitochondria elongate and become less dynamic to serve the updated
27
28 696 functions of the cell (Chang and Reynolds, 2006). Using live imaging of mitochondrial
29
30 697 transport, a subsequent study revealed one reason why mitochondria are less mobile in
31
32 698 mature neurons is that they are being anchored to the axon by syntaphilin (Kang et al., 2008).
33
34 699 These observations have recently been confirmed *in vitro* and *in vivo*. Immature neurons *in*
35
36 700 *vitro* (3-7DIV) exhibit very mobile mitochondria whereas in more mature neurons (10+ DIV)
37
38 701 the mitochondria tend to be less mobile with up to 95% of all axonal mitochondria being
39
40 702 stationary by 28DIV (Lewis et al., 2016). Interestingly, the number of mobile vs. stationary
41
42 703 lysosomes did not seem to change, so this mitochondrial reduced mobility with maturation
43
44 704 seems to be specific which could be explained by the localisation of mitochondria to pre-
45
46 705 synaptic terminals with the development of synapses. The authors also examined the
47
48 706 transport of mitochondria using two-photon imaging and showed that more than 90% of
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50 707 mitochondria in the distal axons of layer 2/3 cortical neurons are actually stationary. This
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52 708 lack of mobility corresponds with a decline in regenerative capacity and can be targeted to
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3 709 facilitate regeneration, either by interfering with the docking of mitochondria by syntaphilin
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5 710 or by overexpressing the mitochondrial motor adaptor Miro-1. These interventions lead to
6
7 711 increased axonal mitochondrial dynamics, and enhanced regeneration of mature cortical
8
9 712 neurons *in vitro*. Axons from syntaphilin knockout mice also exhibit enhanced mitochondrial
10
11 713 motility and a rescue of energy deficits after *in vitro* axotomy, and enhanced regeneration
12
13 714 after a sciatic nerve crush injury. This study suggests that unmet energy demands after axonal
14
15 715 injury is one reason why mature axons are poor regenerators (Zhou et al., 2016). Enhancing
16
17 716 mitochondrial motility can also stimulate regeneration of injured CNS axons as demonstrated
18
19 717 by a recent study focusing on the mitochondria-associated molecule Armcx1. This molecule
20
21 718 is upregulated when regeneration is stimulated in the optic nerve by interventions such as
22
23 719 PTEN deletion. Overexpression of Armcx1 stimulates mitochondrial motility, *in vitro* CNS
24
25 720 axon growth, and axon regeneration after an optic nerve crush. It also enhances optic nerve
26
27 721 regeneration when overexpressed in mice with genetic PTEN deletion (Cartoni et al., 2016).
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33 723 *Proteasome*

34
35 724 The ubiquitin-proteasome plays an important role in regulating the concentration of
36
37 725 individual proteins within the cell, by clearing excessive or unwanted proteins. It is also
38
39 726 responsible for clearing damaged or mis-folded proteins (Korhonen and Lindholm, 2004).
40
41 727 Proteasome function ensures that the correct amount of protein is present in specific
42
43 728 subcellular regions at any given time. Proteasome transport into axons is dependent upon
44
45 729 association with membranous vesicles, which are transported by kinesin and dynein motors
46
47 730 (Otero et al., 2014). In the PNS, there appears to be predominant anterograde transport of the
48
49 731 proteasome because ligation of the sciatic nerve leads to the accumulation of proteasomal
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51 732 subunits on the proximal side of the ligation (indicating the blockade of proteasomes moving
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53 733 away from the cell body). Proteasomal subunits also accumulate on the distal side of the
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3 734 lesion, indicating retrograde transport also occurs, however this is not as profound as is seen
4
5 735 on the proximal side (Otero et al., 2014). Importantly, this anterograde transport appears to be
6
7 736 enhanced after a growth-stimulating pre-conditioning injury (Verma et al., 2005), suggesting
8
9 737 that PNS axons increase the anterograde transport of proteasomal components as part of their
10
11 738 response to an injury. Proteasomal activity appears to be an important part of the PNS
12
13 739 regeneration process, because inhibition of the proteasome by lactacystin leads to a reduction
14
15 740 in the percentage of axons that can reform a growth cone after *in vitro* axotomy (Verma et al.,
16
17 741 2005). CNS neurons also transport proteasomal subunits into their axons, both early on in
18
19 742 development (Hsu et al., 2015), and also at a more mature developmental stage (Otero et al.,
20
21 743 2014), although it is not clear whether the proportion of anterograde or retrograde transport
22
23 744 changes with development. The study by Hsu et al suggests that there is predominant
24
25 745 retrograde transport, at least at an early developmental stage (embryonic day 18 cortical
26
27 746 neurons cultured for 3 days), whilst the study by Otero et al suggest that later in development
28
29 747 (10 days *in vitro*) the majority of CNS proteasomal transport (80%) is random and diffuse,
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31 748 with only small amounts of clearly anterograde or retrograde transport. This difference may
32
33 749 be to do with maturation, but could also be down to experimental conditions, Hsu et al
34
35 750 imaging proteasomal transport by use of a fluorescent dye (MV151), and Otero et al imaging
36
37 751 YFP tagged proteasomal subunits. Whatever the case, these studies did not find that the
38
39 752 predominant anterograde proteasomal transport observed in the PNS is also present in the
40
41 753 CNS. Instead, there may be an increase in retrograde proteasomal transport which increases
42
43 754 with axon length (Hsu et al., 2015). Given that a dynamic balance between local protein
44
45 755 synthesis and protein degradation is important for PNS axon regeneration after injury (Gumy
46
47 756 et al., 2010), it may be that efficient axonal proteasome transport is required for a properly
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49 757 functioning growth cone which can drive regenerative axon growth.
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3 759 *Autophagosomes*

4
5 760 In its simplest form, autophagy is a highly regulated process through which cellular
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7 761 components can be degraded and recycled for reuse within the cell. For example, unwanted
8
9 762 or damaged proteins can be recycled as amino acids to feed protein translation. Autophagy
10
11 763 can occur in a steady fashion, or in response to stress or starvation. The normal autophagy
12
13 764 process involves the formation of the double membrane bound autophagosome, which
14
15 765 envelopes isolated cellular constituents. These eventually fuse with lysosomes to form
16
17 766 autolysosomes, which degrade proteins via their acidic environment (Bento et al., 2016;
18
19 767 Glick et al., 2010; Kaur and Debnath, 2015). However, there is also significant crosstalk
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21 768 between autophagosomes and endosomes (Davis et al., 2017; Kim et al., 2012; Szatmari et
22
23 769 al., 2014), particularly at the level of the late endosome (Hytinen et al., 2013; Lamb et al.,
24
25 770 2013), resulting in a multi-vesicular endo/autophagosome termed the amphisome (Patel et
26
27 771 al., 2013; Sanchez-Wandelmer and Reggiori, 2013). Amphisomes can fuse with the surface
28
29 772 membrane causing the release of sequestered proteins to the extracellular environment as well
30
31 773 as adding membrane to the cell surface (Claude-Taupin et al., 2017). Additionally, lysosomes
32
33 774 can also function as exocytic vesicles (Arantes and Andrews, 2006; Naegeli et al., 2017;
34
35 775 Padamsey et al., 2017) in addition to being organelles of degradation, even supplying
36
37 776 integrins for directed migration (Rainero and Norman, 2013). Traffic through the
38
39 777 autophagosome-lysosome pathway is therefore extremely complicated and not necessarily a
40
41 778 means of degradation.

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43
44 779 Neuronal autophagy is well studied (Jin et al., 2018a), largely because its misregulation is
45
46 780 implicated in degenerative diseases such as Alzheimer's, Parkinson's and Huntingdon's
47
48 781 disease as well as amyotrophic lateral sclerosis (ALS) / motor neuron disease (Dikic and
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50 782 Elazar, 2018). There are a number of excellent recent reviews which comprehensively
51
52 783 discuss the function and regulation of autophagy within neurons (Kulkarni et al., 2018;
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3 784 Kulkarni and Maday, 2018; Nikolettou and Tavernarakis, 2018; Stavoe and Holzbaue,
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5 785 2018). Here we discuss the involvement of autophagy in the process of axon growth and
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7 786 regeneration, the presence of autophagy specifically within the axon, how autophagic
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9 787 mechanisms may vary between neuronal types of differing regenerative ability, and the
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11 788 regulation/interaction of Rab11 and recycling endosomes with the autophagosome. We also
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13 789 comment on a role for non-acidic lysosomes at the growth cone.

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15 790 Currently the majority of evidence suggests that autophagy is required for axon growth and
16
17 791 regeneration. Whilst one study reports that inhibition of autophagy by silencing of ATG7
18
19 792 leads to increased axon extension (Ban et al., 2013), the majority of studies suggest that
20
21 793 autophagy is required for axon growth. The autophagy genes ULK1 and 2 are required for
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23 794 normal axon extension in the developing mouse brain (Wang et al., 2017a), and inhibition of
24
25 795 autophagy opposes axon growth and survival of DRG neurons in culture, suggesting a
26
27 796 positive role for autophagy during regenerative axon growth (Clarke and Mearow, 2016).
28
29 797 Autophagy also seems to be beneficial for CNS axon regeneration, with a recent study
30
31 798 demonstrating that stimulation of autophagy can promote axon growth over inhibitory
32
33 799 molecules *in vitro*, whilst activation of autophagy *in vivo* (by delivery of Tat-beclin) leads
34
35 800 to enhanced regeneration of monoaminergic neurons after a spinal cord injury (He et al.,
36
37 801 2016). This study used EM to demonstrate that beclin-induced autophagosomes were present
38
39 802 specifically within axons. If autophagy is required within the axon in order to regenerate a
40
41 803 growth cone, it is possible that there may be differences in the transport of autophagy
42
43 804 machinery between regenerative and non-regenerative axons. It is important to note that
44
45 805 monoaminergic neurons have a better regenerative ability than corticospinal tract axons, and
46
47 806 the induction of autophagy by Tat-beclin does not stimulate CST regeneration (He et al.,
48
49 807 2016). This may indicate that the necessary growth-promoting autophagy machinery is not
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51 808 present in these axons.
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3 809 Autophagy, and the transport of autophagosomes, has been examined in both CNS and PNS
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5 810 axons and whilst there is clear evidence for the existence of autophagy in both neuronal
6
7 811 types, there may also be differences. Axonal autophagosome biogenesis was first studied in
8
9 812 adult mouse DRG neurons (Maday et al., 2012), by imaging GFP-LC3 (an autophagosome
10
11 813 marker). Autophagosomes were observed developing in the distal part of axons, however in
12
13 814 this location they remained negative for lysosomal markers. Instead autophagosomes became
14
15 815 positive for lysosomal markers as they were retrogradely transported towards the cell body.
16
17 816 This suggests that mature autophagy (degradation) does not occur in the distal axon but is
18
19 817 targeted to the cell body (Maday et al., 2012). Despite this, there is apparent contact of
20
21 818 autophagosomes with lysosomes within the distal part of the axon, indicating that there may
22
23 819 be some fusion events that may not be degradative. It is not clear if the contents of distal axon
24
25 820 autophagosomes can escape autophagy by being passed to lysosomes. However, there is
26
27 821 evidence that lysosomes may be required at the growth cone to enable the trafficking of cargo
28
29 822 out of the autophagosome because decreasing the amount of lysosomes at the growth cone
30
31 823 leads to enlargement of autophagosomes (Farias et al., 2017). There is also evidence that
32
33 824 growth cone lysosomes may not be degradative as they are not acidified in the same way as
34
35 825 the rest of the cell (Farias et al., 2017; Overly and Hollenbeck, 1996). Lysosomes supply
36
37 826 integrins to enable invasive and migratory behaviour in non-neuronal cells (Dozynkiewicz et
38
39 827 al., 2012; Rainero and Norman, 2013) and can undergo exocytosis to contribute to axon
40
41 828 growth (Arantes and Andrews, 2006). It is therefore possible that there is a form of recycling
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43 829 that occurs at the growth cone via autophagosome/endosome interaction. We speculate that
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45 830 this may be required to enable regeneration when an axon needs to alter its machinery to
46
47 831 switch from a state of neurotransmission to a state of axon growth. The process of autophagy
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49 832 could engulf unwanted machinery, which in turn could either be degraded or recycled
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51 833 through lysosomes or other endosomes.
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3 834 The above study by Maday et al demonstrated that axonal autophagosomes are
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5 835 predominantly transported retrogradely towards the cell body in DRG neurons. Subsequent
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7 836 work confirmed that a similar phenomenon occurs in CNS neurons, through the study of
8
9 837 E15.5 mouse neurons in vitro (Maday and Holzbaur, 2014; 2016). These investigations
10
11 838 found that axonal autophagy was not induced in response to nutrient deprivation (as occurs in
12
13 839 other cell types), but rather that autophagosome generation appears to be a homeostatic
14
15 840 process. They also showed that autophagosome biogenesis is four times slower in the distal
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17 841 axons of hippocampal neurons compared to DRG neurons. The studies also demonstrated that
18
19 842 the axonal ER is a source of membrane for the axonal autophagosome and not the plasma
20
21 843 membrane, but the authors did not examine a potential role for Rab11. Rab11 positive
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23 844 recycling endosomes are a source for autophagosomal membrane during starvation induced
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25 845 autophagy in non-neuronal cells (Lamb et al., 2016; Longatti et al., 2012; Longatti and
26
27 846 Tooze, 2012). Given that Rab11 endosomes are transported away from CNS axons as they
28
29 847 mature (Koseki et al., 2017) it is possible that this may limit the type of autophagy that exists
30
31 848 within the axon. The question remains as to whether starvation or stress induced autophagy is
32
33 849 a mechanism that needs to be activated in order to stimulate the process of regeneration
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35 850 within the axon. It is also not known if there are developmental changes in the rate or type of
36
37 851 autophagy that occurs within axons (which may contribute to regenerative ability) or whether
38
39 852 the type of autophagy varies between neurons of different regenerative abilities. Perhaps
40
41 853 recycling autophagy (as opposed to degradative) is more suited to axon regeneration? There
42
43 854 is strong evidence for the involvement of Rab11 in both the generation of the autophagosome
44
45 855 (as mentioned above) and also in mediating traffic away from autophagosomes towards
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47 856 multivesicular bodies and exocytosis (Chen et al., 2017; Fader et al., 2008; Fader et al.,
48
49 857 2009). Given the difference in Rab11 transport between non-regenerative and regenerative
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51 858 axons, it may be that there are differences in the way autophagy functions in the axons of
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3 859 various neuronal types, that might contribute to their differential regenerative ability. Much
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5 860 work is needed to determine whether this is the case.
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8
9 862 **Mechanisms regulating polarised transport**

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11 863 As described above, the capacity for axon regeneration varies between neuronal types and
12
13 864 with development. Adult PNS axons are considered good regenerators, whilst most CNS
14
15 865 axons lose their ability to regenerate with maturity. The ability to transport regenerative
16
17 866 molecules into axons also varies. For example, PNS axons continue to support integrin
18
19 867 transport into adulthood whilst transport declines with maturity in CNS axons (Fig. 1). This
20
21 868 also appears to be the case for other growth promoting receptors, which are either absent or
22
23 869 expressed at low levels in most mature CNS axons. To understand the mechanism behind
24
25 870 these differences, it is necessary to examine the regulation of polarised transport in neurons,
26
27 871 particularly focusing on membrane proteins. The axon growth promoting receptors and
28
29 872 guidance molecules that mediate regeneration such as TrkB, the IGF1 receptor and integrins
30
31 873 are all cell surface membrane proteins. This class of molecule is subject to tightly controlled
32
33 874 trafficking processes in all cells. As an extreme example of polarised cells, neurons have
34
35 875 intricate mechanisms for maintaining the correct distribution of membrane proteins to
36
37 876 specific neuronal compartments. This ensures that post synaptic receptors and associated
38
39 877 machinery are targeted to dendrites, whilst the machinery for synaptic vesicle cycling and
40
41 878 neurotransmission are directed to presynaptic sites within axons. In this section, we describe
42
43 879 the mechanisms that are known to regulate polarised distribution in neurons, focusing on
44
45 880 their relevance to regulating regenerative capacity. We have described above how a
46
47 881 conditioning injury that promotes regeneration can also facilitate axon transport. This
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49 882 response relies partly on the retrograde injury signal, which transmits signals from the axon
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51 883 to the cell body via retrograde axonal transport. We will not be focusing on this retrograde
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3 884 response (which has been reviewed in detail: (Abe and Cavalli, 2008; Rishal and Fainzilber,
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5 885 2014; Tasdemir-Yilmaz and Segal, 2016), but rather the mechanisms involved in facilitating
6
7 886 or preventing anterograde delivery, and how these might relate to axon regeneration.
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9 887

10
11 888 *Neuronal membrane transport*
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13 889 All membrane proteins are synthesised in the ER membrane, and spend their lives restricted
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15 890 to a membranous environment. After leaving the ER, membrane proteins pass through the
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17 891 Golgi membrane before being transported to the cell surface in endosomes. At the cell
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19 892 surface, membrane proteins can be internalised (again into endosomes) and are subject to a
20
21 893 variety of regulatory mechanisms which can decide their fate – recycling to the plasma
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23 894 membrane, redirecting to a different part of the cell, clustering into signalling platforms,
24
25 895 degrading, or even priming for extracellular cues (Yap and Winckler, 2012). Membrane
26
27 896 protein trafficking is therefore subject to precise and complex regulation by numerous
28
29 897 processes including cytoskeletal elements, motor proteins, adaptor molecules, protein
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31 898 scaffolds, signalling molecules (including kinesins and phosphatases), and small GTPases
32
33 899 such as the Rab and ARF families. Investigations into neuronal polarised membrane transport
34
35 900 has focused on all of these mechanisms, and each of them plays a role in regulating transport
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37 901 into either dendrites or axons.
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44 903 *Microtubules and associated motors*
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46 904 The principle regulator of polarised transport in neurons is arguably the cytoskeleton, which
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48 905 defines axons and dendrites by virtue of the orientation of microtubules. Axonal microtubules
49
50 906 are unipolar, with the plus end facing into the axon, whilst dendrites have microtubules
51
52 907 aligned both into and away from the dendrite (Baas et al., 1988; Tas et al., 2017; Yau et al.,
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54 908 2016). As the majority of membrane protein transport occurs in endosomes transported on
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3 909 microtubules by kinesin or dynein/dynactin motor proteins, the unipolar nature of axonal
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5 910 microtubules ensures that only kinesin motor proteins can drive anterograde axonal transport,
6
7 911 and that molecules transported principally by dynein are enriched in dendrites. Proteins
8
9 912 intended for axonal transport will also enter dendrites, but overall their distribution is biased
10
11 913 towards axons (Nakata and Hirokawa, 2003). Microtubule orientation alone is not sufficient
12
13 914 to determine whether a protein can enter the axon, and so further control is exerted by the
14
15 915 wide variety of the kinesin family. Neurons express as many as 20 types of kinesin that will
16
17 916 transport cargo towards the plus end (Silverman et al., 2010), but only some of these
18
19 917 specifically target to axons, whilst others target to both dendrites and axons. Interestingly, no
20
21 918 kinesins have been found to be targeted only to dendrites (Huang and Banker, 2012). This
22
23 919 suggests that dynein-dependant transport is an important determinant in dendritic targeting,
24
25 920 and this has been shown to be the case, because linking axon specific cargo to dynein motors
26
27 921 results in their dendritic delivery (Kapitein et al., 2010). The specificity of individual kinesins
28
29 922 for axons may contribute to the developmental decline in regenerative ability, in that the
30
31 923 expression of certain kinesins changes with development. Kinesin KIF4A is involved in the
32
33 924 axonal delivery of integrins during development, but it is downregulated postnatally at a time
34
35 925 when integrins are excluded from axons (Heintz et al., 2014). However, whilst re-expressing
36
37 926 KIF4A in mature cortical neurons *in vitro* leads to its presence in axons, it does not facilitate
38
39 927 integrin axonal transport. Integrins can be manipulated into axons at this time (through other
40
41 928 trafficking interventions), suggesting that other kinesins are also capable of transporting
42
43 929 integrins into axons.

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48 930 The targeting of specific kinesins to the axon is thought to be partly as a result of specific
49
50 931 modifications to the microtubules, including acetylation, glutamylation and detyrosination
51
52 932 which regulate axonal kinesin transport (Hammond et al., 2010; Kaul et al., 2014; Konishi
53
54 933 and Setou, 2009). Importantly, interventions targeting these modifications such as low dose

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2
3 934 taxol can permit axonally excluded growth promoters such as integrins to enter the axon
4
5 935 (Franssen et al., 2015), however this can also cause axonal proteins to accumulate in
6
7 936 dendrites (Hammond et al., 2010). Interestingly, low dose taxol treatment only permits
8
9 937 integrins transport into the proximal part of the axon, suggesting microtubule modifications
10
11 938 are particularly critical in this area. The early part of the axon is an area of intense study
12
13 939 regarding polarised transport. This region of the axon includes two important regions
14
15 940 implicated in the regulation of polarised transport, the axon initial segment (AIS) and before
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17 941 it, the pre-axonal exclusion zone (PAEZ).

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21
22 943 *The axon initial segment and the regulation of axonal traffic and transport*

23
24 944 The AIS is in the very proximal part of the axon. It is primarily responsible for the
25
26 945 propagation of the action potential, being enriched in the ion channels necessary for this
27
28 946 function. It is also enriched in cytoskeletal elements such as AnkyrinG, actin, and beta IV
29
30 947 spectrin, and microtubule associated proteins such as EB1 and EB3 (Letierrier et al., 2011;
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32 948 Zhang and Rasband, 2016). Importantly, the AIS develops at a time when CNS axons lose
33
34 949 their regenerative ability, and is strongly associated with polarised membrane transport and
35
36 950 axon dendrite identity (Rasband, 2010). Ankyrin G is considered to be the orchestrator of the
37
38 951 AIS because its depletion causes demolition of the entire structure. Depleting Ankyrin G
39
40 952 leads to a loss of axonal identity, with the proximal axon exhibiting dendritic molecules such
41
42 953 as post-synaptic receptors and taking on dendritic features such as spines (Sobotzik et al.,
43
44 954 2009). A number of mechanisms have been proposed to explain how the AIS might regulate
45
46 955 polarised transport, in addition to the post-translational modifications of microtubules
47
48 956 (Hammond et al., 2010; Konishi and Setou, 2009; Tapia et al., 2013). The actin cytoskeleton
49
50 957 is proposed to regulate transport from within the AIS by acting as a dense barrier to diffusion
51
52 958 (Song et al., 2009) or by diverting myosin motors back to the cell body (Lewis et al., 2009).

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3 959 This idea is currently debated because other studies have not found a dense actin mesh within
4
5 960 the AIS (Farias et al., 2015), but rather the usual actin and spectrin rings that are present
6
7 961 throughout the axon (Letierrier et al., 2015; Xu et al., 2013) as well as actin patches (Jones et
8
9 962 al., 2014). Interfering with actin through latrunculin treatment leads to a small increase in
10
11 963 integrin transport into the proximal part of mature CNS axons (Franssen et al., 2015), but
12
13 964 does not facilitate long range axonal transport.

15 965 Another AIS-related mechanism functions to regulate dynein dependant retrieval of dendritic
16
17 966 cargo from within the AIS. The dynein regulator Ndel1 is attached to the AIS through
18
19 967 binding to Ankyrin G and functions through its binding partner Lis1 to activate dynein
20
21 968 leading to increased retrograde transport of molecules not intended for axons, such as the
22
23 969 transferrin receptor. Silencing Ndel1 leads to anterograde transport of the transferrin receptor
24
25 970 (Kuijpers et al., 2016). Importantly, Ndel1 is localised to the initial part of axons from an
26
27 971 early developmental stage, at a time when integrins are still present throughout the axon,
28
29 972 suggesting it is not the central regulator of integrin dendritic localisation. Retrograde
30
31 973 transport of early endosomes marked by Rab5 is also regulated from this part of the axon
32
33 974 through the Rab5 interactor FHF (Guo et al., 2016). Crucially, there is also retrograde
34
35 975 transport of dendritic vesicles away from the base of the axon even before the AIS develops,
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37 976 from a region termed the pre-axonal exclusion zone (PAEZ) (Farias et al., 2015). This area
38
39 977 has been implicated in the dendritic targeting of glutamate receptor AMPA-GluR1, the Golgi
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41 978 protein GM130, and the ER protein CLIMP-63, and the endoplasmic reticulum, indicating
42
43 979 that the region is critical to maintaining a wide range of cell machinery away from axons
44
45 980 (Britt et al., 2016; Gummy and Hoogenraad, 2018). This early developmental axonal exclusion
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47 981 is in keeping with a previous study which demonstrated that dendritic proteins become
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49 982 polarised even before the axon is specified (Petersen et al., 2014). Interestingly, the PAEZ
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51 983 overlaps with a region within the AIS which labels strongly for TRIM46. This is a
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3 984 microtubule organising molecule which is present before the AIS is fully developed, which is
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5 985 responsible for arranging microtubules in their polarised fashion. Depletion of TRIM 46 leads
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7 986 to mixed microtubule orientation and decreased transport of axonal cargo (van Beuningen et
8
9 987 al., 2015). Another level of selectivity is achieved within this region by the microtubule
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11 988 associated protein, MAP2. Whilst classically considered to be a dendritic marker, MAP2
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13 989 strongly labels the earliest section of the axon, and its localisation there depends on TRIM46.
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15 990 Depletion of MAP2 leads to altered cargo transport, with some dendritic molecules being
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17 991 transported into axons, and some axonal molecules appearing in the somatodendritic domain.
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19 992 MAP2 functions in this location to regulate kinesin activity, specifically inhibiting KIF5
20
21 993 motor activity, so that cargo that is transported by KIF5 requires an additional motor such as
22
23 994 KIF1 (which is not affected by MAP2) in order to enter the axon (Gumy et al., 2017).
24
25 995 Crucially, MAP2 is present in the early part of the axon in non-regenerative and regenerative
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27 996 neurons being observed in both mature CNS neurons that do not support integrin transport, as
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29 997 well as PNS neurons that do. MAP2 therefore permits anterograde transport of the kinesins
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31 998 that carry integrin endosomes, but in CNS axons there are additional signalling and
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33 999 trafficking mechanisms that are upregulated with development that result in an increased
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35 1000 affinity of integrin containing endosomes for the dynein/dynactin complex, and subsequent
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37 1001 retrograde removal from axons. Recent studies have found that the principle regulators of this
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39 1002 process are ARF6 and its activator EFA6, which is enriched in the initial part of the axon as
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41 1003 cortical neurons mature (Eva et al., 2017).
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48 1005 *Axon initial segment, ARF6 and the JIP family of proteins*

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50 1006 The ARF6 GEF EFA6 is upregulated in the brain along with development, playing a role in
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52 1007 the development and maintenance of dendrites and spines (Choi et al., 2006; Raemaekers et
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54 1008 al., 2012). It was recently discovered to have an additional axonal role, colocalising with
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3 1009 neurofascin in the early part of the AIS, and functioning to activate ARF6 throughout the
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5 1010 axon stimulate retrograde integrin transport (Eva et al., 2017). This may occur through the
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7 1011 priming of another ARF6 GEF, ARNO, which is distributed along the whole length of the
8
9 1012 axon. EFA6 is known to function together with ARNO to sustain ARNO and ARF6 activity
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11 1013 (Padovani et al., 2014). This appears to happen in axons, because silencing EFA6 leads to a
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13 1014 striking decrease in axonal ARF6 activation. Importantly, silencing EFA6 leads to an
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15 1015 increase in the anterograde axonal transport of integrin and Rab11 endosomes and an increase
16
17 1016 in regeneration after a laser injury. Interfering with ARNO also leads to an increase in axonal
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19 1017 integrin transport in cortical neurons, as does overexpression of the ARF GAP ACAP1
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21 1018 (Franssen et al., 2015). These molecules were first shown to regulate directional axon
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23 1019 transport in PNS axons (which allow bi-directional integrin transport) (Eva et al., 2012b).
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25 1020 Elevating ARF6 activity in adult DRG neurons *in vitro* leads to an increase in retrograde
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27 1021 integrin transport and a decrease in regeneration after a laser injury. DRG neurons enable
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29 1022 integrin transport through the expression of the GAP ACAP1, which is not present in the
30
31 1023 CNS. ACAP1 localises throughout DRG axons, and is strongly enriched in the growth cone
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33 1024 (Eva et al., 2017). In addition to its ARF6 GAP activity ACAP1 also targets integrins to the
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35 1025 surface membrane (Li et al., 2005). How does ARF6 regulate the direction of integrin
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37 1026 transport in axons? The ability of ARF6 to control directional transport was first
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39 1027 demonstrated in dividing non-neuronal cells, and relies on the interaction of ARF6 with the
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41 1028 scaffold molecules JIP3 and 4. Active ARF6 increases the affinity of these JIPs for the
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43 1029 dynein/dynactin complex whilst inactive ARF6 increases their affinity for kinesin motors
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45 1030 (Montagnac et al., 2009). The JIP family of molecules therefore appear to exert a level of
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47 1031 selectivity on axonal cargo and have the ability to exert this at many levels. As scaffolds, the
48
49 1032 JIPs function to link motor proteins to their cargo. The interaction between specific kinesins
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51 1033 and the various JIPs defines one level of selectivity, while another is governed by the cargo
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3 1034 that can or cannot interact with the JIPs. A good example of this is the difference between
4
5 1035 integrins and the amyloid precursor protein (APP). Integrins are transported away from
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7 1036 mature CNS **axons**, whilst APP is bidirectionally transported. This may be as a result of their
8
9 1037 different interactions with the JIPs. There are four JIP family members. JIP 1 and 2 are
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11 1038 similar but diverge from JIP 3 and 4 which are often classed together. APP interacts directly
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13 1039 with JIP 1 and 2, and does not interact with JIP 3 and 4. ARF6 activation does not affect JIP1
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15 1040 or 2 interactions with kinesin or dynein, but only JIP3 and 4 (Koushika, 2008). Consistent
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17 1041 with this, altering ARF6 activation does not alter APP axonal transport (Eva et al., 2017).
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19 1042 APP directional transport is regulated through a different signalling mechanism. When JIP1
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21 1043 is directly phosphorylated at a JNK phosphorylation site, S421, anterograde transport is
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23 1044 stimulated, whilst dephosphorylation favours retrograde transport (Fu and Holzbaur, 2013).
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25 1045 Importantly, the interactions between JIP/motor/cargo can occur in complexes with other
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27 1046 proteins, meaning that their functions can impact on the directional transport of numerous
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29 1047 proteins. The interaction between ARF6, JIP3 and 4 and motor proteins occurs in a complex
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31 1048 that also involves Rab11 (Montagnac et al., 2009), meaning that ARF6 activation can also
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33 1049 control the direction of transport of Rab11 and associated endosomes (Eva et al., 2017). This
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35 1050 begins to explain how trafficking to specific endosomes might contribute to the targeting of
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37 1051 membrane proteins to a specific part of the cell, with different endocytic regulatory or
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39 1052 adaptor molecules adding another level of complexity.
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46 1054 *Endocytic transport, adaptors and sorting motifs*

47
48 1055 A number of endosome associated molecules have been implicated in polarised transport in
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50 1056 neurons, including the EHD1/4 proteins and NEEP21/P19 (Nsg1 and 2), which regulate the
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52 1057 trafficking of the adhesion molecule L1/NgCAM through early endosomes towards the axon
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54 1058 (Lasiacka et al., 2010; Yap et al., 2008) via a transcytotic mechanism. Transcytosis
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3 1059 (internalisation from the somatodendritic surface to the axonal surface) occurs in DRG
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5 1060 neurons for integrins and the TrkA receptor via Rab11 endosomes (Ascano et al., 2009; Eva
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7 1061 et al., 2010). Transport of the TrkA receptor is additionally regulated in a complex feed
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9 1062 forward fashion which enables transcytosis to supply new receptors to the axon in response to
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11 1063 retrograde signalling from within the axon. Anterograde transport is increased when
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13 1064 retrograde signalling endosomes recycle to the somatic cell surface and transactivate resident
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15 1065 receptors, causing them to be internalised. These are then transported to the ER, where they
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17 1066 can be dephosphorylated by the phosphatase PTP1B before being anterogradely transported
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19 1067 into the axon (Yamashita et al., 2017).

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22 1068 The adapter molecules that regulate endocytosis are also important for regulating polarised
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24 1069 distribution within neurons. These include the clathrin adaptors AP1-AP5 which exist as
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26 1070 heterotetrameric complexes and the monomeric GGA adaptors (Golgi-localising, Gamma-
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28 1071 adaptin ear homology, ARF-binding proteins) (Robinson, 2004). The clathrin adaptors
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30 1072 interact with specific motifs that have been implicated in polarised transport in neurons and
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32 1073 non-neuronal cells, including the AP1 adaptor which controls the dendritic distribution of the
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34 1074 transferrin receptor through an interaction between the YXXØ motif in the cytoplasmic tail of
35
36 1075 transferrin and the μ 1A subunit of AP1 (Farias et al., 2012). However, the presence of this
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38 1076 motif does not guarantee targeting a protein away from the axon. Integrin subunits α 3, 4, 5,
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40 1077 7, and 9 contain a YXXΦ motif, but preventing AP1 from a potential interaction with the
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42 1078 motif by expression of a dominant negative mutant does not result in targeting of integrins
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44 1079 into the axon, as was found for the transferrin receptor (Franssen et al., 2015).

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49 1081 **Summary: A virtuous cycle of axon growth and regeneration**

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51 1082 Since the Aguayo experiments of the 1980s kick-started an era of research into CNS axon
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53 1083 regeneration, there has been a broad-ranging, sustained effort to understand the mechanisms
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3 1084 preventing regeneration. Understanding of intrinsic factors has increased dramatically, and it
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5 1085 is apparent that there are three key elements which prevent regeneration: epigenetics,
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7 1086 signalling pathways, and axon transport. Developmental gene changes maintain adult CNS
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9 1087 neurons in a low state of growth (Venkatesh et al., 2016), compounded by underactive
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11 1088 growth promoting signalling pathways (Liu et al., 2010), and a low abundance of growth
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13 1089 machinery within the axon (Andrews et al., 2016; Eva et al., 2017; Hollis et al., 2009a; b).
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15 1090 These areas represent targets for stimulating regeneration and raise the tantalising prospect of
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17 1091 an intervention that could function by affecting all three processes. One can envisage a
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19 1092 strategy that targets epigenetic changes could lead to the expression of genes which enhance
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21 1093 signalling through growth promoting pathways, as well as increased axonal transport of
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23 1094 regenerative machinery such as integrins or growth factor receptors. Once in the axons,
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25 1095 growth-promoting receptors could be activated by their ligands, leading to retrograde
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27 1096 signalling via endosomes, amplification of growth promoting signals, and somatic effects on
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29 1097 transcription, translation and axonal transport. In theory, this cycle of events could be
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31 1098 targeted at any point to stimulate a “virtuous cycle of axon growth” (Fig. 2). A good example
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33 1099 is intervening at the level of axon transport of integrins and growth factor receptors.
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35 1100 Increasing the levels of these molecules on the surface of the axon could lead to increased
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37 1101 signalling through known regenerative pathways, such as the PI3 kinase pathway. This would
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39 1102 elevate growth promoting signals locally, but these are additionally capable of signalling to
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41 1103 the cell body via retrograde signalling endosomes, which can lead to effects on transcription
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43 1104 and translation (Tasdemir-Yilmaz and Segal, 2016). Signalling through PI3 kinase can lead to
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45 1105 epigenetic changes which can activate a growth program (Spangle et al., 2017), and can also
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47 1106 lead to increased axonal transport of PI3 kinase coupled receptors, such as TrkB (Cheng et
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49 1107 al., 2011). Initiating the axonal transport of growth-enabling molecules can therefore have
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51 1108 wide-ranging effects throughout the cell. So far, *in vitro* experiments have demonstrated that
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3 1109 CNS regeneration can be stimulated through the axonal mobilisation of growth machinery
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5 1110 (Eva et al., 2017; Koseki et al., 2017). Much work is needed to see if this approach can be
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7 1111 used to stimulate regeneration *in vivo* after a brain or spinal cord injury.
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11 1113 **Figure Legends**

13 1114 **Figure 1. Integrin transport in regenerative vs non-regenerative axons.**

15 1115 Integrins and Rab11 are bidirectionally transported in regenerative adult PNS axons but are
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17 1116 removed from non-regenerative CNS axons by predominant retrograde transport after
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19 1117 development.
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21 1118

23 1119 **Figure 2. A virtuous cycle of axon growth and regeneration.**

25 1120 Adult CNS axons are weak regenerators because of gene suppression by epigenetic factors
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27 1121 and a lack of growth promoting machinery in the axon. The figure illustrates a cycle of events
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29 1122 which can enable regeneration. Intervening at any point can feed forward to stimulate the
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31 1123 subcellular changes that can drive axon growth. For example, increasing the transport of
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33 1124 growth promoting receptors in recycling endosomes facilitates growth cone development and
34
35 1125 axon growth. Activated growth cone receptors signal retrogradely to the cell body.
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37 1126 Signalling downstream of growth factors (eg through PI3K) can lead to changes in gene
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39 1127 expression and altered protein translation. Retrograde signals from growth factor receptors
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41 1128 can also stimulate anterograde transport in an autocrine fashion.
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43 1129

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49 1132 reading of the manuscript.
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For Peer Review

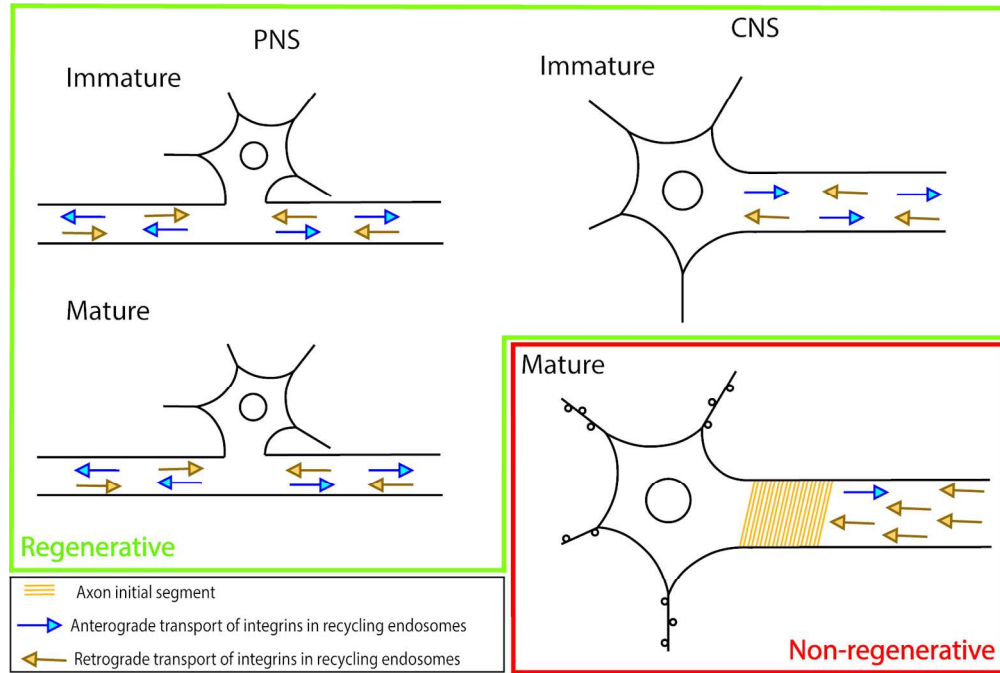


Figure 1. Integrin transport in regenerative vs non-regenerative axons. Integrins and Rab11 are bidirectionally transported in regenerative adult PNS axons but are removed from non-regenerative CNS axons by predominant retrograde transport after development.

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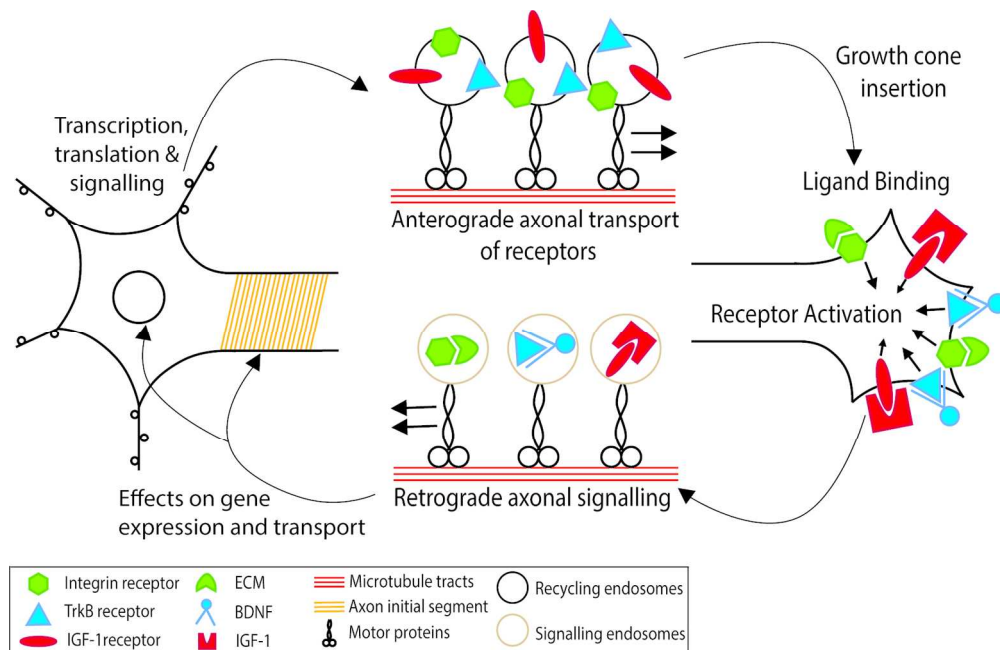


Figure 2. A virtuous cycle of axon growth and regeneration.

Adult CNS axons are weak regenerators because of gene suppression by epigenetic factors and a lack of growth promoting machinery in the axon. The figure illustrates a cycle of events which can enable regeneration. Intervening at any point can feed forward to stimulate the subcellular changes that can drive axon growth. For example, increasing the transport of growth promoting receptors in recycling endosomes facilitates growth cone development and axon growth. Activated growth cone receptors signal retrogradely to the cell body. Signalling downstream of growth factors (eg through PI3K) can lead to changes in gene expression and altered protein translation. Retrograde signals from growth factor receptors can also stimulate anterograde transport in an autocrine fashion.

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