


1 Crystallization at Solvent Interfaces Enables Access to a Variety of 2 Cocrystal Polymorphs and Hydrates

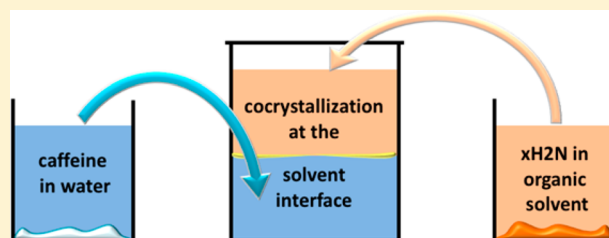
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7  Supporting Information

8 **ABSTRACT:** A crystal growth technique, interfacial cocrystallization, is demonstrated to be a simple and effective method for
9 preparing multicomponent crystal forms. The technique is based
10 on the generation of a liquid–liquid interface between two
11 immiscible solutions of cocrystal-forming compounds, and its
12 utility is demonstrated through the preparation of polymorphs
13 and hydrates of caffeine cocrystals, involving three different
14 hydroxy-2-naphthoic acids, including the formation of some with
15 unexpected compositions.
16



17 ■ INTRODUCTION

18 Multicomponent crystals are widely utilized for crystal
19 engineering purposes in a variety of settings, including the
20 pharmaceutical industry.¹ Cocrystals, which consist of at least
21 two different types of neutral molecules (coformers) held
22 together by noncovalent interactions (such as hydrogen
23 bonds), play an increasingly important role in drug develop-
24 ment owing to their capacity to enhance relevant chemical and
25 physical properties of drug molecules in the solid state² (e.g.,
26 chemical stability,³ hygroscopicity,⁴ tableability,⁵ or taste⁶).
27 The mounting number of patents and new FDA-approved
28 medicines⁷ based on pharmaceutical cocrystals also attest to
29 their potential and usefulness. Cocrystals are, however, prone to
30 polymorphism like all other types of molecular crystals,^{8,9} and
31 as a result it is essential to screen thoroughly for polymorphic
32 forms of cocrystals during product development.¹⁰

33 To date, various techniques have been developed for the
34 preparation of cocrystals on a laboratory scale,^{11–16} with the
35 majority being solvent based (e.g., solvent evaporation and
36 solvent cooling^{17,18}). Solvent-based methods avoid partial
37 degradation of drug molecules during cocrystallization, which
38 may occur in the use of thermal methods such as
39 cocrystallization from the melt.¹⁹ A drawback of solution
40 growth, however, is the high risk of precipitating the pure
41 components because of their potentially significant solubility
42 differences,²⁰ or the undesired formation of solvates.²¹ To avoid
43 this issue, methods that employ less or even no liquid solvent
44 (such as grinding,²² liquid-assisted¹¹ and polymer-assisted
45 grinding,²³ and slurring²⁴) have been devised and applied.

46 We applied the approach of crystallization at solvent
47 interfaces to the cocrystallization of phenazine and mesaconic
48 acid in an earlier study, resulting in the generation of a novel
49 monohydrate cocrystal form.²⁰ Here we report the results of a
50 systematic study that aimed to explore how precipitation at the

boundary between two immiscible solutions containing the
51 coformer molecules, a technique referred to as interfacial
52 cocrystallization (IC), is a very effective screening method
53 (Scheme 1).
54 st

55 Recognizing the numerous studies that report the use of
56 solvent interfaces to precipitate or crystallize various organic
57 and inorganic species,^{25–29} this study focuses on the
58 preparation of pharmaceutical cocrystals at solvent interfaces
59 and highlights in particular the large number of experimental
60 variations that are possible.

61 Using caffeine (caf) and hydroxy-2-naphthoic acids (xH2Ns)
62 as model compounds, we show that IC enables fast access to a
63 variety of polymorphs, hydrates of pharmaceutical cocrystals, as
64 well as cocrystal forms with atypical stoichiometric ratios. We
65 further demonstrate how the chemical nature of the solvents,
66 solution concentrations, various cocrystallization rates, and the
67 surrounding ambient temperatures affect the crystallization
68 outcome. We also highlight several key advantages of the IC
69 approach, namely, the ability to screen multiple potential
70 coformers simultaneously in a single experiment by using
71 solutions containing several possible coformers, as well as the
72 ability to access a broad variety of crystal forms without the
73 knowledge of solubility phase diagrams.

74 The studied compounds have previously been investigated in
75 the context of crystal engineering and pharmaceutical
76 cocrystals;^{30–34} caf is a widely known central nervous system
77 stimulant, while xH2Ns are pharmaceutically active ingredients
78 known to exhibit higher activity than salicylate in the treatment
79 of stress-mediated diseases.³⁵ The three hydroxy-2-naphthoic
80 acids, namely, 1-hydroxy-2-naphthoic acid (1H2N), 3-hydroxy-

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Scheme 1. Representation of an Interfacial Cocrystallization Experiment Whereby Two Saturated Solutions of Cofomers in Immiscible Solvents Are Prepared Separately and Then Combined (Providing an Interface at Which Cocrystallization Can Occur) (Top); and Molecular Structures of caf, 1H2N, 3H2N, and 6H2N (Bottom)

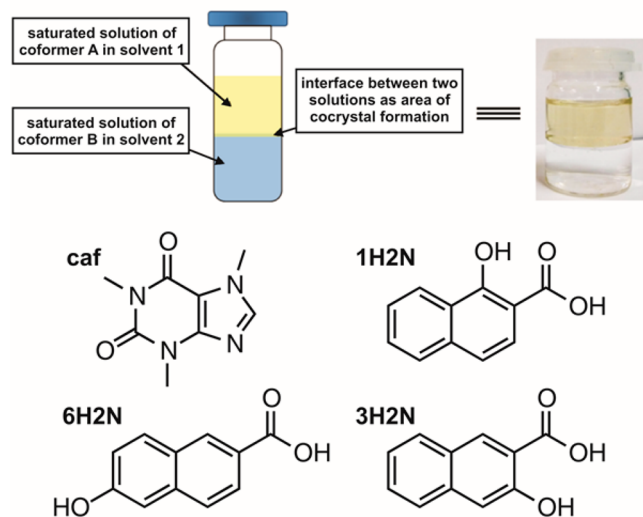


Table 1. Solvents Used in Combination with Water to Crystallize Various caf:xH2N Cocrystal Forms

| xH2N | organic solvent | cocrystal form obtained |
|------|--------------------------|-------------------------|
| 6H2N | ButOAc | 1:1, form I |
| | EtOAc | 1:1, form II |
| | DIPE ^a | 1:1, form III |
| | DIPE/xylene ^a | 1:1, form IV |
| | ButOAc | 1:1, monohydrate |
| | DIPE | 2:1 |
| 1H2N | EtOAc | 1:1, form I |
| | DIPE | 1:1, form II |
| 3H2N | EtOAc | 1:1, form I |
| | DIPE | 1:1, form II |

^aSupersaturated solution.

by Bučar et al. (form I; space group $P\bar{1}$, $Z' = 1$) (Figure 1a), but we also obtained an additional new polymorph of this cocrystal, namely, form II (see SI document). Form II crystallizes in space group $P2_1/c$ and its structure is based on discrete 2:2 supramolecular caf:6H2N assemblies, wherein the 6H2N molecules form dimers through O–H···O hydrogen bonds via an $R_2^2(8)$ synthon. The caf molecules are disordered over two positions and are bound to the hydroxyl group of 6H2N through either an O–H···O hydrogen or an O–H···N hydrogen bond via a $D(2)$ synthon (Figure 1b).

Further IC experiments led to the discovery of a third anhydrous cocrystal form, namely, the 2:1 (caf)₂(6H2N) cocrystal (see SI). The crystal structure of this material is based on discrete three-component caf:6H2N assemblies. In the assembly, one caf molecule is bound to 6H2N through an O–H···N hydrogen bond via an $R_2^2(7)$ synthon, while the second caf molecule is disordered over two positions and bound to naphthoic acid through an O–H···N or O–H···O hydrogen bond (depending on the caf orientation) via a $D(2)$ synthon (Figure 1d).

Notably, two hydrated caf:6H2N cocrystal forms were discovered: the 1:1:1 (caf)·(6H2N)·(H₂O) and the 2:3:1 (caf)₂·(6H2N)₃·(H₂O) cocrystal monohydrate.³⁶ The crystal structure of the (caf)·(6H2N)·(H₂O) cocrystal monohydrate is based on two-dimensional flat hydrogen-bonded layers. Within the layers, caf and disordered 6H2N molecules are connected by O–H···N hydrogen bonds via $R_2^2(7)$ synthons. The caf:6H2N molecular pairs are further linked through water molecules by O–H···O hydrogen bonds through $D(2)$ synthons (Figure 1e). Structural analyses of the (caf)₂·(6H2N)₃·(H₂O) monohydrate revealed that its structure is based on interpenetrated three-dimensional caf:6H2N:H₂O assemblies. In these structures, caf and 6H2N are linked into molecular chains that are sustained by O–H···N and O–H···O hydrogen bonds via $R_2^2(7)$ and $D(2)$ synthons. The one-dimensional structure is extended into three dimensions by a disordered pair of 6H2N:H₂O molecules (Figure 1f).

It should be noted that it was not possible to obtain reproducibly phase-pure samples of the previously reported anhydrous 1:1 cocrystal (form I) using nearly saturated solutions of the cofomers. This form was initially only observed 3 days after harvesting all (caf)·(6H2N)·(H₂O) crystals at the solvent interface, when a second crop of large single crystals of form I emerged.

The mechanisms leading to the formation of the large variety of caf:6H2N cocrystal forms are not understood at this time.³⁷

2-naphthoic acid (3H2N), and 6-hydroxy-2-naphthoic acid (6H2N), differ significantly in the geometry of their potential hydrogen-bonding interactions (Scheme 1), and therefore in their solubility and cocrystallization performance.

The caf:xH2N cocrystals were initially investigated using more traditional solution-based methods by Bučar et al.,³⁰ who identified and structurally characterized one cocrystal form for each system, each having a 1:1 stoichiometry (Cambridge Structural Database (CSD) reference codes: KIGKIV, KIGKOB, KIGKUH; henceforth referred to for each case as form I).³⁰ In the study reported here, we pursued the interfacial cocrystallizations of caf with the same three xH2Ns. The reactions involved the layering of two solutions: one being a saturated solution of caffeine in water (a reasonably good solvent for caf), and the second being a nearly saturated solution of xH2N in an organic solvent that is immiscible with water (see SI) and in which the solubility of the acid is high, while the solubility of caf is low (e.g., noncyclic ethers, aliphatic alcohols, and acetic acid esters). Whereas there is no driving force for crystallization to occur because of the low amount of both cofomers simultaneously present in either phase, layering the two solutions allows a cocrystal to precipitate at the interface (Scheme 1) owing to its lower free energy. A cofomer concentration gradient at the interface then facilitates slow incorporation of cofomer molecules from both sides of the interface to the growing cocrystal. In almost every example reported below, crystals suitable for single crystal X-ray studies were obtained.

RESULTS AND DISCUSSION

1. Effects of Solvent Choice on Cocrystal Composition and Polymorphic Form. **1.1. caf:6H2N Cocrystal.** Initial studies focusing on the caf:6H2N system yielded a range of cocrystals of various stoichiometries, as well as new polymorphs and hydrates (Table 1), thus demonstrating the high efficacy of IC in cocrystal screening. Specifically, we were able to reproduce the 1:1 (caf)·(6H2N) cocrystal, initially reported

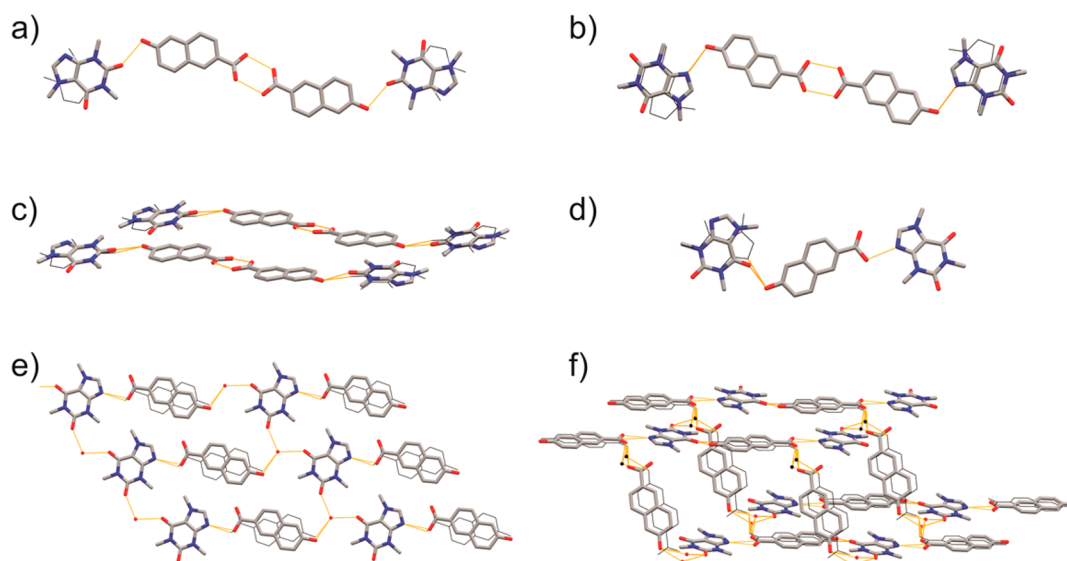


Figure 1. Supramolecular **caf:6H2N** assemblies in the crystal structures of (a) anhydrous **(caf)·(6H2N)**, form I; (b) anhydrous **(caf)·(6H2N)**, form II; (c) anhydrous **(caf)·(6H2N)**, form III; (d) anhydrous **(caf)₂·(6H2N)**; (e) **(caf)·(6H2N)·(H₂O)** monohydrate; (f) **(caf)₂·(6H2N)₃·(H₂O)** monohydrate. Minor occupation sites (up to 50%) of disordered molecules are shown using the “wireframe” display style. Hydrogen atoms are omitted to enhance clarity.

163 We do, however, speculate that access to the crystal form with a
 164 different atypical stoichiometry may be attributed to solubility
 165 effects. In particular, we believe that a change from a 2:1 to 1:1
 166 cofomer ratio is likely to be related to the higher solubility of
 167 **6H2N** in butyl acetate compared to diisopropyl ether, leading
 168 to a higher concentration of **6H2N** at the interface.

169 **1.2. caf:1H2N and caf:3H2N Cocrystals.** The substantial
 170 variety of discovered **caf:6H2N** cocrystal forms prompted us to
 171 extend our studies to the **caf:1H2N** and **caf:3H2N** cocrystal
 172 systems. A more limited set of experiments soon led not only to
 173 the preparation of the previously known cocrystal phases
 174 (Figure 2a,b), but also to the discovery of a new polymorph of
 175 **(caf)·(1H2N)** and **(caf)·(3H2N)**, referred to as form II (Table
 176 1 and SI). Form II of **(caf)·(1H2N)** crystallizes in space group
 177 $P2_1/n$ with three **caf:1H2N** pairs in the asymmetric unit ($Z' =$
 178 3). Each of the pairs is held together by O–H···N hydrogen
 179 bonds through $R_2^2(7)$ synthons, whereby the **1H2N** hydroxyl
 180 groups are engaged in intramolecular O–H···O hydrogen
 181 bonds by a $S(6)$ synthon (Figure 2a). Form II of **(caf)·(3H2N)**
 182 crystallizes in space group $P2_1/n$ with one molecule of **caf** and
 183 **3H2N** in the asymmetric unit ($Z' = 1$). The cocrystal
 184 components are also held together by O–H···N and O–H···
 185 O hydrogen bonds through $R_2^2(7)$ and $S(6)$ synthons (Figure
 186 2b).

187 It was also established that, in the cases of the **caf:1H2N** and
 188 **caf:3H2N** cocrystal systems, a change in polymorphic form of
 189 the product was achieved by varying the interface conditions, as
 190 shown in Table 1.

191 Specifically, the use of more polar solvents favored in both
 192 cases the crystallization of form I. That solvent properties can
 193 influence the polymorphic outcome of IC processes is not
 194 unexpected,⁹ as it mirrors what has been widely reported for
 195 conventional solution crystallizations. With specific regard to
 196 cocrystal polymorphism, however, it should be noted that
 197 problems associated with the precipitation of individual
 198 cofomers during conventional solution crystallization experi-
 199 ments²⁰ are minimized.

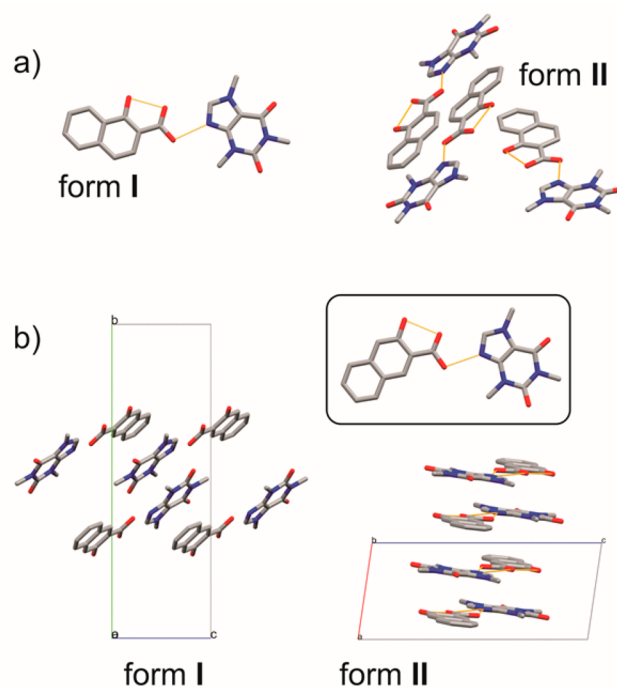


Figure 2. (a) Crystallographically independent **caf:1H2N** assemblies in the **(caf)·(1H2N)** cocrystal forms I and II. (b) Supramolecular **caf:3H2N** assembly found in the crystal structures of **(caf)·(3H2N)** forms I and II (highlighted in the rounded rectangle) and crystal packing diagrams of **(caf)·(3H2N)** forms I and II. Hydrogen atoms are omitted to enhance clarity.

2. Effects of Solution Concentrations on Polymorphic Outcome for the caf:6H2N Cocrystal. In an attempt to facilitate the growth of single crystals during the IC experiments, we resorted to the layering of supersaturated solutions of the cocrystal components. Such an approach not only led to the formation of crystals suitable for single crystal X-ray diffraction studies, but also enabled, to our 206

surprise, the appearance of new polymorphs. In particular, two new polymorphs of the **caf:6H2N** cocrystal were discovered, namely, forms **III** and **IV** (see **SI**). These two forms, however, appeared only occasionally and single crystals suitable for structural analyses could be produced only in the case of form **III**.³⁸

Crystallographic analyses revealed that form **III** crystallizes in space group $P2_1/c$ with three molecules of both **caf** and **6H2N** in the asymmetric unit ($Z' = 3$). The crystal structure is based on two crystallographically independent types of 2:2 **caf:6H2N** assemblies similar to those seen in forms **I** and **II**. One is centrosymmetric with disordered **6H2N** and ordered **caf** molecules that are held together by O–H...O hydrogen bonds through $R_2^2(8)$ and $D(2)$ synthons. The second assembly is noncentrosymmetric and also based on disordered **caf** and ordered **6H2N** molecules, which are sustained by the same types of hydrogen bonds and synthons as those in the first assembly type (Figure 1c).

It was also observed that the use of supersaturated solutions of **caf** and **6H2N** (using polar solvents) regularly leads to the crystallization of form **I** of the **caf:6H2N** cocrystal, which could not be reliably achieved with the use of nearly saturated solutions (see **Table 1** and **SI**).

3. Effects of Temperature on Cocrystallization Kinetics and Polymorphic form. Saturated solutions of **caf** in water and of the three **xH2N**s in **DIPE** were prepared, layered, and left for crystallization at a range of different temperatures to monitor the influence of the crystallization rate on the outcome of interfacial cocrystallization. Observations from the resulting **IC** experiments are summarized in **Table 2**.

Table 2. Effects of Temperature on Cocrystal Formation

| xH2N | 10 °C | 20 °C | 40 °C |
|-------------|-------------------------------|------------------------------|-----------------------------------|
| 1H2N | 1:1, form II 10 min | 1:1, form II 5 min | 1:1, form I + II <1 min |
| 3H2N | 1:1, form II 15 min | 1:1, form II 8 min | 1:1, form II 1 min |
| 6H2N | 2:1 2 days | 2:1 1 day | 2:1 4 h |

In general, the higher the temperature at which interfacial cocrystallization is performed, the faster a cocrystal is formed at the interface. For instance, the precipitation of the (**caf**)₂·(**6H2N**) cocrystal can be accelerated from 2 days to 4 h by increasing the temperature from 10 to 40 °C. This increase in cocrystallization rate may result from the increased amount of cofomer dissolved in solutions at high temperature and/or from increased molecular diffusion rates which facilitate the precipitation process. Accompanying the faster cocrystallization and nucleation processes at higher temperature was a reduction in the particle size of the resulting crystals (see **SI Figure S2**). Low-temperature interfacial crystallizations were, therefore, found to be most appropriate for the growth of large single crystals suitable for structure determination.

Temperature was also observed to have an influence on the polymorphic outcome (**Table 2**). With the **caf:1H2N** system, on increasing the crystallization temperature to 40 °C, a mixture of forms **I** and **II** was obtained (rather than pure form **II**, as seen at lower temperatures). We suggest that form **II** is still the form which precipitates at the interface, and the increased temperature merely increases the rate of conversion to the more stable form **I**.³⁹ This is certainly the case for the

caf:3H2N system where form **II** precipitates at the interface shortly after layering of the two solutions, but at higher temperature undergoes conversion to form **I** within hours.

4. Effects of Stirring. The effect of high-speed stirring (at 750 rpm) during interfacial cocrystallization was investigated for the three **caf:xH2N** cocrystals using magnetic stir bars (see **Table 3**). This agitation resulted in the formation of an

Table 3. Effects of Stirring at Room Temperature on Cocrystal Formation

| xH2N | solvent | static conditions | stirred solutions |
|-------------|-------------|---------------------|---------------------|
| 1H2N | DIPE | 1:1, form II | 1:1, form II |
| 3H2N | DIPE | 1:1, form II | 1:1, form I |
| 6H2N | DIPE | 2:1 | 1:1, form I |

emulsion of the two immiscible solutions wherein small droplets were created and the curvature of the liquid–liquid interface increased. The crystallization rate of each of the cocrystals increased dramatically as a result. Stirring also led to a change in the polymorphic form which was obtained for the **caf:3H2N** cocrystal, with form **I** rather than form **II** being isolated, and a change in the stoichiometry of the **caf:6H2N** cocrystal form 1:1 to 2:1. The origins of these polymorphic and stoichiometric variations, which could be based on the increased curvature of the interface, or due to the shear introduced to the system by stirring, is still under investigation.

5. Competitive Cofomer Studies. The potential application of **IC** to screening for cocrystal formation between a compound of interest and multiple putative cofomer molecules in a simultaneous manner was investigated by layering a saturated aqueous solution of caffeine and a solution of **DIPE** saturated with both **1H2N** and phenazine. Phenazine, in contrast to **1H2N**, does not possess a carboxylic acid group and was, therefore, not expected to form a cocrystal with caffeine. After combining the two solutions, the known **caf:1H2N** cocrystal precipitated at the interface. Phenazine did not crystallize either as a pure phase or as a cocrystal with caffeine, thus demonstrating that cocrystallization of **caf** with a cofomer at a solvent interface is not inhibited by the presence of a molecule which does not form a cocrystal.

To investigate a situation where competition between cofomer molecules is possible during interfacial cocrystallization a saturated solution of caffeine in water was combined with a solution of **DIPE** saturated with both **1H2N** and **3H2N** (the overall molar ratio of **caf:1H2N:3H2N** was approximately 1:3:3). **PXRD** indicated that the resulting precipitate at the solvent interface contained a mixture of (**caf**)·(**1H2N**) form **II** and (**caf**)·(**3H2N**) form **II** (see **Figure S1** in **SI**).

SUMMARY AND OUTLOOK

It has been demonstrated that **IC** is a tunable and efficient technique to produce a range of multicomponent crystal forms. For the three **caf:xH2N** cocrystals investigated in this study, **IC** yielded at least one new cocrystal form for each system. Furthermore, with appropriate control of temperature, solution concentrations, solvent selection, and the cocrystallization rate, it was possible to grow single crystals at the interface for all three systems.

Interfacial cocrystallization can be applied to screen quickly and simultaneously for cocrystal formation between a drug molecule and several potential cofomer molecules in one crystallization vessel. We have also demonstrated that a

312 cocrystal will readily form at the interface of two immiscible
313 solvents, despite the direct interactions and competition of
314 cofomers within the organic solution. The great variety of
315 identified **caf**:**H₂N** cocrystal forms obtained, as well as its
316 convenience, establishes the merit of this crystallization method
317 in the context of cocrystal screening and materials discovery.

318 ■ ASSOCIATED CONTENT

319 ● Supporting Information

320 The Supporting Information is available free of charge on the
321 ACS Publications website at DOI: 10.1021/acs.cgd.8b00114.

322 Specifics concerning the synthesis of the crystal forms
323 and their crystallographic and microscopic analysis
324 (PDF)

325 Accession Codes

326 CCDC 1053238 and 1583501–1583507 contain the supple-
327 mentary crystallographic data for this paper. These data can be
328 obtained free of charge via [www.ccdc.cam.ac.uk/data_request/
329 cif](http://www.ccdc.cam.ac.uk/data_request/cif), or by emailing data_request@ccdc.cam.ac.uk, or by
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340 Notes

341 The authors declare no competing financial interest.

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348 ■ ABBREVIATIONS

349 EtOAc, ethyl acetate; BuOAc, *n*-butyl acetate; DIPE,
350 diisopropyl ether

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