1 Copy-number signatures and mutational

² processes in ovarian carcinoma

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41 Abstract

The genomic complexity of profound copy-number aberration has prevented effective molecular stratification of ovarian cancers. To decode this complexity, we derived copy-number signatures from shallow whole genome sequencing of 117 high-grade serous ovarian cancer (HGSOC) cases, which were validated on 527 independent cases. We show that HGSOC comprises a continuum of genomes shaped by multiple mutational processes that result in known patterns of genomic aberration. Copy-number signature exposures at diagnosis predict both overall survival and the probability of platinum-resistant relapse. Measuring signature exposures provides a rational framework to choose combination treatments that target multiple mutational processes.

58 The discrete mutational processes that drive copy-number change in human cancers are not

59 readily identifiable from genome-wide sequence data. This presents a major challenge for the

- 60 development of precision medicine for cancers that are strongly dominated by copy-number
- 61 changes, including high-grade serous ovarian (HGSOC), esophageal, non-small-cell lung and

triple negative breast cancers¹. These tumors have low frequency of recurrent oncogenic

63 mutations, few recurrent copy number alterations, and highly complex genomic profiles².

64 HGSOCs are poor prognosis carcinomas with ubiquitous *TP53* mutation³. Despite efforts to

65 discover new molecular subtypes and targeted therapies, overall survival has not improved over

- 66 two decades⁴. Current genomic stratification is limited to defining homologous recombination-
- 67 deficient (HRD) tumors⁵⁻⁷ with approximately 20% HGSOC cases having a germline or somatic

68 mutation in *BRCA1/2* with smaller contributions from mutation or epigenetic silencing of other HR

- 69 genes⁸. Classification using gene expression predominantly reflects the tumor microenvironment
- and is reliable in only a subset of patients⁹⁻¹¹. Detailed genomic analysis using whole genome

71 sequencing has shown frequent loss of *RB1, NF1* and *PTEN* by gene breakage events¹² and

72 enrichment of amplification associated fold-back inversions in non-HRD tumors¹³. However, none

of these approaches has provided a broad mechanistic understanding of HGSOC, reflecting the

74 challenges of detecting classifiers in extreme genomic complexity.

75 Recent algorithmic advances have enabled interpretation of complex genomic changes by

76 identifying mutational signatures — genomic patterns that are the imprint of mutagenic processes

accumulated over the lifetime of a cancer cell¹⁴. For example, UV exposure or mismatch repair

78 defects induce distinct, detectable single nucleotide variant (SNV) signatures¹⁴. The clinical utility

79 of these signatures has recently been demonstrated through a combination of structural variant

80 (SV) and SNV signatures to improve the prediction of HRD¹⁵. Importantly, these studies show that

81 tumor genomes are shaped by multiple mutational processes and novel computational approaches

82 are needed to identify coexistent signatures. We hypothesized that specific features of copy-

number abnormalities could represent the imprints of distinct mutational processes, and developed
 methods to identify signatures from copy-number features in HGSOC.

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89 Results

90 Experimental design and data collection

91 We generated absolute copy number profiles from 253 primary and relapsed HGSOC samples from 132 patients in the BriTROC-1 cohort¹⁶ using low-cost shallow whole-genome sequencing 92 93 (sWGS; 0.1x) and targeted amplicon sequencing of TP53 (Supplementary Figure 1). These 94 samples formed the basis of our copy-number signature identification. A subset of 56 of these 95 cases had deep whole-genome sequencing (dWGS) performed for mutation analysis and 96 comparison with sWGS data. Independent data sets for validation included 112 dWGS HGSOC cases from PCAWG¹⁷ and 415 HGSOC cases with SNP array and whole exome sequence from 97 TCGA⁸. Supplementary Figure 1a shows the REMARK diagram for selection of BriTROC-1 98 99 patients. Supplementary Figure 1b outlines which samples were used in each analysis across the 100 three cohorts. Clinical data for the BriTROC-1 cohort are summarized in Supplementary Table 1

101 and Supplementary Figure 2.

102 Identification and validation of copy-number signatures

103 To identify copy-number (CN) signatures, we computed the genome-wide distributions of six

104 fundamental CN features for each sample: the breakpoint count per 10MB, the copy-number of

segments, the difference in CN between adjacent segments, the breakpoint count per

106 chromosome arm, the lengths of oscillating CN segment chains and the size of segments. These

107 features were selected as hallmarks of previously reported genomic aberrations, including

108 breakage-fusion-bridge cycles¹⁸, chromothripsis¹⁹ and tandem duplication^{20,21}.

109 We applied mixture modelling to separate the copy-number feature distributions from 91 BriTROC-

110 1 samples with high quality CN profiles into mixtures of Poisson or Gaussian distributions. This

resulted in a total of 36 mixture components (Figure 1a). For each sample, the posterior probability

of copy-number events arising from these components was computed and summed. These sum-

113 of-posterior vectors were then combined to form a sample-by-component sum-of-posteriors matrix.

114 To identify copy-number signatures, this matrix was subjected to non-negative matrix factorization

115 (NMF)²², a method previously used for deriving SNV signatures¹⁴.

116 NMF identified seven CN signatures (Figure 1a), as well as their defining features and exposures

117 in each sample. The optimal number of signatures was chosen using a consensus from 1000

118 initializations of the algorithm and 1000 random permutations of the data combining four model

selection measures (Supplementary Figure 3). We found highly similar component weights for the

120 signatures in the two independent cohorts (PCAWG-OV and TCGA), demonstrating the robustness

121 of both the methodology and the copy-number features (Figure 1b, P<9e-05, median r=0.86.

122 Supplementary Table 2), despite a significant difference in exposures to CN signatures 2, 3, 4 and

123 5 between the cohorts (P<0.05, two-sided Wilcoxon rank sum test, Supplementary Figure 4).

124 Linking copy-number signatures with underlying mutational processes

125 The majority of cases analysed exhibited multiple signature exposures suggesting that HGSOC 126 genomes are shaped by more than one mutational process. As our signature analysis reduced this 127 genomic complexity into its constituent components, we were able to link the individual copy-128 number signatures to their underlying mutational processes. To do this, we used the component 129 weights identified by NMF to determine which pattern of global or local copy-number change 130 defined each signature. For example, for CN signature 1, the highest weights were observed for 131 components representing low numbers of breakpoints per 10MB, long genomic segments and two 132 breaks occurring per chromosome arm (Figure 2a, Supplementary Figure 5). Two breaks per 133 chromosome arm suggested that the mutational process underlying this signature might be breakage-fusion-bridge (BFB) events¹⁸. 134

135 To test this hypothesis, we correlated CN signature 1 exposures with mutation data, SNV

136 signatures, and other measures derived from deep WGS and exome sequencing (Figure 2b-e,

137 Supplementary Figures 6, 7, 8 and 9, Supplementary Tables 3, 4, 5, 6, 7 and 8). CN signature 1

- 138 was anti-correlated with sequencing estimates of telomere length (r=-0.32, P=0.009), consistent
- 139 with BFB events. In addition, CN signature 1 was positively correlated with amplification-
- 140 associated fold-back inversion structural variants (r=0.36, P=0.02), which have been strongly
- 141 implicated in BFB events²³ and have also been associated with inferior survival in HGSOC¹³. CN
- signature 1 was also enriched in cases with oncogenic RAS signaling, including *NF1* loss and
- 143 mutated KRAS (p=5e-06, Mann-Whitney test), which has previously been shown to induce
- 144 chromosomal instability as a result of aberrant G2 and mitotic checkpoint controls and
- 145 missegregation^{24,25}. Taken together, these data provide independent evidence for BFB arising as a
- 146 result of oncogenic RAS signaling and telomere shortening as the underlying mechanism for CN
- 147 signature 1.
- 148 We applied these approaches to the remaining signatures to identify statistically significant
- 149 genomic associations using a false discovery rate <0.05 (Figure 2b-e, Figure 3, Supplementary
- 150 Figures 5, 6, 7, 8 and 9, Supplementary Tables 3, 4, 5, 6, 7 and 8).
- 151 CN signature 2 showed frequent breakpoints per 10MB, single changes in copy-number (resulting
- in 3 copies), chains of oscillating copy-number, and was significantly correlated with tandem
- 153 duplicator phenotype scores (r=0.3, P=0.004) and SNV signature 5 (r=0.26, P=0.02). In addition,
- this signature was enriched in patients with mutations in *CDK12* (P=0.02, Mann-Whitney test,
- 155 Supplementary Table 6), in keeping with previous studies that have demonstrated large tandem
- 156 duplication in cases with inactivating CDK12 mutations²⁶.

- 157 CN signature 4 was characterised by high copy-number states (4-8 copies) and predominant copy-
- 158 number change-points of size 2. This pattern indicates a mutational process of late whole-genome
- duplication (WGD)²⁷. Significantly increased signature 4 exposure in cases with aberrant PI3K/AKT
- 160 signaling provided further support for late WGD as oncogenic *PIK3CA* induces tolerance to
- 161 genome doubling²⁸ (P=2e-22, Mann-Whitney test, mutation of *PIK3CA* or amplification of *AKT*,
- 162 EGFR, MET, FGFR3 and ERBB2). Signature 4 was also seen at higher levels in cases with
- 163 mutations in Toll-like receptor signaling cascades (P=2e-07), interleukin signaling pathways (P=3e-
- 164 24) and CDK12 (P=0.0009), as well as those with amplified CCNE1 (P=2e-10) and MYC (P=9e-
- 165 12). It was also significantly correlated with telomere length (r=0.46, P=4e-05).
- 166 CN signature 6 showed extremely high copy-number states and high copy-number change-points
- 167 for small segments interspersed among larger, lower-copy segments. This suggests a mutational
- 168 process resulting in focal amplification. Increased signature 6 exposure was associated with
- 169 mutations across diverse pathways, including aberrant G1/S cell cycle checkpoint control (through
- 170 either amplification of CCNE1, CCND1, CDK2, CDK4 or MYC, deletion/inactivation of RB1 or
- 171 mutation in *CDK12*), Toll-like receptor signaling cascades and PI3K/AKT signaling (P<0.05).
- 172 However, as many of these statistical associations are marked by gene amplification, it is difficult
- 173 to determine whether the copy number states represent causal events or are simply a
- 174 consequence of focal amplification. Exposure to CN signature 6 was also positively correlated with
- age at diagnosis (r=0.31, P=6e-12) and age-related SNV signature 1^{14} (r=0.43, P=3e-06).
- 176 CN signature 5 was significantly associated with predicted chromothriptic-like events using the
- 177 Shatterproof algorithm²⁹ (r=0.44, P=2e-03). Chromothripsis is considered rare in HGSOC^{12,27,30}.
- 178 However, the key component of this signature—the presence of copy-number change points
- 179 centered at 0.5 copies—suggests that the events are subclonal. This implies that chromothripsis
- 180 may be an underestimated oncogenic mechanism in HGSOC that could reflect ongoing formation
- 181 and rupture of micronuclei³¹.
- 182 CN signature 3 was characterized by an even distribution of breaks across all chromosomes, and
- 183 copy number changes from diploid to single copy (LOH). CN signature 3 was significantly enriched
- 184 in cases with mutations in BRCA1 and BRCA2, and other HR genes including BARD1, PALB2 and
- 185 ATR (P=0.002, Mann-Whitney test). It was also correlated with the HRD-related SNV signature 3
- 186 (r=0.32, P=0.002) and anti-correlated with age at diagnosis and age-related SNV signature 1
- 187 (P<0.05). CN signature 3 was also enriched in cases with loss of function mutations in *PTEN*
- 188 (P=0.002, Mann-Whitney test). Taken together, these data suggest that CN signature 3 is driven
- 189 by *BRCA1/2*-related HRD mechanisms.
- 190 CN signature 7, like CN signature 3, also demonstrated an even distribution of breaks across all
- 191 chromosomes. By contrast with CN signature 3, single copy-number changes were observed from
- 192 a tetraploid rather than a diploid state (Figure 3). Although there was correlation with the HRD-

related SNV signature 3, there was no enrichment with *BRCA1/2* mutation, suggesting alternative
 HRD mechanisms as potential mutational processes.

195 We also investigated relationships between CN signatures. BRCA1 dysfunction and CCNE1 amplification have been shown to be mutually exclusive in HGSOC³², and we observed that CN 196 197 signature 3 (BRCA1/2 HRD) and CN signature 6 (marked by aberrant G1/S cell cycle checkpoint 198 control) showed mutually exclusive associations (Figure 2b-e). Loss of BRCA1 and BRCA2 are 199 early driver events in HGSOC, and to investigate acquisition of additional mutational processes, 200 we studied four BriTROC-1 cases with deleterious germline BRCA2 mutations and confirmed 201 somatic loss of heterozygosity at BRCA2 (Figure 4). A diverse and variable number of CN 202 signatures was seen in these cases, including substantial exposures to CN signature 1 (RAS 203 signaling) in three of the four cases.

204 Copy-number signatures predict overall survival

205 We next explored the association between individual CN signature exposures and overall survival

using a combined dataset of 575 diagnostic samples with clinical outcomes. We trained a

207 multivariate Cox proportional hazards model on 417 cases and tested this on the remaining 158

208 cases (Figure 5, Supplementary Table 9). CN signature exposure was significantly predictive of

survival (Training: P=0.002, log-rank test; stratified by age and cohort; Test: P=0.05, C-

210 index=0.56, 95% CI:0.50-0.62; Entire cohort: P=0.002, log-rank test; stratified by age and cohort).

Across the entire cohort, poor outcome was significantly predicted by CN signature 1 (P=0.0008)

and CN signature 2 exposures (P=0.03), whilst good outcome was significantly predicted by

213 exposures to CN signatures 3 (P=0.05) and 7 (P=0.006).

214 Unsupervised hierarchical clustering of samples by signature exposures identified three clusters

215 (Figure 5). Despite showing significant survival differences (P=0.004, log-rank test; stratified by

age and cohort), these clusters did not provide any prognostic information in addition to that

217 identified from the Cox proportional hazards model; cluster 2 was dominated by patients with high

signature 1 exposures (poor prognosis), cluster 3 showed high signature 3 exposures (good

219 prognosis) and cluster 1 had mixed signature exposures (Supplementary Figure 10).

220 Copy-number signatures indicate relapse following chemotherapy

221 Using a generalised linear model, we investigated whether copy-number signatures could be used

to predict outcome following chemotherapy across 36 patients from the BriTROC-1 study with

paired diagnostic and relapse samples¹⁶. The model showed CN signature 1 exposures at the time

of diagnosis to be significantly predictive of platinum-resistant relapse (P=0.02, z-test,

225 Supplementary Table 10).

- Using the same 36 sample pairs, we also investigated whether chemotherapy treatment changed
- 227 CN signature exposures. No significant effects on exposures were observed following
- 228 chemotherapy treatment using a linear model that accounted for signature exposure at time of
- diagnosis, number of lines of chemotherapy and patient age (P>0.05, F-test, Supplementary Table
- 10). The only variable showing a significant association with exposure at relapse was signature
- exposure at diagnosis (P<0.01, F-test, Supplementary Table 11).

232 Discussion

233 Copy-number signatures provide a framework that is able to rederive the major defining elements 234 of HGSOC genomes, including defective HR⁸, amplification of cyclin E⁹ and amplificationassociated fold-back inversions¹³. In addition, the CN signatures show significant associations with 235 236 known driver gene mutations in HGSOC and provide the ability to detect novel associations with 237 gene mutations. We derived signatures using inexpensive shallow whole genome sequencing of 238 DNA from core biopsies. These approaches are rapid and cost effective, thus providing a clear 239 path to clinical implementation. Copy-number signatures open new avenues for clinical trial design 240 by highlighting contributions from underlying mutational processes that depend on oncogenic RAS 241 and PI3K/AKT signaling.

242 We found that almost all patients with HGSOC demonstrated a mixture of signatures indicative of

243 combinations of mutational processes. These results suggest that early TP53 mutation, the

244 ubiquitous initiating event in HGSOC, may permit multiple mutational processes to co-evolve,

245 potentially simultaneously. Although further work is needed to define the precise timing of

signature exposures, early driver events such as *BRCA2* mutation still permit a diverse and

variable number of CN signatures in addition to an HRD signature (Figure 4). These additional

signature exposures may alter the risk of developing therapeutic resistance, particularly when only

a single mutational process such as HRD is targeted.

250 High exposure to CN signature 3, characterised by BRCA1/2-related HRD, is associated with 251 improved overall survival, confirming prior data showing that BRCA1/2 mutation is associated with long survival in HGSOC^{33,34}. Conversely, high exposure to signature 1, which is characterised by 252 253 oncogenic RAS signaling (including NF1, KRAS and NRAS mutation), predicts subsequent 254 platinum-resistant relapse and poor survival. This suggests that powerful intrinsic resistance 255 mechanisms are present at the time of diagnosis and can be readily identified using CN signature 256 analysis. This hypothesis is supported by the presence of exposure to CN signature 1 in germline 257 BRCA2-mutated cases (Figure 4) as well as our previous work demonstrating the expansion of a 258 resistant subclonal NF1-deleted population following chemotherapy treatment in HGSOC³⁵ and 259 poor outcomes in Nf1-deleted murine models of HGSOC³⁶. Our CN signature analysis of BRCA2-260 mutated cases also concurs with PCAWG/ICGC data showing that over half (9/16) of NF1-mutated 261 cases also harboured mutations in BRCA1 or BRCA2¹². These data suggest a complex interplay 262 between RAS signaling and HRD. Thus, RAS signaling may be an important target, especially in 263 first line treatment, to prevent emergence of platinum-resistant disease.

We found that CN signature exposures were not significantly altered between diagnosis and disease relapse in 36 sample pairs with a median interval of 30.6 months¹⁶. This suggests that the underlying mutational processes in HGSOC are relatively stable and that genome-wide patterns of

267 copy-number change mainly reflect historic alterations to the genome acquired during

tumorigenesis³⁷. Relative invariant genomic changes were also observed in the ARIEL2 trial,

where genome-wide loss-of-heterozygosity was used to predict HRD, and only 14.5% (17/117)

270 cases changed LOH status between diagnosis and relapse⁷.

271 Larger association studies will be required to further refine CN signature definitions and 272 interpretation. The application of our approach to other tumour types is likely to extend the set of 273 signatures beyond the robust core set identified here. Basal-like breast cancers, squamous cell 274 and small cell lung carcinoma, which all have high rates of TP53 mutation and genomic instability², 275 are promising next targets. Although it is likely that the strong associations have identified the 276 driver mutational processes for CN signatures 1 and 3, functional studies will be required to 277 establish causal links for the remaining signatures. For example, CN signature 6 was significantly 278 associated with multiple mutated pathways, and this association was primarily driven by 279 amplification of target genes. As this signature represented focal amplification events, it is difficult 280 to determine whether amplification of specific genes drives the underlying mutational process or 281 the amplifications emerge as a consequence of strong selection of advantageous phenotypes. Our 282 data does not provide timing information for exposures and there is the real possibility that one 283 mutational process may well drive the emergence of other mutational processes. For example, the 284 association between signature 6 and PI3K signalling is also shared with signature 4.

285 Other limitations of this work are technical: we integrated data from three sources, using three different pre-processing pipelines, and the ploidy determined by different pipelines can have a 286 287 significant effect on the derived signatures. For example, high-ploidy CN signature 4 was 288 predominantly found in the sequenced samples that underwent careful manual curation to identify 289 whole-genome duplication events. When extending to larger sample sets, a unified processing 290 strategy with correct ploidy determination is likely to produce improved signature definitions. 291 Efforts to identify discrete, clinically relevant subtypes of disease have been successful in many cancer types³⁸⁻⁴⁰. However, HGSOC lacks clinically-relevant patient stratification, which is reflected 292

in continued poor survival. We show that HGSOC genomes are shaped by multiple mutational

294 processes that preclude simple subtyping. Thus, our results suggest that HGSOC is a continuum

of genomes. By dissecting the mutational forces shaping HGSOC genomes, our study paves the

way to understanding extreme genomic complexity, as well as revealing the evolution of tumors as

they relapse and acquire resistance to chemotherapy.

298 Author contributions

Conceptualisation: GM, TEG, FM, IMcN, JDB; Study conduct: SD, RMG, ML, EB, AM, AW, SS,
RE, GDH, AC, CG, MH, CF, HG, DM, AHo, GB, IMcN, JDB; Investigation: TEG, DE, AMP, LAL,
AHa, CW, CN, LMi, LNS, MJL, LMo, AS, JP; Formal analysis: GM, TEG, DDS, ME, DS, BY, OH,
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332 Figure Legends

Figure 1 | Copy-number signature identification from shallow whole genome sequence data and validation in independent cohorts

a. Step 1: Absolute copy-numbers are derived from sWGS data; Step 2: genome-wide distributions

- 336 of six fundamental copy-number features are computed; Step 3: Gaussian or Poisson mixture
- 337 models (depending on data type) are fitted to each distribution and the optimal number of
- components is determined (ranging from 3–10); Step 4: the data are represented as a matrix with
- 339 36 mixture component counts per tumor. Step 5: Non-negative matrix factorization is applied to the
- 340 components-by-tumor matrix to derive the tumor-by-signature matrix and the signature-by-
- 341 components matrix.
- 342 **b.** Heat maps show component weights for copy number signatures in two independent cohorts of
- 343 HGSOC samples profiled using WGS and SNP array. Correlation coefficients are provided in
- 344 Supplementary Table 2.

345 Figure 2 | Linking copy-number signatures with mutational processes

- **a** Component weights for copy number signature 1. Barplots (upper panel) are grouped by copy
- number feature and show weights for each of the 36 components. The middle panel shows the
- 348 mixture models of each distribution with components defining CN signature 1 highlighted in color.
- Lower panel shows genome-wide distribution (density) of each copy number feature, across the
- 350 BriTROC-1 cohort, weighted by signature exposure. (Note: similar plots for other CN signatures
- are shown in Figure 3 and Supplementary Figure 5).
- 352 **b** Associations between CN signature exposures and other features. Purple indicates positive
- 353 correlation and orange negative correlation (see also Supplementary Figure 6). Numbers at the
- right of the panel indicate cases included in each analysis. Only significant correlations are shown(P<0.05).
- 356 c Associations between CN signature exposures and SNV signatures. Purple indicates positive
- 357 correlation and orange negative correlation (see also Supplementary Figure 6). The number at the
- 358 right of the panel indicates cases included in the analysis.
- d and e Difference in CN signature exposures between cases with mutations in specific genes (d)
- 360 and mutated/wildtype reactome pathways (e). The absolute difference in mean signature
- 361 exposures was calculated for cases with and without mutations. Colors in filled circles indicate
- 362 extent of difference. Only differences with FDR P<0.05 (Mann-Whitney test) are shown (see also
- 363 Supplementary Figure 7).
- 364 Numbers at the right of the panel indicate cases with mutations (SNVs, amplifications or deletions)
- in each gene/pathway.

366 Figure 3 | The seven copy-number signatures in HGSOC

- 367 Description of the defining component weights, key associations and proposed mechanisms for the
- 368 seven copy number signatures.
- 369 *only the top three mutated genes for each of the pathways associated with CN signatures 4, 6
- and 7 are shown (the list of all significant genes is provided in Supplementary Tables 7 and 8).

371 Figure 4 | CN signature exposures of four BriTROC-1 patients with germline *BRCA2*

372 mutations and somatic loss of heterozygosity

- 373 Stacked bar plots show copy-number signature exposures for four BriTROC-1 cases with
- 374 pathogenic germline BRCA2 mutations and confirmed somatic loss of heterozygosity (LOH) at the
- 375 BRCA2 locus.

376 Figure 5 | Association of survival with copy-number signatures

- 377 Upper panel: Stacked barplots show CN signature exposures for each patient. Patients were
- 378 ranked by risk of death estimated by a multivariate Cox proportional hazards model stratified by
- age and cohort, with CN signature exposures as covariates.
- 380 Middle panel: Colored matrix indicates group for each patient assigned by unsupervised clustering
- of CN signature 1, 2, 3 and 7 exposures (see also Supplementary Figure 10).
- 382 Lower panel: Linear fit of signature exposures ordered by risk predicted by the Cox proportional
- 383 hazards model.
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389 References

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Compile sum-of-posteriors matrix

Tumor by component matrix



Components (N=36)





Perform non-negative matrix factorisation



b

Tumors (N=117)



CN signatures





Copy number

Copy number changepoint Breakpoint count per chr arm Length of chains of oscillating copy numbe Segment size



BRCA2 germline mutation carriers + somatic LOH (n=4)

Risk of death

Stacked signature exposures



CN

signature

1

2

3

4

5

6

7

Tumors ordered by decreasing risk of death (n=575)