# Reconstruction of optical vector-fields with applications in endoscopic imaging

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Abstract—We introduce a framework for the reconstruction of the amplitude, phase and polarisation of an optical vector-field using measurements acquired by an imaging device characterised by an integral transform with an unknown spatially-variant kernel. By incorporating effective regularisation terms, this new approach is able to recover an optical vector-field with respect to an arbitrary representation system, which may be different from the one used for device calibration. In particular, it enables the recovery of an optical vector-field with respect to a Fourier basis, which is shown to vield indicative features of increased scattering associated with tissue abnormalities. We demonstrate the effectiveness of our approach using synthetic holographic images as well as biological tissue samples in an experimental setting where measurements of an optical vectorfield are acquired by a multicore fibre (MCF) endoscope, and observe that indeed the recovered Fourier coefficients are useful in distinguishing healthy tissues from tumours in early stages of oesophageal cancer.

*Index Terms*—Inverse problem, image reconstruction, calibration, Fourier features, optical phase and polarisation, endoscope.

#### I. INTRODUCTION

**R** ECENTLY, there has been a significant interest in developing new types of optical fibre endoscopes for medical imaging applications [18], [30], [45], [13], [9]. Typically, these new endoscopes aim to be thinner, and therefore less invasive, and/or use different properties of light than conventional white light endoscopes making them more sensitive for detecting diseases such as cancer [50]. When a tissue is illuminated by light of high spatial and temporal coherence, a full optical vector-field reflected from the tissue consists of amplitude, phase and polarisation information [2], [41], [37], [36], [24]. Phase and polarisation have recently shown promise as diagnostic indicators, but are discarded by conventional white

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light endoscopes which record amplitude information only. Phase is highly sensitive to surface scattering that arises due to microstructural tissue changes in early cancer, creating distorted reflected wavefronts [22], [46], [47], [7], [44]. This effect has been utilised in phase contrast and quantitative phase microscopy to predict recurrence of prostate cancer [43]. Similarly, polarisation information can indicate the formation of dense collagen networks [8], and the concentration of other polarisation-sensitive compounds, such as glucose, linked with early cancer [29], [4]. This has found use in the diagnosis of colon [33], [3] and gastric cancers [48]. Currently, there are no commercial phase and polarisation endoscopes but many prototype devices have been demonstrated [39], [18], [34], [45], [49].

To achieve phase and polarisation imaging in fibre endoscopes, the underlying transformation of the optical fibre needs to be characterised. In realistic clinical settings, this transformation changes frequently due to bending and temperature fluctuations and it is therefore important that the characterisation is efficient and accurate. For the characterisation, typically a set of known fields that form some kind of a basis are input into one end of the fibre and the resulting outputs are recorded at the other end, a procedure termed *calibration*. The task then becomes to recover a representation of the optical field reflected from a tissue given the calibration measurements and the samples of the output field measured by an imaging sensor outside of the fibre.

In this paper, we investigate the following questions: (i) is there a particularly useful representation of the optical field reflected from a tissue that can be used for detecting optical aberrations associated with early cancer, and (ii) how can such a representation be recovered by an efficient and reliable algorithm from raw endoscopic measurements, i.e. from the calibration measurements and the samples of the output field?

To address these questions, we show that a Fourier representation recovered directly from the raw measurements has the statistical power to distinguish healthy tissues from tumours, and we provide a general reconstruction framework that can perform such recovery efficiently and stably.

More concretely, after reviewing related previous works in Section I-A, in Section II we introduce a general reconstruction framework for the recovery of a two-dimensional complex vector-field, where different regularisation terms are permitted and the bases used for image representation and device calibration are allowed to be different and/or nonorthogonal. In Section III we demonstrate that it is possible to extract informative features for detecting cancer from images of simulated tissue samples by projecting them onto a Fourier basis and observing the decay of their respective Fourier coefficients. In Section IV, we apply our approach to experimental data acquired using a custom-built fibre endoscope [25] and recover synthetic holographic images as well as images of mouse oesophageal tissue containing small tumours (lesions). In particular, by recovering images of a biological tissue with respect to a Fourier basis using  $\ell_1$ -regularisation, we observe that the corresponding Fourier coefficients are indicative of differences between lesions and healthy tissues and demonstrate their potential for medical diagnostic applications. We conclude with a discussion of our results and directions for future research in Section V.

# A. Relation to previous work

In imaging through optical fibres or other scattering media, typical recovery procedures use the same, finite-dimensional basis for calibration and image representation in conjunction with standard inversion techniques. They start by discretizing the mathematical operator of the fibre as a mapping between pixels at different ends of the fibre  $\mathbf{A} : \mathbf{x} \in \mathbb{C}^k \mapsto \mathbf{y} \in \mathbb{C}^n$ , leading to a transmission matrix  $\mathbf{A} \in \mathbb{C}^{n \times k}$  which is then characterised through calibration. The calibration inputs are collected in the columns of matrix  $\mathbf{X}_{cal} \in \mathbb{C}^{k \times m}$  and the corresponding outputs in the columns of matrix  $\mathbf{Y}_{cal} \in \mathbb{C}^{n \times m}$ . Most existing systems use a full orthogonal basis of the discretized input space as the calibration inputs, e.g. a set of tilted plane waves (a Fourier basis) [16] or a Hadamard basis generated using a phase-only spatial light modulator [35]. The orthogonality of such bases ensures that  $\mathbf{X}_{cal}$  is unitary. Then, by assuming that A is also unitary, images can be recovered using *phase conjugation*. In this approach a (generalised) inverse of the transmission matrix is calculated as  $\mathbf{X}_{cal}\mathbf{Y}_{cal}^*$ , where  $\cdot^*$  denotes the conjugate transpose, and a representation of  $\mathbf{x}$  with respect to the calibration inputs is recovered as  $\mathbf{X}_{cal}\mathbf{Y}_{cal}^*\mathbf{y}$  [35], [18], [17]. Although simple and straightforward to compute, the unitary assumptions in this approach are typically violated in practice [14]. In the context of imaging through scattering media, the inversion of matrix A was also performed through alternative approaches to phaseconjugation such as least-squares or Tikhonov regularisation [36], [37]. In particular, these applications do not explore  $\ell_1$ regularisation, which becomes a natural choice when reconstructing images with respect to a sparse basis. In this paper, we aim to reconstruct the real-world tissue images which are expected to be sparse in a basis such as Fourier, since these images are relatively smooth without abrupt discontinuities.

When compared to these conventional techniques, we emphasise that our new framework can recover a representation of the unknown optical field with respect to any particular infinite-dimensional basis which is allowed to be different from the one used for calibration, directly from the raw measurements. If an image representation with respect to a particular basis (such as Fourier) is desired, alternatively to our new approach one could in principle use the conventional techniques to recover an approximation to such a representation as we now describe. One could calibrate the fibre with respect to a Fourier basis and use standard techniques to reconstruct images with respect to the same basis. However, in high resolution imaging, calibration with respect to a Fourier basis may become prohibitively slow in practice and it may be preferable to use different, more efficient systems for calibration, as we do in this paper. Another possible approach is to first recover the image with respect to the calibration basis and then approximate its Fourier coefficients in a post-processing step. However, as a two-stage procedure, such approach is inherently less efficient and suffers from greater error than the approach proposed in this paper, which is able to recover Fourier coefficients directly from the raw measurements.

In the earlier work [25], an endoscope with a commercially available multicore fibre (MCF) bundle was developed to produce images of phase and polarisation for early cancer detection. There, a set of calibration inputs was chosen to greatly speed up experimental measurement time. Specifically, a set of Gaussian-like spots translated in small steps was used to enable parallelized calibration by exploiting the localised confinement of light in the MCF structure. However, this input basis is non-orthogonal so phase-conjugation cannot be naively applied. Instead, a reconstruction algorithm which solves one inverse problem per pixel was used to recover the images in a pixel basis. In particular, representations with respect different bases were not considered. While preserving the benefits of efficient experimental calibration achieved with a system tailored to the fibre structure, by using the framework presented here, we are now able to reconstruct phase and polarisation images with respect to diagnostically relevant representation systems and produce features useful for cancer detection. Moreover, our new approach decreases the reconstruction time to only few seconds from several hours when compared to the previously implemented technique [25], providing an important advance towards real-time image reconstruction.

In the past decades, there has been a significant interest in developing different imaging techniques that capture scattered light directly in the Fourier domain, such as light scattering angular spectroscopy [32], [28], [6], Fourier transform light scattering [27], angle-resolved low-coherence interferometry [38] and spatial-frequency domain imaging [31]. Some of these techniques have been successfully applied for detection of early cancer [51], providing further validation that Fourier coefficients indeed yield informative features. We remark that by our new approach, Fourier coefficients can be recovered without need to take the measurements in the Fourier domain, which is important because such measurements are not optimally tailored to the imaging guide such as fibre.

Finally, we mention that changing representation systems between image recovery and sampling has previously been applied to inverse problems arising in various image and signal processing applications (see [1], [23] and references therein). There, it is assumed that the imaging device of a known linear transformation provides image samples with respect to a specified sampling system, while the aim is to recover a representation of the image with respect to a different system chosen so that a good approximation of the image is obtained or the number of required samples is decreased. As in our paper, different representation systems are modelled by Riesz bases or frames of infinite-dimensional function-spaces. By contrast, the imaging device considered here produces pixel samples of a transformed image where the underlying transformation is unknown and is characterised through a calibration procedure.

#### **II. RECONSTRUCTION FRAMEWORK**

In this section we introduce our reconstruction framework. We start by presenting an infinite-dimensional imaging model in Section II-A. We then consider a simplified scalar-valued setting in Section II-B, where we derive a linear system and its regularised solution while providing flexibility in choosing different systems for calibration and image representation. We then extend our framework to vector-fields in Section II-C.

#### A. Imaging model and reconstruction problem

In imaging through fibres or other scattering media, an input optical vector-field  $\mathbf{F}$  is related to its corresponding output  $\tilde{\mathbf{F}}$  through an integral transformation with a spatially-varying kernel  $\mathbf{G}$ , also called Green's function or point-spread function. Specifically, such transformation can be written as

$$\tilde{\mathbf{F}}(\mathbf{y}) = \int_{S} \mathbf{G}(\mathbf{y}, \mathbf{x}) \mathbf{F}(\mathbf{x}) \, \mathrm{d}\mathbf{x}, \tag{1}$$

where  $\mathbf{F} : S \to \mathbb{C}^2$  is a complex-valued vector-field representing the unknown optical field on the input plane  $S \subseteq \mathbb{R}^2$ ,  $\tilde{\mathbf{F}} : \mathbb{R}^2 \to \mathbb{C}^2$  is a complex-valued vector-field on the output plane which can be sampled, and  $\mathbf{G} : \mathbb{R}^2 \times \mathbb{R}^2 \to \mathbb{C}^{2 \times 2}$  is some unknown bounded matrix-valued function [40]. In general, the kernel  $\mathbf{G}$  is also time-dependent as it is affected by bending of the fibre and temperature. In this paper, we account for significant measurement noise but only consider imaging at a single time point; c.f. Section V. In particular, we consider the input field  $\mathbf{F}$  to be an object with infinite resolution, and thus, we model  $\mathbf{F}$  as an element of an infinite-dimensional functionspace, such as the  $\mathcal{L}^2$ -space of square-integrable functions.

In our novel endoscopic imaging, we want to capture a full optical field (i.e. amplitude, phase and polarisation) reflected from a human tissue inside the body, which is also called a wavefront, and which in this paper, we refer to as an image. In this terminology,  $\mathbf{F}$  is an image observed indirectly at the input imaging plane S at the end of the fibre inside the body, which is termed the *distal* facet of the fibre. The fibre then transports light from the distal facet to the *proximal* facet outside the body where the imaging sensor directly observes  $\tilde{\mathbf{F}}$  at the output imaging plane. Then the question is how to recover the unknown  $\mathbf{F}$  from the acquired samples of  $\tilde{\mathbf{F}}$ .

More concretely, given the pointwise measurements of the output vector-field  $\tilde{\mathbf{F}}$  collected at the imaging sensor

$$\mathbf{\tilde{F}}(\mathbf{y}_n), \quad n = 1, \dots, N,$$
 (2)

where  $\mathbf{y}_n \in \mathbb{R}^2$  and  $N \in \mathbb{N}$  is the resolution of the imaging sensor, the goal is to recover the unknown function  $\mathbf{F}$  via equation (1). It is important to note that these measurements will also contain noise introduced by the measurement procedure.

This linear inverse problem is especially challenging because both the spatially-varying kernel G as well as the eigenfunctions associated with the underlying integral transform (1) are unknown. Such eigenfunctions are termed modes of the fibre and their analytic form is available only for some limited fibres such as parabolic graded index multimode fibres [42].

To recover  $\mathbf{F}$  from finitely many samples of  $\hat{\mathbf{F}}$  in scenarios where neither  $\mathbf{G}$  nor the eigenfunctions are known, one strategy may be to employ a calibration procedure. Concretely, it is possible to design calibration input fields  $\mathbf{E}_m$ ,  $m = 1, \ldots, M$ , and to measure the corresponding output fields  $\tilde{\mathbf{E}}_m$ , which in line with the notation above are vector-valued functions related through the infinite-dimensional model given in (1). The advantage of calibration is that we now have access not only to the data given in (2) but also to the calibration data

$$\mathbf{E}_{m}, \quad \mathbf{E}_{m}(\mathbf{y}_{n}), \quad m = 1, \dots, M, \ n = 1, \dots, N,$$
 (3)

which forms additional information with which to recover F.

It is noted that while the output fields  $\mathbf{E}_m$  are sampled at an output imaging sensor of resolution N, the calibration input fields  $\mathbf{E}_m$  can be evaluated on a discretised grid whose resolution does not depend on any physical limitation imposed by the fibre or by the sensor collecting the transmitted image; it only depends on the resolution of the sensors used for calibration, which may be much larger than M. Therefore, as for the input  $\mathbf{F}$ , we model the inputs  $\mathbf{E}_m$  as elements of an infinite-dimensional function-space. Thus, the representation of  $\mathbf{F}$  as well as the device calibration can be considered with respect to a wide class of infinite-dimensional bases or overcomplete systems that may not be orthogonal.

#### B. Reconstruction of scalar-fields

We approach the general problem of recovering the complex vector-field  $\mathbf{F}$  by first solving a simplified problem, which once solved will provide us with the methodology necessary to tackle the problem in its full generality in Section II-C. Specifically, we assume in this subsection that  $F, \tilde{F}, E_m, \tilde{E}_m$  are scalar valued functions that take values in  $\mathbb{C}$  rather than  $\mathbb{C}^2$ , and accordingly G takes values in  $\mathbb{C}$  rather than  $\mathbb{C}^{2\times 2}$ . We highlight this difference by using non-bold symbols.

We consider all fields on the input imaging plane S as elements of the same function-space  $\mathcal{F}$ , such as the  $\mathcal{L}^2$ -space of square-integrable scalar-valued functions supported on S, with inner product defined as  $\langle E, H \rangle := \int_S E(\mathbf{x})H^*(\mathbf{x}) \, d\mathbf{x}$ , for any  $E, H \in \mathcal{F}$ . We aim to recover  $F \in \mathcal{F}$  at resolution  $K \in \mathbb{N}$  in terms of some desired representation system  $\{H_k\}_{k=1}^K$  in  $\mathcal{F}$ , using only the available data (2) and (3). Specifically, we aim to estimate the coefficients  $\mathbf{f} = [f_1, \ldots, f_K]^\top \in \mathbb{C}^K$  of the K-term approximation of F given as

$$F_K(\mathbf{x}) := \sum_{k=1}^{K} f_k H_k(\mathbf{x}), \quad \mathbf{x} \in S.$$
(4)

Before turning to the computation of  $f_k$  in (4), it is insightful to work through special cases of S and  $\{H_k\}_{k=1}^K$ that are particularly useful in practice. For instance, if we want to recover a Fourier representation of F and S := $[-1/2, 1/2]^2 \subseteq \mathbb{R}^2$ , then  $\{H_k\}_{k=1}^K$  is the K-dimensional Fourier basis  $\{e^{2\pi i \mathbf{k} \cdot \mathbf{x}}\}_{\mathbf{k} \in I_K}$  where  $I_K := \{\mathbf{k} = (k_1, k_2) \in$   $\mathbb{Z}^2$ :  $k_1, k_2 = -\lceil \sqrt{K}/2 \rceil, \dots, \lceil \sqrt{K}/2 \rceil - 1 \}$ ,  $\mathbf{k} \cdot \mathbf{x} := k_1 x_1 + k_2 x_2$ ,  $\mathbf{x} := (x_1, x_2) \in S$ , and (4) specialises to

$$F_K(\mathbf{x}) := \sum_{\mathbf{k} \in I_K} f_{\mathbf{k}} e^{2\pi i \mathbf{k} \cdot \mathbf{x}}, \ f_{\mathbf{k}} := \int_S F(\mathbf{x}) e^{-2\pi i \mathbf{k} \cdot \mathbf{x}} \, \mathrm{d}\mathbf{x}.$$
 (5)

More generally,  $\{H_k\}_{k=1}^K$  may contain the first K elements of a Riesz basis in  $\mathcal{F}$ , such as B-spline wavelets for example, with its corresponding biorthogonal sequence denoted by  $\{\check{H}_k\}_{k=1}^K$ , in which case (4) becomes  $F_K(\mathbf{x}) = \sum_{k=1}^K \langle F, \check{H}_k \rangle H_k$ . Moreover, as we do not require an explicit form of the coefficients  $f_k$ , the notion of basis can be further relaxed to over-complete representation systems such as over-complete frames [15].

Returning to the key issue of approximating the coefficients  $f_k$  in (4) from the given measurements (2)–(3), we write each  $H_k$  in terms of the calibration functions  $\{E_m\}_{m=1}^M$  as

$$H_k(\mathbf{x}) = \sum_{m=1}^{M} h_{m,k} E_m(\mathbf{x}) + \delta_k(\mathbf{x}), \quad \mathbf{x} \in S, \qquad (6)$$

for some coefficients  $h_{m,k} \in \mathbb{C}$ , whose computation we discuss below, and for some error term  $\delta_k$ . Since (1) is a linear transformation of F, by substituting F with  $F_K + (F - F_K)$  in (1) and writing  $F_K$  in terms of (4) and (6), we have

$$\tilde{F}(\cdot) = \sum_{k=1}^{K} \sum_{m=1}^{M} f_k h_{m,k} \tilde{E}_m(\cdot) + \sum_{k=1}^{K} f_k \int_S G(\cdot, \mathbf{x}) \delta_k(\mathbf{x}) \, \mathrm{d}\mathbf{x} + \int_S G(\cdot, \mathbf{x}) (F(\mathbf{x}) - F_K(\mathbf{x})) \, \mathrm{d}\mathbf{x}.$$
(7)

By evaluating equation (7) at the measurement points  $\{\mathbf{y}_n\}_{n=1}^N$ , we obtain the following linear system

$$\mathbf{g} = \mathbf{E}\mathbf{H}\mathbf{f} + \boldsymbol{\varepsilon},\tag{8}$$

where  $\mathbf{g} := [\tilde{F}(\mathbf{y}_1), \dots, \tilde{F}(\mathbf{y}_N)]^\top \in \mathbb{C}^N$ ,  $\mathbf{E} \in \mathbb{C}^{N \times M}$  is the matrix with its (n, m)-th entry equal to  $\tilde{E}_m(\mathbf{y}_n)$ ,  $\mathbf{H} \in \mathbb{C}^{M \times K}$  is the matrix with its (m, k)-th entry equal to  $h_{m,k}$  and  $\boldsymbol{\varepsilon} \in \mathbb{C}^N$  is an error term containing the last two terms in the right-hand-side of (7). In addition, the error term  $\boldsymbol{\varepsilon} \in \mathbb{C}^N$  can be seen also as encapsulating measurement error incurred when measuring  $\tilde{F}(\mathbf{y}_n)$  and  $\tilde{E}_m(\mathbf{y}_n)$  in (2) and (3), respectively. We then opt to define the solution of (8) as

$$\bar{\mathbf{f}} := \underset{\mathbf{f} \in \mathbb{C}^{K}}{\operatorname{argmin}} \left\{ \|\mathbf{g} - \mathbf{E}\mathbf{H}\mathbf{f}\|_{2} + \lambda \mathcal{R}(\mathbf{f}) \right\}, \tag{9}$$

where  $\|\cdot\|_2$  denotes the Euclidean norm on  $\mathbb{C}^N$ , while the regularisation term  $\mathcal{R}$  and its parameter  $\lambda \ge 0$  are described below. Once the coefficients  $\overline{\mathbf{f}} = [\overline{f}_1, \dots, \overline{f}_K]^\top \in \mathbb{C}^K$  are computed through (9), then in line with (4) we define the reconstruction of F as the approximation given by

$$\bar{F}_K(\mathbf{x}) := \sum_{k=1}^K \bar{f}_k H_k(\mathbf{x}), \quad \mathbf{x} \in S.$$
(10)

To obtain the explicit solution defined in (10), it remains to describe the procedure for computing the coefficients of matrix  $\mathbf{H}$  and to define the regularisation term  $\mathcal{R}$ .

First, observe that if the same system is used for calibration and reconstruction, then  $\mathbf{H} = \mathbf{I}$ . Otherwise, we can estimate  $\mathbf{H}$  as follows. Using (6), we write  $\langle H_k, E_{m'} \rangle =$ 

$$\begin{bmatrix} \langle E_1, E_1 \rangle & \dots & \langle E_M, E_1 \rangle \\ \vdots & & \vdots \\ \langle E_1, E_M \rangle & \dots & \langle E_M, E_M \rangle \end{bmatrix}^{-1} \begin{bmatrix} \langle H_1, E_1 \rangle & \dots & \langle H_K, E_1 \rangle \\ \vdots & & \vdots \\ \langle H_1, E_M \rangle & \dots & \langle H_K, E_M \rangle \end{bmatrix}.$$

The first matrix above is known as the Gram matrix, which is equal to the identity if  $\{E_m\}_{m=1}^M$  are orthonormal. We note that the accuracy of such estimation of matrix **H** and its condition number depend on the gap between the functionspaces spanned by  $\{H_k\}_{k=1}^K$  and  $\{E_m\}_{m=1}^M$  as well as on the conditioning of the Gram matrix. In general, it is required that  $\{E_m\}_{m=1}^M$  form a good approximation for  $\{H_k\}_{k=1}^K$ .

Turning to the choice of the regularisation term  $\mathcal R$  in (9), in case it is absent, i.e. if  $\lambda = 0$ , then the solution to (9) is equivalent to the least-squares solution  $\bar{\mathbf{f}}$  :=  $((\mathbf{EH})^*\mathbf{EH})^{-1}(\mathbf{EH})^*\mathbf{g}$ . If the regularisation term is given by  $\mathcal{R}(\mathbf{f}) := \|\mathbf{f}\|_2$ , then (9) is known as Tikhonov regularisation and its solution is given by  $\mathbf{f} := ((\mathbf{EH})^* \mathbf{EH} + \lambda \mathbf{I})^{-1} (\mathbf{EH})^* \mathbf{g}$ . However, if **EH** is badly conditioned,  $\varepsilon \neq 0$  and it is known a priori that only a few elements of  $\{H_k\}_{k=1}^K$  are sufficient to represent F well, then  $\mathcal{R}(\mathbf{f}) := \|\mathbf{f}\|_0$  is an appropriate choice of the regularisation term. This is known as the  $\ell_0$ regularisation, where the  $\ell_0$ -norm  $\|\mathbf{f}\|_0$  is defined as the number of non-zero entries in **f**. The  $\ell_0$ -regularisation bypasses the ill-conditioning by imposing sparsity in the solution  $\bar{F}_K$ with respect to  $\{H_k\}_{k=1}^K$ . In practice, solving the minimisation problem with such a non-convex  $\ell_0$ -term is computationally difficult, so typically an  $\ell_1$ -relaxation is considered instead. The corresponding relaxed minimisation problem can then be solved by fast iterative algorithms [11], [10]. In addition, the parameter  $\lambda$ , which controls the strength of the regularisation, can be chosen by cross-validation techniques [20].

We conclude this subsection with a discussion on the accuracy and robustness of the solution defined in (10).

The reconstruction error can be quantified by the magnitude of  $F - \bar{F}_K = (F - F_K) + (F_K - \bar{F}_K)$ . The magnitude of  $F - F_K$  depends of how well F can be represented by its K-term approximation with respect to  $\{H_k\}_{k=1}^K$ , and thus it is expected to decrease with increasing K. On the other hand, the magnitude of  $F_K - \bar{F}_K$  depends on the conditioning of **EH** and the error term  $\varepsilon$  in (8), and thus, it is expected to increase with increasing K and M, N fixed. If the resolution K at which we reconstruct is increased, we also need to increase Mand N. However, it may be possible to attain higher resolutions if some form of regularisation is used when solving (8).

As previously noted, the error term  $\varepsilon$  contains the measurement error as well as the last two terms in (7), which can be disregarded provided  $F-F_K$  and  $\delta_k$  are small or they lie in the span of the eigenfunctions corresponding to a small singular value. Thus, small  $\varepsilon$  requires that  $\{H_k\}_{k=1}^K$  and  $\{E_m\}_{m=1}^M$ form a good approximation for F or for the eigenfunctions with large singular values. However, if the singular values of the underlying integral operator accumulate at zero, the conditioning of the matrix **E** may become worse if the span of  $\{E_m\}_{m=1}^M$  includes too many eigenfunctions including those corresponding to a small singular value. Loosely speaking,  ${E_m}_{m=1}^{M}$  should form a good representation for the span of the eigenfunctions, excluding those corresponding to small singular values if they exist. However, as we do not have access to the true eigenfunctions, we do not have control over the ill-conditioning introduced by using a particular choice of  ${E_m}_{m=1}^{M}$ . Thus, the use of regularisation in solving (8) becomes crucial in order to obtain a robust solution.

# C. Reconstruction of vector-fields

We now extend the scalar-field reconstruction framework developed in Section II-B to the more general vector-field problem presented in Section II-A. To begin with, let  $\mathbf{F} :=$  $[F^h, F^v]^{\top} \stackrel{(1)}{\mapsto} \tilde{\mathbf{F}} := [\tilde{F}^h, \tilde{F}^v]^{\top}$  be the complex-vector-valued functions related as in equation (1), where the superscripts hand v correspond to the horizontal and vertical polarisations of the optical field, respectively. The goal is to recover both polarisations  $F^h$  and  $F^v$ , which are scalar-valued functions, by using data (2)–(3). Since each  $\tilde{F}^h$  and  $\tilde{F}^v$  depends on both  $F^h$  and  $F^v$ , instead of reconstructing each polarisation independently, we consider their joint reconstruction. As we will see in Section IV-A, the reconstruction of each individual polarisation can be improved if they are reconstructed jointly. Nevertheless, as it will be demonstrated below, we can still use the framework introduced in Section II-B, as long as the calibration inputs can form a representation system for vectorvalued functions such as F. To ensure this is the case, rather than straightforwardly sampling the vector-valued calibration inputs in (3), we sample the following two related forms

$$\mathbf{A}_{m} := \begin{bmatrix} E_{m}^{h} \\ E_{m}^{v} \end{bmatrix} \stackrel{(1)}{\mapsto} \tilde{\mathbf{A}}_{m} := \begin{bmatrix} \tilde{A}_{m}^{h} \\ \tilde{A}_{m}^{v} \end{bmatrix}, \ \mathbf{B}_{m} := \begin{bmatrix} E_{m}^{h} \\ b E_{m}^{v} \end{bmatrix} \stackrel{(1)}{\mapsto} \tilde{\mathbf{B}}_{m} := \begin{bmatrix} \tilde{B}_{m}^{h} \\ \tilde{B}_{m}^{v} \end{bmatrix}, \ (11)$$

where  $m = 1, \ldots, M$ ,  $b := e^{\beta i}$  for a fixed  $\beta \in (0, 2\pi)$  and  $E_m^h, E_m^v, \tilde{A}_m^h, \tilde{A}_m^v, \tilde{B}_m^h, \tilde{B}_m^v$  are some scalar-valued functions. To make clear the motivation to sample according to (11), observe that if  $\{E_m^h\}_{m=1}^M$  and  $\{E_m^v\}_{m=1}^M$  are representation systems for  $F^h$  and  $F^v$  respectively, then  $\{\mathbf{A}_m - \mathbf{B}_m\}_{m=1}^M$  and  $\{\mathbf{A}_m - b^* \mathbf{B}_m\}_{m=1}^M$  are representation systems for  $[0, F^v]^\top$  and  $[F^h, 0]^\top$ , respectively. In other words,

$$\left\{\mathbf{A}_m - \mathbf{B}_m, \ \mathbf{A}_m - b^* \mathbf{B}_m : \ m = 1, \dots, M\right\}$$
(12)

can be used to represent the complex vector-valued F.

Mimicking the reasoning of the previous subsection, we proceed by approximating  $F^h$  and  $F^v$  with respect to some desired representation systems  $\{H_k^h\}_{k=1}^K$  and  $\{H_k^v\}_{k=1}^K$ . Namely, we aim to recover  $F_K^h(\mathbf{x}) := \sum_{k=1}^K f_k^h H_k^h(\mathbf{x})$  and  $F_K^v(\mathbf{x}) := \sum_{k=1}^K f_k^v H_k^v(\mathbf{x})$ , where we first write these representations in terms of the calibration inputs, i.e.  $H_k^h(\mathbf{x}) = \sum_{m=1}^M h_{m,k}^h E_m^h(\mathbf{x}) + \delta_k^h(\mathbf{x})$  and  $H_k^v(\mathbf{x}) = \sum_{m=1}^M h_{m,k}^v E_m^v(\mathbf{x}) + \delta_k^v(\mathbf{x})$ , for some coefficients  $f_k^h, f_k^v, h_m^h, h_m^v \in \mathbb{C}$  and error terms  $\delta_k^h, \delta_k^v$ . It follows that

$$\mathbf{F}(\mathbf{x}) = \sum_{k=1}^{K} \sum_{m=1}^{M} f_k^h h_{m,k}^h \begin{bmatrix} E_m^h(\mathbf{x}) \\ 0 \end{bmatrix} + \sum_{k=1}^{K} \sum_{m=1}^{M} f_k^v h_{m,k}^v \begin{bmatrix} 0 \\ E_m^v(\mathbf{x}) \end{bmatrix} + \sum_{k=1}^{K} \begin{bmatrix} f_k^h \delta_k^h(\mathbf{x}) \\ f_k^v \delta_k^v(\mathbf{x}) \end{bmatrix} + \begin{bmatrix} F^h(\mathbf{x}) - F_K^h(\mathbf{x}) \\ F^v(\mathbf{x}) - F_K^v(\mathbf{x}) \end{bmatrix}.$$
(13)

Since  $\begin{bmatrix} E_m^h(\mathbf{x}), 0 \end{bmatrix}^{\top} = (1 - b^*)^{-1} (\mathbf{A}_m(\mathbf{x}) - b^* \mathbf{B}_m(\mathbf{x}))$  and  $\begin{bmatrix} 0, E_m^v(\mathbf{x}) \end{bmatrix}^{\top} = (1 - b)^{-1} (\mathbf{A}_m(\mathbf{x}) - \mathbf{B}_m(\mathbf{x}))$ , we obtain

$$\begin{split} \tilde{\mathbf{F}}(\cdot) \approx & \frac{1}{1-b^*} \sum_{k=1}^{K} \sum_{m=1}^{M} f_k^h h_{m,k}^h \left( \tilde{\mathbf{A}}_m(\cdot) - b^* \tilde{\mathbf{B}}_m(\cdot) \right) \\ &+ \frac{1}{1-b} \sum_{k=1}^{K} \sum_{m=1}^{M} f_k^v h_{m,k}^v \left( \tilde{\mathbf{A}}_m(\cdot) - \tilde{\mathbf{B}}_m(\cdot) \right), \end{split}$$

by applying (1) to (13), provided the two last terms in (13) are small or they become small after applying (1). By using the pointwise measurements from (2) and (11), this leads to

$$\mathbf{g} = \mathbf{E}\mathbf{H}\mathbf{f} + \boldsymbol{\varepsilon}, \quad \mathbf{E} := \begin{bmatrix} a^* \left(\mathbf{A} - b^*\mathbf{B}\right) & a \left(\mathbf{A} - \mathbf{B}\right) \end{bmatrix}, \quad (14)$$

where  $\mathbf{g} := [\tilde{F}^h(\mathbf{y}_1), \tilde{F}^v(\mathbf{y}_1), \dots, \tilde{F}^h(\mathbf{y}_N), \tilde{F}^v(\mathbf{y}_N)]^\top \in \mathbb{C}^{2N}$ ,  $\mathbf{f} := [f_1^h, \dots, f_K^h, f_1^v, \dots, f_K^v]^\top \in \mathbb{C}^{2K}, \boldsymbol{\varepsilon} \in \mathbb{C}^{2N}$  is the error term,  $a := 1/(1-b) \in \mathbb{C}$ ,  $\mathbf{A}, \mathbf{B} \in \mathbb{C}^{2N \times M}$  are defined as

$$\mathbf{A} := \begin{bmatrix} \tilde{A}_{1}^{h}(\mathbf{y}_{1}) & \dots & \tilde{A}_{M}^{h}(\mathbf{y}_{1}) \\ \tilde{A}_{1}^{v}(\mathbf{y}_{1}) & \dots & \tilde{A}_{M}^{v}(\mathbf{y}_{1}) \\ & \ddots & \\ \tilde{A}_{1}^{h}(\mathbf{y}_{N}) & \dots & \tilde{A}_{M}^{h}(\mathbf{y}_{N}) \\ \tilde{A}_{1}^{v}(\mathbf{y}_{N}) & \dots & \tilde{A}_{M}^{v}(\mathbf{y}_{N}) \end{bmatrix}, \mathbf{B} := \begin{bmatrix} \tilde{B}_{1}^{h}(\mathbf{y}_{1}) & \dots & \tilde{B}_{M}^{h}(\mathbf{y}_{1}) \\ \tilde{B}_{1}^{v}(\mathbf{y}_{1}) & \dots & \tilde{B}_{M}^{v}(\mathbf{y}_{1}) \\ & \ddots & \\ \tilde{B}_{1}^{h}(\mathbf{y}_{N}) & \dots & \tilde{B}_{M}^{h}(\mathbf{y}_{N}) \\ \tilde{B}_{1}^{v}(\mathbf{y}_{N}) & \dots & \tilde{B}_{M}^{v}(\mathbf{y}_{N}) \end{bmatrix},$$

and  $\mathbf{H} \in \mathbb{C}^{2M \times 2K}$  is a block-diagonal matrix diag $(\mathbf{H}^h, \mathbf{H}^v)$ , where  $\mathbf{H}^h \in \mathbb{C}^{M \times K}$  is such that its (m, k)-th entry is  $h_{m,k}^h$ and  $\mathbf{H}^v \in \mathbb{C}^{M \times K}$  is such that its (m, k)-th entry is  $h_{m,k}^v$ . We propose to solve the linear system (14) in a similar manner to that used in (9). Finally, once (14) is solved for the coefficients  $\mathbf{\bar{f}} = \begin{bmatrix} \bar{f}_1^h, \dots, \bar{f}_K^h, \bar{f}_1^v, \dots, \bar{f}_K^v \end{bmatrix}^\top \in \mathbb{C}^{2K}$ , we can define the reconstructions of  $F^h$  and  $F^v$  as  $\bar{F}_K^h(\cdot) :=$  $\sum_{m=1}^K \bar{f}_k^h H_k^h(\cdot)$  and  $\bar{F}_K^v(\cdot) := \sum_{m=1}^K \bar{f}_k^v H_k^v(\cdot)$ . Observe that using  $\ell_1$ -regularisation in this case imposes sparsity in the reconstructions  $\bar{F}_K^h$  and  $\bar{F}_K^v$  with respect to  $\{H_k^h\}_{k=1}^K$  and  $\{H_k^v\}_{k=1}^K$ , respectively. Also similarly as before, we note that matrices  $\mathbf{H}^h$  and  $\mathbf{H}^v$  are identities when the reconstruction functions  $H_k^h, H_k^v$  and the calibration functions  $E_m^h, E_m^v$  are the same, otherwise they can be computed approximately from the equations  $\langle H_k^h, E_{m'}^h \rangle \approx \sum_{m=1}^M h_{m,k}^h \langle E_m^h, E_{m'}^h \rangle$  and  $\langle H_k^v, E_{m'}^v \rangle \approx \sum_{m=1}^M h_{m,k}^v \langle E_m^v, E_{m'}^v \rangle, m' = 1, \dots, M$ . Finally, we note that in order to reduce the impact of noise it

Finally, we note that in order to reduce the impact of noise it may be possible to include measurements of additional phaseshifts of the calibration functions. In addition to the calibration inputs  $\mathbf{A}_m$  and  $\mathbf{B}_m$  in (11), it may also be possible to measure  $\mathbf{C}_m := \begin{bmatrix} E_m^h, c E_m^v \end{bmatrix}^\top \stackrel{(1)}{\mapsto} \tilde{\mathbf{C}}_m := \begin{bmatrix} \tilde{C}_m^h, \tilde{C}_m^v \end{bmatrix}^\top$ , where *c* is such that  $c \neq b$  and  $b + c \neq 2$ . Thus, rather than using (12), we can use  $\{a^* (\mathbf{A}_m - \frac{b^*}{2} \mathbf{B}_m - \frac{c^*}{2} \mathbf{C}_m), a(\mathbf{A}_m - \frac{1}{2} \mathbf{B}_m - \frac{1}{2} \mathbf{C}_m) : m =$  $1, \ldots, M\}$ , where a := 1/(1 - b/2 - c/2) is finite given that  $b + c \neq 2$ . We then proceed as above but in place of (14) get

$$\mathbf{g} = \mathbf{E}\mathbf{H}\mathbf{f} + \boldsymbol{\varepsilon}, \ \mathbf{E} := \left[ a^* \left( \mathbf{A} - \frac{b^*}{2} \mathbf{B} - \frac{c^*}{2} \mathbf{C} \right) \quad a \left( \mathbf{A} - \frac{1}{2} \mathbf{B} - \frac{1}{2} \mathbf{C} \right) \right],$$
(15)

where **E** now includes  $\mathbf{C} \in \mathbb{C}^{2N \times M}$  containing the outputs  $\tilde{\mathbf{C}}_m$ . As we will see in Section IV, augmenting the calibration data in such a way is indeed an effective manner to decrease the influence of the measurement noise on the reconstruction.



Fig. 1. Higher phase oscillations (larger  $\tau$ ) results in a slower decay of the Fourier coefficients (larger  $\sigma$ ).

# III. FOURIER COEFFICIENTS AS INFORMATIVE FEATURES

Since inhomogeneities on a cellular scale caused by cancer result in increased scattering of an optical field reflected from a tumourous tissue [21], it is expected that they also result in higher spatial frequencies of the corresponding optical field. Hence, we propose that by representing such optical fields in a Fourier basis and by inspecting the associated Fourier coefficients it is possible to detect the increased scattering and thereby gain insight into the disease status of the tissue.

In this section, we focus on the merits of the Fourier coefficients as indicative features of increased phase scattering. By using simulated data, we show how increased changes in phase result in a slower decay of the corresponding Fourier coefficients and how this effect can be quantified. In the next section, we confirm these findings on real biological data, where we use the framework developed in Section II to recover tissue images directly in a Fourier basis and demonstrate that the Fourier coefficients are indeed useful for detecting cancer.

# A. Fourier coefficients of a one-dimensional example

We first consider a simple 1D example to illustrate the effect of increased phase oscillations on the decay of the corresponding Fourier coefficients. We compute the Fourier coefficients of eight different functions  $F^{(j)}(x) = R(x) \exp(iP^{(j)}(x))$ , j = 1, ..., 8, with the same amplitude R but different phase  $P^{(j)}$  defined on the interval  $x \in I := [-1/2, 1/2]$ . For illustration purposes we take  $R(x) := \exp(-x^2)$  and  $P^{(j)}(x) := \tau^{(j)} \sin(20x)$ , where  $0 < \tau^{(1)} < \cdots < \tau^{(8)} < 2\pi$ , so that different phase functions exhibit different degrees of oscillations. These phase functions are shown in the first panel of Fig. 1. Since the Fourier basis on I is given by  $\{e^{2\pi i kx}\}_{k \in \mathbb{Z}}$ , for each  $F^{(j)}$  we compute its first 20 Fourier coefficients as

$$f_k^{(j)} := \int_I F^{(j)}(x) e^{-2\pi \mathrm{i}kx} \,\mathrm{d}x$$

where  $k = -10, \ldots, 9$ , and approximate its Fourier transform by the classical Whittaker–Shannon interpolation formula  $\sum_k f_k^{(j)} \operatorname{sinc}(w - k), w \in \mathbb{R}$ . The absolute value of the approximated Fourier transform for each j is shown in the second panel of Fig. 1. Finally, we quantify the decay of the Fourier coefficients by the standard deviation  $\sigma^{(j)}$  of a Gaussian function  $a^{(j)} \exp(-(w - c^{(j)})^2/(2(\sigma^{(j)})^2))$  fitted to the amplitude of the approximated Fourier transform on interval  $w \in [-10, 10)$ . The fitted Gaussian functions are

shown in the third panel of Fig. 1. From the fourth panel of Fig. 1, we observe that an increased magnitude of the phase oscillations  $\tau^{(j)}$  results in an increased standard deviation  $\sigma^{(j)}$ . It is important to note that although in this example the zeros of the different phase functions coincide, the same effect is observed even if this is not the case. Also, if the frequency of the phase oscillation is increased while their magnitude is kept constant, then  $\sigma^{(j)}$  would increase as well.

The takeaway message from this simple example is that representing a signal with respect to a Fourier basis is especially useful to identify variations in oscillating phase, and that the decay of the corresponding Fourier coefficients is sensitive to phase scattering in a manner that can be easily identified. As we will see in the remainder of the paper, these observations remain true also in higher dimensional practical examples.

# B. Fourier coefficients of simulated tissue images

We now generalise our observations to 2D functions. For this purpose, we create a model mimicking tissue samples with a different level of phase oscillations, which we then use to generate images and compute their Fourier coefficients.

In our model, we use randomness to achieve certain variability across different samples and two different parameters to control the degree of phase oscillations. In particular, our model corresponds to a function  $F(\mathbf{x}) := R(\mathbf{x}) \exp(iP(\mathbf{x}))$ ,  $\mathbf{x} \in S$ , where the original space-domain  $S := [-1/2, 1/2]^2$  is discretized into a  $700 \times 700$  grid, while R and P are chosen randomly as we now describe. The phase function  $P := P^{(\tau,\rho)}$ depends on two given parameters  $\tau$  and  $\rho$ , controlling the amplitude and the frequency of phase oscillations, respectively. To produce P, first  $800 \times 800$  pixel-values are chosen uniformly at random from [-1, 1], which are then filtered by using MATLAB's function 'imgaussfilt' with the smoothing parameter  $\rho$ . Following this step, only  $700 \times 700$  pixels are kept by removing 50 pixels from each boundary and such image is then rescaled so that all phase values are between  $[-\tau,\tau], \tau \in [0,\pi]$ . The amplitude R is selected as the sum of  $\exp(-50 \|\mathbf{x}\|_2^2)/1000$  and five additional Gaussian functions  $\exp(-\|\mathbf{x}-\mathbf{c}\|_2^2/d)/2000$  with randomly chosen c and d.

In Fig. 2 we demonstrate how changing phase parameters  $\tau$  and  $\rho$  while keeping amplitude fixed changes the decay of Fourier coefficients. Specifically, we use six values  $(\rho^{(j)}, \tau^{(j)}), j = 1, \ldots, 6$  to create six functions  $F^{(j)}$ , where  $0 < \tau^{(1)} < \cdots < \tau^{(6)} \leq \pi$  and  $0.025 < (\rho^{(1)})^{-1} < \cdots < (\rho^{(6)})^{-1} \leq 0.125$  are increasing logarithmically. Similarly to



the 1D example of Fig. 1, the decay of corresponding Fourier coefficients is measured by standard deviation of a Gaussian function  $a \exp(-(x_1 - c_1)^2/(2\sigma_1^2) - (x_2 - c_2)^2/(2\sigma_2^2))$  fitted to the absolute value of the Fourier transform approximated from the first  $20 \times 20$  Fourier coefficients, which are computed using the formula in (5). In Fig. 2, for each  $F^{(j)}$  we report the sum of the standard deviations  $\sigma_1^{(j)} + \sigma_2^{(j)}$  of the fitted Gaussian, thereby observing that increased phase oscillations, i.e. increased  $\tau^{(j)}/\rho^{(j)}$ , results in slower decay of the corresponding Fourier coefficients, i.e. larger  $\sigma_1^{(j)} + \sigma_2^{(j)}$ .

Next, in Fig. 3, for each  $(\rho^{(j)}, \tau^{(j)})$ ,  $j = 1, \ldots, 6$ , chosen as in Fig. 2, we generate 100 images using our model (with each image having a different phase and a different amplitude) and we report the value  $\sigma_1^{(j)} + \sigma_2^{(j)}$  of the fitted Gaussian. We observe the same trend in the decay of the Fourier coefficients in Fig. 3 as in Fig. 2, but now across 600 different images.





We note that the features extracted from Fourier coefficients as we described above have three additional useful properties. First, since the amplitude of the Fourier transform is invariant to the shifts of the corresponding complex function in its space-domain, the features that we extract are invariant to the shifts of the tissue images in their space-domain. Second, the quality of the recovered phase in the space-domain is dependent on a phase unwrapping procedure and is thus highly sensitive to noise, which means that phase may bear more information in the Fourier-domain than in the space-domain. Third, once the Fourier coefficients are recovered, each image can easily be represented in both the Fourier and the spacedomain, allowing for additional flexibility.

# IV. EXPERIMENTAL RESULTS

Having established the utility of Fourier coefficients in quantifying phase scattering in Section III, we now apply the reconstruction framework developed in Section II to measurements obtained experimentally by a fibre endoscope, which is described in the supplementary material available at the end of this file. In Section IV-A, we first demonstrate the recovery of a synthetic holographic image with a known ground-truth that can be used for validation. Next, in Section IV-B, we apply our method to biological images of tissue samples taken from mice and demonstrate that reconstruction with respect to a Fourier basis can be used as a diagnostic indicator of early tumorigenesis.

# A. Reconstruction of a synthetic holographic image

To demonstrate our reconstruction algorithm on experimental data we first reconstruct a synthetic holographic image, which was generated experimentally as explained in the supplementary material, Section 1.C. Since in this case we have access to the ground-truth image, we can visually assess the quality of our proposed imaging methodology. Specifically, using the raw output of the holographic image shown in



Fig. 4. (a): Amplitude and phase of the horizontal polarisation of the ground-truth synthetic holographic image at the distal end and the corresponding raw output at the proximal end. Due to space limitation, the vertical polarisation is presented in the supplementary material as Fig. 13. (b): Amplitude and phase of

(b). Aniphtude and phase of the horizontal polarisation of one calibration input ( $\mathbf{A}_m$  of Eq. (11)) at the distal end and at the proximal end. More information about the calibration inputs can be found in the supplementary material, Section 1.B.

Fig. 4(a), we test our general reconstruction framework in combination with different representation systems as well as different regularisation terms.

The MCF system is calibrated using input and output pairs as exemplified in Fig. 4(b). Given the localised confinement of light in MCF, for efficient calibration, several input and output calibration functions are evaluated in parallel. However, for the reconstruction, individual calibration functions are separated from the rest by evaluating each of them only over a circular region around the centre of the corresponding Gaussian-like spot. In particular, the calibration inputs in Fig. 4(b) are evaluated on a  $1200 \times 1200$  grid and translated to M = 936different locations across the input imaging plane. Each output is evaluated at N = 34973 pixels at the output imaging plane. Thus, considering the two polarisation states, the dimension of the system matrix in (15) is  $1872 \times 69946$ .

In Fig. 5, we recover the amplitude and the phase of the horizontal and vertical polarisations of the holographic image from raw endoscopic measurements using different inversion techniques while reconstructing with respect to the calibration coefficients. In particular, we solve (15) where  $\mathbf{H}^{h} = \mathbf{H}^{v} = \mathbf{I}$ , by inverting the linear system in four different ways:

- 1. the naive inversion  $\overline{\mathbf{f}} := \mathbf{E}^* \mathbf{g}$ , which corresponds to the principle of phase conjugation as it assumes  $\mathbf{E}^* \mathbf{E} = \mathbf{I}$ ,
- 2. the least-squares approach  $\bar{\mathbf{f}} := (\mathbf{E}^* \mathbf{E})^{-1} \mathbf{E}^* \mathbf{g}$ ,
- 3. the  $\ell_2$ -regularisation  $\bar{\mathbf{f}} := (\mathbf{E}^*\mathbf{E} + \lambda \mathbf{I})^{-1}\mathbf{E}^*\mathbf{g}$ , and,
- 4. the  $\ell_1$ -regularisation  $\mathbf{f} := \operatorname{argmin}_{\mathbf{f} \in \mathbb{C}^{2M}} \|\mathbf{g} \mathbf{E}\mathbf{f}\|_2 + \lambda \|\mathbf{f}\|_1$ , using the iterative solver [12].

Fig. 5 shows that  $\ell_1$ -regularisation performs well when compared to the other approaches. In fact, since this image is sparse with respect to the calibration inputs,  $\ell_1$  successfully removes significant noise while preserving the image details.

Next, we compare the proposed approach to its naive version, which reconstructs each polarisation separately and thereby excludes the interaction between different polarisations. Specifically, in the upper panels of Fig. 6, the horizontal polarisation  $F^h$  is reconstructed by solving a variant of eq. (15) for the unknown vector  $[f_1^h, \ldots, f_K^h]^{\top}$ , where only the samples of the horizontal polarisation  $\tilde{F}^h$  are considered

and the corresponding calibration measurements are  $E_m^h \mapsto a^*(\tilde{A}_m - \frac{b^*}{2}\tilde{B}_m - \frac{c^*}{2}\tilde{C}_m)$ . Similarly, the vertical polarisation  $F^v$  is reconstructed by solving another linear system, which accounts for the vertical polarisation only. By comparing these reconstructions to those in the lower panels of Fig. 6, we see that by reconstructing different polarisations jointly via (15), we improve the reconstruction of each individual polarisation.

Finally, in Fig. 7, we reconstruct the holographic image with respect to different representation systems, namely we solve (15) where both  $\mathbf{H}^h$  and  $\mathbf{H}^v$  correspond to a Fourier or a wavelet basis with cardinality K = 1024. Specifically, we choose both  $\{H_k^h\}_{k=1}^K$  and  $\{H_k^v\}_{k=1}^K$  to be

- (i) in the Fourier case,  $\{\exp(2\pi i(k_1x_1+k_2x_2)): k_1, k_2 = -\sqrt{K}/2, \dots, \sqrt{K}/2 1\}, \mathbf{x} = (x_1, x_2) \in [-1/2, 1/2]^2,$
- (ii) in the wavelet case, tensor-products of  $\sqrt{K}$  1D boundarycorrected Daubechies wavelets with four vanishing moments (DB4) from [19].

Fig. 7 shows that least-squares fails to give a useful estimate, conveying that it is crucial to use regularisation. Although least-squares could still be used when  $K \ll M$ , small K does not necessarily lead to a good approximation of the image, and so to achieve the desired resolution one would need to increase the number of calibration measurements M, which is undesirable as it would incur additional experimental time. Given that our holographic image is sparse with respect to compactly-supported wavelets,  $\ell_1$ -regularisation performs quite well in combination with DB4 even though K > M.

# B. Reconstruction and analysis of biological images

We now apply the methodology from Sections II–III to reconstruct and analyse images of biological tissues. We imaged ex vivo samples of mouse oesophagus from healthy controls and carcinogen treated animals with induced oesophageal tumours using the model presented in [5]. We used 3 control mice (6 healthy areas) and 6 mice with tumours (6 distinct lesions). Each sample was segmented into areas of healthy and lesion tissue using the technique of DAPI fluorescence imaging, which was validated in [5]. For more details on



Fig. 5. Reconstructed amplitude and phase (horizontal polarisation) of the holographic image from Fig. 4(a) with respect to the calibration functions such as those in Fig. 4(b), using naive, least-squares,  $\ell_2$ and  $\ell_1$  approaches. The regularisation parameter in the  $\ell_2$  and  $\ell_1$ -regularisation is  $\lambda = 0.3$ and  $\lambda = 0.267$ , respectively. The vertical polarisation is presented in the supplementary material, Fig. 14. The reconstruction time1 is under 1s for the naive inversion and the  $\ell_1$ regularisation, while it is around 4s for the least-squares and the  $\ell_2$ -regularisation.

Top row: each po-Fig. 6. larisation is reconstructed separately by solving a variant of eq. (15), where only one polarisation is considered at the time. Bottom row: the two polarisations are reconstructed jointly at the same time via (15). All linear systems are solved via  $\ell_1$ -regularisation, while the corresponding reconstructions via least squares are shown in the supplementary material, Fig. 15. The scale bar is the same as in Fig. 5.

preparation of tissue samples, we refer to the supplementary material, Section 1.D.

For clarity, we index different areas by n = 1, ..., 12, where the first six are healthy and the rest are lesions. Due to the limited field of view of the endoscope ( $\sim 200\mu$ m) relative to the sample size ( $\sim 2$ mm), each of the 12 areas produce 6–20 individual images corresponding to different parts of the same sample that may overlay by up to 15%. We thus also introduce index *i* to denote individual sub-images within a larger area on a given sample, so that each individual sample has index  $(n, i), n = 1, ..., 12, i = 1, ..., I_n$ , for  $I_n$  in the range 6–20.

Fig. 8 shows the reconstruction of the horizontal polarisation of one healthy image indexed as (1, 1) and one lesion image indexed as (7, 1), in both the space-domain and the Fourierdomain. Specifically, we reconstruct K = 400 Fourier coefficients per polarisation by solving (15) with  $\ell_1$ -regularisation. We then expand these coefficients with respect to Fourierexponentials to get images in the space-domain, and, with respect to sinc-functions to obtain images in the Fourierdomain. In the space-domain, we show the amplitude and the unwrapped phase of the reconstructed image, where for the unwrapping we used the efficient algorithm from [26]. In the Fourier domain, we show the amplitude of the reconstructed Fourier transform and the corresponding Gaussian fit, where we used the procedure explained in Section III. While the difference between the healthy and the lesion sample is not so apparent from the amplitude and phase in the space-domain, it becomes more pronounced in the Fourier domain; specifically, we observe that the Fourier coefficients decay slower in the lesion than in the healthy tissue, where the decay is quantified by the standard deviation of the fitted Gaussian. For reference, in Fig. 10 we also include the microscopic images of the same healthy and lesion regions as those shown in Fig. 8. Additional images across the data set can be found in the supplementary material, Fig. 17–18.

Finally, in Fig. 9 we perform a statistical test using all samples in the data set, which confirms that the standard deviation of the fitted Gaussians is an informative feature to distinguish between healthy and lesion tissues. In particular, for each individual sample (n, i) we compute  $\sigma_1^{(n,i)} + \sigma_2^{(n,i)}$  of the fitted

<sup>&</sup>lt;sup>1</sup>The reconstruction time is time needed to solve the corresponding linear system and produce amplitude and phase images for two polarisations and is computed as an average over 10 runs using Matlab on Intel(R) Core(TM) i5-4670 CPU @ 3.40GHz [4 CPUs] 3401 Mhz.



Fig. 7. Reconstructed images (horizontal polarisation) with respect to the different bases using two inversion approaches. We used  $32 \times 32$  Fourier exponentials / DB4 wavelets. In the  $\ell_1$ -regularisation  $\lambda = 0.25$  and the reconstruction time<sup>1</sup> is around 30s.





Fig. 9. Standard deviation  $\sigma_1^{(n)} + \sigma_2^{(n)}$ ,  $n = 1, \ldots, 12$ , of a Gaussian fitted to the amplitude of the Fourier transform of 6 healthy and 6 lesion mouse samples. In the bottom box-plot, prior to the t-test, a 2D feature corresponding to two different polarisations is rescaled by the mean and standard deviation of the total of 12 samples.

Fig. 8. Reconstructed images (horizontal polarisation) of healthy and lesion tissue with respect to K = 400Fourier coefficients by solving the linear system (15) with  $\ell_1$ -regularisation and  $\lambda = 0.25$ . The reconstruction time<sup>1</sup> is around 15s, while the time of the subsequent phase unwrapping and Gaussian-fitting is under 1s.

Gaussian. Then, for each tissue sample  $n = 1, \ldots, 12$ , we compute the average  $\sigma_1^{(n)} + \sigma_2^{(n)} := I_n^{-1} \sum_{i=1}^{I_n} (\sigma_1^{(n,i)} + \sigma_2^{(n,i)})$  and group them in a box-plot according to their class label 'healthy' or 'lesion', for each polarisation and for both polarisations combined. We also compute the *p*-value of Welch's t-test [52], showing the significant difference in the decay of Fourier coefficients between healthy and lesion samples.

We conclude that the degree to which the recovered Fourier coefficients decay, quantified by the standard deviation of the fitted Gaussian, is a feature with a discriminative power, which in the future, in conjunction with a larger data set, could be used to build an automated classifier to distinguish between healthy and lesion samples.

#### V. DISCUSSION AND FUTURE RESEARCH

The main contributions of this paper are two-fold. Firstly, we showed that a Fourier representation of the optical field reflected from a tissue yields a promising diagnostic indicator, using both simulated and experimental real-world data. Secondly, we provided a general reconstruction algorithm that through regularisation can stably recover such representation directly from the calibration measurements and the samples of the output field transmitted through a fibre, where the system used for calibration is allowed to be different and thus more efficient than a Fourier basis.

Nevertheless several open problems remain. One such problem relates to learning an 'optimal' dictionary (alternative



Fig. 10. Images obtained by three different microscope modalities: fluorescence, phase contrast and brightfield imaging. The central parts ( $\sim 200 \times 200 \mu m^2$ ) of the healthy and lesion regions correspond to the images reconstructed via the proposed method in Fig. 8. Fluorescence images with DAPI stain were used to determine the lesion vs. healthy regions. The phase contrast images show that phase information encodes scattering information in lesion areas, while the brightfield images show that under normal 'white light' used in the conventional endoscopes, features linked with lesions cannot easily be distinguished from healthy tissue.

to Fourier) as a means to minimise the classification error between healthy and lesion tissues, which would require a significantly larger number of biological samples to be tested. More importantly, further work is required to enable realtime imaging through fibre endoscopes operating in reflection in realistic clinical settings. Specifically, future research is needed to lift the time-independence assumption present in the kernel of the linear model (1), which in everyday clinical use varies across time with bending and temperature. In practice, the time-independence assumption means that the calibration measurements need to be taken often and under similar bending and temperature conditions as when sampling the output optical field, which is difficult to achieve in realistic clinical deployments unless using a rigid endoscope. The development of a clinically-feasible recovery procedure that accounts for significant fibre changes thus remains an important open problem.

# VI. ACKNOWLEDGEMENTS

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# Supplementary material for "Reconstruction of optical vector-fields with applications in endoscopic imaging"

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# I. EXPERIMENTAL SET-UP

Experimental measurements were obtained using the system shown in Fig. 11, which was introduced in [25]. The system uses two spatial light modulators (SLM) (PLUTO-NIR-015, Holoeye) combined with polarisation-diverse optics. SLM1 enables projection of holographically generated patterns to create the fibre calibration basis and sample illumination in arbitrary polarisation states. SLM2 enables imaging of amplitude, phase and polarisation state.

# A. Imaging fibre

It is important to note that the reconstruction framework proposed in this paper makes no assumptions on the type of fibre used. However, the fibre used in the experimental set-up is a multicore fibre (MCF) bundle (FIGH-06-350G, Fujikura) with 6000 cores, diameter  $\sim 350 \mu m$ , length  $\sim 2m$  and core spacing  $\sim 3.5 \mu m$ . Given this, there is a significant coupling between cores along the length of the fibre [57], which has the effect of 'blurring' that is characterized by a spatially variant point-spread function. Typically, fibre endoscopes use one of two broad categories of fibre: (i) multimode fibres (MMF), and (ii) multicore fibres (MCF). MMF is tinner  $(\sim 125 \mu m)$  and offers a significantly higher information density ( $\sim 10$ ) than MCF meaning higher resolution per unit area [56]. Though MCF is clearly wider than MMF, in absolute terms the multicore fibre is still very narrow in comparison to standard white light endoscopes that can be  $\sim 10$ mm. Even if only imaging channels are considered, the smallest available cameras (NanEye) are 1mm×1mm, significantly larger than the fibre used here. An important aspect of MCF is that it confines light in the transverse plane allowing some degree of uncorrected amplitude imaging. For this reason, MCF is widely used in commercial devices and many types are approved for clinical use, unlike MMF (e.g. the PolyScope from PolyDiagnost [58], [53]). Both types of fibre scramble

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phase and polarisation information in a manner sensitive to environmental changes and thus need to be calibrated to reconstruct these quantities.

# B. Calibration basis

The calibration basis is typically chosen based on two factors: ability to be experimentally realised, and orthogonality so that simple phase conjugation can be used. In our case, the MCF system was calibrated using inputs designed in the previous work [25], which comprise an array of broad, phaseflat, 2D Gaussian-like functions with compact support and are designed to enable highly parallelised calibration of an MCF system. Intuitively, the use of an array exploits the transverse optical power confinement of MCF (i.e. adjacent 'spots' will not interfere at the output facet) to enable parallelised calibration. This enables a significant speed-up over single-spot or plane-wave (Fourier) calibration bases. However, the complete basis set required for high resolution imaging consists of the array of spots translated in increments of the order of the fibrelet spacing  $(4.5\mu m)$  over the whole fibre facet (1.5mm). To be orthogonal, the translated Gaussian spots would require full-width half-maximum (FWHM)  $\ll 4.5\mu$ m. To generate such small spots a high numerical aperture lens is required but this limits the scanning range in which distortions are avoided and the so-called paraxial approximation holds. As a result, the calibration inputs used are non-orthogonal as the spots of FWHM  $10\mu m$  are translated in  $4.5\mu m$  steps.

# C. Synthesis of holographic image

To produce the ground truth holographic image used in Section 4.A. of the paper, a phase-only hologram was generated using a simulated annealing algorithm such that the 2D Fourier transform of this hologram matched the desired letter pattern "CRUK" [54]. This was then displayed on SLM1. A lens was placed one focal length away from SLM1 and the imaging fibre at one focal length from the lens, ensuring that the 2D Fourier transform of the phase-only hologram was recreated on the fibre (according to the principles of Fraunhofer diffraction). Additional steps were taken to correct aberrations introduced by misalignments and non-flatness of the SLM to ensure highly accurate reproduction [55]. However, as a remaining artifact of the 2D Fourier transform, there is background noise with spatial frequency of the order of the diffraction limit of the lens ( $\sim 4\mu$ m).

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Fig. 11. Experimental fibre endscope set-up. PBS - polarising beam splitter, SLM - spatial light modulator,  $\lambda/2$  - halfwaveplate, CCD - image sensor.

# D. Preparation and imaging of tissue samples

The tissue imaging is done *ex vivo* using the transmission mode set-up shown in Fig. 11. The tissue was prepared by peeling off a few cells thick ( $\sim 100\mu$ m) epithelial layer from a mouse oesophagus, fixing the tissue and then mounting it flat on a glass slide. The tissue measurements were obtained by moving the tissue while keeping the fibre fixed so that the calibration measurements stay valid during the imaging of one tissue area. In Fig. 12, we show images of one healthy and one lesion tissue area ( $\sim 200\mu$ m). In particular, Fig. 12 shows images obtained by fluorescence microscopy with a DAPI stain used to label the data. This method highlights areas of increased density of cell nuclei and has been validated as a model for identifying pre-cancerous lesions [5].



Fig. 12. DAPI florescence images of one healthy (left) and one lesion tissue area (right), with crossed spots corresponding to the regions imaged by the endoscope. The particular tissue area on the left is enumerated as n = 5 in our data set, and on the right as n = 11, leading to  $I_5 = 20$  and  $I_{11} = 11$  individual healthy and lesion endoscopic images, respectively.

# II. ADDITIONAL EXPERIMENTAL RESULTS

#### A. Vertical polarisation of a synthetic holographic image

To complement Fig. 4(a) and Fig. 5 of the paper, which show the horizontal polarisation only, here we also show the vertical polarisation. Namely, in Fig. 13 we show the vertical polarisation of the ground-truth of the synthetic holographic image and its corresponding raw output at the proximal end, and in Fig. 14 we show the reconstruction of the vertical polarisation using four different inversion techniques.

# B. Separate vs. joint reconstruction of different polarisations

Fig. 6 of the paper compares joint against independent reconstruction of different polarisations, using  $\ell_1$ -regularisation for the inversion of associated linear systems. In Fig. 15 we include the corresponding reconstructions via least-squares.

# C. Reconstruction with respect to different bases

To complement Fig. 7 of the paper, which shows the reconstruction of the synthetic holographic image with respect to different bases using least-squares and  $\ell_1$ -regularisation, we present Fig. 16 where the naive approach and  $\ell_2$ -regularisation are also used for such reconstruction.

#### D. Additional biological images

To complement Fig. 8 of the paper, which shows discriminative behaviour of the standard deviation of the fitted Gaussian between one particular pair of healthy and lesion sample, in Fig. 17 we demonstrate that this holds more generally throughout the data set. In particular, for the reconstructed image (n, i), n = 1, ..., 12, we show the amplitude of the Fourier transform in Fig. 17(a) and the associated Gaussian fit in Fig. 17(b). In Fig. 18, we also include the corresponding images obtained by three different microscopic modalities. The full data set used in our analysis is shown in the Supplementary Material of the reference [25] of the paper.

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Fig. 13. Amplitude and phase of the vertical polarisation of the ground-truth synthetic holographic image at the distal end and the corresponding raw output at the proximal end.

Fig. 14. Reconstructed amplitude and phase (vertical polarisation) of the holographic image with respect to the calibration functions, using naive, least-squares,  $\ell_2$  and  $\ell_1$  approaches. The regularisation parameter in the  $\ell_2$  and  $\ell_1$ regularisation is  $\lambda = 0.3$  and  $\lambda = 0.267$ , respectively.

Fig. 15. Same as Fig. 6 of the paper, but the corresponding linear systems are solved via least-squares.

Fig. 16. Reconstructed images (horizontal polarisation) with respect to the different bases using two inversion approaches. In particular, we used  $32 \times 32$  Fourier exponentials / DB4 wavelets. Regularisation parameter in the  $\ell_2$ -regularisation is  $\lambda = 10$  and its reconstruction time is around 1.5 min.





Fig. 17. (a): Amplitude of the Fourier transform of different healthy and lesion tissues, computed from the Fourier coefficients of the horizontal polarisation. (b): Gaussian function fitted to the amplitude of the Fourier transforms shown in (a). The scale bar is the same as in Fig. 7.



Fig. 18. (a) Fluorescence, (b) phase contrast and (c) brightfield images corresponding to those shown in Fig. 17. The scale bar is the same as in Fig. 10.