



# *Volitional modulation of higher-order visual cortex alters human perception*

Article

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1 **TITLE:**

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3 Volitional Modulation of Higher-order Visual Cortex Alters Human Perception

4

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6

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37 **Conflict of Interest: Nil**

38

39 **Abstract**

40

41 Can we change our perception by controlling our brain activation? Awareness  
42 during binocular rivalry is shaped by the alternating perception of different  
43 stimuli presented separately to each monocular view. We tested the possibility  
44 of causally influencing the likelihood of a stimulus entering awareness. To do  
45 this, participants were trained with neurofeedback, using realtime functional  
46 magnetic resonance imaging (rt-fMRI), to differentially modulate activation in  
47 stimulus-selective visual cortex representing each of the monocular images.  
48 Neurofeedback training led to altered bistable perception associated with  
49 activity changes in the trained regions. The degree to which training  
50 influenced perception predicted changes in grey and white matter volumes of  
51 these regions. Short-term intensive neurofeedback training therefore sculpted  
52 the dynamics of visual awareness, with associated plasticity in the human  
53 brain.

54

55 Word count 123 (150 max)

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59 **Highlights**

60

61 • Unconscious biasing of higher-order visual perception with realtime  
62 fMRI neurofeedback.

63 • Participants unknowingly modulated two brain regions to control  
64 feedback signal

65 • Short-term neurofeedback training over 3 days induced functional  
66 plasticity

67 • Neurofeedback may strengthen neural representations and alter prior  
68 expectations

69 • Potential avenue for behavioural shaping and therapeutic reduction of  
70 aberrant perception

71

72

73 **Introduction**

74

75 The ability to causally modify how we perceive the world has potential  
76 implications in health and disease. Altering perceptual biases, which may be  
77 conscious or unconscious, could modify pathological perception such as  
78 hallucinations, or provide a means of selective cognitive  
79 enhancement(Miranda et al., 2015). Such attempts to deliberately manipulate  
80 higher-order sensory perception have, until now, proven to be unsuccessful.  
81 For example, attempting to alter perception using mental imagery, a cognitive  
82 process which utilises similar neural substrates to perception(O'Craven and  
83 Kanwisher, 2000), does not increase the vividness of the imagery. Most  
84 importantly, mental imagery training has no effect on perception linked to the  
85 imagery strategy used during training, as demonstrated with binocular rivalry  
86 (BR) between images specifically associated with the mental imagery  
87 training(Rademaker and Pearson, 2012). BR is a unique perceptual  
88 phenomenon that has been used to provide a window into the unconscious  
89 and conscious processes underlying visual perception. It is produced by  
90 simultaneously presenting conflicting monocular stimuli to each eye.  
91 Paradoxically, the brain cannot form a stable image. Instead, each image  
92 randomly competes for exclusive perceptual dominance. Until now, producing  
93 unconscious shifts in higher-order perception by directly modifying brain  
94 function has proven to be unsuccessful.

95

96 Neurofeedback training using realtime functional magnetic resonance imaging  
97 (rt-fMRI) is an emerging technique which allows participants to control target

98 brain regions by voluntarily modulating online feedback of activity in those  
99 regions(Sitaram et al., 2016). Feedback is typically provided via a visual  
100 interface during concurrent MR scanning. Online modulation of the Blood  
101 Oxygen Level-Dependent (BOLD) signal using neurofeedback involves  
102 abstract cognitive strategies, as well as mental imagery that maybe explicitly  
103 linked to the brain region-of-interest (ROI). This approach can produce  
104 changes in behaviour through the functional modulation of trained brain  
105 regions, including low-order visual perception (e.g. grating orientation, colour)  
106 by modulating primary retinotopic cortex(Amano et al., 2016; Shibata et al.,  
107 2011), pain and craving by modulating anterior cingulate cortex(deCharms et  
108 al., 2004; Li et al., 2013), and motor function by modulating supplementary  
109 motor area and primary motor cortex(Blefari et al., 2015; Subramanian et al.,  
110 2011). We hypothesised that rt-fMRI neurofeedback might prove more  
111 powerful than previous approaches, such as mental imagery alone, in  
112 enabling participants to modify brain activity associated with higher-order  
113 visual perception, and consequently directly influence how they perceive the  
114 world.

115

116 To test this hypothesis, we trained human participants using mental imagery  
117 *combined* with neurofeedback to voluntarily control the difference in activation  
118 between two higher-order visual cortical regions (Fusiform Face Area, FFA  
119 and Parahippocampal Place area, PPA). The human FFA responds strongly  
120 to faces(Kanwisher et al., 1997; McCarthy et al., 1997), but not to other types  
121 of non-face stimuli, while the PPA responds to houses and places, but not  
122 faces(Epstein and Kanwisher, 1998). Further, both of these regions activate



123 during mental imagery of faces or places respectively, even in the absence of  
124 visual stimuli(O'Craven and Kanwisher, 2000). The differential response  
125 properties of these two regions enabled participants in the study to have a  
126 visually presented neurofeedback training signal that represented the  
127 difference in activation between the two regions i.e. a *differential signal*.

128

129 The use of a differential signal provided an internal control for global brain  
130 activation, and helped focus the training effect on the two selected brain  
131 regions in a manner that might not occur with mental imagery training only.  
132 We tested participants with a BR task, where they were exposed to rivalrous  
133 monocular face and house images, before and after neurofeedback training.  
134 During BR, participants are consciously aware of only one of the perceptual  
135 stimuli at a time, while the other stimulus is temporarily suppressed. The  
136 perceptual fluctuation is spontaneous and stochastic, with both top-down (i.e.  
137 cognitive modulation) and bottom-up (i.e. salience-based) processes being  
138 implicated(Dayan, 1998; Parker and Alais, 2007; Tong et al., 2006). In this  
139 study, the ensuing BR, where perception alternated spontaneously between  
140 each monocular view, provided a test of whether neurofeedback training had  
141 altered the likelihood of either stimuli entering awareness. We investigated  
142 whether any perceptual changes were associated with differences in brain  
143 activity and structure (see also Supplementary Materials).

144

145 To anticipate our findings, following neurofeedback training, there was a  
146 sustained influence on the perceptual dynamics of BR, suggesting functional  
147 plasticity. This effect was additionally observed when participants performed

148 concurrent modulation of brain activity during BR. Further, a multivariate  
149 analysis of changes in brain structure produced by neurofeedback training  
150 predicted changes in BR dynamics.  
151

152 **Methods**

153

154

155 **Main experiment:**

156

157 **Participants**

158

159 Ten neurologically normal adult volunteers (24–35 years of age; mean age 28  
160 years; 8 females) with normal or corrected-to-normal visual acuity participated  
161 in the experiment. Each participant gave written informed consent. The study  
162 was approved by the local ethics committee (UCL Ethics Committee code:  
163 09/H0716/14).

164

165 **Stimuli and Materials**

166

167 All visual stimuli were generated and displayed via scripts in MATLAB created  
168 with the Cogent 2000 toolbox ([http://www.vislab.ucl.ac.uk/cogent\\_2000.php](http://www.vislab.ucl.ac.uk/cogent_2000.php)),  
169 on a viewing screen with a visual angle of 23 degrees by 17 degrees, (30 x 26  
170 LCD projector (LT158; NEC). The mirror-mounted viewing screen was set on  
171 the top of the scanner bore (optical distance 52 cm). During the  
172 neurofeedback sessions, participants saw a fluctuating thermometer bar at  
173 the centre of the screen. During the BR sessions only, participants wore a pair  
174 of prism glasses. Additionally, a black cardboard divider was placed between  
175 the forehead and the screen to ensure that each eye could see one side of  
176 the screen only, and provide a stable base for fixation. Two identical box  
177 stimuli were displayed side-by-side on the monitor, each with a central white  
178 fixation cross (0.68 visual angle) and tile frame surround (11.78 visual angle),

179 upon a uniform grey background (background luminance  $\frac{1}{4}$  65 Cd/m<sup>2</sup>).  
180 Optimal perceptual fusion of the two box stimulus images was confirmed with  
181 the participant prior to commencing each BR session. Face or house stimuli  
182 were presented (20 exemplars each). Responses for durations were obtained  
183 via a pair of custom-built, MR-compatible, response boxes.

184

### 185 **FMRI Scanning**

186

187 Scanning was performed on a 3T Allegra head-only scanner (standard  
188 transmit-receive head coil). Functional data was acquired with a single-shot  
189 gradient echo planar imaging sequence (matrix size, 64x64; field of view,  
190 192x192mm; isotropic in-plane resolution, 3x3 mm; 32 slices with ascending  
191 acquisition; slice thickness, 2 mm; slice gap, 1 mm; echo time (TE), 30 ms;  
192 repetition time (TR), 1920 ms; flip angle, 90°; receiver bandwidth, 3551  
193 Hz/pixel). Although the nominal slice thickness was 2mm, the effective slice  
194 profile achieved in practice is typically larger such that the effective slice  
195 thickness is closer to 3mm. Allowing a gap additionally minimised any risk of  
196 saturation effects upon excitation of the subsequent slice (again due to  
197 imperfect slice profiles). This is particularly important in the case of ascending  
198 acquisition order, as used here. Ascending acquisition order was chosen to  
199 minimise the impact of any participant motion, which again could lead to  
200 saturation effects if the motion resulted in any part of the previously excited  
201 slice being re-excited in a time shorter than the TR.

202

203 Within each scanning session, double-echo fast, low-angle shot sequence

204 (FLASH) field maps (TE1, 10 ms; TE2, 12.46 ms; resolution, 3 x 3 x 2 mm;  
205 slice gap, 1 mm) were acquired and used to correct geometric distortions.

206

### 207 **High Resolution Structural Scans**

208

209 A whole brain high-resolution T1-weighted structural scan was performed  
210 before and after training. This was in addition to structural scans performed on  
211 each neurofeedback training day. The scan was a 3D-modified, driven  
212 equilibrium Fourier transform (MDEFT) scan (1mm isotropic resolution; matrix  
213 size, 256x240 mm; field of view, 256x240 mm; 176 sagittal partitions; TE, 2.4  
214 ms; TR, 7.92 ms; inversion time, 910 ms; flip angle, 15°; readout bandwidth,  
215 195 Hz/pixel; spin tagging in the neck with flip angle 160° to avoid flow  
216 artifacts for superposition of functional maps(Deichmann et al., 2004)).

217

### 218 **Realtime fMRI Set-up for Neurofeedback**

219

220 Turbo Brain Voyager(Goebel et al., 2006) was used, with custom realtime  
221 image export tools programmed in ICE VA25 (Siemens Healthcare)(Weiskopf  
222 et al., 2004), and custom MATLAB based scripts. Participants were shown  
223 visual representations of BOLD signal changes in brain regions previously  
224 identified with a functional localiser scan (i.e. target ROIs). Realtime data  
225 preprocessing encompassed 3D motion correction, smoothing, and  
226 incremental linear detrending of time series. The ROI time course(s) were  
227 extracted from the prescribed ROI masks, averaged and exported. Signal  
228 drift, spikes and high frequency noise were further removed in realtime from

229 the exported time courses with custom MATLAB scripts(Koush et al., 2012).  
230 The feedback signal (a ‘fluctuating’ thermometer bar) was displayed to the  
231 participants with a delay of 2 s from the acquisition of the image.

232

### 233 **Binocular Rivalry Set-up and Behavioural data acquisition**

234

235 Inside the scanner, participants, wearing custom-made prism glasses, were  
236 shown two stimuli equidistant from a central viewing screen divider. During  
237 the viewing blocks, a face stimulus and a house stimulus were presented in  
238 the left and right hemi-fields respectively. The stimuli were pseudorandomised  
239 with regards to which eye received the face or house stimuli. Each viewing  
240 block (40 s followed by rest 20 s) was performed with a new pair of stimuli  
241 from the pool of 20 stimuli. Six blocks were performed per session, for three  
242 sessions.

243

244 During the BR sessions, participants pressed one of three buttons to record  
245 their percept of ‘face’, ‘house’ or ‘mixed’. The participants were instructed to  
246 switch as accurately and rapidly as possible between the three possible  
247 button presses linked to the three percepts. This was the only instruction  
248 given during pre-training BR and post-training BR, which were identical save  
249 for being performed either side of neurofeedback training. Additional  
250 instructions were given for two further post-training BR conditions (see below,  
251

252 **Day 5: Post-training BR).**

253

254 Cumulative dominance durations were calculated, which were equal to the  
255 total amount of time each monocular stimulus was perceived, and averaged  
256 across blocks. The three percepts were then pooled as follows: (1) **strategy-**  
257 **related percept** e.g. face percept for the neurofeedback group advised to use  
258 face mental imagery ('Face' group) or house percept for the neurofeedback  
259 group advised to use house mental imagery ('House' group) (2) **strategy-**  
260 **unrelated percept**' e.g. house percept for the 'Face' group, face percept for  
261 the 'House' group); and (3) **'mixed percept'**.

262

### 263 **Experimental Outline**

264

265 The experiment was divided into multiple days, with each participant attending  
266 five consecutive scanning days (Figure 1). The participants were split into two  
267 groups, with five participants in the 'face' group and five participants in the  
268 'house' training group.

269

#### 270 **Day 1: Pre-training BR and Localiser**

271 A **Pre-training BR** scan was performed as described above for all  
272 participants. They then underwent a **functional localiser** scan to identify FFA  
273 and PPA regions (12 minutes, 16 blocks of face stimuli, 16 blocks of house  
274 stimuli, and 20 different exemplars per block). Each stimulus was presented  
275 for 600 ms (400 ms interstimulus interval). A one-back task was performed (3  
276 targets per block), requiring a button press upon detection of the same  
277 stimulus. Two contrasts were used; Houses vs. Faces and Faces vs. Houses.  
278 Using the Juelich histological atlas to provide an anatomical

279 landmarks(Eickhoff et al., 2006, 2005), voxel selection for the ROIs were  
280 defined along the ventral and lateral surfaces of the temporal lobe in proximity  
281 to the fusiform gyrus for FFA, and lateral to the collateral sulcus in the  
282 parahippocampal region for PPA respectively.

283

#### 284 **Day 2-4: Neurofeedback Sessions**

285 Each neurofeedback training day comprised three scanning sessions, each  
286 six blocks of 60 s with an 'upregulate' period (40 s) followed by 'rest' (20 s).

287 During an upregulation period, participants viewed a fluctuating red bar and a  
288 fixed horizontal black bar. The latter was placed towards the top of the screen,  
289 and the participants were asked to push the red bar above it. Participants  
290 were told that the fluctuating red bar was linked to their brain activity, and that  
291 they should drive the red bar up to the level of the black bar using a mental  
292 imagery strategy. They were advised to maintain the red bar at that level, for  
293 as long as possible, during the 'upregulate' period. Participants were told that  
294 there was a delay related to the training signal (produced by the  
295 hemodynamic response function, HRF) of approximately 6-8 s. During rest,  
296 participants were instructed to perform a mental arithmetic task (serial  
297 subtraction of 7 from 100).

298

#### 299 ***Controlling the Neurofeedback Training Signal***

300

301 Participants were pseudorandomised into two groups – a 'Face' group and a  
302 'House' group. Each group was instructed to use mental imagery strategies.  
303 They were given examples of what might work (Figure 1), although the



304 participants could use their own interpretation. Specific examples for the  
305 house group were ‘think about your house, or a building you are familiar with  
306 such as a school or church’, or ‘think about walking down the road looking at  
307 buildings’. Specific examples for the face group were ‘think of faces of people  
308 you know’, ‘think of celebrity faces’, or ‘think of memorable faces you have  
309 seen recently’. Both groups were instructed to pay close attention to the  
310 fluctuating red bar, and to find the best way of pushing the bar up for as much  
311 and as long as possible. Both groups were instructed to use whatever  
312 strategy worked best, including their own, and to vary the strategy to ensure  
313 continuous control of the fluctuating red bar.

314

315 Each group was unaware of the precise nature of their feedback signal.  
316 During neurofeedback training, the fluctuating red bar was driven by brain  
317 activity in which the signal from PPA was subtracted from FFA for the ‘Face’  
318 group, and the reverse subtraction (PPA – FFA) for the ‘House’ group.  
319 Participants were trained to modulate a *differential* training signal. Therefore,  
320 the ‘Face group’ learned to voluntarily increase the difference in BOLD  
321 between FFA and PPA. In contrast, the ‘House group’ learned to voluntarily  
322 increase the difference in BOLD between PPA and FFA.

323

324 For each group there was a *strategy-related ROI* (e.g. FFA for the Face group  
325 and a *strategy-unrelated ROI* (e.g. PPA for the Face group, and vice versa for  
326 the House group, Figure 2A).

327

328 **Day 5: Transfer Session**

329 After the final neurofeedback training session, there were two transfer  
330 sessions, each comprising six blocks. Each block lasted 60 s and consisted of  
331 an 'upregulate' period (40 s) followed by 'rest' (20 s). During upregulation,  
332 participants were required to drive their brain activity 'up', using the mental  
333 imagery strategies successfully used to drive the bar during neurofeedback  
334 training, but now in the absence of a feedback signal.

335

### 336 **Day 5: Post-training BR**

337 All participants then performed post-training BR, with the same set-up  
338 described for pre-training BR. Three different BR conditions were performed  
339 (2 sessions each) pseudorandomised and counterbalanced across all  
340 participants: (1) **Post-training BR**. The instruction was identical to the pre-  
341 training BR; (2) **Post-training BR with 'concurrent trained upregulation'**.  
342 Both groups were instructed to use their trained mental imagery strategies  
343 that had worked best during the training sessions while simultaneously  
344 performing BR; and (3) **Post-training BR with 'concurrent non-trained**  
345 **mental imagery'**. Participants were instructed to use mental imagery related  
346 to either houses if in the 'Face group', or faces if in the 'House group'. Mental  
347 imagery was to be performed while concurrently performing BR.

348

### 349 **Brain Imaging**

350

351 Functional data was analysed using SPM12 (<http://www.fil.ion.ucl.ac.uk/spm>).  
352 To allow for T1 equilibration the first five images of each session were  
353 discarded. Preprocessing involved bias correction, realignment of each EPI to  
354 the mean EPI, unwarping, and co-registration of the functional data to the

355 structural image. Normalisation was not performed, as initial analyses were  
356 performed in native space. Data was smoothed with a 6 mm FWHM Gaussian  
357 kernel and high-pass filtered (128s cut-off) to remove low-frequency noise,  
358 while at the same time preserving as many of the spontaneous fMRI  
359 fluctuations as possible(Cordes et al., 2001). Session-specific grand mean  
360 scaling was applied with no global normalisation.

361

### 362 **Offline ROI Analysis: *Fusiform Face Area and Parahippocampal Place*** 363 ***Area***

364

### 365 **Neurofeedback**

366 BOLD signals across the 9 training sessions (acquired on Days 2-4) were  
367 modeled using a GLM, with regressors for each of the 9 sessions. Boxcar  
368 functions were created for the six upregulation blocks, convolved with the  
369 canonical HRF. Six regressors for movement and a global constant were  
370 included. Beta values from the GLM were averaged across all the voxels in  
371 the ROI masks (FFA and PPA ROIs based on the functional localiser). Mean  
372 percentage signal change (PSC) was then calculated. For each participant,  
373 the differential mean PSC between the two ROIs (i.e. strategy-related ROI  
374 minus strategy-unrelated ROI) was calculated across sessions. From this, the  
375 average mean PSC across participants over the training was calculated.

376

### 377 **Transfer Sessions**

378 Two transfer sessions were performed, with participants performing six blocks  
379 of upregulation of brain activity as trained, but now in the absence of a

380 neurofeedback signal. In a similar manner to the neurofeedback sessions  
381 (see above), the differential mean PSC between the two ROIs (i.e. strategy-  
382 related ROI minus strategy-unrelated ROI) was calculated across sessions,  
383 and from this, the average mean PSC across participants over transfer was  
384 calculated.

385

### 386 **Binocular Rivalry**

387 Boxcar functions were created to model the onset of the BR block, convolved  
388 with the canonical HRF, for each BR condition. A GLM was performed at the  
389 single participant level. Beta values for each of the trained ROIs were  
390 averaged for each condition and adjusted for the global brain signal. Mean  
391 percentage signal change (PSC) was then calculated.

392

393 For inferential statistical analyses, SPSS 21 (IBM Corp. Armonk, USA) was  
394 used to perform ANOVAs and follow-up planned paired sample t-tests, which  
395 were two-tailed unless otherwise stated.

396

### 397 **Control Experiment- Mental Imagery:**

398

399

#### 400 **Experimental outline**

401

402 Ten different participants (age range = 22-39 years, mean age 30. years, 8  
403 females) were recruited for a control BR experiment. They viewed a Dell LCD  
404 monitor (width: 43.5 cm; resolution: 1600 900; refresh rate: 60 Hz) from a  
405 distance of 43 cm (fixed using a chin rest) through a mirror stereoscope. The  
406 stereoscope reflected the left and right sides of the screen into the

407 participants' left and right eyes, so that each eye was presented with only one  
408 of the two images (house or face). In order to ensure robust fusion of  
409 binocular images, prior to the start of BR task, fusion was achieved for each  
410 participant by slowly moving two grey squares from the edge toward the  
411 centre of the screen. At the beginning of this process the participants would  
412 see two squares. By the end of this process the participants would report  
413 when they were seeing one square. All testing took place in a darkened room.  
414 During the viewing blocks, a face stimulus and a house stimulus were  
415 presented in the left and right hemi-fields respectively. The stimuli were  
416 pseudorandomised with regards to which eye received the face or house  
417 stimuli. Each viewing block (40 s followed by rest 20 s) was performed with a  
418 new pair of stimuli from the pool of twenty stimuli. Six blocks were performed  
419 per session, for three sessions. Participants were instructed to indicate a  
420 perceptual shift only if the whole exemplar was perceived; any combination or  
421 'patchwork' percept regardless of the predominance of the exemplar category  
422 was reported as a 'mixed' percept. The participants were instructed to switch  
423 as accurately and rapidly as possible between three possible button presses  
424 linked to the three perceptual states (face percept, house percept, mixed  
425 percept). This resulted in measures of the cumulative duration of the percept  
426 throughout the BR measurement period.

427

428 BR was performed in this manner prior to and after 3 days of consecutive  
429 mental imagery training (see below).

430

431 **Mental Imagery Training over 3 Days**

432

433 Participants returned to perform mental imagery training. Participants were  
434 pseudorandomised into two equal groups, and were explicitly advised to use  
435 mental imagery strategies that involved faces ('Face group') or house/places  
436 ('House group'). Mental imagery was undertaken while viewing a LCD monitor  
437 screen with a fixed horizontal black bar. They were told to imagine pushing a  
438 bar above the fixed black bar, while performing their mental imagery  
439 strategies. Each mental imagery training session comprised three sessions,  
440 each including six blocks of 60 s with a 'perform mental imagery' period (40 s)  
441 followed by 'rest' (20 s).

442

#### 443 **Brain Structural Analysis**

444

445 The structural analysis was performed using Tensor Based Morphometry  
446 (TBM), an emerging computational analysis technique(Ceccarelli et al., 2009;  
447 Farbota et al., 2012; Li et al., 2009; Wang et al., 2013; Welch et al., 2013),  
448 which is better suited to studies with smaller participant samples. TBM  
449 enables longitudinal quantitative assessment by identifying regional structural  
450 differences from the gradients of the deformation fields that nonlinearly warp  
451 each individual image to the template.

452

453 For each participant, high-resolution T1 structural images were reoriented  
454 placing the anterior commissure at the MNI origin. Longitudinal nonlinear  
455 registration(Ashburner and Ridgway, 2012) was performed to align the two  
456 time-points (before and after training) to their within-subject average,

457 characterising the relative volumetric expansion or contraction (as the  
458 divergence of a velocity field) of each voxel in each time-point with respect to  
459 the average. The within-subject average images were then segmented to  
460 produce grey and white matter segmentations for each participant(Ashburner  
461 and Friston, 2005). These segmentations were nonlinearly aligned to their  
462 group-wise average using Dartel (Ashburner, 2007), and the final Dartel  
463 average template was affinely registered to MNI space. The resultant  
464 between-subject transformations were then used to spatially normalise the  
465 divergence maps of the velocity fields, which were finally smoothed with a  
466 6mm FWHM Gaussian kernel.

467

468 Divergence measures for each participant were then extracted within  
469 spherical ROIs for FFA and PPA (6 mm). The spheres were centered on  
470 coordinates that demonstrated the highest functional activity within the  
471 localiser ROIs across training. A t-test was then performed to establish if a  
472 specific brain region had changed significantly before versus after training.

473

#### 474 **Canonical Variate Analysis**

475

476 We used a Canonical Variate Analysis (CVA) to demonstrate that measures  
477 of change in brain activation and brain structure following neurofeedback  
478 training predicted changes in behavioural measures. Also known as a  
479 multivariate analysis of variance, or ManCova (Friston et al., 2014, 1995),  
480 CVA enables statistical inferences to be made about associations between  
481 the imaging data, and behavioural data that are distributed over variables. It

482 was chosen for analysis of this dataset because it can accommodate  
483 statistical dependencies between multivariate predictor variables (behavioural  
484 changes) and multivariate outcome variables (functional or structural  
485 measures). Neither the behavioural nor imaging data had to be examined in  
486 isolation, which had the advantage that distributed changes could be  
487 identified, while minimising the multiple comparisons problem. The  
488 behavioural changes for each participant was the change in dominance  
489 duration of each the three percepts (e.g. *strategy-related* percept, *strategy-*  
490 *unrelated* percept, *mixed* percept) between the pre-training BR condition and  
491 post-training BR (Figure S3), and between the pre-training BR condition and  
492 post-training BR with concurrent trained up-regulation (Figure S3). As the  
493 behavioural and structural measures were taken prior to and immediately after  
494 neurofeedback training, the functional measures for each participant were the  
495 change in the different signal between the first and the last training run (e.g.  
496 run 1 and run 9). The structural measures for each participant were the  
497 divergence measures for each ROI, FFA and PPA (6 mm).

498

499 The objective of the CVA was to find the linear combination of outcome  
500 variables that was best predicted by a linear mixture (contrast) of structural or  
501 functional components. The weights of these linear combinations are called  
502 canonical vectors. The canonical variates of the outcome and predictor  
503 variables are the expression of each canonical vector in each subject. Other  
504 quantities generated by the CVA include Bartlett's approximate chi-squared  
505 statistic for Wilks' Lambda and its associated significance, or p-value, which  
506 test for the significance of a linear mapping or correlation between the



507 canonical variates (in other words, if one or more pairs of canonical variates  
508 show a significant statistical dependency).

509

510

511

512

513 **Results**  
514

515 We first examined the effect of neurofeedback training on behaviour using  
516 three comparisons. We compared perceptual dynamics, specifically  
517 cumulative dominance durations, performed during BR before and after  
518 training. We then examined the effects of learned upregulation on BR by  
519 comparing pre-training BR versus post-training BR with concurrent ‘learned’  
520 upregulation of brain activity. For the final comparison, we examined the non-  
521 trained mental imagery on BR, by comparing perceptual dynamics during pre-  
522 training BR versus post-training BR with concurrent non-trained mental  
523 imagery. The effects of trained mental imagery were additionally examined  
524 separately – see Mental Imagery Control Experiment and Figure S1  
525 (Supplementary Materials).

526

527 As the durations of the three percepts were dependent on each other, a  
528 change in one percept occurred linked to changes in one or both of the other  
529 percepts.

530

531 **Within Condition Comparisons:**

532 ***Comparison 1. Pre-training BR vs. Post-training BR***

533 Comparing behavioural measures of pre-training BR and post-training BR  
534 indicated an effect of training (Figure 3). Paired t-tests revealed a significant  
535 reduction in the cumulative dominance durations (i.e. how long a percept type  
536 was perceived) for the strategy-unrelated percept ( $t(9)=2.88, p=0.02$ ), and a  
537 significant increase in mixed percept durations ( $t(9)=2.74, p=0.02$ ), with no

538 significant change in the dominance duration of the strategy-related percept  
539 ( $t(9)=0.46, p=0.66$ ).

540

541 ***Comparison 2. Pre-training BR vs. Post-training BR with Concurrent***  
542 ***Trained Upregulation***

543 Paired t-tests revealed a significant reduction in the duration of the strategy-  
544 unrelated percept ( $t(9)=4.76, p=0.001$ ), and a significant increase in the  
545 duration of the mixed percept ( $t(9)=2.68, p=0.03$ ). There was no significant  
546 change in the dominance duration of the strategy-related percept  
547 ( $t(9)=0.53, p=0.61$ ) (Figure 3). The changes in BR dynamics were similar to  
548 those observed with pre-training BR vs. post-training BR (Comparison 1).

549

550 ***Comparison 3. Pre-training BR vs. Post-training BR with concurrent***  
551 ***Non-trained Mental Imagery***

552 Paired t-tests indicated a significant reduction in the duration of the strategy-  
553 related percept ( $t(9)=2.41, p=0.04$ ), and a significant increase in the duration  
554 of the mixed percept ( $t(9)=2.68, p=0.03$ ). There was no significant change in  
555 the dominance duration of the strategy-unrelated percept ( $t(9)=0.12, p=1.74$ ).

556

557 We further examined differences between conditions.

558

559 **Between Condition Comparisons:**

560

561 ***1. Post-training BR vs. Post-training BR with Concurrent Trained***  
562 ***Upregulation (Comparison 1 vs. Comparison 2)***

563 There was a significantly greater reduction in the dominance duration of the  
564 strategy-unrelated percept ( $t(9)=2.40$ ,  $p=0.04$ ) in Comparison 2 as compared  
565 with Comparison 1 (Figure 3B). There were no other significant differences  
566 between the two comparisons (strategy-related percept:  $t(9)=0.95$ ,  $p=0.37$ ;  
567 mixed percept:  $t(9)=0.90$ ,  $p=0.39$ ).

568

569 ***2. Post-training BR vs. Post-training BR with Non-trained Mental Imagery***  
570 ***(Comparison 1 vs. Comparison 3)***

571 There was a significantly greater reduction in the dominance duration of the  
572 strategy-related percept ( $t(9)=3.12$ ,  $p=0.01$ ) (Figure 3B). There was also a  
573 significantly greater increase in the dominance duration of the mixed percept  
574 ( $t(9)=2.62$ ,  $p=0.03$ ). There were no significant changes in the strategy-  
575 unrelated percept ( $t(9)=0.09$ ,  $p=0.93$ )

576

577 ***3. Post-training BR with Concurrent Trained Upregulation vs. Post-***  
578 ***training BR with Non-trained Mental Imagery (Comparison 2 vs.***  
579 ***Comparison 3)***

580 There was a trend towards reduction in the dominance duration of the  
581 strategy-related percept ( $t(9)=2.23$ ,  $p=0.05$ ) in Comparison 3 as compared  
582 with Comparison 2 (Figure 3B). The other two comparisons were not  
583 significant (strategy-related percept:  $t(9)=0.95$ ,  $p=0.37$ ; mixed percept:  
584  $t(9)=1.1$ ,  $p=0.30$ ).

585

586 **Functional Changes during Neurofeedback Training**

587 To test if neurofeedback training resulted in progressive learning, we  
588 examined whether participants demonstrated increased control of the  
589 differential feedback signal over the three training days (Figure 2B). A  
590 repeated-measures ANOVA with a factor of training day (3 levels; Days 1-3)  
591 demonstrated a significant effect ( $F(2,16)= 3.74, p= 0.047$ ). Post-hoc t-tests  
592 demonstrated a significant increase in the differential signal from Day 2  
593 onwards, suggesting a learning effect (Day 1:  $t(9)=0.88, p= 0.40$ ; Day 2:  
594  $t(9)=3.27, p=0.001$ ; Day 3:  $t(8)=2.75, p=0.02$ ).

595

### 596 **Functional Changes during Transfer**

597 Following neurofeedback training and prior to BR, voluntary control of brain  
598 activation in the absence of neurofeedback was confirmed in a 'transfer  
599 session'. Differential BOLD activation (strategy-related ROI minus strategy-  
600 unrelated ROI) pooled across the two transfer sessions, revealed a significant  
601 effect ( $t(9)=2.38, p= 0.04$ ).

602

### 603 **Functional Changes during Binocular Rivalry**

604 We examined task-related BOLD signals in the trained ROIs (FFA and PPA)  
605 comparing pre-training BR with post-training BR. We observed significant  
606 reductions in BOLD signals in both the strategy-related ROI ( $t(9)= 3.43, p=$   
607  $0.007$ ) and strategy-unrelated ROI ( $t(9)= 2.26, p=0.04$ ), when comparing pre-  
608 training BR with post-training BR.

609

610 Comparing pre-training BR versus post-training BR with concurrent trained  
611 upregulation, there was a significant reduction in the activation level of the

612 strategy-unrelated ROI ( $t(9) = 2.48$ ,  $p = 0.03$ ). No significant change was noted  
613 for the strategy-related ROI ( $t(9) = 1.41$ ,  $p = 0.19$ ). We performed one-tailed t-  
614 tests as we had an *a priori* hypothesis that following neurofeedback training,  
615 participants should be able to increase the difference in BOLD activation  
616 between the two trained ROIs (Figure 4).

617

618 There were no significant changes for pre-training BR versus post-training BR  
619 with concurrent non-trained mental imagery (strategy related ROI:  $t(9) = 0.82$ ,  
620  $p = 0.44$ ; strategy unrelated ROI:  $t(9) = 0.83$ ,  $p = 0.43$ ).

621

#### 622 **Mental Imagery Control Experiment**

623

624 There was no evidence of significant changes in the cumulative dominance  
625 durations of any of the three percepts (strategy-related percept  $t(9) = 0.74$ ,  $p =$   
626  $0.48$ ; strategy-unrelated percept,  $t(9) = 1.00$ ,  $p = 0.34$ ; mixed percept,  $t(9) =$   
627  $2.00$ ,  $p = 0.07$ ).

628

#### 629 ***Between Group Comparisons with 'Mental Imagery' Control Group***

630 We performed an ANOVA with a within-subjects factor of percepts (*strategy-*  
631 *related percept, strategy-unrelated percept, mixed percept*) and a between-  
632 subjects factor of group (Group 1: neurofeedback, Group 2: mental imagery).

633 There was a main effect of percept ( $F(2,36) = 4.64$ ,  $p = 0.02$ ). There was no  
634 interaction ( $F(2,36) = 2.65$ ,  $p = 0.08$ ) between these two factors.

635

636 We performed a second ANOVA with a within-subjects factor of percepts  
637 (*strategy-related percept, strategy-unrelated percept, mixed percept*) and a  
638 between-subjects factor of group (Group 1: neurofeedback with concurrent-  
639 upregulation, Group 2: mental imagery). There was a main effect of percept  
640 ( $F(2,36)=6.68$ ,  $p=0.003$ ), and an interaction between percept and group  
641 ( $F(2,36)= 5.29$ ,  $p= 0.01$ ). Follow-up two-sample t-tests looking at changes in  
642 durations of the similar percepts showed a significant difference for the  
643 strategy-unrelated percept ( $t(9)= 2.35$ ,  $p= 0.04$ ), but not for strategy-related  
644 percept ( $t(9)= 1.04$ ,  $p= 0.32$ ) or the mixed percept, ( $t(9)= 2.00$ ,  $p= 0.08$ ).

645

646 We performed a further ANOVA with a within-subjects factor of percepts  
647 (*strategy-related percept, strategy-unrelated percept, mixed percept*) and a  
648 between-subjects factor of group (Group 1: neurofeedback with concurrent  
649 non-trained mental imagery, Group 2: mental imagery). There was a main  
650 effect of percept ( $F(2,36)= 6.70$ ,  $p= 0.003$ ), and an interaction between  
651 percept and group ( $F(2,36)= 3.63$ ,  $p= 0.04$ ). Follow-up two-sample t-tests  
652 looking at changes in durations of the similar percepts showed a significant  
653 difference for the mixed percept ( $t(9)= 2.79$ ,  $p= 0.02$ ), but not for strategy-  
654 related percept ( $t(9)= 1.00$ ,  $p= 0.86$ ) or the strategy-unrelated percept, ( $t(9)=$   
655  $0.29$ ,  $p= 1.14$ ).

656

657

658

659 **Results - Structural**

660

661 The results of the longitudinal non-rigid registration were used to determine  
662 volume changes in the ROIs by calculating the divergence of the velocity  
663 fields. One-sampled t-tests of these values were used to calculate if any  
664 significant structural changes had taken place as a result of neurofeedback  
665 training. They were not significant for both ROIs i.e. FFA ( $t(9) = 0.36, p > 0.05$ ),  
666 and PPA ( $t(9) = 0.46, p > 0.05$ ),

667

668 **Results – Canonical Variate analysis**

669

670 Plots for comparisons of combined measures in: (1) behaviour (dominance  
671 durations for the three perceptual reports) and functional (BOLD changes  
672 across training in FFA, PPA); and (2) behaviour and structural measures  
673 (measure of the volume changes in FFA and PPA following training) are  
674 presented in Figure S4, together with Bartlett's approximate chi-squared  
675 statistic for Wilks' Lambda and its p-value, for each comparison.

676

677 The participant neurofeedback training measures (i.e. differential BOLD brain  
678 activation) had a trend to being correlated with changes in BR behavioural  
679 dynamics as recorded during BR with concurrent trained upregulation of brain  
680 activation (compared with pre-training BR) (chi-squared value = 12.35,  $p =$   
681 0.05). Comparison of changes in the neurofeedback training measures with  
682 behavioural changes during 'simple BR' before and after training was non-  
683 significant (chi-square value = 11.43,  $p = 0.07$ ). Significant correlations were



684 noted between structural changes in both ROIs and the change in BR  
685 dynamics produced during concurrent trained upregulation of brain activation  
686 (chi-squared value = 19.64,  $p= 0.03$ ). Comparison of structural measures with  
687 behavioural measures during 'simple BR' before and after training was non-  
688 significant (chi-square value = 13.77,  $p= 0.09$ ).

689

690 Of note, the mapping weights obtained for the behavioural measures and the  
691 training-related BOLD measures were independent of the mapping weights  
692 obtained for the behavioural measures and the structural measures. This is  
693 because these multivariate mapping values were specific to the measures  
694 used in the comparisons. Finally, the interpretation of the mapping weights in  
695 relation to having a positive or negative value did not indicate a positive or  
696 negative change in the values (e.g. an increase or decrease in structural  
697 measures). Rather they represent a positive (or negative) contribution to the  
698 mapping between the multivariate predictor variable and the outcome  
699 variables.

700

701 **Discussion**

702  
703

704 Participants learned to differentially regulate the amplitude of BOLD activation  
705 in two higher-order visual brain regions, FFA and PPA. This was achieved in  
706 realtime, through volitional control using neurofeedback training with rt-fMRI.  
707 The use of a '*differential*' training signal was implemented by showing the  
708 participants a 'thermometer bar' whose size represented the difference in the  
709 mean BOLD signal between the two selected brain regions. By doing this, one  
710 of the brain regions acted as an internal control for the other, accounting for  
711 potential confounds produced by global changes in brain activation in  
712 response to effects such as arousal. Furthermore, specific behavioural effects  
713 linked with the direction of change of the differential training signal were  
714 obtained, providing a comparison of behavioural metrics for the training  
715 effect(Thibault et al., 2018). The effect on visual perception was examined  
716 with an independent BR task that employed stimuli specifically engaging  
717 these stimulus-selective brain regions (face stimuli for FFA, house stimuli for  
718 PPA). During BR, moment-to-moment stochastic alternations between two  
719 competing visual percepts are observed, while concurrent brain activity can  
720 be recorded and potentially manipulated(Blake et al., 2014; Blake and  
721 Logothetis, 2002).

722

723 In this study, a change in BR perceptual dynamics was observed following  
724 neurofeedback training. Perception of the stimulus linked to neurofeedback  
725 training was rendered more stable e.g. strategy-related percept, with a  
726 reduction in the perception of the *other* stimulus e.g. strategy-unrelated

727 percept. This behavioural change occurred when comparing pre-training BR  
728 with post-training BR, and additionally when participants performed post-  
729 training BR while concurrently performing learned ‘upregulation’ of brain  
730 activity. We compared pre-training ‘BR’ with three post-training BR conditions:  
731 ‘post-training BR’, ‘post-training BR with concurrent trained upregulation’, and  
732 ‘post-training BR with concurrent non-trained mental imagery’. The first  
733 comparison, examining changes during BR before and after neurofeedback  
734 training, showed altered BR dynamics; specifically a reduction in the  
735 cumulative dominance duration of the strategy-unrelated percept. These  
736 findings are important, as they show that neurofeedback training produced a  
737 behavioural effect that was: (1) counter-intuitive in that percept durations were  
738 not increased in line with the verbally instructed neurofeedback training  
739 strategy, which was initially expected. Rather, percept durations *not* linked to  
740 the neurofeedback training strategy (e.g. strategy-unrelated percept) were  
741 reduced; (2) aligned with a longstanding finding in the field, namely Levelt’s  
742 second proposition (discussed below); and (3) indicative of a lack of demand  
743 characteristics (see also Mental Imagery Control Experiment).

744

745 There was a significant reduction in the levels of activation in both ROIs,  
746 comparing pre-training BR versus post-training BR. This linked neuroimaging  
747 finding was unexpected, as the prediction from existing literature (Tong et al.,  
748 1998) is that BOLD activation levels in extrastriate visual areas will reflect  
749 dominance durations. The expected finding might have been that activation  
750 levels would be lower in the strategy-unrelated ROI. Our findings instead  
751 showed that both regions were affected by neurofeedback training, as we

752 expected given that participants trained on a differential signal involving both  
753 ROIs. Both ROIs demonstrated a reduction in activation, which may reflect an  
754 increase in neural efficiency as a result of more precise tuning of neural  
755 representations(Gimenez et al., 2014; Haler et al., 1992; Heinzl et al., 2014;  
756 Vartanian et al., 2013). The exact mechanisms underlying this gain are  
757 unknown, particularly in the context of neurofeedback training and thus  
758 warrants further study(Poldrack, 2015).

759

760 The purpose of the second comparison ('pre-training BR' versus 'post-training  
761 BR with concurrent upregulation') was to examine if there was an effect of  
762 concurrent trained modulation of brain activation on BR dynamics that was  
763 additive or different to the effect of neurofeedback training alone. We  
764 observed a change in BR dynamics that was similar and greater to that  
765 observed for pre-training BR vs. post-training BR, in that there was *more of a*  
766 *reduction* in the mean dominance duration of the strategy-unrelated percept.  
767 This confirmed that the effect of trained upregulation was directly aligned with  
768 the effect of neurofeedback training on BR dynamics. There was a decrease  
769 in the level of BOLD activation in the strategy-unrelated ROI only, with no  
770 significant change in the strategy-related ROI. Interestingly, these BOLD  
771 activation changes were the same as those observed during neurofeedback  
772 training (a reduction in activation levels of the strategy-unrelated ROI, Figure  
773 2B). This provides further evidence for a similar mechanism underlying the  
774 changes in BR dynamics following training and for those observed with  
775 concurrent trained upregulation. The counter-intuitive effect of training and up-  
776 regulation (during BR) on the brain activations in the two ROIs (i.e. opposite to

777 an *a priori* instruction and predicted direction of activation changes) is  
778 intriguing and worthy of further investigation (Abel et al., 2015; Bueichekú et  
779 al., 2016).

780 The third comparison ('pre-training BR' versus 'post-training BR with non-  
781 trained mental imagery') served to assess the impact of using a differential  
782 training signal, which was hypothesised to have an effect on both ROIs in all  
783 participants. It additionally helped reveal the role of *non-trained* mental  
784 imagery in the context of prior neurofeedback training. No significant change  
785 in brain activation in either ROI was observed. However, BR dynamics  
786 changed in a similar manner to the other two post-neurofeedback training BR  
787 conditions, with a significant reduction in the duration of the percept not linked  
788 to the training strategy used during training. This reduction was significant  
789 when comparing changes in perceptual dynamics across conditions. These  
790 behavioural findings would therefore suggest that neurofeedback training,  
791 despite the lack of a statistically significant BOLD effect, produced a more  
792 general effect on the neurobiology of the two trained ROIs. The exact nature  
793 of this effect may be complex, given that behavioural changes observed for  
794 this condition were opposite to the direction of neurofeedback training, but  
795 nonetheless sufficient to produce an effect e.g. 'House' group participants  
796 specifically underwent neurofeedback training with 'House-based' mental  
797 imagery strategies, and yet they generated changes in BR dynamics simply  
798 by using non-trained 'face' based mental imagery strategies during the  
799 performance of BR. These behavioural findings are different from Rademaker  
800 and Pearson's work, in which using mental imagery training *did not* produce  
801 training-related changes in BR dominance duration. Five successive days of

802 mental imagery training had no effect on BR, with no benefit being conferred  
803 by expending increased effort during mental imagery generation(Rademaker  
804 and Pearson, 2012). On the other hand, Rademaker and Pearson’s findings  
805 are in keeping with our own mental imagery control experiment, indicating the  
806 relevance of neurofeedback training. We conducted a behavioural control  
807 experiment in which a different group of participants performed BR before and  
808 after three consecutive days of mental imagery training, which was analogous  
809 to the neurofeedback training. The training was again explicitly linked to one  
810 of the two stimuli used in BR (face mental imagery for a ‘Face group’, house  
811 mental imagery for a ‘House group’). However there was no targeted training  
812 strategy for the brain, unlike with the neurofeedback-trained groups. No  
813 significant changes in dominance durations of any of the three percepts were  
814 observed.

815

816 Taken together, these results indicate that short-term intensive training over 3  
817 days on a neurofeedback BOLD signal produced by two brain regions,  
818 engages and alters the function and biology of *both* regions. This is  
819 specifically supported by the shift in perceptual dynamics during BR following  
820 neurofeedback training, and the activation changes observed in both ROIs  
821 (see Results: Comparison 1). It is further supported more broadly by the  
822 behavioural changes observed in all of the post-neurofeedback training BR  
823 conditions, which were not observed in the mental imagery control  
824 experiment. Habes et al.(Habes et al., 2016) have previously confirmed that  
825 although differential regulation of category-specific visual areas can be  
826 achieved after a single day of training, a linked change in BR dynamics was

827 not produced. We therefore suggest that in order for mental imagery to  
828 produce a change in perception, it must be linked with neurofeedback-led  
829 learning, conducted over a period of days. This may be attributable to the  
830 interposition of sleep with sequential daily training. Sleep has been directly  
831 linked with the offline processing necessary for the consolidation of  
832 neuroprosthetic learning(Gulati et al., 2014) and associated behavioural  
833 output(Gulati et al., 2017).

834

835 Mental imagery may be utilised for perceptual learning of low-level visual  
836 features, and to activate stimulus-selective cortical representations(O'Craven  
837 and Kanwisher, 2000; Tartaglia et al., 2009). Similarly, rt-fMRI neurofeedback  
838 together with implicit operant reinforcement has been used to unconsciously  
839 train patterns of activation in primary visual brain regions(Amano et al., 2016;  
840 Shibata et al., 2011) to produce perceptual and associative learning of low-  
841 level visual features such as colour and orientation. However, to-date neither  
842 approach has successfully yielded changes in higher-order visual perception.  
843 In this study, we show that coupling explicitly instructed mental imagery with  
844 rt-fMRI neurofeedback training of higher-order visual brain regions produces  
845 an unconscious and targeted shift in the perceptual processing of visual  
846 stimuli. This result is novel and significant in providing evidence for non-  
847 invasively manipulating higher-order brain function, potentially at the level of  
848 directly strengthening neural representations to alter higher-order  
849 perception(Fahle, 2002; Watanabe et al., 2002, 2001). From a mechanistic  
850 perspective, an interesting next step might be to test if unconsciously inducing  
851 specific patterns of brain activations related to category-specific stimuli will

852 produce linked shifts in perception in a similar manner to that observed in this  
853 study(Watanabe et al., 2017). This would provide more direct evidence of  
854 modulating neural representations.

855

856 The observed behavioural findings may constitute a neural analogue of  
857 Levelt's second proposition(Levelt, 1966), as applied to stimulus perception.  
858 The original proposition (see Supplementary Discussion) was based on the  
859 physical properties of visual stimuli. It was recently modified to indicate that  
860 *'increasing the difference in stimulus strength between the two eyes will*  
861 *primarily act to increase the average perceptual dominance duration of the*  
862 *stronger stimulus'*(Brascamp et al., 2015). Our work may provide evidence for  
863 a neural reformulation of BR. Participants were trained on a differential signal,  
864 rather than specifically training to increase the level of activation in the  
865 strategy-related ROI. During training, they appeared to reduce the level of  
866 activation in the strategy-unrelated ROI across the three days, while  
867 maintaining a fixed level of activation in the strategy-related ROI (Figure 2B).  
868 This difference in activation levels as a result of training was maintained when  
869 the participants undertook the transfer sessions, an assessment of  
870 upregulation in the absence of neurofeedback. The difference in ROI  
871 activation levels may have therefore led to a relative difference in the  
872 strengths of the neural representations linked to the visual stimulus  
873 categories. In keeping with this view, we observed a reduction in the mean  
874 dominance duration of the strategy-unrelated percept. This resulted in greater  
875 mean dominance durations of the strategy-related percept, corresponding to  
876 the ROI with the strengthened neural representation. On the basis of this, we



877 propose a possible neural analogue of the Levelt's modified second  
878 proposition as follows: *'increasing the difference in neural representation*  
879 *strengths between the two brain regions linked to the two monocular visual*  
880 *stimuli will primarily act to increase the average perceptual dominance of the*  
881 *percept linked to the stronger neural representation'*. The effect of this would  
882 be to produce unconscious perceptual biasing towards the strengthened  
883 percept. This mechanism for perceptual 'shaping'(Lange et al., 2018) may  
884 have real-world application in conditions requiring targeted enhancement of  
885 perception such as in threat detection(Miranda et al., 2015), or therapeutically  
886 to reduce unwanted or aberrant percepts(Taschereau-Dumouchel et al.,  
887 2018).

888

889 Several mechanisms have been put forward to explain the neural  
890 underpinnings of BR. Of note, known influences on visual perception such as  
891 priming and cueing have not been shown to produce changes in BR  
892 dominance durations (see also Supplementary Discussion). Neurofeedback  
893 with rt-fMRI provides the most direct means of testing neuronal function  
894 involved in processing visual stimuli. Using a hierarchical model of BR(Dayan,  
895 1998), it may be proposed that neurofeedback training of higher order brain  
896 regions strengthens neuronal representations linked to the processing of  
897 specific visual stimuli, leading to unconscious perceptual biasing. Preferential  
898 processing of strategy-related stimuli would result in decreased dominance  
899 durations of the strategy-unrelated stimuli, as was observed here. The effect  
900 of neurofeedback on BR may be further considered within a Bayesian  
901 framework(Lange et al., 2018). During BR, the dominant percept at any given

902 time is maintained by the highest posterior probability, at the top of the cortical  
903 hierarchy. Stimulus representations at lower levels generate error signals that  
904 are compared with top-down predictions. The percept is rendered more or  
905 less stable in relation to bottom-up inhibition i.e. the lower the error signal, the  
906 more stable the percept(Alink et al., 2010; Hohwy et al., 2008; Summerfield  
907 and Koechlin, 2008). In keeping with this, BR dynamics were shifted in the  
908 direction of the information represented in the trained visual brain regions.  
909 Therefore, perception of the stimulus linked to training was rendered more  
910 stable, with a simultaneous reduction in the stability of the perception of the  
911 other stimulus, leading to a reduction in its mean dominance duration.

912 The changes in high-level visual perception following neurofeedback training  
913 in this study were associated with structural changes in the trained regions  
914 (see Supplementary Materials). We used a multivariate analysis technique,  
915 Canonical Variate Analysis, which can accommodate multiple measures of  
916 behaviour, structure, and function to help determine the overarching effect of  
917 neurofeedback training. The change in BR dynamics (i.e. cumulative  
918 dominance durations) was linked with measures of structural changes in FFA,  
919 and PPA (Figure S3, Supplementary Materials). These preliminary findings in  
920 ten participants suggest that neurofeedback training, even over a relatively  
921 short period of time (3 days) can alter perception as a result of plasticity in the  
922 trained brain regions(Johansen-Berg et al., 2012; Sagi et al., 2012).

923

924 In this study, we provide a direct demonstration of the rapid changes in  
925 perception and neural plasticity that can be produced by neurofeedback  
926 training of higher-order visual areas using rt-fMRI. Imagery-related activation

927 in higher-order visual cortex, such as the ventral visual areas, are related to  
928 semantic content, and are more flexible and abstract(Orban et al., 2014) as  
929 compared to early visual cortex. Therefore, the use of higher-order visual  
930 areas paired with rt-fMRI neurofeedback training may provide the most potent  
931 and generalizable means of enacting a change on complex perception. Neural  
932 representations that give rise to prior expectations can be directly shifted in  
933 the direction of neurofeedback training, even in the presence of pre-existing  
934 expectations. This could lead to targeted enhancement of specific responses  
935 during discrete tasks as demonstrated here using BR, or in the reduction of  
936 aberrant visual perception, such as hallucinations, for therapeutic  
937 effect(Lange et al., 2018).

938

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940  
941

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1168 **Author contributions**

1169 J.E, F.S, and G.R designed the experiment. J.E, J.S.W, G.R.R, F.S and G.R  
1170 discussed and planned data analysis. J.E collected and analysed the data.

1171 J.E, Y.K, G.R.R and F.S. developed the real-time acquisition and analysis  
1172 tools. J.E and E.F performed the mental imagery control experiment. A.R  
1173 performed the CVA analysis. J.E and G.R wrote the paper. All authors read  
1174 and commented on the manuscript.

1175

1176 **Figure legends**

1177 **Figure 1. Experiment procedure schematic.**

1178 **Stage 1 *Pre-training BR***

1179 **Stage 2 *Neurofeedback training*: 10 participants were separated into two**  
1180 **groups, a ‘face’ group and a ‘house group’, and were trained to increase a**  
1181 **fluctuating thermometer bar (blue bar), up to a fixed mark (orange bar). After**  
1182 **the neurofeedback training sessions, the participants performed a transfer**  
1183 **session with brain modulation in the absence of neurofeedback signal.**

1184 **Stage 3 *Post-training BR*: Three types of sessions: a) BR; b) BR with**  
1185 **‘concurrent trained upregulation’; and c) BR with ‘concurrent non-trained**  
1186 **mental imagery’.**

1187

1188 **Figure 2A. Schematic showing group ROIs (FFA and PPA statistical masks) on**  
1189 **inflated canonical brains. Activation was extracted from these regions for**  
1190 **production of the differential signal for neurofeedback training. The direction**  
1191 **of regulation of these ROIs was specific for each group i.e. House Group, PPA**  
1192 **up/ FFA down, Face Group, FFA up/ PPA down.**

1193

1194 **Figure 2B. Mean BOLD signal changes across groups, in the strategy-related**  
1195 **ROI (red) and the strategy-unrelated ROI (blue), for each of the nine training**  
1196 **sessions. The green line shows the difference in mean BOLD activation**  
1197 **between the two brain regions and corresponds to the neurofeedback training**  
1198 **signal that participants visualised in the scanner as a fluctuating bar. Error**  
1199 **bars show  $\pm 1$ SEM.**

1200

1201



1202 **Figure 3A. Cumulative dominance durations across participants for pre-**  
1203 **training BR, and the three post-training BR sessions: Post-training, Post-**  
1204 **training BR with concurrent trained upregulation, and Post-training BR with**  
1205 **concurrent non-trained mental imagery. Error bars show  $\pm 1$ SEM. The total**  
1206 **duration of each BR block was 40s.**  
1207

1208 **Figure 3B. Changes in cumulative dominance durations for binocular rivalry**  
1209 **(BR) sessions, showing comparisons before and after neurofeedback training**  
1210 **collapsed across both groups. Error bars indicate  $\pm 1$ SEM**

1211

1212 **A. Pre/post training BR comparison**

1213 **B. Pre/post-training BR with concurrent training upregulation**

1214 **C. Pre/post-training BR with concurrent non-trained mental imagery**

1215

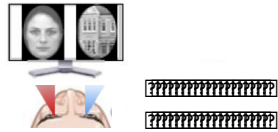
1216 **\* $p < 0.05$ . Double \*\* $p < 0.01$ . Horizontal brackets indicate significant differences in**  
1217 **the changes of cumulative dominance durations ( $p < 0.05$ ) ~ over a bracket**  
1218 **indicates  $p = 0.07$ .**

1219

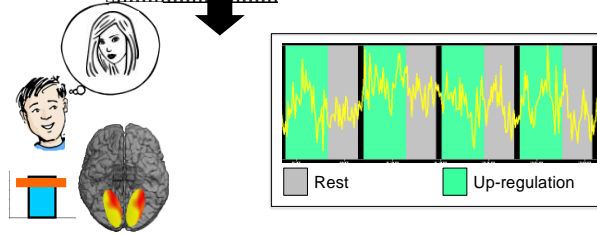
1220

1221 **Figure 4. BOLD activation changes in the trained ROIs, during binocular rivalry**  
1222 **(BR) sessions, before and after neurofeedback training. There was a significant**  
1223 **reduction in activation in both the strategy-related ROI and the strategy-**  
1224 **unrelated ROI following training. When BR was performed with concurrent**  
1225 **trained up-regulation, there was a significant further decrease in BOLD**  
1226 **activation in the strategy-unrelated ROI only. Error bars indicate  $\pm 1$ SEM. (\***  
1227  **$p < 0.05$ ).**  
1228

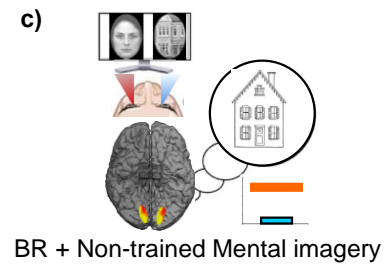
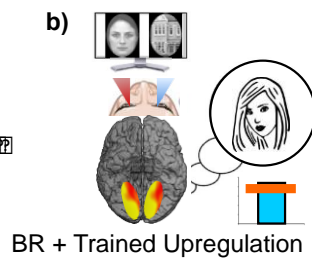
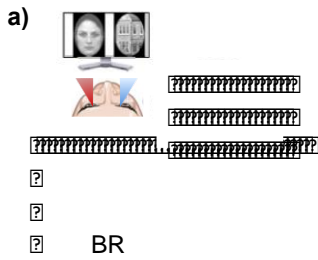
**1. Pre-training BR**



**2. Neurofeedback training**  
 2 groups of 5 participants:  
 -FFA minus PPA signal  
 'face' strategies  
 -PPA minus FFA signal  
 'house' strategies



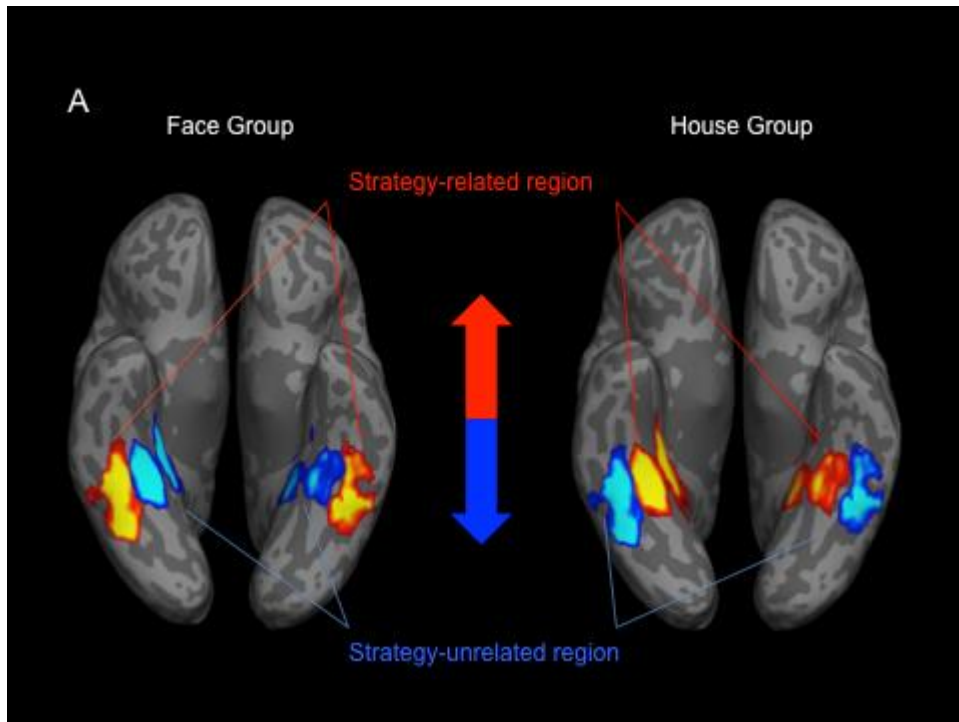
**3. Post-training BR**



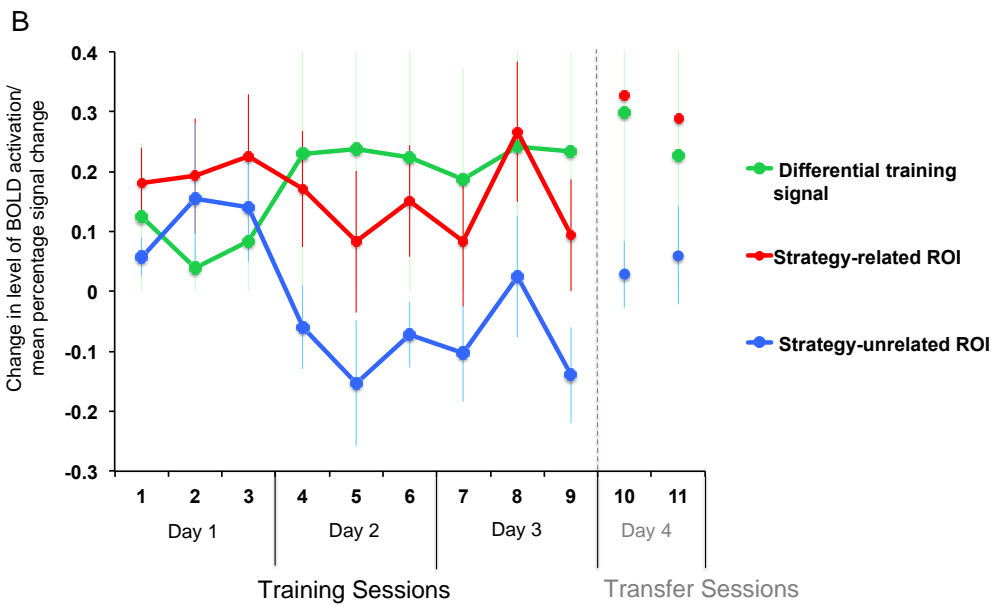
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**Figure 1**

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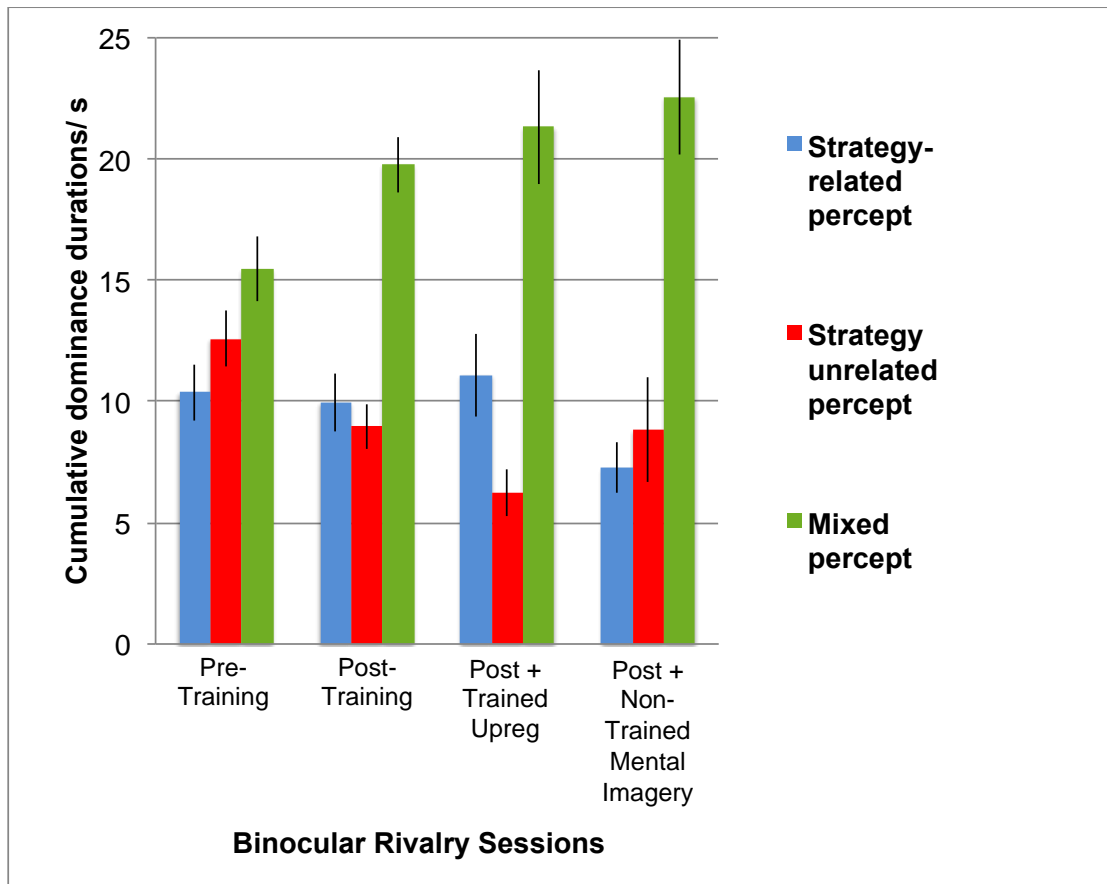


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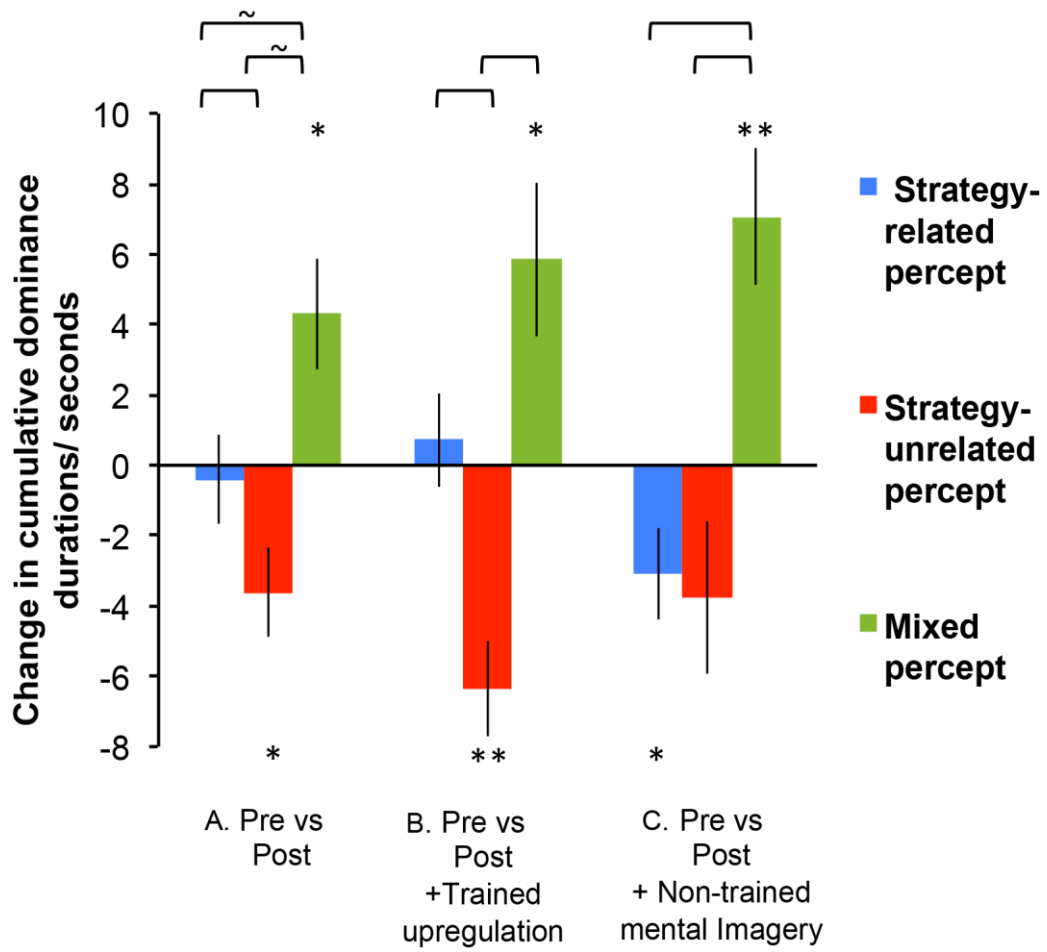
Figure 2A and B.



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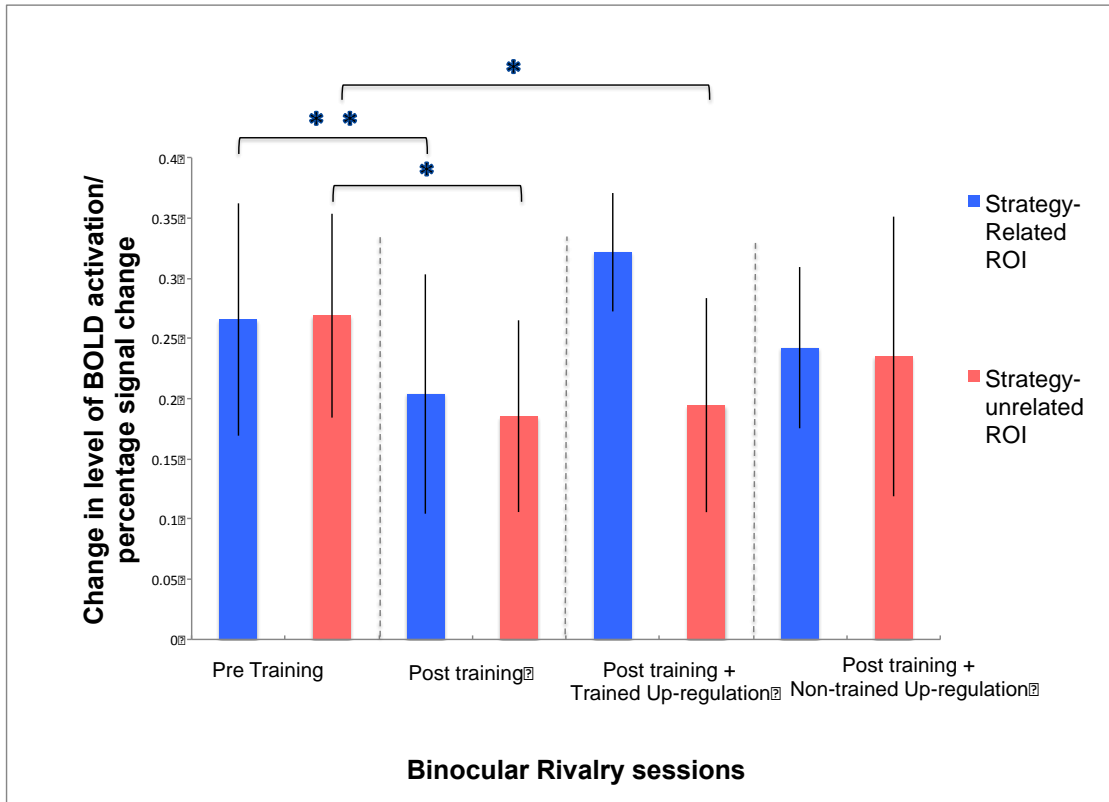
Figure 3A.



**Binocular Rivalry Sessions Comparison**

1240  
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 1244

Figure 3B.



1245  
 1246  
 1247  
 1248

Figure 4.



1249 **Supplementary Materials List:**

- 1250 • Supplementary methods
- 1251 • Supplementary results
- 1252 • Supplementary discussion
- 1253 • Supplementary references
- 1254 • Supplementary figures S1-S4

1255

1256

1257 **Supplementary Methods**

1258

1259 **Learning Effect across Rt-fMRI Neurofeedback Training**

1260

1261 The learning effect measures the change in BOLD activation in trained brain  
1262 region/s across the neurofeedback training sessions. The mean percentage  
1263 signal change (PSC) for each training run and ROI was calculated and plotted  
1264 (seeFigure2B in main paper, and compare with S1, S2).

1265

1266

1267

1268

1269 **Supplementary Results**

1270 **Results - Behaviour**

1271

1272 **Binocular Rivalry – Durations:**

1273 See main paper.

1274

1275 **Results – Imaging**

1276

1277 ***Strategy-related and Strategy-unrelated ROIs:***

1278 We first determined if the differential signal significantly changed over days  
1279 across participants (see main paper, Result Section). We additionally  
1280 examined the changes in the two ROIs used to produce the differential signal;  
1281 the strategy-related ROI and the strategy-unrelated ROI (see Figure 2, main  
1282 paper).

1283

1284 A one-way ANOVA (with 3 levels corresponding to the 3 training days)  
1285 revealed a significant reduction in activation in the strategy-unrelated ROI  
1286 over the 3 days of training ( $F(2,16)= 8.71, p= 0.003$ ). On the other hand, a  
1287 one-way ANOVA for the strategy-related ROI revealed no significant change  
1288 ( $F(2,16)= 0.33, p= 0.72$ ).

1289

1290 ***Sub-groups:***

1291 To assess whether there was any difference between the face and house  
1292 group during training, an ANOVA was performed on the differential training  
1293 signal across the 3 training days, with a between-subjects factor with two

1294 levels (for the two sub-groups, 'Face' and 'House'). This did not reveal a  
1295 significant interaction ( $F(2,14)=0.064$ ,  $p=0.94$ ) between the two factors.

1296

1297 For neurofeedback training graphs for the two groups (mean percentage  
1298 signal change over 9 sessions), please see Figures S2 and S3.

1299

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1301

1302 **Supplementary Discussion**

1303

1304 **Levelt's Second Proposition, 1966**

1305 Levelt's second proposition(Levelt, 1966), as applied to stimulus perception  
1306 was based on the physical properties of visual stimuli and states: "*Variation of*  
1307 *the stimulus strength in one eye will only influence the mean dominance*  
1308 *duration of the contralateral eye and not the mean dominance duration of the*  
1309 *ipsilateral eye*".

1310

1311 **Known Influences on Visual Perception**

1312 The role of 'priming' and 'cueing' might also be invoked as possible causes for  
1313 the perceptual changes observed following neurofeedback training in this  
1314 study. Prior presentation of a specific orientation grating can cause an  
1315 increase in the perception of the identical grating during BR. However,  
1316 dominance durations were unchanged(Denison et al., 2011). Similarly,  
1317 exogenous cueing prior to BR can increase the probability of the predominant  
1318 percept being linked to the cue. For example prior to BR, hearing sentences  
1319 with the word 'face', results in FFA activation(Pelekanos et al., 2011).  
1320 Nonetheless, no significant change in stimulus dominance between faces and  
1321 houses on rivalry trials were observed when participants were cued with a  
1322 word linked to one of the rivalrous stimuli. Dominance durations have also  
1323 been demonstrated as being immune to the effects of volitional attention(Jung  
1324 et al., 2016), and reflective of true differences in sensory processing(Dieter et  
1325 al., 2016). It is therefore unlikely that the perceptual changes produced by  
1326 neurofeedback training could be ascribed to participant expectation. Evidently,

1327 neither altering the level of activity in higher order brain regions involved in  
1328 perception, nor applying known influences on visual perception, provide a  
1329 comprehensive explanation for the lasting shifts in perceptual bistability  
1330 observed following neurofeedback training in this study.

1331

### 1332 **Controlling the Neurofeedback Signal**

1333 With regards to the neurofeedback training signal itself (i.e. differential brain  
1334 activation between two ROIs), there were five potential activation states which  
1335 could increase the difference between the two brain regions (*strategy-related*  
1336 *ROI minus strategy-unrelated ROI*), leading to upregulation of the training  
1337 signal: These could be: (1) an increase in *strategy-related ROI*; (2) a decrease  
1338 in *strategy-unrelated ROI*; (3) a combination of the two; (4) a relatively greater  
1339 increase in *strategy-related ROI* as compared to *strategy-unrelated ROI*; and  
1340 (5) a relatively greater decrease in the *strategy-unrelated ROI*. Based on our  
1341 results (Figure 1B in main paper), the mechanism for the upregulation of the  
1342 differential signal across groups during neurofeedback training appeared to be  
1343 produced by maintenance of activation in the strategy-related ROI, and a  
1344 reduction of activation in the strategy-unrelated ROI.

1345

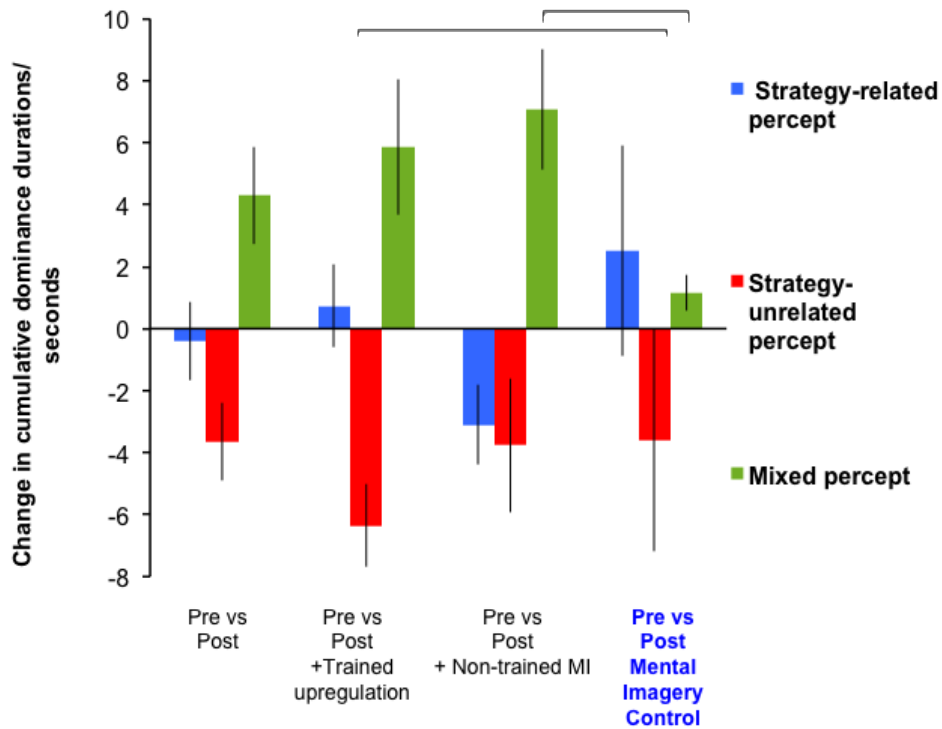
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1350 **Supplementary figures**



**Binocular Rivalry Sessions and Groups Comparison**

1351

1352 **Figure S1. Changes in cumulative dominance durations for binocular rivalry**  
 1353 **sessions, showing comparisons before and after neurofeedback training. This**  
 1354 **figure is the analogous to Figure 3B in the main paper, but additionally shows**  
 1355 **changes in dominance durations for the ‘Mental Imagery’ control group. Error**  
 1356 **bars indicate  $\pm 1$ SEM. Horizontal brackets show significant between group**  
 1357 **comparisons for percepts ( $p < 0.05$ ).**

1358

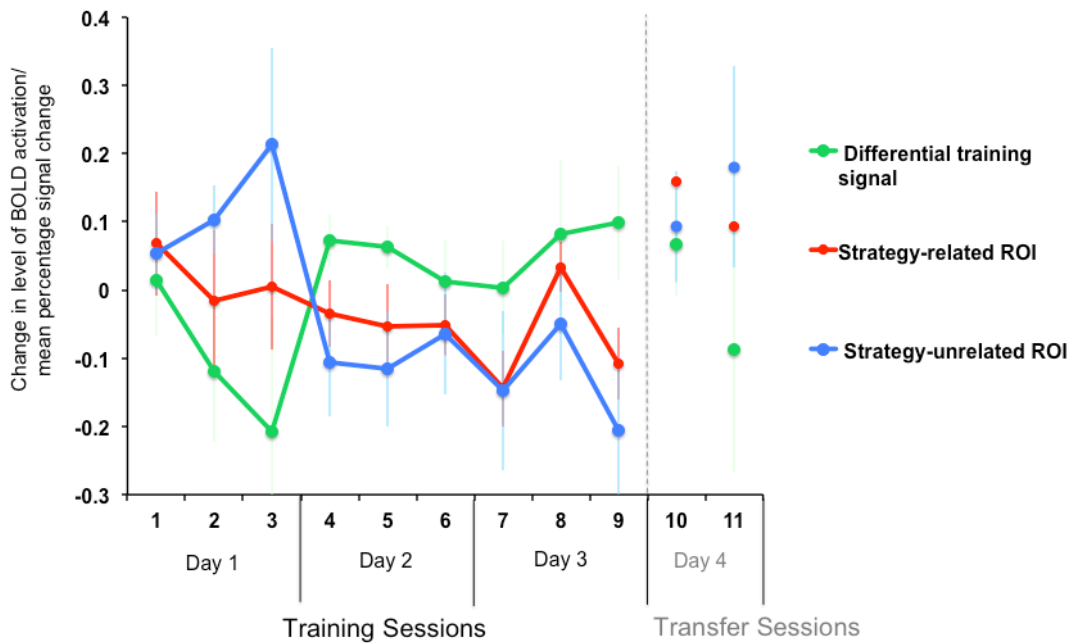
1359 **A. Pre vs. Post-training BR comparison**

1360 **B. Pre vs. Post-training BR with concurrent training up-regulation**

1361 **C. Pre vs. Post-training BR with concurrent non-trained mental imagery**

1362 **D. Pre vs. Post training BR comparison for Mental Imagery Control group**

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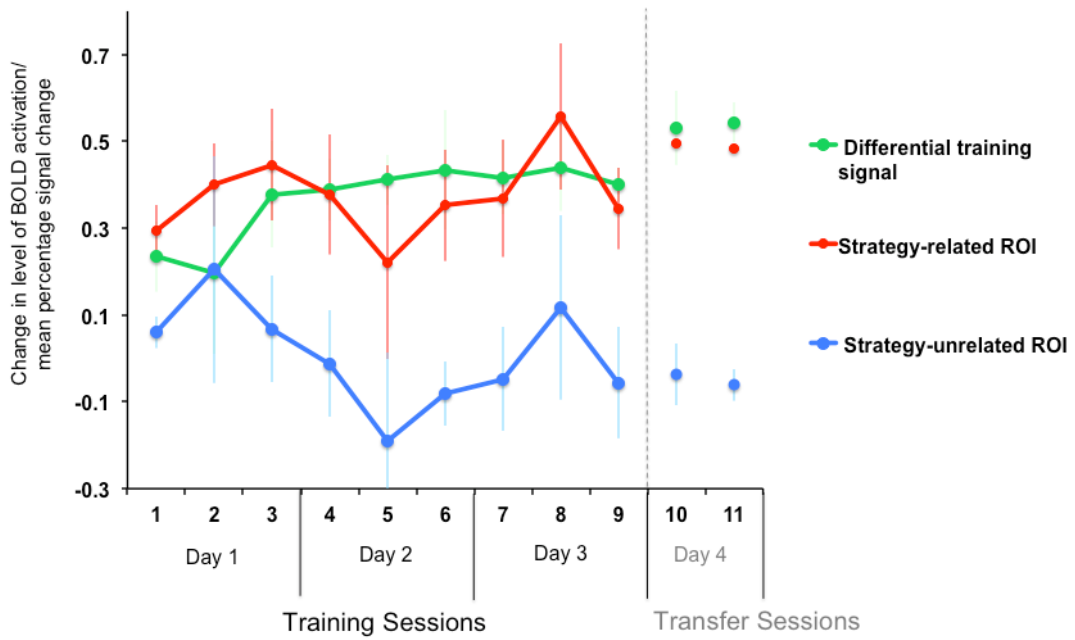
1366 **Figure S2. Mean BOLD signal changes across the House group, in the strategy-**  
 1367 **related brain region (red) and the strategy-unrelated brain region (blue), for**  
 1368 **each of the nine training sessions. The green line shows the difference in mean**  
 1369 **BOLD activation between the two brain regions and corresponds to the**  
 1370 **neurofeedback training signal. Error bars show  $\pm 1$ SEM.**

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1377 **Figure S3. Mean BOLD signal changes across the Face group, in the strategy-**  
 1378 **related brain region (red) and the strategy-unrelated brain region (blue), for**  
 1379 **each of the nine training sessions. The green line shows the difference in mean**  
 1380 **BOLD activation between the two brain regions and corresponds to the**  
 1381 **neurofeedback training signal. Error bars show  $\pm 1$ SEM.**

1382

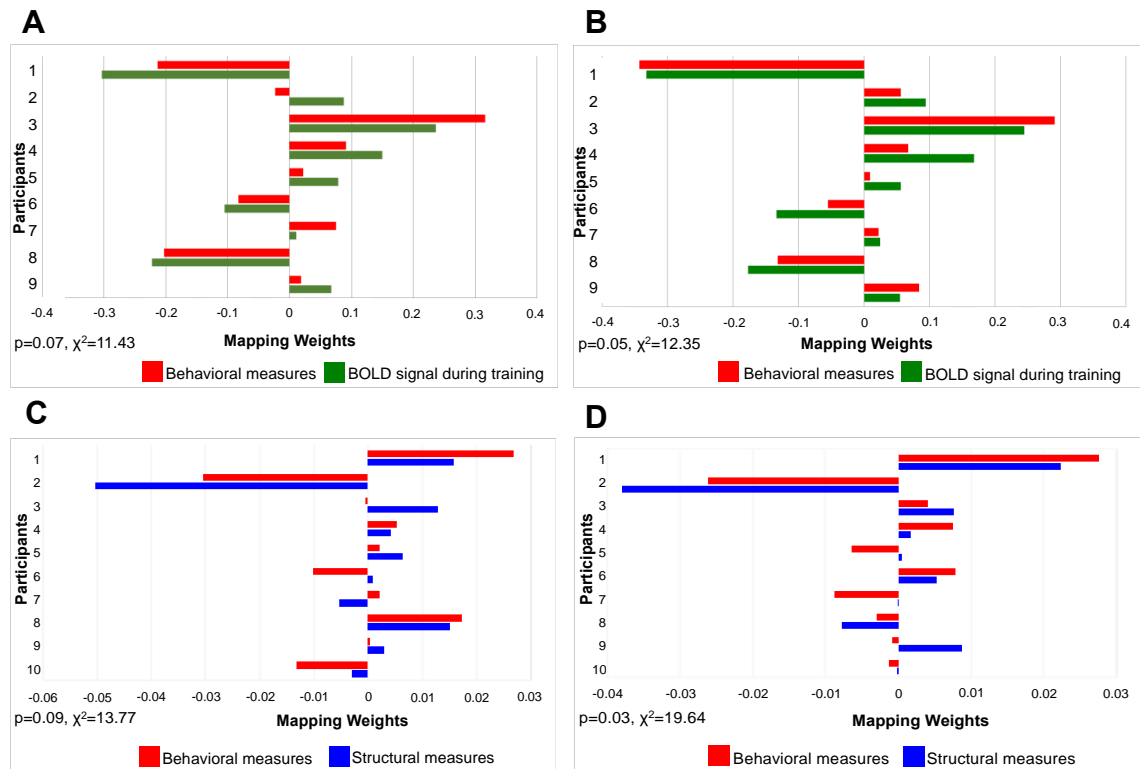
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1389 **Figure S4. Canonical variate analysis illustrating the correlation between**  
 1390 **individual behaviour and physiological measures. For each participant**  
 1391 **mapping weights are shown for pairs of predictor and outcome variables. This**  
 1392 **approach aims to reveal relationships that may exist between multiple outcome**  
 1393 **variables following neurofeedback training.**

1394

1395 **A,B: Comparison of BR behavioural measures (i.e. durations of mixed,**  
 1396 **strategy-related and strategy-unrelated percepts), and functional BOLD signal**  
 1397 **changes across training (i.e. differential signal). Nine of the ten participants**  
 1398 **were included, as one of the participants did not complete all nine training**  
 1399 **sessions. Participants 1-5 are Face Group, Participants 6-9 are House Group. A**  
 1400 **shows a non-significant relationship ( $p= 0.07$ ) between individual participant**  
 1401 **BR measures (pre vs. post training) and functional BOLD signal changes**  
 1402 **across training. B shows a non-significant relationship ( $p= 0.05$ ) between**

1403 individual participant BR measures (pre vs. post-training with concurrent  
1404 trained upregulation) and functional BOLD signal changes across training.

1405

1406 C,D: Comparison of BR behaviour measures (i.e. durations of mixed, strategy-  
1407 related and strategy-unrelated percepts), and structural measures from FFA  
1408 and PPA (pre vs. post training). Participants 1-5 are 'Face Group', Participants  
1409 6-10 are 'House Group'. C shows a non-significant relationship ( $p= 0.09$ )  
1410 between individual participant BR measures (pre vs. post training) and  
1411 structural measures from FFA, and PPA (pre vs. post training). D shows a  
1412 significant relationship ( $p= 0.03$ ) between individual participant BR measures  
1413 (pre vs. post-training with concurrent trained upregulation) and structural  
1414 measures from FFA and PPA (pre vs. post training).

1415 **Supplementary References**

1416

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