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# Effects of intraspecific variation in reproductive traits, pectoral fin use and burst swimming on metabolic rates and swimming performance in the Trinidadian guppy (*Poecilia reticulata* Peters)

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ABSTRACT. There is considerable intraspecific variation in metabolic rates and locomotor performance in aquatic ectothermic vertebrates, however, the mechanistic basis remains poorly understood. Using pregnant Trinidadian guppies (Poecilia reticulata Peters), a live-bearing teleost, we examined the effects of reproductive traits, pectoral fin use, and burst-assisted swimming on the swimming metabolic rate, standard metabolic rate ( $MO_{2std}$ ) and prolonged swimming performance ( $U_{crit}$ ). Reproductive traits included reproductive allocation and pregnancy stage; the former defined as the mass of the reproductive tissues divided by the total body mass. Results showed that the metabolic rate increased curvilinearly with swimming speed. The slope of the relationship was used as an index of swimming cost. There was no evidence that reproductive traits correlated with the swimming cost, MO<sub>2std</sub>, or U<sub>crit</sub>. In contrast, data revealed strong effects of pectoral fin use on swimming cost and  $U_{crit}$ . Poecilia reticulata employed bodycaudal fin (BCF) swimming at all tested swimming speeds, however, fish with a high simultaneous use of the pectoral fins exhibited increased swimming cost and decreased  $U_{\rm crit}$ . These data indicated that combining BCF swimming and pectoral fin movement over a wide speed range, presumably to support swimming stability and control, is an inefficient swimming behaviour. Finally, transition to burst-assisted swimming was associated with an increase in aerobic metabolic rate. Our study highlights factors other than swimming speed that affect swimming cost and suggests that intraspecific diversity in biomechanical performance, such as pectoral fin use, is an important source of variation in both locomotor cost and maximal performance.

Keywords: basal metabolic rate, energetics, gait transition, gravidity, life history, respiratory physiology

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21	Running page head: Locomotor cost and maximal performance
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## SUMMARY

34	There is considerable intraspecific variation in metabolic rates and locomotor performance in aquatic
35	ectothermic vertebrates, however, the mechanistic basis remains poorly understood. Using pregnant Trinidadian
36	guppies (Poecilia reticulata Peters), a live-bearing teleost, we examined the effects of reproductive traits, pectoral
37	fin use, and burst-assisted swimming on the swimming metabolic rate, standard metabolic rate (MO <sub>2std</sub> ) and
38	prolonged swimming performance ( $U_{crit}$ ). Reproductive traits included reproductive allocation and pregnancy
39	stage; the former defined as the mass of the reproductive tissues divided by the total body mass. Results showed
40	that the metabolic rate increased curvilinearly with swimming speed. The slope of the relationship was used as
41	an index of swimming cost. There was no evidence that reproductive traits correlated with the swimming cost,
42	$MO_{2std}$ , or $U_{crit}$ . In contrast, data revealed strong effects of pectoral fin use on swimming cost and $U_{crit}$ . Poecilia
43	reticulata employed body-caudal fin (BCF) swimming at all tested swimming speeds, however, fish with a high
44	simultaneous use of the pectoral fins exhibited increased swimming cost and decreased $U_{\rm crit}$ . These data indicated
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46	swimming stability and control, is an inefficient swimming behaviour. Finally, transition to burst-assisted
47	swimming was associated with an increase in aerobic metabolic rate. Our study highlights factors other than
48	swimming speed that affect swimming cost and suggests that intraspecific diversity in biomechanical
49	performance, such as pectoral fin use, is an important source of variation in both locomotor cost and maximal
50	performance.
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52	Key words: basal metabolic rate, energetics, gait transition, gravidity, life history, respiratory physiology.
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### **INTRODUCTION**

70 Intraspecific variation in metabolic rate and locomotor performance remain poorly understood in many taxa. Variation 71 in physiological traits may be important, however, because it can be functionally significant and reflect behavioural or 72 physiological trade-offs, where the costs or benefits of any phenotype are variable and may depend on internal and 73 external factors (Williams, 2008; Biro and Stamps, 2010; Burton et al., 2011). For example, intraspecific variation in 74 metabolic rate and locomotor performance may be associated with disruptive selection regimes leading to variation in 75 foraging strategy and predator avoidance (Marras et al., 2010). Moreover, studies of physiological diversity may reveal 76 the physiological basis of intraspecific variation in life history traits (Speakman, 2005; Arnott et al., 2006; Williams, 77 2012). Finally, phenotypic diversity may be indicative of genetic diversity and the degree to which a population can 78 adjust to environmental change (Hayes and Jenkins, 1997; Bolnick et al., 2003; Sears et al., 2009).

79 Reproductive status may be a source of intraspecific variation in metabolic rate and locomotor performance. 80 Elevated metabolic rate in relation to gravidity or pregnancy has been reported in many animals, including eastern 81 garter snake (Thamnophis sirtalis Linnaeus) (Birchard et al., 1984), mountain spiny lizard (Sceloporus jarrovi Cope) 82 (DeMarco, 1993), yellowtail rockfish (Sebastes flavidus Ayres) (Hopkins et al., 1995), Korean rockfish (Sebastes 83 schlegeli Hilgendorf) (Boehlert et al., 1991), sailfin molly (Poecilia latipinna Lesueur) (Timmerman and Chapman, 84 2003), striped surfperch (Embiotoca lateralis Agassiz) (Webb and Brett, 1972) and European eelpout (Zoarces 85 viviparus Linnaeus) (Skov et al., 2010). Several studies have demonstrated diminished locomotor performance caused 86 by gravidity or pregnancy. Examples include the northern death adder (Acanthophis praelongus Ramsay) (Webb, 2004), 87 side-blotched lizard (Uta stansburiana Baird and Girard) (Miles et al., 2000), short-horn sculpin (Myoxocephalus 88 scorpius Linnaeus) (James and Johnston, 1998) and mosquitofish (Gambusia affinis Baird and Girard) (Plaut, 2002; 89 Belk and Tuckfield, 2010). Using the Trinidadian guppy (Poecilia reticulata Peters), Ghalambor and colleagues 90 provided evidence that pregnancy may constrain fast-start swimming performance employed to evade predators 91 (Ghalambor et al., 2004). It has been suggested that diminished swimming performance in live-bearing pregnant fish 92 may be attributed to metabolic constraints caused by the embryos (Plaut, 2002), however, to our knowledge such 93 relationships have not been examined.

94 The impact of pregnancy on female performance could have important ecological and evolutionary consequences. 95 For example, pregnant bighorn sheep (Ovis canadensis Shaw) spend less time in optimal foraging areas, where the 96 predation risk is highest, than females that have recently given birth (Berger, 1991). Such differences in behaviour may 97 reduce the predation risk associated with diminished locomotor performance at the cost of resource acquisition. From an 98 evolutionary point of view, cost of reproduction represents one of the most prominent elements in life history evolution 99 (Stearns, 1989). Using free-ranging lizards, Miles and colleagues demonstrated that a decrement in performance is 100 associated with current reproductive investment and represents a cost of reproduction expressed as diminished 101 locomotor performance and lowered survivorship to next clutch (Miles et al. 2000).

Recent studies on the metabolic rates of swimming fish have included measurements of gait transitions occurring as a function of swimming speed (Korsmeyer et al., 2002; Jones et al., 2007; Cannas et al., 2006; Svendsen et al., 2010). A gait is "a pattern of locomotion characteristic of a limited range of speeds described by quantities of which one or more change discontinuously at transitions to other gaits" (Alexander, 1989). However, as far as is known, no previous studies have investigated how intraspecific variation in fin use within a single gait affects swimming cost and maximal performance. Moreover, while previous studies have examined the metabolic rates associated with the transition from rigid-body, median or paired-fin (MPF) swimming to undulatory, body-caudal fin (BCF) swimming (Korsmeyer et al.,
2002; Cannas et al., 2006; Svendsen et al., 2010), the energetics of the gait transition from steady BCF swimming to
unsteady BCF swimming (i.e. burst-assisted) remain poorly understood (Farrell, 2007).

The objective of this study was to examine whether diversity in reproductive traits and swimming behaviour correlate with intraspecific variation in metabolic rates and maximal locomotor performance. Reproductive traits included reproductive allocation and pregnancy stage; the former defined as the mass of reproductive tissues divided by the total body mass. Swimming behaviour included use of the pectoral fins and gait transition from steady BCF swimming to unsteady BCF swimming (i.e. burst-assisted). To this end, we used *P. reticulata* Peters 1859 captured in Trinidad for swimming trials at increasing speeds.

117 Poecilia reticulata is a live-bearing species producing one litter every 3 - 4 weeks (Reznick and Yang, 1993). 118 Reproductive allocation in female P. reticulata tends to vary with season (Reznick, 1989), resource availability 119 (Reznick and Yang, 1993) and predation regime (Reznick and Endler, 1982). In terms of locomotion, P. reticulata is an 120 acanthomorph fish (Chen et al., 2003) and as such, the pectoral fins are located relatively high on the body, at an 121 approximately mid-dorsal position and relatively close to the centre of mass of the fish (Drucker et al., 2006). Compared 122 to less derived fishes, the pectoral fins of acanthomorph fishes are generally associated with a wider range of motion 123 and a correspondingly greater propulsor diversity (Drucker et al., 2006). Moreover, P. reticulata is a BCF swimmer that 124 may switch to burst-assisted swimming (Pohlman et al., 2001). Several studies have used Trinidadian P. reticulata to investigate factors causing intraspecific variation in relation to evolutionary ecology (Magurran, 2005), and P. 125 126 reticulata is a key organism for empirical tests of theoretical life history models (Reznick et al., 1990; Reznick et al., 1996; Ghalambor et al., 2003). We used individual female P. reticulata, varying in reproductive traits, to document 127 128 swimming metabolic rates, standard metabolic rate, swimming behaviour and prolonged swimming performance. 129 Measurements of excess post-exercise oxygen consumption (Lee et al., 2003b) were included because individual 130 variation in swimming performance might be related to processes associated with anaerobic rather than aerobic power 131 production.

132 We predicted that reproductive allocation and/or pregnancy stage would correlate positively with metabolic 133 swimming cost and negatively with prolonged swimming performance. Further, we predicted that standard metabolic 134 rate would correlate positively with reproductive allocation and/or pregnancy stage. In terms of fin use, we predicted 135 that fish extending their pectoral fins would experience increased drag and increased swimming cost, as hypothesised 136 by previous studies (Webb, 1998; Weihs, 2002; Green and Hale, 2012). Inefficient fin use at increasing speeds could 137 translate into decreased swimming performance. For example, if extending the pectoral fins causes a consistent increase 138 in the swimming cost, a fish with extensive pectoral fin use at increasing speeds could exhibit inferior swimming 139 performance, because the fish would reach the maximum metabolic rate at a relatively slow swimming speed. Finally, 140 as a consequence of gait transition to burst-assisted swimming, aerobic metabolic rate (i.e. oxygen consumption rate 141 during exercise) should either plateau; or the rate of increase, as a function of swimming speed, should decline because 142 burst-assisted swimming is partly covered by anaerobic metabolism (Farrell, 2007).

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# MATERIALS AND METHODS Experimental animals

A total of 18 female *P. reticulata* (body mass:  $0.296 \pm 0.009$  g; total length:  $3.0 \pm 0.0$  cm (mean  $\pm$  S.E.)) was captured 146 147 using butterfly nets in the Naranjo River in Trinidad. The river is a low predation tributary to the Aripo River. The mean current velocity at the collection site was  $12.7 \pm 1.2$  cm s<sup>-1</sup>. In the laboratory, fish were kept in five identical holding 148 149 tanks (30 l each) using filtered water originating from the Arima Valley. Each tank housed 4-5 individuals including 150 one male. One air stone in each tank secured normoxic conditions. Each tank was cleaned and water was replaced every 151 third day. Prior to experimentation, fish were acclimated to the laboratory for 2 - 3 weeks. Fish were fed daily on commercial flake food to satiation. Mean water temperature in the holding tanks was 25.8°C (range: 24.6 - 26.9°C). All 152 153 fish holding procedures were identical for the five tanks.

*P. reticulata* is a lecithotrophic species. Lecithotrophic means that there is no placenta-like connection between the mother and young (Reznick and Yang, 1993), such that yolk stored in the egg is assumed to be the only source of embryo nutrition. Recent work within the Poeciliidae has indicated, however, that some mother-to-embryo nutrient transfer may occur in species thought to be lecithotrophic (Marsh-Matthews et al., 2005; Marsh-Matthews et al., 2010). To our knowledge, no attempt has been made to quantify any post-fertilization provisioning in *P. reticulata*, and it is not known to what degree there is a limited transfer of oxygen or small molecules.

# 160 161

#### Respirometry

A 0.170 l Bläzka-type swimming respirometer (Model SW10000; Loligo Systems Aps, Tjele, Denmark) was used to measure oxygen consumption rate ( $MO_2$ ; mg  $O_2$  kg<sup>-1</sup> h<sup>-1</sup>) as a function of swimming speed (U). The respirometer was submerged in an ambient tank ( $0.9 \times 0.35 \times 0.39$  m) supplying water for the respirometer. Water temperature was maintained at 26.0°C (range: 25.9-26.1°C) using two cooling peltier elements (IceProbe; Cool Works Inc., San Rafael, CA, USA) and a submersible heater (50 W; AkvaStabil; Haderslev, Denmark). An air stone in the ambient tank maintained oxygen levels > 95% air saturation.

168 The inner dimensions of the cylindrical observation section in the respirometer were  $26 \times 100$  mm (diameter  $\times$ 169 length). An impeller, placed downstream of the observation section, was driven by an external electric motor that 170 generated the re-circulating flow. Deflectors situated upstream of the observation section collimated the flow. To 171 promote rectilinear flow and a uniform velocity profile in the observation section, water was passed through an 172 upstream honeycomb (3 mm cell diameter) producing a micro turbulent flow. A grid ( $2 \times 2$  mm) in the downstream 173 direction bounded the observation section. Water speeds in the observation section were measured using a Laser 174 Doppler Anemometer consisting of a 4W Ar-ion laser, a Fiberprobe and BSA data processors (Dantec Dynamics, 175 Skovlunde, Denmark). The measurements were used to correlate water speed with voltage output from the external 176 motor controller. Additional details have been published previously (Poulsen et al., 2012)

Polystyrene sheets covered the majority of the ambient tank to minimize any outside stimuli affecting the fish during the experiment. A small opening was used for behavioural observations. Fish were encouraged to swim in the most upstream part of the observation section using a darkening hide.

Oxygen partial pressure in the respirometer was measured using fibre optic sensor technology (PreSens, Regensburg, Germany). Intermittent-flow respirometry was applied in accordance with previous studies (Steffensen, 182 1989). The respirometer was fitted with an inlet port and a standpipe outlet, through which the volume of water in the 183 respirometer could be replaced with a computer-actuated pump. The software AutoResp (Loligo Systems Aps, Tjele, 184 Denmark) was used to control the flush (240 s), wait (120 s) and measurement (360 s) phases. These settings provided one  $MO_2$  measurement per 12 min. Preliminary trials demonstrated that the  $R^2$  associated with each  $MO_2$  measurement was always > 0.95, similar to previous studies (Claireaux et al., 2006; Svendsen et al., 2012). The oxygen content never fell below 18.4 kPa. Standard equations were used to calculate  $MO_2$  (Svendsen et al., 2010). Water in the ambient tank was recirculated through a loop consisting of a separate mechanical filter (Pick-up 2006; Eheim, Deizisau, Germany) and a UV-sterilizer (UV-10000; Tetra Pond, Melle, Germany). Between experimental runs, the entire setup was cleaned using a chlorine solution, flushed repeatedly, and refilled with water from the same source as used for the fish holding.

Fish for experiments were starved for 24 h prior to respirometry to ensure a post-absorptive state. Fish mass (to nearest 0.001 g), length, depth and width (all to nearest 0.5 mm) were determined for pre-experimental calculation and correction of the solid blocking effects, ranging from 2.2 to 4.2%. Calculations of solid blocking effects followed previous studies (Bell and Terhune, 1970).

195 Each P. reticulata was introduced to the working section and given at least 8 h (overnight) to acclimate while swimming at 2  $L_{\rm T}$  s<sup>-1</sup> (total body lengths per second). Preliminary trials demonstrated that 2  $L_{\rm T}$  s<sup>-1</sup> was the minimum 196 swimming speed that secured positive rheotaxis (i.e. upstream orientation of the anterior body part). After the 197 acclimation period, fish maintained a low MO<sub>2</sub>, even when exposed to a few stepwise increases in the swimming speed. 198 199 Occasionally, the acclimation period was extended to meet this criterion. Subsequently, each individual fish was exposed to progressive increments in the swimming speed of 0.5  $L_{\rm T}$  s<sup>-1</sup> every 12 min until fatigue. MO<sub>2</sub> was measured at 200 201 each swimming speed. Preliminary trials demonstrated that the critical (maximum) swimming speed ( $U_{crit}$ ) was 9-17  $L_{T}$ s<sup>-1</sup>. The speed increment (0.5  $L_{\rm T}$  s<sup>-1</sup>) was chosen to ensure an adequate number of MO<sub>2</sub> measurements (>12) at 202 203 increasing speeds in individual fish. This type of data was required because we aimed at describing the relationship 204 between U and  $MO_2$  using an equation representing each individual fish. Maximum  $MO_2$  ( $MO_{2max}$ ) was estimated as the 205 highest MO<sub>2</sub> measured during the swimming protocol (McKenzie et al., 2003).

Immediately after fatigue, the swimming speed was returned to 2  $L_{\rm T}$  s<sup>-1</sup> (acclimation speed), following Lee and colleagues (Lee et al., 2003b). Using this swimming speed,  $MO_2$  was measured for 1 h to quantify any excess postexercise oxygen consumption (EPOC) (Lee et al., 2003b). Levels of background respiration were estimated from blank runs and used to correct the  $MO_2$  measurements following past studies (Jones et al., 2007).

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### Swimming behaviours and critical swimming speed $(U_{crit})$

212 Behavioural data were collected during the swimming trials, similar to previous studies (Swanson et al., 1998). During 213 the measurement phase (6 min) of the respirometric loop (12 min), time spent swimming with extended pectoral fins, 214 caudal undulation, and using burst-assisted swimming were recorded. Use of each behaviour was recorded over a 1 min 215 time interval (i.e. 3 min in total). These data were collected during each 12 min interval, starting at the acclimation 216 speed (2  $L_{\rm T}$  s<sup>-1</sup>) and ending at fatigue. End point values were the percentages of time allocated to these swimming 217 behaviours at each swimming speed (Korsmeyer et al., 2002; Webb and Fairchild, 2001). The values were used to 218 calculate the average fin and gait use (% of time) during the complete swimming trial for the individual fish. Finally, the gait transition speed ( $U_{\text{STmax}}$  (Peake, 2008)) from steady to unsteady swimming (i.e. burst-assisted) was recorded as 219 220 the highest swimming speed without unsteady swimming.

221 The equation provided by Brett was used to calculate  $U_{crit}$  (Brett, 1964). Oufiero and Garland demonstrated that 222 the  $U_{crit}$  protocol yields critical swimming speeds that are repeatable for individual *P. reticulata*, indicating that they 223 represent actual measures of organism performance (Oufiero and Garland, 2009).

#### **Reproductive traits**

226 Immediately after the swimming trial, fish were euthanized using an over-doze of MS-222 and preserved in 6% 227 formaldehyde. Wet and dry reproductive allocation (RA) was quantified using methods similar to Reznick (Reznick, 228 1983). Briefly, embryos and associated reproductive tissues were separated from female somatic tissue. Stage of 229 embryonic development (i.e. pregnancy stage) was determined morphologically following standard procedures 230 (Haynes, 1995). Development ranged from stage 0 (an egg with yolking ova) to stage 50 (fully developed embryos, 231 ready to be born). Wet weights of the reproductive and somatic tissues were measured using a Mettler AE163 analytical 232 balance (Mettler-Toledo, Columbus, OH, USA) and recorded to the nearest 0.00001 g. Subsequently, the tissues were air dried for 24 h at 600°C and weighed again following the same procedure. RA was calculated as the mass of 233 234 reproductive tissues divided by the total body mass.

## 236

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#### Data analysis

237 As indicated, we aimed at describing the relationship between U and  $MO_2$  using an equation representing each 238 individual fish. Previous studies have used power, exponential and polynomial models to describe the relationship 239 between U and MO<sub>2</sub> (Korsmeyer et al., 2002; Arnott et al., 2006; Tudorache et al., 2011). Webb recommended that a 240 certain model should not be assumed, but rather a model should be used that best describes the available data (Webb, 241 1993). Accordingly, we examined various models before determining the most appropriate model. Using the model for 242 individual fish, MO<sub>2</sub> was extrapolated to zero swimming speed to estimate standard metabolic rate (MO<sub>2std</sub>), following 243 previous studies (Brett, 1964; Arnott et al., 2006). The model was also used to estimate metabolic swimming cost in 244 individual fish, expressed as the slope of the relationship between U and  $MO_2$ .

To test the predictions of this study, reproductive traits and pectoral fin use (considered the independent 245 variables) were correlated with swimming cost and  $MO_{2std}$ , both derived from the identified model, as well as  $U_{crit}$ 246 247 (considered the dependent variables). Reproductive traits and pectoral fin use were not manipulated experimentally. Instead, the analyses relied on *post-hoc* intraspecific variation resulting from differences among individuals. To test our 248 249 predictions, linear least square regression was used to examine if the reproductive traits correlated positively with 250  $MO_{2std}$  and swimming cost and negatively with  $U_{crit}$ . In terms of pectoral fin use, we tested if this variable correlated 251 positively with swimming cost and negatively with  $U_{\rm crit}$ . To assess such relationships further, we also tested for a 252 negative correlation between  $U_{crit}$  and swimming cost.

The final objective of this study was to test the prediction that gait transition from steady BCF to unsteady BCF swimming would cause  $MO_2$  to either plateau; or the rate of increase, as a function of swimming speed, would decline. To examine this prediction, we compared  $MO_2$  before and after transition to burst-assisted swimming at increasing speeds using a sign test.

257 Because the five fish holding tanks were identical, maintained in an identical fashion and kept in the same room, 258 we have no reason to believe that the different tanks affected the fish differently. Therefore, tank origin was not 259 included in any analyses.

Estimates of  $MO_{2std}$  and  $MO_{2max}$  were used to estimate the metabolic scope (MS). The MS was defined as the difference between  $MO_{2std}$  and  $MO_{2max}$ , following past studies (Farrell and Richards, 2009). The speed where fish transitioned from steady to unsteady BCF swimming ( $U_{STmax}$ ), and the simultaneous  $MO_2$  measurements, were used to partition the MS into the proportion attributed to steady swimming and the proportion attributed to unsteady swimming.

To detect EPOC, we compared the individual pre-exercise  $MO_2$  with the first post-exercise  $MO_2$  using a paired ttest after examining the assumptions of normal distribution of data and homogeneity of variance. Both data sets were collected while the fish was swimming at 2  $L_{\rm T}$  s<sup>-1</sup> (acclimation speed). If post-exercise  $MO_2$  was significantly higher than pre-exercise  $MO_2$ , it was considered evidence of EPOC and anaerobic power production, following previous studies (Svendsen et al., 2010).

The free statistical software R (R Development Core Team, 2011) was used for statistical analyses. The Rpackage nlme (Pinheiro et al., 2011) was used to fit models. Results were considered significant if  $\alpha = 0.05$ . All values are reported as means  $\pm$  s.e.m. unless noted otherwise.

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### RESULTS

The behavioural data showed that *P. reticulata* employed the caudal fin for swimming (i.e. BCF swimming) at all speeds (data not shown). In contrast, use of the pectoral fins and burst-assisted swimming varied with the swimming speed (Fig. 1). As swimming speed increased, the use of the pectoral fins decreased, however, there was no distinct threshold speed at which fish discontinued using the pectoral fins (Fig. 1). In fact, two individuals used the pectoral fins at all swimming speeds (Fig. 1).

Most fish (15 out of 18) employed burst-assisted swimming at the highest swimming speeds (Fig. 1). Burstassisted swimming was less variable than use of the pectoral fins. All fish that started using burst-assisted swimming continued doing so throughout the remaining swimming trial (Fig. 1). The average gait transition speed ( $U_{STmax}$ ) from steady swimming to unsteady swimming (i.e. burst-assisted swimming) was  $40.85 \pm 1.79$  cm s<sup>-1</sup>, equivalent to  $13.48 \pm$ 0.59  $L_{T}$  s<sup>-1</sup>. This measure included the maximum recorded steady swimming speed of three individuals that did not perform burst-assisted swimming (Fig. 1). The mean  $U_{crit}$  was  $44.99 \pm 1.84$  cm s<sup>-1</sup>, equivalent to  $14.89 \pm 0.66$   $L_{T}$  s<sup>-1</sup>. There was no significant relationship between fish total length (cm) and  $U_{crit}$  (cm s<sup>-1</sup>) (P > 0.1;  $R^2 < 0.16$ ).

286 In terms of metabolic rates,  $MO_2$  as a function of U in individual fish was best described by the exponential 287 function:

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 $289 \qquad MO_2 = a \exp(U b)$ 

290

where *a* is the  $MO_2$  at zero speed (i.e. U = 0), and *b* is the rate of increase in the  $MO_2$  as a function of *U*. The equation has been used to describe relationships between  $MO_2$  and *U* in a number of studies (Brett, 1964; McKenzie et al., 2003; Arnott et al., 2006). Equation (1) provided an estimate of the  $MO_{2std}$  (i.e. *a*) and swimming cost (i.e. *b*) measured at increasing *U* in individual fish.

(1)

Maximum likelihood estimation was done on the logarithm of the  $MO_2$ . The log  $MO_2$  was described by the following linear random coefficient model for the i'th observation on the j'th fish:

298 
$$\log MO_{2ij} = a'_j + U_i b_j + e_{ij}, i = 1, ..., n_j, j = 1, ..., 18.$$
 (2)

299

300 The fish specific intercepts  $a'_j$  and slopes  $b_j$  were assumed to follow normal distributions with means  $\mu_a$  and  $\mu_b$  and 301 variances  $\sigma_a^2$  and  $\sigma_b^2$ . Moreover, a correlation between  $a'_j$  and  $b_j$ ,  $\rho$ , was allowed. An AR1 (autoregressive of order 1) 302 autocorrelation was allowed in the residuals using the equation:

$$304 \qquad e_{ij} = \varphi \ e_{i-1,j} + \varepsilon_{ij} \tag{3}$$

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where  $\varphi$  is the autoregressive parameter. The  $\varepsilon_{ij}$  are assumed to be independently and identically normally distributed with mean zero and variance  $\sigma_{\varepsilon}^2$ . The *MO*<sub>2</sub> at zero *U* is  $a_j = \exp(a'_j)$  for the j'th fish, while  $b_j$  is the rate of increase in the *MO*<sub>2</sub>, as a function of swimming speed, for the j'th fish. Thus,  $a_j$  and  $b_j$  represent *MO*<sub>2std</sub> and swimming cost, respectively, for the j'th fish.

310 Measurements of  $MO_2$  in relation to U in individual fish are plotted in Fig. 2. Data indicated that the rate of 311 increase in  $MO_2$ , as a function of U, was lower at speeds when burst-assisted swimming was not employed (steady 312 swimming) than at speeds when burst-assisted swimming was employed (unsteady swimming) (Fig. 2). Consequently, 313 the parameters in equation (1) were estimated using observations with steady swimming only (Figs 1, 2).

The mean  $MO_{2std}$  was  $exp(\mu_a) = exp(5.76) = 318.05$  mg  $O_2$  kg<sup>-1</sup> h<sup>-1</sup>. The 95% confidence interval was 294.01 – 315 344.05 mg  $O_2$  kg<sup>-1</sup> h<sup>-1</sup>. The average rate of increase in the  $MO_2$  as a function of U was 0.0262 (Fig. 2). Estimates of the 316 parameters for equations (1-3) are provided in Table 1. The average  $MO_{2max}$  was 1270.69 ± 40.50 mg  $O_2$  kg<sup>-1</sup> h<sup>-1</sup>. Body 317 mass correlated weakly with  $MO_{2std}$  and  $MO_{2max}$  in a positive and negative fashion, respectively, but none of the 318 relationships were significant (P>0.05).

After completing the swimming trial, fish were dissected and RA<sub>j</sub> (i.e. fish specific RA) and fish specific pregnancy stage were quantified as described above. Measurements showed that both wet and dry RA varied between individuals (Table 2). Likewise, the pregnancy stages varied between individuals (Table 2). Wet and dry RA<sub>j</sub> and fish specific pregnancy stage were related to  $\hat{b}_j$  (i.e. estimated fish specific steady swimming cost),  $\hat{a}_j$  (i.e. estimated fish specific  $MO_{2std}$ ) and  $U_{crit,j}$  (i.e. fish specific  $U_{crit}$ ). The tests revealed no significant relationships (all *P*>0.1). These findings indicated that steady swimming cost,  $MO_{2std}$  and  $U_{crit}$  did not correlate with the reproductive traits.

The same tests were carried out using average pectoral fin use instead of the reproductive traits. These tests revealed that steady swimming cost (i.e.  $\hat{b}_j$ ) correlated positively with the average pectoral fin use (*P*<0.001;  $R^2$ =0.56; Fig. 3). Hence, *P. reticulata* spending more time with extended pectoral fins had increased steady swimming costs (Fig. 3). There was no correlation between  $\hat{a}_j$  and the average pectoral fin use, indicating that  $MO_{2std}$  and average pectoral fin use were unrelated (*P*=0.42).

There was a negative correlation between the average pectoral fin use and  $U_{\text{crit,j}}$  (*P*<0.0001; *R*<sup>2</sup>=0.70; Fig. 4). Hence, *P. reticulata* spending more time with extended pectoral fins had a low  $U_{\text{crit}}$  (Fig. 4). There was no correlation between average pectoral fin use and  $MO_{2\text{max,j}}$  (fish specific  $MO_{2\text{max}}$ ), or between  $U_{\text{crit,j}}$  and  $MO_{2\text{max,j}}$  (both *P*>0.25), indicating that  $MO_{2\text{max}}$  did not influence the average pectoral fin use or  $U_{\text{crit}}$ .

The average pectoral fin use by individual fish was calculated using three different methods: 1) the average pectoral fin use throughout the complete swimming trial (i.e. from acclimation speed to  $U_{crit}$ ); 2) the average pectoral fin use up to the initiation of burst-assisted swimming; and 3) the average pectoral fin use up to 9.5  $L_{\rm T}$  s<sup>-1</sup> (equivalent to 28.8 cm s<sup>-1</sup>). This swimming speed represented the highest swimming speed that all fish managed to maintain for a complete respirometric loop (Figs 1, 2). The average pectoral fin use data presented in Figs 3 and 4 were based on methods 2) and 1), respectively. The relationships shown in Figs 3 and 4 were present and significant (all P < 0.02;  $R^2 > 0.31$ ) regardless of the method employed to calculate the average pectoral fin use for the individual fish. These findings indicated that the relationships between average pectoral fin use and steady swimming cost (Fig. 3) and  $U_{crit}$ (Fig. 4) were not artefacts caused by the variable swimming performance of the fish.

- Fish condition index was calculated following previous studies (Marras et al., 2011) and correlated with average pectoral fin use. Employing methods 1) and 2) to calculate average pectoral fin use, there was no significant correlation between fish condition index and average pectoral fin use (both P>0.11). When method 3) was employed, fish condition index correlated negatively with the average pectoral fin use (P=0.01;  $R^2=0.34$ ). Because of the inconsistent relationships, a possible effect of condition index on pectoral fin use was not considered any further.
- Finally,  $\hat{b}_j$  correlated negatively with  $U_{crit,j}$  (*P*=0.002;  $R^2$ =0.46) (Fig. 5). Hence, *P. reticulata* with a low  $U_{crit}$  had increased steady swimming costs in comparison with fish with a high  $U_{crit}$  (Fig. 5). Collectively, Figs 3, 4 and 5 indicated that elevated pectoral fin use increased steady swimming costs, which translated into a low  $U_{crit}$ . It appeared that increased steady swimming costs meant that *P. reticulata* with elevated pectoral fin use reached the maximum metabolic rate at a relatively low speed and therefore had a low  $U_{crit}$ . The findings suggested that inefficient fin use at increasing swimming speeds is coupled with a low  $U_{crit}$ .
- 354 Metabolic rate data collected when unsteady swimming occurred were insufficient to estimate the actual rate of increase in the  $MO_2$  as a function of U, specific for this swimming gait (Fig. 2). It was clear, however, that the vast 355 356 majority of the  $MO_2$  data points during unsteady swimming were higher than what would be expected based on extrapolation of the values representing steady swimming (Fig. 2). To examine these observations statistically, a sign 357 358 test was used to investigate if observations involving unsteady swimming (Fig. 2) were distributed around the prediction of the exponential equation (1) with an equal probability against the two-sided alternative. Differences between 359 360 predicted values, using equation (1), and the actual observations involving unsteady swimming were aggregated for 361 each fish and the mean difference was used as the end point value. These calculations showed that for all 15 fish 362 performing unsteady swimming, the mean difference was positive (i.e. higher mean  $MO_2$  than expected). Testing the 363 data using the sign test revealed a highly significant result (P < 0.001), showing that the metabolic rate increased after 364 transition to burst-assisted swimming.
- The  $MO_{2max}$  was 1270.69 ± 40.50 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup>. This value was recorded as the highest  $MO_2$  measured during the swimming protocol (McKenzie et al., 2003). In four fish, the maximum metabolic rate was not associated with the highest swimming speed, but with the second highest swimming speed (Fig. 2). Thus, the mean  $MO_2$  recorded during the highest swimming speed (1258.76 ± 39.73 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup>) was slightly lower (1%) than  $MO_{2max}$ .

The metabolic scope (MS) was calculated as  $MO_{2max} - MO_{2std}$  following past studies (Farrell and Richards, 2009) and was on average 952.64 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup>. Depending on the fish,  $MO_{2max}$  occurred during steady or unsteady swimming (Fig. 2). The highest  $MO_2$  recorded during steady swimming was on average 1015.61 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup>. This measure included  $MO_{2max}$  of three individuals that did not perform any burst-assisted swimming (Figs 1, 2). The  $MO_2$ increased by 255.08 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup> during the part of the swimming protocol that involved unsteady swimming (Fig. 2). In proportions of the MS, steady swimming accounted for 73.2%, whereas unsteady swimming accounted for 26.8%. These findings showed that unsteady swimming contributed significantly to MS.

Immediately after fatigue, the swimming speed was reduced to the acclimation speed ( $2 L_T s^{-1}$ ), following Lee and 376 377 colleagues (Lee et al., 2003b). Starting at the  $MO_2$  recorded during the highest swimming speed (1258.76 ± 39.73 mg 378  $O_2$  kg<sup>-1</sup> h<sup>-1</sup>), post-exercise  $MO_2$  declined rapidly and approached the pre-exercise  $MO_2$  (Fig. 6). The first measure of 379 post-exercise  $MO_2$  was significantly higher than the pre-exercise  $MO_2$  (P<0.001), providing evidence of EPOC and 380 anaerobic power production in P. reticulata (Fig. 6). The rapidly declining  $MO_2$ , and the fact that we had no  $MO_2$  data 381 between 0 h and 0.2 h (Fig. 6), precluded an accurate estimation of EPOC (mg O<sub>2</sub> kg<sup>-1</sup>). Post-exercise  $MO_2$  declined 382 until 0.69 h and approached the pre-exercise MO<sub>2</sub>. The last two measurements of post-exercise MO<sub>2</sub> at 0.89 h and 1.08 383 h remained slightly elevated relative to the pre-exercise  $MO_2$  (Fig. 6). The majority of the post-exercise decline in the 384  $MO_2$  occurred within 0.3 h after the swimming speed was returned to the acclimation speed (Fig. 6).

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#### DISCUSSION

Contrary to predictions, we found no evidence of correlations between reproductive traits and steady swimming cost, standard metabolic rate ( $MO_{2std}$ ) and prolonged swimming performance ( $U_{crit}$ ). In contrast, pectoral fin use correlated positively with swimming cost and negatively with  $U_{crit}$ . We suggest that the use of pectoral fins indicated a mechanism to maintain swimming stability, rather than generate forward thrust. Further, we propose that elevated use of pectoral fins indicated an elevated need to support swimming stability resulting in increased swimming cost and therefore decreased  $U_{crit}$ . Finally, we found that the aerobic metabolic rate increased after transition to burst-assisted swimming suggesting that unsteady swimming constituted 26.8% of the metabolic scope (MS).

394 Although pregnancy may influence metabolic rates and swimming performance in live-bearing fish, this study 395 found no evidence of reproductive allocation (RA) or pregnancy stage correlating with MO<sub>2std</sub>, steady swimming cost or U<sub>crit</sub> in wild P. reticulata from a low predation river. A number of studies have reported elevated metabolic rate (Webb 396 397 and Brett, 1972; Boehlert et al., 1991; Hopkins et al., 1995; Timmerman and Chapman, 2003; Skov et al., 2010) and diminished swimming performance (Plaut, 2002; Ghalambor et al., 2004; Belk and Tuckfield, 2010) in pregnant live-398 399 bearing fish. The studies differ from the present study in a number of ways. Firstly, two previous studies tested 400 pregnancy effects on fast-start swimming performance (Ghalambor et al., 2004; Belk and Tuckfield, 2010) rather than  $U_{\rm crit}$ . It is possible that fast-start swimming performance is more sensitive to pregnancy than  $U_{\rm crit}$ . Secondly, previous 401 402 studies followed individual fish over the course of the gestation period for repeated measurements (Webb and Brett, 403 1972; Plaut, 2002; Timmerman and Chapman, 2003) or made comparisons between gestating females and 404 reproductively inactive females or males (Boehlert et al., 1991; Hopkins et al., 1995; Skov et al., 2010). We were 405 unable to make repeated measurements on individual fish because of the destructive nature of measuring RA, and our samples included no reproductively inactive fish (all wet RA  $\geq$  4.93%). Finally, our study examined low predation P. 406 407 reticulata only. It is well known, however that high predation P. reticulata (e.g. from Aripo River) have considerably 408 higher RA than low predation P. reticulata (Reznick and Endler, 1982). Further studies should test for pregnancy 409 effects on metabolic rates and U<sub>crit</sub> in both high and low predation fish, while also controlling for age and genetic 410 background (Ghalambor et al., 2004; Belk and Tuckfield, 2010).

Previous studies have covered the energetics of gait transitions from 1) exclusive pectoral fin propulsion to combined pectoral and caudal fin propulsion (Korsmeyer et al., 2002; Cannas et al., 2006; Jones et al., 2007; Kendall et al., 2007; Svendsen et al., 2010); 2) steady swimming to unsteady swimming (Svendsen et al., 2010); 3) dorsal and anal fin propulsion to caudal fin propulsion (Korsmeyer et al., 2002); and, 4) free stream swimming to Karman gaiting (Liao 415 et al., 2007; Taguchi and Liao, 2011). By contrast, little attention has been devoted to the energetic effects of fin use 416 variation within a single gait. The present study found that within the steady BCF swimming gait, swimming cost 417 correlated strongly with pectoral fin use. Fish that ceased using the pectoral fins at low speeds reduced swimming cost 418 in comparison with fish that ceased using the pectoral fins at a higher speed or not at all. According to previous studies, 419 BCF swimmers extending their paired fins should experience increased swimming costs (Webb, 1998; Webb, 2002) 420 because of the additional drag (Videler and Wardle, 1991; Weihs, 2002; Green and Hale, 2012), however, this 421 hypothesis has rarely been tested. Although furling of the pectoral fins at relatively low speeds is common (Drucker and 422 Lauder, 2003), some BCF swimmers employ both caudal and pectoral fins at relatively high swimming speeds. For 423 example, in the field, brook trout (Salvelinus fontinalis Mitchill) combine the use of the caudal and pectoral fins at a 424 wide range of swimming speeds (McLaughlin and Noakes, 1998). Notably, S. fontinalis using their pectoral fins swim 425 with a higher caudal fin beat frequency at a given swimming speed than those not using their pectoral fins (McLaughlin 426 and Noakes, 1998). Because there is a positive relationship between caudal fin beat frequency and  $MO_2$  (Ohlberger et 427 al., 2007), these findings indicate that S. fontinalis using the pectoral fins experienced increased swimming cost. The 428 observations on S. fontinalis are consistent with the present study demonstrating a positive relationship between 429 pectoral fin use and steady swimming cost in P. reticulata. Our data suggest that combining the caudal and pectoral fins 430 over a wide speed range is an inefficient BCF swimming behaviour.

431 What proximate mechanism could underpin the observed intraspecific variation in pectoral fin use? A likely 432 mechanism involves variable needs to support swimming stability and control. In BCF swimmers, pectoral fins are not 433 used for forward thrust generation, but play an important role as trimming and/or powered correction systems to 434 maintain swimming stability (Webb, 2002). The former involves positioning the fins to dampen or correct 435 perturbations, whereas the latter involves active movements of the fins independent of the body to correct perturbations 436 (Webb, 2002). Stability and control can be a major problem in swimming (Videler and Wardle, 1991; Webb, 1998; 437 Webb, 2002). For example, there are six possible recoil motions for a rigid body resulting from propulsor movements, three of them translational and three rotational (Hove et al., 2001). BCF swimming generates large side forces that 438 439 cause the anterior parts of the body to recoil (yaw and/or sideslip) (Hove et al., 2001; Weihs, 2002; Lauder, 2006), which may represent a major stability problem in BCF swimming (Webb, 1988; Weihs, 2002). The yaw movements 440 441 generated by the caudal fin are usually countered by movements of the pectoral or pelvic fins (Lauder, 2006). Such 442 needs for stability control by balancing forces have led recent studies to emphasize the importance of multiple fins 443 employed by swimming fish (Hove et al., 2001; Drucker et al., 2006; Lauder and Tytell, 2006, Tytell et al., 2008; Blake 444 et al., 2009). The use of paired fins to maintain stability and control is most pronounced at lower speeds. At higher 445 swimming speeds, various stability problems persist, but control is shifted more towards the body-caudal fin. In the 446 present study, individual P. reticulata employed the pectoral fins at a variable speed range, and increased pectoral fin 447 use was associated with increased steady swimming costs and a low  $U_{crit}$ . Although the exact function of extending the pectoral fins remains unknown, it is likely that the variation in pectoral fin use reflected, at least partly, different needs 448 449 to balance forces and support swimming stability and control. According to this hypothesis, P. reticulata that continued 450 using the pectoral fins at high swimming speeds did so to support swimming stability. As such, the extent of pectoral fin 451 use at increasing speeds could be interpreted as an index of swimming stability in individual fish, with extensive 452 pectoral fin use indicating a swimmer with stability problems.

453 What is the metabolic cost of stability control in swimming fishes? The metabolic cost of stability control is not 454 known (Lauder, 2006), but the cost likely represents a significant part of the total swimming cost (Webb, 2002). In the 455 present study, the pectoral fins were presumably not employed to generate forward thrust, but to support stability control. Using the pectoral fins as a trimming and/or powered correction system to stabilize BCF swimming should 456 457 increase swimming costs (Webb, 1998; Weihs, 2002) because of the increased lateral surface and hence additional drag 458 (Videler and Wardle, 1991; Weihs, 2002; Green and Hale, 2012). Correspondingly, we suggest that the positive 459 relationship between pectoral fin use and steady swimming cost reflected, at least partially, cost of stability control 460 incurred when using the pectoral fins as a trimming and/or powered correction system. In the same vein, the negative 461 relationship between pectoral fin use and  $U_{crit}$  could be explained by the fact that pectoral fin use correlated positively 462 with swimming cost. Fish that made extensive use of the pectoral fins exhibited a significant increase in the swimming cost, which may have resulted in inferior swimming performance, because the fish reached the maximum metabolic rate 463 464 at a relatively slow swimming speed.

465 Many fish species transition from steady to unsteady swimming at increasing swimming speeds. Few studies, 466 however, have quantified the metabolic rate associated with burst-assisted swimming. Metabolic rate studies concerned 467 with burst-assisted swimming at high speeds remain challenged by the facts that the gait can be maintained for only a 468 short period of time (Farrell, 2007) and involves anaerobic metabolism (Burgetz et al., 1998; Lee et al., 2003b; 469 Svendsen et al., 2010) that may complicate the measurements (Farrell, 2007; Ellerby, 2010). Anaerobic metabolism is 470 evidenced by the activation of white muscles and the occurrence of glycolysis followed by EPOC (Burgetz et al., 1998; 471 Lee et al., 2003b; Farrell, 2007; Svendsen et al., 2010). Farrell reviewed past studies and discussed the paradox that the 472 relationship between swimming speed and aerobic  $MO_2$  often is exponential and not sigmoidal as predicted by the 473 anaerobic influence on the total metabolic cost (Farrell, 2007). The exponential relationship may be explained by a 474 number of factors including white muscles working in a partially aerobic fashion, and pooling fish that vary 475 considerably in their individual  $U_{crit}$  values, as this would tend to obscure any individual plateaus in  $MO_2$  (Farrell, 476 2007). The present study examined the relationship between swimming speed and  $MO_2$  up to  $U_{crit}$  in individual fish and 477 found no evidence of a sigmoidal relationship. Instead,  $MO_2$  continued to increase during burst-assisted swimming, and 478 the data points during unsteady swimming were higher than what would be expected based on extrapolation of the 479 values representing steady swimming. Correspondingly, unsteady swimming constituted 26.8% of the MS. The absence 480 of  $MO_2$  plateauing during unsteady swimming at increasing speeds suggests that anaerobic metabolism played a limited role in fuelling the swimming, even close to U<sub>crit</sub>. This inference is consistent with past studies suggesting limited 481 482 anaerobic capacity (Kieffer, 2000) and dependence on anaerobic power production during swimming (Goolish, 1991) in 483 small fish (< 10 cm in body length). On the other hand, we did find evidence of EPOC, indicating that anaerobic power 484 production occurred during the swimming trial. The majority of the post-exercise metabolic decline occurred within 0.3 485 h. Previous studies measuring metabolic recovery after  $U_{\rm crit}$  tests have reported recovery times from approximately 0.2 486 to 4 h (Brett, 1964; Bushnell et al., 1994; Reidy et al., 1995; Lee et al., 2003a; Lee et al., 2003b). Scaling relationships 487 between body size and the partitioning of aerobic and anaerobic power production in swimming fish remain an 488 important future avenue of empirical research.

In many terrestrial animals, gait transitions reduce metabolic cost of locomotion (Griffin et al., 2004; Rubenson et al., 2004; Nudds et al., 2011), but the proximate mechanism driving the transition may not be metabolic *per se*, but rather related to mechanical factors, such as musculoskeletal force (Farley and Taylor, 1991) and bone strain (Biewener 492 and Taylor, 1986). Compared to terrestrial locomotion, gait transitions in aquatic locomotion remain poorly understood. 493 The present study analysed metabolic consequences of the gait transition from steady to unsteady BCF swimming and 494 found that the metabolic rate increased after the transition. These data raises the question why an animal would perform 495 a transition to a gait that is less energy efficient. In labriform swimmers, it has been suggested that the pectoral-caudal 496 gait transition is driven by a need to supply additional mechanical power rather than to minimize metabolic swimming 497 costs (Alexander, 1989; Korsmeyer et al., 2002; Cannas et al., 2006; Jones et al., 2007; Kendall et al., 2007). Only small 498 amounts of muscle can be packed around the paired fins while larger amounts can be accommodated about the axial 499 skeleton driving caudal propulsion (Webb, 1998). The transition from steady to unsteady BCF swimming could have a 500 similar mechanistic basis, because additional mechanical power from white muscle fibres may be available after 501 transition to burst-assisted swimming. However, this remains speculation, and additional studies of muscle recruitment 502 patterns at increasing speeds combined with aerobic and anaerobic metabolic rates of disparate muscle types are 503 required to evaluate the hypothesis.

The  $U_{crit}$  protocol provides a measure of physiological endurance capacity, initially used in fisheries sciences (Brett, 1964; Beamish, 1978). Recently, studies have started to examine  $U_{crit}$  in ecological and evolutionary contexts (Claireaux et al. 2007; Oufiero et al. 2011; Dalziel and Schulte, 2012; Dalziel et al., 2012a; Dalziel et al., 2012b). Using individual fish, the present study demonstrated a relationship between pectoral fin use, steady swimming cost and  $U_{crit}$ . Fish with a low  $U_{crit}$  spent more time with extended pectoral fins and exhibited increased steady swimming cost. We found no evidence that  $MO_{2max}$  correlated with  $U_{crit}$ . These findings indicate that  $U_{crit}$  does not only reflect the physiological endurance capacity of individual fish, but indeed also the biomechanical performance.

This study shows that fish with a low  $U_{crit}$  may spend more energy on swimming, in comparison with fish with a 511 512 high  $U_{\rm crit}$  because the former fish have higher swimming costs during steady swimming. This may be particularly relevant in species living in lotic habitats. For example, Nelson and colleagues reported a positive relationship between 513 514 home-stream current velocity and U<sub>crit</sub> in blacknose dace (Rhinichthys atratulus Hermann) (Nelson et al., 2003). Our 515 finding that  $U_{\rm crit}$  correlates negatively with steady swimming costs indicates that not only will a high  $U_{\rm crit}$  allow fish to traverse fast flowing riffles without fatigue, as suggested by Nelson et al. (2003), a high  $U_{crit}$  also implies lower steady 516 517 swimming cost at current velocities that represent sub-maximal swimming speeds. Thus, because of superior 518 biomechanical performance, a high  $U_{crit}$  may allow fish to inhabit relatively high stream current velocities and yet have 519 relatively low swimming costs. As such, the observed intraspecific variation in pectoral fin use, swimming cost and  $U_{\rm crit}$ 520 could have implications for habitat use in individual fish. For example, Ellerby and Gerry showed that habitat use varies with individual differences in energy economy, steady-state swimming and maneuverability in bluegill sunfish 521 522 (Lepomis macrochirus Rafinesque) (Ellerby and Gerry, 2011). Although P. reticulata often occupy distinct pools in 523 rivers, it remains to be tested if intraspecific variation in pectoral fin use, swimming cost and  $U_{\rm crit}$  influence habitat use 524 within pools and to what degree such relationships (or lack of) affect daily energy expenditures (Careau and Garland, 2012). 525

In summary, this study found that elevated pectoral fin use is associated with increased swimming cost and decreased  $U_{crit}$ . It is unclear why some *P. reticulata* continued using the pectoral fins while others quickly ceased using them at increasing swimming speeds. We propose that use of the pectoral fins is related to stability and control rather than generation of forward thrust. Extending the pectoral fins may help maintain swimming stability, but it comes with increased swimming cost, which in turn is associated with reduced  $U_{crit}$ . The causes and consequences of variation in pectoral fin use remain, however, poorly understood and further study is warranted. Finally, we found that the aerobic
 metabolic rate increased after transition to burst-assisted swimming, and unsteady swimming constituted 26.8% of the
 MS.

535	LIST OF SYMB	OLS AND ABBREVIATIONS
536	a	oxygen consumption rate at zero swimming speed
537	a'j	fish specific log oxygen consumption rate at zero swimming speed
538	â <sub>j</sub>	estimated fish specific oxygen consumption rate at zero swimming speed
539	a <sub>j</sub>	fish specific oxygen consumption rate at zero swimming speed
540	AR1	autoregressive process of order 1
541	b	rate of increase in the oxygen consumption rate as a function of swimming speed. The parameter is
542		an index of swimming cost
543	b <sub>j</sub>	fish specific rate of increase in the oxygen consumption rate as a function of swimming speed
544	$\widehat{b}_{\mathrm{j}}$	estimated fish specific rate of increase in the oxygen consumption rate as a function of swimming
545		speed
546	e <sub>ij</sub>	autocorrelated residuals
547	EPOC	excess post-exercise oxygen consumption
548	$\mathcal{E}_{ij}$	uncorrelated residuals assumed to be independently and identically normally distributed
549	$L_{\mathrm{T}}$	total body length
550	$MO_2$	metabolic rate
551	MO <sub>2ij</sub>	metabolic rate for the i'th observation on the j'th fish
552	$MO_{2max}$	maximum metabolic rate
553	MO <sub>2max,j</sub>	fish specific maximum metabolic rate
554	$MO_{2std}$	standard metabolic rate
555	MS	metabolic scope
556	$\mu_{\mathrm{a}}$	mean fish specific log oxygen consumption rate at zero swimming speed
557	$\mu_{ m b}$	mean fish specific rate of increase in the oxygen consumption rate as a function of swimming speed
558	arphi	autoregressive (AR1) parameter
559	ρ	correlation between the fish specific log oxygen consumption rate at zero swimming speed $(a'_j)$ and
560		the fish specific rate of increase in the oxygen consumption rate as a function of swimming speed $(b_j)$
561	$\sigma_{\rm a}^2$	variance of the fish specific log oxygen consumption rate at zero swimming speed
562	$\sigma_{ m b}^{2}$	variance of the fish specific rate of increase in the oxygen consumption rate as a function of
563		swimming speed
564	$\sigma_{\epsilon}^2$	variance of the uncorrelated residuals ( $\varepsilon_{ij}$ )
565	RA	reproductive allocation
566	RA <sub>j</sub>	fish specific reproductive allocation
567	U	swimming speed

568	$U_{ m crit}$	critical swimming speed				
569	U <sub>crit,j</sub>	fish specific critical swimming speed				
570	$U_{ m STmax}$	gait transition speed from steady to unsteady swimming				
571						
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801 Figure Legends

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Figure 1. Use of pectoral fins and burst-assisted swimming (% of time) in 18 individual *P. reticulata* as a function of swimming speed (U; cm s<sup>-1</sup>). Pectoral fin use is indicated using a blue line, whereas burst-assisted swimming is indicated using a red line (unsteady swimming). The caudal fin was employed at all swimming speeds (i.e. body-caudal fin swimming). Each fish is represented by an average of 28 measurements of pectoral fin use and burst-assisted swimming.

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Figure 2. Oxygen consumption rate ( $MO_2$ ; mg  $O_2$  kg<sup>-1</sup> h<sup>-1</sup>) as a function of swimming speed (U; cm s<sup>-1</sup>) in 18 individual *P. reticulata*. Grey symbols represent  $MO_2$  when no burst-assisted swimming occurred (steady swimming), whereas red symbols represent  $MO_2$  when burst-assisted swimming occurred (unsteady swimming) (see Fig. 1). Each datum represents a 12 min period. Equation (1) was fitted to the data without burst-assisted swimming (black lines) (see Table 1). The slope of each black line represents the rate of increase in  $MO_2$  as a function of U and is considered an index of steady swimming cost in individual fish.

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Figure 3. Steady swimming cost as a function of the average pectoral fin use (% of time) in 18 individual *P. reticulata*. Steady swimming cost was measured as the rate of increase in the oxygen consumption rate as a function of swimming speed (see Fig. 2; Eqn 1; Table 1). Both steady swimming cost and average pectoral fin use were based on measurements up to the swimming speed where burst-assisted swimming occurred (see Fig. 1).

- Figure 4. Critical swimming speed  $(U_{crit}; \text{ cm s}^{-1})$  as a function of average pectoral fin use (% of time) in 18 individual *P. reticulata.* Average pectoral fin use was based on measurements up to  $U_{crit}$  (i.e. including burst-assisted swimming) (see Fig. 1).
- Figure 5. Steady swimming cost as a function of critical swimming speed ( $U_{crit}$ ; cm s<sup>-1</sup>) in 18 individual *P. reticulata*. Steady swimming cost was measured as the rate of increase in the oxygen consumption rate as a function of swimming speed (see Fig. 2; Eqn 1; Table 1). Steady swimming cost was based on measurements up to the swimming speed where burst-assisted swimming occurred (see Fig. 1).
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Figure 6. Oxygen consumption rate ( $MO_2$ ; mg  $O_2$  kg<sup>-1</sup> h<sup>-1</sup>) at the highest recorded swimming speed (closed circle), and post-exercise  $MO_2$  during the subsequent recovery phase (open circles). The swimming speed was adjusted to 2  $L_T$  s<sup>-1</sup> (total body lengths per second) during the recovery phase. Pre-exercise  $MO_2$  at 2  $L_T$  s<sup>-1</sup> is indicated using a solid line (95% CL; dashed lines).

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#### 840 Table 1

	Value	s.e.m.	d.f.	t	P
μ <sub>a</sub>	5.7622	0.0401	449	143.662	
μ <sub>b</sub>	0.0262	0.0018	449	14.807	< 0.001
σa	0.152				
σ <sub>b</sub>	0.0068				
ρ	-0.425				
σε	0.119				
φ	0.393				
Log-likelihood	321.3				

The significant *P*-value (<0.001) indicates that the mean rate of increase in  $\dot{M}_{O2}$  as a function of *U* is different from zero. Model components are described in the text and in the list of symbols and

abbreviations.

### 842 Table 2

-	Mean ± s.d.	Range
Wet reproductive allocation (%)	16.38±4.52	4.93-24.67
Dry reproductive allocation (%)	16.03±5.70	1.23-26.45
Pregnancy stage	24.72±17.53	0-50

Reproductive allocation (wet and dry) was defined as the mass of the reproductive tissues divided by the total body mass. Pregnancy stage was determined morphologically following standard procedures (Haynes, 1995). Pregnancy stage was inferred from embryonic development stages ranging from stage 0 (an egg with yolking ova) to stage 50 (fully

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ranging from stage 0 (an egg with yolking o developed embryos, ready to be born).

















