

# Projective Reconstruction and Its Application in Object Recognition for Robot Vision System

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## 1. Introduction

More and more applications (path planning of a robot, collision avoidance methods) require 3D description of the surround world. This chapter describes a 3D projective reconstruction method and its application in an object recognition algorithm.

The described system uses 2D (color or grayscale) images about the scene taken by uncalibrated cameras, tries to localize known object(s) and determine the (relative) position and orientation between them. The scene reconstruction algorithm uses simple 2D geometric entities (points, lines) produced by a low-level feature detector as the images of the 3D vertices and edges of the objects. The features are matched across views (Tél & Tóth, 2000). During the projective reconstruction the 3D description is recovered. The developed system uses uncalibrated cameras, therefore only projective 3D structure can be detected defined up to a collineation. Using the Euclidean information about a known set of predefined objects stored in database and the results of the recognition algorithm, the description could be updated to a metric one.

### Projective reconstruction methods

There are many known solutions to the projective reconstruction problem. Most of the developed methods use point features (e.g. vertices), but there are extensions to use higher order features, such as lines and curves (Kaminski & Shashua, 2004). The existing methods can be separated into three main groups. The *view tensors* describe the algebraic relationships amongst coordinates of features in multiple images that must be satisfied in order to represent the same spatial feature in 3D scene (Faugeras & Mourrain, 1995). These methods estimate fundamental matrix from two views (Armangué et al., 2001) or trifocal tensor from three views (Torr & Zisserman, 1997). The *factorization based methods* use the fact that collecting the weighted homogeneous (point) projection vectors into a large matrix (measurement matrix), the rank must be four, because it is a product of two rank four matrices. An iterative solution to solve this problem can be found in (Han & Kanade 2000). In *bundle adjustment methods* the reprojection errors between original image feature locations and an estimated projection of spatial feature locations are minimized. The solution for the problem can be found applying e.g. nonlinear least squares algorithm (Levenberg-Marquardt).

### Object recognition methods

The aim of object recognition methods is to recognize objects in the scene from a *known* set of objects, hence some *a-priori* information is required about the objects. These types of

methods are called *model based* object recognition methods, where predefined object databases are used to store the required information about the objects. There are many classification types of the applied (and stored) object models, such as object-centered or viewer-centered models, physical or geometrical models, rigid or deformable models, etc. The dimensionality of the used information is also changed in different recognition systems, there are 2D only, mixed and 3D only systems. The developed algorithms are usually evaluated against set of different criteria, such as search complexity, discriminative power and robustness. The *appearance based methods* use 2D images as object representations. Using multiple views, the stored information can be reduced to a minimal set. Here the intensity distribution of the images is used as the basis of the comparison of the similarity of the projected intensity image among views. The two different strategies are global ones, e.g. eigenface (Belhumeur et al., 1997) or local approach, where local properties of the images (neighborhood of edges or corner points) are used to improve the discriminative power, e.g. GLOH (Mikolajczyk & Schmid, 2005)).

The *aspect graph methods* use the changes in the projected geometry of the objects and group views bounded by transitions of the geometry (Schiffenbauer, 2001). The information reduction is based on the determination of general views, which are equivalent with each other.

The *indexing based methods* use those properties of the data that are invariant against a selected group of transformations. In this case the transformation describes the relationship between the object data as stored in the database and scene information, therefore the transformations could be rigid (translation and rotation), similarity (rigid and scaling), etc., up to the most general projective one (collineation). The most widely used methods are based on the geometric hashing (Wolfson & Rigoutsos, 1997). In this case subsets of features (points) are selected that can be used to form a basis and define local coordinate system with that basis. Calculating the coordinates of all of the remaining features in this coordinate system and quantizing the calculated coordinates a hash table is constructed. During the query a similar method is applied and vote is generated into the respecting entry of the hash table.

### **Euclidean update methods**

The last step of the reconstruction is (if the robot control application requires) the update of the reconstructed data from projective to a metric one. There are several algorithms that address this issue. One group of applications uses known a-priori information to recover metric information. In (Boufama et al., 1993) e.g. the coordinates of known points, points laying on the plane of the given (reference) frame, known alignment of points on vertical or horizontal line and known distance between points are used to involve metrical information into the reconstruction. In (Faugeras, 1995) an update sequence is described, that converts the reconstruction from projective to affine, then from affine to Euclidean. The proposed a-priori information is either the known motion of the camera, parallelity of lines (for affine) or angle between lines (for Euclidean) reconstruction.

The other type of methods uses the hypothesis of fixed (but unknown) intrinsic camera parameters. These algorithms are known camera self-calibration methods. This yields the intrinsic parameters of the cameras using only imaging information. (Hartley, 1993) supposes that the cameras have common calibration matrices and uses nonlinear minimizations to calculate camera matrices. A huge nonlinear minimization is achieved to get the final description.

The chapter is divided in sections as follows. Section 2 gives an overview of the most important methods of projective reconstruction. The main part of the chapter is section 3 dealing with object recognition based on a new indexing method. Section 4 presents a method of Euclidean reconstruction assuming uncalibrated cameras for robot applications if the goal is to find the relative position and orientation between the gripper and the recognized object. Section 6 contains the conclusions and some directions of future developments. Section 7 is the Appendix summarizing the basic results of projective geometry and notations used in the chapter.

## 2. Projective reconstruction

The developed system uses two types of reconstruction algorithms, the first uses point features only and the other uses point and line features together.

### 2.1 Cost function for points

Using the pinhole camera model the projection equation for points can be written into linear form  $\rho_{ij}\mathbf{q}_{ij} = \mathbf{P}_i\mathbf{Q}_j$ . In this case the scale factor  $\rho_{ij}$  denotes the projective depth of the given point. If there are  $m$  cameras and  $n_p$  points in the scene, then the number of projected image points (and scale factors) are  $m \times n_p$ . But only  $m + n_p$  are independent amongst them, therefore the projective depths should be decomposed into camera dependent and feature dependent parts. The decomposition equation can be written as a product of two other quantities:  $\rho_{ij} = \pi_i\gamma_j$ . Using this decomposition, the projection of a point is described by  $\pi_i\gamma_j\mathbf{q}_{ij} = \mathbf{P}_i\mathbf{Q}_j$ . This decomposition has some advantages: i) the system is described with the minimum number of parameters, therefore the parameterization is consistent. ii) the number of unknowns is greatly reduced. E.g.  $m = 3, n_p = 40 \rightarrow N(\pi_i, \gamma_j) \leq 43 \ll N(\rho_{ij}) = 120$ . If the  $\rho_{ij}$  projection depths were known, the joint projection matrices  $\mathbf{P}_i$  and the projective shape  $\mathbf{Q}_j$  could be determined by using a rank 4 decomposition method, this is the base of the factorization methods.

In order to minimize a physically meaningful quantity, the weighted reprojection error used in the cost function has the form

$$E_p(\cdot) = \sum_{i=1}^m \sum_{j=1}^{n_p} \omega_{ij}^2 \left\| \pi_i \gamma_j \mathbf{q}_{ij} - \mathbf{P}_i \mathbf{Q}_j \right\|^2 \quad (1)$$

where the unknowns are  $\pi_i, \gamma_j, \mathbf{P}_i, \mathbf{Q}_j$ .

### 2.2 Cost function for points and lines

At first sight it seems a natural choice to extend the decomposition algorithm to lines simply writing the line projection equations into similar form as in the points-only case using the line projection matrix  $\mathbf{G}_i$ , see (A7) in Appendix:

$$E_L(\cdot) = \sum_{i=1}^m \sum_{j=1}^{n_l} \omega_{ij}^2 \left\| \pi_i \lambda_j \mathbf{l}_{ij} - \mathbf{G}_i \mathbf{\Lambda}_j \right\|^2$$

But unfortunately i) the projective depth could not directly be interpreted for lines, ii) the mapping between elements of the point and the line projection matrices is a non-linear function, iii) there exists no distance metric that can easily (linearly) be expressed with the terms of 2D line.

Therefore the original error function (1) was modified. The calculation of projective depths was eliminated using a cross product instead of difference, namely  $\mathbf{q}_{ij} \sim \mathbf{P}_i \mathbf{Q}_j \rightarrow \mathbf{q}_{ij} \times (\mathbf{P}_i \mathbf{Q}_j) = 0$ . This error is an algebraic distance, it describes the incidence relation between the true (2D feature point) and the projected point. For lines, similar error metric (geometric configurations) was defined:

- The incidence relation of 2D line feature and a projected 3D point is  $\mathbf{l}_{ik} \mathbf{P}_i \mathbf{Q}_k (\Lambda, t) = 0$ , where  $\mathbf{Q}_k (\Lambda, t)$  is the  $t$ 'th point on the  $\Lambda$  3D line in Plücker representation (A3). The points can be extracted from Plücker matrix using SVD, see (A5) and (A25). This form can be used during the calculation of  $\mathbf{P}$  matrices (resection phase).
- The identity relation of the 2D line feature and a projected 3D line is  $\mathbf{l}_{ik} \times (\mathbf{G}_i \Lambda_k) = 0$ . This form can be used during the calculation of  $\Lambda$  vectors (intersection phase).
- The containment relation of 3D line and a plane. The plane can be determined as a backprojected 2D line:  $\mathbf{S}_{ik} = \mathbf{P}_i^T \mathbf{l}_{ik}$ . The line  $\Lambda_k$  lies on the plane if  $\mathbf{U}(\mathbf{S}_{ik}) \Lambda_k = 0$ , where  $\mathbf{U}(\mathbf{S}_{ik})$  is defined by (A10) in Appendix. This form can be used during the calculation of  $\Lambda$  vectors (intersection phase).

### 2.3 Minimization of the cost functions

It can be seen, that the cost functions  $E_P(\cdot)$  and  $E_L(\cdot)$  are nonlinear in the unknowns and their minimization is similar. A possible solution could be the use of the Levenberg-Marquardt method and general initial values to directly minimize this cost function. But fortunately the parameters to be estimated can be separated into different groups, because they are "independent" from each other (e.g. 3D features are independent from each other, because they depend only on the objects in the scene and they are not influenced by the projections). This is the well-known resection-intersection method that holds every group of parameters fixed, except those, that are currently minimized. Therefore the minimization of  $E_P(\cdot)$  can be achieved in repeated steps. After every iteration the revaluation of the  $\omega_{ij}$  weighting factors are achieved and the actual value of the cost function is calculated. If the cost is less than a desired threshold (or maximum allowed number of iterations is reached), the algorithm terminates. The estimation of the given entity can be calculated by making the derivative of  $E_P(\cdot)$  by the respecting entity to zero and the solution can be found in closed form for each of the features, see (Tél & Lantos, 2007) for details.

For the more general mixed case the detailed calculations are as follows. The error function for the *intersection phase* is

$$E_I(\cdot) = \sum_{i=1}^m \sum_{j=1}^{n_P} \omega_{Q,ij}^2 \left\| \mathbf{q}_{ij} \times (\mathbf{P}_i \mathbf{Q}_j) \right\|^2 + \sum_{i=1}^m \sum_{k=1}^{n_L} \omega_{\Lambda,ik}^2 \left\| f(\mathbf{P}_i, \mathbf{l}_{ik}) \right\|^2$$

where  $f(\mathbf{P}_i, \mathbf{l}_{ik}) = \mathbf{l}_{ik} \times (\mathbf{G}_i \Lambda_k)$  or  $f(\mathbf{P}_i, \mathbf{l}_{ik}) = \mathbf{U}(\mathbf{S}_{ik}) \Lambda_k$ .

During this phase, the  $\mathbf{P}_i$  (therefore the  $\mathbf{G}_i$ ) projection matrices are held fixed. After some manipulation the  $E_I(\cdot)$  can be written into the following form:

$$E_I(\cdot) = \sum_{j=1}^{n_p} \sum_{i=1}^m \omega_{Q,ij}^2 \mathbf{Q}_j^T \mathbf{A}_{I,ij}^T \mathbf{A}_{I,ij} \mathbf{Q}_j + \sum_{k=1}^{n_L} \sum_{i=1}^m \omega_{\Lambda,ik}^2 \mathbf{\Lambda}_k^T \mathbf{B}_{I,ik}^T \mathbf{B}_{I,ik} \mathbf{\Lambda}_k$$

where  $\mathbf{A}_{I,ij} = [\mathbf{q}_{ij}]_{\times} \mathbf{P}_i$  and  $\mathbf{B}_{I,ik} = [\mathbf{l}_{ik}]_{\times} \mathbf{G}_i$  or  $\mathbf{B}_{I,ik} = \mathbf{U}(\mathbf{S}_{ik})$ . The estimation for the  $j$ 'th feature can be calculated by making the derivative of  $E_I(\cdot)$  by  $\mathbf{Q}_j$  and  $\mathbf{\Lambda}_k$  to zero, respectively. After the differentiation the solution for each  $\mathbf{Q}_i$  and  $\mathbf{\Lambda}_k$  can be found in closed form. During the calculation of  $\mathbf{Q}_j$  an additional constraint must be introduced, in order to eliminate trivial all zero case. The solution of the problem for  $\mathbf{Q}_j$  is the normalized

eigenvector corresponding to the smallest eigenvalue of the matrix  $\mathbf{C}_{R,ij} = \sum_{i=1}^m \omega_{Q,ij}^2 \mathbf{A}_{I,ij}^T \mathbf{A}_{I,ij}$

During the calculation of  $\mathbf{\Lambda}_k$  lines, two additional constraints must be fulfilled. The first one is the elimination of the trivial (all zero) case, the second one is the Plücker constraint for vector  $\mathbf{\Lambda}_k$ , see (A3). The measurement error part is similar to the point-case but here the

matrix is  $\mathbf{D}_{I,ik} = \sum_{i=1}^m \omega_{\Lambda,ik}^2 \mathbf{B}_{I,ik}^T \mathbf{B}_{I,ik}$ . The error function with the constraint can be written into the matrix equation  $\mathbf{\Lambda}_k^T (\mathbf{D}_{I,ik} + \alpha \mathbf{\Delta}) \mathbf{\Lambda}_k = 0$ . Taking the derivative by  $\mathbf{\Lambda}_k$  and rearranging the terms yields  $(\alpha := -\alpha) \mathbf{D}_{I,ik} \mathbf{\Lambda}_k = \alpha \mathbf{\Delta} \mathbf{\Lambda}_k$ . The matrix  $\mathbf{\Delta}$  in (A4) is invertible and  $\mathbf{\Delta}^{-1} = \mathbf{\Delta}$ , therefore the (approximate) solution of the problem for  $\mathbf{\Lambda}_k$  is the vector corresponding to the smallest singular value of the matrix  $\mathbf{D}_{\Delta} = \mathbf{\Delta} \mathbf{D}_{I,ik}$ .

The error function for the *resection phase* is

$$E_R(\cdot) = \sum_{i=1}^m \sum_{j=1}^{n_p} \omega_{Q,ij}^2 \left\| \mathbf{q}_{ij} \times (\mathbf{P}_i \mathbf{Q}_j) \right\|^2 + \sum_{i=1}^m \sum_{k=1}^{n_L} \omega_{\Lambda,ik}^2 (\mathbf{l}_{ik} \mathbf{P}_i \mathbf{Q}_k(\Lambda, t))^2$$

During this phase the  $\mathbf{Q}_j$  and  $\mathbf{\Lambda}_j$  entries are held fixed. Again the cameras are independent from each other. After some manipulation  $E_R(\cdot)$  can be rewritten into the form

$$E_R(\cdot) = \sum_{i=1}^m \mathbf{P}_i^T \left( \sum_{j=1}^{n_p} \omega_{Q,ij}^2 \mathbf{A}_{R,ij}^T \mathbf{A}_{R,ij} + \sum_{k=1}^{n_L} \omega_{\Lambda,ik}^2 \mathbf{g}(t)_{R,ik} \mathbf{g}(t)_{R,ik}^T \right) \mathbf{P}_i$$

$$\text{where } \mathbf{A}_{R,ij} = \begin{pmatrix} \mathbf{0}_4^T & -w_{ij} \mathbf{Q}_{ij}^T & v_{ij} \mathbf{Q}_{ij}^T \\ w_{ij} \mathbf{Q}_{ij}^T & \mathbf{0}_4^T & -u_{ij} \mathbf{Q}_{ij}^T \\ -v_{ij} \mathbf{Q}_{ij}^T & u_{ij} \mathbf{Q}_{ij}^T & \mathbf{0}_4^T \end{pmatrix} \text{ and } \mathbf{g}_{R,ij} = \begin{pmatrix} a \mathbf{Q}_j^T(L, t) & b \mathbf{Q}_j^T(L, t) & c \mathbf{Q}_j^T(L, t) \end{pmatrix}^T.$$

The estimation for the  $i$ 'th camera can be calculated by making the derivative of  $E_R(\cdot)$  by  $\mathbf{P}_i$  to zero. Note, that in this case the error function contains only the "point-form"  $\mathbf{P}$  of the projection matrices. An additional constraint must be introduced, in order to eliminate trivial  $\mathbf{p} = \mathbf{0}$  case. The solution of the problem is the normalized eigenvector corresponding to the smallest eigenvalue of the matrix

$$\mathbf{C}_{R,i} = \sum_{j=1}^{n_p} \omega_{Q,ij}^2 \mathbf{A}_{R,ij}^T \mathbf{A}_{R,ij} + \sum_{k=1}^{n_L} \omega_{\Lambda,ik}^2 \mathbf{g}(t)_{R,ik} \mathbf{g}(t)_{R,ik}^T$$

## 2.4 Initialization of the entities

The parameters of the cost function are estimated using an iterative method, therefore an initial estimation for its values is required. The developed initialization algorithm:

1. Choosing a subset (pair) of views and subset of points that can be seen on all of the selected images (note: the developed algorithm chooses the views that have the largest number of point correspondences). Using these points a rank 4 factorization method is achieved. This gives initial estimation for the given projection matrices and for selected points.
2. Calculate the projection matrix of a new (not yet processed) view using the points detected on that view and have the spatial coordinates already determined. This can be achieved in closed form using SVD.
3. Calculate the spatial coordinates of the not-yet initialized points, that have projection on the images with determined projection matrix, by using triangulation-like method (Hartley & Sturm, 1997). This means the determination of a point which has minimal distance from the rays connecting the image points and the camera focal points in least squares sense. The solution can be found using SVD.
4. In order to initialize the line features, the algorithm uses the fact that  $\mathbf{M}_{ij} = \mathbf{P}_i^T \mathbf{l}_{ij}$  yields a plane that goes through the optical center of the camera and the projected image of the line. Theoretically these planes intersect in the spatial line. Taking more than two views, the solution can be found using SVD. The matrix  $\mathbf{A} = (\mathbf{M}_{ij} \ \cdots \ \mathbf{M}_{mj})^T$  is a rank 2 matrix, therefore the two left null vectors yield two points whose join yields the desired line equation.

The algorithm repeats steps 2 and 3 until all of the projection matrices are calculated.

## 2.5 Minimization remarks

The two developed algorithms have some common properties.

- Handling of missing data (features having no projection on the given view) during the minimization is simple, the algorithms skip those entries in the error function that do not have valid  $\mathbf{q}_{ij}$ ,  $\mathbf{l}_{ik}$  respectively.
- In order to eliminate the effect of the outliers (caused by badly matched feature projections), the camera matrices are estimated only from some subsets of the features in each iteration cycle. These features are selected in a random way and the projection matrix yielding the smallest reprojection error is used in the further steps. The  $\omega_{ij}$  weights can be used to make the algorithm more robust, e.g. decrease the influence of features with larger error.

## 3. Object recognition

The developed object recognition method uses permutation and projective invariant based indexing to recognize known object(s) in the scene. A verification step is achieved to finalize the results.

### 3.1 Invariants

During the recognition process two sets of entities are used. The first one is the feature sets of the object as stored in the object database. The second one is the features of the recovered

scene. Some elements (a subset) represent the same entity in different context (e.g. two representations of the geometric primitives in different coordinate systems). In order to determine the pairing of the two representations of the same entities the process requires the usage of those properties which are not changing (invariant) between representations.

Formally this can be written into the following form. Let  $T \in T$  denote the (linear) transformation between representations and  $G$  denote the geometric structure that describes the configuration. The number of functionally independent invariants can be calculated as

$$N_I = \dim(G) - \dim(T) + \dim(T_G), \quad (2)$$

where  $T_G$  denotes the isotropy subgroup (if exists), that leaves  $G$  unaffected using  $T$  and  $\dim(\cdot)$  denotes the dimension of the given entity.

In case of projective invariants the relation between the two representations (Euclidean object database vs. output of the projective reconstruction) can be described with a 3D projective transformation (collineation). The number of parameters which describe the used entities are as follows.

- 3D point can be described with a 4-vector determined up to a scale. The degree of freedom is 3.
- 3D line can be described with a 6-vector determined up to a scale and a constraint (Plücker). The degree of freedom is 4.
- 3D projective transformation can be described with a 4x4 matrix determined up to a scale. The degree of freedom is 15.

Using these values the minimum number of entities to determine the invariant(s) is:

- 6 points yield  $6 \times 3 - 15 + 0 = 3$  independent invariant
- 4 points and a line yield  $(4 \times 3 + 4) - 15 + 0 = 1$  independent invariant
- 2 points and 3 lines yield  $(2 \times 3 + 3 \times 4) - 15 + 0 = 3$  independent invariants
- 3 points and 2 lines yield  $(3 \times 3 + 2 \times 4) - 15 + 0 = 2$  independent invariants
- 4 lines yield  $4 \times 4 - 15 + 1 = 2$  independent invariants

The basic element of the projective invariants is the cross ratio and its generalizations for higher dimensions, see (A12), (A14) and (A15). In the following, using the different geometric configurations to calculate invariants, it is supposed that the elements are in general positions. Apart from the trivial degenerate cases, the nontrivial configurations will be determined.

An invariant could be undetermined, if one or more determinants are zero. This means coincident point(s) and/or lines. All of these cases are eliminated from further investigation.

#### Invariants of 6 points

As shown in (2) and also e.g. in (Quan, 1995), the number of independent solutions is 3. Using the ratio of product of determinants, a possible combination of independent invariants are:

$$I_1 = \frac{|\mathbf{Q}_1 \mathbf{Q}_2 \mathbf{Q}_3 \mathbf{Q}_5| \cdot |\mathbf{Q}_1 \mathbf{Q}_2 \mathbf{Q}_4 \mathbf{Q}_6|}{|\mathbf{Q}_1 \mathbf{Q}_2 \mathbf{Q}_3 \mathbf{Q}_6| \cdot |\mathbf{Q}_1 \mathbf{Q}_2 \mathbf{Q}_4 \mathbf{Q}_5|}, I_2 = \frac{|\mathbf{Q}_1 \mathbf{Q}_2 \mathbf{Q}_3 \mathbf{Q}_5| \cdot |\mathbf{Q}_1 \mathbf{Q}_3 \mathbf{Q}_4 \mathbf{Q}_6|}{|\mathbf{Q}_1 \mathbf{Q}_2 \mathbf{Q}_3 \mathbf{Q}_6| \cdot |\mathbf{Q}_1 \mathbf{Q}_3 \mathbf{Q}_4 \mathbf{Q}_5|}$$

$$I_3 = \frac{|\mathbf{Q}_1 \mathbf{Q}_2 \mathbf{Q}_3 \mathbf{Q}_5| \cdot |\mathbf{Q}_2 \mathbf{Q}_3 \mathbf{Q}_4 \mathbf{Q}_6|}{|\mathbf{Q}_1 \mathbf{Q}_2 \mathbf{Q}_3 \mathbf{Q}_6| \cdot |\mathbf{Q}_2 \mathbf{Q}_3 \mathbf{Q}_4 \mathbf{Q}_5|}$$

There are many ways to create a geometric configuration to represent the situation from which it is possible to calculate the cross ratio. Taking two points  $Q_1$  and  $Q_2$  as the axis, and using the remaining points  $Q_i, i = 3, 4, 5, 6$ , four planes (pencil of planes) can be formed. The cross ratio of these planes can be determined as the cross ratio of points created as the intersection of these planes with an arbitrary line not intersecting the axis.

#### Invariant of 4 points and a line

Let  $Q_{L,i}, i = 1, 2$  denote two arbitrary distinct points on the line. In this case the invariant in the determinant form is:

$$I = \frac{|Q_{L,1}Q_{L,2}Q_1Q_3| \cdot |Q_{L,1}Q_{L,2}Q_2Q_4|}{|Q_{L,1}Q_{L,2}Q_1Q_4| \cdot |Q_{L,1}Q_{L,2}Q_2Q_3|}$$

The geometrical situation is similar to the 6 point case, but the axis of the pencil of planes is the line.

#### Invariants of 3 points and 2 lines

Let the two lines be denoted by  $L$  and  $K$ , and  $Q_{L,i}, Q_{K,i}, i = 1, 2$  are two points on these lines, respectively. As shown above, there must be two independent invariants for this configuration.

$$I_1 = \frac{|Q_{L,1}Q_{L,2}Q_1Q_2| \cdot |Q_{K,1}Q_{K,2}Q_1Q_3|}{|Q_{L,1}Q_{L,2}Q_1Q_3| \cdot |Q_{K,1}Q_{K,2}Q_1Q_2|}, \quad I_2 = \frac{|Q_{L,1}Q_{L,2}Q_1Q_2| \cdot |Q_{K,1}Q_{K,2}Q_2Q_3|}{|Q_{L,1}Q_{L,2}Q_2Q_3| \cdot |Q_{K,1}Q_{K,2}Q_1Q_2|}$$

A possible geometric configuration to determine the cross ratio is the three planes formed by  $L$  and points  $Q_i, i = 1, 2, 3$ , and the plane generated by the three points. Using the line  $K$  to cut through these planes, the intersection of the line and the planes gives four points. The other invariant can be determined by interchanging the role of the lines.

#### Invariants of 2 points and 3 lines

Let  $L_i, i = 1, 2, 3$  and  $Q_j, j = 1, 2$ , be the three lines and two points, respectively.

Geometrically, four planes could be defined from a pair of a point and a line. For example, let the four planes:  $(L_1, Q_1)$ ,  $(L_1, Q_2)$ ,  $(L_2, Q_1)$  and  $(L_2, Q_2)$ . The remaining line  $L_3$  intersects these planes and the four intersection points on the line determine the cross ratio. The other two invariants could be calculated using lines 1,3 and 2,3 in plane definition.

#### Invariants of 4 lines

Let  $L_i, i = 1, 2, 3, 4$  be the four lines. This configuration has  $4 \times 4 - 15 + 1 = 2$  projective invariants, because there is an isotropy subgroup of any collineation of 3D projective space that leaves the four lines in place (Hartley, 1992). Algebraically the invariants can be written as:

$$I_1 = \frac{|Q_{1,1}Q_{1,2}Q_{2,1}Q_{2,2}| \cdot |Q_{3,1}Q_{3,2}Q_{4,1}Q_{4,2}|}{|Q_{1,1}Q_{1,2}Q_{3,1}Q_{3,2}| \cdot |Q_{2,1}Q_{2,2}Q_{4,1}Q_{4,2}|}, \quad I_2 = \frac{|Q_{1,1}Q_{1,2}Q_{2,1}Q_{2,2}| \cdot |Q_{3,1}Q_{3,2}Q_{4,1}Q_{4,2}|}{|Q_{1,1}Q_{1,2}Q_{3,1}Q_{3,2}| \cdot |Q_{2,1}Q_{2,2}Q_{4,1}Q_{4,2}|}$$

where  $Q_{i,j}$  denotes the  $j$ 'th point on the line  $L_i$ .

### 3.2 Projective and permutation Invariants

It is shown in (A13), that there are six possible values for the cross ratio for four collinear points. Using higher dimensional configurations, the situation is worse, 6 points has  $6! = 720$



possible labeling. Therefore in order to use the invariants for indexing in the object database, the complexity of the query must be reduced. This means that the effect of labeling (permutations of the geometric entities) must be eliminated.

As it was shown previously, the invariants of different geometric configurations of points and lines can be written as the ratio of product of determinants. According to the simplest generalization of the form, at least  $N + 3$  points required in an  $N$ -dimensional space, thus

$$I(Q_1, Q_2, \dots, Q_N, Q_{N+1}, Q_{N+2}, Q_{N+3}) = \frac{|Q_1 Q_2 \dots Q_N Q_{N+2}| \cdot |Q_1 Q_2 \dots Q_{N+1} Q_{N+3}|}{|Q_1 Q_2 \dots Q_N Q_{N+3}| \cdot |Q_1 Q_2 \dots Q_{N+1} Q_{N+2}|}.$$

It can be seen, that in this case the changing of the labeling of the first  $N - 1$  points leaves the value of the invariant intact (the sign changes of the four determinants cancel each other), the permutation of the last four points yields the six different values. Therefore the permutations inside the invariant can be separated as

$$I(\pi(Q_1, \dots, Q_N, Q_{N+1}, Q_{N+2}, Q_{N+3})) = I(\pi_1(Q_1, \dots, Q_{N-1})\pi_2(Q_N, Q_{N+1}, Q_{N+2}, Q_{N+3}))$$

where  $\pi$  denotes the permutations of the elements. Interchanging the elements between  $\pi_1$  and  $\pi_2$  yields other invariants. Putting together, the projective and permutation invariants must fulfill two requirements:

- *Problem 1:* Eliminate the effect of the six possible values of the cross ratio. This can be accomplished using algebraic or stereographic permutation invariants.
- *Problem 2:* Eliminate the effect of interchanging the elements between  $\pi_1$  and  $\pi_2$ .

#### Permutation invariants for cross ratio

In the solutions proposed by (Meer et al, 1998), (Csurka & Faugeras, 1999), the elimination of the effect of the different labeling inside the cross ratio is achieved in an algebraic way using higher order symmetric polynomials. The developed method follows a different method, applies a stereographic projection and a periodic function to give a solution for *Problem 1*.

#### Stereographic permutation invariants for cross ratio

As it can be seen in Fig. 1 (left), the plot of the six possible permutations of the cross ratio is symmetrical to the value 0.5 and (projectively)  $\infty$ . By pairs equating the three basic functions (occurs in cross-ratio)  $\{x, 1/x, 1-x\}$  yields  $x = 1/x \rightarrow x = \pm 1$  and  $x = 1-x \rightarrow x = 0.5$ , the mapping of these values could be calculated. (Note that the third possible combination  $1/x = 1-x$  does not give real solution.)

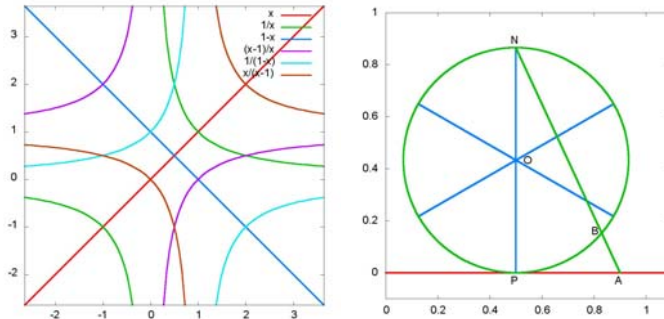


Fig. 1. Effect of permutations inside cross ratio (left), stereographic projection (right)

Considering Table 1 (note, that in projective manner the values  $\infty$  and  $-\infty$  represents the same) it can be concluded, that the key values of the six mappings are  $(-1, 0, 0.5, 1, 2, \infty)$ , because they form a closed set respecting to these mappings. In order to generate permutation invariants, application of such periodic function(s) is required that gives same value to the six possible combinations of the basic functions. This could be achieved in a two step process.

X	-1	0	0.5	1	2	$\infty$
1/x	-1	$\infty$	2	1	0.5	0
1-x	2	1	0.5	0	-1	$\infty$

Table 1. Key values mappings inside cross ratio

### Stereographic projection

In order to define a periodic function, the mapping of the infinite line (possible values of cross ratios) onto a circle is required. This could be achieved with the stereographic projection (used in the developed system, Fig. 1, right) or gnomonic projection. The parameters of the circle can be determined from the following constraints

- The values in the same pair must be mapped on the opposite side of the circle
- The infinity on the line must be mapped into the “north pole”. Therefore the value 0.5 must be on the “south pole” (at point P).
- The arrangement of the (six) key values must be symmetrical.
- The mapping is continuous.

This yields, that the values  $(0.5, 1, 2, \infty, -1, 0)$  are mapped onto the angles  $\angle(POB) = (0, \pi/3, 2\pi/3, \pi, 4\pi/3, 5\pi/3)$ , respectively. Note, that the  $2 \times \angle(PNB) = \angle(POB)$ , because  $\angle(POB)$  is the central angle and  $\angle(PNB)$  is the respecting inscribed angle. The radius of the circle can be determined as  $\tan(\angle(PNA)) = \frac{A-P}{2r} \rightarrow r = \frac{A-P}{2 \tan(\angle(PNA))}$ .

Substituting the values  $(A=1, P=0.5, \angle(PNB) = \pi/6, \tan(\angle(PNB)) = 1/\sqrt{3})$  gives  $r = \sqrt{3}/4$ . The PDF (probability density function) of the stereographic permutation invariants is shown in Fig. 2.

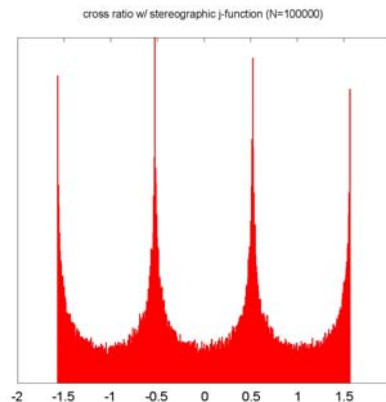


Fig. 2. Probability density function of stereographic permutation invariants

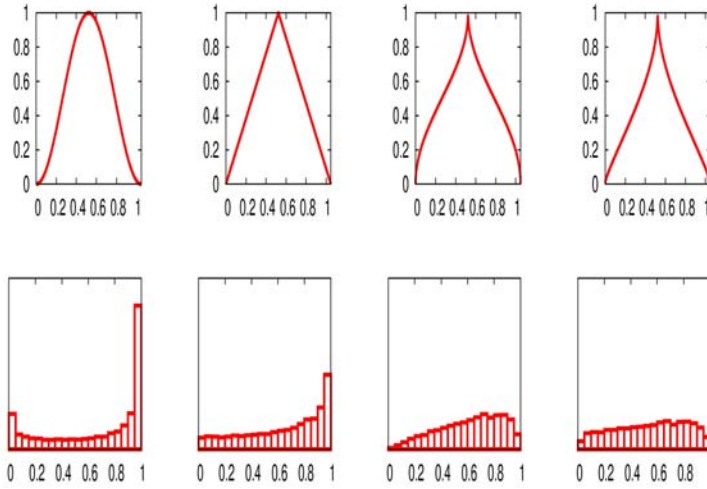


Fig. 3. The effect of nonlinear periodic functions (upper line) to the approximations of uniformly distributed PDF (lower line)

#### Application of a periodic function

Using the output of the stereographic mapping, the aim is to define a periodic function that fulfills the  $J_p(I) = J_p(I + (k\pi/6))$ ,  $k = 0, \dots, 5$  requirement. From the practical point of view, the outputs of the tested functions are mapped into  $[0, 1]$  interval. In order to apply a simple (Euclidean) distance function during the indexing, a nonlinear transformation must be defined such a way, that the output density must be close to the uniform one. Amongst the several possibilities, the following functions (whose period is  $\pi/6$ , against  $\arcsin(\sin(x)) = x$  whose period is  $2\pi$ ) are tested (see Fig. 3 and note, the first row shows only one period of functions):

- $J_{p1} = \sin^2(3I)$
- $J_{p2} = (2/\pi) |\arcsin(\sin(3I))|$
- $J_{p3} = (2/\pi) \arcsin(\sqrt{(2/\pi) |\arcsin(\sin(3I))|})$
- $J_{p4} = 0.57(J_{pb}(I) - 6J_{pb}(I - (\pi/6))) + 0.86$ , where  

$$J_{pb} = (1/\pi) \arcsin(\sqrt{(1/\pi) |\arcsin(\sin(3I))|})$$

Examining the PDF of the invariants applying the different functions, it can be seen that the  $J_{p4}$  gives the PDF closest (most similar) to the uniform distribution.

The output of the periodic function gives the solution to the *Problem 1*.

#### Elimination of the effect of element interchanges

The next step is to eliminate the effect of interchanging the elements between two permutation groups (giving solution to the *Problem 2*). The number of possible combinations is  $\binom{N+3}{4}$ . Therefore the permutation invariant is not a single value but a vector  $\mathbf{J}$ . In order

to remove the effect of the initial labeling of  $N+3$  points, the vector must be sorted. The applicability of the following configurations is checked: 6 points, 1 line + 4 points, 2 lines + 3 points, 3 lines + 2 points, 4 lines, 5 lines.

#### Configuration: 6 points

In case of six points, interchanging the elements between the permutation groups yields the invariant vector

$$\mathbf{J} = S \left( J(I_1), J(I_2), J\left(\frac{I_1}{I_2}\right), J\left(\frac{I_1-1}{I_2-1}\right), J\left(\frac{I_2(I_1-1)}{I_1(I_2-1)}\right), J(I_3), J\left(\frac{I_1}{I_3}\right), J\left(\frac{I_1-1}{I_3-1}\right), J\left(\frac{I_3(I_1-1)}{I_1(I_3-1)}\right), \right. \\ \left. J\left(\frac{I_2}{I_3}\right), J\left(\frac{I_2-1}{I_3-1}\right), J\left(\frac{I_3(I_2-1)}{I_2(I_3-1)}\right), J\left(\frac{I_3-I_1}{I_3-I_2}\right), J\left(\frac{I_2(I_3-I_1)}{I_1(I_3-I_2)}\right), J\left(\frac{(I_2-1)(I_3-I_1)}{(I_1-1)(I_3-I_2)}\right) \right) \quad (3)$$

where  $I_1$ ,  $I_2$  and  $I_3$  are the invariants belonging to the permutation group  $\pi_1(1,2)\pi_2(3,4,5,6)$  of points,  $S(\cdot)$  denotes the sorting operator. The number of points in the configuration is six but the vector  $\mathbf{J}$  has 15 elements. Therefore no one-to-one mapping exists between the points and the elements of the vector. Instead, the mapping exists between pairs of points and the respecting vector element. The first five elements in (3) depend on  $(\mathbf{Q}_1\mathbf{Q}_i)$ ,  $i=2,\dots,6$ , the next four depend on  $(\mathbf{Q}_2\mathbf{Q}_i)$ ,  $i=3,\dots,6$ , and so on. Finally the last element depends on  $(\mathbf{Q}_5\mathbf{Q}_6)$ . This means, that building a 6x6 table, according to the indexing with  $\mathbf{J}$ , the ordering of the points between two sets of respecting six point configurations can be determined in the following way.

We describe our concept for the 6-point case. Similar technique can be used for other feature combinations. The object database contains objects and the objects contain also points, from which different subsets containing 6 points can be built. The database contains Euclidean information belonging to the subset of points. From this information using the the homogeneous coordinates of the points the invariants can be computed. By using the nonlinear function  $J_{p4}$  the 15 (normalized) components of the vector  $\mathbf{J}$  can be computed and sorted and the permutation  $\mathbf{p}$  after sorting can be determined. This pair of  $\mathbf{J}$  and  $\mathbf{p}$  are precomputed and stored in the database before application. In the scene we can choose 6 point features and from their 3D projective coordinates we can determine another pair of  $\mathbf{J}$  and  $\mathbf{p}$  in a similar way during application. The basis for finding corresponding sets of points are the  $\mathbf{J}$ 's both in object database and scene. The  $\mathbf{J}$ 's are compared using Euclidean distance and a tolerance. Corresponding sets of points are marked and the collineation mapping points from scene into points from database is determined. This collineation makes it possible to map further points from the scene into database and check for correspondence. Thus the set of corresponding points belonging to the same object can be enlarged. In the success indices  $a$  and  $b$  identify the sets in database and scene, respectively. The main problem is that the order of the points in database and scene may be different. The details are as follows.

After sorting of the vectors  $\mathbf{J}_a$  and  $\mathbf{J}_b$ , let  $\mathbf{p}_a$  and  $\mathbf{p}_b$  contain the permutation indices of the elements, therefore if  $\mathbf{J}_a(i) = \mathbf{J}_b(i)$ ,  $i=1,\dots,15$ , then element indexed by  $\mathbf{p}_a(i)$  corresponds to  $\mathbf{p}_b(i)$ . Defining the vector  $\mathbf{V}$  according to Table 2 yields that the pair  $\mathbf{V}(\mathbf{p}_a(i))$  corresponds to  $\mathbf{V}(\mathbf{p}_b(i))$ , e.g.  $\mathbf{V}(\mathbf{p}_a(1)) = \mathbf{V}(6) = \{2,3\}$  corresponds to

$V(p_b(1)) = V(13) = \{4, 5\}$ . Let  $A$  be a  $6 \times 6$  (symmetric) table, where  $A(V(p_b(i))) = V(p_a(i))$ . The  $i$ 'th point in the set 'a' corresponds to  $j$ 'th point in the set 'b', iff every element in the  $i$ 'th row of  $A$  contains the index  $j$ .

For example a query from the scene into the database contains the sorted vector and permutation:

$$J_a = (0.0075 \quad 0.0247 \quad 0.0322 \quad 0.0344 \quad 0.0420 \quad 0.0667 \quad 0.3196 \quad 0.3269 \quad \dots \\ 0.4270 \quad 0.4341 \quad 0.7054 \quad 0.7185 \quad 0.7257 \quad 0.9517 \quad 0.9739)$$

$$p_a = (6 \quad 12 \quad 10 \quad 7 \quad 9 \quad 14 \quad 1 \quad 8 \quad 11 \quad 2 \quad 5 \quad 4 \quad 15 \quad 3 \quad 13)$$

The resulted entry from the database gives:

$$J_b = (0.0103 \quad 0.0338 \quad 0.0441 \quad 0.0468 \quad 0.0572 \quad 0.0909 \quad 0.3209 \quad 0.3309 \quad \dots \\ 0.4270 \quad 0.4367 \quad 0.7037 \quad 0.7219 \quad 0.7315 \quad 0.9513 \quad 0.9816)$$

$$p_b = (13 \quad 3 \quad 14 \quad 15 \quad 4 \quad 5 \quad 11 \quad 8 \quad 7 \quad 10 \quad 2 \quad 6 \quad 1 \quad 12 \quad 9)$$

The vector  $V$  is given in detailed form in Table 2.

$I$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$V$	1,2	1,3	1,4	1,5	1,6	2,3	2,4	2,5	2,6	3,4	3,5	3,6	4,5	4,6	5,6

Table 2. Possible pairings in the six points configuration

Using the permutation vectors  $p_a(1) = 6$  corresponds to  $p_b(1) = 13$ , yields that pair 2,3 corresponds to pair 4,5. Write 2,3 into the position 4,5 (and 5,4) of the  $6 \times 6$  table and continuing the process gives the results in Table 3.

	1	2	3	4	5	6
1	*	5,6	1,6	3,6	2,6	4,6
2	5,6	*	1,5	3,5	2,5	4,5
3	1,6	1,5	*	1,3	1,2	1,4
4	3,6	3,5	1,3	*	2,3	3,4
5	2,6	2,5	1,2	2,3	*	2,4
6	4,6	4,5	1,4	3,4	2,4	*

Table 3. Determine correspondences in six points configuration

Searching for the common elements row-wise (e.g. 6 in the first row in Table 3) gives the final pairings of the features: 1-6, 2-5, 3-1, 4-3, 5-2, 6-4.

A fault tolerant method is also developed. For example some numerically close elements in the corresponding vectors are swapped by sorting process, hence the table does not yield a valid solution, see the cells underlined in Table 4.

The solution to the problem is the following. Fill another  $6 \times 6$  table from the original one such that the element in  $(i, j)$  contains the number of occurrences of  $j$ 'th value in  $i$ 'th row of the original table. Then repeat the following process:

1. Search for a maximum value in this new table. The row-column index gives the pairing.
2. Fill the row and the column with zeros of the pair already found. If the current maximum value is less than the desired parameter (typically 4, tolerating only one mismatch), the pairing is not possible.

	1	2	3	4	5	6
1	*	5,6	1,5	3,5	4,5	2,5
2	5,6	*	1,6	3,6	4,6	2,6
3	1,5	1,6	*	<u>2,3</u>	1,4	1,2
4	3,5	3,6	2,3	*	3,4	1,3
5	4,5	4,6	1,4	3,4	*	2,4
6	2,5	2,6	1,2	<u>1,3</u>	2,4	*

1	2	3	4	5	6
1	1	1	1	5	1
1	1	1	1	1	5
4	2	1	1	1	1
1	1	5	1	1	1
1	1	1	5	1	1
2	4	1	1	1	1

Table 4. Determine correspondences in six points configuration (fault tolerant version)

**Configuration: 1 line, 4 points**

The calculation of the permutation invariant from the projective one is very simple, applying the function  $J(\cdot)$  to the only one projective invariant. But no method is currently known to determine pairings from permutation and projective invariants, therefore this type of configuration is *not used during indexing*.

**Configuration: 2 lines, 3 points**

As mentioned earlier, the geometric configuration for this case could be traced back to the five coplanar points case. Therefore the results of (Meer et al, 1998) could be used, namely interchanging the elements between the permutation groups yields the vector

$$J_{2D} = S \left( J(I_1), J(I_2), J\left(\frac{I_1}{I_2}\right), J\left(\frac{I_1-1}{I_2-1}\right), J\left(\frac{I_2(I_1-1)}{I_1(I_2-1)}\right) \right) \quad (4)$$

The elements of the vector can be determined by exchanging the first element with the elements at 2,...,5, respectively.

But this is unnecessary, because the lines and points can be clearly distinguished, therefore the first element should only be exchanged with the second and the third one. Interchanging the two lines means applying  $I \rightarrow 1/I$  mapping of the invariant (see the algebraic form). This means, that the permutation invariant vector should contain only

$$J = S \left( J(I_1), J(I_2), J\left(\frac{I_1}{I_2}\right) \right).$$

If the pairing of the points and lines between two sets is required, the simplest solution is to calculate the vector defined in (4), because there is a one-to-one mapping between the five points and the five elements of  $J_{2D}$ . A possible additional check is to pair points generated by line intersection with a similar one.

**Configuration: 3 lines, 2 points**

This configuration yields six planes, because a plane can be formed from a line and a point, where the point and the line are not coincident. In the projective 3D space the points and planes are dual to each other (principle of duality), therefore the results of the six points case can be used.

**Configuration: 4 lines**

The calculation of the permutation invariant from the projective one is simple, applying the appropriate function to the projective invariants. But no method is currently known to determine pairings from permutation and projective invariants, therefore this type of configuration is *not used during indexing*.

### Configuration: 5 lines

In order to be able to use line only configuration, from which the pairing can be determined, compound configuration must be used. The simplest one is 5 lines in general position. From 5 lines five different 4-lines configuration can be extracted. A 4-lines configuration gives two independent invariants. Applying a function  $f(J_{i,1}, J_{i,2}) \rightarrow J_i, i = 1, \dots, 5$  yields five different invariants. From these invariants the pairing could be determined.

Let the  $i$ 'th configuration be the one from which the  $i$ 'th line is excluded (1st configuration is built from lines 2,3,4,5, etc.). Let the unsorted 5-vectors be  $\mathbf{J}_a$  and  $\mathbf{J}_b$ . Let the permutation vectors containing the output of the sorting be  $\mathbf{p}_a, \mathbf{p}_b$ , respectively. This means that the  $\mathbf{J}_a(\mathbf{p}_a(i))$  invariant equals to  $\mathbf{J}_b(\mathbf{p}_b(i))$ , therefore the (eliminated) lines  $\mathbf{p}_a(i), \mathbf{p}_b(i)$  correspond to each other.

### 3.3 Object database

The aim of the application of the object database is to recognize known, predefined (previously stored) object(s) in the scene. The stored information in the database is the invariant vectors computed from the *3D Euclidean description of the objects* represented by homogeneous coordinates as described in the previous section. During the query the input is computed from the output of the projective reconstruction of the scene. The two sets of invariants must be paired (matched) in order to determine the corresponding feature configurations. Some additional attributes also stored that is required during verification. The developed system uses different tables for each of the possible configurations (six points, etc.). The attributes are the name of the candidate object, type and id of the stored features and the permutation of the features. These values will be used in a later processing step (verification).

#### Metric definition and feature transformation

The usage of the database algorithms (indexing) requires the definition of a metric that describes the similarity of the feature combinations. A definition of a metric uses a distance function  $d(\cdot)$  that describes the (dis)similarity of the elements between two sets, where  $d=0$  denotes identical configurations and the dissimilarity is larger as  $d$  increasing. Therefore  $d$  forms a metric, because i)  $d$  is a non-negative (real) number, ii) the relation is symmetrical, iii) fulfills the triangle inequality. In order to be able to compare the two feature sets, application of a feature transformation is required. This feature transformation maps the configuration properties into a D-dimensional vector space, where the distance between the vectors is defined. The distance between feature vectors must somehow correspond to the original (theoretical) distance between the features from them it was derived (eliminating false positives). Usually this means, that the distance between vectors is the lower bound of the original distance (this means that the small vector distance may yield dissimilar feature distance, but similar feature combinations always yield small vector distance). The properties used in the feature transformation are task dependent, in this case the feature configuration is described by an invariant vector defined in previous section. Therefore the feature transformation maps from features (described by its coordinates) into (vector)space of invariants. Many distance function can be created that fulfill the requirement of the definition. The most widely used functions can be described as

$$L(\cdot) = \left( \sum_{i=1}^D (a_i - b_i)^p \right)^{1/p}.$$

Using the different values of  $p$  yields the Manhattan metric ( $p = 1$ ), Euclidean metric ( $p = 2$ ) and maximum metric ( $p = \infty$ ). In the developed system the Euclidean metric is used.

### Query into the database

The query process extracts those elements from the database that are closest to the querying element (exact matching is not probable due to noise during feature detection). This is the well-known nearest neighbors (kNN) problem. In our case the invariants are higher dimensional vector valued entities. The standard R-tree algorithm is very inefficient for higher dimensions (Moenne-Loccoz, 2005), due to the *curse of dimensionality*. The developed method uses X-tree (Berchtold et al., 1996). The query into the database extracts the closest candidates to the query vector (typically 2-5 are used). A tolerance is applied to eliminate the truly false matches. The remaining candidates are further processed in the verification step.

### 3.4 Verification

Because of the feature transformation the query eliminates only the false positives (those configurations, that are surely do not yield a valid answer to the query), the remaining candidates must be post-processed with a verification process. (Note: the query process should yield sufficiently small number of candidates in order to prevent the post-processing of the whole database.)

#### Collineation between 3D feature sets

Denote  $\mathbf{H}$  the  $4 \times 4$  matrix of the invertible linear transformation (collineation),  $\mathbf{X}_i, \mathbf{Y}_i$  the 4-length coordinate vector of corresponding 3D homogeneous points. Let the corresponding line pair be  $\mathbf{L}_i$  and  $\mathbf{K}_i$ , described by  $4 \times 4$   $\mathbf{L}_i, \mathbf{K}_i$  skew-symmetric Plücker matrices, see (A5). Let  $\mathbf{X}_{\mathbf{L}_i, r}$  be points on the line  $\mathbf{L}_i$  and  $\mathbf{Y}_{\mathbf{K}_i, s}$  be points on the line  $\mathbf{K}_i$ , respectively. Let  $\Omega_{\mathbf{K}_i, p}$  be planes that contains  $\mathbf{K}_i$ . If the  $\mathbf{X}_i$  and  $\mathbf{Y}_i$ ,  $\mathbf{L}_i$  and  $\mathbf{K}_i$  represent the same entities in different coordinate frames (related by  $\mathbf{H}$ ), then the relation between them can be written into the form  $\mathbf{Y}_i \sim \mathbf{H}\mathbf{X}_i$  and  $\mathbf{K}_i \sim \mathbf{H}\mathbf{L}_i\mathbf{H}^T$ , or using the entity-dependent scaling factors with equality  $\mu_i \mathbf{Y}_i = \mathbf{H}\mathbf{X}_i$ ,  $\nu_i \mathbf{K}_i = \mathbf{H}\mathbf{L}_i\mathbf{H}^T$ . The aim is to determine  $\mathbf{H}$  from a given set of point and line pairs in a noisy environment (LS solution is preferable), in a closed form. The solution must handle any number of combinations of points and lines. The unknowns are the 16 elements of the  $\mathbf{H}$  matrix (and optionally the  $\mu_i$  ( $i = 1, \dots, n_p$ ) scaling factors for points and the  $\nu_i$  ( $i = 1, \dots, n_L$ ) scaling factors for lines).

#### Geometric solution

Using point and line pairs together, the equations contain the unknowns in quadratic or mixed form. Therefore the direct applications of these functions are not advisable. Instead geometric constraints are introduced in order to calculate the desired collineation. Let  $\mathbf{H}$  be assumed in vector form

$$\mathbf{h}_{16 \times 1} = (H(1,1) \quad \dots \quad H(1,4) \quad \dots \quad H(4,4))^T = (\mathbf{h}_1^T \quad \mathbf{h}_2^T \quad \mathbf{h}_3^T \quad \mathbf{h}_4^T)^T$$

#### Point-point relations

For points, the constraint equation is the scaling factor free algebraic distances

$$Y_i(a)\mathbf{X}_i\mathbf{h}_b^T - Y_i(b)\mathbf{X}_i\mathbf{h}_a^T = 0$$



where the pairs  $\{a, b\} = \{4, 1\}, \{4, 2\}, \{4, 3\}, \{2, 3\}, \{3, 1\}, \{1, 2\}$ .

The part of the coefficient matrix belonging to this point pair is

$$\begin{pmatrix} \vdots & \vdots & \vdots & \vdots \\ -Y_i(4)\mathbf{X}_i & 0_4 & 0_4 & Y_i(1)\mathbf{X}_i \\ 0_4 & -Y_i(4)\mathbf{X}_i & 0_4 & Y_i(2)\mathbf{X}_i \\ 0_4 & 0_4 & -Y_i(4)\mathbf{X}_i & Y_i(3)\mathbf{X}_i \\ 0_4 & Y_i(2)\mathbf{X}_i & -Y_i(3)\mathbf{X}_i & 0_4 \\ -Y_i(1)\mathbf{X}_i & 0_4 & Y_i(3)\mathbf{X}_i & 0_4 \\ Y_i(1)\mathbf{X}_i & -Y_i(2)\mathbf{X}_i & 0_4 & 0_4 \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix} \quad (5)$$

### Line-line relations

In order to eliminate the higher order members of the cost function, the line-type entities should be eliminated, points and planes relations must be used. The points on the line and planes, whose intersection is the given line can be extracted from the Plücker matrix of the line using SVD, see (A5) and (A25). Any linear combination of two points and two lines can be used as pairs instead of the original ones (resulted from SVD).

The two possible constraint types are:

- The transformed points  $\mathbf{X}_{L_i,r}$  should lie on the plane  $\mathbf{\Omega}_{K_i,s}$ . Algebraically this means  $(\mathbf{H}\mathbf{X}_{L_i,r})^T \mathbf{\Omega}_{K_i,s} = 0$  where  $r, s = 1, 2$ . The part of the coefficient matrix belongs to this configuration is

$$\begin{pmatrix} \vdots & \vdots & \vdots & \vdots \\ \mathbf{\Omega}_{K_i,s}(1)\mathbf{X}_{L_i,r} & \mathbf{\Omega}_{K_i,s}(2)\mathbf{X}_{L_i,r} & \mathbf{\Omega}_{K_i,s}(3)\mathbf{X}_{L_i,r} & \mathbf{\Omega}_{K_i,s}(4)\mathbf{X}_{L_i,r} \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix} \quad (6)$$

A plane can be constructed from a transformed point  $\mathbf{X}_{L_i,r}$  and the line  $\mathbf{\Omega}_{K_i,s}$ . If the point lies on the line, the plane equation must be invalid,  $\mathbf{\Omega} = \mathbf{0}$ . Using the representation in (A5), let  $\mathbf{K}_i^T = (\mathbf{K}_{D,i}^T \quad \mathbf{K}_{O,i}^T)$ , where  $\mathbf{K}_{D,i}$  and  $\mathbf{K}_{O,i}$  are 3-vectors. The plane can be generated using the matrix  $\mathbf{\Lambda}_{K_i} = \begin{pmatrix} [\mathbf{K}_{D,i}]_{\times} & \mathbf{K}_{O,i} \\ -\mathbf{K}_{O,i} & \mathbf{0} \end{pmatrix}$ . Applying to the transformed point, the plane equation becomes  $\mathbf{\Omega}_{K_i,r} = \mathbf{\Lambda}_{K_i}(\mathbf{H}\mathbf{X}_{L_i,r})$  where  $r = 1, 2$ . The part of the coefficient matrix belongs to this configuration is

$$\begin{pmatrix} \vdots & \vdots & \vdots & \vdots \\ \mathbf{\Lambda}_{K_i}(1,1)\mathbf{X}_{L_i,r} & \mathbf{\Lambda}_{K_i}(1,2)\mathbf{X}_{L_i,r} & \mathbf{\Lambda}_{K_i}(1,3)\mathbf{X}_{L_i,r} & \mathbf{\Lambda}_{K_i}(1,4)\mathbf{X}_{L_i,r} \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix} \quad (7)$$

### Estimation of $\mathbf{H}$

The equations (4), (5) and (6) yield linear constraints for the elements of the collineation  $\mathbf{H}$ . Collecting these coefficients into a matrix  $\mathbf{A}$ , the equations can be written into the form  $\mathbf{A}\mathbf{h} = \mathbf{0}$ . Applying an additional constraint  $\|\mathbf{h}\| = 1$  in order to avoid the trivial solution  $\mathbf{h} = \mathbf{0}$ , the problem can be solved in a closed form, using SVD, as the vector corresponding to the smallest singular value.

An optional step is a nonlinear refinement. This uses nonlinear Euclidean distance-like values, therefore it can be used only if the destination frame is a metric frame. For points, the error function is the Euclidean distance

$$E_{point}(\cdot) = \left| \frac{Y_i(j)}{Y_i(4)} - \frac{\mathbf{h}_j^T \mathbf{X}_i}{\mathbf{h}_4^T \mathbf{X}_i} \right|^2 \quad \text{where } j = 1, 2, 3.$$

For lines, the error function uses the direction difference between the orientation of the lines and the distance between lines. Let  $\mathbf{K}_{D,i}, \mathbf{L}_{D,i}$  be the direction vector of the lines  $\mathbf{K}_i, \mathbf{L}_i$ , respectively. Similarly, let  $\mathbf{K}_{P,i}, \mathbf{L}_{P,i}$  be a point of the lines  $\mathbf{K}_i, \mathbf{L}_i$ , respectively. Using the notations and the results of (A17) and (A18), the distance between lines yields:

$$E_{line}(\cdot) = \left\| \mathbf{K}_{P,i}(j) - \mathbf{L}_{P,i}(j) + \frac{(be - cd)\mathbf{K}_{D,i}(j) - (ae - bd)\mathbf{L}_{D,i}(j)}{ac - b^2} \right\|^2$$

where  $j = 1, 2, 3$ . The direction error can be calculated as the angle between direction vectors of the lines

$$E_{angle}(\cdot) = \arccos^2 \left( \frac{\mathbf{K}_{D,i}^T \mathbf{L}_{D,i}}{\|\mathbf{K}_{D,i}\| \|\mathbf{L}_{D,i}\|} \right)$$

The suitably weighted sum of these error functions are minimized with a Levenberg-Marquardt nonlinear least squared optimizer.

#### Verification with collineation

In the developed object recognition system the output of the query is an ordered (matched) feature set (corresponding configurations). Initially these configurations contain only as many (minimum number) of features as required by indexing. During the verification process a 3D homogeneous transformation (collineation) is calculated (as described previously), that maps projective coordinates of the scene features into the Euclidean space of the candidate object. Checking those remaining object features that are not yet on the candidate list, corresponding scene features are searched (closest mapped scene feature, within a given distance threshold). If a sufficient pair is found, it is appended onto the support list of the given configuration. If the number of supports is above a limit, the whole transformation is stored for final consolidation processing.

### 3.5 Consolidation

Taking the space of the  $\mathbf{h}$  vectors produced from the calculated collineation matrices in the verification case, the values are different due to the following effects.

- Numerical differences between the calculated values of the same object-scene transformation caused by noise and other disturbance effects. This causes (small) variations around the true value of the transformation.
- Object-scene transformation using different objects: the collineation that describes the mapping between the Euclidean frame attached to the object in database and the common projective frame in the scene is object specific. This could yield significantly different transformations. Note, that searching for a given collineation, other valid collineation data behaves as outlier (pseudo-outlier, see: (Wang & Suter, 2004))

- Outliers yielded by invalid object-scene matching (real outliers). This effect can cause
  - significantly different values scattered randomly in the space
  - accidentally occurrence nearby a valid transformation

Therefore the consolidation of the collineations is required. This could be achieved using a clustering. The requirements for this method are:

- Determine the valid collineations from the voting space (determination of the cluster centers). Note, that regarding to the experiments the form of the clusters are not (hyper)spherical.
- Detect the valid (possible more than one) collineation(s) in the voting space. This requires that the method be able to handle multiple structures.
- Eliminate or tolerate the effect of outliers. Note that in extreme cases the total percentage of real and pseudo outliers could be above 50%.
- Must be able to handle higher dimensional data (the 3D collineation yields 16-dimensional vectors).

Usually the clustering problems are solved with k-means clustering method, but the application of this method in the consolidation phase is not possible, because the number of clusters (valid object-scene transformations) is not known in advance. There are many clustering algorithms that can solve this problem, for example MUSE (Miller & Stewart, 1996), MDPE (Wang & Suter, 2004), FAMS (Georgescu et al., 2003), NDD clustering (Zhang et al., 2007).

In the developed system two nonparametric clustering methods have been used, FAMS and NDD clustering. The FAMS is an iterative clustering method that estimates the densest regions (modes) of the multivariate space. The NDD clustering method is a noniterative algorithm that is capable to estimate shape free clusters based on the normalized density derivative of the multivariate space. The output of both algorithms is the clusterized data space formed from the collineations. The individual collineation estimates are extracted from each cluster by checking the errors of the collineations to the support feature pairs (original object and transformed scene features). After the determination of the clusters (the valid member collineations of each cluster), the transformations are upgraded using the data of the members only (refinement).

#### 4. Euclidean reconstruction

In a typical robot control system metrical information (e.g. distance between the gripper and the object) is required, hence the Euclidean update of the projective reconstruction must be achieved. Taking the projection equation for points (without indices)  $\rho\mathbf{q} = \mathbf{P}\mathbf{Q}$  it can be seen, that the result remains the same, if a collineation and its inverse is applied to this equation, namely  $\rho\mathbf{q} = (\mathbf{P}\mathbf{H}^{-1})(\mathbf{H}\mathbf{Q})$ . The same is true for lines. In order to select the metric information ( $\mathbf{Q}$  contains Euclidean coordinates) from the many possible projective solutions, the value of  $\mathbf{H}$  must be fixed. The method must avoid the sensitive camera calibration during the reconstruction of scene.

The developed method uses a-priori information, namely known 3D Euclidean data from object database that can be used together with the projective reconstruction to determine relative position and orientation between recognized objects.

As described earlier, in order to achieve the object recognition tasks, the used information about objects are stored in object database. The entries in the database consist of indices (created from invariants) and attributes. These attributes contain the coordinates of the 3D features of the objects, stored in object dependent (attached) metric coordinate system. There could be many source of information, for example CAD systems, processed range images (acquired by calibrated laser range sensors) or the applied stereo camera system itself is also capable to produce metric 3D information for object database using calibrated reconstruction. In this latter case, unlike during the free scene reconstruction, the cameras are calibrated and fixed, the objects are shown in prepared environment one-by-one.

#### 4.1 Transformation decomposition

The coordinates of the features of the recognized objects are known in two coordinate systems, see Fig. 4:

- Common projective frame of the scene, in this coordinate system every feature is described with its projective coordinates. This system is common for every object in the scene though it contains no Euclidean information. The projective information of the features is the output of the projective reconstruction.
- Euclidean frame of an object (attached to the object) as stored in the object database. This information is object dependent and contains metrical data. Every object has its own Euclidean frame.

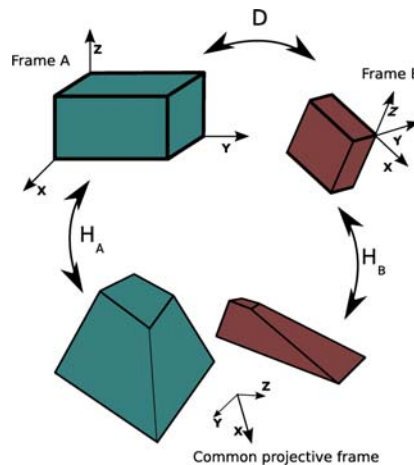


Fig. 4. Coordinate frames used during the calculation of the Euclidean transformation

Using this twofold description of the recognized features makes it possible to determine the relative Euclidean transformation (position, orientation) between object frames as occurs in the scene. If one of the frames is absolute (known in world reference frame), then it is possible to describe the scene in the absolute Euclidean coordinate frame.

The candidate collineations between the common projective frame of the scene and the local frame of the recognized objects are already determined as the output of verification step of the object recognition. From the members of the given cluster in consolidation the collineation is updated from the candidates, therefore this is the most accurate estimation that is available. But there exists no internal constraint that could be applied to the elements

of the collineation during projective reconstruction. This means that the elements depend only on the data from which they are estimated, there is no inter-dependency between elements.

However the calculation of the Euclidean transformation between objects allows introducing such additional constraints. Let the collineations of two recognized objects be  $\mathbf{H}_A$  and  $\mathbf{H}_B$ , respectively. Let us suppose, that the collineations describe the mapping from scene frame into Euclidean object frames. In this case the displacement that describes the mapping from metric frame of the object  $A$  into metric frame of object  $B$ , can be calculated as

$\mathbf{D} = (\mathbf{H}_B^{-1})\mathbf{H}_A$ . But matrix  $\mathbf{D}_{4 \times 4} = s \begin{pmatrix} \mathbf{\Omega} & \mathbf{t} \\ \mathbf{z}^T & 1 \end{pmatrix}$  describes a metric (Euclidean) transformation,

therefore there are some constraints that must be fulfilled:

- $\mathbf{\Omega}$  should be a rotation matrix
  - Orthogonality condition:  $\mathbf{\Omega}\mathbf{\Omega}^T = \mathbf{\Omega}^T\mathbf{\Omega} = \mathbf{I}$
  - Non-reflection condition:  $|\mathbf{\Omega}| = +1$
- The  $\|\mathbf{z}^T\|^2 = 0$
- The value of  $s$  should not be too small or too large (valid scaling)

Due to noise and other disturbances the matrix  $\mathbf{\Omega}$  is not a rotation matrix. The aim is to determine the “closest” rotation matrix  $\mathbf{R}$  to matrix  $\mathbf{\Omega}$ . Find  $\mathbf{R}$  minimizing  $\|\mathbf{R} - \mathbf{\Omega}\|_F^2$  such that  $\mathbf{R}^T\mathbf{R} - \mathbf{I} = \mathbf{0}$  and  $|\mathbf{R}| = +1$ , where  $\|\cdot\|_F$  denotes the vector compatible Frobenius norm of the matrix.

The solution can be found factorizing the matrix  $\mathbf{\Omega}$ . The possible (most commonly used) matrix factorization algorithms that yield orthogonal matrix are the following:

- Singular Value Decomposition (SVD) gives  $\mathbf{\Omega} = \mathbf{U}\mathbf{\Lambda}\mathbf{V}^T$  where  $\mathbf{U}$  and  $\mathbf{V}$  are orthogonal matrices. The drawbacks of the algorithm are:
  - Small perturbation could yield very different orthogonal factorization (though the singular values remain stable).
  - Theoretically there are infinite many ways as a rotation matrix can be composed from two other rotations.
- QR decomposition gives  $\mathbf{\Omega} = \mathbf{Q}\mathbf{R}$ , where  $\mathbf{Q}$  is an orthogonal and  $\mathbf{R}$  is a lower-triangular matrix, respectively. The drawback is that the given orthogonal matrix is basis-dependent.
- Polar decomposition gives  $\mathbf{\Omega} = \mathbf{R}\mathbf{S}$ , where  $\mathbf{R}$  is an orthogonal and  $\mathbf{S}$  is a symmetric positive definite matrix, see (A21) and (A22) in Appendix. If  $|\mathbf{R}| = -1$  (reflection included), then the decomposition can be written into the form:  $\mathbf{\Omega} = (-\mathbf{R})(-\mathbf{I})\mathbf{S}$

Using the polar decomposition let the original displacement matrix be factorized into the form:

$$\mathbf{D}_{4 \times 4} = s \underbrace{\begin{pmatrix} \mathbf{I} & \mathbf{0} \\ \mathbf{x}^T & 1 \end{pmatrix}}_{\mathbf{P}} \underbrace{\begin{pmatrix} \mathbf{I} & \mathbf{t} \\ \mathbf{0}^T & 1 \end{pmatrix}}_{\mathbf{T}} \underbrace{\begin{pmatrix} \mathbf{R} & \mathbf{0} \\ \mathbf{0}^T & 1 \end{pmatrix}}_{\mathbf{\Theta}} \underbrace{\begin{pmatrix} \mathbf{V} & \mathbf{0} \\ \mathbf{0}^T & 1 \end{pmatrix}}_{\mathbf{\Delta}} \underbrace{\begin{pmatrix} \mathbf{S} & \mathbf{0} \\ \mathbf{0}^T & 1 \end{pmatrix}}_{\mathbf{\Sigma}}$$

where  $s$  is a scale, the matrices are responsible for perspectivity ( $\mathbf{P}$ ), translation ( $\mathbf{T}$ ), rotation ( $\mathbf{\Theta}$ ), mirroring ( $\mathbf{\Delta}$ ) and stretch (transformed shear,  $\mathbf{\Sigma}$ ), respectively.

Using the above decomposition the constraints can be expressed as the limit on physically meaningful quantities.

- The perspectivity must be an identity matrix, this means that  $\|\mathbf{x}^T\|^2 = 0$ .
- The mirroring must be an identity matrix:  $\mathbf{V} = \mathbf{I}$ .
- The stretch must be an identity matrix:  $\mathbf{S} = \mathbf{I}$  or in a more general case a diagonal matrix, yielding isotropically or anisotropically scaled Euclidean transformation.
- The value of  $s$  should not be too small or too large (valid scaling).

The translational part  $\mathbf{t}$  is unconstrained.

The output of the algorithm is the Euclidean (metric) transformation between the two reference frames of the objects  $A$  and  $B$ , that describes the relative position and orientation between two recognized objects. This information can be directly used in a robot control system.

## 5. Results

The developed system was tested against simulated and real data. The accuracy of the algorithm was tested with simple simulated scenes, where the precise value of the data is known. In the reconstruction part, the base of the evaluation criteria is the reprojection error. For points this is the distance between the true (original) and the reprojected 2D coordinates. In case of lines, the reprojection error consists of two parts, the angle between the original 2D line and the reprojected line, and a distance as the maximum of distances of the endpoints of the original line segments from reprojected line. Result of the simulations shows that the accuracy of the reconstruction depends approximately linearly on the noise added to position of the detected 2D features, Fig. 5.

A sample image from the sequence overlaid with reconstructed features can be seen in Fig. 6. The original images are 1024x1024 in size, the images of the reconstructed points are denoted with dark crosses, the lines are drawn with white.

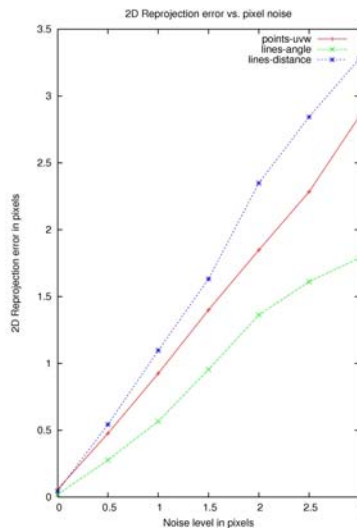


Fig. 5. Reprojection error of projective reconstruction as the function of noise level

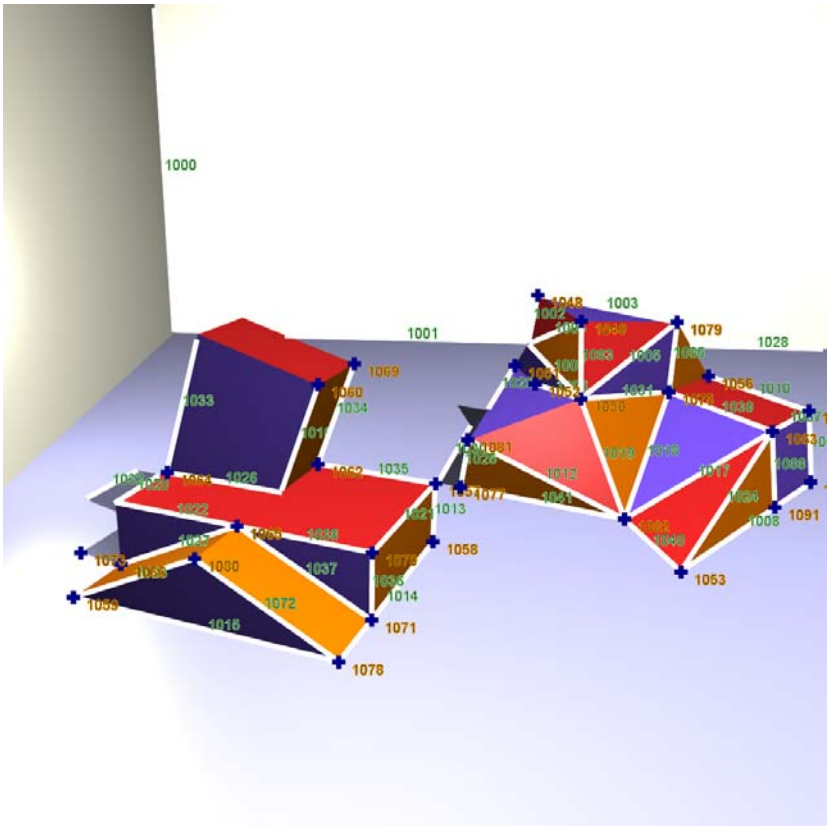


Fig. 6. A sample image of the simulated scene used during the numerical experiments

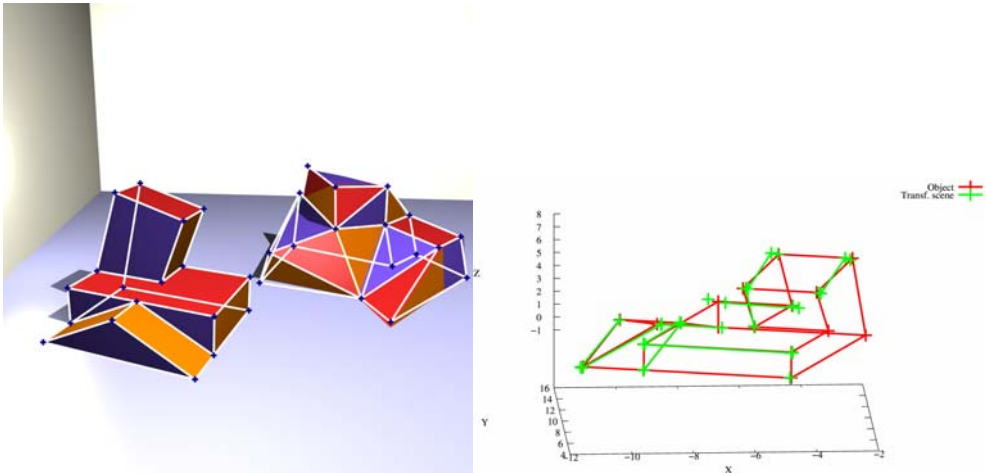


Fig. 7. Result of the object recognition using simulated scene

Checking the numerical results, the errors between the original (detected by a feature detector) and the reprojected (estimated) image features are in the range 0...3 pixels for points, the angle error between lines are in the range 0..5 degree.

The quality of the reconstruction depends on i) the accuracy of the matching algorithm, but outliers produced by false matches are eliminated using the robust version of the reconstruction; ii) the relative placement of the cameras, e.g. image sequence taken by cameras moving linearly away from the scene yields poor results.

Fig. 7 shows the result of the recognition of the simple scene. On the left side, the features stored in the database are overlaid onto one of the image used in the reconstruction. The right side shows (from a new viewpoint) the alignment of the object features (red) as stored in the database and the transformed scene features (green).

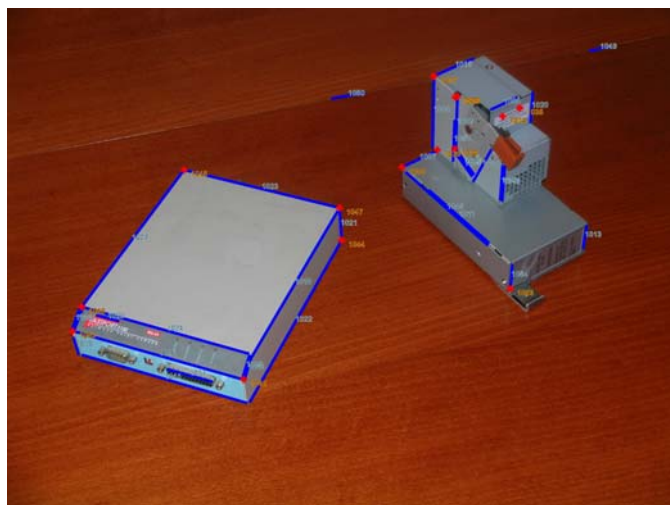


Fig. 8. Result of the projective reconstruction overlaid onto a real scene image

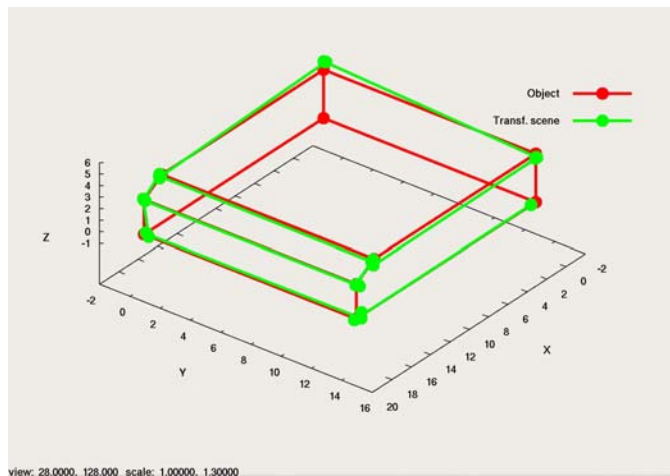


Fig. 9. Feature alignment on the recognized object from real scene



The accuracy of the displacement calculation is also checked during simulations. The experiments show, that the translation error remains within 3% range of the original scene size, the rotation error (in Euler form) is within -3...3 degree.

The algorithm was also tested with real data in order to demonstrate its applicability. Fig. 8 shows the features from the projective reconstruction. The alignment of the features on the recognized object is presented in Fig. 9.

## 6. Conclusion

The chapter describes a 3D projective reconstruction algorithm and its application in an object recognition system. The process first recovers the projective structure of the scene from 2D feature correspondences between images, then uses projective invariants to recognize known object(s) in the scene. Using the metric information attached to the objects in the database the system is able to determine the Euclidean structure of the scene and calculate the relative position and orientation between recognized objects.

The novelties of the presented system appear on three levels. First, both of the reconstruction methods are iterative, but the calculation can be achieved in closed form inside every iteration step because of separation of the unknown parameters. In order to handle point and line features together, geometric constraints are used in the error function to be minimized. Both of the algorithms can handle missing features (one or more features cannot be seen on one or more views). The output of the projective reconstruction is 3D projective information about the scene, namely homogeneous coordinates of point and line features.

Second, the object recognition method uses indexing based on permutation and projection invariants. For different combinations of point and line features the generalized (higher dimensional) cross ratios (invariants against projective transformations) are determined. These values are mapped with a novel, stereographic based transformation and a periodic function to eliminate the effect of permutation of features inside the cross ratios. A new collineation based verification process was developed to eliminate false positive object candidates.

As a final step, the system determines closest Euclidean transformation decomposing the nearly metric collineation between the recognized objects. This transformation is calculated from the collineations describing the mapping from the projective scene into the metric coordinate system attached to each of the object.

The further development of the system can be the inclusion of 2D imaging information beside projective invariants into the object recognition. This could reduce the computational complexity by selecting a region of interest and supporting feature selection used in the indexing. This also improves the selectivity of the recognition algorithm and eliminates false matches. The current implementation needs the choice of a large number of parameters. Another research direction is the automatisisation of their selection for typical application in robotics.

## 7. Appendix

### Points, lines, planes and transformations in projective spaces

A *point* in projective  $n$ -space  $P^n$  is given by a  $(n+1)$ -vector of coordinates  $\mathbf{x} = (x_1, \dots, x_{n+1})^T$ . At least one of these coordinates should differ from zero. These

coordinates are called *homogeneous* coordinates. Two points represented by the vectors  $\mathbf{x}$  and  $\mathbf{y}$  are equal iff there exists a nonzero scalar  $\lambda$  such that  $x_i = \lambda y_i$ , for every  $i$  ( $1 \leq i \leq n+1$ ). This will be indicated by  $\mathbf{x} \sim \mathbf{y}$ .

A *collineation* from  $P^m$  to  $P^n$  is a mapping represented by a  $(m+1) \times (n+1)$  matrix  $\mathbf{H}$  by which points are transformed linearly:  $\mathbf{x} \mapsto \mathbf{x}' \sim \mathbf{H}\mathbf{x}$ .

In the projective plane  $P^2$  points are denoted by the 3-vector  $\mathbf{q} = (x, y, w)^T$ . A line  $\mathbf{l}$  is also denoted by a 3-vector. A point  $\mathbf{q}$  is on the line  $\mathbf{l}$  iff  $\mathbf{l}^T \mathbf{q} = 0$ . In this sense points and lines in  $P^2$  are dual entities. A line  $\mathbf{l}$  passing through the points  $\mathbf{q}_1$  and  $\mathbf{q}_2$  is given by  $\mathbf{l} \sim \mathbf{q}_1 \times \mathbf{q}_2$ .

In the 3D projective space  $P^3$  points are denoted by the 4-vector  $\mathbf{Q} = (X, Y, Z, W)^T$ . In  $P^3$  the dual entity of the point is the *plane*, which is also denoted by a 4-vector. A point  $\mathbf{Q}$  is located on the plane  $\mathbf{\Pi}$  iff  $\mathbf{\Pi}^T \mathbf{Q} = 0$ . A 3D line  $\mathbf{L}$  can be given by the linear combination of two points  $\lambda_1 \mathbf{Q}_1 + \lambda_2 \mathbf{Q}_2$  or by the intersection of two planes  $\mathbf{\Pi}_1 \cap \mathbf{\Pi}_2$ .

*Transformations* in the images are represented by *homographies* of  $P^2 \rightarrow P^2$ . A homography is represented by a  $3 \times 3$  matrix  $\mathbf{H}$ . Again  $\mathbf{H}$  and  $\lambda \mathbf{H}$  represents the same homography. A point is transformed as  $\mathbf{q} \mapsto \mathbf{q}' \sim \mathbf{H}\mathbf{q}$ . Points and lines are transformed according to

$$\mathbf{q} \mapsto \mathbf{q}' \sim \mathbf{H}\mathbf{q} \text{ and } \mathbf{l} \mapsto \mathbf{l}' \sim \mathbf{H}^{-T} \mathbf{l}. \quad (\text{A1})$$

Similar reasoning gives in 3D space  $P^3$  the following equations for transformations of points and planes by a  $4 \times 4$  matrix:

$$\mathbf{T}: \mathbf{Q} \mapsto \mathbf{Q}' \sim \mathbf{T}\mathbf{Q} \text{ and } \mathbf{\Pi} \mapsto \mathbf{\Pi}' \sim \mathbf{T}^{-T} \mathbf{\Pi}. \quad (\text{A2})$$

### Plücker representation of lines

Let the points  $\mathbf{Q}_1 = (X_1, Y_1, Z_1, W_1)^T = (\mathbf{X}_1, W_1)^T$  and  $\mathbf{Q}_2 = (X_2, Y_2, Z_2, W_2)^T = (\mathbf{X}_2, W_2)^T$  define the line  $\mathbf{L}$  in 3D projective space  $P^3$ . The *Plücker representation* of the line is given by the direction of the line  $W_1 \mathbf{X}_2 - W_2 \mathbf{X}_1 =: \mathbf{L}_D$  and the normal of the plane spanned by the vectors  $\mathbf{X}_1 \times \mathbf{X}_2 =: \mathbf{L}_O$ . The Plücker representation is a 6-vector satisfying

$$\mathbf{L} := (\mathbf{L}_D^T, \mathbf{L}_O^T)^T = (L_1, L_2, L_3, L_4, L_5, L_6)^T \quad (\text{A3})$$

$$\mathbf{L}_D^T \cdot \mathbf{L}_O = L_1 L_4 + L_2 L_5 + L_3 L_6 = \frac{1}{2} (\mathbf{L}_D^T, \mathbf{L}_O^T) \begin{pmatrix} \mathbf{0}_{3 \times 3} & \mathbf{I}_{3 \times 3} \\ \mathbf{I}_{3 \times 3} & \mathbf{0}_{3 \times 3} \end{pmatrix} \begin{pmatrix} \mathbf{L}_D \\ \mathbf{L}_O \end{pmatrix} =: \frac{1}{2} \mathbf{L}^T \mathbf{\Delta} \mathbf{L} = 0. \quad (\text{A4})$$

The *Plücker matrix*  $\mathbf{\Lambda}$  of the line is the skew-symmetric matrix

$$\mathbf{\Lambda} := \mathbf{Q}_1 \mathbf{Q}_2^T - \mathbf{Q}_2 \mathbf{Q}_1^T \sim \begin{pmatrix} [\mathbf{L}_O]_{\times} & \mathbf{L}_D \\ -\mathbf{L}_D^T & \mathbf{0} \end{pmatrix} \quad (\text{A5})$$

### Point and line projection matrices

The projection matrix for points is given as  $\mathbf{q} \sim \mathbf{PQ}$  where

$$\mathbf{P}_{3 \times 4} = (\mathbf{\Pi}_{3 \times 3} \ \mathbf{p}_{3 \times 1}) \quad (\text{A6})$$

Let  $\mathbf{l}$  be the projection of the 3D line  $\mathbf{L}$  and  $\mathbf{q}_1, \mathbf{q}_2$  be the projections of the points  $\mathbf{Q}_1 = (\mathbf{X}_1^T, \mathbf{W}_1)^T$ ,  $\mathbf{Q}_2 = (\mathbf{X}_2^T, \mathbf{W}_2)^T$  defining the 3D line. Then  $\mathbf{l} \sim \mathbf{q}_1 \times \mathbf{q}_2 \sim (\mathbf{PQ}_1) \times (\mathbf{PQ}_2)$  and the projection of the line can be written as  $\mathbf{l} \sim \mathbf{q}_1 \times \mathbf{q}_2 = \mathbf{GL}$  and

$$\mathbf{G} = \left( [\mathbf{p}]_{\times} \ \mathbf{\Pi} \mid \mathbf{\Pi} \mathbf{\Pi}^{-T} \right) \quad (\text{A7})$$

is the line projection matrix.

### Line on a plane in 3D

Plane:

$$Ax + By + Cz + D = 0 \rightarrow \mathbf{S} = (A, B, C, D)^T = (\mathbf{A}^T \ D)^T \quad (\text{A8})$$

Line in Plücker representation:

$$\mathbf{L} := (\mathbf{L}_D^T, \mathbf{L}_O^T)^T \quad (\text{A9})$$

Intersection point:

$$\underbrace{\begin{pmatrix} -D\mathbf{I}_{3 \times 3} & [\mathbf{A}]_{\times} \\ \mathbf{A}^T & \mathbf{0}_{1 \times 3} \end{pmatrix}}_{\mathbf{U}(\mathbf{S})} \underbrace{\begin{pmatrix} \mathbf{L}_D \\ \mathbf{L}_O \end{pmatrix}}_{\mathbf{L}} =: \mathbf{U}(\mathbf{S})\mathbf{L} \quad (\text{A10})$$

Line on the plain:

$$\mathbf{L} \subset \mathbf{S} \Leftrightarrow \mathbf{U}(\mathbf{S})\mathbf{L} = \mathbf{0} \quad (\text{A11})$$

### Cross ratio and generalizations

Let  $\mathbf{M}$  and  $\mathbf{N}$  be two distinct points in  $n$ -dimensional projective space  $P^n$ . Any point on the line spanned by  $\mathbf{M}$  and  $\mathbf{N}$  can be parametrized as  $\mathbf{Q} = \mu\mathbf{M} + \nu\mathbf{N}$  where  $(\mu, \nu)$  are the homogeneous coordinates of the point  $\mathbf{Q}$  on the line. Let  $\mathbf{Q}_i, i=1,2,3,4$  be four points on the line spanned by  $\mathbf{M}$  and  $\mathbf{N}$  having homogeneous coordinates  $(\mu_i, \nu_i), i=1,2,3,4$ , on the line. With the notation  $\lambda_i = \mu_i / \nu_i$  the *cross ratio* of the four points on the line is defined as

$$\{\mathbf{Q}_1, \mathbf{Q}_2; \mathbf{Q}_3, \mathbf{Q}_4\} = \frac{\lambda_1 - \lambda_3}{\lambda_1 - \lambda_4} : \frac{\lambda_2 - \lambda_3}{\lambda_2 - \lambda_4} = \frac{\left( \frac{\mu_1}{\nu_1} - \frac{\mu_3}{\nu_3} \right) \left( \frac{\mu_2}{\nu_2} - \frac{\mu_4}{\nu_4} \right)}{\left( \frac{\mu_1}{\nu_1} - \frac{\mu_4}{\nu_4} \right) \left( \frac{\mu_2}{\nu_2} - \frac{\mu_3}{\nu_3} \right)} = \frac{\begin{vmatrix} \mu_1 & \mu_3 \\ \nu_1 & \nu_3 \end{vmatrix} \begin{vmatrix} \mu_2 & \mu_4 \\ \nu_2 & \nu_4 \end{vmatrix}}{\begin{vmatrix} \mu_1 & \mu_4 \\ \nu_1 & \nu_4 \end{vmatrix} \begin{vmatrix} \mu_2 & \mu_3 \\ \nu_2 & \nu_3 \end{vmatrix}} \quad (\text{A12})$$

Since there are  $4! = 24$  possible permutations of four points hence the six different values of the cross ratios are

$$\lambda_1 =: \lambda, \lambda_2 = 1 - \lambda, \lambda_3 = 1 / \lambda, \lambda_4 = 1 / (1 - \lambda), \lambda_5 = \lambda / (\lambda - 1), \lambda_6 = (\lambda - 1) / \lambda \quad (\text{A13})$$

The concept of cross ratio in determinant form makes it possible to introduce higher dimensional invariants based on the properties of determinants:

Multiplication with a scalar:

$$|Q_1 \dots \alpha Q_2 \dots Q_n| = \alpha |Q_1 \dots Q_2 \dots Q_n| \quad (A14)$$

Multiplication with a matrix:

$$|TQ_1 \dots TQ_2 \dots TQ_n| = |T| \cdot |Q_1 \dots Q_2 \dots Q_n| \quad (A15)$$

Similarly to the 1D case, the ratio of products of determinants is invariant to projective transformation (multiplication with a nonzero scalar and/or matrix), if each  $Q_i$  occurs as often in the numerator as in the denominator, because the effect of  $\alpha$ 's and  $T$ 's cancels each other, respectively.

#### Distance between 3D lines

Let  $P(s) = K_0 + su$  and  $Q(t) = L_0 + tv$  be two 3D lines in general situation then their transversal  $D(s, t) = D_0 + su - tv$  is orthogonal to both lines, where  $D_0 = K_0 - L_0$ , and is the solution of the constrained optimum problem

$$\min_{s,t} \|D(s, t)\|_2^2, \langle u, D(s, t) \rangle = 0, \langle v, D(s, t) \rangle = 0 \quad (A16)$$

Let  $a = \langle u, u \rangle$ ,  $b = \langle u, v \rangle$ ,  $c = \langle v, v \rangle$ ,  $d = \langle D_0, u \rangle$ ,  $e = \langle D_0, v \rangle$ , then the solution is

$$\begin{pmatrix} s_{\min} \\ t_{\min} \end{pmatrix} = \frac{1}{ac - b^2} \begin{pmatrix} c & b \\ b & a \end{pmatrix} \begin{pmatrix} -d \\ -e \end{pmatrix} = \frac{1}{ac - b^2} \begin{pmatrix} be - cd \\ ae - bd \end{pmatrix} \quad (A17)$$

$$\min \|D(s, t)\| = \|D(s_{\min}, t_{\min})\| = \left\| D_0 + \frac{(be - cd)u - (ae - bd)v}{ac - b^2} \right\| \quad (A18)$$

#### Polar decomposition

The polar decomposition problem can be formulated as given  $Q$  find  $R$  such that

$$\min_R \|R - Q\|_F^2, \quad R^T R - I = 0 \quad (A19)$$

where  $F$  denotes Frobenius norm  $A = (a_{ik}) \rightarrow \|A\|_F^2 = \sum_i \sum_k a_{ik}^2 = \text{trace}(A^T A)$ . With the

notations  $R = (r_1 \ r_2 \ r_3)$  the constraint is equivalent to  $r_1^T r_1 = 1$ ,  $r_1^T r_2 = 0$ ,  $r_1^T r_3 = 0$ ,  $r_2^T r_2 = 1$ ,  $r_2^T r_3 = 0$ ,  $r_3^T r_3 = 1$ , therefore six Lagrange multipliers  $\lambda_{11}, \lambda_{12}, \lambda_{13}, \lambda_{22}, \lambda_{23}, \lambda_{33}$  are needed which can be collected in a *symmetric* matrix  $\Lambda$ . Thus the solution yields:

$$R - Q + \underbrace{R\Lambda}_{\text{symmetric}} = 0 \Rightarrow R(I + \Lambda) = Q = RS \Rightarrow R = QS^{-1} \quad (A20)$$

$$Q^T Q \xrightarrow{SVD} U \Sigma U^T \longrightarrow S = U \Sigma^{1/2} U^T \longrightarrow R = QS^{-1} = QU \Sigma^{-1/2} U^T \quad (A21)$$

$$\mathbf{Q} \xrightarrow{SVD} \mathbf{U} \mathbf{\Sigma} \mathbf{V}^T \longrightarrow \mathbf{Q} = (\mathbf{U} \mathbf{V}^T)(\mathbf{V} \mathbf{\Sigma} \mathbf{V}^T) = \mathbf{R} \mathbf{S} \quad (\text{A22})$$

### Norm square minimization under constraint

The problem to be solved is given by

$$\min_{\mathbf{x}} \|\mathbf{A}\mathbf{x}\|_2^2 \text{ subject to } \|\mathbf{x}\|^2 = 1 \quad (\text{A23})$$

and its solution can be determined using eigenvalue technique or SVD:

$$\lambda \text{ is the minimal eigenvalue of } \mathbf{A}^T \mathbf{A}, \mathbf{x} \text{ is the unit norm eigenvector to } \lambda \quad (\text{A24})$$

$$\mathbf{A} \xrightarrow{SVD} \mathbf{A} = \mathbf{U} \mathbf{\Sigma} \mathbf{V}^T \rightarrow \text{first column } \mathbf{v}_1 \text{ of } \mathbf{V} \rightarrow \mathbf{x} = \mathbf{v}_1 / \|\mathbf{v}_1\|, \min \|\mathbf{A}\mathbf{x}\|_2^2 = \sigma_1^2 \quad (\text{A25})$$

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