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# Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants (Review)

Nasuf AWA, Ojha S, Dorling J

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# TABLE OF CONTENTS

HEADER	1
ABSTRACT	1
PLAIN LANGUAGE SUMMARY	2
SUMMARY OF FINDINGS FOR THE MAIN COMPARISON	4
BACKGROUND	7
OBJECTIVES	8
METHODS	8
RESULTS	10
Figure 1	12
Figure 2	14
Figure 3	15
Figure 4	16
Figure 5	17
Figure 6	17
Figure 7	18
	19
AUTHORS' CONCLUSIONS	20
ACTIONS CONCLUSIONS	20
REFERENCES	21
CHARACTERISTICS OF STUDIES	24
DATA AND ANALYSES	24 38
Analysis 1.1. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 1	30
Incidence of necrotising enterocolitis (NEC).	20
	39
Analysis 1.2. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 2	10
Incidence of late-onset infection.	40
Analysis 1.3. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 3	11
Death before discharge to home.	41
Analysis 1.4. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 4	
Days to full enteral feed.	42
Analysis 1.5. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 5	
Length of hospital stay.	43
Analysis 1.6. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 6	
Pneumonia	44
Analysis 1.8. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 8	
	45
Analysis 1.9. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 9	
Days of antibiotic therapy	46
Analysis 1.10. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome	
10 Receiving any breast milk at discharge to home	47
Analysis 1.11. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome	
11 Days of parenteral nutrition.	48
Analysis 1.12. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome	
12 Weight at discharge to home.	48
Analysis 1.13. Comparison 1 Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome	
13 Retinopathy of prematurity (ROP).	49
ADDITIONAL TABLES	49
APPENDICES	50
CONTRIBUTIONS OF AUTHORS	52
DECLARATIONS OF INTEREST	52
SOURCES OF SUPPORT	52

[Intervention Review]

# Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants

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# ABSTRACT

### Background

Placing a small volume of colostrum directly onto the buccal mucosa of preterm infants during the early neonatal period may provide immunological and growth factors that stimulate the immune system and enhance intestinal growth. These benefits could potentially reduce the risk of infection and necrotising enterocolitis (NEC) and improve survival and long-term outcome.

# Objectives

To determine if early (within the first 48 hours of life) oropharyngeal administration of mother's own fresh or frozen/thawed colostrum can reduce rates of NEC, late-onset invasive infection, and/or mortality in preterm infants compared with controls. To assess trials for evidence of safety and harm (e.g. aspiration pneumonia). To compare effects of early oropharyngeal colostrum (OPC) versus no OPC, placebo, late OPC, and nasogastric colostrum.

# Search methods

We used the standard search strategy of the Cochrane Neonatal Review Group to search the Cochrane Central Register of Controlled Trials (CENTRAL; 2017, Issue 8), MEDLINE via PubMed (1966 to August 2017), Embase (1980 to August 2017), and the Cumulative Index to Nursing and Allied Health Literature (CINAHL; 1982 to August 2017). We also searched clinical trials registries for ongoing and recently completed trials (clinicaltrials.gov; the World Health Organization International Trials Registry ( www.whoint/ictrp/ search/en/), and the ISRCTN Registry), conference proceedings, and the reference lists of retrieved articles for randomised controlled trials and quasi-randomised trials. We performed the last search in August 2017. We contacted trial investigators regarding unpublished studies and data.

#### Selection criteria

We searched for published and unpublished randomised controlled trials comparing early administration of oropharyngeal colostrum (OPC) versus sham administration of water, oral formula, or donor breast milk, or versus no intervention. We also searched for studies comparing early OPC versus early nasogastric or nasojejunal administration of colostrum. We considered only trials that included preterm infants at < 37 weeks' gestation. We did not limit the review to any particular region or language.

# Data collection and analysis

Two review authors independently screened retrieved articles for inclusion and independently conducted data extraction, data analysis, and assessments of 'Risk of bias' and quality of evidence. We graded evidence quality using the Grading of Recommendations Assessment, Development and Evaluation (GRADE) approach. We contacted study authors for additional information or clarification when necessary.

# Main results

We included six studies that compared early oropharyngeal colostrum versus water, saline, placebo, or donor, or versus no intervention, enrolling 335 preterm infants with gestational ages ranging from 25 to 32 weeks' gestation and birth weights of 410 to 2500 grams. Researchers found no significant differences between OPC and control for primary outcomes - incidence of NEC (typical risk ratio (RR) 1.42, 95% confidence interval (Cl) 0.50 to 4.02; six studies, 335 infants; P = 0.51;  $I^2 = 0\%$ ; very low-quality evidence), incidence of late-onset infection (typical RR 0.86, 95% CI 0.56 to 1.33; six studies, 335 infants; P = 0.50;  $I^2 = 0\%$ ; very low-quality evidence), and death before hospital discharge (typical RR 0.76, 95% CI 0.34 to 1.71; six studies, 335 infants; P = 0.51;  $I^2 = 0\%$ ; very low-quality evidence). Similarly, meta-analysis showed no difference in length of hospital stay between OPC and control groups (mean difference (MD) 0.81, 95% CI -5.87 to 7.5; four studies, 293 infants; P = 0.65;  $I^2 = 49\%$ ). Days to full enteral feeds were reduced in the OPC group with MD of -2.58 days (95% CI -4.01 to -1.14; six studies, 335 infants; P = 0.0004;  $I^2 = 28\%$ ; very low-quality evidence).

The effect of OPC was uncertain because of small sample sizes and imprecision in study results (very low-quality evidence).

No adverse effects were associated with OPC; however, data on adverse effects were insufficient, and no numerical data were available from the included studies.

Overall the quality of included studies was low to very low across all outcomes. We downgraded GRADE outcomes because of concerns about allocation concealment and blinding, reporting bias, small sample sizes with few events, and wide confidence intervals.

### Authors' conclusions

Large, well-designed trials would be required to evaluate more precisely and reliably the effects of oropharyngeal colostrum on important outcomes for preterm infants.

# PLAIN LANGUAGE SUMMARY

#### **Review question**

Does providing a very small volume of maternal colostrum into the mouth of preterm babies (oropharyngeal colostrum (OPC)) prevent complications and improve health outcomes?

# Background

Placing a small volume of colostrum - the first milk produced by the mother during the first few days of life - directly onto the inside of the cheeks of preterm infants may provide immunological and growth factors that stimulate the immune system and enhance growth of the intestine. These benefits could potentially reduce infections, including severe infections in the intestine known as necrotising enterocolitis (NEC), thereby improving survival and long-term outcomes.

#### Study characteristics

We searched for both published and unpublished studies comparing oropharyngeal colostrum versus a control such as water, placebo, or no oral priming. We included only clinical trials reporting outcomes in preterm babies (< 37 weeks' gestation). The evidence is up-to-date as of August 2017. We did not limit the review to any particular region or language.

# Key results

Six studies were eligible for inclusion, involving 335 preterm infants with gestational ages ranging from 25 to 32 weeks' gestation and birth weights of 410 to 2500 grams. Reviewers noted no differences between OPC and control for rate of NEC, infection, or death before hospital discharge. Similarly, they observed no difference in length of hospital stay between OPC and control babies. Infants who received OPC achieved full milk feeds on average 2.5 days earlier than those given placebo or no intervention. However, included studies were small, data were insufficient, and study designs were not ideal. Combining study data did not provide sufficient evidence to

recommend the use of colostrum for oral priming to prevent complications in preterm infants. Five of the included studies reported no harms (adverse effects); however, no numerical data are available from these studies. Included studies were of very low quality; therefore the effects of OPC remain uncertain.

# Conclusions

Larger, better quality clinical trials would be needed to evaluate more precisely and reliably the effects of OPC on important outcomes for preterm infants. .

# SUMMARY OF FINDINGS FOR THE MAIN COMPARISON [Explanation]

Oropharyngeal colostrum (OPC) compared to control (water, saline, or no intervention) in preterm infants

Patient or population: preterm infants Setting: neonatal intensive care unit Intervention: oropharyngeal colostrum (OPC) Comparison: control (water, saline, or no intervention)

Outcomes	Anticipated absolute ef	fects* (95% CI)	Relative effect (95% Cl)	№ of participants (studies)	Quality of the evidence (GRADE)	Comments
	Risk with control (wa- ter, saline, or no inter- vention)	• •				
Incidence of necrotis-	Study population		RR 1.42	335	<b>0</b> 000	
ing enterocolitis (NEC) 3 per 100	4 per 100 (2 to 12)	(0.50 to 4.02)	(6 RCTs)	VERY LOW <sup>a,b</sup>		
Incidence of late-onset Study population				335		
sepsis 20 per 100	20 per 100	17 per 100 (11 to 26)	(0.56 to 1.33)	(6 RCTs)	VERY LOW <sup><i>a,b,c</i></sup>	
Death before discharge	Study population		RR 0.76	335		
to home	6 per 100	5 per 100 (2 to 10)	(0.34 to 1.71)	(6 RCTs)	VERY LOW <sup>d,e,f</sup>	
Days to full enteral feed	Mean time to full en- teral feed was 10 to 25 days	MD 2.58 days lower (4.01 lower to 1.14 lower)	-	335 (6 RCTs)	⊕⊖⊖⊖ VERY LOW <sup>b,d,e</sup>	A lower score indicates a better outcome
Length of hospital stay (days)	Mean length of hospital stay was 47 to 86 days	MD 0.81 days higher (5.87 lower to 7.5 higher)	-	293 (4 RCTs)	⊕⊖⊖⊖ VERY LOW <sup>a,g,h</sup>	A lower score indicates a better outcome

Pneumonia	Study population		RR 2.08	57	
	6 per 100 (3 to 52)	7 per 100 (1 to 45)	(0.54 to 8.06)	(3 RCTs)	LOW <sup>d</sup> , f
	No pre-defined adverse scribed by any studies. narratively reported as r the intervention. No nu vided. One study reporter of apnea, bradycardia, d verse effects" but witho effects. A second study events were noted", and a method section that "ap and aspiration pneumon ing to unit policy"	Adverse effects were no adverse effects with merical data were pro- d "no recorded episodes esaturation or other ad- ut defining the adverse stated that "no adverse another mentioned in the nea, bradycardia events		335 (6 RCTs)	⊕⊖⊖⊖ VERY LOW <sup>a,d,i, j</sup>

\*The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% Cl).

CI: confidence interval; NEC: necrotising enterocolitis; OPC: oropharyngeal colostrum; RCT: randomised controlled trial; RR: risk ratio

# GRADE Working Group grades of evidence.

High quality: we are very confident that the true effect lies close to that of the estimate of the effect.

Moderate quality: we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.

Low quality: our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect.

Very low quality: we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect

<sup>a</sup>Studies with the highest weight involved concern about allocation concealment and blinding.

<sup>b</sup>Small sample size. The confidence interval was wide and crossed the line of no effect.

 $^{c}\mbox{The study}$  with the highest weight involved concern about method of randomisation.

 $^{d}$ 75% of the included studies were not blinded.

 ${}^e\mathrm{Two}$  studies involved concerns about allocation concealment.

<sup>f</sup>Small sample size, variable effect size, and confidence interval crossing the line of no effect.

<sup>g</sup>Two studies involved concerns about blinding, and two provided incomplete outcome data (attrition bias).

Oropharyngeal colostrum in prev Copyright © 2018 The Cochrane venting mortality and morbidity in preterm infants (Review) Collaboration. Published by John Wiley & Sons, Ltd. <sup>h</sup>I<sup>2</sup> was 47% - not high enough to lower our confidence. Heterogeneity could be explained as one study had lower participant gestational age and birth weight.
 <sup>i</sup>A narrative report was provided without a clear statement of adverse effect; estimates are not precise.
 <sup>j</sup>Neither definitions of adverse effects nor methods used in monitoring were reported.

6

# BACKGROUND

# **Description of the condition**

Preterm birth (before 37 weeks' gestation) is one of the most significant issues associated with perinatal health care (WHO 2012). Complications of preterm birth contributed to approximately 50% of neonatal deaths in 2016 and are the leading cause of death before five years of age (Blencowe 2012; UN IGME 2017). The rate of preterm birth is increasing by an average of 1% per year worldwide; the World Health Organization (WHO) estimated 12.9 million preterm births (9.6% of total live births) in 2005 (Beck 2009), and 15 million (11.1% of total live births) in 2010 (Blencowe 2013). Despite substantial advances in neonatal care, mortality and morbidity remain high in this population (Goldenberg 2008; Slattery 2002).

Necrotising enterocolitis (NEC), a multi-factorial, life-threatening inflammatory condition of the gastrointestinal tract, affects 0.3% to 2.4% per 1000 live births, with 70% of cases occurring in preterm infants (Hunter 2008; Thompson 2008). NEC is a complex process involving inflammation and bacterial invasion of the immature mucosa. Hypoperfusion of the bowel, use of antibiotics, and delay in commencing enteral feeding act synergistically to promote intestinal atrophy and abnormal bacterial colonisation of the bowel (Rodriguez 2015a; Westerbeek et al 2006). Late-onset infection (LOI), defined as a blood culture-positive microbial infection after 72 hours of life (Stoll 2002), is associated with a high burden of morbidity and mortality in preterm infants. Despite a variety of infection control measures and the use of antibiotics, preterm infants remain at high risk for infection and NEC, both of which are associated with poor neurodevelopmental and growth outcomes (Stoll 2004). These conditions are also linked to prolonged hospital stays and substantial increases in the cost of care for both hospitals and families (Bisquera 2002; Johnson 2013).

It has been suggested that oropharyngeal colostrum (OPC) is a continuation of the exposure of the foetal oropharynx to growth and protective biofactors of the amniotic fluid during foetal life. Colostrum, the fluid secreted by the mammary glands over the first few postnatal days, is rich in biological protective factors that are present in higher concentrations in the colostrum of mothers who have delivered preterm infants (Araújo 2005; Wheeler 2007). Colostrum may act via different mechanisms: as a local barrier that prevents adhesion of microbes to the mucosa, modulating cytokine interaction with oropharyngeal-associated lymphoid tissues and facilitating the absorption of immune factors by buccal mucosa; through pre-biotic and anti-inflammatory actions; via antioxidant properties of lactoferrin; and by stimulation of intestinal growth and repair (Rodriguez 2009).

# **Description of the intervention**

Oropharyngeal administration of the mother's own colostrum to her preterm infant consists of placing a small amount (0.1 to 0.5 mL) of colostrum directly onto the buccal mucosa at least once and usually repeatedly within the first 48 hours of life. Oropharyngeal administration can be performed by instilling colostrum inside each cheek via syringe, or by gently painting the colostrum over the tongue, around the gums, and along the lips using a sterile swab soaked with 0.1 to 0.5 mL of colostrum, or any other means such that fluid is absorbed by the buccal mucosa.

# How the intervention might work

Human colostrum and milk are known to contain significant levels of anti-infective agents (cytokines, lactoferrin, lysozymes, and immunoglobulin A (IgA)) (Radillo 2013). Together, many of these chemokines and trophic agents protect the infant from infection, stimulate development of the gastrointestinal tract, and modulate the immune system of the infant (Chirico 2008). Many of these factors are present in higher concentrations in the colostrum secreted by mothers who have delivered preterm infants (Araújo 2005; Wheeler 2007). The oral cavity is a predominant site of microbial colonisation, and the oral mucosa is an important interface between microbiota, immunologically active factors in colostrum, and the infant's immune system. When administered directly onto the oropharyngeal mucosa, colostrum may provide benefit by acting in three ways: (1) by stimulating the oropharyngeal-associated lymphoid tissue system, (2) by promoting systemic absorption of protective factors through the buccal mucosa, inducing systemic immune responses, and (3) by acting as a barrier, blocking microbial adhesion to the mucosa (Rodriguez 2009). In addition, the high concentration of growth factors in colostrum, such as epidermal growth factor, may enhance intestinal growth and development (Ballard 2013; Chang 2002). Substantial evidence indicates that colostrum is a rich source of growth factors, immunoglobulins, lactoferrin, cytokines, and other immunological active factors (Montagne 1999; Ustundag 2005; Walker 2010). Few studies support OPC, although existing studies have suggested that it is a safe, feasible prophylactic measure against sepsis, NEC, and ventilator-associated pneumonia (Gephart 2014; Lee 2015; Rodriguez 2010; Seigel 2013). Such immune-inflammatory modulation and improved bowel growth may reduce rates of LOI and NEC, potentially improving survival and neurodevelopmental outcomes in preterm infants.

# Why it is important to do this review

In the first few days of life, OPC is a novel, low-cost, simple to administer intervention that may reduce NEC and sepsis. A systematic review of the evidence, including potential harms, is required before recommendations can be made for or against its use.

This systematic review analysed the existing literature to collate current evidence to determine if early (first 48 hours) OPC is safe and feasible, and whether it affects important clinical outcomes in preterm infants.

# OBJECTIVES

To determine if early (within the first 48 hours of life) oropharyngeal administration of mother's own fresh or frozen/thawed colostrum can reduce rates of NEC, late-onset invasive infection, or mortality in preterm infants compared with controls. To assess trials for evidence of safety and harm (e.g. aspiration pneumonia). To compare effects of early oropharyngeal colostrum (OPC) versus no OPC, placebo, late OPC, and nasogastric colostrum.

# METHODS

# Criteria for considering studies for this review

# **Types of studies**

We included all published randomised trials for which the unit of randomisation was the infant, and cluster-randomised trials for which the unit of randomisation was the neonatal unit. We excluded quasi-randomised and non-randomised trials such as controlled before-and-after studies. We did not limit the review to any particular region or language.

# **Types of participants**

We included preterm infants (at less than 37 weeks' gestation) receiving care in any neonatal unit.

# **Types of interventions**

We included the following interventions.

1. Oropharyngeal administration of mother's own fresh or frozen/thawed colostrum to preterm infants in the first 48 hours of life, irrespective of when enteral feeding is initiated, what type of milk is used for enteral feeding, or which feed advancement regimen is applied.

2. Instillation of the colostrum inside the cheeks of the infant by oral syringe or by gentle application over the tongue, around the gums, and along the lips using a swab or sponge soaked with a small amount of colostrum (0.1 to 0.5 mL), at least once and usually repeatedly in the first 48 hours of life.

3. Any technique of oropharyngeal administration, such as instillation by syringe, direct application to the oral mucosa by

swab, or any other means such that the fluid could be absorbed by the buccal mucosa.

We considered studies comparing early administration of oropharyngeal colostrum versus sham administration of water, oral formula, or donor breast milk, or no intervention. We also considered studies comparing OPC versus nasogastric or nasojejunal administration of colostrum.

We planned to perform three comparisons.

1. Early oropharyngeal colostrum versus sham administration of water, oral formula, or donor breast milk, or no intervention.

2. Early oropharyngeal colostrum versus early nasogastric or nasojejunal administration of colostrum.

3. Early oropharyngeal colostrum versus late (after 48 hours) oropharyngeal colostrum .

This review identified only studies that compared early oropharyngeal colostrum versus sham administration of water, normal saline, oral formula, or donor breast milk, or versus no intervention.

# Types of outcome measures

# **Primary outcomes**

1. Incidence of NEC (Bell's stage 2 or 3) until discharge to home (Walsh 1986)

2. Incidence of microbiologically confirmed late-onset invasive infection until discharge to home, defined as a blood or cerebrospinal fluid culture positive for microbial infection after 72 hours of life (Stoll 2002)

3. Death before discharge to home

# Secondary outcomes

1. Pneumonia (chest X-ray changes/treated with at least five days of antibiotics before discharge to home)

2. Chronic lung disease (defined as the need for oxygen

supplementation at 36 weeks' postmenstrual age)

3. Retinopathy of prematurity (all stages and severe stage > 2) (ICCROP 2005)

4. Death in the first year of life

5. Neurodevelopmental outcome at 18 to 24 months assessed by clinician or parent-reported questionnaire

6. Formally reported adverse effects (e.g. aspiration, gagging/ choking on administration, bradycardia, desaturation, increase in oxygen requirement, disturbances in vital signs) between start of the intervention and discharge home

7. Weight gain from birth to discharge home (using weight percentiles or Z-scores), time to regain birth weight

- 8. Length of hospital stay (days) from birth to discharge home
- 9. Days to full enteral feeds
- 10. Days of parenteral nutrition before discharge to home
- 11. Days of antibiotic therapy before discharge to home

12. Receiving any breast milk at discharge to home

13. Receiving only breast milk (and not formula) at discharge to home

# Search methods for identification of studies

We used the criteria and standard methods of Cochrane and Cochrane Neonatal (see the Cochrane Neonatal search strategy for specialised register).

# **Electronic searches**

We conducted a comprehensive search that included the Cochrane Central Register of Controlled Trials (CENTRAL; 2017, Issue 8) in the Cochrane Library; MEDLINE via PubMed (1966 to August 2017); Embase (1980 to August 2017); and the Cumulative Index to Nursing and Allied Health Literature (CINAHL; 1982 to August 2017) using the following search terms: (colostrum), plus database-specific limiters for RCTs and neonates (see Appendix 1 for the full search strategies for each database). We did not apply language restrictions.

We searched clinical trials registries for ongoing or recently completed trials ( clinicaltrials.gov; the World Health Organization International Trials Registry and Platform ( www.whoint/ictrp/ search/en/), and the ISRCTN Registry).

# Searching other resources

We examined reference lists of included studies and previous reviews. We searched proceedings of annual meetings of the Paediatric Academic Societies (1993 to 2017), the European Society for Paediatric Research (1995 to 2017), the Royal College of Paediatrics and Child Health (2000 to 2017), the Perinatal Society of Australia and New Zealand (2000 to 2017), and the National Association of Neonatal Nurses.

Trials reported only as abstracts were eligible if sufficient information to fulfil the inclusion criteria was available from the report or upon contact with study authors.

We also searched the reference lists of any articles selected for inclusion in this review to identify additional relevant articles.

# Data collection and analysis

We used the standard methods of the Cochrane Neonatal Review Group.

# Selection of studies

We followed the standard processes recommended by the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011).

Two review authors screened the title and abstract of all citations identified through the search and independently assessed the full text of all articles selected by the principal review author to determine which studies were eligible for inclusion. We resolved disagreements by discussion until we reached consensus.

We contacted study authors for additional information or clarification when necessary.

# Data extraction and management

Two review authors independently extracted and compared data; we resolved discrepancies through discussion and by consultation with the third review author.

We used a modified Cochrane standard data collection sheet to extract the following data from each study.

1. Study ID and contact details.

2. Method (design, duration of study, sequence generation, allocation concealment, blinding).

3. Participants (total number, gestational age, sex, country, socioeconomic and ethnic groups, diagnosis, status).

4. Intervention (number, time, technique, dose and duration, any additional interventions).

5. Outcomes (time of outcome, reporting method, effect size). We contacted study authors for additional information when required.

We have presented the included studies in Characteristics of included studies tables.

# Assessment of risk of bias in included studies

Two review authors (NA and SO) independently assessed the risk of bias (low, high, or unclear) of all included trials using the Cochrane 'Risk of bias' tool for the following domains (Higgins 2017).

- 1. Sequence generation (selection bias).
- 2. Allocation concealment (selection bias).
- 3. Blinding of participants and personnel (performance bias).
- 4. Blinding of outcome assessment (detection bias).
- 5. Incomplete outcome data (attrition bias).
- 6. Selective reporting (reporting bias).
- 7. Any other bias.

We resolved disagreements by discussion or by consultation with a third assessor (JD). See Appendix 2 for a more detailed description of risk of bias for each domain.

# Measures of treatment effect

We analysed treatment effects in individual trials using Review Manager 5.3 (Review Manager 2014); we have reported risk ratios and risk differences for dichotomous data and mean differences for continuous data, with respective 95% confidence intervals. We also reported the number needed to treat for an additional beneficial outcome or an additional harmful outcome for analyses with statistically significant differences in the risk difference.

# Unit of analysis issues

The unit of analysis was the participating infant in individually randomised trials. An infant was considered only once per analysis.

# Dealing with missing data

We contacted investigators when we identified important missing data (in the outcomes) or unclear data. We performed intentionto-treat analyses.

# Assessment of heterogeneity

We observed heterogeneity between effect sizes of the included studies by inspecting the forest plot and by using the Chi<sup>2</sup> test and I<sup>2</sup> for heterogeneity (with P < 0.1).

We quantified inconsistency across studies to determine whether heterogeneity was present and assessed its impact on the metaanalysis using the equation  $I^2 = [(Q - df)/Q] \times 100\%$ , where Q is the Chi<sup>2</sup> statistic and df is its degrees of freedom (Higgins 2011). We used the percentage of variability in effect estimates to describe inconsistency between trials that was due to heterogeneity rather than to chance. We followed the guidelines recommended by the Cochrane Neonatal Review Group for interpreting the I<sup>2</sup> statistic: < 25% = none, 25% to 49% = low, 50% to 74% = moderate, and > 75% = high heterogeneity. If we detected moderate or high heterogeneity (I<sup>2</sup> > 50%), we explored possible causes (e.g. differences in study design, participants, interventions, definitions, measurement of outcome assessments).

# Assessment of reporting biases

Although we planned to use a funnel plot to assess potential reporting bias, we did not do this, as we identified fewer than 10 trials.

# Data synthesis

We performed the meta-analysis using Review Manager 5.3 and the fixed-effect model for meta-analyses (Review Manager 2014). For dichotomous data, we used Mantel-Haenszel for estimates of typical risk ratio. For continuous data, we used the inverse variance method for estimates of mean difference. We reported all estimates with respective 95% confidence intervals.

#### Quality of evidence

We used the GRADE approach, as outlined in the *GRADE Handbook* (Schünemann 2013), to assess the quality of evidence for the following (clinically relevant) outcomes.

1. Incidence of NEC (Bell's stage 2 or 3) until discharge to home (Walsh 1986).

2. Incidence of microbiologically confirmed late-onset

- invasive infection until discharge to home.
- 3. Death before discharge to home.

4. Length of hospital stay (days) from birth to discharge to home.

5. Days to full enteral feed.

6. Pneumonia.

7. Formally reported adverse effects between start of the

intervention and discharge to home.

Two review authors independently assessed the quality of evidence for each of the outcomes above. We considered evidence from RCTs as high quality but downgraded the evidence one level for serious (or two levels for very serious) limitations based upon the following: design (risk of bias), consistency across studies, directness of evidence, precision of estimates, and presence of publication bias. We used the <u>GRADEpro GDT</u> Guideline Development Tool to create a 'Summary of findings' table to report the quality of the evidence.

The GRADE approach yields an assessment of the quality of a body of evidence by one of four grades.

1. High: we are very confident that the true effect lies close to that of the estimate of the effect.

2. Moderate: we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.

3. Low: our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect.

4. Very low: we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect.

# Subgroup analysis and investigation of heterogeneity

When substantial heterogeneity existed, we tested potential causes through subgroup and sensitivity analyses.

If data were available, we planned to perform the following subgroup analyses.

- 1. Infants born at < 30 weeks' gestation.
- 2. Infants born at < 1500 grams.

3. Infants who were small for gestational age at birth (i.e. birth weight < 10th centile).

# Sensitivity analysis

We planned to perform sensitivity analyses to determine if findings were affected by including only studies using adequate methods (low risk of bias).

# RESULTS

# **Description of studies**

# **Results of the search**

We identified 14 study reports for full text screening (Alvarez 2016; Glass 2017; JPRN-UMIN000022923; Lee 2015; McFadden 2011 (see McFadden 2012); McFadden 2012; Montgomery 2008; NCT02912585; Rodriguez 2008 (see Rodriguez 2011); Rodriguez 2011; Rodriguez 2015b; Romano-Keeler 2016; Sohn 2015; Zhang 2017). Of these, after translation of the abstract of the report from Spanish, we found that one study was a non-randomised trial (Alvarez 2016). Similarly, Montgomery 2008 was an observational study assessing the feasibility of oropharyngeal administration of mother's colostrum. Rodriguez 2015b and JPRN-UMIN000022923 were protocols for ongoing studies; we

have described both under Characteristics of ongoing studies. Two were additional reports; one of these - McFadden 2011 was the conference abstract for McFadden 2012, a PhD thesis that we have included in this review. Rodriguez 2008 was the PhD thesis for Rodriguez 2011, which we have included in this review. (See Figure 1.) Two trials fulfilled all inclusion criteria for the review except that colostrum was given after 48 hours of life (Lee 2015; Zhang 2017). We have described these studies in the section on Excluded studies and in the Characteristics of excluded studies table. Six trials fulfilled the inclusion criteria of the review protocol: Glass 2017; McFadden 2012; NCT02912585; Rodriguez 2011; Romano-Keeler 2016; Sohn 2015. We conducted the last search in August 2017.

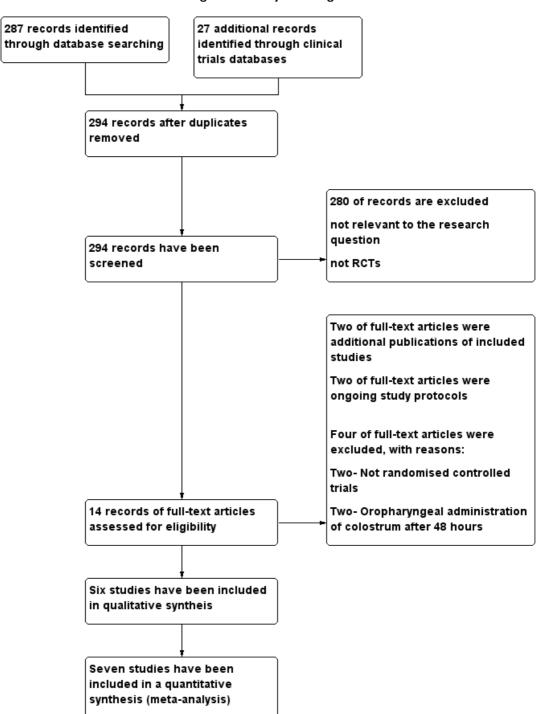


Figure I. Study flow diagram.

Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants (Review) Copyright © 2018 The Cochrane Collaboration. Published by John Wiley & Sons, Ltd.

# **Included studies**

We included six studies in the review: Glass 2017; McFadden 2012; NCT02912585; Rodriguez 2011; Romano-Keeler 2016; Sohn 2015. All included studies compared administration of early oropharyngeal colostrum versus sham administration of water, placebo, or donor breast milk, or no intervention. Five studies were published and one study was described in an unpublished report that we obtained from the study author (NCT02912585). We have presented features of these studies in the Characteristics of included studies tables.

All included studies were small, single-centre trials involving a total of 335 infants with sample sizes ranging from 12 preterm infants in Sohn 2015 to 149 in NCT02912585. Four studies prespecified prematurity with birth weight < 1500 grams as an inclusion criterion (Glass 2017; NCT02912585; Rodriguez 2011; Sohn 2015). Two studies included only infants who were mechanically ventilated (McFadden 2012; Sohn 2015). The gestational age of included infants ranged from 25 to 32 weeks' gestation with birth weights between 410 and 2500 grams (see Table 1).

#### Interventions and comparisons

All six included studies commenced oropharyngeal administration of mother's own colostrum or placebo within 48 hours of birth. Four studies administered 0.2 mL colostrum (or placebo) via syringe: 0.1 mL on each side of the oropharynx (NCT02912585; Rodriguez 2011; Romano-Keeler 2016; Sohn 2015). Two studies, both open-label trials, used 0.2 mL colostrum for oral care administered via "gentle swab along the inside of the mouth" - in McFadden 2012 - or with a cotton-tipped applicator - in Glass 2017. Two studies did not use placebo and provided no additional intervention to participants randomised to the control group (Romano-Keeler 2016; Sohn 2015). Three studies administered sterile water to infants in the control group (Glass 2017; NCT02912585; Rodriguez 2011), and one study included two control groups - one receiving sterile water and the other normal saline (McFadden 2012). Researchers administered control interventions in a manner similar to administration of colostrum to the intervention group.

# Outcomes

All included studies reported the primary outcomes of the review (NEC, LOI, and death before discharge to home) (Glass 2017; McFadden 2012; NCT02912585; Rodriguez 2011; Romano-

Keeler 2016; Sohn 2015). Four studies defined NEC as Bell's stage 2 or 3 (Glass 2017; NCT02912585; Romano-Keeler 2016; Sohn 2015), whereas two reports provided no specific diagnostic criteria (McFadden 2012; Rodriguez 2011). Three studies defined LOI as clinical signs and a positive blood culture (Glass 2017; NCT02912585; Sohn 2015). Glass 2017 provided additional criteria for defining LOI (onset after day three of life and antibiotic therapy for at least five days), and three studies did not provide a pre-determined definition (McFadden 2012; Rodriguez 2011; Romano-Keeler 2016). Included studies variably reported secondary outcomes. All included studies followed up on participants until hospital discharge, and no studies reported any later outcomes.

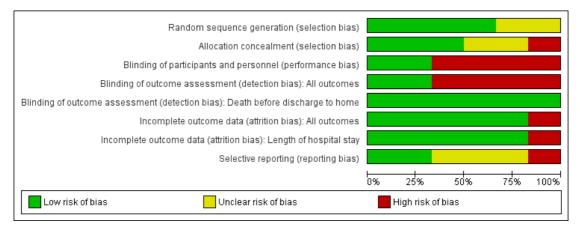
# **Excluded studies**

We excluded two studies because investigators provided oropharyngeal colostrum after 48 hours of life (Characteristics of excluded studies). Lee 2015 was a double-blind, placebo-controlled RCT that included 48 infants born at < 28 weeks' gestation who were randomised to receive 0.2 mL of their mother's colostrum or sterile water (control) via the oropharyngeal route every three hours for three days. However, most of the infants included in this study received colostrum after 48 hours of life; thus we excluded this study from the analysis. Similarly, Zhang 2017 was a double-blind, placebo-controlled trial including 64 very low birth weight infants (birth weight < 1500 grams) that compared administration of 0.1 mL of mother's colostrum to each side of the cheek versus similar administration of normal saline. Mean age at the first dose of colostrum or normal saline was > 48 hours in both groups; hence we did not include this study in the review.

# **Risk of bias in included studies**

Included studies were of variable quality (see Risk of bias in included studies; Figure 2; Figure 3). All studies stated that treatment was allocated randomly; however two reports did not specify the method used to generate the random sequence (McFadden 2012; Sohn 2015). Similarly, two studies did not mention allocation concealment methods (NCT02912585; Romano-Keeler 2016), and Glass 2017 reported that the allocation method was not applicable. Only three studies were blinded and used opaque syringes to deliver treatment (McFadden 2012; NCT02912585; Rodriguez 2011). Study authors reported outcomes for most infants in all studies, except Glass 2017, which did not report the outcomes of 13 infants.

# Figure 2. Risk of bias graph: review authors' judgements about each risk of bias item presented as percentages across all included studies.



	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias): All outcomes	Blinding of outcome assessment (detection bias): Death before discharge to home	Incomplete outcome data (attrition bias): All outcomes	Incomplete outcome data (attrition bias): Length of hospital stay	Selective reporting (reporting bias)
Glass 2017	•	•	•	•	•	•	•	
McFadden 2012	?	•	•	•	•	•	•	?
NCT02912585	•	?	•	•	•	•	•	?
Rodriguez 2011	•	•	•	•	•	•	•	?
Romano-Keeler 2016	•	?	•	•	•	•	•	•
Sohn 2015	?	•	•	•	•	•	•	•

Figure 3. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.

# **Effects of interventions**

See: Summary of findings for the main comparison Oropharyngeal colostrum (OPC) compared to control (water, saline, or no intervention) in preterm infants

#### **Primary outcomes**

Incidence of necrotising enterocolitis

All included studies reported the incidence of NEC in 335 infants. Meta-analysis did not show an effect on the risk of NEC (typical risk ratio (RR) 1.42, 95% confidence interval (CI) 0.50 to 4.02; six studies, 335 infants; P = 0.51; Analysis 1.1; Figure 4). Two studies had no cases of NEC (Rodriguez 2011; McFadden 2012), so the estimate is based on four studies including 290 participants. The typical risk difference was 0.01 (95% CI -0.03 to 0.06). We noted no evidence of heterogeneity between studies (I<sup>2</sup> = 0%). The quality of evidence was very low owing to imprecision and high to unclear risk of bias.

# Figure 4. Forest plot of comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), outcome: I.I Incidence of necrotising enterocolitis.

	OPO	:	Contr	ol		Risk Ratio		Risk Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl		M-H, Fixed, 95% Cl	
Rodriguez 2011	0	9	0	7		Not estimable			
McFadden 2012	0	11	0	18		Not estimable			
Sohn 2015	2	6	1	6	18.8%	2.00 [0.24, 16.61]			
Romano-Keeler 2016	2	48	1	51	18.2%	2.13 [0.20, 22.68]			
NCT02912585 (1)	1	81	1	68	20.4%	0.84 [0.05, 13.17]			
Glass 2017	3	17	2	13	42.6%	1.15 [0.22, 5.90]			
Total (95% CI)		172		163	100.0%	1.42 [0.50, 4.02]		-	
Total events	8		5						
Heterogeneity: Chi <sup>2</sup> = 0.4	42, df = 3 (	(P = 0.9	(4); I <sup>z</sup> = 0°	%			0.01		100
Test for overall effect: Z =	= 0.67 (P =	= 0.51)					0.01	Favours OPC Favours control	100

#### <u>Footnotes</u>

(1) Unpublished data provided by the author

# Incidence of late-onset infection

All included studies reported the incidence of LOI in 335 infants. Meta-analysis did not show an effect on the risk of LOI (typical RR 0.86, 95% CI 0.56 to 1.33; six studies, 335 infants; P = 0.50; Analysis 1.2; Figure 5). We found no evidence of heterogeneity between studies (I<sup>2</sup> = 0%). The quality of evidence was very low owing to imprecision and high to unclear risk of bias.

# Figure 5. Forest plot of comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), outcome: 1.2 Incidence of late-onset infection.

	OPO	2	Contr	ol		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl	M-H, Fixed, 95% Cl
Rodriguez 2011	3	9	0	7	1.7%	5.60 [0.34, 93.35]	
McFadden 2012	1	11	4	18	9.0%	0.41 [0.05, 3.21]	
Sohn 2015	1	6	2	6	5.9%	0.50 [0.06, 4.15]	
Romano-Keeler 2016	1	48	3	51	8.6%	0.35 [0.04, 3.29]	
NCT02912585 (1)	20	81	20	68	64.6%	0.84 [0.49, 1.43]	
Glass 2017	5	17	3	13	10.1%	1.27 [0.37, 4.39]	
Total (95% CI)		172		163	100.0%	0.86 [0.56, 1.33]	•
Total events	31		32				
Heterogeneity: Chi <sup>2</sup> = 3.4	46, df = 5 (	(P = 0.6	63); I <b>*</b> = 09	%			
Test for overall effect: Z	= 0.67 (P =	= 0.50)					0.002 0.1 1 10 500 Favours OPC Favours control
<u>Footnotes</u>							

(1) Unpublished data provided by the author

# Death before discharge to home

All included studies reported death before discharge home in 335 infants. Meta-analysis did not show an effect on the risk of death before discharge home (typical RR 0.76, 95% CI 0.34 to 1.71; six studies, 335 infants; P = 0.51; Analysis 1.3; Figure 6). One study did not report death in any of the enrolled infants (Glass 2017), so the estimate is based on five studies in 305 infants. No evidence suggests heterogeneity between studies (I<sup>2</sup> = 0%). The quality of evidence was very low owing to imprecision and high to unclear risk of bias.

# Figure 6. Forest plot of comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), outcome: 1.3 Death before discharge to home.

	OPO	;	Contr	ol		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl	M-H, Fixed, 95% Cl
Rodriguez 2011	2	9	0	7	4.5%	4.00 [0.22, 72.01]	
McFadden 2012	0	11	1	18	9.4%	0.53 [0.02, 11.93]	
Sohn 2015	0	6	1	6	12.1%	0.33 [0.02, 6.86]	
Romano-Keeler 2016	1	48	0	51	3.9%	3.18 [0.13, 76.31]	
NCT02912585 (1)	5	81	8	68	70.1%	0.52 [0.18, 1.53]	
Glass 2017	0	17	0	13		Not estimable	
Total (95% CI)		172		163	100.0%	0.76 [0.34, 1.71]	-
Total events	8		10				
Heterogeneity: Chi <sup>2</sup> = 2.8	5, df = 4 (	(P = 0.5	i8); I <b>2</b> = 09	%			
Test for overall effect: Z =	0.66 (P =	0.51)					0.002 0.1 1 10 500 Favours OPC Favours control
<u>Footnotes</u>							

(1) Unpublised data provided by the author

# Secondary outcomes

#### Days to full enteral feeds

All included studies reported days to full enteral feeds in 335 infants. Two studies reported that infants who received oropharyngeal colostrum established full enteral feeds more quickly (NCT02912585; Rodriguez 2011). The other included studies did not show any statistically significant difference in this outcome. Meta-analysis of the six included studies showed that infants who received OPC within 48 hours of birth achieved full enteral feeds earlier than those given placebo or no intervention (mean difference (MD) -2.58, 95% CI -4.01 to -1.14; P = 0.0004; Analysis 1.4; Figure 7). Heterogeneity was moderate (I<sup>2</sup> = 53%) between studies. The quality of evidence was very low owing to imprecision, high to unclear risk of bias, and moderate heterogeneity.

Figure 7. Forest plot of comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), outcome: 1.4 Days to full enteral feed.

		OPC		C	ontrol			Mean Difference	Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Fixed, 95% CI	IV, Fixed, 95% CI
Rodriguez 2011	14.2	5.7	9	24.1	8.6	7	3.8%	-9.90 [-17.28, -2.52]	<b>_</b>
McFadden 2012	11.2	3.9	11	11.9	8.2	18	10.5%	-0.70 [-5.13, 3.73]	<b>_</b>
Sohn 2015	23.1	11.7	6	13.8	5.9	6	1.9%	9.30 [-1.18, 19.78]	+
Romano-Keeler 2016	13.1	6.8	48	14.5	8.1	51	23.9%	-1.40 [-4.34, 1.54]	
NCT02912585 (1)	20.7	6.5	81	24	5.1	68	59.5%	-3.30 [-5.16, -1.44]	
Glass 2017	24.2	32.5	17	24.9	33.8	13	0.4%	-0.70 [-24.71, 23.31]	
Total (95% CI)			172			163	100.0%	-2.58 [-4.01, -1.14]	•
Heterogeneity: Chi <sup>2</sup> = 10.62, df = 5 (P = 0.06); I <sup>2</sup> = 53% Test for overall effect Z = 3.51 (P = 0.0004) -20 -10 0 10 20									
restion overall effect. Z =	- 3.01 (F	- 0.00	104)						Favours OPC Favours Control

<u>Footnotes</u>

(1) Unpublished data provided by the author

# Length of hospital stay

Four studies reported length of hospital stay in 293 infants (McFadden 2012; NCT02912585; Rodriguez 2011; Romano-Keeler 2016). Meta-analysis did not show an effect in the two groups (MD 0.81, 95% CI -5.87 to 7.50; P = 0.81; Analysis 1.5). Heterogeneity was moderate ( $I^2 = 49\%$ ) across studies. We retrospectively explored this heterogeneity and identified one study that included infants with a larger birth weight (McFadden 2012). Exclusion of this study reduced heterogeneity to  $I^2 = 12\%$  and did not change the estimated effect in the meta-analysis for length of hospital stay. The quality of evidence was very low owing to imprecision, high to unclear risk of bias, and moderate heterogeneity.

#### Pneumonia

Meta-analysis did not show an effect on pneumonia (typical RR 2.08, 95% CI 0.54 to 8.06; three studies, 57 infants; P = 29) (McFadden 2012; Rodriguez 2011; Sohn 2015). We found no evidence of heterogeneity between studies ( $I^2 = 17\%$ ) (Analysis 1.6). The quality of evidence was very low owing to imprecision and performance and selection bias.

Formally reported adverse effects (e.g. aspiration, gagging/choking on administration, bradycardia, desaturation, increase in oxygen requirement, disturbances in vital signs) between start of the intervention and discharge to home

Five studies mentioned adverse events (Glass 2017; McFadden 2012; NCT02912585; Rodriguez 2011; Romano-Keeler 2016), but no studies reported the occurrence of any adverse events (additional tables; Table 2). Researchers reported adverse events as not occurring with the intervention and provided no numerical data. The quality of evidence was very low owing to imprecision and high to unclear risk of bias.

### Chronic lung disease

Three studies reported chronic lung disease in 57 infants ( McFadden 2012; Rodriguez 2011; Sohn 2015). One study provided the definition of chronic lung disease (CLD) (oxygen required at 36 weeks' corrected gestational age, or at discharge, if sooner) (Sohn 2015). Meta-analysis did not show an effect on the incidence of CLD (typical RR 0.85, 95% CI 0.60 to 1.20; three studies, 57 infants; P = 0.84; Analysis 1.8). We noted no ev-

idence of heterogeneity between studies ( $I^2 = 0\%$ ). Another study reported bronchopulmonary dysplasia as an outcome but did not define the diagnostic criteria used (NCT02912585). Including this study in the meta-analysis did not change the result significantly (typical RR 0.85, 95% CI 0.60 to 1.20; four studies, 206 infants; P = 0.37). Also, we noted no evidence of heterogeneity between studies ( $I^2 = 0\%$ ). The quality of evidence was very low owing to imprecision and performance and reporting bias.

# Days of antibiotic therapy before discharge to home

Three studies reported days of antibiotic therapy in 141 infants (McFadden 2012; Romano-Keeler 2016; Sohn 2015). Meta-analysis did not show an effect of administration of oropharyngeal colostrum on the number of days of antibiotic therapy before discharge home (MD 1.69, 95% CI -4.00 to 7.39; P = 0.65; Analysis 1.9). One trial found that infants who received oral care with saline or sterile water (control) required fewer days of antibiotic therapy when compared with those who received oral care with colostrum (McFadden 2012). Heterogeneity ( $I^2 = 91\%$ ) between studies was very high. We retrospectively explored this heterogeneity and identified that one study enrolled infants with a larger birth weight (McFadden 2012). Excluding this study removed the heterogeneity but did not change the effect estimate. The quality of evidence was very low owing to imprecision, performance and reporting bias, and high heterogeneity.

### Receiving any breast milk at discharge to home

One study reported on 99 infants receiving any breast milk at discharge home (Romano-Keeler 2016). The study author divided this outcome between those on unfortified breast milk and those on fortified breast milk. Results show no statistically significant differences between OPC and control groups for either type of feeding, that is, receiving any fortified breast milk at discharge (RR 0.67, 95% CI 0.44 to 1.02; one study, 99 infants; P = 0.06) and receiving any unfortified breast milk at discharge (RR 0.98, 95% CI 0.89 to 1.07; one study, 99 infants; P = 0.60). However, combining these outcomes revealed an effect (P = 0.04) of OPC on infants receiving any breast milk (fortified or unfortified) compared with controls. The quality of evidence was very low because data were obtained from only one unblinded study with a small sample size.

# Receiving only breast milk (and not formula) at discharge to home

One study reported on infants receiving only breast milk at discharge to home (Romano-Keeler 2016). Researchers reported no effect of OPC on infants receiving only breast milk (unfortified) at discharge (RR 0.98, 95% CI 0.89 to 1.07; one study, 99 infants; P = 0.60). The quality of evidence was very low because data were obtained from only one unblinded study with a small sample size.

#### Days of parenteral nutrition before discharge to home

Two studies reported days of parenteral nutrition in 179 infants before discharge to home (Glass 2017; NCT02912585). Metaanalysis showed no difference in days of parenteral nutrition between infants who received oropharyngeal colostrum and those given control interventions (MD 0.37, 95% CI -1.78 to 2.52; two studies, 179 infants; P = 0.7; Analysis 1.11). We found no evidence of heterogeneity between studies (I<sup>2</sup> = 0%). The quality of evidence was very low owing to imprecision and performance and reporting bias.

# Weight gain from birth to discharge home (using weight percentiles or Z-scores), time to regain birth weight

One unpublished study reported the outcome of weight at discharge home in 149 infants (NCT02912585). This study showed no effect of OPC on weight at discharge (MD -15.00, 95% CI - 50.83 to 20.83; P = 0.60). The quality of evidence was very low owing to imprecision, unclear selection and reporting bias, and the fact that data were obtained from only one study.

### Retinopathy of prematurity (ROP)

Two studies reported ROP in 165 infants (NCT02912585; Rodriguez 2011 as reported in Rodriguez 2008). Meta-analysis did not show a statistically significant effect of the intervention on the incidence of ROP (typical RR 0.98, 95% CI 0.33 to 2.94; two studies, 165 infants; P = 0.98; Analysis 1.13). We noted no heterogeneity between studies (I<sup>2</sup> = 0%). The quality of evidence was very low owing to imprecision and performance and reporting bias.

#### Death in the first year of life

None of the included studies reported death in the first year of life.

# Neurodevelopmental outcome at 18 to 24 months assessed by clinician or parent-reported questionnaire

None of the included studies reported this outcome.

# DISCUSSION

# Summary of main results

Review authors identified six randomised controlled trials (RCTs) that were eligible for inclusion in this review. These studies reported a range of outcomes. All six studies reported the three primary outcomes in this review, and available trial data do not

provide evidence that oropharyngeal administration of colostrum compared with placebo (saline or sterile water) or no intervention reduces the risk of late-onset infection (LOI), necrotising enterocolitis (NEC), or death before discharge home in preterm infants. However, all six studies were small and included a total of 335 participants; this is likely to be insufficient to demonstrate small but clinically important effects in these important outcomes. One ongoing study (see Characteristics of ongoing studies) aims to recruit and follow up 489 extremely preterm infants with birth weight < 1250 grams, within the infant's first 96 hours of life (Rodriguez 2015b). When available, including the findings of this study in the meta-analysis may alter the estimates of effects and the conclusions.

Infants who received oropharyngeal colostrum established full enteral feeding sooner than those who received placebo or no intervention. Despite this, the included studies do not show consistent evidence of effect on length of hospital stay. In addition, we considered several secondary outcomes in this review, and the included studies do not demonstrate any effects on risk of pneumonia, chronic lung disease, or retinopathy of prematurity. They also show no differences in weight at discharge, days of antibiotic therapy, days on parenteral nutrition, or chances of receiving any breast milk at the time of discharge. None of the included studies followed up with participants beyond hospital discharge, and no data were available to assess the effect of the intervention on death by one year of age nor on neurodevelopmental outcome at 18 to 24 months of age.

These studies narratively reported adverse events and described no adverse events related to administration of oropharyngeal colostrum or placebo.

# Overall completeness and applicability of evidence

Most participants were very or extremely preterm infants with very low birth weight. None of the included studies specified as exclusion criteria small for gestational age or in utero compromise such as absent end-diastolic flow on maternal dopplers. One study reported that five of 30 participants were small for gestational age (Glass 2017). Most studies included ventilated infants, and only Rodriguez 2011 specified the need for "vasopressor medications at a dosage of > 10microg/kg/min" as an exclusion criterion. Therefore, review findings should be applicable to most preterm and very low birth weight babies.

# Quality of the evidence

The GRADE quality of evidence was low and was downgraded to very low owing to concerns about allocation concealment and blinding in the highest weighted studies, concerns about incomplete outcome data, small sample sizes with few events, and wide confidence intervals crossing the line of no effect for almost all outcomes (see Summary of findings for the main comparison). Studies showed heterogeneity in days to full feeds, which could be due to variability between studies in the definition of time to reach full enteral feeds (100 to 150 mL/kg/d). Although results show a statistically significant effect and a moderate effect size, the confidence interval was very wide (-4.01 to -1.14).

# Potential biases in the review process

Our main concern with the review process is the possibility of publication bias, as we identified insufficient studies to prepare a funnel plot to assess this. We attempted to minimise this bias by screening the reference lists of included trials and by searching for abstracts and proceedings of major perinatal conferences. Another major concern was incomplete reporting of results. We endeavoured to minimise this by contacting study authors when needed, several of whom provided missing data that we have reported in the review. Although most data included in the analyses were derived from study reports (published or unpublished) and additional information was provided by study authors, NCT02912585 presented continuous outcomes as median and interquartile range (IQR), and mean and standard deviation (SD) were not available on request. We therefore assumed a normal distribution and estimated mean ± SD using the formula: IQR = approximately 1.35 of the SD (Cochrane Handbook for Systematic Reviews of Interventions; Section 7.7.3.5; Higgins 2011a).

# Agreements and disagreements with other studies or reviews

This review focuses on oropharyngeal administration of mother's own colostrum within the first 48 hours of life and, to the best of our knowledge, is the first review based on Cochrane systematic methods. Gephart 2014 conducted a systematic review to assess the effect of oral therapy with colostrum compared with no colostrum in sick neonates; findings of this review were generally consistent with our review findings. Review authors reported lack of strong evidence to support the proposed clinical benefits of early colostrum oral care in sick neonates; they cited earlier studies supporting the safety and feasibility of the use of colostrum, but these studies used different study design methods, including RCTs, observational, cross-sectional, and longitudinal studies, and clinical audits.

# AUTHORS' CONCLUSIONS

# Implications for practice

Limited available evidence currently suggests that oropharyngeal administration of mother's colostrum starting within the first 48 hours of life does not reduce the risk of NEC, late-onset infection, or death until discharge in preterm infants, including very preterm, very low birth weight infants. This approach can shorten the time taken to achieve full enteral feeds but does not reduce the duration of hospital stay. Results now awaited from an ongoing study may alter these conclusions.

# Implications for research

Although it is biologically plausible that oropharyngeal administration of mother's colostrum can reduce the risk of late-onset infection and NEC in preterm infants, additional, larger RCTs are required to conclusively answer these questions. These trials need to be adequately powered to assess effects of the intervention on clinically relevant outcomes, including late-onset infection and NEC. A priori agreements on dose and procedure for administration of oropharyngeal colostrum, inclusion of the most immature and smallest (including growth-restricted) infants with other intensive care needs (such as mechanical ventilation and inotropic support), and well-defined, objective, and clinically relevant outcome measures will enable wider application of the evidence to groups at greatest risk. In addition, trials should aim to assess longterm outcomes, principally mortality and neurodevelopment.

# ACKNOWLEDGEMENTS

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\* Indicates the major publication for the study

# CHARACTERISTICS OF STUDIES

# Characteristics of included studies [author-defined order]

# **Glass 2017**

Methods	Open label, placebo-controlled, randomised, controlled trial
Participants	30 infants (17 intervention and 13 control) Inclusion criteria: birth weight < 1500 grams, born in the same hospital Exclusion criteria: infants with major congenital anomalies or chromosomal syndromes incompatible with life, infants of mothers not willing to provide colostrum for their infant in the first week of life, infants of mothers with known human immunodeficiency virus, hepatitis B, or hepatitis C Setting: NICU, Children's Hospital, Penn State Milton S. Hershey Medical Center, Hershey, Pennsylvania, USA From January 2011 to January 2016
Interventions	With a cotton-tipped applicator, 0.2 mL of mother's own colostrum was applied to the infant's oropharyngeal mucosa every 3 hours for 5 days from day 2 to day 7 of life (intervention) vs 0.2 mL sterile water delivered in a similar manner (control)
Outcomes	Change in salivary secretory IgA concentration from baseline to 2 weeks of age Necrotising enterocolitis (Bell's stage 2 or 3) Culture-positive sepsis Time to full enteral feeding (defined as 140 mL/kg/d)
Funding source	Children's Miracle Network Research Grant National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK) of the National Institutes of Health under award number 1R01DK099350. USA
Notes	Results were initially available as a conference abstract, and further information was provided by Dr Karen Glass. Data included in the review included information from the subsequent publication - Glass 2017 - and information provided by Dr Glass

Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	"Random number generation"; additional information provided by the study author
Allocation concealment (selection bias)	High risk	"Not applicable"; additional information provided by the study author
Blinding of participants and personnel (performance bias) All outcomes	High risk	The trial was not blinded

# Glass 2017 (Continued)

Blinding of outcome assessment (detection bias) All outcomes	High risk	The trial was not blinded
Blinding of outcome assessment (detection bias) Death before discharge to home	Low risk	The trial was not blinded. but death is un- likely to be influenced by blinding
Incomplete outcome data (attrition bias) All outcomes	High risk	13 participants were excluded and were not analysed. This was determined through ad- ditional information provided by the study author
Incomplete outcome data (attrition bias) Length of hospital stay	Low risk	This outcome has not been reported in this trial
Selective reporting (reporting bias)	High risk	Proposed outcomes as given in the study protocol (clinicaltrials. gov NCT01443091) were reported. How- ever, the estimated sample size in the pro- tocol was 60 infants, although the report included only 30 infants. No explanation for this was provided

# McFadden 2012

Methods	Open-label, randomised, controlled trial
Participants	29 infants (11 intervention and 18 control) Inclusion criteria: gestational age 26 to 34 weeks, admission to NICU, intubation and mechanical ventilation, support with nasal continuous positive airway pressure (NCPAP) Exclusion criteria: age > 24 hours, major congenital anomalies, infants diagnosed with infection in the first 24 hours of life or born to mothers with active infection, parental refusal, mothers not speaking English, mothers not wishing to breastfeed Setting: The Woman's Hospital of Texas, Houston, Texas, USA. From August 2011 to January 2012
Interventions	Oral care (gentle swirl of swab along inside of mouth - wiping cheeks, tongue, palate, and lips every 3 to 6 hours, or more often as indicated) using colostrum/human milk (intervention) or sterile water (control A) or normal saline (control B)
Outcomes	Oral colonisation (oral culture) and time to oral colonisation Necrotising enterocolitis (diagnostic criteria not specified) Ventilator-associated pneumonia (diagnosed by increasing oxygen or ventilatory require- ment and X-ray changes) Duration of antibiotics (days) Days to reach full enteral feeds Length of hospital stay (days)

# McFadden 2012 (Continued)

	Chronic lung disease (diagnostic criteria not specified)	
Funding source	The Woman's Hospital of Texas, USA	
Notes	No protocol was available	

# Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	"Once consent was signed, a study envelope was drawn for randomization and a study number was assigned" No other detail of the randomisation meth- ods were given
Allocation concealment (selection bias)	Low risk	"by drawing an envelope with the designa- tion sealed inside" and "Once consent was signed, a study envelope was drawn for ran- domization and a study number was as- signed"
Blinding of participants and personnel (performance bias) All outcomes	High risk	The study was not blinded "Staff were informed whether the subject was assigned as control group A (ster- ile water) or B (normal saline) or group C (treatment: colostrum/human milk), as- signed group was documented on the patient kardex in the chart and a sign placed on the infants chart to indicate study participation and the assigned group"
Blinding of outcome assessment (detection bias) All outcomes	High risk	The study was not blinded "Staff were informed whether the subject was assigned as control group A (ster- ile water) or B (normal saline) or Group C (treatment: colostrum/human milk), as- signed group was documented on the patient kardex in the chart and a sign placed on the infants chart to indicate study participation and the assigned group"
Blinding of outcome assessment (detection bias) Death before discharge to home	Low risk	The study was not blinded, but death is un- likely to be influenced
Incomplete outcome data (attrition bias) All outcomes	Low risk	Three infants were still in the hospital when the study was completed and were not in-

# McFadden 2012 (Continued)

		cluded in the analysis
Incomplete outcome data (attrition bias) Length of hospital stay	High risk	Three infants were still in the hospital when the study was completed and were not in- cluded in the analysis
Selective reporting (reporting bias)	Unclear risk	No protocol was available
NCT02912585		
Methods	Double-blinded, randomised, placebo-con	ntrolled trial
Participants	149 infants (81 intervention and 68 control) Inclusion criteria: birth weight < 1500 grams, gestational age < 34 weeks Exclusion criteria: congenital anomalies, gastrointestinal disorders, maternal history of substance abuse, positive HIV status Setting: NICU, Clinics Hospital of Federal University of Uberlandia, Minas Gerais, Uberlandia, Brazil. From 15 July 2013 to 15 July 2015	
Interventions	Oropharyngeal administration of own mother's colostrum (intervention) vs sterile water (control) using the same protocol as in Rodriguez 2011	
Outcomes	Incidence of proven sepsis (late-onset sepsis) defined as bacterial growth in blood culture in a neonate with signs of clinical sepsis Serum and urine IgA levels Death before discharge Necrotising enterocolitis (Bell's stage 2 or 3) Bronchopulmonary dysplasia (diagnostic criteria not specified) Retinopathy of prematurity (grade 3) Length of hospital stay (days)	
Funding source	Supported by The Minas Gerais State Research Support Foundation (FAPEMIG), Brazil	
Notes	This study is not published yet but has been submitted for publication by the <i>Journal of Pediatrics</i> . Results and further information provided by Dr Daniela Marques de Lima Mota Ferreira (April 2017)	

# Risk of bias

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Computer random number generation. Additional information provided by study author: "a randomization schedule of 30 infants by computer considering 15 num- ber 1 (colostrum group) and 15 number 2 (placebo group)"

# NCT02912585 (Continued)

Allocation concealment (selection bias)	Unclear risk	No details were provided regarding alloca- tion concealment
Blinding of participants and personnel (performance bias) All outcomes	Low risk	The study reported: "Clinical and research staff remained unaware of study group assignments, except one independent re- search member, from the human milk bank, who prepared the colostrum and placebo syringes"
Blinding of outcome assessment (detection bias) All outcomes	Low risk	The study reported: "Clinical and research staff remained unaware of study group assignments, except one independent re- search member, from the human milk bank, who prepared the colostrum and placebo syringes"
Blinding of outcome assessment (detection bias) Death before discharge to home	Low risk	The study reported: "Clinical and research staff remained unaware of study group assignments, except one independent re- search member, from the human milk bank, who prepared the colostrum and placebo syringes"
Incomplete outcome data (attrition bias) All outcomes	Low risk	The study reported: "Thirty two random- ized to colostrum group were excluded be- cause own mother's colostrum were not available" These 32 infants were excluded from the fi- nal analysis of data. Additional information regarding this group was provided by the study author, and intention-to-treat analy- sis was conducted in the meta-analysis
Incomplete outcome data (attrition bias) Length of hospital stay	Low risk	The study reported: "Thirty two random- ized to colostrum group were excluded be- cause own mother's colostrum were not available" These 32 infants were excluded from the fi- nal analysis of data. Additional information regarding this group was provided by the study author, and intention-to-treat was conducted in the meta-analysis
Selective reporting (reporting bias)	Unclear risk	The trial has not been published. Data have been provided by the trial's investiga- tor on request. Pre-specified primary out- comes within the study protocol were re-

# NCT02912585 (Continued)

ported (protocol was available at clinicaltrials.gov: NCT01776268). Secondary outcomes were not listed in the protocol

# Rodriguez 2011

Methods	Blinded, placebo-controlled, randomised, controlled trial
Participants	16 infants (9 intervention, 7 control) Inclusion criteria: birth weight < 1000 grams and/or gestation < 28 weeks; appropriate weight for gestational age Exclusion criteria: presence of congenital anomalies, gastrointestinal or renal disorders, receipt of vasopressor medications at a dosage > 10 mcg/kg/min, maternal chorioam- nionitis, history of substance abuse, positive HIV status Setting: Level III neonatal unit, NorthShore University Hospital, Chicago, Illinois, USA
Interventions	0.2 mL of own mother's colostrum (intervention) vs sterile water (control) Using a syringe, 0.1 mL was administrated by placing the tip of the syringe into the infant's mouth, alongside the right buccal cavity, and directing it posteriorly towards the oropharynx over a period of at least 2 minutes. An additional 0.1 mL was administered similarly on the left side. The procedure was started within 48 hours of life and was carried out every 2 hours for 48 consecutive hours
Outcomes	Secretory immunoglobulin A, lactoferrin, and interleukin-10 Necrotising enterocolitis (diagnostic criteria not specified) Chronic lung disease (diagnostic criteria not specified) Death before discharge Pneumonia and bacteraemia Retinopathy of prematurity (data provided by Dr Nancy Rodreiguez; diagnostic criteria not specified) Days to full enteral feeds, days to full per oral feeds, and days to full per oral feeds since start of enteral feeds Length of hospital stay (days) Corrected gestational age at discharge (weeks)
Funding source	This study was funded by the National Institutes of Health and by Medela, Inc., USA

Notes

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Risk of bias
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Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Additional information was provided by the investigator: "we used a simple ran- domization process (as described in Nurs- ing Research; Principles and Methods. Polit & Hungler 1987 Chapter 8. Experiments

# Rodriguez 2011 (Continued)

		and quasi-experiments, p 123). Random assignment was carried out by writing the word "experimental" on nine slips of pa- per and the word "control" on 9 additional pieces of paper. The eighteen pieces of pa- per were placed in a box. On a separate piece of paper, the numbers one through eighteen were listed. The box was shaken, and then each of these pieces of paper [was] withdrawn, one at a time, and placed next to a number in consecutive order. The fi- nal list of eighteen numbers, which would correspond to the eighteen potential sub- jects, had either "experimental" or "con- trol" written next to the number, which would correspond to the group assignment for each potential subject. The pieces of pa- per were withdrawn from the box by the principal investigator with a research nurse serving as a witness. The randomization process took place before any subjects were enrolled in the study"
Allocation concealment (selection bias)	Low risk	The randomisation process took place be- fore any participants were enrolled in the study "This list of randomized group assignment was placed in a locked cabinet in a locked room"
Blinding of participants and personnel (performance bias) All outcomes	Low risk	"were covered with an opaque tape as a blinding procedure"
Blinding of outcome assessment (detection bias) All outcomes	Low risk	"were covered with an opaque tape as a blinding procedure" and "specimens were collected after the syringes were prepared"
Blinding of outcome assessment (detection bias) Death before discharge to home	Low risk	The study was blinded, and death is un- likely is to be influenced
Incomplete outcome data (attrition bias) All outcomes	Low risk	The study author provided us with addi- tional information, and intention-to-treat analysis was conducted in this review
Incomplete outcome data (attrition bias) Length of hospital stay	Low risk	One control infant was excluded as this in- fant received colostrum in error, and data for 2 were excluded rather than used in an

# Rodriguez 2011 (Continued)

		intention-to-treat analysis. The study au- thor provided us with additional informa- tion regarding that infant, and intention- to-treat analysis was conducted in this re- view
Selective reporting (reporting bias)	Unclear risk	Outcomes described in the methods were reported in the results. The study protocol is not available

Methods	Open-label, randomised, controlled trial	
Participants	99 infants (48 intervention and 51 control) Inclusion criteria: gestational age < 32 weeks Exclusion criteria: refusal to participate, enrolment in competing studies, Spanish-speak- ing only Setting: NICU, Monroe Carell Jr. Children's Hospital at Vanderbilt, Nashville, Ten- nessee, USA From February 2013 to July 2014	
Interventions	Oral priming with mother's colostrum - 0.1 mL colostrum administered to each cheek every 6 hours for 5 days started in the first 48 hours of life (intervention) compared with no oral priming with mother's colostrum (control)	
Outcomes	Salivary immuno-peptides and oral microbiota Necrotising enterocolitis (Bell's stage 2 or 3) Late-onset bacteraemia Days to enteral feeds at 100 mL/kg/d Days of antimicrobial exposure Length of hospital stay (days) Type of feeds at discharge	
Funding source	Vanderbilt University Medical Center and Thrasher Fund and NIH/NIGMS (GM106143 (to JLW)), USA	
Notes		
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	"preterm infants (< 32 weeks' completed ges- tation) were randomised by a numeric list

# Romano-Keeler 2016

Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants (Review)	
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generated a priori to receive oral priming with mother's colostrum or no oral priming"

# Romano-Keeler 2016 (Continued)

Allocation concealment (selection bias)	Unclear risk	No details were provided regarding alloca- tion concealment
Blinding of participants and personnel (performance bias) All outcomes	High risk	The study was not blinded "Participating staff members were not blinded"
Blinding of outcome assessment (detection bias) All outcomes	High risk	The study was not blinded "Participating staff members were not blinded", and assessors were not described so presumably were also part of the clinical team
Blinding of outcome assessment (detection bias) Death before discharge to home	Low risk	The study was not blinded, but death is un- likely to be influenced by blinding
Incomplete outcome data (attrition bias) All outcomes	Low risk	All randomised infants were included in the final analysis
Incomplete outcome data (attrition bias) Length of hospital stay	Low risk	Length of hospital stay was available for all participants
Selective reporting (reporting bias)	Low risk	All outcomes described in the methods were reported in the results. The protocol is avail- able at clinicaltrials.gov (NCT01776268)

# Sohn 2015

Methods	Open-label, randomised, controlled trial	
Participants	12 participants (6 intervention and 6 control) Inclusion criteria: birth weight < 1500 grams, age < 7 days, intubated within 48 hours of birth, maternal colostrum available Exclusion criteria: a lethal medical condition Setting: NICU, University of California Davis Children's Hospital, Sacramento, Cali- fornia, USA. From November 2013 to October 2014	
Interventions	0.2 mL of the mother's colostrum via sterile syringe into the baby's oral cavity (0.1 mL into each buccal pouch) every 2 hours for 46 hours. The comparison group received routine care	
Outcomes	Oral microbiota Necrotising enterocolitis (Bell's stage 2 or 3) Days to full enteral feeding Duration of antibiotics (days) Ventilator-associated pneumonia (diagnosed by positive culture on endotracheal aspirate) Other pneumonia	

# Sohn 2015 (Continued)

	Chronic lung disease (defined as oxygen requirement at 36 weeks' corrected gestational age, or discharge, if sooner) Early- and late-onset sepsis (diagnosed by positive blood cultures) Death before discharge	
Funding source	University of California Davis, USA	
Notes		
Risk of bias		
Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	"neonates were randomly assigned to the colostrum group", but no details were given on how this was done
Allocation concealment (selection bias)	Low risk	"neonates were randomly assigned to the colostrum group using sealed opaque envelopes"
Blinding of participants and personnel (performance bias) All outcomes	High risk	The study was not blinded
Blinding of outcome assessment (detection bias) All outcomes	High risk	The study was not blinded
Blinding of outcome assessment (detection bias) Death before discharge to home	Low risk	The study was not blinded, but death is un- likely to be influenced by blinding
Incomplete outcome data (attrition bias) All outcomes	Low risk	All randomised infants were included in the final analysis
Incomplete outcome data (attrition bias) Length of hospital stay	Low risk	This outcome was not reported in this study
Selective reporting (reporting bias)	Low risk	The study protocol was available at clinical- trials.gov (NCT02306980). The pre-speci- fied and expected outcomes of interest were reported for all participants, except length of stay, which was not reported

IgA: immunoglobulin A.

NCPAP: nasal continuous positive airway pressure.

NICU: neonatal intensive care unit.

# Characteristics of excluded studies [ordered by study ID]

Study	Reason for exclusion
Alvarez 2016	This study was found to be a non-randomised trial upon translation of the report from Spanish
Lee 2015	The mean age at which the study intervention was started was > 48 hours Double-blind, placebo-controlled, randomised controlled trial conducted in the NICU, Seoul National Uni- versity Children's Hospital, in Seoul, Korea, including 48 infants born at < 28 weeks' gestation. Every 3 hours for 72 hours, 0.2 mL of colostrum or placebo (distilled water) was administered via the oropharyngeal route using opaque syringes. Reported outcomes included necrotising enterocolitis (defined as Bell's stage 2 or 3), which occurred in 4 of 24 in the colostrum group and in 6 of 24 in the control group; and proven sepsis, which occurred in 11 of 24 in the intervention group and in 14 of 24 in the control group. Three of the 24 infants in the intervention group died as compared to 6 of 24 in the control group. However, this study is not included in the review, as the study intervention was given after 48 hours of age to most participants
Montgomery 2008	This was an observational study conducted to determine the feasibility of oropharyngeal administration to very low birth weight infants
Zhang 2017	The mean age at which the study intervention was started was > 48 hours in both groups Double-blind, placebo-controlled, randomised controlled trial conducted in the NICU, Children's Hospital, Fudan University, in Shanghai, China. The study included infants with birth weight < 1500 grams who were transferred into the hospital within 24 hours after birth and parents who agreed to provide colostrum. Infants with a life-threatening condition and any disease that influenced enteral feeding were excluded. Those in the intervention group received 0.1 mL of colostrum in each side of the cheek, and those in the control group received normal saline in a similar manner. Outcomes reported included necrotising enterocolitis (defined as Bell's stage 2 or 3) and late-onset sepsis (defined as "bacterial growth occurred in at least one blood culture and symptoms of clinical sepsis"). NEC was reported in 1 out of 32 infants in the intervention group and in 5 out of 32 in the control group, and late-onset sepsis occurred in 4 out of 32 in the intervention group and in 8 out of 32 in the control group. However, this study was excluded from the review as infants in both groups received the first dose of study medication (colostrum or normal saline) after 48 hours of life

NEC: necrotising enterocolitis. NICU: neonatal intensive care unit.

# Characteristics of ongoing studies [ordered by study ID]

### JPRN-UMIN000022923

Trial name or title	The effect of oropharyngeal colostrum administration in preterm infants
Methods	Parallel, double-blinded, randomised controlled trial
Participants	Inclusion criteria: neonates born before 32 weeks' gestation Exclusion criteria: infants who cannot obtain colostrum within 72 hours, infants with congenital malforma-

# JPRN-UMIN000022923 (Continued)

	tions, infants with chromosomal abnormalities Setting: neonatal unit of Okayama University Hospital Pediatrics, Okayama, Japan
Interventions	Intervention: 0.2 mL of mother's colostrum administered from 72 hours after birth every 3 hours for 120 hours Control: similar administration of saline
Outcomes	Serum IgA, weight gain, length of hospital stay, carriage rate of MRSA, septicaemia
Starting date	Not yet recruiting
Contact information	Dr Yoshuke Wahio, 2-5-1,Shikatacho, Kitaku Okayamcity, Okayama, 700-8558, Japan email: wxy-kk@hotmail.co.jp
Notes	

# Rodriguez 2015b

Trial name or title	Oropharyngeal administration of mother's colostrum, health outcomes of preterm infants: study protocol for a randomised controlled trial
Methods	Prospective, multi-centre, double-blind, placebo-controlled, randomised trial
Participants	<ul> <li>Extremely preterm infants with birth weight &lt; 1250 grams, within the infant's first 96 hours of life</li> <li>Setting: 5 NICUs in hospitals within the United States</li> <li>1. NorthShore University HealthSystem, Evanston, Illinois</li> <li>2. Betty H. Cameron Women &amp; Children's Hospital, New Hanover Regional Medical Center, Wilmington, North Carolina</li> <li>3. St. Joseph's Children's Hospital, Paterson, New Jersey</li> <li>4. Advocate Children's Hospital, Park Ridge, Illinois</li> <li>5. Morristown Medical Center, Morristown, New Jersey</li> <li>Estimated enrolment: 622 participants, with an estimated dropout rate of 20%, allowing completed analysis of 489 participants</li> </ul>
Interventions	<ul> <li>Intervention group: oropharyngeal administration of mother's colostrum</li> <li>1. Initial treatment with 0.2 mL of colostrum administered per dose followed by buccal swabbing for 10 seconds. The procedure is repeated 2-hourly for 48 hours</li> <li>2. Extended treatment period beginning immediately after completion of initial treatment. The procedure is repeated every 3 hours until the infant reaches 32 weeks' corrected gestational age Comparison: sterile water given by the same procedure for colostrum</li> </ul>
Outcomes	Primary outcomes 1. Late-onset sepsis defined as new onset of at least 2 clinical symptoms with a positive blood culture (noted after day of life 3) and identification of an organism known to be a cause of sepsis rather than a contaminant 2. NEC defined according to modified Bell's criteria stage > 2 with clinical signs and radiological evidence including any of the following: pneumatosis intestinalis or portal venous gas with or without pneumoperi- toneum 3. Death

# Rodriguez 2015b (Continued)

	Secondary outcomes Faecal microbiota, urinary biomarker of oxidative stress, concentrations of urinary lactoferrin
Starting date	November 2013
Contact information	Nancy Rodriguez; nrodriguez@northshore.org NorthShore University HealthSystem, Evanston, IL, USA
Notes	This trial is actively recruiting

IgA: immunoglobulin A. MRSA: methicillin-resistant *Staphylococcus aureus*. NICU: neonatal intensive care unit.

## DATA AND ANALYSES

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Incidence of necrotising enterocolitis (NEC)	6	335	Risk Ratio (M-H, Fixed, 95% CI)	1.42 [0.50, 4.02]
2 Incidence of late-onset infection	6	335	Risk Ratio (M-H, Fixed, 95% CI)	0.86 [0.56, 1.33]
3 Death before discharge to home	6	335	Risk Ratio (M-H, Fixed, 95% CI)	0.76 [0.34, 1.71]
4 Days to full enteral feed	6	335	Mean Difference (IV, Fixed, 95% CI)	-2.58 [-4.01, -1.14]
5 Length of hospital stay	4	293	Mean Difference (IV, Fixed, 95% CI)	0.81 [-5.87, 7.50]
6 Pneumonia	3	57	Risk Ratio (M-H, Fixed, 95% CI)	2.08 [0.54, 8.06]
7 Reported adverse effects			Other data	No numeric data
8 Chronic lung disease (CLD)	4	206	Risk Ratio (M-H, Fixed, 95% CI)	0.85 [0.60, 1.20]
8.1 CLD	3	57	Risk Ratio (M-H, Fixed, 95% CI)	1.11 [0.40, 3.08]
8.2 CLD and BPD	1	149	Risk Ratio (M-H, Fixed, 95% CI)	0.81 [0.57, 1.17]
9 Days of antibiotic therapy	3	140	Mean Difference (IV, Random, 95% CI)	1.69 [-2.00, 7.39]
10 Receiving any breast milk at discharge to home.	1		Risk Ratio (M-H, Fixed, 95% CI)	Subtotals only
10.1 Fortified breast milk	1	99	Risk Ratio (M-H, Fixed, 95% CI)	0.67 [0.44, 1.02]
10.2 Unfortified breast milk	1	99	Risk Ratio (M-H, Fixed, 95% CI)	0.98 [0.89, 1.07]
10.3 Any breast milk (fortified or unfortified)	1	99	Risk Ratio (M-H, Fixed, 95% CI)	0.61 [0.38, 0.97]
11 Days of parenteral nutrition	2	179	Mean Difference (IV, Fixed, 95% CI)	0.37 [-1.78, 2.52]
12 Weight at discharge to home	1	149	Mean Difference (IV, Fixed, 95% CI)	24.5 [-69.66, 118. 66]
13 Retinopathy of prematurity (ROP)	2	165	Risk Ratio (M-H, Fixed, 95% CI)	0.98 [0.33, 2.94]

Comparison 1. Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention)

## Analysis I.I. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome I Incidence of necrotising enterocolitis (NEC).

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants

Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention)

Outcome: I Incidence of necrotising enterocolitis (NEC)

Study or subgroup	OPC	Control	Risk Ratio	Weight	Risk Ratio
	n/N	n/N	M-H,Fixed,95% CI		M-H,Fixed,95% Cl
Rodriguez 2011	0/9	0/7			Not estimable
McFadden 2012	0/11	0/18			Not estimable
Sohn 2015	2/6	1/6		18.8 %	2.00 [ 0.24,  6.6  ]
Romano <sup>*</sup> x002d <sup>*</sup> Keeler 2016	2/48	1/51		18.2 %	2.13 [ 0.20, 22.68 ]
NCT02912585 (I)	1/81	1/68		20.4 %	0.84 [ 0.05, 13.17 ]
Glass 2017	3/17	2/13	<b>_</b>	42.6 %	1.15 [ 0.22, 5.90 ]
Total (95% CI)	172	163	-	100.0 %	1.42 [ 0.50, 4.02 ]
Total events: 8 (OPC), 5 (Control)					
Heterogeneity: $Chi^2 = 0.42$ , df = 3 (P =	= 0.94); l <sup>2</sup> =0.0%				
Test for overall effect: $Z = 0.67$ (P = 0.	51)				
Test for subgroup differences: Not app	licable				
			0.01 0.1 1 10 100		
			Favours OPC Favours control		

(1) Unpublished data provided by the author

# Analysis I.2. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 2 Incidence of late-onset infection.

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants

Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention)

Outcome: 2 Incidence of late-onset infection

Study or subgroup	OPC	Control	Risk Ratio	Weight	Risk Ratio
	n/N	n/N	M-H,Fixed,95% Cl		M-H,Fixed,95% CI
Rodriguez 2011	3/9	0/7		1.7 %	5.60 [ 0.34, 93.35 ]
McFadden 2012	1711	4/18		9.0 %	0.41 [ 0.05, 3.21 ]
Sohn 2015	1/6	2/6		5.9 %	0.50 [ 0.06, 4.15 ]
Romano <sup>*</sup> x002d <sup>*</sup> Keeler 2016	1/48	3/5		8.6 %	0.35 [ 0.04, 3.29 ]
NCT02912585 (1)	20/81	20/68	-	64.6 %	0.84 [ 0.49, 1.43 ]
Glass 2017	5/17	3/13		10.1 %	1.27 [ 0.37, 4.39 ]
Total (95% CI)	172	163	•	100.0 %	0.86 [ 0.56, 1.33 ]
Total events: 31 (OPC), 32 (Control)					
Heterogeneity: $Chi^2 = 3.46$ , $df = 5$ (P	= 0.63); l <sup>2</sup> =0.0%				
Test for overall effect: $Z = 0.67$ (P = 0	.50)				
Test for subgroup differences: Not app	licable				
			0.002 0.1 1 10 500		
			Favours OPC Favours control		

(1) Unpublished data provided by the author

### Analysis I.3. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 3 Death before discharge to home.

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants

Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention)

Outcome: 3 Death before discharge to home

Study or subgroup	OPC	Control	Risk Ratio	Weight	Risk Ratio
	n/N	n/N	M-H,Fixed,95% CI		M-H,Fixed,95% Cl
Rodriguez 2011	2/9	0/7		4.5 %	4.00 [ 0.22, 72.01 ]
McFadden 2012	0/11	1/18		9.4 %	0.53 [ 0.02, 11.93 ]
Sohn 2015	0/6	1/6		12.1 %	0.33 [ 0.02, 6.86 ]
Romano <sup>*</sup> x002d <sup>*</sup> Keeler 2016	1/48	0/51	<u> </u>	3.9 %	3.18 [ 0.13, 76.31 ]
NCT02912585 (I)	5/81	8/68	-	70.1 %	0.52 [ 0.18, 1.53 ]
Glass 2017	0/17	0/13			Not estimable
Total (95% CI)	172	163	•	100.0 %	0.76 [ 0.34, 1.71 ]
Total events: 8 (OPC), 10 (Control)					
Heterogeneity: $Chi^2 = 2.85$ , df = 4 (P =	= 0.58); l <sup>2</sup> =0.0%	, )			
Test for overall effect: $Z = 0.66$ (P = 0.	51)				
Test for subgroup differences: Not app	icable				
			0.002 0.1 1 10 500		
			Favours OPC Favours control		

(1) Unpublised data provided by the author

### Analysis I.4. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 4 Days to full enteral feed.

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants

Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention)

Outcome: 4 Days to full enteral feed

Study or subgroup	OPC		Control		Mean Difference	Weight	Mean Difference
	Ν	Mean(SD)	Ν	Mean(SD)	IV,Fixed,95% CI		IV,Fixed,95% CI
Rodriguez 2011	9	14.2 (5.7)	7	24.1 (8.6)		3.8 %	-9.90 [ -17.28, -2.52 ]
McFadden 2012	11	11.2 (3.9)	18	11.9 (8.2)		10.5 %	-0.70 [ -5.13, 3.73 ]
Sohn 2015	6	23.1 (11.7)	6	13.8 (5.9)		- 1.9 %	9.30 [ -1.18, 19.78 ]
Romano`x002d`Keeler 2016	48	3.  (6.8)	51	14.5 (8.1)		23.9 %	-1.40 [ -4.34, 1.54 ]
NCT02912585 (1)	81	20.7 (6.5)	68	24 (5.1)	-	59.5 %	-3.30 [ -5.16, -1.44 ]
Glass 2017	17	24.2 (32.5)	13	24.9 (33.8)		→ 0.4 %	-0.70 [ -24.71, 23.31 ]
Total (95% CI)	172		163		•	100.0 %	-2.58 [ -4.01, -1.14 ]
Heterogeneity: Chi <sup>2</sup> = 10.62, df =	5 (P = 0.0	06); I <sup>2</sup> =53%					
Test for overall effect: $Z = 3.51$ (P	= 0.00044	1)					
Test for subgroup differences: Not	applicable	:					
						п	
					20 10 0 10	20	

-20 -10 0 10 20

Favours OPC Favours Control

(1) Unpublished data provided by the author

# Analysis 1.5. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 5 Length of hospital stay.

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants

Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention)

Outcome: 5 Length of hospital stay

Study or subgroup	OPC		Control		Mea Differend		Mean Difference
	Ν	Mean(SD)	Ν	Mean(SD)	IV,Fixed,95	% CI	IV,Fixed,95% CI
Rodriguez 2011	9	101.43 (44.26)	7	85.33 (32.96)		3.1 %	16.10 [ -21.75, 53.95 ]
McFadden 2012	11	69.4 (35)	18	47.18 (22.95)		8.3 %	22.22 [ -1.02, 45.46 ]
Romano <sup>*</sup> x002d <sup>*</sup> Keeler 201	6 48	56 (35)	51	65 (35)		23.5 %	-9.00 [ -22.80, 4.80 ]
NCT02912585 (I)	81	65.4 (29.28)	68	64.5 (22.22)		65.1 %	0.90 [ -7.38, 9.18 ]
Total (95% CI)	149		144		+	100.0 %	0.81 [ -5.87, 7.50 ]
Heterogeneity: $Chi^2 = 5.83$ , df =	3 (P = 0.1	2); l <sup>2</sup> =49%					
Test for overall effect: $Z = 0.24$ (	P = 0.8I)						
Test for subgroup differences: No	ot applicabl	e					
						1 1	
					-100 -50 0	50 100	
					Favours OPC F	avours control	

(1) Unpublished data provided by the author

# Analysis I.6. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 6 Pneumonia.

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants

Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention)

Outcome: 6 Pneumonia

Study or subgroup	OPC n/N	Control n/N	Risk Ratio M-H,Fixed,95% Cl	Weight	Risk Ratio M-H,Fixed,95% Cl
Sohn 2015	2/6	0/6		16.7 %	5.00 [ 0.29, 86.43 ]
Rodriguez 2011	3/9	0/7		18.6 %	5.60 [ 0.34, 93.35 ]
McFadden 2012	0/11	2/18		64.7 %	0.32 [ 0.02, 6.04 ]
Total (95% CI)	26	31	-	<b>100.0</b> %	2.08 [ 0.54, 8.06 ]
Total events: 5 (OPC), 2 (C	Control)				
Heterogeneity: Chi <sup>2</sup> = 2.40	, df = 2 (P = 0.30);	$ ^2 =  7\%$			
Test for overall effect: Z =	I.06 (P = 0.29)				
Test for subgroup difference	es: Not applicable				
			0.001 0.01 0.1 1 10 100 1000		
			Favours OPC Favours Control		

# Analysis 1.7. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 7 Reported adverse effects.

#### **Reported adverse effects**

Study	Outcome	Definition	Comments
Glass 2017	No adverse effects reported.	Infants were monitored for apnea, bradycardia and aspiration pneu- monia	"There were no apenic, bradycardic events reported with oropharyngeal colostrum or sterile water administration."
McFadden 2012	No adverse effects have been re- ported	No pre-defined adverse effects have been described in the study	Adverse effects were narratively re- ported as no adverse effects with the intervention. No numerical data were provided
NCT02912585	No adverse effects have been re- ported	No pre-defined adverse effects have been described in the study	"The subjects tolerated the inter- vention and there were no ad- verse effects during the protocol treatment. No numerical data pro- vided."
Rodriguez 2011	No adverse effects have been re- ported	No pre-defined adverse effects have been described in the study	"All subjects tolerated the inter- vention and there were no recorded

#### Reported adverse effects (Continued)

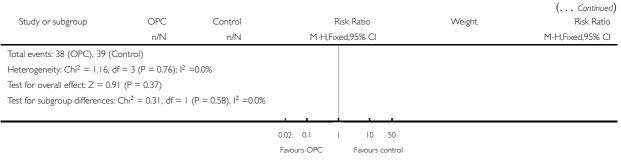
			episodes of apnea, bradycardia, hy- potension, desaturation or other adverse effects during the treat- ment protocol". No numerical data were provided
Romano-Keeler 2016	No adverse effects have been re- ported	No pre-defined adverse effects have been described in the study	"No adverse events were noted among patients in either group during the course of the study". No numerical data were provided
Sohn 2015	No adverse effects have been re- ported	No pre-defined adverse effects have been described in the study	No data or comment in the report.

# Analysis I.8. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 8 Chronic lung disease (CLD).

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention) Outcome: 8 Chronic lung disease (CLD)

Study or subgroup	OPC n/N	Control n/N	Risk Ratio M-H,Fixed,95% Cl	Weight	Risk Ratio M-H,Fixed,95% CI
I CLD					
Rodriguez 2011	2/9	1/7		2.7 %	1.56 [ 0.17, 13.87 ]
McFadden 2012 (1)	1/11	3/18		5.5 %	0.55 [ 0.06, 4.61 ]
Sohn 2015	3/6	2/6	<u> </u>	4.8 %	1.50 [ 0.38, 6.00 ]
Subtotal (95% CI)	26	31	-	13.1 %	1.11 [ 0.40, 3.08 ]
Test for overall effect: Z = 0.20 ( 2 CLD and BPD NCT02912585	P = 0.84) 32/81	33/68	-	86.9 %	0.81 [ 0.57, 1.17 ]
	32/81	33/68		869 %	
Subtotal (95% CI) Total events: 32 (OPC), 33 (Con Heterogeneity: not applicable	<b>81</b> trol)	68	•	86.9 %	0.81 [ 0.57, 1.17 ]
Test for overall effect: $Z = 1.11$ (	P = 0.27)				
Total (95% CI)	107	99	•	100.0 %	0.85 [ 0.60, 1.20 ]
			0.02 0.1 I I0 50 Favours OPC Favours control		

(Continued  $\dots$ )



(1) 4 infants have CLD not reported to which group

# Analysis I.9. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 9 Days of antibiotic therapy.

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention) Outcome: 9 Days of antibiotic therapy

Study or subgroup	OPC		Control		Mean Difference	Weight	Mean Difference
	N	Mean(SD)	Ν	Mean(SD)	IV,Random,959	% Cl	IV,Random,95% Cl
McFadden 2012	11	12 (2.8)	18	5 (4.3)		34.8 %	7.00 [ 4.41, 9.59 ]
Sohn 2015	6	2.5 (2.3)	6	4.3 (5.7)		29.3 %	-1.80 [ -6.72, 3.12 ]
Romano <sup>*</sup> x002d <sup>*</sup> Keeler 201	6 48	3.9 (5.1)	51	4.5 (5)		35.9 %	-0.60 [ -2.59, 1.39 ]
Total (95% CI)	65		75			100.0 %	1.69 [ -4.00, 7.39 ]
Heterogeneity: Tau <sup>2</sup> = 22.47; Cł	$hi^2 = 23.18, d$	lf = 2 (P<0.0000	);   <sup>2</sup> =9 %				
Test for overall effect: $Z = 0.58$	(P = 0.56)						
Test for subgroup differences: N	ot applicable						
					-10 -5 0	5 10	

Favours OPC Favours control

### Analysis 1.10. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 10 Receiving any breast milk at discharge to home..

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention) Outcome: 10 Receiving any breast milk at discharge to home.

Study or subgroup	OPC n/N	Control n/N	Risk Ratio(Non- event) M-H,Fixed,95% Cl	Weight	Risk Ratio(Non- event) M-H,Fixed,95% Cl
I Fortified breast milk					
Romano`x002d`Keeler 2016 (1)	29/48	21/51		100.0 %	0.67 [ 0.44, 1.02 ]
Subtotal (95% CI) Total events: 29 (OPC), 21 (Control) Heterogeneity: not applicable Test for overall effect: $Z = 1.86$ (P = 0.063) 2 Unfortified breast milk	48	51	•	100.0 %	0.67 [ 0.44, 1.02 ]
Romano <sup>*</sup> x002d <sup>*</sup> Keeler 2016 (2)	3/48	2/51	+	100.0 %	0.98 [ 0.89, 1.07 ]
Subtotal (95% CI) Total events: 3 (OPC), 2 (Control) Heterogeneity: not applicable Test for overall effect: $Z = 0.52$ ( $P = 0.60$ ) 3 Any breast milk (fortified or unfortified)	48	51	ł	100.0 %	0.98 [ 0.89, 1.07 ]
Romano`x002d`Keeler 2016	32/48	23/51		100.0 %	0.61 [ 0.38, 0.97 ]
<b>Subtotal (95% CI)</b> Total events: 32 (OPC), 23 (Control) Heterogeneity: not applicable Test for overall effect: Z = 2.08 (P = 0.038)	48	51	-	100.0 %	0.61 [ 0.38, 0.97 ]

Favours control Favours OPC

(1) Unpublished data provided by the author

(2) Unpublished data provided by the author

# Analysis I.II. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome II Days of parenteral nutrition.

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention) Outcome: II Days of parenteral nutrition

Study or subgroup	OPC		Control			Differ			Weight	Mean Difference
	N	Mean(SD)	Ν	Mean(SD)		IV,Fixed	,95% CI			IV,Fixed,95% CI
NCT02912585 (1)	81	14.39 (5.75)	68	14 (7.4)		-			99.1 %	0.39 [ -1.77, 2.55 ]
Glass 2017	17	22.1 (28.86)	13	23.6 (33.17)					0.9 %	-1.50 [ -24.16, 21.16 ]
Total (95% CI)	98		81			+			100.0 %	0.37 [ -1.78, 2.52 ]
Heterogeneity: $Chi^2 = 0$	.03, df = 1	(P = 0.87); I <sup>2</sup> = 0.0%								
Test for overall effect: Z	= 0.34 (P =	= 0.73)								
Test for subgroup differe	nces: Not	applicable								
					-50	-25 0	25	50		
					Favours	OPC	Favours	control		

(1) Unpublished data provided by the author. The mean and SD were estimated.

# Analysis 1.12. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 12 Weight at discharge to home.

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention) Outcome: I2 Weight at discharge to home

Study or subgroup	OPC N	Mean(SD)	Control N	Mean(SD)			Mean fference œd,95% C	-1	Weight	Mean Difference IV,Fixed,95% Cl
	IN	riean(SD)	IN	riean(SD)		IV,FD	(ed,75%) C	-1		IV,FIXEU,75% CI
NCT02912585 (I)	81	2184.5 (336.3637)	68	2160 (248.89)				-	100.0 %	24.50 [ -69.66, 118.66 ]
Total (95% CI)	81		68			-		-	100.0 %	24.50 [ -69.66, 118.66 ]
Heterogeneity: not app	licable									
Test for overall effect: Z	2 = 0.5	(P = 0.61)								
Test for subgroup differ	rences: N	ot applicable								
					-200	-100	0 10	0 200		
					Favo	urs OPC	Favor	urs contro	I	

(1) Unpublished data provided by the author

# Analysis 1.13. Comparison I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention), Outcome 13 Retinopathy of prematurity (ROP).

Review: Oropharyngeal colostrum in preventing mortality and morbidity in preterm infants Comparison: I Oropharyngeal colostrum (OPC) versus control (water, saline, or no intervention) Outcome: I3 Retinopathy of prematurity (ROP)

Study or subgroup	OPC n/N	Control n/N	Risk Ratio M-H,Fixed,95% Cl	Weight	Risk Ratio M-H,Fixed,95% Cl
Rodriguez 2011 (1)	0/9	1/7		27.7 %	0.27 [ 0.01, 5.70 ]
NCT02912585	6/81	4/68	-	72.3 %	1.26 [ 0.37, 4.28 ]
Total (95% CI)	90	75	+	100.0 %	0.98 [ 0.33, 2.94 ]
Total events: 6 (OPC), 5 (Cont	rrol)				
Heterogeneity: Chi <sup>2</sup> = 0.85, df	= I (P = 0.36); I <sup>2</sup> =	=0.0%			
Test for overall effect: $Z = 0.03$	(P = 0.98)				
Test for subgroup differences: N	Not applicable				
			<u> </u>		
			0.0010.010.11101001000		

Favours OPC Favours control

(1) Additional information provided by the author.

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# ADDITIONAL TABLES

#### Table 1. Characteristics of participants in the included studies

Criteria	Rodriguez 2011	McFadden 2012	Sohn 2015	Romano-Keeler 2016	NCT02912585	Glass 2017
Number of par- ticipants	16	27	12	99	149	30
Gestational age (weeks)	25-28	27-32	25-30	28-31	26-31	27-29
Birth weight (grams)	410-1250	590-2530	490-1300	905-1602	787-1217	1020-1169

#### Table 2. Adverse effects

Study ID	Outcome	Definition	Comments
Rodriguez 2011	No adverse effects reported	No pre-defined adverse effects de- scribed in the study	"All subjects tolerated the interven- tion and there were no recorded episodes of apnea, bradycardia, hy- potension, desaturation or other ad-

			verse effects during the treatment pro- tocol" No numerical data were provided
McFadden 2012	No adverse effects reported	No pre-defined adverse effects de- scribed in the study	Adverse effects were narratively re- ported as no adverse effects with the intervention No numerical data were provided
Sohn 2015	No adverse effects reported	No pre-defined adverse effects de- scribed in the study	No data or comment in the report
Romano-Keeler 2016	No adverse effects reported	No pre-defined adverse effects de- scribed in the study	"No adverse events were noted among patients in either group during the course of the study" No numerical data were provided
NCT02912585	No adverse effects reported	No pre-defined adverse effects de- scribed in the study	"The subjects tolerated the interven- tion and there were no adverse effects during the protocol treatment" No numerical data were provided
Glass 2017	No adverse effects reported	Infants monitored for apnoea, brady- cardia, and aspiration pneumonia	"There were no apneic, bradycardic events reported with oropharyngeal colostrum or sterile water administra- tion"

### APPENDICES

#### Appendix I. Cochrane Neonatal standard search strategy

The search term 'colostrum' was used in combination with the following searches in the different databases.

PubMed: ((infant, newborn[MeSH] OR newborn OR neonate OR neonatal OR premature OR low birth weight OR VLBW OR LBW or infan\* or neonat\*) AND (randomized controlled trial [pt] OR controlled clinical trial [pt] OR randomized [tiab] OR placebo [tiab] OR drug therapy [sh] OR randomly [tiab] OR trial [tiab] OR groups [tiab]) NOT (animals [mh] NOT humans [mh]))

Embase: ((exp infant) OR (infan\* OR newborn or neonat\* OR premature or very low birth weight or low birth weight or VLBW or LBW).mp AND (human not animal) AND (randomized controlled trial or controlled clinical trial or randomized or placebo or clinical trials as topic or randomly or trial or clinical trial).mp

CINAHL: (infan\* OR newborn OR neonat\* OR premature OR low birth weight OR VLBW OR LBW) AND (randomized controlled trial OR controlled clinical trial OR randomized OR placebo OR clinical trials as topic OR randomly OR trial OR PT clinical trial) Cochrane Library: (infan\* or newborn or neonat\* or premature or preterm or very low birth weight or low birth weight or VLBW or LBW)

### Appendix 2. Risk of bias tool

## 1. Sequence generation (checking for possible selection bias). Was the allocation sequence adequately generated?

For each included study, we categorised the method used to generate the allocation sequence as:

- low risk (any truly random process, e.g. random number table; computer random number generator);
- high risk (any non-random process, e.g. odd or even date of birth; hospital or clinic record number); or
- unclear risk.

#### 2. Allocation concealment (checking for possible selection bias). Was allocation adequately concealed?

For each included study, we categorised the method used to conceal the allocation sequence as:

- low risk (e.g. telephone or central randomisation; consecutively numbered sealed opaque envelopes);
- high risk (open random allocation; unsealed or non-opaque envelopes, alternation; date of birth); or
- unclear risk

# 3. Blinding of participants and personnel (checking for possible performance bias). Was knowledge of the allocated intervention adequately prevented during the study?

For each included study, we categorised the methods used to blind study participants and personnel from knowledge of which intervention a participant received. Blinding was assessed separately for different outcomes or class of outcomes. We categorised the methods as:

- low risk, high risk, or unclear risk for participants; and
- low risk, high risk, or unclear risk for personnel.

# 4. Blinding of outcome assessment (checking for possible detection bias). Was knowledge of the allocated intervention adequately prevented at the time of outcome assessment?

For each included study, we categorised the methods used to blind outcome assessment. Blinding was assessed separately for different outcomes or classes of outcomes. We categorised the methods as:

- low risk for outcome assessors;
- high risk for outcome assessors; or
- unclear risk for outcome assessors.

# 5. Incomplete outcome data (checking for possible attrition bias through withdrawals, dropouts, protocol deviations). Were incomplete outcome data adequately addressed?

For each included study and for each outcome, we described the completeness of data including attrition and exclusions from the analysis. We noted whether attrition and exclusions were reported, numbers included in the analysis at each stage (compared with total randomised participants), reasons for attrition or exclusion when reported, and whether missing data were balanced across groups or were related to outcomes. When sufficient information was reported or supplied by trial authors, we re-included missing data in the analyses. We categorised the methods as:

- low risk (< 20% missing data);
- high risk ( $\geq 20\%$  missing data); or
- unclear risk.

#### 6. Selective reporting bias. Are reports of the study free of suggestion of selective outcome reporting?

For each included study, we described how we investigated the possibility of selective outcome reporting bias and what we found. For studies in which study protocols were published in advance, we compared pre-specified outcomes versus outcomes eventually reported in the published results. If the study protocol was not published in advance, we contacted study authors to gain access to the study protocol. We assessed the methods as:

• low risk (when it is clear that all of the study's pre-specified outcomes and all expected outcomes of interest to the review have been reported);

• high risk (when not all of the study's pre-specified outcomes have been reported; one or more reported primary outcomes were not pre-specified outcomes of interest and are reported incompletely and so cannot be used; study fails to include results of a key outcome that would have been expected to have been reported); or

• unclear risk.

### 7. Other sources of bias. Was the study apparently free of other problems that could put it at high risk of bias?

For each included study, we described any important concerns we had about other possible sources of bias (e.g. whether a potential source of bias was related to the specific study design, whether the trial was stopped early owing to some data-dependent process). We assessed whether each study was free of other problems that could put it at risk of bias as:

- low risk;
- high risk; or
- unclear risk.

If needed, we explored the impact of the level of bias by undertaking sensitivity analyses.

# CONTRIBUTIONS OF AUTHORS

Dr Nasuf wrote the protocol, and Drs Dorling and Ojha reviewed and edited it. Drs Nasuf and Ojha independently determined the eligibility of identified studies, assessed the methodological quality of included trials, and extracted and analysed the data. Dr Nasuf entered the data into RevMan, created the SoF table, wrote to study authors for additional information, obtained additional data from the included studies, and updated the search. Drs Nasuf and Ojha wrote the manuscript. Dr Dorling checked data extraction, resolved differences in opinion, and reviewed and edited the manuscript.

# DECLARATIONS OF INTEREST

The review authors have no conflicts of interest to declare.

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