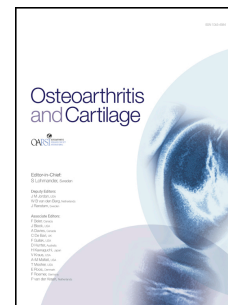


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The relative efficacy of topical non-steroidal anti-inflammatory drugs and capsaicin in osteoarthritis: A network meta-analysis of randomised controlled trials

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1 Title page**2 Title**

3 The relative efficacy of topical non-steroidal anti-inflammatory drugs and
4 capsaicin in osteoarthritis: A network meta-analysis of randomised controlled
5 trials

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20 **Word count:** 2622.

21 Abstract**22 Objective**

23 To compare the efficacy of topical non-steroidal anti-inflammatory drugs
24 (NSAIDs) with topical capsaicin for pain relief in osteoarthritis (OA).

25 Design

26 A systematic literature search was conducted for randomised controlled trials
27 (RCTs) examining any topical NSAID or capsaicin in OA. Pain relief at or nearest
28 to four weeks was pooled using a random-effects network meta-analysis (NMA)
29 in a Frequentist and Bayesian setting. Analysis was conducted for all trials and

1 for trials using drugs listed as licenced for OA in the British National Formulary
2 (BNF).

3 **Results**

4 The trial network comprised 28 RCTs (7372 participants), of which 17 RCTs
5 (3174 participants) were included in the as licensed analyses. No RCTs directly
6 compared topical NSAIDs with capsaicin. Placebo was the only common
7 comparator for topical NSAIDs and capsaicin. Frequentist and Bayesian effect
8 size (ES) estimates were in agreement. Topical NSAIDs were statistically
9 superior to placebo overall (ES 0.30, 95% confidence interval [CI] 0.19 to 0.41)
10 and as licensed (ES 0.32, 95% CI 0.24 to 0.39). However, capsaicin was only
11 statistically superior to placebo when used at licensed doses (ES 0.41, 95% CI
12 0.17 to 0.64). No significant differences were observed in pain relief between
13 topical NSAIDs and capsaicin (overall: ES 0.04, 95% CI -0.26 to 0.33; as
14 licensed: ES-0.09, 95% CI -0.34 to 0.16).

15 **Conclusions**

16 Current evidence indicates that topical NSAIDs and capsaicin in licensed doses
17 may be equally effective for pain relief in OA. Whether the equivalence varies
18 between individuals remains unknown.

19 **Systematic review registration number**

20 2016:CRD42016035254

21 **Keywords**

22 Osteoarthritis, topical, capsaicin, non-steroidal anti-inflammatory drugs
23 (NSAIDs), network, meta-analysis

24 **Running headline**

25 Topical NSAIDs and capsaicin in OA

26

1 **Introduction**

2 Osteoarthritis (OA) is a major cause of pain and disability for which two topical
3 treatments are used: non-steroidal anti-inflammatory drugs (NSAIDs) and capsaicin¹⁻⁵.
4 Topical NSAIDs, such as ibuprofen and diclofenac, reversibly block the production of
5 prostanoids, thereby reducing pain and inflammation⁶. Topical NSAIDs, alongside
6 paracetamol, are recommended by the National Institute of Health and Care Excellence
7 (NICE) as first line pharmacological treatments¹. Over £32 million's worth of
8 prescriptions of topical NSAIDs were dispensed in community pharmacies in England in
9 2016⁷. Topical NSAIDs are also freely available over-the-counter and are widely
10 advertised to consumers. Meanwhile, capsaicin, the substance responsible for the
11 warming spiciness of chili peppers, is primarily available on prescription in the UK.
12 Almost 200,000 tubes of 0.025% capsaicin were dispensed in 2016, amounting to over
13 £4 million⁷. Capsaicin is thought to cause defunctionalisation of spontaneously active
14 peripheral nociceptors that otherwise maintain chronic pain conditions⁸.

15 Topical NSAIDs and capsaicin are applied directly to the skin over the painful joint and
16 little to no active drug is absorbed into the bloodstream, resulting in their favourable
17 safety profiles⁸⁻¹⁰. Topical administration therefore offers a safe and effective alternative
18 to oral analgesics for people with just one or a few painful peripheral joints, especially
19 for individuals with comorbidities, multiple medications, or those wishing to avoid
20 tablets. The efficacy of topical NSAIDs and capsaicin in OA is documented^{6, 11-14},
21 however, no evidence for their relative efficacy is available so far to guide clinicians'
22 prescribing practice. We therefore undertook the present network meta-analysis (NMA)
23 to compare topical NSAIDs with capsaicin in people with symptomatic OA.

24 **Method**

25 **Protocol and registration**

1 This work forms part of a project examining the relative efficacy of topical NSAIDs and
2 capsaicin in OA and neuropathic pain. The protocol is published¹⁵ and is also available on
3 PROSPERO (2016:CRD42016035254).

4 **Eligibility criteria**

5 Randomised controlled trials (RCTs) comparing any topical NSAID or capsaicin to placebo
6 in participants with OA were included. No other comparators were included for this
7 analysis and only placebo-controlled trials were examined. Participants with painful
8 physician-diagnosed OA (clinical or radiographic) or chronic joint pain attributable to OA
9 at any site (excluding the spine) were included. Spinal pain was excluded as it is difficult
10 to differentiate between OA pain and back pain secondary to other aetiologies. Trials
11 with pain due to multiple conditions were included if the data for OA could be extracted
12 separately.

13 Trials had to be a minimum of one week duration and report pain outcomes. Full texts
14 published in any language and at any date were considered.

15 **Identification and selection of trials**

16 A search strategy, based on terms for (1) RCTs; (2) topical administration; (3) OA; and
17 (4) capsaicin or NSAIDs, was created (Supplementary Information).

18 Medline, Embase, Allied and Complementary Medicine Database (AMED), Cumulative
19 Index for Nursing and Allied Health Literature (CINAHL), Web of Science, and Cochrane
20 library were searched up to 16/11/2015. The searches were updated on 10/01/2018. In
21 addition, reference lists of included publications and meta-analyses in the area were
22 searched for eligible trials.

23 Citations were exported to Endnote where duplicates were removed before titles,
24 abstracts, and full texts were assessed for eligibility.

25 **Data collection and data items**

1 The data were extracted independently by two authors (MSMP and JS) using a data
2 extraction form created for this project. Publications in languages other than English
3 were extracted by colleagues fluent in the language or using the Google Translate
4 smartphone application. The following data were sought:

- 5 • Publication details: Author, journal, year
- 6 • Trial details: Country of study, trial funder, study design, blinding, setting,
7 duration
- 8 • Participant details: Number of participants and withdrawals, age, gender
9 distributions, body mass index, joint affected, method of diagnosing OA
- 10 • Intervention/placebo detail: Drug, formulation, dose/concentrations, frequency of
11 application
- 12 • Endpoint: Pain scores

13 The primary end point was pain at or nearest to four weeks. Change from baseline pain
14 scores (extracted or calculated) were used. If unavailable, endpoint pain scores or
15 percent change from baseline were used. If pain was measured by more than one
16 instrument in a study, the following hierarchy¹⁶⁻¹⁸ was used to extract pain outcome
17 data: (1) visual analogue scale (VAS) global pain score; (2) categorical global pain
18 score; (3) pain during activity, such as walking; (4) Western Ontario and McMaster
19 Universities Osteoarthritis Index (WOMAC) pain subscale or pain subscale of other
20 disease-specific composite tools; (5) Short Form-36 (SF-36) bodily pain subscale; (6)
21 Health Assessment Questionnaire (HAQ) pain subscale, McGill pain questionnaire; (7)
22 tenderness; (8) physician's assessment of pain. Where multiple concentrations of a
23 study drug were examined within a study, they were combined as one prior to the effect
24 size (ES) calculations for the overall analyses¹⁹.

25 **Network structure**

26 A network diagram was plotted to illustrate the treatment nodes, direct comparisons,
27 and indirect comparisons within the NMA.

1 Risk of bias within and across studies

2 Risk of bias assessment was carried out independently by two authors (MSMP and JS)
3 using a modified Cochrane Risk of Bias tool (Supplementary Material).

4 Statistical analysis

5 Hedges' ES and corresponding standard error (SE) were calculated for each study. The
6 estimates were combined using Frequentist and Bayesian random-effects NMAs. The
7 Frequentist ES and associated 95% confidence interval (CI) were calculated. A Bayesian
8 NMA was conducted using Markov chain Monte Carlo (MCMC) simulations. Non-
9 informative prior distributions were set, normal likelihood distributions were assumed,
10 and three Markov chains with different initial values (chosen arbitrarily) were run
11 simultaneously. The model fit was deemed appropriate, the chain converged within
12 10,000 simulations, and a total of 20,000 simulations comprised the burn-in period. The
13 subsequent 50,000 iterations were examined. The median and the 2.5th and 97.5th
14 percentiles of the posterior distribution comprised the Bayesian ES and credible interval
15 (CrI). The probability of each treatment being the best were calculated.

16 An overall analysis was conducted using all drug concentrations and topical formulations.
17 Subgroup analysis was then conducted to examine topical NSAIDs and capsaicin used as
18 recommended in the British National Formulary (BNF)²⁰ (Supplementary material). Trials
19 were excluded from the *as licensed* analysis if they examined (1) topical NSAIDs not
20 recommended in the BNF; (2) drugs used at concentrations lower than recommended; or
21 (3) licenced drugs in formulations not in the recommended list. The *as licensed* analysis
22 was conducted to guide clinical practice and inform decision-making based on the
23 medications currently available to physicians.

24 The frequentist NMA was conducted in Stata (StataCorp. 2015. Stata Statistical
25 Software: Release 15. College Station, TX: StataCorp LP) using the "network" command
26 ²¹. The Bayesian analyses were conducted in WinBUGs software (version 1.4.3, MRS

1 Biostatistics Unit UK, 2007) using methods supplied by the NICE Decision Support Unit
2 ²².

3 **Results**

4 **Study description**

5 The results of the literature search and reasons for exclusion from this meta-analysis are
6 illustrated in Figure 1. Topical NSAIDs were compared to placebo in 32 RCTs. Data were
7 not available for extraction for nine of the studies ²³⁻³¹ and the remaining 23 studies
8 (6957 participants) ³²⁻⁵⁴ were included in the NMA. Of these, 13 trials ^{34, 35, 37-42, 44, 46, 50,}
9 ^{52, 53} used a topical NSAID at its recommended dose/formulation and were included in
10 the *as licensed* analysis. Six placebo-controlled RCTs examining capsaicin were
11 identified, of which five (415 participants) ⁵⁵⁻⁵⁹ were included in the NMA. Data from the
12 sixth study⁶⁰ were not available for extraction. Four trials ⁵⁶⁻⁵⁹ used 0.025% capsaicin
13 four times per day, as recommended in the BNF.

14 All trials were described as double-blinded and all but one⁵⁵ were of parallel design. Data
15 from the first period were extracted for the crossover trial. One publication was in
16 Korean⁴⁸ and the remainder were in English. 24 trials were limited to participants with
17 knee OA, two to hand OA^{34, 57}, and the two remaining trials^{56, 58} included OA at multiple
18 sites (hand, wrist, elbow, shoulder, hip, knee, and ankle OA).

19 **Risk of bias**

20 Trials were associated with considerable risks of bias (Figure 2). Although described as
21 randomised, only 20 publications described the method of random number sequence
22 generation in sufficient detail to ascertain its risk of bias. Furthermore, only 13 of the
23 included trials adequately described the methods of allocation concealment. Although
24 described as double-blinded, this was only considered adequate in 60-65% of all trials.
25 No capsaicin trials were deemed to adequately blind their participants due to the

1 warming sensation experienced on its initial application. Across the body of evidence,
2 only six of the 28 studies analysed all participants that were randomised at baseline.

3 **Network meta-analysis**

4 **Overall analysis**

5 The trial network was comprised of 28 RCTs with 3473 participants on placebo (28
6 RCTs), 3693 on topical NSAIDs (23 RCTs), and 206 on capsaicin (5 RCTs) (Figure 3).
7 Direct evidence for topical NSAIDs vs placebo and capsaicin versus placebo were
8 available from placebo-controlled trials. No trials directly compared topical NSAIDs to
9 capsaicin, and the two treatments were therefore compared using placebo as a common
10 comparator (indirect evidence).

11 Frequentist and Bayesian analyses were in agreement with identical ES and only minor
12 differences in the CI versus CrI (Table 1). Direct estimates indicated that topical NSAIDs
13 were superior to placebo for pain relief. In contrast, the ES estimate between capsaicin
14 and placebo was associated with considerable variability and did not reach statistical
15 significance. However, the indirect analyses found no statistically significant differences
16 between topical NSAIDs and capsaicin, although the ES favoured topical NSAIDs. Topical
17 NSAIDs had the highest probability of being the best treatment, followed by capsaicin
18 and then placebo (Table 2).

19 ***As licensed analysis***

20 Topical NSAIDs and capsaicin were used as licensed in 17 RCTs. 1705 participants on
21 placebo (17 RCTs), 1328 on topical NSAID (13 RCTs), and 141 on capsaicin (4 RCTs)
22 were included in the *as licensed* NMA. The results are presented in Table 1. Exclusion of
23 non-licensed topical NSAIDs marginally raised the ES and it remained superior to
24 placebo. In contrast, capsaicin at its licensed dose had a considerably increased ES that
25 was statistically superior to placebo. Using placebo as a common comparator, no
26 statistically significant differences remained between topical NSAIDs and capsaicin used

1 as licensed. However, the ES favoured capsaicin, which also had the highest probability
2 of being the best treatment, followed by topical NSAIDs and placebo (Table 2).

3 **Discussion**

4 Current evidence indicates that topical NSAIDs and capsaicin, when used as licensed, are
5 both superior to placebo for pain relief. No significant differences were identified in the
6 level of pain relief offered by topical NSAIDs compared to capsaicin. However, limited
7 and poor quality evidence for capsaicin in OA provides uncertainty. Displaying seemingly
8 negligible differences in efficacy, the decision of whether to prescribe topical NSAIDs or
9 capsaicin should be guided by patient preference, safety, costs, and subsequent
10 individual patient response.

11 Focusing on licensed doses of these two drugs renders the results of this meta-analysis
12 more relevant for clinicians as they relate directly to the drugs recommended for
13 prescription. The list of approved drugs was extracted from the BNF, a resource
14 commonly used to guide prescribing practice in the UK⁶¹. The BNF was chosen as the
15 leading authority on clinicians' selection of medicines in the UK, however it should be
16 noted that they offer only recommendations of licenced medications and physicians can
17 prescribe medications outside the recommended list⁶¹.

18 No direct or indirect (via NMA) quantitative evidence of the relative efficacy of topical
19 NSAIDs versus capsaicin has been published previously. Some guidelines, such as those
20 by Osteoarthritis Research Society International (OARSI) and European League Against
21 Rheumatism (EULAR), provide equal recommendations for the two treatments^{2, 4, 5}. This
22 may indicate a perceived equivalence in efficacy, in line with the findings of the current
23 meta-analysis. In contrast, a narrative review examining topical treatments in OA
24 concluded that capsaicin had less efficacy than topical NSAIDs⁶². Similarly, topical
25 NSAIDs are generally favoured in guidelines such as those by NICE and the American
26 College of Rheumatology (ACR), perhaps indicating a postulated greater efficacy for
27 topical NSAIDs^{1, 3}. In addition, OARSI guidelines granted topical NSAIDs a greater mean

1 benefit score (6.0/10) versus capsaicin (5.1/10)². However, the comparative efficacy of
2 the treatments in the narrative review was concluded primarily based on their
3 mechanism of action, rather than quantitative analysis. Capsaicin was thought to be less
4 effective as it lacked significant tissue penetration and anti-inflammatory effects⁶².
5 Furthermore, guideline decisions are based not only on perceived efficacy, but on the
6 quality of evidence. Indeed, the preference of topical NSAIDs may reflect a greater
7 confidence in the evidence, rather than a perception of a larger effect. This is in keeping
8 with the wide confidence interval and associated uncertainty in the true effect of
9 capsaicin in the current meta-analysis.

10 Although pain in OA has traditionally been viewed as nociceptive in nature, it is now
11 widely accepted that some people experience pain with neuropathic-like pain
12 components. Pain descriptors indicative of neuropathic pain, such as "burning" and
13 "shooting" pain are used by subsets of individuals with OA⁶³. In fact, almost 15% of
14 people with knee pain report neuropathic-like pain⁶⁴. This subgroup is of importance as
15 true neuropathic pain is often difficult to manage and commonly does not respond to
16 traditional analgesics, such as NSAIDs^{65, 66}. Capsaicin, however, is licensed and used in
17 neuropathic pain, where it is effective at higher doses⁶⁷. It may therefore be that
18 individuals with predominantly nociceptive OA pain benefit from topical NSAIDs whilst
19 those with neuropathic pain components may benefit more from topical capsaicin.
20 Further evidence on pain phenotypes and response to these two commonly used topical
21 analgesics is warranted.

22 The present meta-analysis is subject to several limitations. Firstly, the conclusions drawn
23 are limited by the scarcity of data available on capsaicin in OA. Only four trials compare
24 0.025% capsaicin to placebo and no direct estimates were available to compare topical
25 NSAIDs to capsaicin. The low number of studies and participants on capsaicin resulted in
26 an estimate with much uncertainty. The equivalence of the drugs may therefore be an
27 artefact of the wide confidence intervals. Secondly, the probability of being the best
28 treatment is based predominantly on the ES, not on the uncertainty of the estimate. The

1 probability of being the best was chosen to facilitate the translation of results to clinical
2 practice, however the results should be interpreted with caution and in conjunction with
3 the ES estimates. Thirdly, risk of bias assessment identified concerns over the high risk
4 of bias in included trials. Poor compliance with complete outcome data reporting,
5 analysis of all randomised participants, and pre-specification of published outcomes all
6 have the potential to overestimate the results of this meta-analysis. Fourthly, because
7 capsaicin is associated with a warming sensation on application, making it difficult to
8 blind, it was deemed a high risk of bias domain for all capsaicin trials. This may results in
9 inherent differences in the placebo group across the trial network, threatening the
10 assumption of transitivity. Furthermore, the efficacy data for topical NSAIDs is
11 predominantly based on knee OA (22 of 23 studies), whilst the trial population for
12 capsaicin included hand, wrist, elbow, shoulder, hip, knee, and ankle OA. The differences
13 in study populations may limit comparisons between the two treatments, however, it
14 was not possible to conduct subgroup analyses by joint type due to limited data. Finally,
15 by the very nature of analyses conducted at trial-level, the results of this NMA relate to
16 populations of individuals with OA and may not be reflected at the individual patient
17 level. In addition, data were unavailable to examine the efficacy of topical NSAIDs and
18 capsaicin in subgroups with differing OA phenotypes (e.g. nociceptive versus
19 neuropathic-like pain). Studies at the individual patient level are still required.

20 In conclusion, current evidence indicates that topical NSAIDs and capsaicin offer similar
21 levels of pain relief in OA. Larger and better conducted RCTs, particularly for capsaicin,
22 are required to confirm this. However, it is unknown whether individuals with different
23 pain phenotypes respond differently to these two commonly used topical analgesics.
24 Further work on phenotypic features of OA pain and their response to these two drugs is
25 warranted.

26 **Declarations**

27 **Acknowledgments**

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2 screening and data extraction of publications in Japanese, German, and Chinese.

3 **Author contributions**

4 MSMP conceived the work, developed and ran the search strategy, screened trials for
5 eligibility, designed data collection tools, performed data collection, analysed the data,
6 and drafted and revised the paper. JS extracted data for validation and revised the
7 paper. DAW, MD, and WZ were involved in the conceptualisation of the work,
8 interpretation of the data, and revision of the paper. WZ is the guarantor. All authors
9 discussed the results, commented on the manuscript, and have approved the final
10 version of the paper.

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14 writing the report.

15 **Conflicts of interest**

16 MSMP, JS, and JK declare no support from any organisation for the submitted work; no
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13

1 **Figure legends**

2 **Figure 1** - PRISMA flow diagram. Results of the systematic literature search for placebo-
3 controlled trials of topical NSAIDs and capsaicin in OA

4 **Figure 2** - Risk of bias assessment. Risk of bias scores for all studies included in the
5 overall analysis.

6 **Figure 3** - Trial network diagram. Nodes (circles) are weighted to represent the number
7 of participants using each intervention. The solid lines represent the direct comparisons
8 of the treatments in RCTs. The dotted line represents indirect comparisons generated
9 through the NMA. The lines are weighted to represent the number of comparisons

10

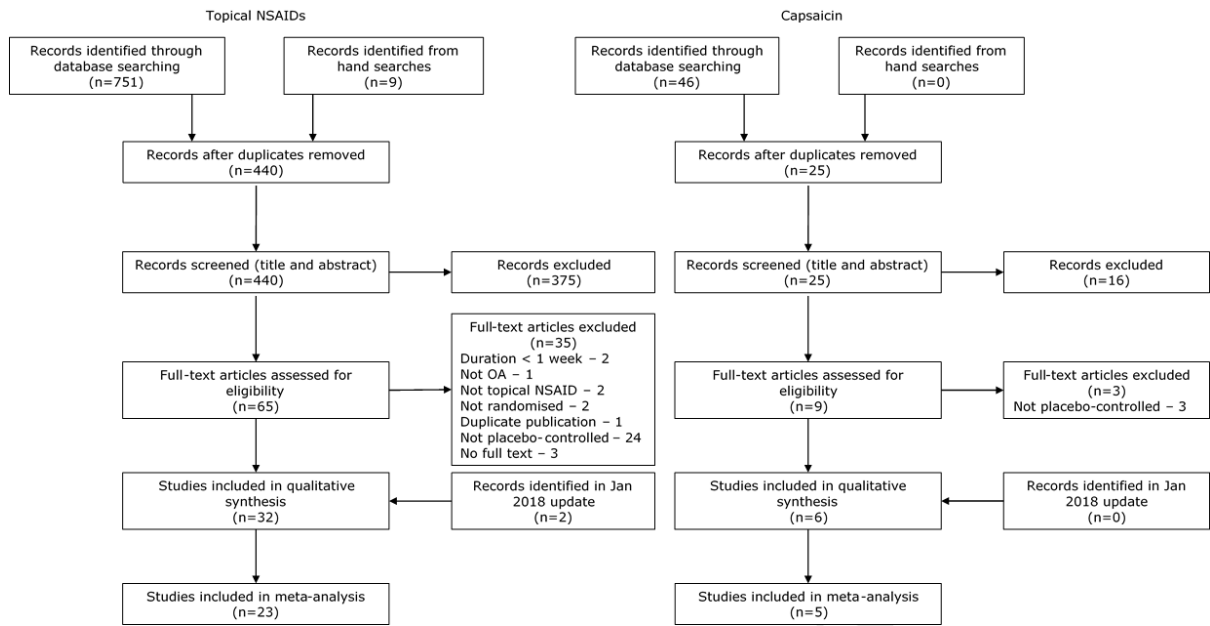
Table 1 – Effect size (ES) and Frequentist confidence interval (CI)/Bayesian credible interval (CrI). Results of the overall and *as licensed* subgroup analysis of topical NSAIDs and capsaicin in OA.

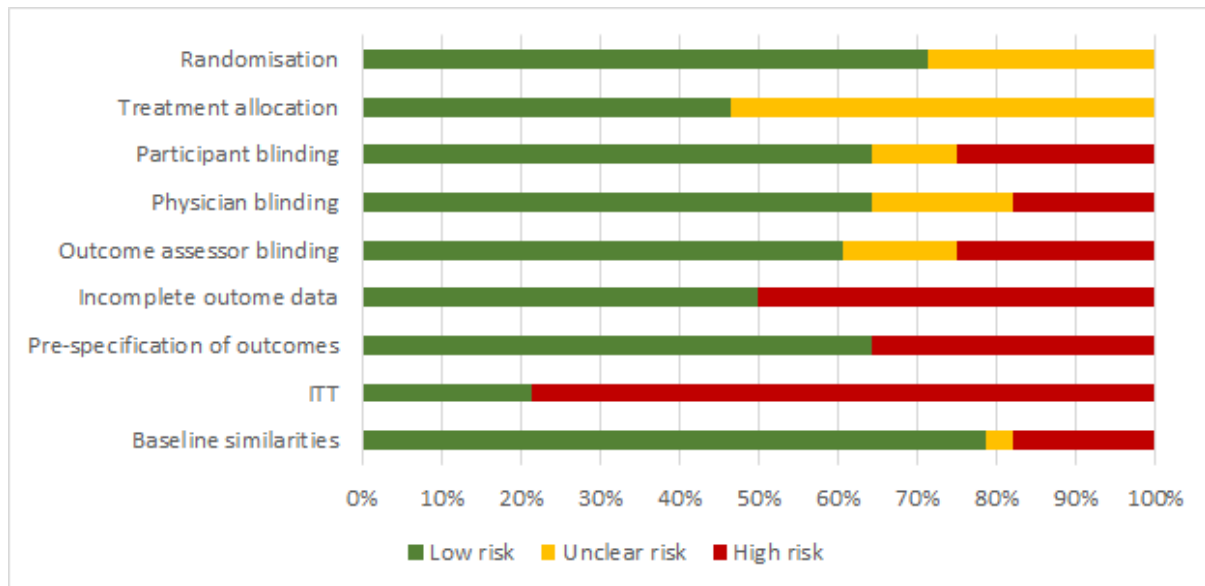
Comparison	Type	N	Frequentist		Bayesian	
			ES	CI	ES	CrI
All trials						
Topical NSAID vs placebo	Direct	23	0.30	0.19 to 0.41	0.30	0.19 to 0.43
Capsaicin vs placebo	Direct	5	0.27	-0.01 to 0.54	0.27	-0.02 to 0.56
Topical NSAIDs vs capsaicin	Indirect	28	0.04	-0.26 to 0.33	0.04	-0.28 to 0.35
As licensed						
Topical NSAID vs placebo	Direct	13	0.32	0.24 to 0.39	0.32	0.24 to 0.42
Capsaicin vs placebo	Direct	4	0.41	0.17 to 0.64	0.41	0.16 to 0.66
Topical NSAIDs vs capsaicin	Indirect	17	-0.09	-0.34 to 0.16	-0.09	-0.35 to 0.18

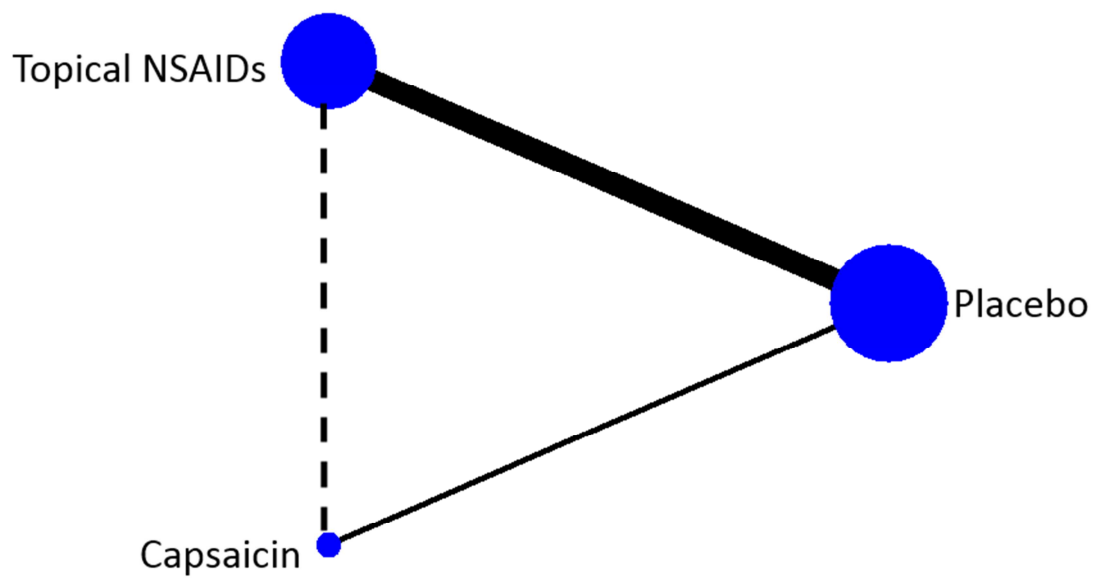
ES: effect size, CI: confidence interval, CrI: credible interval, N: number of studies

Table 2 – Treatment rankings. The probability of each treatment being the “best” using Frequentist and Bayesian approaches

	Probability of being the best (%)	
	Frequentist	Bayesian
All trials		
Topical NSAID	61.9	58.9
Capsaicin	38.1	41.1
Placebo	0.0	0.0
As licensed		
Topical NSAID	23.5	25.9
Capsaicin	76.5	74.1
Placebo	0.0	0.0







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