

# 1 **Human antimicrobial peptides in ocular surface defense**

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29 **Abstract**

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31 Sight depends on the passage of light through the transparent cornea and being  
32 focused on the fovea. Its exposed position renders it vulnerable to microbial infection.  
33 The cornea has developed a wide array of defense mechanisms against infection, of  
34 which endogenous antimicrobial peptides (AMPs) are key. AMPs are essentially small  
35 molecular weight cationic peptides with a wide range of activity against virus, bacteria,  
36 fungi and parasites. Some proteins such as RNases and S100As are also included in  
37 this group. Several AMPs act synergistically allowing low expression of multiple AMPs to  
38 act efficiently. AMPs also have a range of non-microbicidal functions and serve as  
39 signaling molecules, immunomodulators; show anti-tumour activity, and influence  
40 vascularization and wound healing. Different toll-like receptors (TLR) have been  
41 implicated in the preferential induction of specific AMPs. A range of bacteria, including  
42 mycobacteria tuberculosis, viruses including herpes virus, fungi and parasites including  
43 acanthamoeba, that cause ocular infections have been shown to induce specific AMPs  
44 via TLR activation. Non-TLR mediated induction of AMP expression can occur and  
45 several molecules such as L-isoleucine, sodium butyrate, vitamin D3, phenylbutyrate,  
46 vasoactive intestinal peptide, and etinostat have been identified in this regard. Given  
47 the rising microbe resistance to antibiotics, the slow rate of development of new  
48 antibiotics and the limited access to effective antibiotics by patients living in the  
49 developing world, an ideal solution would be to find AMPs that are effective singly or in  
50 combination with each other or other antimicrobial proteins to reduce, if possible  
51 eliminate reliance on antibiotics alone.

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- 85    **Abbreviations:**
- 86    HBD – Human beta-defensins
- 87    mBD – Murine beta-defensins
- 88    HD – Human alpha-defensins
- 89    LL-37 – Human cathelicidin
- 90    RNases – Ribonucleases
- 91    LEAP - Liver-expressed antimicrobial peptide
- 92    NF-κB – Nuclear factor kappa B
- 93    MAPK – Mitogen-activated protein kinases
- 94    IL – Interleukin
- 95    IFN – Interferon
- 96    OS – Ocular surface
- 97    LPS – Lipopolysaccharide
- 98    CD14 – Cluster of differentiation 14
- 99    MD2 – Myeloid differentiation 2
- 100   TLR – Toll-like receptor
- 101   AMPs – Antimicrobial peptides
- 102   CXCR – C-X-C motif chemokine receptor
- 103   CCR – C-C chemokine receptor
- 104   HSV1 – Herpes simplex virus-1
- 105   HSK – Herpetic stromal keratitis
- 106
- 107

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138 **Keywords:**  
139  
140 Antimicrobial peptides  
141 Host defense peptides  
142 Eye  
143 Ocular surface  
144 Corneal epithelium  
145 Bacteria  
146 Virus  
147 Fungi  
148 Protozoa  
149 Keratitis  
150 Conjunctivitis  
151 Toll-like receptors  
152  
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## 154 **1. Introduction**

155 Sight is arguably the most important of the special senses across species, especially so  
156 in humans. The cornea, the clear window in the front of the eye, is the critical part of the  
157 ocular surface. The ocular surface is an anatomical and functional unit. It is made of the  
158 tear film, the conjunctival, limbal and corneal epithelium, the lacrimal, mucous and  
159 meibomian glands and the lids and blink reflex. The transparency of the cornea and its  
160 optical properties allows for two thirds of the focusing of light rays to occur at the air-  
161 cornea interface, *en route* to the focal point on the retina (Cursiefen, 2007). The tear film  
162 provides the optical polish to the cornea. The tear film is composed of a basal layer of  
163 mucin derived from conjunctival goblet cells and the underlying corneal and conjunctival  
164 epithelium (Gipson, 2016). Mucin makes the hydrophobic epithelial surface into a  
165 hydrophilic surface that holds the aqueous component of the tear film derived from the  
166 lacrimal gland. This in turn is covered by a thin layer of lipid secreted by the meibomian  
167 glands located in the lids and discharging their content on to the ocular surface through  
168 tiny orifices along the lid margins (Gipson, 2007; Yanez-Soto et al., 2014).

169 To fulfill its function, the cornea is naturally and constantly exposed to the environment,  
170 which poses risks of contamination with environmental pathogens and irritants. This is  
171 compounded by the lack of an established vascular or lymphatic system in the cornea,  
172 which is integral to its transparency (Cursiefen, 2007). The battle with microbes and  
173 irritants is not won by just getting rid of the offending agent but also requires  
174 maintenance of transparency while doing so. A healed cornea, which is opaque, is  
175 functionally compromised and leads to visual impairment or blindness. It is not surprising  
176 therefore that the ocular surface has developed an array of innate and adaptive  
177 protective and defense mechanisms to gain a survival advantage. The physical lid blink  
178 reflex; the relative lower temperature of the ocular surface due to tear fluid evaporation  
179 making the environment less conducive to bacterial proliferation; the OS cellular defense  
180 including neutrophils, macrophages and antigen presenting Langerhans cells (Hamrah  
181 and Dana, 2007) and the humoral constituents of the tear fluid including secretory  
182 immunoglobulins, lactoferrin, lysozyme, lipocalin and a host of cationic proteins, the  
183 antimicrobial peptides make for a formidable defense armamentarium (McDermott,  
184 2013).

185 Epidemiology of corneal diseases is complex and determined by varied environmental  
186 influences in different geographical regions (Shah et al., 2011). The prevalence of  
187 corneal blindness in developed and industrialized countries is not the same as in  
188 developing countries, reflecting the diverse standards of eye care and socio-economic  
189 conditions. For instance, incidence of viral keratitis in America is declining and in Africa it  
190 is increasing with corneal ulceration being the major cause of monocular blindness  
191 (WHO, 2010). According to the World Health Organization (WHO) 2010 report, it was  
192 estimated that about 4.9 million of the world's population is blind from corneal diseases  
193 with 98% cases existing in developing countries (WHO, 2010). In 2013, the global  
194 initiative, "Universal Eye Health: A global action plan 2014-2019", was endorsed by the  
195 WHO and the International Agency for the Prevention of Blindness (IAPB) with the aim  
196 to reduce the avoidable causes of visual impairment by improving preventive care and  
197 initiation of public health programs (WHO, 2013).

198 The emergence of microbial resistance to conventional antibiotics has added another  
199 dimension to the challenge in the management of infections (O'Neill, 2016). A report  
200 published by the WHO in 2015 "Global action plan on antimicrobial resistance" highlights  
201 the increase in incidence of antimicrobial resistance in large parts of the world (WHO,  
202 2015). It specifically draws attention for the need to develop newer antimicrobial agents  
203 and diagnostic tools to combat antimicrobial resistance. Moreover, the treatment options  
204 for viral, fungal and parasitic infections are limited and only provide palliative care to the  
205 patients (Upadhyay et al., 2015), where even 'successful treatment' of the infection is  
206 associated with visual impairment. There is an urgent need to develop alternative  
207 antimicrobial drugs. The identification and exploitation of endogenous host defense  
208 proteins (Antimicrobial peptides [AMPs]) as potential agents is a promising avenue in  
209 this regard.

210 An antibiotic is classically defined as a substance produced by a living microorganism  
211 (prokaryotic) that specifically inhibits or kills another microorganism. AMPs are the  
212 eukaryotic analogues of antibiotics. Nowadays synthetics antibiotics, structured on  
213 natural antibiotics are commonplace. For example, POL7080, a peptidomimetic is in  
214 Phase-I clinical trials for its potent activity against *Pseudomonas aeruginosa*. It is



215 developed based on the scaffold structure of a porcine leukocytes-derived antimicrobial  
216 peptide, protegrin-1 (Brown et al., 2014).

217 AMPs are also known as Host Defence Peptides (HDPs). They are naturally occurring  
218 molecules that are conserved across the plant and animal kingdom (Broekaert et al.,  
219 1995; Brogden et al., 2003; Hancock and Diamond, 2000). AMPs are by and large small,  
220 cationic and amphipathic peptides ranging from 12 to 50 amino acids in length (Hancock  
221 and Diamond, 2000). They have a net positive charge of +2 to +9 due to low acidic  
222 residues (glutamate or aspartate), high cationic residues (arginine or lysine and/or  
223 histidine) and around 30-50% of hydrophobic residues. The cationic charge of the  
224 peptide provides an ideal motif that binds with the anionic surface of the bacterial  
225 cytoplasmic membrane (Shafee et al., 2016). Some larger molecules such as RNases  
226 and S100A proteins are also included in the group. There are other molecules with  
227 definitive bactericidal activity, notably the Peptidoglycan recognition proteins, which are  
228 also found at the ocular surface (Ghosh et al., 2009; Gowda et al., 2015; Hua et al.,  
229 2015; Ma et al., 2010) but these have a different structure, mechanism of action and  
230 expression from the currently known mammalian AMPs (Royet and Dziarski, 2007).  
231 AMPs possess broad-spectrum activity against a variety of microbes such as bacteria,  
232 viruses, fungi and parasites (Selsted and Ouellette, 2005). Furthermore, they also  
233 participate in immune-modulation, proliferation, wound healing and chemotaxis  
234 (Hemshekhar et al., 2016; Mangoni et al., 2016). The antimicrobial potential of AMPs  
235 may vary at different sites for a particular organism and between different species. In  
236 mammals, they can be systemically expressed or concentrated in a specific tissue, such  
237 as skin and mucosal epithelia (Haynes et al., 1999; van Wetering et al., 1999; Zanetti,  
238 2004). On ocular mucosa, constitutive production and secretion of AMPs from  
239 epithelium in to the tear fluid reflects the constant microbial threat to this site (McDermott,  
240 2004). It is now evident that any imbalance in AMPs expression bears a casual  
241 relationship with human disease conditions. Because of their diverse functions, AMPs  
242 are now being clinically tested to combat antibiotic-resistant superbugs, human  
243 immunodeficiency viral infection and cancer (Gordon et al., 2005).

244 In this paper, based on a review of the literature and our own published and unpublished  
245 work we discuss our current understanding of AMP function in OS defense. We

246 summarize how AMPs are modulated during OS disease and health and provide insight  
247 on the mechanisms associated with their production at the OS epithelium.

## 248 **2. Antimicrobial peptides**

### 249 **2.1. History**

250 Lysozyme was the first protein to be identified as an antimicrobial agent by Alexander  
251 Fleming in 1922. The discovery of penicillin in 1928 heralded the era of 'Golden Age of  
252 Antibiotics' and overshadowed any existing interest in natural AMPs. Since the 1940s,  
253 inappropriate and often widespread usage of antibiotics has led to emergence of multi-  
254 drug resistant pathogens. This issue was further compounded by the lack of substantial  
255 antibiotic development attributed to the increased cost burden for healthcare companies  
256 to develop them. In the 1960s, discovery of lactoferrin from milk reignited the interest in  
257 AMP research and ushered the interest in identifying AMPs in different species. In the  
258 1980s, cecropin and magainin were identified as potential AMPs from silk moth and frog,  
259 respectively. AMPs have since been studied extensively resulting in the identification of  
260 several other sub-classes of AMPs. In 1997, cecropin-A and melittin were the first to be  
261 tested for their antimicrobial efficacy in a *Pseudomonas aeruginosa* keratitis model in  
262 rabbits (Nos-Barbera et al., 1997). In humans, our group was the first to report the  
263 presence of defensins on the ocular surface (Haynes et al., 1998, 1999) and intra-ocular  
264 tissue (Haynes et al., 2000). To date, there are more than 2500 AMPs identified in six  
265 life kingdoms and they can be found in the Antimicrobial peptide database (APD) (refer  
266 <http://aps.unmc.edu/AP/main.php>). APD provides details on AMPs discovery, source,  
267 nomenclature, classification and prediction tools. A recent review by Wang and co-  
268 workers provides a complete update on APD as a tool for AMP research (Wang et al.,  
269 2016).

270 Natural AMPs are classified on the basis of their secondary structure into four groups:  
271 alpha ( $\alpha$ )-helical, beta ( $\beta$ )-stranded, loop and extended peptides (contains both  $\alpha$  and  $\beta$ ).  
272 Loop and extended AMPs (e.g.  $\theta$ -defensins) are mainly from non-human sources or  
273 represent synthetic peptides (Powers and Hancock, 2003).

## 274        **2.2. Microbicidal activity of AMPs**

275        Numerous mechanisms of microbial killing are reported for AMPs and these are  
276        constantly growing with increase in knowledge of structure-activity relationship of natural  
277        AMPs (Huang et al., 2010). Most mechanistic studies available are limited by the use of  
278        ‘membrane’ as a model system. However, few AMPs (e.g. dermaseptin and temporin)  
279        were studied with whole microbes utilizing fluorescence labeling chemistry (Andre et al.,  
280        2015; Pouny et al., 1992). Generally, AMPs are known for their propensity to kill a  
281        variety of microbes. They exhibit high cationic charge related to hydrophobic amino acid  
282        residues, facilitating their selective binding to the negatively charged surface of  
283        pathogens. Based on their electrochemical affinity, AMPs are classified into two  
284        categories: membrane disruptive and non-membrane disruptive peptides (Powers and  
285        Hancock, 2003).

286        The membrane disruptive peptides, such as LL-37 and magainin (belong to  $\alpha$ -helical  
287        group), utilize one of the three well-known killing mechanisms: *carpet model*, *barrel-*  
288        *stave model* and *micellar aggregate model* (Bahar and Ren, 2013; Huang et al., 2010;  
289        Powers and Hancock, 2003). In the *carpet model*, the peptides align parallel to the  
290        microbial membrane inducing membrane instability and disruption. In the *barrel-stave*  
291        *model*, the peptides align perpendicular to the membrane and form transmembrane  
292        pores by virtue of a high electrical gradient leading to leakage of cytoplasmic  
293        components. In the *micellar aggregate model*, peptides form micelle-like aggregates and  
294        disrupt membrane integrity leading to osmotic cell lysis. In both barrel-stave and micellar  
295        aggregate models, AMPs induce pores across the microbial cytoplasmic membrane and  
296        create transmembrane channels, leading to membrane depolarization, leakage of  
297        internal organelles and cell death. For some peptides, bacterial killing by pore-formation  
298        was noted within a few minutes of interaction with AMPs (Friedrich et al., 1999).

299        Non-membrane disruptive peptides exhibit antimicrobial activity by acting on intracellular  
300        targets rather than acting on the membrane surface. These peptides translocate into  
301        bacterial cytoplasm and perturb nucleic acids (e.g. buforin II and magainin 2) and  
302        cellular protein synthesis (e.g. pyrrolicorin, apidaecin and drosocin) (Powers and  
303        Hancock, 2003). Pyrrolicorin, an insect AMP, binds heat shock protein (DnaK) and

304 induces bacterial killing by inhibiting protein folding (Kragol et al., 2001). In contrast to  
305 membrane disruptive peptides, intracellular acting AMPs exert the action within hours as  
306 was demonstrated for phyrrhocoricin (Kragol et al., 2001).

307 In contradiction to known mechanisms of AMPs, it is also proposed that penetration of a  
308 peptide into bacterial cytoplasm does not always induce bacterial killing. For instance,  
309 cecropin-A, a lytic peptide, only induces transcriptional changes in *Escherichia coli* but  
310 does not affect its survival (Hong et al., 2003). From the expanding body of knowledge  
311 on AMP-microbe interaction, it is evident that the study of the response of microbe to  
312 AMPs is as important as the mechanisms by which AMPs attack microbes. Both  
313 mechanisms are crucial and could enhance our understanding by which bacteria may  
314 resist or overcome AMPs to cause infection.

315 AMPs also possess lytic activities against enveloped viruses (Ganz et al., 1988; Sinha et  
316 al., 2003) and some non-enveloped viruses such as adenovirus (Bastian and Schafer,  
317 2001; Gropp et al., 1999) and papillomavirus (Buck et al., 2006). However, unlike  
318 antibiotics that generally do not act against fungi, and unlike antifungals that are not  
319 antibacterial, AMPs have potential to act across the microbial spectrum. They also have  
320 been shown to act against multidrug resistant *Pseudomonas aeruginosa* or methicillin-  
321 resistant *Staphylococcus aureus* (MRSA) (Steinberg et al., 1997).

322 Another important facet of their action is synergy between a number of AMPs, such that  
323 a reduced expression of one AMP is balanced by an increase in others (Nagaoka et al.,  
324 2000). LL-37 and HBD-2 act synergistically against *S. aureus* (Ong et al., 2002) and the  
325 activity of HBD-3 is boosted by Lysozyme (Maisetia et al., 2003). Such synergy  
326 enhances not only the killing properties of AMPs but also the production of the  
327 chemokine IL-8 (by the action in concert of HBD-1 to-4 and LL-37), which has been  
328 shown to induce microbial killing (Niyonsaba et al., 2005). AMPs even synergize with  
329 antibiotics and enhance their microbicidal activity (Cirioni et al., 2008; Midorikawa et al.,  
330 2003; Scott et al., 1999).

331 Some AMPs are also shown to inhibit pathogens by limiting metal availability and the  
332 process is termed nutritional immunity. Hepcidin or liver-expressed antimicrobial peptide  
333 (LEAP-1) and S100 proteins such as psoriasin (S100A7) and calprotectin (S100A8/9)

334 are known to play an essential role in nutritional immunity (Verga Falzacappa and  
335 Muckenthaler, 2005; Zackular et al., 2015). LEAP1/hepcidin has a broad spectrum of  
336 activity against bacteria and fungi (Garcia et al., 2001). It is a very important iron  
337 hormone (Ganz, 2011), expression of which is increased in inflammation (Lemaitre et  
338 al., 1996) and excretion of which has been shown to decrease with resolution of sepsis  
339 (Nemeth et al., 2003). LEAP1/hepcidin reduces iron absorption from the gut and  
340 increases its retention in macrophages; it was proposed that these strategies would limit  
341 the iron available to microbes for growth (Ganz, 2011). Psoriasin was discovered in  
342 psoriatic skin with strong microbicidal properties (Madsen et al., 1991). Its antimicrobial  
343 activity is primarily shown to be dependent on its ability to limit zinc ( $Zn^{2+}$ ) to pathogens  
344 (Glaser et al., 2005). On the other hand, calprotectin-mediated limitation of manganese  
345 ( $Mn^{2+}$ ) was shown to increase the bacterial susceptibility to neutrophil killing due to  
346 weakening of the bacterial  $Mn^{2+}$  dependent defense system (Damo et al., 2013; Wheeler  
347 et al., 2016). These studies demonstrated that at the host-pathogen interface,  
348 sequestration of essential metal ions by these AMPs induces starvation and weakens  
349 their defence system against host immunity.

### 350 **2.3.Non-microbicidal activity of AMPs**

351 AMPs were originally regarded as molecules that kill microbial pathogens, but recent  
352 studies have highlighted their multifunctional potential (Elsbach, 2003). At certain sites  
353 such as airway surface (Cole et al., 1999) and gut lumen (as opposed to intestinal  
354 crypts) (Elphick et al., 2008), concentration of AMPs is too low to exhibit microbicidal  
355 activity. It is implied that they act as signalling molecules at these sites. AMPs also  
356 stimulate the release of cytokines such as IFN- $\alpha$ , IFN- $\gamma$ , IL-2 and IL-13, but not TNF- $\alpha$  or  
357 IL-6 in some instances (Vallespi et al., 2000) and this has been shown to correlate with  
358 increased survival in mice following lethal doses of *P. aeruginosa* (Vallespi et al., 2003).  
359 Anti-inflammatory activity is further enhanced by inhibition of immuno-suppressive  
360 adrenal steroid hormones by competitive binding to their receptors (Solomon et al.,  
361 1991; Zhu and Solomon, 1992). LL-37 has been shown to induce pro-IL-1 $\beta$  processing  
362 and mature IL-1 $\beta$  release from LPS-treated human monocytes *via* a purinergic receptor  
363 (P2X7 receptor) (Elssner et al., 2004). LL-37 in synergy with IL-1 $\beta$  was shown to

364 enhance human neutrophil activity by increasing production of cytokines (IL-6, IL-8 and  
365 IL-10), chemokine (CCL2) as well as synthesis and release of HNP1-3 (Zheng et al.,  
366 2007). Subsequently, it was demonstrated that the LL-37 mediates its anti-inflammatory  
367 effect on neutrophils *via* binding to CXCR2 (Zhang et al., 2009b).

368 Besides being early effectors of the innate immune system, AMPs act as a link between  
369 the innate and the adaptive immune systems. Alpha defensins induce chemotaxis of  
370 monocytes (Territo et al., 1989) and T-cells (Yang et al., 2000) and enhance antibody  
371 production from B-cells (van Wetering et al., 1999) whereas  $\beta$ -defensins are potent  
372 ligands for the chemokine receptor CCR6 on immature dendritic cells (iDC) and T-cells  
373 (Yang et al., 2000). Human Beta Defensin 4 (HBD4) also exhibits chemoattractant  
374 properties toward monocytes (Rodriguez-Martinez et al., 2005). LL-37, like  $\beta$ -defensins,  
375 is a receptor ligand; it uses formyl peptide receptor-like 1 (FPRL-1) to orchestrate  
376 activities in neutrophils, monocytes and T cells (Anderson, 2000). Adaptive immunity  
377 comes into play with the uptake of antigens by iDC, which subsequently mature and  
378 traffic to secondary lymphoid tissue to present those antigens to naive T-cells  
379 (Banchereau and Steinman, 1998). Thus, by the recruitment of iDC, defensins start the  
380 process, then chaperone it onward by the induction of TNF- $\alpha$  and IL-1 $\beta$  production by  
381 monocytes (Chaly et al., 2000), a process important for DC maturation. Bovine  
382 lactoferrin was shown to enhance both natural-killer (NK) cell activity by increasing IL-18  
383 and IFN- $\gamma$  production (Kuhara et al., 2006) and the function of intraepithelial  
384 lymphocytes in small intestine by increasing IL-10 secretion (Takakura et al., 2006).

385 AMPs are able to curtail immune responses as effectively as they stimulate them in  
386 order to protect the host from destructive or even lethal inflammation, which occurs  
387 when LL-37 neutralizes LPS and lipotechoic acid (Larrick et al., 1995; Mookherjee et al.,  
388 2006). The pig cathelicidin, PR-39, though potent against both gram-positive and gram-  
389 negative organisms, has anti-inflammatory action when required (Hoffmeyer et al., 2000;  
390 Sayama et al., 2005). Moderate inflammation is beneficial to the host but an excessive  
391 response can even lead to death. Neutralisation of such a response is effected by  
392 inhibition of LPS binding and suppression of LPS-induced TNF $\alpha$  production (Yan and  
393 Hancock, 2001).

394 There are other less well-known roles of AMPs, which are important nonetheless. They  
395 have been shown to have anti-tumour activity (Lichtenstein et al., 1986), inhibit  
396 fibrinolysis and stimulate fibroblasts and keratinocytes (McDermott et al., 2006;  
397 Panyutich et al., 1995; Sayama et al., 2005). They play a role in smooth muscle  
398 contraction (Nassar et al., 2002) and LL-37 and  $\alpha$ -defensins are implicated in  
399 angiogenesis (Koczulla et al., 2003) (Chavakis et al., 2004). The role of LL-37 in wound  
400 healing may have important therapeutic implications; LL-37 is found in abundance in  
401 skin wounds and anti-LL-37 antibody inhibits re-epithelialization of skin wounds  
402 (Heilborn et al., 2003).

### 403 **3. Antimicrobial peptides during ocular surface infection**

404 The ocular surface, specifically the cornea, has to balance the contradiction of  
405 maintaining an immune privileged status and yet warding off a wide-ranging spectrum of  
406 microbes. It is not surprising therefore that the ocular surface epithelium produces a  
407 multitude of AMPs. Key AMPs at the ocular surface include defensins and cathelicidin.  
408 However, other families of AMPs such as RNases, S100As and LEAPs have also been  
409 identified but their specific role at this site remains to be fully elucidated.

#### 410 **3.1. Bacterial infection**

411 Bacterial infections of the ocular surface can range from mild conjunctivitis to severe  
412 corneal ulcers. Bacterial keratitis is the most frequent cause of corneal disease  
413 worldwide and its risk is mainly associated with contact-lens use and trauma (Shin et al.,  
414 2016; Willcox, 2007). *Pseudomonas aeruginosa*, an opportunistic gram-negative  
415 bacteria, is a frequently isolated bacterium from the extended contact-lens wearers  
416 (Fleiszig and Evans, 2010) and it accounts for 70% of bacterial keratitis in the United  
417 States (Schein et al., 1989). Chronic hypoxia was initially thought to be the risk factor  
418 related to *P. aeruginosa* biofilm formation between the contact lens and corneal  
419 epithelial surface (Zaidi et al., 2004). Although lens technology and storage solutions  
420 have improved considerably in recent years, the incidence of bacterial keratitis related to  
421 contact-lens use is rising (Dart et al., 2008; Radford et al., 2009). Lack of appropriate  
422 experimental models has further compounded the challenges to test available therapies  
423 against contact lens-related bacterial keratitis (Fleiszig and Evans, 2010).

424 Genetic models of bacterial keratitis have provided sizeable evidence on the role of  
425 innate and adaptive immunity in host response against infection (Gerke and Magliocco,  
426 1971; Hazlett, 2004; Marquart, 2011). There are a number of factors that have been  
427 identified when using specific background strains of mice for studying the pathogenesis  
428 of *Pseudomonas aeruginosa* keratitis. It has been shown that IL-12 triggered IFN- $\gamma$   
429 production contributes to corneal destruction in C57BL/6 background of mouse while IL-  
430 18-driven IFN- $\gamma$  induces more bacterial killing but less destruction to host tissue in  
431 Balb/C mice (Hazlett, 2005).

432 The importance of AMPs against bacterial infections was first demonstrated by the  
433 development of cathelicidin knockout mice (*Cnlp*<sup>-/-</sup>) (Nizet et al., 2001). Deficiency of  
434 cathelicidin enhanced susceptibility to a wide-variety of bacteria including *Escherichia*  
435 *coli* (Chromek et al., 2006), *Neisseria meningitidis* (Bergman et al., 2006; Merres et al.,  
436 2014) and *Klebsiella pneumoniae* (Kovach et al., 2012). Importance of cathelicidin in  
437 corneal and retinal defense against bacteria has also been demonstrated. Cathelicidin  
438 deficiency increased the susceptibility of mice to *P. aeruginosa* keratitis (Huang et al.,  
439 2007) and *S. aureus* endophthalmitis (Talreja et al., 2015). Silencing of murine  $\beta$ -  
440 defensins (mBDs) with small interfering RNA (siRNA) demonstrated the key role of  
441 mBD-2 and mBD-3 (homolog of HBD-2) but not mBD-1 and mBD-4 (homologs of HBD-1  
442 and HBD-3) in ocular defense against *P. aeruginosa* (Wu et al., 2009a; Wu et al.,  
443 2009b). Moreover, induction of murine cathelicidin and defensins during *P. aeruginosa*  
444 keratitis (Berger et al., 2013) and *E. coli* infection of urinary tract (Lin et al., 2015) has  
445 been shown to be dependent on the hypoxia-inducible factor (HIF)-1 $\alpha$  transcription  
446 factor.

447 We have previously profiled a spectrum of AMPs in corneal and conjunctival epithelial  
448 specimen collected by impression cytology (IC) from patients with bacterial or viral  
449 infections. These are compared to AMP expression in corneal epithelial cells that were  
450 sourced from healthy adult eyes, cadaver corneal disc and cultured limbal explants. We  
451 showed positive expression of 7 out of 21 AMPs investigated. HBD1 and -2, LEAP1 and  
452 -2 and LL-37 were shown to be present in all samples tested while HBD3 was only  
453 induced during disease conditions (McIntosh et al., 2005). In 2008, we discovered a  
454 novel defensin gene, HBD9, which was constitutively expressed on corneal and



455 conjunctival epithelium of healthy eyes but during infectious keratitis, HBD9 mRNA was  
456 expressed at a very low level (Abedin et al., 2008). We also characterized its protein  
457 expression in healthy cadaver sections of OS tissue using polyclonal antibody generated  
458 against the HBD9 synthetic peptide. A punctate pattern of HBD9 protein in healthy  
459 corneal and limbal epithelium was observed. In stromal keratocytes, conjunctival  
460 epithelial and goblet cells and cadaver tonsil tissue sections - HBD9 was ubiquitously  
461 present (Mohammed et al., 2010). Since HBD3 was induced and HBD9 was decreased  
462 during infective keratitis, we further carried out a detailed ex-vivo study wherein we  
463 investigated the expression of HBD3 and HBD9 mRNA in IC samples collected from  
464 patients with active infection (gram-negative and gram-positive bacterial keratitis (BK))  
465 and after healing. HBD3 mRNA was increased 10-fold during gram-positive BK and 4-  
466 fold during gram-negative BK. It then returned to normal control levels after healing. On  
467 the other hand, HBD9 mRNA was decreased 5-fold during both gram-negative and  
468 gram-positive BK. Notably, it returned to its normal levels after healing of gram-negative  
469 BK but not gram-positive BK (Otri et al., 2012). It could be hypothesized that different  
470 bacteria utilize specific mechanisms to dampen the host innate immunity. Non-recovery  
471 of HBD9 mRNA to its normal levels post-healing of gram-positive BK indicates that  
472 these bacteria may have affected the transcriptional regulatory mechanisms of HBD9.  
473 Further studies are underway in our laboratory to understand the mechanism of HBD9  
474 mRNA down regulation during bacterial infections. We extended our search for newer  
475 AMPs and also studied the expression of ribonuclease-7 (RNase-7), originally found in  
476 skin keratinocytes (Harder and Schroder, 2002), in OS epithelial cells at mRNA and  
477 protein level. Unlike HBD9, we noted an increased expression of RNase-7 mRNA in  
478 infective keratitis samples (**Figure 1**). RNase-7 was mainly localized to the apical layer  
479 of OS epithelium with minimal staining noted in stromal keratocytes (Mohammed et al.,  
480 2011b). More recently, we further investigated the mRNA expression of AMPs in corneal  
481 epithelial cells treated with *P. aeruginosa* and *S. aureus* (from patient ocular isolates). In  
482 response to *P. aeruginosa* infection, 5 of the 8 AMPs increased while HBD9 and LEAP-1  
483 consistently showed a reduced expression pattern. During *S. aureus* infection, all AMPs  
484 showed a trend towards increased expression. LL-37 and RNase-7 were notably the

485 most responsive against *P. aeruginosa* and HBD2 was highly induced in response to *S.*  
486 *aureus* (Dua et al., 2014).

487 Toll-like receptors (TLRs) are evolutionarily conserved pathogen-recognition receptors  
488 (PRRs) that are known to recognize and respond to a variety of microbial stimuli, known  
489 as pathogen-associated molecular patterns (PAMPs) (Kawai and Akira, 2009). The 'Toll'  
490 was first discovered in *Drosophila melanogaster* as a homologue of the pleiotropic  
491 interleukin-1 receptor type-I (IL-1R1) (Anderson et al., 1985). The only known function  
492 of Toll was then linked to the development of *D. melanogaster* embryo (Hashimoto et al.,  
493 1991; Hashimoto et al., 1988). In the late 1990s, the pioneering work from Beutler's  
494 group demonstrated that LPS unresponsiveness in C3H/HeJ and C57BL/10ScCr mice  
495 was due to a specific mutation in *Lps*<sup>d</sup> allele (that encodes for TLR4) (Poltorak et al.,  
496 1998). This led to the discovery that LPS is specifically recognized by TLR4 (Hoshino et  
497 al., 1999) and implicated an unequivocal role of TLRs in the immune system. Further  
498 work from laboratories of Akira and Medzhitov, who generated multiple TLR and adaptor  
499 molecules gene knockout mice, has provided the basis for discovery of specific PAMPs  
500 that are recognized by each TLR (Barton et al., 2006; Horng et al., 2002; Kagan et al.,  
501 2008; Yamamoto et al., 2003; Yamamoto et al., 2002). In 2011, the Nobel Prize in  
502 Medicine and Physiology was conjointly awarded to Bruce A. Beutler and Jules A.  
503 Hoffmann for the work related to the TLRs (Nobel-Prize, 2011). To date, it has been  
504 shown that the human genome encodes 10 TLRs and mouse genome encodes 13  
505 TLRs. TLRs are localized both on cell surface and in endosomal compartments (Kawai  
506 and Akira, 2009). In most cell types, TLR2/1, TLR2/6, TLR4 and TLR5 were found to be  
507 present on cell surface, whereas TLR3, TLR7, TLR8 and TLR9 localized to endosomes  
508 (Kawai and Akira, 2009). When PAMPs bind to the TLR ectodomain they initiate the  
509 activation of myeloid-differentiation protein 88 (MyD88)-dependent or MyD88-  
510 independent pathways (Barton and Medzhitov, 2003). Although the general scheme for  
511 the activation of TLRs pathway is well known, it is only in the last decade that the  
512 associated molecular mechanisms have begun to be understood (O'Neill et al., 2013).

513 On OS epithelium, TLRs are essential for first line defense against invading pathogens.  
514 In humans and mice, TLR2, TLR4, TLR5 and TLR9 have been widely shown to play an  
515 important role in *P. aeruginosa* and *S. aureus* induced corneal inflammation (Chang et

516 al., 2006; Huang et al., 2005; Johnson et al., 2005; Pearlman et al., 2008; Sun et al.,  
517 2006b; Sun et al., 2010). Protein and mRNA levels of TLR-1 to -6 and -9 were shown to  
518 be constitutively present in human conjunctival, limbal and corneal epithelial cells, while  
519 those of TLR7, -8 and -10 were shown to be absent (Li et al., 2007). Previously, we  
520 surveyed the profile of TLRs 1 to 10 mRNA expression on OS epithelium collected from  
521 patients with infective keratitis. In bacterial keratitis specimen, TLR2 and TLR8 mRNA  
522 were moderately increased while the level of TLR1, TLR3, TLR5, TLR6, TLR7 and  
523 TLR10 remained unchanged. Notably, TLR4 and TLR9 mRNA were down regulated  
524 during bacterial infection (Mohammed et al., 2011a). In the same year, McDermott's  
525 group also reported a baseline expression profile of TLRs 1-10 in various OS cell types  
526 (Redfern et al., 2011). This study has corroborated to our findings on TLR4 and TLR8  
527 mRNA expression in healthy corneal epithelium (Mohammed et al., 2011a). However,  
528 the reports on TLR2 and TLR4 expression and localization on OS epithelium has been  
529 conflicting. It was demonstrated that LPS treatment of corneal epithelial cells activates  
530 TLR4 signaling and modulates cytokine production (Song et al., 2001) while  
531 peptidoglycan (PGN) treatment was shown to increase cytokines and HBD-2 secretion  
532 in a TLR2 dependent manner (Kumar et al., 2004). In contrast, two studies later reported  
533 that both TLR2 and TLR4 are unresponsive to their specific PAMPs on corneal, limbal  
534 and conjunctival epithelium (Li et al., 2007; Ueta et al., 2004). Blais and co-workers  
535 demonstrated that human tears secrete soluble lipopolysaccharide binding protein  
536 (sLBP) and CD14 and suggested these may regulate the LPS responsiveness on  
537 corneal epithelium (Blais et al., 2005). Using structural studies, it was confirmed that  
538 LPS recognition and response on a cell-surface is mainly mediated *via* a complex of  
539 LPS binding protein (LBP)/CD14 and TLR4/MD-2 (myeloid differentiation protein-2)  
540 (**Figure 2**) (Park and Lee, 2013; Park et al., 2009). A subsequent study demonstrated  
541 that the LPS unresponsiveness on corneal epithelium was related to the lack of MD-2  
542 expression (Lang et al., 2011; Zhang et al., 2009a). Utilizing MD-2 deficient mice, it was  
543 shown that interferon-gamma (IFN- $\gamma$ ) produced during *P. aeruginosa* keratitis could  
544 induce the MD-2 cell-surface expression and trigger TLR4 responses to LPS on corneal  
545 epithelium (Roy et al., 2011). Furthermore, exogenous supply of MD-2 to the human  
546 corneal epithelial cell culture was shown to induced cell-surface expression of TLR4 and

547 CD14 and restored the LPS responsiveness (Lang et al., 2011). Moreover, enhanced  
548 TLR4 surface expression during disease condition was further confirmed in an  
549 experimental dry eye disease model (Lee et al., 2012). It is notable that this regional  
550 specialization of TLR expression is not unique to OS epithelium. For example, low levels  
551 of TLR4 and MD-2 have also been demonstrated in the intestinal epithelium, which does  
552 not normally respond to LPS derived from the commensal microbes of the gut (Abreu et  
553 al., 2001). Similarly, it was also reported that the intestinal epithelium is unresponsive to  
554 a TLR2 ligand, PGN (Melmed et al., 2003). TLR5 that recognizes flagellin from gram-  
555 negative bacteria was shown to be only localized to basal and wing cell layers of corneal  
556 epithelium. It was proposed that TLR5 might only respond when the apical squamous  
557 layer is breached (Zhang et al., 2003). The variable expression pattern of TLRs at the  
558 OS is suggestive of the presence of an immunosilent milieu to contain the excessive  
559 inflammatory responses triggered by aberrant TLR stimulation. This is a useful strategy  
560 resulting in efficient microbial kill without undue inflammation. With inflammation, the  
561 risk of corneal scarring is high.

562 TLRs were shown to be the major inducers of AMP expression in response to bacterial  
563 infection on various cell types including corneal epithelium (**Figure 2**). *P. aeruginosa*  
564 derived-LPS treatment of corneal and conjunctival epithelial cells (McNamara et al.,  
565 1999), gingival keratinocytes (Mathews et al., 1999), tracheobronchial epithelial cells  
566 (Becker et al., 2000) and intestinal epithelial cells (Vora et al., 2004) enhanced HBD-2  
567 levels. These studies suggested that LPS-mediated TLR4 activation plays an important  
568 role in HBD2 induction. TLR2 activation by *S. aureus* or PGN also enhanced HBD-2 but  
569 not HBD-1 and HBD-3 levels in corneal (Kumar et al., 2006) and intestinal epithelial cells  
570 (Vora et al., 2004). Further studies demonstrated that *S. aureus* lipopeptide (SaLP) but  
571 not *S. aureus* protein A (SpA) increases HBD-2 and LL-37 expression in corneal  
572 epithelial cells *via* TLR2 activated NF- $\kappa$ B and mitogen-activated protein kinase (MAPK)  
573 pathways (Kumar et al., 2007a; Li et al., 2008). As mentioned before, we have  
574 previously demonstrated that HBD9 mRNA, which expresses constitutively in normal  
575 control epithelial cells, was found to be down regulated in infective keratitis (Abedin et  
576 al., 2008; Otri et al., 2012). This intriguing observation led us to further test the effect of  
577 potential inducers of HBD9. Of the tested targets, TLR2 was found to be a major inducer

578 of HBD9 at shorter durations of culture stimulation (Mohammed et al., 2010). In a  
579 subsequent study, we further characterized the signaling mechanisms involved in TLR2-  
580 induced HBD9 expression. Both NF- $\kappa$ B and MAPK pathways were shown to play an  
581 essential role in TLR2 mediated HBD9 induction (**Figure 2**) (Dua et al., 2014). We have  
582 further demonstrated that dexamethasone (clinically used for its anti-inflammatory  
583 properties) was able to mitigate the TLR2-induced HBD9 expression. MAPK  
584 phosphatase-1 (MKP-1; negative regulator of MAPK signaling pathway) was implicated  
585 in this inhibitory effect of dexamethasone as tested by RNAi silencing method (**Figure 2**)  
586 (Dua et al., 2014). Our results were consistent with previous studies demonstrating an  
587 inhibitory effect of dexamethasone on IL-1 $\beta$  (McDermott et al., 2003) and TLR2 (Winder  
588 et al., 2009) induced HBD2 expression in corneal and airway epithelium respectively.  
589 Activation of TLR5 with purified flagellin from *P. aeruginosa* was shown to induce  
590 proinflammatory cytokines and HBD2 and LL-37 expression in corneal epithelial cells  
591 (Zhang et al., 2003). It was further demonstrated that pre-exposure of corneal epithelial  
592 cells to low-dose flagellin could mitigate pro-inflammatory responses and induced  
593 antimicrobial defense against *P. aeruginosa* infection (Kumar et al., 2007b). This  
594 phenomenon of endotoxin tolerance was conceived in the 1940s and currently it is being  
595 applied as an adjuvant therapy to attenuate the immunopathology associated with septic  
596 shock (Albrecht et al., 2008; Cohen, 2002; Nomura et al., 2000). Previous studies have  
597 demonstrated that RNase-7 was induced in response to bacterial infections and  
598 inflammatory cytokines in a variety of cell types (Koczera et al., 2016; Koten et al., 2009;  
599 Reithmayer et al., 2009; Spencer et al., 2014). We have recently showed that IL-1 $\beta$   
600 displays a rapid but transient effect on RNase-7 in CECs (**Figure 2**). Notably, we  
601 reported that IL-1 $\beta$  induced RNase-7 expression is dependent on transforming growth  
602 factor  $\beta$  activated kinase-1 (TAK-1)-activated MAPKs but not NF- $\kappa$ B signaling  
603 (Mohammed et al., 2011b). This intriguing signaling mechanism is not unique to RNase-  
604 7 and this dichotomy also exists with other AMPs. In gingival epithelial cells, HBD-2  
605 expression was also shown to be dependent on MAPKs but not NF- $\kappa$ B in response to  
606 *Fusarium nucleatum* (Krisanaprakornkit et al., 2002). More commonly, both MAPKs and  
607 NF- $\kappa$ B are known to regulate *P. aeruginosa* induced HBD-2 and HBD-3 in skin  
608 keratinocytes (Wehkamp et al., 2006) and IL-1 $\beta$  induced HBD-2 in CECs (McDermott et

609 al., 2003). We have also shown that RNase-7 was induced in CECs infected with *P.*  
610 *aeruginosa* and *S. aureus* (Dua et al., 2014). We further elucidated the role of TLRs in  
611 regulation of RNase-7 mRNA in corneal epithelial cells. As shown in **figure 3**, in  
612 response to activators of TLR2 (Pam3CSK4; 1 µg/mL), TLR3 (Poly I:C; 10 µg/mL) and  
613 TLR5 (Flagellin; 100 µg/mL) we noted a modest increase in RNase-7 at 1 hour. Notably,  
614 at the 24-hour time point there was a 2-fold reduction compared to untreated controls.  
615 However, treatment with PAMPs for other TLRs did not effect RNase-7 expression.

616 *Corynebacterium pseudodiphtheriticum* is an opportunistic commensal organism  
617 commonly found in skin and upper respiratory tract (Izurieta et al., 1997). Although *CP* is  
618 an uncommon cause of OS infection, a first case of such infection was reported in an  
619 immunocompromised patient in Australia (Li and Lal, 2000). Subsequent case studies  
620 have also reported other strains of *Corynebacterium spp.* as causative of OS infection  
621 (Giammanco et al., 2002; Ruoff et al., 2010; Todokoro et al., 2015). Recently, it was  
622 reported that 5% of total bacterial keratitis cases at a single center in India are  
623 diagnosed as *Corynebacterium* keratitis and a number of reported cases has been rising  
624 in the last decade (Ramesh et al., 2010). A recent study has reported an increased  
625 expression of TLR1 to -4, cytokines and antimicrobial peptides such as HBD1, S100A8  
626 and S100A9 in corneal scrapings from *Corynebacterium* keratitis patients. These were  
627 further substantiated in corneal epithelial cell cultures treated with *Corynebacterium spp.*  
628 (Roy et al., 2015).

629 *Mycobacterium tuberculosis* primarily infects lungs and it remains the most common  
630 cause of infection related high mortality worldwide (Dirlikov et al., 2015). Extra-  
631 pulmonary infections are commonly reported in more than 50% of patients with  
632 tuberculosis (Gupta et al., 2007). Both intraocular and ocular surface infections due to  
633 *M. tuberculosis* are uncommon but increased incidence of multi-drug resistant strains of  
634 *Mycobacterium spp.* has amplified the challenges for its treatment (Gupta et al., 2007).  
635 AMPs have been recently shown to possess strong anti-mycobacterial properties and it  
636 has been suggested that they could play an important role in tuberculosis control (Dong  
637 et al., 2016; Fu, 2003; Ganz, 2002). Recent studies have shown that the human corneal  
638 fibroblasts (Castaneda-Sanchez et al., 2013) and human macrovascular endothelial  
639 cells (Garcia-Perez et al., 2011) increased production of HBD-1 to 3 in response to

640 infection with *M. tuberculosis* and non-tuberculous strains such as *M. abscessus* and *M.*  
641 *smegmatis*. Similarly, elevated levels of HBD-2 and HBD-3 but not LL-37 were also  
642 been reported in skin specimen collected from patients with cutaneous tuberculosis  
643 (Zhao et al., 2016b). *M. tuberculosis* infection of macrophages was shown to  
644 specifically induce HBD4 (Liu et al., 2009). RNase-3 and RNase-7 were shown to  
645 display a potent microbicidal activity against *M. vaccae* (Pulido et al., 2013).  
646 Furthermore, an amino acid, L-isoleucine was specifically shown to induce expression of  
647 defensins *in-vivo* in an experimental animal model of pulmonary tuberculosis (Fehlbaum  
648 et al., 2000; Rivas-Santiago et al., 2011). This suggests that the administration of  
649 recombinant/synthetic AMPs or the pharmacological induction of AMPs could be  
650 beneficial in combating sight-threatening ocular infections. This potential needs to be  
651 explored further in in-vitro and in-vivo studies.

### 652 **3.2. Viral infection**

653 Defensins have been shown to display antiviral properties against both enveloped and  
654 non-enveloped viruses. Antiviral property of  $\alpha$ -defensins is chiefly dependent on the  
655 stability of their 3D-structure, i.e., disulphide bonds. It was shown that  $\alpha$ -defensins in  
656 linear form demonstrated reduced antiviral activity against HSV-1, influenza virus,  
657 adenoviruses and HIV-1 (Demirkhanyan et al., 2012; Rapista et al., 2011; Salvatore et  
658 al., 2007; Smith et al., 2010). However,  $\beta$ -defensins showed similar antiviral activity in  
659 either forms (Nigro et al., 2015; Scudiero et al., 2010). The fundamental differences in  
660 antiviral activity of both defensin groups needs to be clarified. It could be suggested from  
661 these studies that  $\alpha$ -defensins exhibit their antiviral property due to their amphipathic  
662 structure or their intrinsic ability to oligomerize rather than their net positive charge. It  
663 was also reported that the antiviral activity of HBD-2 and HBD-3 against HIV (Quinones-  
664 Mateu et al., 2003) and human  $\alpha$ -defensin 5 (HD-5) binding to adenovirus (Gounder et  
665 al., 2012) is salt-dependent. Defensins are known to exhibit antiviral property by direct  
666 neutralization of enveloped viruses through lipid bilayer interaction or their affinity  
667 towards envelope glycoproteins (e.g. HIV-1 and HSV). Human neutrophil peptide-2  
668 (HNP-2), an  $\alpha$ -defensin, interferes with gp120 glycoprotein interaction with CD4 receptor  
669 on T-cells and prevents the initial phase of HIV infection (Furci et al., 2007). HBD3 and  
670 HD5 but not HBD1 and HBD2 were shown to inhibit both HSV-1 and HSV-2 entry into

671 host cells by binding gB glycoprotein of HSV (Hazrati et al., 2006). Similarly, HBD2 was  
672 shown to exhibit antiviral activity against enveloped respiratory syncytial virus (RSV) by  
673 damaging its lipid bilayer (Kota et al., 2008). This activity of defensins is favored by its  
674 interaction with positively charged phospholipids hence viruses with inert bilayers  
675 (Lorizate and Krausslich, 2011) might show tolerance to defensins. A mechanism  
676 involving protein-protein interaction for neutralization of non-enveloped viruses (e.g.  
677 Adenoviruses and human papillomavirus) was attributed to HD5 (Buck et al., 2006; Flatt  
678 et al., 2013; Tenge et al., 2014). Such variations account for the differential susceptibility  
679 of viruses to defensins. The antiviral mechanism of defensins is well described and  
680 readers are directed to the following review paper for more information (Wilson et al.,  
681 2013).

682 Clinical manifestations of OS viral infections vary greatly depending on the disease-  
683 causing viruses (Dua, 2000; Otri et al., 2013). The changes induced by viruses on OS  
684 range from benign, self-limiting conjunctivitis to vision threatening ulceration and  
685 vascularization. Herpes simplex virus-1 (HSV-1) and adenoviruses (A to G serotypes)  
686 are the most common causes of OS viral infections (Dua, 2000; Mukherjee et al., 2015).  
687 Ocular manifestations due to other viruses such as West Nile virus (Blitvich et al., 2016),  
688 Zika virus (Miner et al., 2016), Varicella-Zoster virus (Khalafallah et al., 2013; Liesegang,  
689 1999) and Human Immunodeficiency virus-1 (HIV-1) (Baranwal et al., 2015; Biswas and  
690 Sudharshan, 2008) have also been reported.

691 HSV-1 infections are highly prevalent and a majority of the world's population carries  
692 virus as a latent load in the trigeminal ganglion (Dua, 2000; Maroui et al., 2016). New  
693 cases of ocular HSV-1 infection have been estimated annually at 11.8 per 100,000 in  
694 the USA (Young et al., 2010). In Europe, France has a high incidence of HSV-1 cases  
695 estimated at 31.5 per 100,000 (Labetoulle et al., 2005). Thus, HSV-1 ocular infections  
696 represent a significant burden globally. Primary HSV-1 ocular infections are rare and  
697 can be restricted to blepharoconjunctivitis (inflammation of conjunctiva and eyelids) with  
698 or without keratitis. When cornea is involved, this is typically referred to as 'Infectious  
699 Epithelial Keratitis (IEK)' (Rowe et al., 2013). Clinical symptoms of IEK include pain,  
700 photophobia, blurred vision, excessive tearing and redness. In IEK, the corneal lesion  
701 starts as punctate vesicular eruptions and often progresses to non-linear geographic



702 lesions (Green and Pavan-Langston, 2006). More commonly, HSV-1 ocular infections  
703 can result from reactivation of latent virus that was originally established in the trigeminal  
704 ganglion following non-ocular infection (Maroui et al., 2016; Toma et al., 2008).  
705 Recurrent HSV-1 infection can present with dendritic ulcers, which represent active viral  
706 replication, or immune mediated stromal keratitis. 'Herpes Stromal Keratitis (HSK)' can  
707 occur with or without epithelial involvement and can be further sub-divided into two  
708 categories: necrotizing and non-necrotizing (Rowe et al., 2013). The former is a severe  
709 vision threatening infection and often managed surgically, whereas non-necrotizing HSK  
710 causes stromal inflammation without epithelial defect and is frequently referred to as  
711 immune keratitis or disciform keratitis (Knickerbein et al., 2009; Rowe et al., 2013).  
712 Studies employing mouse model have provided substantial evidence of the role of  
713 macrophages (Cheng et al., 2000), dendritic cells (Jiang et al., 2015), natural killer cells  
714 (Carr et al., 2008) and neutrophils (Tumpey et al., 1996) in HSV-1 OS disease  
715 pathogenesis. All of these cell types are known to contribute in HSV-1 clearance from  
716 the cornea. HSV-1 infection of corneal epithelial cell cultures has been reported to show  
717 expression of cytokines and interferons *via* activation of TLR7 (Li et al., 2006) and TLR9  
718 (Takeda et al., 2011). HSV-1 recognition by immune cells is mainly mediated *via* TLR3,  
719 TLR7 and TLR9 (Hochrein et al., 2004; Krug et al., 2004; Sarangi et al., 2007; Taube et  
720 al., 2015). Activation of these TLRs was shown to induce production of type-I IFNs,  
721 proinflammatory cytokines and chemokines, resulting in further recruitment of immune  
722 cells (Yang et al., 2005; Zhang et al., 2007). T-cells, in particular, CD4<sup>+</sup> subsets have  
723 been shown to be the principal mediators of HSK immunopathology (Gangappa et al.,  
724 1999; Lepisto et al., 2006). Whilst CD8<sup>+</sup> T-cells are involved in immune surveillance of  
725 HSV-1 infected neurons in trigeminal ganglia and prevent virus reactivation from latency  
726 (Liu et al., 2000; St Leger et al., 2011).

727 Adenoviruses are mainly responsible for 75% of cases of conjunctivitis worldwide (Jhanji  
728 et al., 2015). Adenoviral ocular infections are presented as epidemic keratoconjunctivitis  
729 (EKC; involves both cornea and conjunctiva) whereas isolated adenoviral conjunctivitis  
730 without corneal involvement is also reported (Jhanji et al., 2015). The National  
731 Surveillance Centre reported about 1 million cases per year of EKC in Japan alone (Aoki  
732 and Tagawa, 2002; Kaneko et al., 2011). Other forms of adenoviral infections termed as

733 pharyngoconjunctival fever (PCF) also involves the conjunctiva. Subepithelial multifocal  
734 cellular infiltrates and formation of pseudomembranes are two common complications  
735 associated with EKC (Chintakuntlawar and Chodosh, 2010). The former may cause  
736 visual impairment if it involves the visual axis with persistent subepithelial opacity.  
737 However, lack of effective antiviral agents has made the treatment of adenoviral  
738 infections difficult. Clinically it is often managed by topical steroids to reduce associated  
739 inflammation (Viswalingam, 1993). Adenoviruses are in majority sensed by TLRs such  
740 as TLR2, -4, -7, -8 and -9 (Blasius and Beutler, 2010; Fejer et al., 2011; Huang and  
741 Yang, 2009). Interaction of DC with adenoviruses activates TLR9-mediated type I IFN  
742 responses (Fejer et al., 2008), whereas TLR2, 4 and 9 on macrophages elicits IL-12,  
743 MCP-1 and RANTES production (Nociari et al., 2009; Yamaguchi et al., 2007).  
744 However, recent studies have reported that the double-stranded DNA (dsDNA) of  
745 adenoviruses could also induce type-I IFN and proinflammatory cytokines without  
746 activating TLR-dependent pathways (Hendrickx et al., 2014; Ishii et al., 2006; Nociari et  
747 al., 2007). Animal models of adenovirus keratitis have provided considerable evidence  
748 of neutrophils, macrophages and dendritic cells in disease pathogenesis  
749 (Chintakuntlawar et al., 2007; Hamrah and Dana, 2007; Ramke et al., 2016). Corneal  
750 fibroblasts from human and mouse were also shown to contribute towards  
751 immunopathology by increase production of IL-8, IL-6, IP-10 and MCP-1 in response to  
752 adenovirus infection (Chodosh, 2006; Natarajan et al., 2003; Rajaiya et al., 2008; Xiao  
753 and Chodosh, 2005).

754 Although as mentioned above that defensins possess potent antiviral activity, it was not  
755 known whether OS infection due to adenovirus or HSV has any effect on AMP  
756 production. Our group was the first to demonstrate the low levels of HBD-9 during viral  
757 keratoconjunctivitis (Abedin et al., 2008). This interesting result led us to further test the  
758 expression of known AMPs and TLRs during OS diseased conditions including viral  
759 keratoconjunctivitis. Of 6 AMPs tested, we demonstrated an increased expression of LL-  
760 37 and LEAP-1 (also known as hepcidin) (Mohammed et al., 2011a). In similar samples,  
761 we also showed elevated levels of TLR2, TLR7, TLR8 and TLR10 mRNA (Mohammed  
762 et al., 2011a). As there is no known ligand for TLR10 available and it is likely that TLR10  
763 could play an essential role during viral infections, at least on OS; It is imperative to

764 further validate the role of TLR10 during viral infections using gene-knockout mice or  
765 siRNA-mediated TLR10 knockdown. A recent study has confirmed the anti-inflammatory  
766 role of TLR10 demonstrating direct inhibition of TLR2 responses and increase IL-1R  
767 antagonist production (Oosting et al., 2014). Similarly, TLR10 knockdown in a monocytic  
768 cell line (THP-1) was shown to attenuate the proinflammatory cytokines that are induced  
769 in response to activation of TLR2, TLR4 and TLR5 (Le and Kim, 2016). In HSK diseased  
770 corneas, increased mRNA expression of TLR4, 7, 8 and 9 was also reported, whereas  
771 TLR7 mRNA was only shown to be elevated in non-active HSK cornea (Jin et al.,  
772 2007a). Together, these studies indicate an unequivocal role of TLRs in HSV-1 disease  
773 pathogenesis. A recent study showed that LEAP-1 was reduced in response to hepatitis  
774 C virus (HCV) infection of primary hepatocytes (Liu et al., 2012). In contrast, IL-6 was  
775 shown to induce LEAP-1 expression both in-vitro in human hepatocytes (Wrighting and  
776 Andrews, 2006) and in-vivo in mouse liver (Pietrangelo et al., 2007). HSV-1 infection of  
777 corneal epithelial cells and corneal fibroblast was also shown to induce IL-6 levels in a  
778 TLR-dependent manner (Hayashi et al., 2006). From these studies, it could be  
779 postulated that elevated levels of LEAP-1 in viral keratoconjunctivitis specimen could be  
780 mediated *via* IL-6. However, this needs to be further validated in a separate study and  
781 potentially tested in an animal model of viral keratoconjunctivitis. We have also studied  
782 and elucidated RNase-7 mRNA expression in viral keratoconjunctivitis specimen. We  
783 noted a modest increased in RNase-7 mRNA levels in viral keratoconjunctivitis samples  
784 (**Figure 1**). A recent study has also demonstrated an induced expression of RNase-7 in  
785 keratinocytes infected with dengue virus (Surasombatpattana et al., 2011). RNase-1, -2,  
786 -3 and -5 are shown to exhibit strong antiviral activity against RSV and HIV-1 (Bedoya et  
787 al., 2006; Domachowske et al., 1998a; Domachowske et al., 1998b; Koczera et al.,  
788 2016). However, the antiviral activity of RNase-7 against HSV needs to be investigated.

### 789 **3.3.Fungal infection**

790 Fungi are opportunistic pathogens and are recognized as the commonest cause of  
791 ocular morbidity in sub-tropical countries (Shah et al., 2011). In such regions, fungal  
792 keratitis may constitute up to 50% of all cases of ulcerative keratitis (Saad-Hussein et  
793 al., 2011). It may occur secondary to trauma, any vegetative injury and contact-lens  
794 wear (Klotz et al., 2000). Fungal keratitis is also common in immuno-compromised

795 patients and in those suffering from chronic dry eye (Klotz et al., 2000). More recently,  
796 fungal keratitis cases in temperate regions such as UK have risen and its risk is mainly  
797 associated with contact-lens use and ocular surface trauma. A recent retrospective  
798 study has reported an upward trend of FK incidence from 4.5 cases per year (between  
799 1994 to 2006) to 14 cases per year (between 2007 to 2014) at a single centre in the UK  
800 (Ong et al., 2016). More than 70 species of fungi are known to cause ocular mycoses.  
801 *Candida*, *Aspergillus* and *Fusarium* species are common causative organisms (Said et  
802 al., 2011). Clinically, fungal keratitis presents as dry and raised satellite lesions with a  
803 feathery border, stromal infiltration, endothelial plaque and hypopyon. However, mixed  
804 bacterial and fungal infections and severe fungal infections that resemble *Pseudomonas*  
805 keratitis are difficult to diagnose (Said et al., 2011). Management of FK is challenging  
806 due to several factors such as delayed diagnosis, limited availability of broad-spectrum  
807 antifungal agents, and poor corneal penetration of available antifungals (Said et al.,  
808 2011). Therefore, it is warranted that alternative antifungal treatment modalities for sight-  
809 threatening fungal infections are identified.

810 AMPs offer promise due to their distinct antifungal properties. Both native and synthetic  
811 peptides of AMPs have been developed and studied extensively for their antifungal  
812 properties. The  $\alpha$ -defensins have been shown to exhibit potent fungicidal activity against  
813 *Aspergillus fumigatus* (Levitz et al., 1986), *Cryptococcus neoformans* (Alcouloumre et  
814 al., 1993) and *Candida albicans* (Edgerton et al., 2000). The candidacidal activity of  
815 HNP-1 and histatin-5 (found in human saliva) was attributed to their non-lytic release of  
816 mitochondrial ATP and metal chelation (Edgerton et al., 2000; Puri and Edgerton, 2014).  
817 HBD-1, -2 & -3 and LL-37 have also been shown to display antifungal effects against  
818 *Candida spp.* (Durnas et al., 2016; Joly et al., 2004; Krishnakumari et al., 2009; Vylkova  
819 et al., 2007b). Both  $\beta$ -defensins and cathelicidin have been shown to induce yeast cell  
820 death by affecting membrane permeabilisation (den Hertog et al., 2005; Durnas et al.,  
821 2016; Krishnakumari et al., 2009). HBD3 and LL-37 are also known to bind to the *C.*  
822 *albicans* cell wall component,  $\beta$ -1, 3-exoglucanase Xog1p, thereby reducing its infectivity  
823 (Chang et al., 2012). Recent studies have reported the potent candidacidal activity of  
824 RNase-3 and RNase-7 at a low micromolar concentration (Harder and Schroder, 2002;  
825 Koczera et al., 2016; Salazar et al., 2016). They have been shown to exhibit a dual

826 mechanism of action against *C. albicans*, namely membrane destabilization and  
827 perturbation of cellular RNA (Salazar et al., 2016). A recent study has demonstrated the  
828 fungicidal role of psoriasin in protection of psoriasis lesions against fungal infection  
829 (Hein et al., 2015). Notably, a reduced form of psoriasin (a linear peptide) showed  
830 activity against *A. fumigatus* but not *C. albicans*. The mechanism of fungicidal action  
831 was imparted *via* zinc chelation and induction of fungal apoptosis (Hein et al., 2015).  
832 Similar to psoriasin (S100A7), calprotectin (S100A8/A9 dimer) has also been shown to  
833 exhibit antimicrobial activity against a range of pathogens (Clohessy and Golden, 1995;  
834 Damo et al., 2013; Zackular et al., 2015). A recent study has demonstrated the essential  
835 role of calprotectin-derived from neutrophils in *Aspergillus* keratitis using S100A9  
836 knockout mice (Clark et al., 2016). It was also shown that recombinant calprotectin  
837 inhibited hyphal but not conidial growth of *A. fumigatus* (Clark et al., 2016).

838 Similar to gram positive bacteria (Frick et al., 2003; Ouardien et al., 2016; Peschel and  
839 Sahl, 2006), fungi have also developed numerous escape mechanisms against AMPs  
840 (Swidergall and Ernst, 2014). In particular, *C. albicans* has been shown to evade  
841 histatin-5 effect *via* three mechanisms: influx/efflux pumps, activation of stress-response  
842 pathways and secretion of proteases (Swidergall and Ernst, 2014). Firstly, *C. albicans*  
843 allows intracellular uptake of histatin-5 by influx transporters Dur3/Dur31 (Kumar et al.,  
844 2011). Once inside, histatin-5 induces ATP efflux, which triggers the formation of  
845 reactive oxygen species (ROS) and further activation of the HOG (high osmolarity  
846 glycerol) stress-response pathway (Vylkova et al., 2007a). Ultimately, it leads to  
847 extrusion of histatin-5 *via* efflux transporter, Flu1 (Li et al., 2013) and subsequent  
848 inactivation by the secreted aspartate proteases (SAP 9/10) when outside the cell (Puri  
849 and Edgerton, 2014; Swidergall and Ernst, 2014). *C. albicans* has also been shown to  
850 evade other AMPs such as LL-37, HNP-1, histatin-5 and HBD1 *via* secreted  
851 glycosylated exodomain protease, Msb2 (Puri et al., 2015; Swidergall et al., 2013;  
852 Szafranski-Schneider et al., 2012).

853 TLR2, 4 and 9 are known to recognize and become activated in response to the fungal  
854 PAMPs such as mannans,  $\beta$ -glucan, zymosan and fungal DNA (Romani, 2011;  
855 Smeekens et al., 2013). Other innate immune receptors such as nucleotide  
856 oligomerization domain 2 (NOD2), galectin 3, complement receptor 3 (CR3) and dectin-

857 1 have also been shown to play an essential role in eliciting innate immune response  
858 against fungi (Netea et al., 2008; Xu et al., 2012; Zhao et al., 2016a; Zhu et al., 2015).  
859 Recent studies have indicated that a polymorphism in TLR4 is linked to pulmonary  
860 aspergillosis (Bochud et al., 2008) and systemic candidiasis (Van der Graaf et al., 2006),  
861 whereas a point mutation in TLR9 increases the risk to allergic bronchopulmonary  
862 aspergillosis (Smeekens et al., 2013). Single nucleotide polymorphism in TLR1  
863 (Plantinga et al., 2012), TLR2 (Woehrle et al., 2008) and TLR3 (Nahum et al., 2011)  
864 genes have also been reported to increase the risk of candidiasis but these results have  
865 not yet been confirmed in larger cohorts.

866 At the OS, TLR2 and TLR4 have also been implicated in host response against  
867 *Fusarium solani* (Jin et al., 2008; Jin et al., 2007b), *A. fumigatus* (Guo and Wu, 2009; Jie  
868 et al., 2009; Zhao and Wu, 2008) and *C. albicans* (Yuan and Wilhelmus, 2010) infection.  
869 TLR5 has not being directly implicated in fungal keratitis, but its exogenous activation  
870 with flagellin has been shown to be protective against *C. albicans* infection. Notably, this  
871 was mediated through murine cathelicidin and neutrophils (Gao et al., 2011) and in  
872 another study through CXCL10 producing CXCR3 positive natural killer (NK) cells (Liu et  
873 al., 2014). In a *C. albicans* keratitis model, Yuan and co-workers have demonstrated an  
874 increased level of cathelicidin and reduced levels of mBD1 and -2 mRNA (Yuan et al.,  
875 2010). Similarly, reduced level of mBD1 has also been reported during oral candidiasis  
876 (Tomalka et al., 2015). Polymorphism in human DEFB1 gene (HBD1) has been  
877 implicated in susceptibility to oral candidiasis (Jurevic et al., 2003). A recent study has  
878 correlated the role of mBD3 to increased susceptibility of CCAAT/Enhancer binding  
879 protein- $\beta$  (C/EBP $\beta$ ) transcription factor knockout mice to systemic candidiasis (Simpson-  
880 Abelson et al., 2015). Similarly, genetic deficiency of cathelicidin has been shown to  
881 increase the disease severity of *C. albicans* keratitis (Gao et al., 2011). Using human  
882 CECs, increased levels of LL-37, HBD2 and HBD3 have also been reported in response  
883 to heat-killed *C. albicans* (Hua et al., 2014). Collectively, these studies indicate an  
884 important role of AMPs in host immunity against *C. albicans* infection. During *F. solani*  
885 corneal infection, increased expression of murine cathelicidin, murine  $\beta$ -defensin 3  
886 (mBD3) and mBD4 have been reported (Kolar et al., 2013). Using siRNA knockdown

887 and genetic knockout mice, this study has also established that mBD-3 and -4 and  
888 cathelicidin play an important role in defense against *F. solani* (Kolar et al., 2013).

### 889 **3.4. Protozoan infection**

890 Acanthamoeba is a free-living protozoan that is capable of causing vision debilitating  
891 corneal infection. The infective form is the motile trophozoite, and a dormant form exists  
892 as a cyst. Acanthamoeba trophozoites feed on bacteria, fungi and other organism to  
893 replicate and propagate. Trophozoites revert to a cyst form during adverse changes of  
894 pH, temperature, nutrient supply and desiccation. Risk of acanthamoeba keratitis (AK) is  
895 related to contact-lens wear and corneal injury (Otri et al., 2013). A recent genetic study  
896 has reported 19 genotypes (T1 to T19) of acanthamoeba (Corsaro et al., 2015) and T4  
897 genotype has been frequently isolated from AK patients (Derda et al., 2015). In the  
898 developed world, poor hygiene and improper storage of contact-lenses has been  
899 identified as risk factors for contact-lens related AK (Cope et al., 2016; Radford et al.,  
900 2002). Clinically, AK is associated with severe pain, blurred vision, watery eyes,  
901 photophobia, hypopyon, diffuse inflammation and in 50% of patients ring-like corneal  
902 infiltrates are also present (Sun et al., 2006a; Tu et al., 2008). Diagnosis and treatment  
903 of AK is challenging and if not treated early, could lead to vision loss (Dua et al., 2009).  
904 During the early stage, AK is often confused with herpes keratitis and when the patient  
905 presents at an advanced stage it is mistaken for fungal keratitis (Alkharashi et al., 2015).  
906 To compound matters, AK also presents as mixed infection due to its symbiotic  
907 relationship with bacteria and fungi (Iovieno et al., 2010). Currently, there are no  
908 licensed drugs available for treatment of AK. Most often it is aggressively managed by  
909 combination of antibiotics, antifungals and topical biguanides (Azuara-Blanco et al.,  
910 1997; Dart et al., 2009; Otri et al., 2013). Penetrating keratoplasty is required when  
911 medical treatment is unsuccessful or when AK has advanced to the formation of  
912 extensive corneal abscess or perforation (Alkharashi et al., 2015; Azuara-Blanco et al.,  
913 1997).

914 Both innate and adaptive immune systems have been shown to play a pivotal role in  
915 host immunity against acanthamoeba. Macrophages and neutrophils are key players in  
916 AK and are shown to kill both trophozoites and cysts (Hurt et al., 2003b; Stewart et al.,

917 1992). Immunoglobulin A (IgA) induced immune responses have been shown to be  
918 protective against *acanthamoeba*. IgA has been shown to specifically facilitate  
919 complement activation and neutrophil-mediated killing of *acanthamoeba* (Stewart et al.,  
920 1992; Stewart et al., 1994) but there are contrasting reports on the lytic activity of  
921 complement against *acanthamoeba* (Pumidonming et al., 2011; Toney and Marciano-  
922 Cabral, 1998). Mannose-induced cytolytic proteins, *acanthamoeba* plasminogen  
923 activator (aPA) (Alizadeh et al., 2007; Hurt et al., 2003a; Tripathi et al., 2014; Yang et al.,  
924 1997) and sIgA (Said et al., 2004) have also been implicated in AK disease  
925 pathogenesis. *Acanthamoeba* was shown to establish corneal infection *via* a major  
926 virulence protein, mannose-binding protein (MBP), which mediates adhesion to the  
927 corneal surface (Garate et al., 2006b; Panjwani, 2010). Oral immunization of animals  
928 with MBP but not aPA prior to infection was shown to reduce the AK disease severity  
929 (Alizadeh et al., 2007; Garate et al., 2006a; Hurt et al., 2003a). More recently, the role of  
930 TLRs as innate immune sensors of *Acanthamoeba spp.* has been demonstrated. Using  
931 *in-vitro* and *in-vivo* AK models, TLR4 has been shown to play an essential role in OS  
932 immunity against *Acanthamoeba spp.* (Alizadeh et al., 2014; Pan and Wu, 2012; Ren et  
933 al., 2010; Ren and Wu, 2011). Similarly, an increased expression of TLR2 and TLR4  
934 was also reported in *Acanthamoeba* T4 strain infected murine lungs (Derda et al., 2016)  
935 and brain (Wojtkowiak-Giera et al., 2016). Mattana and co-workers have recently  
936 demonstrated that *acanthamoeba* are capable of countering host inflammatory  
937 responses by inducing IL-10 production from effector cells (Mattana et al., 2016).

938 AMPs derived from animal sources such as magainins, gomesin and trialysin have been  
939 shown to be effective against trophozoites and cysts of *Acanthamoeba spp.* (Ondarza,  
940 2007; Sacramento et al., 2009; Schuster and Jacob, 1992). However, *acanthamoeba*  
941 trophozoites have been shown to secrete proteases (Ondarza, 2007) that impair the  
942 host responses (Na et al., 2002) including proteolysis of certain AMPs (Sacramento et  
943 al., 2009). A recent study has demonstrated that activation of protease-activated  
944 receptor-2 (PAR2) on corneal epithelial cells in response to trophozoite protease (aPA)  
945 elicits IL-8 production (Tripathi et al., 2014). It was suggested that inhibition of PAR2  
946 would alleviate the inflammation associated with AK.



947 We have previously demonstrated that HBD9 mRNA was found to be low in AK patient  
948 specimen (Abedin et al., 2008). In subsequent study, we also profiled the expression of  
949 other AMPs such as HBD1 to 3, LL-37 and LEAP1 & 2 in samples obtained from AK  
950 patients. Unlike HBD9, we did not observe any significant changes in levels of tested  
951 AMPs (Mohammed et al., 2011a). We have also investigated the expression of RNase-7  
952 in AK specimen (n=3) and as shown in **figure 1**, we noted 3-fold increase in RNase-7  
953 levels. The unusual trend of AMPs in AK specimen led us to further characterize the  
954 AMPs expression in *in-vitro* CEC cultures exposed to live *A. castellanii* trophozoites (Otri  
955 et al., 2010). HBD3 was increased 10 fold, whereas HBD2, LEAP1 & 2 and RNase-7  
956 were increased up to 4 fold. LL-37 was slightly increased and HBD1 showed a reduced  
957 pattern for all time-points of treatment. HBD9 showed a trend of decreased expression  
958 for first 6 hours and modestly increased to 1.5 times at 9 hours (Otri et al., 2010). Unlike  
959 in samples taken from AK patients, in-vitro infection study showed variable AMPs  
960 expression suggesting that the trophozoite-induced endogenous factors present in the  
961 milieu of diseased cornea would have dampened the AMPs production *n-vivo*. Although  
962 we were first to provide the profile of known human AMPs in response to acanthamoeba  
963 infection, it is still unknown whether these possess amoebicidal activity. Studies to  
964 improve our understanding of the role of AMPs against acanthamoeba such as to  
965 establish the role of TLRs or PARs in induction/reduction of AMPs in response to  
966 acanthamoeba infection and to elucidate the amoebicidal activity of human AMPs in  
967 combination with other potent AMPs or protease inhibitors are warranted

968 Trypanosoma infections are commonly endemic in Central and South America and  
969 globally affect about 25 million people (Soares-Silva et al., 2016; Tanowitz et al., 1992).  
970 *Trypanosoma cruzi* is responsible for causing Chagas' disease. It occurs when an  
971 infected reduviid bug (*Triatoma infestans*) bites humans. The parasite is transmitted to  
972 the ocular surface either by systemic dissemination of trypomastigotes or by direct  
973 inoculation when the insect bites near the orbit (Klotz et al., 2000). *Trypanosoma cruzi*  
974 derived glycosylphosphatidylinositol (GPI) anchor protein is specifically recognized by  
975 TLR2 on APCs leading to activation of adaptive immunity against the parasite (Gil-  
976 Jaramillo et al., 2016; Tarleton, 2007). In addition, TLR4 and TLR9 have also been  
977 implicated in recognition of *T. cruzi* (Bafica et al., 2006; Kayama et al., 2009; Koga et al.,

978 2006). Mice deficient in UNC93B1, an essential protein that is required for TLR3, TLR7  
979 and TLR9 activation, are highly susceptible to infection with *T. cruzi* (Caetano et al.,  
980 2011; Fukui et al., 2009). These studies indicate the critical role of TLRs in host  
981 resistance to *T. cruzi* infections. Human  $\alpha$ -defensins such as HD-1 (Madison et al.,  
982 2007) and HD-5 (Tanaka et al., 2010), equine cathelicidin (eCATH1) (Cauchard et al.,  
983 2016) and bovine AMP (BMAP18) (Haines et al., 2009) were shown to exhibit strong  
984 anti-protozoan activity against *Trypanosoma spp.* Furthermore, a recent study has  
985 demonstrated an increased expression of HD1 from colonic epithelial cells in response  
986 to *T. cruzi* infection (Johnson et al., 2013). The anti-parasitic activity of AMPs against  
987 *Trypanosoma brucei* (McGwire et al., 2003) *Cryptosporidium parvum* (Zaalouk et al.,  
988 2004) *Toxoplasma gondii* (Morampudi et al., 2011), *Leishmania major* (Dabirian et al.,  
989 2013) and microsporidia species (Leitch and Ceballos, 2009) is well known. However,  
990 we did not find any reports on the effect of AMPs on *T. cruzi*. The effect of *T. cruzi*  
991 infection on OS epithelial cells and AMP production also remains to be elucidated. One  
992 reason for lack of AMPs study would be that trypanosoma ocular infections are  
993 uncommon in the developed world and are only endemic in Latin America (Requena-  
994 Mendez et al., 2016; Tanowitz et al., 1992).

#### 995 **4. Exogenous induction of AMPs for OS disease treatment**

996 Rapid emergence of antibiotic-resistant pathogens and steady decline in development of  
997 newer antibiotic agents has contributed towards the rise in number of deaths due to  
998 infectious diseases globally (Mortality and Causes of Death, 2015, 2016; Spellberg et al.,  
999 2008). Alternative approaches such as direct application of AMPs singly or in  
1000 combination and exogenous induction of host AMPs to treat infectious diseases need to  
1001 be pursued. As mentioned above, AMPs are produced constitutively at the mucosal  
1002 surfaces or modulated in response to microbial infection. Numerous studies have  
1003 demonstrated the potency of AMPs against a range of microbes. AMPs have also been  
1004 shown to enhance the efficacy of conventional antibiotics against *P. aeruginosa* biofilms  
1005 (Dosler and Karaaslan, 2014) and MRSA biofilms (Mataraci and Dosler, 2012). TLR  
1006 activation in response to microbial infection induces AMP expression that enables  
1007 clearance of pathogens (Ganz, 2003; Stolzenberg et al., 1997; Zasloff, 2002) and  
1008 accelerates wound healing (Mangoni et al., 2016). Exogenous activation of TLR5 with

1009 flagellin has been shown to protective against *P. aeruginosa* (Kumar et al., 2007b) and  
1010 *C. albicans* keratitis (Gao et al., 2011; Liu et al., 2014) and also enhanced wound  
1011 healing (Gao et al., 2010) by inducing LL-37, HBD2 and CXCL10 levels.

1012 Exogenous induction of AMPs during disease conditions could be beneficial to host  
1013 tissue. This might reduce the unwanted inflammation from proinflammatory cytokines  
1014 that are produced particularly in response to TLR activation. One of the first non-TLR  
1015 exogenous inducer of AMPs identified was L-isoleucine, an essential amino acid (Figure  
1016 4), which has been shown to induce  $\beta$ -defensins in bovine kidney epithelial cells  
1017 (Fehlbaum et al., 2000). L-isoleucine induced mBD3 & -4 has been shown to be  
1018 protective in a late-stage multi-drug resistant tuberculosis mouse model (Rivas-Santiago  
1019 et al., 2011). L-isoleucine was shown to be non-toxic and also increased HBD2 in lung  
1020 epithelial cells (Rivas-Santiago et al., 2011). Another exogenous inducer is sodium  
1021 butyrate (BA), a short chain fatty acid, which was shown to induce LL-37 expression and  
1022 provided protection against shigellosis (Raqib et al., 2006; Schaubert et al., 2003).  
1023 Similarly, phenylbutyrate (PBA) alone and in synergy with vitamin D3 was also shown to  
1024 induce LL-37 expression in a variety of human cell lines (Figure 4) (Steinmann et al.,  
1025 2009). Jiang and co-workers have demonstrated that hexanoate, heptanoate and  
1026 valerate are more potent than butyrate in promoting LL-37 expression (Jiang et al.,  
1027 2013). However, the molecular mechanisms associated with LL-37 induction are unclear  
1028 and possibly it could be a result of *de novo* protein synthesis through unknown factors.  
1029 Entinostat, a histone deacetylase inhibitor was shown to induce LL-37 and HBD1 but not  
1030 HBD2 in HT-29 cells (Miraglia et al., 2016; Ottosson et al., 2016). It was also  
1031 demonstrated that STAT3 (signal transducer and activator of transcription-3) and HIF-1 $\alpha$   
1032 (hypoxia-inducible factor-1 $\alpha$ ) are essential for LL-37 induction by Entinostat (Figure 4)  
1033 (Miraglia et al., 2016). Fan and co-workers have recently demonstrated that commensal  
1034 anaerobic bacteria of gut induce LL-37 *via* activation of HIF-1 $\alpha$  and provide protection  
1035 against *C. albicans* colonization (Fan et al., 2015). Furthermore, HIF-1 targeting drugs  
1036 are in clinical trials for anemia and other infectious diseases (Bhandari and Nizet, 2014).

1037 Vasoactive intestinal peptide (VIP) is an essential neuropeptide that plays a bidirectional  
1038 role in communication between immune and neuronal systems (Delgado et al., 2004). It  
1039 is secreted by neurons and activates immune cells and modulates both innate and

1040 adaptive immunity (Delgado et al., 2004). During *P. aeruginosa* keratitis, VIP is elevated  
1041 and promotes wound healing by reducing proinflammatory cytokines (Szliter et al., 2007).  
1042 This protective effect of VIP was attributed to the enhanced production of growth factors,  
1043 which in turn are shown to regulate cytokine and mBD2 & -3 production (**Figure 4**)  
1044 (Jiang et al., 2011). VIP has also been shown to protect against *P. aeruginosa* by  
1045 modulating the adhesion molecules (Berger et al., 2010) and TLRs expression (Jiang et  
1046 al., 2012).

1047 Vitamin D3 plays an important role in calcium homeostasis (Holick et al., 1972; Raisz et  
1048 al., 1972). It is mainly obtained from dietary source or from the action of UV-B light on  
1049 skin (Wallis and Zumla, 2016). Vitamin D3 was also shown to modulate the immune  
1050 system (Lin and White, 2004) and induce the expression of TLR4 co-receptor CD14  
1051 (Hmama et al., 1999). It was demonstrated that vitamin D3 could induce LL-37 and  
1052 HBD2 both directly and in synergy with TLR4 in a variety of cell types (**Figure 4**)  
1053 (Gombart et al., 2005; Wang et al., 2004). Vitamin D3 was also shown to provide  
1054 protection against *P. aeruginosa* (Wang et al., 2004) and *M. tuberculosis* (Liu et al.,  
1055 2006) through induced secretion of AMPs. TLR2-induced LL-37 and HBD-2 expression  
1056 in monocytes was shown to be dependent on IL-1 $\beta$  and vitamin-D receptor (VDR)  
1057 pathway (Liu et al., 2009). In human keratinocytes, LL-37 induction by vitamin D was  
1058 shown to be dependent on retinoid X receptor  $\alpha$  (RXR $\alpha$ ) rather than VDR pathway  
1059 (Svensson et al., 2016b). Th-1 and Th-2 cytokines on the other hand were shown to  
1060 have differential effect on TLR2-mediated vitamin D metabolism and subsequently on  
1061 AMP secretion from human monocytes (Edfeldt et al., 2010). Significant levels of active  
1062 vitamin D3 metabolite, 1 $\alpha$ -hydroxylase enzyme and VDR expression have been  
1063 demonstrated in OS epithelium (Yin et al., 2011) and various intraocular epithelial cells  
1064 (Alsalem et al., 2014). It was also reported that exogenous application of vitamin D3  
1065 could enhance the barrier function of OS and intraocular epithelium (Alsalem et al.,  
1066 2014; Yin et al., 2011). Subsequent studies have demonstrated that deficiency of VDR  
1067 could modulate the function of epithelial junction proteins (Lu and Watsky, 2014) and  
1068 reduce the wound healing ability of corneal epithelium (Elizondo et al., 2014).  
1069 Immunomodulatory and antimicrobial function of vitamin D3 too has been demonstrated  
1070 (Reins et al., 2015; Reins et al., 2016; Svensson et al., 2016a; Svensson et al., 2016b).

1071 Vitamin D3 in synergy with TLR3 and other viral RNA sensors was shown to induce LL-  
1072 37 (Figure 4) and reduce proinflammatory cytokines and MMP9 in corneal epithelial cells  
1073 (Reins et al., 2015; Reins et al., 2016).

## 1074 **5. Future directions**

1075 Microbial keratitis poses several challenges. It is the commonest cause of corneal  
1076 blindness in the world; the efficacy of existing antibiotics is rapidly declining due to  
1077 evolving microbial resistance; the pace of development of new antibiotics is very slow  
1078 and those that are available are too expensive and inaccessible to the vast majority of  
1079 the world's population who need them most. Often keratitis is polymicrobial with  
1080 bacterial infections combined with fungal, parasitic or both. Licensed antibiotics for the  
1081 latter two are few and far between. By virtue of their wide range of activity against  
1082 viruses, bacteria, fungi and parasites; their low propensity to induce microbial resistance  
1083 and the limited ability of microbes to counter their effect, AMPs have the potential to  
1084 provide answers to most, if not all, of the challenges posed.

1085 A lot more however needs to be done before this potential can be realized. AMPs that  
1086 are specific to different groups of organisms have to be clearly defined and the ideal  
1087 concentrations of these for maximum efficacy will need to be worked out. The tendency  
1088 of AMPs to induce an inflammatory response could be countered by exploiting the  
1089 synergistic action of multiple AMPs in low concentrations. This will need to be  
1090 systematically worked out. Strategies to promote endogenous secretion of AMPs need  
1091 to be explored as this approach holds promise. As is often the case, progress in one  
1092 direction uncovers other challenges. The non-microbicidal effects of AMPs could be a  
1093 friend or foe. Suppression of inflammation with microbial killing can be an advantage but  
1094 other consequences could lead to undesirable side effects. It is likely that in the coming  
1095 years answers to these questions will be found as research in the field progresses. Our  
1096 group is investigating the synergy between AMPs to determine the optimal  
1097 concentrations and combinations that are effective against pathogenic isolates from  
1098 clinically infected patients.

1099

1100 Use of AMPs in combination with antibiotics also holds promise in reducing the dose  
1101 and strength of the antibiotic required to treat the infection. This has considerable  
1102 implications for reducing toxicity of antibiotics, which at present can itself cause damage  
1103 to the ocular surface and cause more inflammation and scarring despite combating the  
1104 infection.

1105 Synthetic peptides with antimicrobial effects have been developed (Brown et al., 2014;  
1106 Silva et al., 2016). This is one direction in which research is very likely to accelerate.  
1107 The ability to tailor-make peptides will enable blending of different synthetic peptides in  
1108 one dispensation, to combat polymicrobial corneal infections. This approach will also  
1109 open the door to utilize and adapt AMP structures from a wide range of species, for  
1110 example protegrins (Brown et al., 2014) and clavanins (Silva et al., 2016) to treat human  
1111 infections.

1112 With regard to the burden of blindness secondary to cornea infections, another serious  
1113 limitation is the paucity, in relation to demand, of donor human corneas to perform vision  
1114 restoring corneal transplant surgery. With improving ability to employ AMPs as  
1115 alternatives to antibiotics with improved killing efficacy and immunomodulatory  
1116 properties, earlier control of infection thereby limiting scarring, can have a huge impact  
1117 on eye banks and the need to develop engineered corneas, which is providing a  
1118 challenge of its own.

1119

## 1120 **6. References**

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- 2347
- 2348

2349 **Legends for Figures**

2350 **Figure 1: RNase-7 gene expression in healthy control and disease groups.** Quantitative  
2351 mRNA levels of RNase-7 in impression cytology specimens collected from healthy control  
2352 subjects and patients with bacterial, viral and acanthamoeba (n=3 for each). One-way ANOVA  
2353 was applied for statistical analysis of mRNA levels between control and disease groups. Data  
2354 are presented as means  $\pm$  standard error of mean (SEM).

2355

2356 **Figure 2: Mechanisms involved in AMPs production in corneal epithelial cells in response**  
2357 **to bacterial infection.** Pathogen-associated molecular pattern (PAMPs) are recognized by toll-  
2358 like receptors (TLRs) and trigger multiple intracellular signalling pathways resulting in production  
2359 of antimicrobial peptides (AMPs). TLR2/1 and TLR2/6 are shown to recognize diacylated (DAL)  
2360 and triacylated (TAL) lipopeptides respectively. Lipopolysaccharide (LPS) on bacterial surface is  
2361 recognized by LPS binding protein (LBP) and presented to CD14 (a glycosylphosphatidylinositol  
2362 (GPI)-anchored protein; also present in a soluble form in tear fluid). CD14 facilitates the transfer  
2363 of LPS to myeloid differentiation-2 (MD-2)/TLR4 complex and modulates LPS recognition. In  
2364 naïve OS cells, TLR4 is present intracellularly but upon infection or inflammation it is transported  
2365 to the cell surface (Lang et al., 2011; Lee et al., 2012). Flagellin (Flag), a flagellar protein of  
2366 gram-negative bacteria is recognized by TLR5 on cell-surface. TLR9 present on endosomes  
2367 recognizes CpG containing bacterial DNA, however, its role in production of AMPs and  
2368 associated signalling mechanisms in corneal epithelial cells is unknown. Endogenous IL-1 $\beta$   
2369 released in response to bacterial infection is recognized by interleukin-1 receptor (IL-1R) on cell  
2370 surface. Upon ligand binding, Toll/IL-1-receptor (TIR) domain of both TLR and IL-1R triggers  
2371 recruitment of the adaptor molecule myeloid differentiation primary response protein 88 (MyD88).  
2372 TLR4 activates both MyD88 and TIR-domain-containing adaptor protein inducing interferon- $\beta$   
2373 (TRIF). Both MyD88 and TRIF initiate phosphorylation and ubiquitylation of several other

2374 molecules (not shown) leading to activation of transforming growth-factor- $\beta$  activated kinase-1  
2375 (TAK1). In the cytosol, TAK-1 triggers activation of mitogen-activated protein kinases (MAPKs)  
2376 and nuclear-factor- $\kappa$ -B (NF- $\kappa$ B) pathways. This allows nuclear translocation of NF- $\kappa$ B and  
2377 activator protein 1 (AP-1; complex of Jun and Fos protein) transcription factors and modulates  
2378 expression of target AMPs. Dexamethasone (Dex), an anti-inflammatory steroid binds to  
2379 glucocorticoid receptors (GR) in cytosol and leads to production of MAPK phosphatase 1  
2380 (MKP1), which in turn inhibits MAPKs and reduces production of AMPs downstream of TLRs.

2381

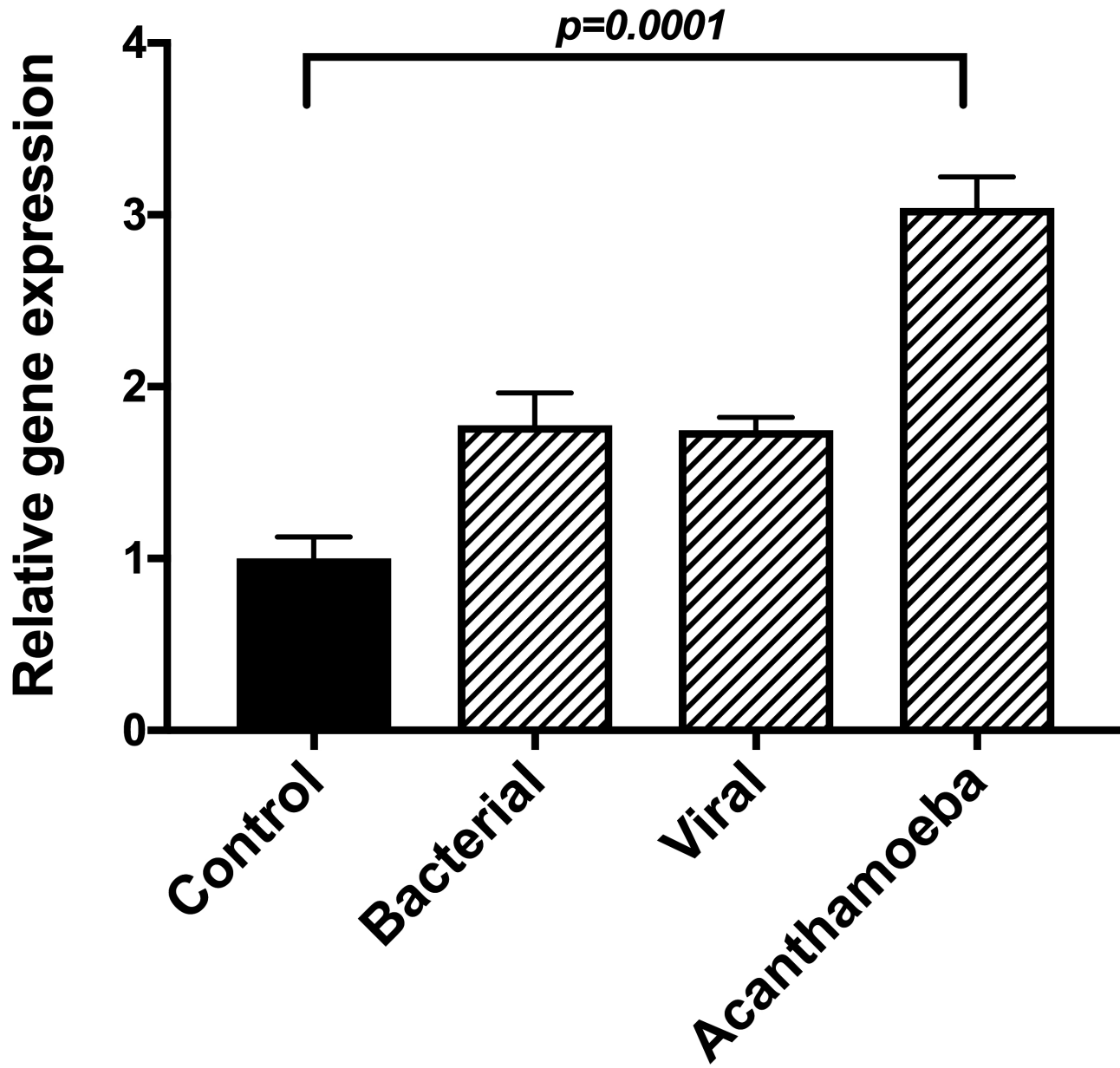
2382 **Figure 3: Role of TLRs in RNase-7 expression in human corneal epithelial cells.** The cells  
2383 were incubated with following TLR ligands: Pam3CSK4, Poly I:C, LPS, Flagellin, R848 and CpG  
2384 dinucleotide for indicated time-points (in hours). Cell lysate was prepared for RNase-7 analysis  
2385 by quantitative polymerase chain reaction (qPCR) using taqman probes on Mx3005p qPCR  
2386 machine (Agilent technologies). RNase-7 mRNA expression was normalized to the  
2387 housekeeping gene (18s rRNA) and relative gene expression was calculated by delta-delta Ct  
2388 method. Data represents means  $\pm$  standard error of mean (SEM) of triplicate samples repeated  
2389 twice. Statistical analysis was performed with One-way ANOVA and Bonferroni posthoc test on  
2390 GraphPad prism v7.0a. \* denotes  $p < 0.05$  and \*\* denotes  $p < 0.001$ .

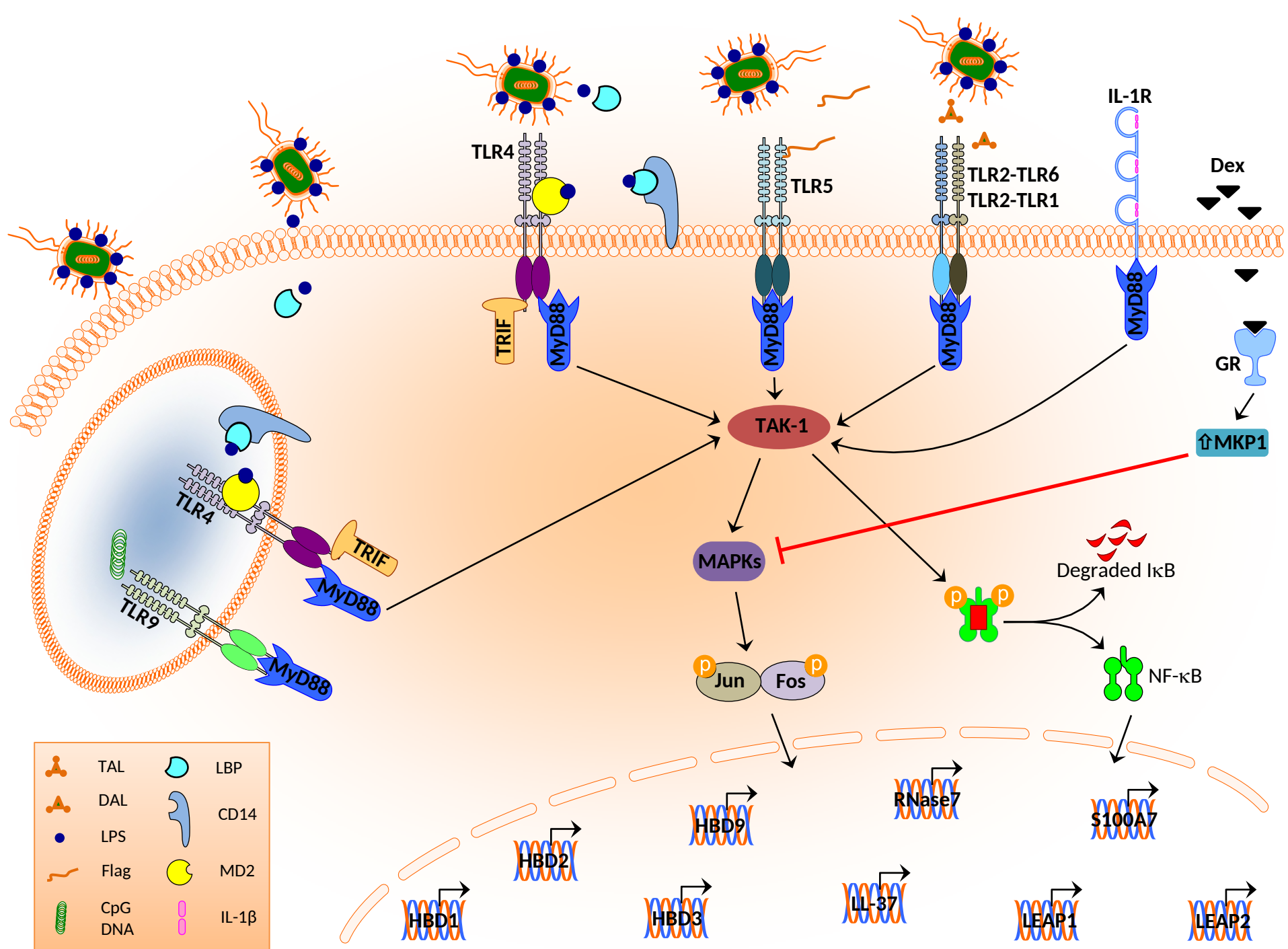
2391

2392 **Figure 4: Schematic diagram representing the exogenous induction of AMPs.** Vasoactive  
2393 intestinal peptide (VIP) and L-isoleucine (L-iso) were shown to induce the expression of murine  
2394  $\beta$ -defensins and protect against *P. aeruginosa* keratitis and *M. tuberculosis* lung infection.  
2395 Butyrate, phenyl butyrate and Entinostat are shown to induce LL-37 in a variety of cell types.  
2396 Entinostat was shown to modulate LL-37 expression *via* STAT3-HIF1 $\alpha$  pathway. Of all  
2397 exogenous inducers of AMPs, Vitamin D3 has been studied the most and was shown to induce

2398 (via vitamin-D receptor (VDR) and retinoid X receptor- $\alpha$  (RXR $\alpha$ ) both LL-37 and HBD2 in  
2399 synergy with TLR2, TLR3 and TLR4; RIG1/MDA5 and butyrate.







	TAL		LBP
	DAL		CD14
	LPS		MD2
	Flag		IL-1β
	CpG DNA		

