# SEG-SSC: A Framework based on Synthetic Examples Generation for Self-Labeled Semi-Supervised Classification

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Abstract-Self-labeled techniques are semi-supervised classification methods that address the shortage of labeled examples via a self-learning process based on supervised models. They progressively classify unlabeled data and use them to modify the hypothesis learned from labeled samples. Most relevant proposals are currently inspired by boosting schemes to iteratively enlarge the labeled set. Despite their effectiveness, these methods are constrained by the number of labeled examples and their distribution, which in many cases is sparse and scattered. The aim of this work is to design a framework, named SEG-SSC, to improve the classification performance of any given self-labeled method by using synthetic labeled data. These are generated via an oversampling technique and a positioning adjustment model that use both labeled and unlabeled examples as reference. Next, these examples are incorporated in the main stages of the self-labeling process. The principal aspects of the proposed framework are: (a) introducing diversity to the multiple classifiers used by using more (new) labeled data, (b) fulfilling labeled data distribution with the aid of unlabeled data, and (c) being applicable to any kind of self-labeled method. In our empirical studies, we have applied this scheme to four recent self-labeled methods, testing their capabilities with a large number of data sets. We show that this framework significantly improves the classification capabilities of self-labeled techniques.

*Index Terms*—Self-Labeled methods, co-training, synthetic examples, semi-supervised classification.

### I. INTRODUCTION

**H** AVING a multitude of unlabeled data and few labeled ones occurs quite often in many practical applications such as medical diagnosis, spam filtering, bioinformatics, etc. In this scenario, learning appropriate hypotheses with traditional supervised classification methods [1] is not straightforward because they only can exploit labeled data. Nevertheless, Semi-Supervised Classification (SSC) [2], [3], [4] approaches also utilize unlabeled data to improve the predictive performance, modifying the learned hypothesis obtained from labeled examples alone.

With SSC we may pursue two different objectives: transductive and inductive classification [5]. The former is devoted to predicting the correct labels of a set of unlabeled examples that is also used during the training phase. The latter refers to the problem of predicting unseen data by learning from labeled and unlabeled data as training examples. In this work, we will analyze both settings.

Existing SSC algorithms are usually classified depending on the conjectures they make about the relation of labeled and unlabeled data distributions. Broadly speaking, they are based on the manifold and/or cluster assumption. The manifold assumption is satisfied if data lie approximately on a manifold of lower dimensionality than the input space [6]. The cluster assumption states that similar examples should have the same label. Graph-based models [7] are the most common approaches to implementing the manifold assumption [8]. As regards examples of models based on the cluster assumption, we can find generative models [9] or semi-supervised support vector machines [10]. Recent studies have addressed multiple assumptions in one model [11], [5], [12].

Self-labeled techniques are SSC methods that do not make any specific suppositions about the input data [13]. These models use unlabeled data within a supervised framework via a self-training process. First attempts correspond to the self-training algorithm [14] that iteratively enlarges the labeled training set by adding the most confident predictions of the supervised classifier used. The standard co-training [15] methodology splits the feature space into two different conditionally independent views. Then, it trains one classifier in each specific view, and the classifiers teach each other the most confidently predicted examples. Advanced approaches do not require explicit feature splits or the iterative mutualteaching procedure imposed by co-training, as they are commonly based on disagreement-based classifiers [16], [17], [18]. These models have been successfully applied to many real applications such as image classification [19], shadow detection [20], computer-aided diagnosis [21], etc.

Self-labeled techniques are limited by the number of labeled points and their distribution to identifying reliable unlabeled examples. This problem is more pronounced when the labeled ratio is greatly reduced and labeled examples do not minimally represent the domain. Moreover, most of the advanced models use some diversity mechanisms, such as bootstrapping [22], to provide differences between the hypotheses learned with the multiple classifiers. However, these mechanisms may provide a similar performance to classical self-training or co-training approaches if the number of labeled data is insufficient to achieve different learned hypotheses.

The aim of this work is to alleviate these weaknesses by using new synthetic labeled examples to introduce diversity

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to multiple classifier approaches and fulfill the labeled data distribution. A complete motivation for the use of synthetic labeled examples is discussed in Section III-A.

We propose a framework applicable to any self-labeled method that incorporates synthetic examples in the selflearning process. We will denote this framework "Synthetic Examples Generation for Self-labeled Semi-supervised Classification" (SEG-SSC). It is composed of two main parts: generation and incorporation.

- The generation process consists of an oversampling technique and a later adjustment of the positioning of the examples. It is initially inspired by the SMOTE algorithm [23] to generate new synthetic examples, for all the classes, based on both the small labeled set and the unlabeled data. Then, this process is refined using a positioning adjustment of prototypes model [24] based on a differential evolution algorithm [25].
- New labeled points are then included in two of the main steps of a self-labeling method: the initialization phase and the update of the labeled training set, so that it introduces new examples in a progressive manner during the self-labeling process.

An extensive experimental analysis is carried out to check the performance of the proposed framework. We apply the SEG-SSC scheme to four recent self-labeled techniques that have different characteristics, comparing the performance obtained with the original proposals. We conduct experiments over 55 standard classification data sets extracted from the KEEL and UCI repositories [26], [27] and 11 high dimensional data sets from the book by Chapelle et al. [2]. The results will be contrasted with nonparametric statistical tests [28], [29].

The remainder of this paper is organized as follows. Section II defines the SSC problem and sums up the classical and current self-labeled approaches. Then, Section III presents the proposed framework, explaining its motivation and the details of its implementation. Section IV describes the experimental setup and discusses the results obtained. Finally, Section V summarizes the conclusions drawn in this work.

#### II. SELF-LABELED SEMI-SUPERVISED CLASSIFICATION

This section provides the definition of the SSC problem (Section II-A) and briefly describes the most relevant selflabeled approaches proposed in the literature (Section II-B).

#### A. Semi-supervised classification

A formal description of the SSC problem is as follows: Let  $\mathbf{x}_p$  be an example where  $\mathbf{x}_p = (\mathbf{x}_{p1}, \mathbf{x}_{p2}, ..., \mathbf{x}_{pD}, \omega)$ , with  $\mathbf{x}_p$  belonging to a class  $\omega$  and a *D*-dimensional space in which  $\mathbf{x}_{pi}$  is the value of the *i*-th feature of the *p*-th sample. Then, let us assume that there is a labeled set *L* which consists of *n* instances  $\mathbf{x}_p$  with  $\omega$  known and an unlabeled set *U* which consists of *m* instances  $\mathbf{x}_q$  with  $\omega$  unknown, let m > n. The  $L \cup U$  set forms the training set *TR*. Moreover, there is a test set *TS* composed of *t* unseen instances  $\mathbf{x}_r$  with  $\omega$  unknown, which has not been used at the training stage.

The aim of SSC is to obtain a robust learned hypothesis using TR instead of L alone. It can be applied in two slightly

different settings. On the one hand, transductive learning is devoted to classify all the *m* instances  $\mathbf{x}_q$  of *U* with their correct class. The class assignation should represent the distribution of the classes efficiently, based on the input distribution of *L* and *U*. On the other hand, the inductive learning phase consists of correctly classifying the instances of *TS* based on the previously learned hypothesis.

#### B. Self-labeled techniques: previous work

Self-labeled techniques form an important family of methods in SSC [3]. They are not intrinsically geared to learning in the presence of both labeled and unlabeled data, but they use unlabeled points within a supervised learning paradigm. These techniques aim to obtain one (or several) enlarged labeled set/s, based on the most reliable predictions. Thus, these models do not make any specific assumptions about the input data, but the models accept that their own predictions tend to be correct. Some authors state that self-labeling is likely to be the case when the classes form well-separated clusters [3] (cluster assumption).

The major benefits of this family of methods are: simplicity and being a wrapper methodology. The former is related to the facility of implementation and applicability. The latter means that any kind of classifier can be used regardless of its complexity, which is very important depending on the problem tackled. As caveats, the addition of wrongly labeled examples during the self-labeling process can lead to an even worse performance. Several mechanisms have been proposed to reduce this problem [30].

A preeminent work with this philosophy is the self-training paradigm designed by Yarowsky [14]. In self-training, a supervised classifier is initially trained with the L set. Then it is retrained with its own most confident predictions, enlarging its labeled training set. Thus, it is defined as a wrapper method for SSC. This idea was later extended by Blum and Mitchell [15] with the method known as co-training. This consists of two classifiers that are trained on two sufficient and redundant sets of attributes. This requirement implies that each subset of features should be able to perfectly define the frontiers between classes. Then, the method follows a mutual teaching procedure that works as follows: each classifier labels the most confidently predicted examples from its point of view and they are added to the L set of the other classifier. It is also known that usefulness is constrained by the imposed requirement [31], which is not satisfied in many real applications. Nevertheless, this method has become an example for recent models thanks to the idea of using the agreement (or disagreement) of multiple classifiers and the mutual teaching approach. A good study of when co-training works can be found in [32].

Due to the success of co-training and its relatively limited application, many works have proposed the improvement of standard co-training by eliminating the established conditions. In [33], the authors proposed a multi-learning approach, so that two different supervised learning algorithms were used without splitting the feature space. They showed that this mechanism divides the instance space into a set of equivalence classes. Later, the same authors proposed a faster and more precise alternative, named Democractic co-learning (Democratic-Co) [34], which is also based on multi-learning. As an alternative, which requires neither sufficient and redundant views nor several supervised learning algorithms, Zhou and Li [35] presented the Tri-Training algorithm, which attempts to determine the most reliable unlabeled data as the agreement of three classifiers (same learning algorithm). Then, they proposed the Co-Forest algorithm [21] as a similar approach that uses Random Forest [36]. A further similar approach is Co-Bagging [37], [38] where confidence is estimated from the local accuracy of committee members. Other recent self-labeled approaches are [39], [40], [41], [42], [43].

In summary, all of these recent schemes work on the hypothesis that several weak classifiers, learned with a small number of instances, can produce better generalizations than only one weak classifier. These methods are also known as disagreement-based models that are motivated, in part, by the empirical success of ensemble learning. The term disagreement-based was recently coined by Zhou and Li [17].

## III. SYNTHETIC EXAMPLES GENERATION FOR SELF-LABELED METHODS.

In this section we present the SEG-SSC framework. Firstly, Section III-A enumerates the arguments that justify our proposal. Secondly, Section III-B explains how to generate useful synthetic examples in a semi-supervised scenario. Finally, Section III-C describes the SEG-SSC framework, emphasizing when synthetic data should be used.

#### A. Motivation: Why add synthetic examples?

The most important weakness of self-labeling models can occur when erroneous labeled examples are added to the labeled training set. This will incorrectly modify the learned model, which may lead to the addition of wrong examples in successive iterations. Why does this situation occur?

- There may be outliers in the original unlabeled set. This problem can be avoided if they are detected and not included in the labeled training set. For this problem, there are several solutions in the literature such as edition schemes [30], [44], [45] or some other mechanisms [33]. Recent models, such as Tri-Training [35] or Co-Forest [21], establish some criteria to compensate for the negative influence of noise by augmenting the labeled training set with sufficient new labeled data.
- Independently of the number of unlabeled examples, they can be limited by the distribution of labeled input data. If the available labeled instances do not represent a reliable domain of the problem, it may complicate the estimation of confidence predictions because the supervised classifiers used do not have enough information to establish coherent hypotheses. Furthermore, it is even more difficult if these labeled points are very close to the decision boundaries. Figure 1 shows an example with the appendicitis problem [27]. This picture presents a two-dimensional projection (obtained with PCA [46]) of the problem and a partition with 10 % of labeled examples. As we can observe, not only is the problem not well

represented by labeled points, it also shows that some of the nearest unlabeled points to the two labeled examples of class 1 (blue circles) belong to class 0 (red crosses). This fact can affect confidence of a self-labeled method estimated with the base classifier.

A greatly reduced labeled ratio may produce a lack of • diversity among self-labeling methods with more than one classifier. As we have established above, multiple classifier approaches work as a combination of several weak classifiers. However, if there are only a few labeled data it is very difficult to obtain different hypotheses, and therefore, the classifiers are identical. For example, the Tri-Training algorithm is based on a bootstrapping approach [22]. This re-sampling technique creates new labeled sets for each classifier by modifying the original L. In general, this operation yields different labeled sets to the original, but it is not significant in the case of small labeled data sets and the existence of outliers in the sample. As a consequence, it could lead to biased examples which will not accurately represent the domain of the problem. Although multi-learning approaches attempt to achieve diversity by using different kinds of learning models, a reduced number of instances usually damages their performance because the models are too weak.

The first limitation has already been addressed in the literature with different mechanisms [47]. However, the last two issues are currently open problems.

In order to ease both situations, mainly induced by the shortage of labeled points, we introduce new labeled data into the self-labeling process. To do this, we rely on the success of oversampling approaches in imbalanced domains [48], [49], [50], [51], but with the difference that we deal with all the classes of the problem.

Nevertheless, the use of synthetic data for self-labeling methods is not straightforward and must be carefully performed. The aim of using an oversampling method is to effectively reinforce the decision regions between classes. To do so, we will be aided by the distribution of unlabeled data in conjunction with the labeled ones, because if we focus only on labeled examples, it may lead to generate noisy instances when the second issue explained above happens. The effectiveness of this idea will be empirically checked in Section IV.

#### B. Generation of synthetic examples

To generate new labeled data in an SSC context we perform certain operations on the available data, so that we use both labeled and unlabeled sets. Algorithm 1 outlines the pseudocode of the oversampling technique proposed. This method is initially based on the SMOTE algorithm proposed in [23] which was designed for imbalanced domains [52] and is limited to oversampling the minority class. In our proposal, we use the underlying idea of SMOTE as an initialization procedure, to generate new examples of all the classes. Furthermore, the resulting synthetic set of prototypes is then readjusted with a positioning adjustment of prototypes scheme [24]. Therefore, this mechanism is divided into two phases: initialization and adjustment of prototypes.



Fig. 1. Two-dimensional projections of Appendicitis. Red circles, class 0. Blue squares, class 1. White triangles, unlabeled.



Algorithm 1: Generation of synthetic examples

*Initialization:* We start from the L and U sets as well as an user-defined oversampling factor f and a number k of nearest neighbors. We will generate a set of synthetic prototypes OverSampled that is initialized as empty (Instruction 3).

The ratio of synthetic examples to be generated is computed according to f and the proportion of labeled examples in the

training set TR (See instructions 4 and 5). Furthermore, to prevent the influence of the order of labeled and unlabeled instances when computing distances, the TR set is randomized (Instruction 6).

Next, the algorithm enters a loop (Instructions 7-25) to proportionally oversample each class, using its own labeled samples as the base. Thus, we extract from L a set of examples *PerClass* that belong to the current class (Instruction 8). Each one will serve as the base prototype and will be oversampled as many times as the previous computed ratio indicates (Instructions 11-23).

New synthetic examples are located along the line segments joining any of the k nearest neighbors (randomly chosen). To face the SSC scenario, the nearest neighbors are not only being looked for in the L set, but are searched for in the TR set (Instruction 12). In this way, we try to avoid the negative effects of the second weakness of self-labeled techniques explained before. Following the idea of SMOTE, synthetic examples are initially generated as the difference between an existing sample and one of its nearest neighbors (Instruction 17). Then, this difference is scaled by a random number in the range [0,1], and is added to the base example (Instruction 18 and 19). It is noteworthy that the class value of the generated example is the same as the considered base sample. The generated prototypes are iteratively stored in *OverSampled* until the stopping condition is satisfied.

Adjustment of prototypes: Can we use this process to improve the distribution of labeled input data? The answer depends on the specific problem and partition used. Although the generation algorithm provides more labeled examples that may be very useful in many domains, they are not totally confident. It may suffer from the same problem as the selflabeling approaches and their confidence predictions. It is well-known that SMOTE can generate noisy data [53] which are usually eliminated with edition schemes. Because we are not interested in removing synthetic data, we will apply an evolutionary adjustment process to the *OverSampled* set (Instruction 26) based on the differential evolution algorithm used in [54].

Differential evolution [25] follows the general procedure of an evolutionary algorithm [55]. It starts with a set of candidate solutions, the so-called individuals, which evolve during a determined number of generations through different operators: mutation, crossover and selection; aiming to minimize/maximize a fitness function. For our purposes, this algorithm is adapted in the following way:

- Each individual encodes a single prototype. The process consists of the optimization of the location of all the individuals of the population.
- Mutation and crossover operators guide the optimization of the positioning of the prototypes. These operators only produce modifications to the attributes of the prototypes of the *OverSampled* set, keeping the class value unchangeable throughout the evolutionary cycle. We will focus on the *DE/CurrentToRand/1* strategy to generate new prototypes [56].
- Then, we obtain a new set of synthetic prototypes that should be evaluated to decide whether it is better or not than the current set. To make this decision, we use the most reliable data we have, that is, the labeled data L. The generated data should be able to correctly classify L. To check this, the nearest neighbor rule is used as the base classifier to obtain the corresponding fitness value. We try to maximize this value.

The stopping criteria is achieved when the generated data perfectly classify L, or a given number of iterations have been performed. More details in Section III.B of reference [54].

It is worth mentioning that this optimization process is only applied to cases in which the former oversampling approach generates synthetic data that is not able to classify L. We thereby endow our model with greater robustness. Figure 2 shows an example of a resulting set of over-sampled prototypes in the appendicitis problem. We can observe that in comparison with Figure 1, the available labeled data points better represent the domain of the problem.

#### C. Self-labeling with synthetic data

In this subsection, we describe the SEG-SSC framework in depth. With the generation method presented, we obtain new labeled data that can be directly used to improve the generalization capabilities of self-labeled approaches. Nevertheless, the aim of this framework is to be as flexible as possible, so that it can be applied to different self-labeled algorithms. Although each method proceeds in a different way, they either share some operations or are very similar. Therefore, we explain how to incorporate synthetic examples in the self-learning process in order to address the limitations on the distribution of labeled data and the lack of diversity in multiple classifier methods.



Fig. 2. Example of data generation in the Appendicitis problem. Twodimensional projections of Appendicitis. Red circles, class 0. Blue squares, class 1. White triangles, unlabeled. Red stars, synthetic class 0. Blue pentagons, synthetic class 1. (SEG-SSC+Democratic - 0.8072 accuracy.)

In general, self-labeled methods use a set of N classifiers  $C_i$ , where  $i \in [1, N]$ , to predict the class of unlabeled instances. Each  $C_i$  has an associated labeled set  $L_i$  that is iteratively enlarged. In what follows, we describe the three main operations that support our proposal. For clarity, Figure 3 depicts a flowchart of the proposed scheme, outlining its more general operations and way of working.

- Initialization of classifiers: In current approaches,  $L_i$  is initially formed from the available data in L. Depending on the particular method, they may use the same labeled data for each  $L_i$  or apply a bootstrapping to introduce diversity. As we showed before, both alternatives can lead to a lack of diversity when more than one classifier is used. To solve this, we promote the generation of different synthetic examples for each classifier  $C_i$ . In this way, the generation mechanism is applied a total of Ntimes. Because L data are the most confident examples, we ensure that they belong to each  $L_i$  in conjunction with synthetic examples. Note that the generation method has some randomness, so different executions generate distinct synthetic points. This ensures the diversity between  $L_i$  sets.
- Self-labeling stage: After the initialization phase, each classifier is trained with its respective  $L_i$ . Then, the learned hypotheses are used to classify unlabeled points, determining the most reliable examples. There are several ways to perform this operation. Single classifier approaches extract their confidence from the base classifier and multiple classifiers calculate confidence predictions in terms of the agreement or combination of hypotheses. Independently of the procedure followed, each classifier



Fig. 3. SEG-SSC flowchart

 TABLE I

 MAIN CHARACTERISTICS OF SELECTED SELF-LABELED METHODS

Algorithm	Initialization	Classifiers	Teaching scheme	Confidence rule
Democratic-Co	Simple	Different learning algorithms	Self-teaching	Weighted majority
Tri-Training	Bootstrapping	Same learning algorithms	Mutual-teaching	Majority
Co-Forest	Bootstrapping	Same learning algorithms	Self-teaching	Majority
Co-Bagging	Simple	Same learning algorithms	Self-teaching	Majority

obtains a set  $L'_i$  that will be used to enlarge  $L_i$ . At this point, there are two possibilities: self or mutual teaching. The former uses its own predictions to augment its  $L_i$ . With a mutual teaching approach, a classifier  $C_j$  teaches its confidence predictions to the rest of the classifiers, that is, it increases  $L_i$ ,  $\forall i \neq j$ . When all the  $L_i$  are increased, a new oversampling stage is performed for each  $L_i$ , using its prototypes and the remaining unlabeled examples. The resulting  $L_i$  sets are ready to be used in the next iteration.

• Final classification: The stopping criteria depends on the specific self-labeled method used, which is usually defined by a given number of iterations or by the condition of the learned hypotheses of the classifiers used, which does not change. When it is satisfied, not all the unlabeled instances have had to be added to one of the  $L_i$  sets. For this reason, the resulting  $L_i$  sets have to be used to classify the remaining instances of U and the TS set.

As such, this scheme is applicable to any self-labeling method and should provide better generalization capabilities to all of them. To test the proposed framework, we have applied these ideas to four self-labeling approaches: Democratic-Co [34], Tri-Training [35], Co-Forest [21] and Co-Bagging [37], [38]. These models have different characteristics, such as distinct mechanisms to determine confident examples (agreement or combination), teaching schemes, uses of different learning algorithms or having a different initialization scheme. Table I summarizes the main properties of these models. We modify these models by adding synthetic examples, as explained above, to have an idea of how flexible our framework is. The modified versions of these algorithms will be denoted: SEG-SSC+Democratic-Co, SEG-SSC+Tri-Training, SEG-SSC+Co-Forest and SEG-SS+Co-Bagging.

As an additional comment of the proposed model, we can note that the generation of synthetic data is based on metaheuristics that may lack of solid theoretical insights. In the specialized literature, this kind of techniques, such as [23], [50], does not provide any theoretical analyses because of the stochastic nature of the models. However, their applicability and effectiveness has been proved in many real world applications [52], [53]. This fact motivates the large experimental study that we will perform in the following section to support the usefulness and soundness of our model.

#### IV. EXPERIMENTAL SETUP AND ANALYSIS OF RESULTS

This section presents all of the issues related to the experimental framework used in this work and the analysis of results. Section IV-A describes the main properties of the data sets used and the parameters of the selected algorithms. Section IV-B presents and analyzes the results obtained with

 TABLE II

 SUMMARY DESCRIPTION OF STANDARD CLASSIFICATION DATA SETS

Data set	#Ex.	#D.	$\#\omega$ .	Data set	#Ex.	#D.	$\#\omega$ .
abalone	4174	8	28	movement_libras	360	90	15
appendicitis	106	7	2	mushroom	8124	22	2
australian	690	14	2	nursery	12 690	8	5
autos	205	25	6	pageblocks	5472	10	5
banana	5300	2	2	penbased	10 992	16	10
breast	286	9	2	phoneme	5404	5	2
bupa	345	6	2	pima	768	8	2
chess	3196	36	2	ring	7400	20	2
cleveland	297	13	5	saheart	462	9	2
coil2000	9822	85	2	satimage	6435	36	7
contraceptive	1473	9	3	segment	2310	19	7
crx	125	15	2	sonar	208	60	2
dermatology	366	33	6	spambase	4597	55	2
ecoli	336	7	8	spectheart	267	44	2
flare-solar	1066	9	2	splice	3190	60	3
german	1000	20	2	tae	151	5	3
glass	214	9	7	texture	5500	40	11
haberman	306	3	2	tic-tac-toe	958	9	2
heart	270	13	2	thyroid	7200	21	3
hepatitis	155	19	2	titanic	2201	3	2
housevotes	435	16	2	twonorm	7400	20	2
iris	150	4	3	vehicle	846	18	4
led7digit	500	7	10	vowel	990	13	11
lymphography	148	18	4	wine	178	13	3
magic	19 020	10	2	wisconsin	683	9	2
mammographic	961	5	2	yeast	1484	8	10
marketing	8993	13	9	ZOO	101	17	7
monks	432	6	2				

TABLE III Summary description of high dimensional data sets

Data set	#Ex.	#D.	$\#\omega$ .	Reference
bci	400	117	2	
coil	1500	241	6	
coil2	1500	241	2	
digit1	1500	241	2	
g241c	1500	241	2	
g241n	1500	241	2	
secstr	83 679	315	2	
text	1500	11 960	2	
usps	1500	241	2	[2]
bbc	2225	9636	5	
bbcsport	737	4613	5	[57]

standard classification data sets. Finally, Section IV-C studies the behavior of the proposed framework when dealing with high dimensional problems.

#### A. Data sets and parameters

The experimentation is based on 55 standard classification data sets taken from the UCI repository [27] and the KEELdataset repository<sup>1</sup>[26] and 11 high dimensional problems extracted from the book by Chapelle et al. [2] and the BBC News web page [57]. Tables II and III summarize the properties of the selected data sets. They show, for each data set, the number of examples (#Ex.), the number of attributes (#D.), and the number of classes (# $\omega$ .). The standard classification data sets considered contain between 100 and 19,000 instances, the number of attributes ranges from 2 to 90 and the number of classes varies between 2 and 28. However, the 11 high dimensional data sets contain between 400 and 83,679 instances and the number of features oscillates from 117 to 11,960.

Algorithm	Parameters
KNN	Number of Neighbors = 3, Euclidean Distance
C4.5	Confidence level: $c = 0.25$
	Mininum number of item-sets per leaf: $i = 2$
	Prune after the tree building
Democratic-Co	Classifiers = 3NN, C4.5, NB
Tri-Training	No parameters specified
Co-Forest	Number of RandomForest Classifiers = 6, Threshold = $0.75$
Co-Bagging	$MAX\_ITER = 40$ , Committee members = 3
	Ensemble Learning = Bagging, Pool U = 100
SEG-SSC	Oversampling factor=0.25, Number of Neighbors = 5
Differential evolution	Iterations = 100, iterSFGSS = 8, iterSFHC =20
parameters	Fl=0.1, Fu=0.9

All the data sets have been partitioned using the 10 fold cross-validation procedure, that is, the data set has been split into 10 folds, each one containing 10% of the examples of the data set. For each fold, an algorithm is trained with the examples contained in the rest of the folds (training partition) and then tested with the current fold. Note that test partitions are kept aside to assess the performance of the learned hypothesis.

Each training partition is then divided into two parts: labeled and unlabeled examples. Using the recommendation established in [41], in the division process we do not maintain the class proportion in the labeled and unlabeled sets since the main aim of SSC is to exploit unlabeled data for better classification results. Hence, we use a random selection of examples that will be marked as labeled instances, and the class label of the rest of the instances will be removed. We ensure that every class has at least one representative instance. In standard classification data sets we have taken a labeled ratio of 10%. For high dimensional data sets, we will use two splits for training partitions with 10 and 100 labeled examples, respectively. In both cases, the remaining instances are marked as unlabeled points.

Regarding the parameters of the algorithms, the selected values are fixed for all problems, and they have been chosen according to the recommendation of the corresponding authors of each algorithm. From our point of view, the approaches analyzed should be as general and as flexible as possible. It is known that a good choice of parameters boosts their better performance over different data sources, but their way of working should offer good enough results in spite of the fact that the parameters are not optimized for a specific data set. This is the main purpose of this experimental setup, to show how the proposed framework can improve the efficacy of self-labeled techniques. Table IV specifies the configuration parameters of all the methods. Because these algorithms carry out some random operations during the labeling process, they have been run three times per partition.

In this table, we also present the parameters involved in our framework: the oversampling factor, the number of neighbors and the parameters needed for the differential evolution optimization. They can also be adjusted for each problem, however, with the same aim of being as flexible as possible. We have fixed these values empirically in previous experiments. The parameters used for the differential evolution optimization are the same as those established in [54], except for the number of iterations that have been reduced. We decrease this value because, under this framework, the reference set used by differential evolution contains a smaller number of instances than in the case of supervised learning.

The Co-Forest and Democractic-Co algorithms were designed and tested with determined base classifiers. In this study, these algorithms maintain their classifiers. However, the interchange of the base classifiers is allowed in the Tri-Training and Co-Bagging approaches. In these cases, we will test two base classifiers, the K-Nearest Neighbor [58] and the C4.5 algorithms [59]. A brief description of these base classifiers and their associated confidence prediction computation are given as follows:

- *K-Nearest Neighbor (KNN):* This is an instance-based learning algorithm that belongs to the lazy learning family of methods [60]. As such, it does not build a model during the learning process and is based on dissimilarities among a set of instances. For those self-labeled methods that need to estimate confidence predictions from this classifier, they can approximate it in terms of distance from the currently labeled set.
- *C4.5:* This is a decision tree algorithm [59] that induces classification rules for a given training set. The decision tree is built with a top-down scheme, using the normalized information gain (difference in entropy) that is obtained from choosing an attribute for splitting the data. The attribute with the highest normalized information gain is the one used to make the decision. Confidence predictions can be obtained from the accuracy of the leaf that makes the prediction. The accuracy of a leaf is the percentage of correctly classified train examples from the total number of covered train instances.

### B. Experiments on standard classification data sets

In this subsection we compare the modified versions of the selected self-labeled methods (within SEG-SSC) with the original ones, focusing on the results obtained on the 55 standard classification data sets and a labeled ratio of 10%. We analyze the transductive and inductive accuracy capabilities of these methods. Both results are presented in Tables V and VI, respectively. In these tables, we have specified the base classifier between brackets for Tri-Training and Co-Bagging algorithms. The best result of each row has been highlighted in bold face.

Aside from these tables, Figure 4 depicts two box plot representations of the results obtained in transductive and inductive settings, respectively. With these box plots we show a graphical comparison of the performance of the algorithms, indicating their most important characteristics such as the median, extreme values and spread of values about the median in the form of quartiles (Q1 and Q3).

Observing these tables and the figure we can appreciate differences between each of the original proposals and the improvement achieved by the addition of synthetic examples. Nevertheless, the use of hypothesis testing methods is mandatory in order to contrast the results of a new proposal with



Fig. 4. Box plot of transductive and inductive accuracy rates. The boxes contain 50% of the data (Q1 to Q3), blue points are the median values and the lines extend to the most extreme values

several comparison methods. The aim of these techniques is to identify the most relevant differences found between methods, which is highly recommended in the data mining field [29]. To do this, we focus on the Wilcoxon signed-ranks test [61] because it establishes a pairwise comparison between methods. In this way, we can see if there are significant differences between the original and modified versions. More information about this test and other statistical procedures can be found at http://sci2s.ugr.es/sicidm/.

Table VII collects the results of the application of the

TABLE V TRANSDUCTIVE ACCURACY RESULTS OVER STANDARD CLASSIFICATION DATA SETS.

Original Proposals					II		SEC SE	ec.				
Datacate	Democratic Co	Tri Training	Tri Training	Co Forest	Co Bagging	Co Bagging	Democratic Co	Tri Training	SEG-53	Co Forest	Co Bagging	Co Bagging
Datasets	Democratic-Co	(KNN)	(C4.5)	CO-FOICS	(KNN)	(C4.5)	Democratic-Co	(KNN)	(C4.5)	CO-FOICSI	(KNN)	(C4.5)
abalone	19 7700	22 1300	20.2800	20.5500	22.9200	20.1100	18 6274	10 1120	10,6066	20.8546	10 2365	10.9401
appendicitie	80.6000	78 1400	82 7300	81 9000	80.3700	82 2600	80 1273	79.6511	80.3736	20.8540	70 /376	81 3013
appendicitis	82.6800	79.0200	82.7500	82 4900	82.0200	81 3200	83 2558	80 7156	80.8587	83 1306	80.6082	80 5546
automobile	32.8500	45 6100	37 7200	41 5600	36.9300	35 1900	43 8713	45 1214	30.0806	41 3401	45 3764	35 4017
banana	84 2700	86.9500	85 1000	53 9400	87 6300	85 7200	85 9003	86 7179	86 7622	54 9849	86 8274	86 9113
breast	70.2000	69.3400	68 6900	71 4800	69.8400	68 9500	71.0801	68 6310	68 3242	60 4731	68 0862	67 7848
bupa	54 2800	55 7900	58 5700	59 4700	55 3100	59,8900	58 1003	56 8213	58 6070	61 1814	56 6096	59 5062
chess	92.0000	79.6200	95 4300	94 3700	81.4600	95.0800	92 0444	82 7566	05 3117	03 0368	84 1663	95 4083
cleveland	53 8000	52 4800	50,2800	51.8500	53 2200	50.8100	54 2972	50 7720	51 3120	53 6428	51 5208	50.8462
coil2000	93 0300	88 2500	93 / 300	92 8300	92 3700	93 6300	90.2300	89.0100	92 1800	02 0300	90.2400	90.6400
contracentive	45.0000	42 0000	47,6600	48 6300	41 7400	47.9700	44 7007	42 4225	48.0525	49 6524	42 8332	46.4188
contraceptive	84.6100	80.8500	84.8100	82 7200	82 7300	\$1 \$300	82 3222	80 4531	83 1333	82 6423	82 1320	81 8868
dormatology	88,0000	80.8500	87.6200	80.2000	87.2400	86.0700	00.2204	00.9024	00.2285	01.6161	01 7108	01.0000
ecoli	63 6400	66.4800	65 9200	66 5600	68 1700	65 7000	65 4363	67 1014	64 0134	60 8830	67 3701	63 /0/7
dama	71 2200	62.0700	71 1600	20.8700	61 0800	71.2700	70 7522	62 7500	70 1220	20.2402	66 9624	69 7062
nare	70.1200	66.2100	68 5800	59.8700	68 5200	60.2000	70.7325	68 1605	69 7779	59.5405 69.1259	60.0000	60.0617
german	10.1200	51 2600	40.5500	55 2600	47 7000	45.0700	40.9097	54 2011	51 7250	56 2422	52 1702	50 7070
giass	48.7100 73.2200	51.2000	49.5500	58 7000	47.7900	43.9700	49.8987	54.2011	71 2592	50.5452 62 1927	55.1702	50.7878
haort	79.9600	72 4700	71.0200	71.0500	76 6700	71.4500	72.3424	74 2926	71.2303	72 2077	74 2270	75.0229
heart	/8.8000	75.4700	73.0000	71.0300 84 8800	/0.0/00	21.4200	79.2094	74.3630	74.2009	04 1557	74.5579	70.2006
hepatitis	81.4200	81.4000	02.0500	01.2500	82.3300	81.4200	78.9243	80.2432 80.7856	78.9000	84.1337	01.3410	79.3900
inia	01.6400	02 1200	92.9300	91.5500	89.0300	93.5400	02 0244	04 1902	92.2012	00.8107	04.0164	92.3117
ITIS 1 a d7 di mit	91.0400	92.1300 50.4200	73.9000	90.1000	92.3000	57,2100	95.9544	94.1003	62 5062	62.2590	94.0104	63.0326
leu/uigit	51 0000	64 7600	59.0600	61 8000	61 0000	57.5100	59.0624	65 4140	50.0201	62 5010	62 0296	54 9241
Tymphography	31.9000	78 0800	38.9000 82.0100	84 2000	70.8500	37.0200	30.9034	77 7505	39.0391	84 2211	78.0042	01 6011
magic	78.7500	78.0800	82.0100	84.2000	79.8300	85.2700	79.0233	77.7595	82.4028	04.5511	78.0042	81.0811
mammographic	80.4300	75.8900	81.5600	76.6700	76.3300	81.3600	80.8290	75.7620	81.2622	77.4400	77.3973	80.5495
marketing	27.8800	25.6800	28.1500	28.7900	20.0500	27.8300	20.0327	20.2354	27.0427	28.7900	25.6569	20.4127
monk-2	90.1100	10.3700	97.0300	90.0700	08.3400	97.0300	80.7401	12.0327	92.4713	91.2738	/0.3398	86.5120
movement_libras	17.5200	40.2800	22.4800	30.4800	30.3800	20.9300	28.8270	44.13/9	20.9055	32.9310	43.8270	20.7931
musnroom	99.2600	99.5200	99.5100	90.9200	99.2000	99.4700	99.5215	99.6088	99.7049	90.9362	99.5957	99.7705
nursery	89.6300	76.3200	90.3300	37.0000	/3.4900	90.2400	89.5509	78.3274	91.5057	37.8782	/8.50/5	89.2461
page-blocks	90.6000	93.4200	95.4300	95.7900	95.1500	95.3300	95.7509	95.5202	95.5446	95.6912	95.5789	95.1814
penbased	94.7300	97.7400	90.0200	95.5900	96.8200	90.5000	95.6400	98.0345	92.3247	95.9388	98.0155	93.5455
phoneme	78.0000	80.8000	/8.0900	80.4700	79.8800	79.0700	79.9137	80.7817	80.6835	81.8896	80.9576	81.0970
pima	/1.9600	65.0600	68.2900	68.5500	65.6200	64.4200	70.4932	00.7475	/0.6/01	69.6090	67.0209	08.0003
ring	88.7200	66.6000	85.0400	88.4400	61.5200	85.8900	94.6296	82.5375	81.7150	81.5349	86.7901	/9.1859
saheart	67.4500	63.3900	65.6600	64.3800	65.6300	65.8700	65.9012	64.8068	65.1284	66.2758	65.3412	65.3147
satimage	85.0600	86.0700	82.3000	86.5500	85.9500	82.1500	85.6518	87.8005	84.1478	86.9986	88.1784	84.3185
segment	90.5900	90.0200	90.3700	90.8600	87.6900	90.9800	90.6197	91.0340	91.0150	92.0726	91.5652	91.5438
sonar	64.3100	65.1900	63.8900	69.2100	65.7800	63.0100	70.3383	67.0835	66.3745	71.2210	67.2593	66.9614
spambase	88.1700	82.8400	88.3700	92.1400	83.3700	89.4700	88.7979	85.9357	90.0437	92.6510	86.9667	90.9567
spectfheart	73.0900	69.6300	72.8200	77.7600	73.3700	72.1200	76.2400	73.3690	75.1242	80.2129	74.2972	76.5126
splice	93.4900	66.5700	81.9500	50.3800	69.5400	81.9700	92.7786	79.8181	87.6393	49.5666	81.2926	89.2918
tae	39.6300	40.7600	39.3700	37.8300	40.6000	38.6400	39.9467	41.0862	40.8437	37.1800	42.3864	41.8213
texture	88.2700	94.4200	85.2600	90.7000	93.4100	84.8800	88.7026	96.4669	89.1021	92.6218	96.4916	90.3681
thyroid	94.1500	90.6400	99.1200	98.6100	92.0300	98.9700	96.7147	91.0460	98.0864	97.5103	91.1094	96.6907
tic-tac-toe	69.7600	70.3200	71.0000	61.8500	67.9700	71.4500	70.5093	70.6640	73.0610	62.8186	72.8934	72.6751
titanic	77.1800	74.6000	77.6900	70.9800	67.7400	77.7700	77.5310	74.5973	77.7834	70.8566	75.5737	77.5086
twonorm	97.0300	93.8000	85.9200	91.3300	95.2200	85.9300	97.3390	96.3664	87.0871	92.0938	96.4097	88.1198
vehicle	47.8700	56.9700	60.4800	64.1500	55.6500	59.0200	57.9951	60.7683	62.1831	66.5154	61.7747	62.1973
vowel	40.6700	48.0400	44.9000	51.9800	37.7200	43.6400	50.3616	50.9601	50.9726	54.4140	51.5586	51.9202
wine	93.0700	92.8500	78.9800	87.5200	93.7600	80.9900	95.1475	93.9660	83.9114	91.0536	92.9243	87.4473
wisconsin	96.2400	95.5000	92.5900	93.4800	95.9400	92.9400	96.6413	95.9910	93.9148	95.6295	96.1535	94.9061
yeast	48.9500	46.3300	49.7300	45.8800	45.9300	48.0800	48.0431	49.5750	50.2999	48.3013	49.2672	49.1259
Z00	92.6200	92.2300	75.4100	89.7800	78.4400	75.5800	86.4836	93.7834	88.2045	93.5267	92.8808	90.2835
Average	73.0475	71.4651	72.5611	71.1002	71.0558	72.3462	74.3477	73.0708	73.6259	71.7279	73.6177	73.3147

Wilcoxon signed-ranks test to the transductive and inductive accuracy rates. It shows the rankings R+ and R- values achieved and its associate *p*-value. Adopting a level of significance of  $\alpha = 0.1$ , we emphasize in bold face those comparisons in which SEG-SSC significantly outperforms the original algorithm.

With these results we can make the following analysis:

• In Tables V and VI we can see that our framework provides a great improvement in accuracy to the self-labeled techniques used in most of the data sets and rarely does it significantly reduce its performance level. On average, the versions that use synthetic examples always outperform the algorithms upon which they are based in both the transductive and inductive phases. In general, the average improvement achieved for one algorithm in the transductive setting is more or less maintained in the inductive test, which shows the robustness of the models. Co-Bagging (KNN) seems to be the algorithm that benefits most when it uses synthetic instances, by contrast, SEG-SSC does not significantly increase the average performance of Co-Forest. Comparing all the algorithms,

the best performing approach is SEG-SSC+Democraticco.

- It is known that the performance of self-labeled algorithms depends firstly on the general abilities of their base classifiers [62]. We notice that C4.5 is a better base classifier than KNN for the Tri-Training philosophy. However, the Co-Bagging algorithm performs in a similar way with both classifiers. As expected, the results obtained with our framework are also affected by the base classifier. At this point, we can see that those algorithms that are based on KNN offer a greater average improvement.
- In Figure 4, the size of the boxes are related to the robustness of the algorithms. Thus, we observe that, in many cases, SEG-SSC finds more compact boxes than the original algorithms. In the cases in which the boxes have more or less the same size, we can see that they are higher in the plot. Median results also help us to identify algorithms that perform well in many domains. Thus, we observe again that most of the median values of modified versions are higher than the original proposals. Taking into account median values, SEG-SSC+Tri-

 TABLE VI

 INDUCTIVE ACCURACY RESULTS OVER STANDARD CLASSIFICATION DATA SETS.

	Original Proposals				SEG-SSC							
Datasets	Democratic-Co	Tri-Training	Tri-Training	Co-Forest	Co-Bagging	Co-Bagging	Democratic-Co	Tri-Training	Tri-Training	Co-Forest	Co-Bagging	Co-Bagging
		(KNN)	(C4.5)		(KNN)	(C4.5)		(KNN)	(C4.5)		(KNN)	(C4.5)
abalone	21.0600	20.7200	21.6100	21.0100	20.7700	20.8200	20.3408	20.1730	20.8922	22.3287	20.3636	21.1299
appendicitis	82.1800	73.8200	80.4500	82.2700	74.7300	80.4500	76.5455	75.7273	79.4545	79.2727	74.7273	83.3636
australian	84.4900	80.2900	84.4900	84.0600	81.3000	82.7500	84.3478	80.4348	82.1739	83.0435	81.5942	82.3188
automobile	36.0100	43.0700	38.8900	45.6900	31.0500	33.6600	44.1879	43.5711	40.7375	40.2639	43.9220	42.4181
banana	84.1700	86.8100	84.8100	52.7000	87.3600	85.5300	85.7736	86.4717	86.8868	55.5472	86.6792	86.7736
breast	72.8700	70.8100	72.1600	73.3900	70.9000	72.5200	72.5921	70.4380	71.9036	70.9338	69.0904	68.7853
bupa	51.0400	54.1600	57.4200	58.5100	57.6600	61.1900	61.9745	54.7159	63.2280	58.5091	57.3746	62.5796
chess	91.9900	83.0900	95.7800	94.4000	80.8800	95.4300	91.4895	78.5345	94.9313	93.2094	83.8238	95.7745
cleveland	52.2300	56.6200	47.6100	53.6600	54.9600	53.3500	55.7156	55.9584	51.0575	53.2235	56.0482	50.6689
coil2000	93.2200	87.9500	93.5700	92.9900	92.2700	93.4800	90.1955	88.2800	92.1100	93.1000	90.1500	90.2500
contraceptive	43.5800	42.1600	48.1300	48.5300	41.0700	48.2700	46.0953	41.7549	47.5188	49.6920	42.9100	47.1824
crx	84.9500	80.3400	85.5500	82.0600	81.0200	84.9600	83.6402	79.2744	83.4395	82.0433	81.7268	80.3731
dermatology	87.6000	89.3000	88.1600	90.4700	87.0800	87.6000	89.3233	90.1495	87.6332	90.6976	91.5388	87.3709
ecoli	63.7000	66.7000	65.8500	62.8300	66.7300	65.5800	68.1907	67.2727	65.5437	67.5758	70.2674	65.8556
flare	72.1400	63.8900	71.5800	40.2400	63.2300	71.4000	71.3939	64.4507	70.3624	38.8415	66.8877	68.5779
german	/1.6000	66.7000	/1./000	68.6000	68.8000	/1.1000	71.7000	68.5000	68.0000	68.6000	68.3000	70.2000
glass	48.6800	59.4100	49.2100	55.8900	48.2400	48.9800	52.7294	57.1968	56.1803	51.6927	54.2606	54.6483
haberman	/1.5000	59.7800	70.8800	60.1400	67.9900	71.2200	/1.8602	64.7419	69.2043	59.2903	05.3220	05.2088
heart	80.0000	76.6700	/1.4800	69.2600	/8.5200	70.3700	80.0000	77.0370	72.5926	/1.8519	75.9259	71.8519
hepatitis	85.4500	79.6900	85.4500	81.0900	81.1800	83.4300	82.7440	//.3506	81.2073	90.5032	/8.4383	77.7403
inia	01.2200	89.3900	91.3800	92.1000	90.3000	91.9300	90.2905	02 2222	88.2021	90.8303	90.5950	90.9837
Ins lod7digit	91.5500	92.0000 50.4000	60.4000	95.5500 63.4000	95.5500	56 4000	50 8000	93.3333 60.4000	63.0000	92.0000 62.6000	92.0007	61 2000
lumphography	40.0100	67.8700	61 1800	64 6400	66.0800	50.4000	68 1700	65 2120	65 2820	68 2017	68 8683	61 5182
magic	78 4200	76 7800	82 4500	84 3600	79.8100	83 1000	70 8370	77 1810	82 3554	84 7266	77 0443	81 0821
magne	79.6300	76,9900	81 8300	79.4100	77.5800	80.8500	80.6285	76 5328	81 7016	79,6800	78 3287	70 7660
marketing	27 1000	26,2000	26.9400	29 2300	26.9300	27.0600	25 8285	26 7307	27 5306	29.6700	25 8600	27 1754
monk-?	90.7500	64 6000	96 5700	93 9200	67.0700	96 5700	86 4930	64 3084	92 0869	88 8386	77.0660	86 3375
movement libras	19 7200	44 4400	27 5000	31 1100	34 1700	24 1700	29 7222	43 0556	29 4444	32 5000	43 3333	27 2222
mushroom	99.2700	99 4700	99 5500	90.8400	99.0100	99 5400	99 5531	99 5009	99 7678	90.8713	99 4826	99.8040
nurserv	89 5100	86 9800	90 3900	38,0900	73 8500	90.0600	88 4568	75 7330	91.3117	37 3148	78 2330	89 1512
page-blocks	90.7700	93.6400	95.6100	95,8500	93,4900	95.6700	94.3714	93,3847	95.6873	95.8699	93,5306	95,3398
penbased	94,7400	98.0100	90.2700	95.5100	96.8200	90.4900	95.8151	98.2351	92.2486	96,1066	98.0441	93.6136
phoneme	78,7400	80,4600	77,7000	80.0700	79.8300	78,8900	79,4957	80,7726	80.7542	81.3837	80.9578	81,1430
pima	69.6700	62.6500	65,6400	66.2700	63,5800	63,4200	68,1074	64,4723	67.7110	68,6167	65.8994	66,9233
ring	87.4100	60.4100	85.4200	88.2300	61.7600	85.8200	94.3784	69.4189	81.5270	80.2568	86.8649	79.1757
saheart	68.1900	62.7700	67.7600	65.5900	64.0800	64.9700	67.9880	63.2239	66.6744	67.1045	65.6059	64.7317
satimage	84.6200	85.2100	82.2400	86.0000	85.6400	82.0500	85.5945	87.0244	83.8226	86.5584	88.0969	84.3355
segment	90.2600	90.7400	90.0000	90.3000	87.0600	91.6000	91.0390	91.8182	91.2554	91.8182	91.7749	91.5584
sonar	60.0500	63.4500	70.1900	75.5000	65.8800	70.1400	71.0714	66.3571	64.8095	73.0476	63.9524	70.0476
spambase	87.7700	81.1000	88.1000	91.8600	83.2700	89.5100	88.0794	82.4662	90.2108	92.6257	86.5782	90.8852
spectfheart	73.7900	69.0500	75.7400	77.5100	73.1100	75.7100	76.7379	74.9858	79.4444	79.3875	79.8291	79.0456
splice	89.7800	77.5900	82.5400	50.6600	69.7500	82.4800	92.2257	65.8307	88.1191	50.0000	81.7555	89.2476
tae	37.7100	40.4200	45.7100	37.7900	42.8700	42.3700	38.4583	38.3750	38.5417	36.4600	39.0417	39.1667
texture	89.4400	95.2400	85.2400	90.6500	94.3100	85.0000	90.9455	96.8182	89.4909	92.3818	96.8182	90.6727
thyroid	93.9300	90.6700	99.1800	98.5800	92.1000	99.0600	94.2917	91.1250	98.1528	97.6528	91.4444	96.8611
tic-tac-toe	69.0000	70.6700	70.8800	59.7100	67.9600	70.3500	71.5154	71.3004	72.0252	59.0833	72.4452	72.7522
titanic	77.5600	74.1500	77.6500	70.6500	67.4800	78.3700	77.6018	74.1512	78.2378	70.5140	75.1940	77.2394
twonorm	96.4500	91.0900	86.1600	89.8900	95.1800	85.9700	96.8919	93.6351	87.0135	90.6216	96.5270	87.8243
vehicle	50.2300	55.2000	61.9400	61.2400	55.5500	60.3000	59.4664	60.6373	61.7129	63.8459	62.4034	62.5266
vowel	41.6200	49.8000	45.2500	52.2200	39.2900	45.5600	49.1919	52.5253	52.2222	54.3434	52.5253	53.0303
wine	94.9300	92.6500	82.0300	85.8800	93.2700	/8.6600	95.5229	93.2026	85.9150	91.0131	96.0784	88.7582
wisconsin	96.5000	94.6200	93.1200	93.5800	95.9300	92.8400	96.3694	95.2032	93.7194	94.6063	95.7938	94.7580
yeast	48.8600	47.5100	49.0700	45.6200	46.7000	47.6500	40.5045	50.1383	50.3387	47.4420	50.2091	47.8501
Z00	93.1400	93.4700	/1.9200	90.8900	/8.3300	/4.5600	88.5000	92.7222	86.9167	92.6389	92.7222	87.3889
Average	73.0362	/1./5/6	72.9669	/1.2424	70.9667	72.7782	74.7488	/2.0157	/3.9217	/1.4/00	73.7715	/3.5845

Training(C4.5) may be considered the best model.

• According to the Wilcoxon signed-ranks test, SEG-SSC achieves that all the methods significantly overcome their original proposals in terms of transductive learning, supporting previous conclusions. However, in the inductive phase, we find that Co-Forest and Co-Bagging (C4.5) have not been significantly improved. Even so, they report higher *R*+ rankings than the original models, which means that they perform slightly better.

TABLE VII Results of the Wilcoxon signed-ranks test on transductive and inductive phases

	Transductive phase			Test phase			
Comparison	R+	R-	p-value	R+	R-	p-value	
SEG-SSC+Democratic-Co vs. Democratic-Co	1086	454	0.0080	1057	428	0.0067	
SEG-SSC+Tri-Training (KNN) vs. Tri-Training (KNN)	1371	169	0.0000	995	545	0.0588	
SEG-SSC+Tri-Training (C4.5) vs. Tri-Training (C4.5)	1083	457	0.0086	966	574	0.0997	
SEG-SSC+Co-Forest vs. Co-Forest	1132	353	0.0008	863	622	0.2970	
SEG-SSC+Co-Bagging (KNN) vs. Co-Bagging (KNN)	1201	339	0.0003	1204	336	0.0003	
SEG-SSC+Co-Bagging (C4.5) vs. Co-Bagging (C4.5)	966	574	0.0997	943	597	0.1460	

# C. Experiments on high dimensional problems with small labeled ratio

This subsection is devoted to studying the behavior of the proposed framework when it is applied to high dimensional data and a very reduced labeled ratio. Most of the considered data sets (9 of 11) were provided in the book by Chapelle et al. [2], in which the studies were performed using only 10 and 100 labeled instances. We attempt to perform a similar study with the difference that we also investigate the inductive abilities of the models. Furthermore, BBC and BBCsport data sets have been also analyzed in a semi-supervised context with a few number of labeled instances [63].

In the scatterplots of Figure 5 we depict transductive and inductive accuracy results obtained with 10 and 100 labeled data. In these plots, the x-axis position of the point is the accuracy of the original self-labeled method on a single data set, and the y-axis position is the accuracy of the modified algorithm. Therefore, points above the y = x line correspond to data sets for which new proposals perform better than the original algorithm.

Table VIII tabulates the average results obtained in the



Fig. 5. High dimensional data sets: Transductive and inductive accuracy results

11 data sets considered, including transductive and inductive phases for both 10 and 100 splits.

TABLE VIII HIGH DIMENSIONAL DATA SETS: AVERAGE RESULTS OBTAINED IN TRANSDUCTIVE (TRS) AND INDUCTIVE (TST) PHASES.

		Democratic-Co	Tri-Training	Tri-Training	Co-Forest	Co-Bagging	Co-Bagging
#L	Phase		(KNN)	(C4.5)		(KNN)	(C4.5)
	TRS	56.6987	56.1988	53.1233	55.5031	56.8976	53.8810
10	TST	56.5331	55.9070	53.3841	55.6181	56.3126	53.8852
	TRS	70.8563	66.5833	68.7295	68.0144	65.4552	68.7148
100	TST	70.1286	65.4899	69.1031	67.1261	66.1272	69.7143
		SEG-SSC+	SEG-SSC+	SEG-SSC+	SEG-SSC+	SEG-SSC+	SEG-SSC+
		Democratic-Co	Tri-Training	Tri-Training	Co-Forest	Co-Bagging	Co-Bagging
#L	Phase		(KNN)	(C4.5)		(KNN)	(C4.5)
	TRS	58.4330	57.1121	58.7192	58.0919	57.2811	57.8010
10	TST	58.8520	56.0891	58.3297	57.6709	57.4786	58.1651
	TRS	73.4449	70.1754	71.7133	70.1887	70.4770	72.4768
100	TST	73,5080	65.1796	71.1483	69.2605	71.0570	71.9971

Given Figure 5 and Table VIII, we can make the following comments:

• In all the plots of Figure 5, most of the points are above the y = x line, which means that, with the proposed framework, the self-labeled techniques perform better than the original algorithms. Differentiating between 10

and 100 available labeled points, we can see that when we have 100 labeled examples, there are more points above this line in both the transductive and inductive phases. We do not discern great differences between the performance obtained in both learning phases which shows that the hypotheses learned with the available labeled and unlabeled data were appropriate.

- Table VIII shows that, on average, the proposed scheme obtains a better performance level than the original ones in most cases, independently of the learning phase and the number of labeled data considered. Attending to the difference between transductive and inductive results, we observe that, in general, SEG-SSC increments both proportionally. Nevertheless, there are significant differences between the results obtained with 10 and 100 labeled points.
- With these results in mind, we can see the good synergy between synthetic examples and self-labeled techniques in these domains, but, what are the main differences with the results obtained in the previous subsection? We observe great differences between those algorithms that

use KNN as a base classifier and those that use C4.5. With standard classification data sets, we ascertained that C4.5 was the best base classifier for Tri-Training and performs similarly to KNN for Co-Bagging. These statements are maintained in these domains, where C4.5 performs better. In this study, SEG-SSC+Democratic may be highlighted as the best performing model, obtaining the highest transductive and inductive accuracy results with 10 and 100 labeled examples.

#### V. CONCLUDING REMARKS

In this paper we have developed a novel framework called SEG-SSC to improve the performance of any self-labeled semi-supervised classification method. It is focused on the idea of using synthetic examples in order to diminish the drawbacks occasioned by the absence of labeled examples, which deteriorates the efficiency of this family of methods.

The proposed self-labeled scheme with synthetic examples has been incorporated in four well-known self-labeled techniques that have been modified by introducing the necessary elements to follow the designed framework. These models are able to overcome the original self-labeled methods due to the fact that the addition of new labeled data implies a better diversity of multiple classifier approaches and fulfills the distribution of labeled data.

The wide experimental study carried out has allowed us to investigate the behavior of the proposed scheme with a high number of data sets with a varied number of instances and features. The results have been statistically compared, supporting the assertion that our proposal is a suitable tool for enhancing self-labeled methods.

Among the used data sets, we have tackled problems related to diverse applications with a high practical interest. For instance, our model can be used to address practical problems such as computer-aided diagnosis, image-classification, spam filtering, etc [21], [47].

There are many possible variations of our proposed semisupervised scheme that could be interesting to explore as future work. In our opinion, the use of oversampling techniques with self-labeled techniques is not only a new way to improve the capabilities of this family of techniques, but could also be useful for most of the existing semi-supervised learning algorithms.

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![](_page_12_Picture_36.jpeg)

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![](_page_12_Picture_39.jpeg)

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![](_page_13_Picture_1.jpeg)

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