Mon. Not. R. Astron. Soc. 426, 1159–1177 (2012)

doi:10.1111/j.1365-2966.2012.21852.x

Star formation in the first galaxies – I. Collapse delayed by Lyman–Werner radiation

Chalence Safranek-Shrader,^{1*} Meghann Agarwal,¹ Christoph Federrath,² Anshu Dubey,³ Miloš Milosavljević¹ and Volker Bromm¹

¹Department of Astronomy, University of Texas at Austin, Austin, TX 78712, USA

²Monash Centre for Astrophysics (MoCA), School of Mathematical Sciences, Monash University, Vic 3800, Australia ³FLASH Center for Computational Science, University of Chicago, Chicago, IL 60637, USA

Accepted 2012 August 1. Received 2012 July 19; in original form 2012 May 17

ABSTRACT

We investigate the process of metal-free star formation in the first galaxies with a highresolution cosmological simulation. We consider the cosmologically motivated scenario in which a strong molecule-destroying Lyman–Werner (LW) background inhibits effective cooling in low-mass haloes, delaying star formation until the collapse or more massive haloes. Only when molecular hydrogen (H_2) can self-shield from LW radiation, which requires a halo capable of cooling by atomic line emission, will star formation be possible. To follow the formation of multiple gravitationally bound objects, at high gas densities we introduce sink particles which accrete gas directly from the computational grid. We find that in a 1 Mpc³ (comoving) box, runaway collapse first occurs in a $3 \times 10^7 \,\mathrm{M_{\odot}}$ dark matter halo at $z \approx 12$ assuming a background intensity of $J_{21} = 100$. Due to a runaway increase in the H₂ abundance and cooling rate, a self-shielding, supersonically turbulent core develops abruptly with $\sim 10^4 \, \mathrm{M_{\odot}}$ in cold gas available for star formation. We analyse the formation of this selfshielding core, the character of turbulence and the prospects for star formation. Due to a lack of fragmentation on scales we resolve, we argue that LW-delayed metal-free star formation in atomic cooling haloes is very similar to star formation in primordial minihaloes, although in making this conclusion we ignore internal stellar feedback. Finally, we briefly discuss the detectability of metal-free stellar clusters with the James Webb Space Telescope.

Key words: stars: formation – galaxies: formation – galaxies: high-redshift – cosmology: theory.

1 INTRODUCTION

Theoretical studies have suggested that the first stars, Population III (Pop III), formed in ~10⁶ M_☉ dark matter 'minihaloes' at redshifts $z \sim 15$ –40 (Couchman & Rees 1986; Haiman, Thoul & Loeb 1996; Tegmark et al. 1997). Due to a lack of efficient coolants in metal-free gas, Pop III stars are thought to have been more massive than typical stars forming today. The details of their formation process, especially the shape of the Pop III initial mass function (IMF), are still a subject of intense study. Early works suggested these stars were extremely massive, exceeding 100 M_☉, and formed one per minihalo (Abel, Bryan & Norman 2000; Bromm, Coppi & Larson 2002; Yoshida et al. 2006). More recent studies, exploring fragmentation at higher densities (Turk, Abel & O'Shea 2009; Stacy, Greif & Bromm 2010; Clark et al. 2011a,b; Greif et al. 2011, 2012),

or modelling the effects of protostellar feedback (Hosokawa et al. 2011; Stacy, Greif & Bromm 2012), have begun to suggest an IMF extending to lower masses. Detailed simulations that will further constrain the Pop III IMF are needed to assess the role that these stars played in early cosmic milestones, such as chemical enrichment of the intergalactic medium (IGM), reionization and the formation of supermassive black hole seeds (e.g. Barkana & Loeb 2001; Bromm & Larson 2004; Ciardi & Ferrara 2005; Bromm & Yoshida 2011). Furthermore, determining the properties of Pop III stars is necessary for interpreting increasingly detailed observations of high-redshift sources (e.g. Dunlop 2012) and of stellar relics in the local Universe (e.g. Frebel et al. 2005; Beers & Christlieb 2005; Frebel & Bromm 2010; Karlsson, Bromm & Bland-Hawthorn 2011).

Directly observing a chemically pristine stellar population would represent a significant step towards understanding the formation and properties of Pop III stars. However, the chances of detecting individual, high-redshift Pop III stars with the upcoming *James Webb Space Telescope (JWST)* are very low (e.g. Gardner et al. 2006;

^{*}E-mail: ctss@astro.as.utexas.edu

Greif et al. 2009; Rydberg, Zackrisson & Scott 2010). While a single pair-instability supernova (PISN) from a massive Pop III star may be detectable (e.g. Wise & Abel 2005; Hummel et al. 2011; Pan, Kasen & Loeb 2012; Tanaka et al. 2012), clusters of Pop III stars, if they exist, would present the best opportunity for directly observing a metal-free stellar population (Inoue 2011; Zackrisson et al. 2011). It would seem that the haloes capable of hosting these clusters, with virial masses $\sim 10^7 - 10^8 \, M_{\odot}$ and often dubbed to be the first galaxies (Bromm et al. 2009), would have formed in highdensity, biased regions, chemically pre-enriched with Pop III supernova ejecta (Trenti & Stiavelli 2009; Greif et al. 2010; Wise et al. 2012), precluding the possibility of Pop III star formation. There are scenarios, however, in which these haloes reach the conditions necessary for atomic cooling while still metal-free. For example, if a strong hydrogen molecule-dissociating 'Lyman–Werner' (LW) radiation background was set up sufficiently early (e.g. Haiman, Rees & Loeb 1997; Machacek, Bryan & Abel 2001; Johnson, Greif & Bromm 2008) or if Pop III stars ended their lives by collapsing directly to form black holes (Heger et al. 2003), the onset of local metal enrichment would have been substantially delayed. Additionally, pockets of metal-free gas could have remained until very low redshifts owing to inhomogeneous metal dispersal (Scannapieco, Ferrara & Madau 2002; Furlanetto & Loeb 2005; Tornatore, Ferrara & Schneider 2007; Trenti, Stiavelli & Michael Shull 2009; Maio et al. 2010; Stiavelli & Trenti 2010). Recently, the detection of metal-free gas clouds at $z \sim 3$ (Fumagalli, O'Meara & Prochaska 2011) has confirmed that regions of space can remain chemically pristine long after reionization.

It has been suggested that two different modes of metal-free star formation occurred in the early Universe. First generation Pop III stars (Pop III.1) formed from initial conditions completely unaffected by previous star formation. Second generation Pop III stars (Pop III.2) formed from gas significantly influenced by the radiative output of previous star formation, but still containing no stellar nucleosynthetic products (O'Shea et al. 2008; McKee & Tan 2008; Bromm et al. 2009). Cooling by the hydrogen deuteride (HD) molecule is generally thought to differentiate Pop III.1 and Pop III.2 star formation. Unlike H₂, which cannot cool gas below ~ 200 K, HD possesses an intrinsic electric dipole moment and can thus act as an effective cooling agent below 200 K, possibly resulting in stars with lower characteristic masses. The abundance of HD can be enhanced in regions with an elevated free electron fraction. These regions can be produced from virialization shocks in haloes with virial temperatures $T_{\rm vir} > 10^4 \,\mathrm{K}$ (e.g. Oh & Haiman 2002; Greif & Bromm 2006) or in the collapse of relic HII regions produced around Pop III.1 stars (Ferrara 1998; Oh & Haiman 2003; O'Shea et al. 2005; Yoshida et al. 2007). For reference, $T_{\rm vir}$ is related to the virial mass of a halo as (e.g. Barkana & Loeb 2001)

$$T_{\rm vir} \approx 2 \times 10^4 \,\mathrm{K}\left(\frac{\mu}{1.2}\right) \left(\frac{M_{\rm vir}}{10^8 \,\mathrm{M}_{\odot}}\right)^{2/3} \left(\frac{1+z}{10}\right) \tag{1}$$

where μ is the mean molecular weight ($\mu = 1.2$ for neutral atomic primordial gas), $m_{\rm H}$ is the mass of a hydrogen atom, $k_{\rm B}$ is Boltzmann's constant and $M_{\rm vir}$ is the total mass contained within the radius in which the average matter density is $18\pi^2 \approx 178$ times the critical density. Theoretical investigations examining the chemistry, cooling and dynamics of these regions have shown that gas is able to cool to the temperature of the cosmic microwave background ($T_{\rm CMB}$) as a result of HD cooling. This may result in lower characteristic fragmentation masses (e.g. Johnson & Bromm 2006).

One effect that can suppress gaseous collapse, star formation and metal enrichment in small cosmic haloes is a pervasive UV background. Photons with energies in the range 11.2 < hv < 13.6 eV. the LW bands, are capable of photo-dissociating H₂, the key cooling agent in metal-free gas below 10⁴ K, and have a very small optical depth in a neutral IGM. Additionally, the photo-destruction of H⁻, an intermediary in the gas-phase formation of H₂, can also limit the H₂ abundance. Many studies have explored the effect of an UV background on early structure formation (Haiman et al. 1997; Ciardi, Ferrara & Abel 2000; Machacek et al. 2001; Ricotti, Gnedin & Shull 2001; Mesinger, Bryan & Haiman 2006; Wise & Abel 2007b; Yoshida et al. 2007; O'Shea & Norman 2008). It is accepted that above a certain radiation intensity, $J_{LW,21} \approx 10^{-1}$, LW radiation delays the collapse and cooling of metal-free gas until the assembly of more massive haloes.¹ Higher UV background intensities, $J_{\rm LW,21} \gtrsim 10$, completely suppress baryonic collapse and cooling in haloes which allow only H₂ cooling. In this regime, significant cooling will not occur until the assembly of larger mass haloes with virial temperatures $T_{\rm vir} > 10^4$ K, the atomic cooling threshold. In these haloes, $Ly\alpha$ emission will allow the gas to radiate its internal energy and collapse, effectively independent of the radiation background longwards of 13.6 eV.

Studies that have explored the thermodynamical evolution of metal-free gas exposed to a strong UV background (Omukai 2001; Omukai, Schneider & Haiman 2008; Safranek-Shrader, Bromm & Milosavljević 2010; Wolcott-Green, Haiman & Bryan 2011; Latif et al. 2011a) have similarly found that the evolution of metal-free gas undergoing free-fall or isobaric collapse is determined in large part by the background intensity, with the onset of effective H₂ cooling delayed with an increasing $J_{LW,21}$. Gas that reaches the atomic cooling threshold is able to collapse isothermally while remaining at $T \sim$ 8000 K until H₂ forms in sufficient abundance for its cooling rate to exceed the adiabatic heating rate, though this picture depends on the role played by Ly α radiation trapping (see Latif, Zaroubi & Spaans 2011b). Additionally, there exists a spectrum-dependent critical LW radiation intensity (e.g. Omukai 2001; Shang, Bryan & Haiman 2010), $J_{LW,21}^{crit}$, above which H₂ never becomes an effective coolant. In this regime, LW irradiated gas collapses to high density via Ly α and H⁻ free-bound emission. This evolutionary track has been suggested as a potential mechanism for the formation of supermassive black hole seeds via direct gaseous collapse (e.g. Bromm & Loeb 2003; Begelman, Volonteri & Rees 2006; Regan & Haehnelt 2009a; Shang et al. 2010).

The atomic cooling threshold is an appealing criterion for classifying objects as the first galaxies (for a recent review see Bromm & Yoshida 2011). These haloes, with virial masses $\gtrsim 5 \times 10^7 \, M_{\odot}$ at $z \sim 10$, distinguish themselves from minihaloes in that in them, metal-free gas can cool and collapse even in the presence of a strong UV radiation field. Additionally, supersonic turbulent gas flows, typically not present in minihaloes, should potentially develop during the assembly of the more massive haloes (Wise & Abel 2007a; Greif et al. 2008; Prieto, Jimenez & Martí 2012). This turbulence may have influenced the process of star formation in Pop III.2 star-forming haloes.

To understand star formation in the first galaxies, it is instructive to take guidance from the better understood case of star formation in the nearby Universe. Overall, the formation of stars is

¹ Here, $J_{LW,21}$ denotes the radiation intensity at the centre of the LW bands, 12.4 eV, in units of 10^{-21} erg s⁻¹ cm⁻² Hz⁻¹ sr⁻¹. This is not to be confused with J_{21} , the radiation intensity at the Lyman limit, 13.6 eV, in the same units. In general, $J_{LW,21} = \beta J_{21}$, where $\beta = 3$ for a 10^4 K blackbody spectrum and 0.9 for a 10^5 K spectrum (e.g. Wolcott-Green & Haiman 2011).

observed to be extremely slow, in the sense that molecular clouds undergoing free-fall collapse should have star formation rates ${\sim}100$ times higher than the observed rate (e.g. Zuckerman & Evans 1974; Krumholz & Tan 2007; Evans et al. 2009; Kennicutt & Evans 2012). Observational and theoretical work has suggested this inefficiency stems from a number of effects, including supersonic turbulence, protostellar outflows, magnetic fields and radiative feedback from massive stars. State-of-the-art radiative transfer simulations of star formation including these effects are able to reproduce the IMF and star formation rate in Orion-type Galactic star-forming regions (e.g. Krumholz, Klein & McKee 2012). While there are undoubtedly many effects which influence star formation, it is becoming accepted that the interplay of supersonic turbulence, gas self-gravity and protostellar feedback are the key players (e.g. Mac Low & Klessen 2004; Elmegreen & Scalo 2004; McKee & Ostriker 2007), setting the rate of star formation (e.g. Krumholz & McKee 2005) and establishing the shape of the stellar IMF (e.g. Padoan & Nordlund 2002; Padoan et al. 2007; Hennebelle & Chabrier 2008, 2011). With this in mind, we are particularly interested in whether supersonic turbulence plays a role in regulating star formation in the first, metal-free galaxies.

In this work, we present the results of a high-resolution cosmological simulation that follows the assembly of a metal-free, atomically cooling $3 \times 10^7 \,\mathrm{M_{\odot}}$ dark matter halo in an environment exposed to strong LW radiation. We accurately compute the column density of H₂ to properly model the transition where H₂ starts shielding itself from LW radiation, as is necessary for the formation of a cool, dense, baryonic core where star formation can take place. We utilize a spatially adaptive grid to resolve densities up to n = $10^8 \,\mathrm{cm}^{-3}$ and length scales down to $\sim 1000 \,\mathrm{au}$. Then, we employ sink particles to study the long-term fragmentation tendencies of the gas. Similar studies that focused on LW suppression of H2 explored smaller values of the radiation intensity, $J_{LW,21} \lesssim 1$, than we consider here and argued that H₂ self-shielding was not an important effect in the small mass haloes they considered (e.g. Machacek et al. 2001; O'Shea & Norman 2008). Other studies that explored much higher values of $J_{LW,21}$, relevant for the theoretical scenario where supermassive black hole seeds form by direct gaseous collapse, only included H₂ self-shielding in an approximate fashion based on purely local estimates of the H₂ column density (e.g. Bromm & Loeb 2003; Shang et al. 2010). This work bridges the gap between these two extremes, exploring the scenario in which collapse in metal-free gas is delayed by LW radiation until the halo reaches the atomic cooling threshold, but with $J_{LW,21}$ remaining below $J_{LW,21}^{crit}$ and thus permitting H₂ cooling to play a major thermodynamic role.

We will address two primary questions in this study. First, what is the nature of the fragmentation which occurs in the cold, selfshielding gas? Utilizing sink particles, we can evolve the gas long past the initial gravitational collapse to times when a significant mass has been accreted by these particles. We can also identify any additional collapsing regions which would represent other potential star-forming clumps. In addressing this question, we will comment on the importance of HD cooling which is thought to give rise to enhanced fragmentation, thus producing a distinct population of metal-free stars with lower characteristic stellar masses. Secondly, is it possible that LW radiation delayed collapse in metal-free atomic cooling haloes can produce clusters of Pop III stars that have luminosities high enough to be detectable and identifiable with the JWST? Zackrisson et al. (2011) showed that clusters of Pop III stars with total stellar masses as low as ${\sim}10^5\,M_{\odot}$ should be detectable at $z \approx 10$ in deep JWST exposures and that their primordial composition can be ascertained based on simple colour criteria. In a similar study, Inoue (2011) suggested that a star formation rate of a few solar masses per year at $z \sim 10$ will be needed for *JWST* detection. We provide rough estimates for the star formation efficiencies and mass spectra of high-redshift, metal-free stellar populations in atomic cooling haloes and comment on the feasibility of detection.

The outline of the paper is as follows. In Section 2 we describe the initial conditions of the simulation and our numerical methodology. In Section 3 we present results of the simulation. In Section 4 we comment on the nature of supersonic turbulence. In Section 5, we discuss the trends towards gravitational fragmentation of gas and attempt to predict the properties of the expected starburst. In Section 6 we discuss the role of HD cooling. In Section 7 we provide further comments on our results, including a discussion on the expected intensity of the LW background, the effect of internal radiative feedback and the detection prospects of metal-free stellar clusters with the *JWST*. Finally, we summarize our results and conclude in Section 8.

Throughout this paper we assume cosmological parameters consistent with the *Wilkinson Microwave Anisotropy Probe* 7 year results (Komatsu et al. 2011): $\Omega_{\Lambda} = 0.725$, $\Omega_{\rm b} = 0.0458$, $\Omega_{\rm m} = 0.275$, h = 0.704, $\sigma_8 = 0.810$ and $n_s = 0.967$. Additionally, all quantities will be expressed in physical rather than comoving units unless explicitly stated otherwise.

2 NUMERICAL SETUP

2.1 Algorithms and initial conditions

We use the publicly available adaptive mesh refinement (AMR) code FLASH (Fryxell et al. 2000; Dubey et al. 2009), version 3.3, which solves the equations of Eulerian hydrodynamics with the directionally split, piecewise parabolic method of Colella & Woodward (1984). Baryons are represented by a multispecies fluid and dark matter by collisionless, massive particles. The gravitational potential of gas and dark matter is computed with the iterative multigrid Poisson solver of Ricker (2008).

We initialize the simulation at z = 146 in a 1 Mpc³ (comoving) box. Cosmological initial conditions were generated with MPGRAFIC (Prunet et al. 2008), a parallel version of the multiscale Gaussian random field generator GRAFIC (Bertschinger 2001). We first run a 128³ dark matter only simulation and use the halo finder HOP of Eisenstein & Hut (1998) to locate the site of the first 10^8 M_{\odot} dark matter halo in the simulation volume. We then carry out a hierarchical zoom-in procedure to increase the mass resolution around this halo using three separate levels of dark matter refinement to reach a maximum effective resolution of 512³ and an effective dark matter particle mass of $230 \, M_{\odot}$ in the target halo itself. We choose the volume of the highest resolution region such that the total mass contained within it is $10^9 \,\mathrm{M}_{\odot}$, 10 times the mass of our target halo. We have verified that only the highest resolution dark matter particles are found in our target halo. Given our box size, the expected number of $10^8 \,\mathrm{M}_{\odot}$ dark matter haloes at z = 10 is of the order of 10 (e.g. Greif et al. 2008).

2.2 Resolution and adaptive refinement strategy

In order to capture gaseous collapse to progressively higher densities, we utilize AMR which creates more finely spaced grids (refines) in localized regions. To trigger refinement, we use two separate criteria that are based on local gas properties. We employ a criterion very similar to that used in Wise & Abel (2007a) which refines based on baryonic overdensity. In this scheme, the threshold comoving density for refinement is

$$\rho_{\rm th} = 3\rho_{\rm b} 2^{3(l-l_{\rm i})(1+\phi)},\tag{2}$$

where $\rho_b = 3H_0^2 \Omega_b / 8\pi G$ is the comoving baryonic density, l is the current level of refinement, l_i is the initial level of refinement (given our grid resolution, $l_i = 5$) and ϕ is the Lagrangian refinement factor; $\phi = 0$ enforces constant baryonic mass-per-cell while $\phi < 0$ implies that the mass-per-cell decreases with increasing refinement level. We set $\phi = -0.3$ which results in a baryonic mass-per-cell at the highest refinement level ($l_{max} = 22$) of $\approx 0.1 M_{\odot}$.

A hydrodynamical simulation of a self-gravitating fluid must properly resolve the Jeans length to be physically reliable. For gridbased Eulerian codes, this requirement is expressed by the Truelove criterion (Truelove et al. 1997), which states that the Jeans length,

$$L_{\rm J} = \left(\frac{\pi c_{\rm s}^2}{G\rho}\right)^{1/2},\tag{3}$$

must be resolved by at least four grid cell widths to avoid artificial fragmentation. While this criterion was originally formulated for isothermal gas and does not take into account the effect of Hubble expansion, it is commonly utilized in hydrodynamical– cosmological simulations. It would be unnecessary to enforce this criterion across the whole grid, thus we only apply it in the innermost region of the simulation where the most highly refined dark matter particles are present. In this work, we always resolve the Jeans length by at least 12 grid cells and derefine the grid if it is resolved by more than 24. While this is more than sufficient to properly resolve the fragmentation tendencies of gas, it may be insufficient to study the possible small-scale turbulent flow in the gas (see Federrath et al. 2011).

The FLASH code allows for no explicit force softening beyond local (e.g. one cell wide) cloud-in-cell smearing of the dark matter particle mass density on the computational grid. In our simulation, because of AMR, the grid spacing can become many orders of magnitude smaller than interparticle separation. When this happens, the dark matter particle discreteness can introduce severe artefacts into the calculation of the gravitational potential and cause gas on the computational grid to feel the gravity of individual dark matter particles. To achieve sufficient smoothness in the dark matter particle mass distribution, we have developed an algorithm that spatially smears the dark matter density before it is passed to the Poisson solver.

For each dark matter particle we compute a smoothing Kernel radius r_s over which the mass of the particle is to be distributed,

$$r_{\rm s} = 0.3 \, (M_{\rm DM}/\rho_{\rm b})^{1/3},$$
 (4)

where $M_{\rm DM}$ is the dark matter particle mass and $\rho_{\rm b}$ is the baryonic density of the cell containing the dark matter particle. This choice of smoothing radius ensures that the average dark matter mass per cell contributed by a single dark matter particle inside its smoothing kernel, if multiplied by $\Omega_{\rm b}/(\Omega_{\rm m} - \Omega_{\rm b})$, is not larger than the baryonic mass inside the particle's host cell. Within the smearing radius, we distribute the particle mass following the quadratic (or 'Epanechnikov') kernel $\propto 1 - (r/r_{\rm s})^2$.

In practice, we achieve the smearing by replacing the dark matter particle with a sufficient number of daughter particles with total mass equal to that of the parent particle and with density approximating the Kernel profile. Given the highly parallel nature of this simulation, this algorithm takes advantage of the FLASH code's capability for moving Lagrangian data between structured, Eulerian blocks (see Dubey, Antypas & Daley 2011; Dubey et al. 2012). The daughter particle spacing is approximately equal to the cell spacing of the parent particle's computational cell. Computation of the gravitational potential is performed from the daughter particle density. Computation of the parent particle's acceleration is then carried out in a momentum conserving fashion by summing up the gravitational force over daughter particles and assigning the result to the parent particle.

2.3 Chemistry

Detailed, non-equilibrium chemistry is necessary to properly model the thermodynamic state of cosmological gas flows (for a recent review see Glover 2011). Our chemical model tracks the most relevant chemical species in metal-free gas: H, H⁻, H⁺, e⁻, H₂, H₂⁺, He, He⁺, He⁺⁺, D, D⁺ and HD. We evolve the species' abundances and the internal energy of the gas by simultaneously integrating $N_{\text{species}} + 1$ differential equations with a Bulirsch–Stoer-type, semi-implicit extrapolation mid-point method (Bader & Deuflhard 1983). We set our chemical time-step, which subcycles within the hydrodynamic timestep, as $\Delta t = 0.1 \times \min\{n_e/|\dot{n}_e|, n_{\text{H}_2}/|\dot{n}_{\text{H}_2}|, n_{\text{HD}}/|\dot{n}_{\text{HD}}|\}$, where n_i is the number density of species *i*.

The initial number density of hydrogen nuclei is given by $n = \bar{p}_b(z_i)/[m_H(1.0 + 4.0 x_{He})]$, where $\bar{p}_b(z) = (3H_0^2/8\pi G) \Omega_b(1 + z)^3$ is the average, physical baryonic matter density at redshift *z*, and $x_{He} = 0.08$ is the primordial number fraction of helium. We set $x_{H_2} = 2 \times 10^{-6}$, $x_{H^+} = 3.8 \times 10^{-4}$ and $x_D = 4.3 \times 10^{-5}$, where x_i is the number density of species *i* relative to *n*, the number density of hydrogen nuclei (henceforth the abundance of that species). The abundance of electrons is calculated by enforcing charge neutrality. The initial gas temperature is set by assuming adiabatic cooling due to Hubble expansion after $z \approx 200$, when gas and the cosmic microwave background (CMB) thermally decoupled.

Properly modelling the formation of H_2 and HD is essential since these molecules are the only low-temperature (<10⁴ K) coolants in metal-free gas. In the absence of dust, H_2 forms primarily through the gas-phase reaction mediated by H⁻,

$$\mathbf{H} + e^- \to \mathbf{H}^- + \gamma, \tag{5}$$

$$\mathrm{H}^{-} + \mathrm{H} \to \mathrm{H}_{2} + e^{-}, \tag{3}$$

which is feasible in primordial gas owing to the residual ionization fraction present after recombination. At higher densities, $\gtrsim 10^8 \text{ cm}^{-3}$, H₂ can also form directly through three-body reactions (Palla, Salpeter & Stahler 1983), though since we do not simulate densities this high, three-body H₂ formation will not be significant here.

The HD molecule can be a significant coolant at temperatures <200 K that can potentially cool the gas to $T_{\rm CMB}$. In the absence of an LW background, the HD abundance is primarily determined by its main formation pathway

$$\mathbf{H}_2 + \mathbf{D}^+ \rightleftharpoons \mathbf{H}\mathbf{D} + \mathbf{H}^+,\tag{6}$$

where the D^+ to H^+ abundance ratio is set by the charge exchange reaction

$$\mathbf{H} + \mathbf{D}^+ \rightleftharpoons \mathbf{D} + \mathbf{H}^+. \tag{7}$$

Given equilibrium in reactions (6) and (7), the HD abundance is (e.g. Omukai et al. 2005)

$$x_{\rm HD} \approx 2 \exp(421 \,\mathrm{K} \,/\, T) \, x_{\rm H_2} \, x_{\rm D}.$$
 (8)

Therefore with HD in chemical (not photo-dissociation) equilibrium, the HD to H₂ abundance ratio can exceed the cosmological D to H ratio by a large factor when $T \ll 421$ K. This HD to H₂ fractionation, which is due to the slightly higher binding energy of

the HD molecule compared to that of H₂ (Solomon & Woolf 1973), is a reason why HD is thought to be a significant coolant in Pop III.2 star formation where additional cooling from H₂ can induce an elevated HD abundance. As we shall show, even in the presence of a strong LW background, equation (8) describes the HD abundance fairly accurately, although since only a very small fraction of the gas cools down to $T \ll 400$ K, significant HD to H₂ fractionation does not occur.

2.4 Gas cooling

Atomic and molecular radiative cooling processes have been well studied in astrophysical settings (e.g. Shapiro & Kang 1987; Cen 1992; Osterbrock & Ferland 2006). For the densities, temperatures and chemical compositions relevant to this work, the most important cooling mechanisms are Ly α , or more generally atomic, emission from neutral hydrogen, ro-vibrational emission from molecular hydrogen and emission from HD. For completeness, we also include Compton heating and cooling due to electron scattering of CMB photons, H and He recombination and collisional ionization cooling, and free–free emission. We do not consider cooling by metals or dust as we specifically focus on primordial gas.

Ly α cooling becomes significant above ~10⁴ K when the electron fraction and gas temperature become high enough to excite this transition. This extremely efficient cooling channel can cool the gas to ~8000 K. Ro-vibrational emission from molecular hydrogen can potentially cool the gas further. Being a symmetric molecule, however, H₂ lacks a permanent electric dipole moment and consequently cannot cool the gas below ~200 K. HD, if present, can cool gas to even lower temperatures, ≤ 100 K, as it does possess an intrinsic dipole moment and more closely spaced energy levels. Nevertheless, an elevated electron fraction is still required for it to form in significant quantities (e.g. Johnson & Bromm 2006). We adopt the H₂ cooling rate from Galli & Palla (1998) and the HD cooling rate from Flower et al. (2000).

Finally, as the CMB imposes a lower limit on the temperature to which gas can radiatively cool, we adopt an effective cooling rate of the form $\Lambda_{\text{eff}}(T) = \Lambda(T) - \Lambda(T_{\text{CMB}})$, where $T_{\text{CMB}} = 2.7 \text{ K} (1 + z)$ and $\Lambda(T)$ is the total volumetric cooling rate not taking into account the CMB. This formulation ensures the gas temperature will not fall below T_{CMB} unless it does so via adiabatic expansion.

2.5 Sink particles

We utilize sink particles to follow the global evolution of the gas after the first baryon dominated region inside a halo undergoes runaway gravitational collapse. Without sink particles, the first collapse would drive the computational mesh to arbitrarily high refinement, which would impose a prohibitively short Courant-Friedrichs-Lewy (CFL) time-step on the entire simulation. Sink particles allow us to self-consistently simulate the formation of multiple gravitationally bound collapsed structures over many freefall times by accreting mass from the grid and putting an upper limit on the gas density. This computational method was originally introduced in Bate, Bonnell & Price (1995) and has been used extensively in numerical simulations, both in AMR (e.g. Krumholz, McKee & Klein 2004; Wang et al. 2010; Federrath et al. 2010b; Padoan & Nordlund 2011; Girichidis et al. 2011) and in smoothed particle hydrodynamics (e.g. Bromm et al. 2002; Bate, Bonnell & Bromm 2003; Stacy et al. 2010; Clark et al. 2011a; Greif et al. 2011).

Our sink particle implementation is identical to the method introduced in Federrath et al. (2010b). Special care is taken to avoid the spurious creation of sink particles that may not represent gravitationally collapsing regions. To this end, we utilize additional checks for the creation of a sink particle in addition to requiring that the gas density in a cell be greater than some threshold ρ_{thresh} . This includes enforcing that the local velocity divergence is negative and that the region of collapse is both gravitationally bound and Jeans unstable.

Once formed, sink particles are capable of accreting mass directly from the computational grid. Cells with centres within a constant distance, the accretion radius r_{acc} , from a sink particle and with a density $\rho > \rho_{thresh}$ are examined. For these cells, the mass increment $\Delta M = (\rho - \rho_{thresh})\Delta V$ is calculated, where ΔV is the cell volume. Provided that the cell velocity is radially directed towards the sink particle and that the mass increment ΔM is gravitationally bound to the sink particle and the gas within r_{acc} , the increment is deducted from the grid and added to the sink particle.

The gravitational interaction between sink particles and gas is computed by direct summation. To avoid extremely large accelerations, we employ cubic spline softening (e.g. Price & Monaghan 2007) which decreases the gravitational attraction of gas–sink and sink–sink interactions within $r < r_{\text{soft}}$, where r is the separation between a cell centre and a sink particle or between two sink particles.

In the simulation here, we set the sink particle accretion and softening radii to be equal, $r_{\rm acc} = r_{\rm soft} = 0.01 \,\mathrm{pc} \approx 2000 \,\mathrm{au}$, which is 2.5 times the grid spacing at the highest level of refinement. Additionally, we set the sink particle creation density threshold to $\rho_{\rm thresh} = 2.2 \times 10^{-16} \,\mathrm{g \, cm^{-3}}$ corresponding to $n = 10^8 \,\mathrm{cm^{-3}}$.

2.6 Transport of H₂-dissociating radiation

The occurrence of a metal-free atomic cooling halo requires previous suppression of Pop III.1 star formation in minihaloes that could have polluted the atomic cooling halo with metals. As previously discussed, this suppression could have been produced by a molecule-dissociating radiative background produced by neighbouring star-forming galaxies. From the initial redshift, we impose a constant LW background incident flux with intensity $J_{21} = 100$ (corresponding to $J_{LW,21} = 90$) on to each of the six faces of the computational box. This ignores periodicity of the domain, which is acceptable given that our target halo is located near the centre of the box. Due to absorption by neutral hydrogen in the IGM, we set $J_{\nu} = 0$ shortwards of the Lyman limit. We assume the spectral shape of this source is a 10⁵ K blackbody, representative of massive, metal-free stars (Bromm, Kudritzki & Loeb 2001; Schaerer 2002). While it has been suggested that the photo-dissociation of H^- can be crucial in regulating the H_2 abundance, we neglect it as it has been shown to have minimal importance for 10⁵ K blackbody sources (e.g. Omukai et al. 2008). However, if Pop III stars were not extremely massive ($\sim 100 \,\mathrm{M_{\odot}}$) but had more moderate masses $(\sim 10 \, M_{\odot})$, the appropriate radiation source temperature would be somewhat lower. We note, though, that the primary consequence of including H⁻ photo-dissociation in this context would be a shift in the density at which H₂ cooling becomes effective, and would not significantly alter our overall results.

The photo-dissociation of H₂ occurs through the Solomon process (Stecher & Williams 1967) in which a photon with an energy coinciding with either the Lyman or the Werner (LW) bands of H₂ places the molecule in an excited electronic state. The subsequent radiative decay has an ~15 per cent chance of reaching the ground state continuum, which results in molecular dissociation. Accurately modelling this process requires detailed modelling of hundreds of LW lines which is computationally unfeasible in 3D hydrodynamic simulations. Fortunately, the photo-dissociation rate of molecular hydrogen can be expressed as (e.g. Abel et al. 1997)

$$k_{\rm H_2} = 1.38 \times 10^{-12} J_{\rm LW,21} f_{\rm shield,H_2} \ \rm s^{-1}, \tag{9}$$

where the dimensionless factor $f_{\text{shield},\text{H}_2} \leq 1$ accounts for H₂ selfshielding with $f_{\text{shield},\text{H}_2} = 1$ corresponding to no shielding and $f_{\text{shield},\text{H}_2} = 0$ to complete shielding. For a static, cold medium, $f_{\text{shield},\text{H}_2}$ can be written solely as a function of the H₂ column density N_{H_2} (Draine & Bertoldi 1996),

$$f_{\text{shield},\text{H}_2} = \min\left[1.0, \left(\frac{N_{\text{H}_2}}{10^{14} \,\text{cm}^{-2}}\right)^{-0.75}\right].$$
 (10)

To more accurately model a dynamic medium, Draine & Bertoldi (1996) also provided a fit for $f_{\rm shield,H_2}$ which takes thermal gas motion into account,

$$f_{\text{shield},\text{H}_2} = \frac{0.965}{(1+x/b_5)^{\alpha}} + \frac{0.035}{(1+x)^{0.5}} \times \exp[-8.5 \times 10^{-4} (1+x)^{0.5}], \quad (11)$$

where $x = N_{\rm H_2}/5 \times 10^{14} \,{\rm cm}^{-2}$, $b_5 = b/10^5 \,{\rm cm} \,{\rm s}^{-1}$, $\alpha = 2$, and $b = 9.12 \,{\rm km} \,{\rm s}^{-1} \,(T/10^4 \,{\rm K})^{1/2}$ is the velocity spread parameter for H₂ (e.g. Ahn & Shapiro 2007). Unless otherwise noted, we will use equation (11) with $\alpha = 1.1$, a modification suggested by Wolcott-Green et al. (2011), to calculate the self-shielding factor for H₂ from LW radiation.

HD can also be destroyed by LW radiation at a rate similar to that given in equation (9),

$$k_{\rm HD} = 1.5 \times 10^{-12} J_{\rm LW, 21} f_{\rm shield, HD} f_{\rm shield, H_2, HD} \, \rm s^{-1},$$
(12)

which includes both a factor due to HD self-shielding and a factor accounting for the shielding of HD by H₂. The HD self-shielding factor is equivalent to that in equation (11) with the HD column density $N_{\rm HD}$ replacing $N_{\rm H_2}$. Due to slight energy differences between the H₂ and HD LW line centres, the shielding of HD by H₂ does not effectively occur until there is a large H₂ column density, $N_{\rm H_2} \gtrsim 10^{20} \, {\rm cm}^{-2}$. Wolcott-Green & Haiman (2011) provided a fit for the HD shielding factor due to H₂,

$$f_{\text{shield},\text{H}_2,\text{HD}} = \frac{1}{(1+x)^{0.238}} \exp(-5.2 \times 10^{-3} x),$$
 (13)

where $x = N_{\rm H_2}/2.34 \times 10^{19} \, {\rm cm}^{-2}$. Both H₂ and HD can also be shielded from LW radiation by neutral hydrogen; however we neglect this effect as self-shielding is always the dominant effect. In fact, HD photo-dissociation is never significant in determining the HD abundance. Instead, as we will argue, it is primarily the H₂ photo-dissociation and self-shielding that determine both the H₂ and HD abundances.

We compute the molecular column densities, $N_{\rm H_2}$ and $N_{\rm HD}$, using an on-the-fly, non-local approach very similar to the 'six-ray' approximation (e.g. Nelson & Langer 1997; Glover & Mac Low 2007; Glover et al. 2010). Specifically, we compute the column density at each point in our box as

$$N_{\rm H_2}(\mathbf{r}) = \min_{i} \{ N_{\rm H_2, i}(\mathbf{r}) \}, \tag{14}$$

where $j = \pm x, \pm y, \pm z$, and $N_{\text{H}_2, j}$ is the column density from point r to the edge of the box along direction j. The same approach is used for calculating the HD column density. The presence of bulk velocity gradients, which our approach does not account for, may act to decrease the importance of self-shielding by Doppler shifting absorption line centres (e.g. Draine & Bertoldi 1996; Glover &



Figure 1. Comparison of the H₂ self-shielding factor, equation (11), between approaches where the H₂ column density N_{H_2} is calculated via our column density method ($f_{\text{shield, column}}$) and two common approximations ($f_{\text{shield, approx}}$). In these approximations, N_{H_2} is calculated locally with a Sobolev (green squares) and a Jeans length approach (blue diamonds). The dashed line represents a one-to-one mapping. The Sobolev approximation for N_{H_2} produces an H₂ self-shielding factor in close agreement with our method, except for a few cases of disagreement, likely due to small velocity gradients. A hybrid of these two approaches may be useful in future work (see Clark et al. 2011a).

Brand 2001), although Wolcott-Green et al. (2011) argue that this effect is minimal in radially coherent gas flows.

While we self-consistently compute the column densities to each point in our simulation, a commonly used (e.g. Bromm & Loeb 2003; Shang et al. 2010), and less computationally expensive, approximation is $N_{\rm H_2} \approx n_{\rm H_2} L_{\rm char}$, where $L_{\rm char}$ is a local characteristic length scale and $n_{\rm H_2}$ is the number density of molecular hydrogen. In Fig. 1, we compare $f_{\rm shield, H_2}$ computed using $N_{\rm H_2}$ calculated with our six-ray approach to $f_{\text{shield}, \text{H}_2}$ computed with N_{H_2} based on two local characteristic lengths L_{char} at the time when the gas density first reaches 10^8 cm^{-3} . The first is the Jeans length, $L_{\text{char}} = L_J$, which is motivated by the assumption that the majority of shielding occurs in a region of size similar to the local Jeans length. The second approximation is a close analogue to the Sobolev length (Yoshida et al. 2006; Clark et al. 2011a), $L_{char} = c_s/|\nabla \cdot \boldsymbol{v}|$, which, assuming a constant velocity gradient, is the distance at which absorption line centres are Doppler-shifted by approximately one thermal line width. As is evident in Fig. 1, both approximations agree reasonably well with the more accurate, non-local approach, with the Sobolev approach yielding slightly better overall agreement. While this agreement is likely coincidental given the disparate physics involved in all three approaches, it is still reassuring that they are all of similar magnitude. At least in this regime, a combination of the two local approaches could be useful in future work (e.g. Clark et al. 2011a).

3 RESULTS

The LW radiation field of intensity $J_{21} = 100$ prevents the H₂ abundance from reaching the level that would permit efficient gas cooling in any halo not capable of atomic line cooling.² Hydrostatic

² If we included no radiation background, the first minihalo in our box would have collapsed at $z \sim 19$; see Ritter et al. (2012), who used identical cosmological initial conditions.



Figure 2. Radial profiles of average gas density centred around the point of maximum density with time measured from the point of sink particle formation which occurs shortly after the gas first reaches $n = 10^8 \text{ cm}^{-3}$. The gas density always maintains a roughly $\rho \propto r^{-2}$ density profile (shown by the straight line) with departures from the formation of the core and the gravitational influence of the sink particle at the final time shown.

collapse still occurs in these haloes, but only along an adiabat. At a redshift $z \sim 13$, our target halo can be classified as an atomic cooling halo, with an average gas temperature of 8000 K and most of gas within the virial radius lying between $2000 < T < 1.2 \times 10^4$ K. With gas now able to radiate its internal energy, collapse proceeds isothermally at $T \approx 8000$ K resulting in an $n \propto r^{-2}$ density profile within the virial radius (see Fig. 2).

When the maximum gas density near the halo centre reaches $\sim 200 \text{ cm}^{-3}$ at z = 12.1, the virial mass of the surrounding halo is $3 \times 10^7 \text{ M}_{\odot}$ with a virial radius $\approx 750 \text{ pc}$, just nominally fulfilling the standard analytic atomic cooling criterion, equation (1). At this point, the H₂ cooling rate first exceeds the rate of adiabatic heating, resulting in rapid gas cooling to $T \sim 400 \text{ K}$. It should be noted that H₂ self-shielding is not the determining factor in setting the density at which H₂ cooling factor rapidly drops below unity indicating strong shielding. Indeed, the inclusion of self-shielding is essential for the cooling instability to continue and for the H₂ abundance to eventually reach the asymptotic abundance of $\sim 10^{-3}$ (Oh & Haiman 2002).

In a suite of cosmological simulation exploring the effect of the LW radiation on the fraction of cool gas in haloes, Machacek et al. (2001) determined the threshold halo virial mass for metal-free gaseous collapse as a function of radiation intensity to be

$$M_{\rm TH} = \left\{ 1.25 \times 10^5 + 2.9 \times 10^6 [J_{\rm LW,21}]^{0.47} \right\} \,\rm M_{\odot}, \tag{15}$$

where we have converted their F_{LW} into $J_{LW,21}$. Extrapolating beyond the intensity range, $J_{LW,21} < 0.080$, explored by Machacek et al. (2001), equation (15) still yields an accurate prediction for the halo mass $M \approx 3 \times 10^7 \text{ M}_{\odot}$ where self-shielding and collapse first occur in the simulation here. Since Machacek et al. (2001) did not run simulations with stronger radiation fields, their simulations did not produce any haloes in which atomic line cooling was effective. Indeed, once an atomic cooling halo forms, Ly α cooling permits rapid isothermal collapse provided that the halo mass exceeds a minimum that depends very weakly on the LW radiation intensity.

We will denote the density at which the H_2 cooling rate becomes larger than the rate of adiabatic heating and the gas evolution leaves the atomic isothermal track with n_{cool} . We show a representative



Figure 3. Density–temperature phase plot at the time of sink particle formation at z = 12.1 showing gas within $2R_{\rm vir}$ of the halo. The colour corresponds to the mass contained in the phase–space region. The solid black curve is a one-zone calculation of a thermodynamic evolution of metal-free gas undergoing free-fall collapse with no radiation background (Pop III.1 track). After the gas in the simulation is able to cool via H₂ cooling starting around $n \sim 2 \times 10^2 \,{\rm cm}^{-3}$, most of the cooled gas reaches minimum temperature at $T \sim 400 \,{\rm K}$ and $n \sim 10^4 \,{\rm cm}^{-3}$. The gas that continues to collapse converges towards the standard Pop III.1 molecular track. Adiabatic gas cooling due to expansion in a turbulent medium is apparent around $n \sim 10^3 \,{\rm cm}^{-3}$, permitting some gas to reach temperatures of $T < 100 \,{\rm K}$.

density-temperature phase diagram in Fig. 3 which shows this density is $n_{\rm cool} \approx 200 \,{\rm cm}^{-3}$ and this density is found within a radius of ~10 pc. This is a larger density than $n_{\rm cool} \approx 10 \,{\rm cm}^{-3}$ found by Shang et al. (2010) in a similar simulation with an identical radiation background. We attribute this discrepancy to our different treatments of H₂ self-shielding, in particular the method for computing $N_{\rm H_2}$. Shang et al. (2010) utilized a local approximation $N_{\rm H_2} = n_{\rm H_2} L_{\rm J}$, while here we computed $N_{\rm H_2}$ more accurately as described in Section 2.6. To further understand the source of this discrepancy, we can equate the H₂ gas cooling time $t_{\rm cool, H_2}$ and the free-fall time $t_{\rm ff}$ to obtain a rough estimate of $n_{\rm cool}$,

$$n_{\rm cool} \approx 180 \,{\rm cm}^{-3} \,\left(\frac{x_{\rm H_2}}{3 \times 10^{-7}}\right)^{-2},$$
 (16)

where x_{H_2} is the H₂ abundance at n_{cool} , $t_{ff} = [3\pi/(32G\rho)]^{1/2}$ is the free-fall time, $t_{cool,H_2} = 3nk_BT/2\Lambda_{H_2}$ is the H₂ cooling time and Λ_{H_2} is the volumetric H₂ cooling rate (e.g. Galli & Palla 1998) which we evaluate at 8000 K. Equation (16) is simply n_{cool} in terms of the required H₂ abundance for the molecular cooling rate to exceed the rate of adiabatic heating, where 3×10^{-7} is the H₂ abundance at which the transition to efficient molecular cooling takes place in our simulation. We can simplify this further by reasonably assuming that the H₂ abundance is determined by its photo-equilibrium value,

$$x_{\rm H_2,photo} = \frac{k_{\rm f,H^-} \, x_{\rm e}}{k_{\rm H_2}} n, \tag{17}$$

where k_{f,H^-} is the formation rate of H^- , k_{H_2} is the photo-dissociation rate of H_2 given by equation (9), and H^- photo-destruction is considered negligible. Inserting equation (17) into equation (16) and assuming $f_{\text{shield},H_2} = 1$ result in

$$n_{\rm cool} \approx 210 \,{\rm cm}^{-3} \,\left(\frac{J_{21}}{100}\right)^{2/3} \left(\frac{x_{\rm e}}{5 \times 10^{-5}}\right)^{-2/3},$$
 (18)

where J_{21} is the unattenuated radiation intensity and x_e is the free electron abundance at n_{cool} . Equation (18) is in excellent agreement

with the density at which H₂ cooling becomes effective in the simulation, suggesting that self-shielding is not necessary for the onset of H₂ cooling. Allowing for self-shielding, $f_{\text{shield,H}_2} \leq 1$, and adopting the prescription of Shang et al. (2010) with equation (10) and $N_{\text{H}_2} = n_{\text{H}_2} L_J$ give us

$$n_{\rm cool} \approx 10 \,{\rm cm}^{-3} \,\left(\frac{J_{21}}{100}\right)^{2/3} \left(\frac{x_{\rm e}}{10^{-4}}\right)^{-2/3},$$
 (19)

where we have now normalized to a slightly higher value of the electron abundance found in the simulation at lower densities. Equations (18) and (19) separately agree reasonably well with our simulation and with that of Shang et al. (2010), respectively, suggesting that the H_2 column density computed with the local Jeans length is an overestimate compared to that computed with the more detailed radiative transfer described in Section 2.6. As we shall argue in Section 7.3, this may have an impact on the star formation efficiencies and observability of these systems.

The onset of effective H₂ cooling at n_{cool} leads to the rapid emergence of a cold $<10^3$ K, dense $n \sim 10^4$ cm⁻³ core, similar to that forming in the process of Pop III.1 star formation (e.g. Bromm et al. 2002). While we have argued that self-shielding is not important in determining n_{cool} , the H₂ self-shielding factor does begin to drop below unity as the gas cools, reaching as low as $f_{shield,H_2} \approx 10^{-6}$ at the highest densities. Proper treatment of self-shielding is therefore essential for accurately computing the chemical state of high-density gas. To discriminate cold, molecule-rich, self-shielding gas from the warmer outer halo, we will generally utilize a simple criterion where we select the cells that have an H₂ shielding factor $f_{shield,H_2} < 10^{-2}$. Some of our results may be sensitive to how we decide which gas belongs to the self-shielding core, although we have verified that alternative criteria, such as only selecting gas with temperatures $<2 \times 10^3$ K or densities $>10^3$ cm⁻³, would yield similar conclusions.

After effective cooling begins when the gas density reaches n_{cool} , gas collapses at close to the free-fall rate and reaches $n = 10^8 \text{ cm}^{-3}$ in ~3 Myr. As the collapse progresses, we can estimate the mass of the first gravitationally unstable clump by comparing the enclosed gas mass to the Bonnor–Ebert mass (Ebert 1955; Bonnor 1956) at different radii. The Bonnor–Ebert mass can be written as

$$M_{\rm BE} = \frac{m_1 a_T^4}{P_0^{1/2} G^{3/2}},\tag{20}$$

where $a_T = (k_B T/\mu m_H)^{1/2}$ is the isothermal sound speed, P_0 is the ambient pressure, and $m_1 = 1.18$ is the maximum dimensionless mass in the solution to the Lane–Emden equation (e.g. Stahler & Palla 2005). In Fig. 4 we show the enclosed gas mass (solid line) and the Bonnor–Ebert mass (dashed line) both as a function of distance from the point of maximum gas density, approximately 10^5 yr before sink particle formation. To evaluate the Bonnor–Ebert mass as a function of radius, $M_{\rm BE}(r)$, we take P_0 to be the pressure at radius r and a_T to be the mass-weighted isothermal sound speed interior to r. The enclosed gas mass first exceeds the Bonnor–Ebert mass at a radius of ~1 pc, corresponding to a mass of ~10³ M_☉. We thus expect the first gravitationally unstable fragment to have roughly this mass, though there remains the possibility that additional fragmentation may occur on unresolved scales or at later times.

Sink particles are allowed to form at a density of $n = 10^8 \text{ cm}^{-3}$ to follow the gas evolution for multiple free-fall times (see Section 2.5). We run the simulation for 3.5×10^5 yr after the formation of the sink particle. At this point, the self-shielding core has an average density of $\approx 8 \times 10^3 \text{ cm}^{-3}$, total mass $\approx 1.7 \times 10^4 \text{ M}_{\odot}$, mass-weighted rms velocity $v_{\text{rms}} \approx 7.1 \text{ km s}^{-1}$, average tempera-



Figure 4. Enclosed gas mass (solid line) and Bonnor–Ebert mass (dashed line) as a function of distance from the point of maximum density roughly 10^5 years before sink particle formation. The maximum radius where the enclosed gas mass exceeds the Bonnor–Ebert mass provides a rough measure of the mass of the first gravitationally unstable clump. We see the onset of gravitationally instability occurring inside a radius of ~1 pc when the enclosed gas mass is $10^3 M_{\odot}$.

ture \approx 480 K and rms Mach number $\mathcal{M} = v_{\rm rms}/c_{\rm s} \approx$ 3.3. Additionally, the sink particle has increased in mass to \approx 1100 M_☉, which is \approx 6 per cent of the total mass of the self-shielding gas. No additional sink particles form, meaning that additional gravitational fragmentation, if any, would have been confined to occur on unresolved scales inside the sink particle.

In Fig. 5, we plot the accretion rate and mass of the sink particle as a function of time. Initially, the sink particle accretion rate is $\dot{M}_{\rm sink} \sim 0.1 \,\rm M_{\odot} \,\rm yr^{-1}$ which drops to $0.01 \,\rm M_{\odot} \,\rm yr^{-1}$ within 10⁴ yr. The time averaged accretion rate over the whole simulation is $0.003 \,\rm M_{\odot} \,\rm yr^{-1}$. Given the average density and mass of self-shielding gas at the end of the simulation, $n \approx 10^4 \,\rm cm^{-3}$ and $\approx 2 \times 10^4 \,\rm M_{\odot}$, respectively, the average rate of accretion on to the sink particle is roughly a factor of 10 lower than what would be expected if the gas had been undergoing free-fall collapse. We discuss this inefficiency of gaseous collapse further in Section 5.

In Fig. 6 we show mass-weighted line-of-sight gas density projections on six different spatial scales 3.5×10^5 yr after the formation of the sink particle. The upper-right panel shows neighbouring haloes and demonstrates the clustered cosmological environment where the target halo formed. The bottom-left panel displays the extent of self-shielding gas and its irregular, filamentary density structure. The self-shielding core is small, $\sim 5 - 10$ pc, compared to the virial radius of the halo, $R_{\rm vir} \approx 750$ pc. The bottom-right panel shows that a rotationally supported disc, ~ 0.1 pc in diameter, forms around the sink particle approximately 10^5 yr after the sink's formation. The disc is seen approximately face-on.

In Fig. 7 we show the abundances of H₂, HD and free electrons as a function of density at the time of sink particle formation. In gas with a density of $n = 10^4$ cm⁻³, the H₂ abundance reaches ~a few × 10^{-4} while the HD abundance is ~a few × 10^{-8} . Both of these values approximately match equilibrium abundances, assuming that H₂ is in direct photo-dissociation equilibrium with the self-shieldingattenuated LW radiation field and HD is close to equilibrium with H₂ via the reaction in equation (6). We show the equilibrium abundance expectations for H₂ and HD with solid black lines. Above this density, H₂ approaches the non-equilibrium asymptotic abundance



Figure 5. Accretion rate (top panel) and mass (bottom panel) of the sink particle as a function of time. Power-law fits to the accretion rate and mass in the first 10^5 years are shown in red. The time-averaged accretion rate (blue dashed line) of the sink particle is $3 \times 10^{-3} M_{\odot} \text{ yr}^{-1}$, which we take to be an upper limit on the star formation rate that occurred in this time period. The late time accretion rate approaches $10^{-3} M_{\odot} \text{ yr}^{-1}$. We also show the characteristic accretion rate c_s^3/G (green dot–dashed line) with the adiabatic sound speed c_s evaluated at T = 800 K.

of $x_{\rm H_2} \approx 10^{-3}$ (e.g. Oh & Haiman 2002) while HD remains in approximate equilibrium with H₂. We observe only minor fractionation of HD over H₂, which is to be expected given the relatively high temperature of the gas, ~400 K, compared to what is needed for the $x_{\rm HD}/x_{\rm H_2}$ ratio to exceed the cosmological $x_{\rm D}/x_{\rm H}$ ratio. In fact, the HD abundance is generally just below its equilibrium value at densities $10^4 \,{\rm cm}^{-3} \lesssim n \lesssim 10^8 \,{\rm cm}^{-3}$. The electron abundance shows the expected behaviour – when efficient H₂ cooling begins at $n \sim$ $10^2 \,{\rm cm}^{-3}$, the abundance is below the residual recombination value even though there is significant collisional ionization, $x_{\rm e} \sim 10^{-2}$, at lower densities (not shown in Fig. 7). We propose this as one explanation for the lack of significant HD cooling and discuss this further in Section 6.

3.1 Kinematical state and evolution

To gain further insight into the properties of the gas flow we proceed to analyse the gas kinematics at different stages of the collapse. Before the onset of effective H₂ cooling, Ly α cooling acts as a thermostat that keeps gas inside the halo at a temperature of ~8000 K. Towards the centre of the halo, isothermal collapse proceeds under quasi-hydrostatic conditions. However, with increasing density, the cooling time decreases faster than the free-fall time, eventually reaching a point at which H₂ cooling is so rapid as to effectively remove pressure support and set the gas into free-fall collapse. This also marks the onset of self-shielding which allows the H₂ abundance and cooling rate to increase further. This thermal instability proceeds until the gas temperature is roughly 400 K. The collapse is also responsible for exciting some bulk turbulent motions and increasing the Mach number of the flow. At the time of sink particle formation, the self-shielding gas has an rms velocity of $v_{\rm rms} \approx 7 \,\rm km \, s^{-1}$ corresponding to a Mach number $\mathcal{M} \approx 3$.

In Fig. 8 we show different components of the gas velocity computed in annular shells at four times: (a) just before the onset of effective H₂ cooling; (b) after the onset of H₂ cooling but before the maximum gas density reached 10^8 cm^{-3} and a sink particle formed; (c) at the moment of formation of the sink particle and (d) at the end of our simulation, 3.5×10^5 yr after sink formation. We consider five components of velocity, each computed via mass-weighting in annular shells centred on the sink particle location, except in panel (a) where it is centred on the point of maximum gas density:

(i) The rms velocity

ı

$$\nu_{\rm rms} = \left(\frac{\sum_i m_{\rm i} |\boldsymbol{v}_{\rm i}|^2}{\sum_i m_{\rm i}}\right)^{1/2},\tag{21}$$

where the sum ranges over all cells with centres inside the annular shell, m is the cell mass and v is the gas velocity minus the centre-of-mass motion of the particular annular shell.

(ii) The adiabatic sound speed $c_s = (\gamma k_B T / \mu m_H)^{1/2}$, where T is the mass-weighted temperature inside the shell.

(iii) The rms rotational velocity of the mean rotation inside the shell

$$v_{\rm rot} = \left(\frac{\sum_i m_i |\boldsymbol{v}_{\rm rot,i}|^2}{\sum_i m_i}\right)^{1/2},\tag{22}$$

where $\boldsymbol{v}_{\text{rot,i}} = \boldsymbol{r}_i \times \Omega$ is the azimuthal rotational velocity of the mean flow in cell i, $\Omega = \boldsymbol{L}/I$ is the mean angular velocity inside the shell, $\boldsymbol{L} = \sum_i m_i \boldsymbol{r}_i \times \boldsymbol{v}_i$ is the total angular momentum inside the shell and $I = \sum_i m_i |\boldsymbol{r}_i \times \hat{\boldsymbol{L}}|^2$ is the moment of inertia of the shell in the direction defined by the total angular momentum.

(iv) The radial velocity of the bulk motion inside the shell

$$\nu_{\rm rad} = \frac{\sum_{i} m_{i} \hat{\boldsymbol{r}}_{i} \cdot \boldsymbol{v}_{i}}{\sum_{i} m_{i}}.$$
(23)

(v) The rms turbulent velocity relative to the mean flow, quantifying unordered gas motions

$$v_{\text{turb}} = \left(\frac{\sum_{i} m_{i} |\boldsymbol{v}_{i} - \boldsymbol{v}_{\text{rot},i} - \boldsymbol{v}_{\text{rad},i}|^{2}}{\sum_{i} m_{i}}\right)^{1/2},$$
(24)

where $\boldsymbol{v}_{\text{rad},i} = v_{\text{rad}} \hat{\boldsymbol{r}}_i$ is the radial velocity of cell *i*.

As shown in Fig. 8, before the onset of significant H_2 cooling (panel a), typical rms gas velocities in the halo are $\sim 10 \,\mathrm{km \, s^{-1}}$ near the virial radius and drop to $\sim 5 \,\mathrm{km}\,\mathrm{s}^{-1}$ in the inner $\sim 10 \,\mathrm{pc}$. The sound speed is greater than the rms velocity inside 300 pc, somewhat smaller than half of the virial radius. Inside the virial radius bulk gas motions are dominated by turbulent and rotational motions, as radial infall motions greatly decrease after the virial shock. Gas kinematics inside the halo can be described as a turbulent, subsonic flow. Within 1 Myr after the onset of H₂ cooling (panel b), and shortly before sink particle formation, the mass of self-shielding gas is $\approx 10^4 \, \text{M}_{\odot}$. The temperature has dropped to $\approx 400 \, \text{K}$ in the inner $\sim 1 \text{ pc}$. The rms velocities have increased within $r \approx 10 \text{ pc}$ where the gas flow is transonic, $\mathcal{M} \sim 1$. At the time of sink particle formation (panel c), turbulent motions still dominate within $\sim 10 \text{ pc}$, although towards smaller radii the contributions of rotational and radial motions increase. The Mach number is of the order of 2. Panel



Figure 6. Mass-weighted line-of-sight gas density projections 3.5×10^5 yr after the sink particle formation (z = 12.1). The six panels show progressively smaller fields of view. The black dot marks the location of the sink particle while the black circle in the top panels is the virial radius (~750 pc) of the target halo. The spatial scale, in physical units, is shown at the bottom of each panel. The upper-left panel shows neighbouring haloes and the clustered cosmological environment where our target halo formed. Self-shielding, cold gas is seen in the bottom-left panel and the filamentary, irregular nature of this gas is apparent. The high-density disc which forms around the sink particle is visible in the bottom-right panel, approximately face-on.



Figure 7. Abundance of H₂ (top), HD (middle) and free electrons (bottom) as a function of density at the time when the sink particle formed. In the top panel, the dot–dashed line is the photo-equilibrium H₂ abundance not considering self-shielding ($f_{\text{shield},\text{H}_2} = 1$) while the solid line includes self-shielding. As is shown, self-shielding is essential for H₂ abundances larger than $\sim 10^{-6}$. In the middle panel, the black line is the equilibrium abundance of HD only assuming reactions involving H₂ (see equation 8) and excluding HD photo-dissociation. The three equilibrium curves were computed with data extracted directly from the simulation and represent mass-weighted averages as a function of density.

(d) shows the kinematical state of the gas 3.5×10^5 yr later at the end of the simulation. Turbulent motion is still the dominant form of gas motion, particularly in the range $0.1 \text{ pc} \lesssim r \lesssim 10 \text{ pc}$. Within $r \sim 0.1$ pc there is kinematical evidence of a disc with ordered rotational velocities approaching $\sim 15 \text{ km s}^{-1}$. The Mach number of the flow has also increased within the self-shielding core to $\mathcal{M} \sim 2$ -4. We will proceed to analyse the impact of these supersonic gas motions on the collapse of gas in the following section.

4 SUPERSONIC TURBULENCE

Supersonic turbulence is believed to play a key role in presentday star formation as it compresses gas to densities at which it can be susceptible to gravitational fragmentation and collapse. It additionally can act as a source of pressure support against gravitational collapse on larger scales (e.g. Mac Low & Klessen 2004). Minihaloes, the sites of Pop III.1 star formation, are not thought to contain fully developed supersonic turbulence (e.g. Yoshida et al. 2006). The virialization process, gravitational inflow and larger virial velocities of atomic cooling haloes are believed to give rise to supersonically turbulent flows, likely influencing star formation (Wise & Abel 2007a; Greif et al. 2008; Prieto et al. 2011). The turbulence which develops in the self-shielding core in our simulation is also generated by gravitational infall (see Federrath et al. 2011, for a study of general properties of gravity-driven turbulence), but on a smaller spatial scale defined by thermal instability (e.g. Kritsuk & Norman 2002).

The classical theory of Kolmogorov (Kolmogorov 1941; Frisch 1995) describes turbulence as a chaotic fluid motion in which energy progressively cascades to smaller length scales through a series of eddies. These eddies eventually reach a small enough scale where viscous forces effectively dissipate the turbulence. Typical gas in the interstellar medium is highly compressible and compressible turbulence is known to result in a self-similar network of interacting shocks, large density contrasts and a filamentary morphology (e.g. Kritsuk et al. 2007).

Many simulations have shown that driven, isothermal, supersonic turbulence results in a gas density probability distribution function (PDF) that is accurately described by a lognormal distribution (e.g. Vazquez-Semadeni 1994; Passot & Vázquez-Semadeni 1998; Scalo et al. 1998; Ostriker, Stone & Gammie 2001). This lognormal shape is understood to be the result of multiple, independent shocks altering the logarithmic gas density contrast in random walk fashion, thus driving the density PDF towards a lognormal form. The addition of self-gravity, magnetic fields or a realistic equation of state can alter this general shape. We write the lognormal distribution as

$$p(s) ds = \frac{1}{(2\pi\sigma^2)^{1/2}} \exp\left[-\frac{1}{2}\left(\frac{s-\bar{s}}{\sigma}\right)^2\right] ds, \qquad (25)$$

where p(s) is the probability that a parcel of gas has *s* in the range $[s, s + ds], s \equiv \ln(n/n_0)$ is the logarithmic density contrast, σ is the standard deviation and n_0 is the average density. Additionally, by considering the normalization of the lognormal distribution, it can be shown that $\bar{s} = -\sigma^2/2$. Unless otherwise noted, we consider *volume*-weighted quantities when discussing density PDFs.

In Fig. 9 we show the volume-weighted density PDF of selfshielding gas, defined such that $f_{\text{shield},\text{H}_2} < 10^{-2}$, at five different times. As expected, the peaks of the density PDFs lie just below the average density as most of the volume is underdense, typical of compressive turbulence (the peak of a *mass*-weighted density PDF would lie just above the average density). The black line is a



Figure 8. Mass-weighted average gas velocities computed in annular shells. Panels (a) through (d) correspond to times -1.2×10^6 , -9.9×10^4 , 7 and $+3.5 \times 10^5$ yr from the formation of the sink particle. We show the rms velocity minus the centre of mass motion of the halo (black solid line), sound speed (red triple-dot-dashed line), radial velocity (orange dashed line), rms turbulent velocity (green dotted line) and rms rotational velocity (blue dot-dashed line). See text for additional details.



Figure 9. Volume-weighted density PDFs of self-shielding gas $(f_{\text{shield, H}_2} < 10^{-2})$ at various times from the creation of the sink particle. We show a lognormal fit to the final density PDF (black curve) which has a standard deviation of $\sigma = 0.93$. The PDF populates higher density contrast as gas collapses and at high densities shows a marked departure from the initial lognormal like distribution which can be attributed to the self-gravity of the gas. The dashed black line indicates a power law of slope -1.5, and the expected PDF slope of an $\rho \propto r^{-2}$ density profile.

lognormal fit to the final density PDF at $t = 1.2 \times 10^5$ yr, although such a fit to the PDF at any time would look approximately the same in this view. For this particular lognormal fit, $\sigma = 0.93$.

As time progresses, the gas density PDF develops a power-law tail towards higher density contrasts. These power-law tails are understood as a consequence of the self-gravity of the gas and have been seen in both numerical simulations of turbulence (e.g. Scalo et al. 1998; Klessen 2000; Federrath et al. 2008a; Collins et al. 2011; Cho & Kim 2011; Kritsuk, Norman & Wagner 2011) and observations of active star-forming complexes (e.g. Kainulainen et al. 2009; Schneider et al. 2012). It can be shown that the slope of the density PDF for a spherical $\rho \propto r^{-n}$ density profile is -3/n (e.g. Federrath et al. 2011; Kritsuk et al. 2011). Thus, for an isothermal, n = 2, profile one would expect a power-law PDF with a slope of -1.5; this slope is shown in Fig. 9 as a dashed black line. The slope of the power-law tail just after sink particle formation matches this expectation quite well, while approximately 10^5 yr later the gravity of the sink particle has altered the gas dynamics, thus perturbing the shape of the PDF.

Numerous studies (e.g. Padoan, Nordlund & Jones 1997; Passot & Vázquez-Semadeni 1998; Price, Federrath & Brunt 2011; Molina et al. 2012) have shown the rms Mach number \mathcal{M} and gas density PDF width σ of a supersonically turbulent flow are related by

$$\sigma^2 = \ln(1 + b^2 \mathcal{M}^2), \tag{26}$$

where *b* is a constant, found numerically to lie between ~ 0.2 and 1.1 (see Federrath, Klessen & Schmidt 2008b). Since ordered rotational and radial motions do not directly produce density fluctuations, it is the turbulent Mach number, $\mathcal{M}_{turb} = v_{turb}/c_s$ with v_{turb} defined as in equation (24), that should be present in equation (26). Since the gas is dominated by turbulent motions after H₂ cooling becomes effective (see Fig. 8), especially in low-temperature, self-shielding regions, v_{rms} and v_{turb} are very similar here. We can compare the parameters of the density PDF of the self-shielding gas in the simulation with expectations derived from the independently measured turbulent Mach number of the gas flow. Roughly 10⁵ yr after sink particle formation, the width of the density PDF is $\sigma = 0.93$, corresponding to the green curve in Fig. 9, and the turbulent Mach number in the self-shielding core is $\mathcal{M}_{turb} \approx 2.7$. Equation (26) would then

require $b \approx 0.43$, in excellent agreement with previous numerical experiments of idealized turbulence in which turbulence is driven by a combination of solenoidal (divergence-free) and compressive (curl-free) modes, i.e. mixed-mode forcing (Federrath et al. 2010a). This seems to suggest that the gravitational infall which is driving supersonic turbulent motions in the cold, self-shielding core, is exciting a combination of solenoidal and compressive modes, and that turbulence has modified the density structure of the gas in close accord with theoretical expectations.

We stress that lognormal density PDFs have been found in extremely idealized simulations where supersonic turbulence is driven by random forcing. In the simulation presented here, however, the turbulence is driven by gravitational infall and thermal instability. Thus, it is interesting, though not surprising, that the parameters of the gas density PDF in our cosmological simulation so closely match the idealized, theoretical expectations.

5 GAS FRAGMENTATION AND STAR FORMATION RATE

In this section we discuss trends towards gravitational fragmentation in our simulation. We also attempt to predict properties of the expected sub-grid star formation in the sink particle, including whether fragmentation on scales smaller than the sink particle accretion radius is expected. Our analysis is the first step towards learning about the mass spectrum of the stellar objects produced and the overall efficiency in which this system converts gas into stars.

5.1 Star formation rate and global fragmentation

For 3×10^5 yr after sink particle creation, the extent of our simulation, no additional sink particles form. At the end of the simulation the lone sink particle has a mass of $\approx 1100 \,M_{\odot}$, which is ~ 6 per cent of the mass of the self-shielding, cold gas. To understand this lack of fragmentation and gain insight into the expected rate of star formation, we define the dimensionless star formation rate per free-fall time as

$$SFR_{\rm ff} = \frac{\dot{M}_{\rm sink}}{(M/t_{\rm ff})},\tag{27}$$

where M and $t_{\rm ff}$ are the total mass and characteristic free-fall time of the self-shielding region, and $\dot{M}_{\rm sink}$ is the sink particle accretion rate (see Fig. 5). SFR_{ff} is a measure of the actual rate of star formation compared to the rate if gas is collapsing on the free-fall time-scale. Even though $\dot{M}_{\rm sink}$ is the sink accretion rate, not the star formation rate, it can be interpreted as the rate at which gas collapses to high densities where it can fuel star formation. Given that we do not attempt to model any feedback effects from the sink particles, such as protostellar outflows and radiation, $\dot{M}_{\rm sink}$ is a firm upper limit to the actual star formation rate.

Taking fiducial values for the self-shielding core, namely the average sink particle accretion rate, characteristic self-shielding cloud density and its mass, equation (27) becomes

$$SFR_{\rm ff} \approx 0.1 \left(\frac{\dot{M}_{\rm sink}}{3 \times 10^{-3} \,\mathrm{M}_{\odot} \,\mathrm{yr}^{-1}} \right) \\ \times \left(\frac{t_{\rm ff}}{10^6 \,\mathrm{yr}} \right) \left(\frac{M}{10^4 \,\mathrm{M}_{\odot}} \right)^{-1}, \qquad (28)$$

which suggests that star formation in our system is at least 10 times slower than it would be if all the gas in the self-shielding core gas had been collapsing at the free-fall rate. We will argue this retardation stems from the supersonic turbulence and a centrifugally supported disc around the sink particle.

One way to understand how supersonic turbulence suppresses the rate of gaseous collapse is by considering it an additional source of pressure support. The Jeans mass, M_J , scales with sound speed and density like $M_J \propto \rho^{-1/2} c_{s,eff}^3$. The presence of supersonic turbulent motions enhances the effective sound speed, $c_{s,eff}^2 = c_s^2 + v_{rms}^2/3$. Additionally, strong isothermal shocks impart density fluctuations scaling as $\rho \propto M^2 \propto v_{rms}^2$. These two effects lead to a scaling of $M_J \propto v_{rms}^2$ in supersonic gas, implying turbulence does indeed impede collapse by increasing the effective Jeans mass (e.g. Chandrasekhar 1951; Bonazzola et al. 1987; Mac Low & Klessen 2004). It should be noted, however, that this analysis assumes that the highly anisotropic turbulent velocity field is isotropic; thus treating supersonic turbulence as an additional source of pressure is not necessarily justified.

It has long been known that the global SFR_{ff} in the Galactic giant molecular clouds (GMCs) is of the order of ~ 0.01 (e.g. Zuckerman & Evans 1974). This low rate of star formation extends to more compact star-forming systems as well, such as infrared dark clouds (IRDCs) and higher density clumps embedded within (see Krumholz & Tan 2007, and references therein). Equation (28) suggests a global SFR_{ff} of ~ 0.1 for self-shielding, cold gas seen in our simulation, 10 times that of the Galactic norm. Perhaps this is not surprising, though, given we neither include any sort of stellar feedback nor magnetic fields, both of which would likely reduce the star formation rate. Additionally, the rms Mach number in the self-shielding gas here, $\mathcal{M} \sim 3$, is much less than typical Mach numbers in Galactic molecular clouds. $\mathcal{M} \sim 10-20$ (e.g. Bergin & Tafalla 2007), implying a relatively smaller contribution of turbulent pressure support in the simulation compared to molecular clouds. Indeed, Krumholz & McKee (2005) showed that the density threshold for star formation, understood as the density where thermal pressure equals turbulent pressure, in a supersonically turbulent medium is $\rho_{\rm crit} \sim \rho_0 \mathcal{M}^2$.

5.2 Disc fragmentation

The idea that supersonic turbulence suppresses star formation and fragmentation does not apply in the $\sim 1 \text{ pc}$ vicinity of the sink particle where the densities exceed $\sim 10^6 \text{ cm}^{-3}$. In Fig. 6 we show that a disc of size $\sim 0.1 \text{ pc}$ forms around the sink particle with rotational velocities approaching $\sim 15 \text{ km s}^{-1}$ at $r \sim 10^{-2} \text{ pc}$. It is interesting why this disc does not become unstable and fragment into multiple sink particles, given that disc fragmentation has been seen in recent simulations of Pop III.1 star formation (e.g. Stacy et al. 2010; Clark et al. 2011a; Greif et al. 2011), though at much higher densities and smaller spatial scales than probed here.

In general, two conditions must be met for a disc to fragment. The disc must be gravitationally unstable which requires that the Toomre Q parameter, $Q = c_s \Omega/\pi G\Sigma$, be less than unity (Toomre 1964; Goldreich & Lynden-Bell 1965), where c_s is the sound speed in the disc, $\Omega = v_{rot}/R$ is the angular velocity and Σ is the gas surface density. Additionally, even if a disc is gravitationally unstable, a number of effects can prevent fragmentation into gravitationally bound collapsing clumps (e.g. Kratter & Murray-Clay 2011). In particular, Gammie (2001) argued that a key effect influencing the fragmentation behaviour of a disc is the balance between cooling and the dissipation of turbulence, which is a source of heating. Quantitatively, Gammie found that if $t_{cool} < 3/\Omega$ then discs tend to fragment into clumps.



Figure 10. Cooling time-scale $t_{\rm cool}$ and $3/\Omega$ (top panel) and the Toomre Q parameter (bottom panel) plotted as a function of distance from the sink particle for gas with $n > 10^6 \,{\rm cm}^{-3}$ which roughly selects gas in the sink particle's disc. In the top panel, thick lines are the cooling time while the thin lines represent $3/\Omega$. Both panels suggest that the disc is stable against fragmentation. Specifically, in the top panel, $3/\Omega$ is generally below $t_{\rm cool}$ (the Gammie criterion), while in the bottom panel, $Q \gtrsim 1$ throughout the disc's extent. For reference, the disc radius is approximately ~0.05–0.07 pc.

In the top panel of Fig. 10 we show the mass-weighted average of the cooling time as a function of distance from the sink particle for gas with density $n > 10^6$ cm⁻³ at three different times (thick lines). We also show the mass-weighted average of $3/\Omega$ (thin lines). As is shown, $3/\Omega$ and t_{cool} trace each other in the inner disc ($r \leq 0.03$ pc), while $3/\Omega$ is a factor of ~2 lower than t_{cool} at larger radii and particularly in the last two times shown. According to the results of Gammie (2001), this disc is stable to fragmentation given its inefficient cooling and relatively rapid rotational velocity. For reference, the radius of the disc is sharp and is approximately ~0.05–0.07 pc.

In the bottom panel of Fig. 10, we show the Toomre Q parameter as a function of distance from the sink particle. To calculate Q(r), we rewrite the gas surface density as $\Sigma(r) = \rho(r)H$, where H is the characteristic vertical thickness of the disc. We have verified that for the disc here, $H \approx 0.05$ pc is approximately constant in both radius and time, and that a more detailed calculation of $\Sigma(r)$ would come within a factor of 2 of this estimate. Thus, Q(r) provides an additional reason for the absence of disc fragmentation since $Q \gtrsim 1$ within the disc's extent and actually increases slightly with time.

6 HD COOLING AND POP III.2 STAR FORMATION

Elevated electron abundances created by the ionizing radiation emitted by the first stars, or in our case, by collisional ionization in T_{vir} >



Figure 11. HD cooling time and the free-fall time as a function of density when the sink particle forms. For HD cooling to be thermodynamically significant, the HD cooling time must be shorter than the free-fall time, which is never realized. This is a result of many factors, including the reduction in the free electron fraction when H₂ cooling becomes significant at $n \approx 200 \text{ cm}^{-3}$ and the reduction in the HD cooling rate when it thermalizes at $n \approx 10^5 \text{ cm}^{-3}$.

 10^4 K haloes, are thought to result in an increase of the HD abundance (see Section 2.3). HD is an agent that can act as a coolant in metal-free gas below 200 K. These lower temperatures reduce the Jeans mass and may result in stars with lower characteristic masses, the so-called Pop III.2. However, as discussed in Section 3, HD is never a significant coolant. Some gas does cool to temperatures <100 K as seen in Fig. 3, but this is the result of adiabatic expansion, not HD cooling.

In Fig. 11 we show a phase plot of the HD cooling time, $t_{\text{cool},\text{HD}}$, as a function of density at the time when the sink particle formed. We also show the free-fall time t_{ff} . For HD cooling to be significant, it is necessary that $t_{\text{cool},\text{HD}} < t_{\text{ff}}$. This criterion is never met.

Many studies have explored the HD cooling mode in Pop III.2 star formation. Johnson & Bromm (2006) calculated that an HD abundance of $x_{\rm HD} \sim 10^{-8}$ is needed for HD emission to cool gas to $T_{\rm CMB}$ in a Hubble time. They suggested that this sets a firm lower limit on the HD abundance needed for its cooling to have a thermodynamic impact. Using one-zone thermodynamic models, they also found that this HD abundance is realized in the downstream of $T \sim 10^4$ K virialization shocks, in supernova remnant cooling, and in the collapse of relic Pop III.1 H II regions. In a cosmological setting, Greif et al. (2008) detected gas with temperatures $\sim T_{\rm CMB}$, which they attribute to efficient HD cooling, in an atomic cooling halo of similar mass to the one we analyse. Yoshida et al. (2007) found that HD cooling was responsible for gas cooling to the CMB temperature after the collapse of a Pop III.1 relic H II region and argued this was responsible for reducing the mass of the clump which eventually will undergo runaway collapse. There has even been a suggestion, by McGreer & Bryan (2008), that in Pop III.1 star formation HD cooling may play a thermodynamically significant role. In contrast, other studies that have examined the collapse of metal-free gas in a similar context to the one explored here are in agreement that HD never plays a major thermodynamic role (Omukai 2001; O'Shea & Norman 2008; Shang et al. 2010).

We propose two related reasons for the lack of significant HD cooling in our simulation. First, as found by Wolcott-Green & Haiman (2011) using one-zone models, the LW flux necessary to suppress HD cooling is $J_{\rm crit,HD} \approx 10^{-22} \,{\rm erg \, s^{-1} \, cm^{-2} \, Hz^{-1} \, sr^{-1}}$.

This is far smaller than the flux required to completely suppress H_2 formation and cooling, J_{crit,H_2} $\approx 10^{-20}$ to 10^{-16} erg s⁻¹ cm⁻² Hz⁻¹ sr⁻¹ (Shang et al. 2010). This critical HD flux is not the result of direct HD photo-dissociation, but is instead due to the partial photo-dissociation of H₂ which never permits a significant fraction of gas to cool below \sim 300 K where significant HD fractionation can occur. Secondly, at $n_{cool} \approx 200 \,\mathrm{cm}^{-3}$ where H₂ cooling becomes effective, the free electron abundance is not high enough to drive up the H₂ abundance. Gas heated to high temperatures in virialization shocks can have a free electron abundance of $x_e \sim 10^{-2}$, but only at low densities, 10^{-2} to 10^{-1} cm⁻³. At larger densities around n_{cool} the electron abundance drops to $\sim 10^{-4}$ (see Fig. 7), below the residual abundance after recombination, simply because the electron recombination time is inversely proportional to density. This would seem to suggest that Pop III.2 star formation is very similar to Pop III.1, at least in the scenario explored here.

7 DISCUSSION

7.1 Lyman–Werner radiation field

A key physical parameter in this study is the intensity of the constant LW radiation background. Given the large mean-free path of photons with energies below 13.6 eV, the formation of the first stars should have established an LW background well before the completion of reionization (e.g. Haiman, Abel & Rees 2000).

In terms of the cosmic star formation rate, we can estimate $J_{LW,21}$ as (e.g. Johnson 2011)

$$J_{\rm LW,21} \approx 2 \left(\frac{\eta_{\rm LW}}{10^4}\right) \left(\frac{\dot{\rho_*}}{10^{-2}\,\rm M_{\odot}\,\rm yr^{-1}\,\rm Mpc^{-3}}\right) \left(\frac{1+z}{10}\right)^3, \qquad (29)$$

where η_{LW} is the number of LW photons produced per stellar baryon, $\dot{\rho}_*$ is the star formation rate per comoving volume, and the lifetime of stars producing the LW radiation is implicitly assumed to be 5 × 10⁶ yr. The star formation rate is normalized with a reasonable value around z = 10 (e.g. Trenti & Stiavelli 2009).

Another estimate of $J_{LW,21}$ can be obtained by considering the ionizing background needed to reionize the Universe. Assuming that reionization was driven by photons with energies just above the Lyman limit, it is straightforward to estimate the value of the UV background just below the limit, $J_{21}^- \approx J_{LW,21}$ (e.g. Bromm & Loeb 2003),

$$J_{21}^{-} \approx 400 \left(\frac{N_{\gamma}}{10}\right) \left(\frac{f_{\rm esc}}{0.1}\right)^{-1} \left(\frac{1+z}{10}\right)^{3},\tag{30}$$

where N_{γ} is the number of ionizing photons per baryon required to reionize the Universe and $f_{\rm esc}$ is the ionizing photon escape fraction from high-redshift star-forming galaxies. Both of the above estimates suggest that before reionization was complete, radiation intensities as high as $J_{\rm LW,21} \sim 100$, the value we adopt here, were realized in the Universe. Depending on the scenario for reionization, these may overestimate the mean background intensity, but Dijkstra et al. (2008) showed that, due to dark matter halo clustering, a small fraction of haloes can experience LW intensities greatly above the global mean value.

Even though the UV background intensity around the time of reionization is very uncertain, the above estimates suggest it was likely far above the threshold, $J_{LW,21} \sim 10^{-1}$, that would have suppressed the formation of H₂ in small-mass $\sim 10^5$ to $10^6 M_{\odot}$ haloes, thus delaying cooling and star formation until the assembly of atomic cooling haloes. As we shall discuss in Section 7.3, the



Figure 12. Theoretical estimates of the redshift evolution of the average radiation intensity in the LW bands $(J_{LW,21})$ compiled from the literature. The curves show the results of Ahn et al. (2009) (green line), Trenti & Stiavelli (2009) (blue dot–dashed line) and Holzbauer & Furlanetto (2012) (red dashed lines). The three curves of Holzbauer & Furlanetto (2012) correspond to different choices for the minimum virial mass of a halo capable of forming stars, which they take, from top to bottom, as 10^6 , 10^7 and $10^8 M_{\odot}$. The top black line denotes the LW radiation background which accompanies reionization of the IGM at z = 9, assuming an escape fraction of ionizing radiation of $f_{\rm esc} = 0.1$ and 10 ionizing photons per baryon required for reionization. The bottom black line is an estimate of the LW background needed to suppress cooling in haloes which rely exclusively on H₂. See the text for further details.

precise value of $J_{LW,21}$ may significantly change the results of our simulation, particularly the star formation efficiency.

For more accurate estimates of $J_{LW,21}$ we can look to other studies which have undertaken detailed modelling of the redshift evolution of the LW background intensity. In Fig. 12, we show three such estimates in the literature. We also show the LW intensity which would have accompanied reionization at z = 9 (top solid line) assuming the fiducial values in equation (30). Additionally, we plot the redshift-dependent LW radiation intensity $J_{LW,crit}(z)$ needed to completely suppress H₂ formation in haloes with $T_{vir} < 10^4$ K (bottom solid line). We compute $J_{LW,crit}(z)$ by equating the $J_{LW,21}$ dependent minimum halo mass needed for H₂ cooling with the halo mass at which the virial temperature equals 10^4 K (equations 12 and 14 from Trenti & Stiavelli 2009, respectively) to obtain

$$J_{\text{LW,crit}}(z) = 1.5 \left(\frac{1+z}{31}\right)^{4.5}$$
. (31)

We refer the reader to the original references for the details of the models, but briefly summarize the critical components. Trenti & Stiavelli (2009) and Holzbauer & Furlanetto (2012) model $J_{LW,21}(z)$ in a semi-analytic fashion, using the formalism of Press & Schechter (1974) modified for ellipsoidal collapse by Sheth & Tormen (2002) to estimate the mass function of collapsed haloes, which they combine with a prescription for star formation in these haloes. Trenti & Stiavelli (2009) self-consistently utilize their calculated LW background to estimate the minimum mass of a star-forming halo. The three curves in Fig. 12 from Holzbauer & Furlanetto (2012) correspond to different choices for the minimum mass of a star-forming halo; $M_{\rm min} = 10^6$, 10^7 and $10^8 \,\mathrm{M}_{\odot}$ from top to bottom, respectively, each with a fixed star formation efficiency of 0.1. Ahn et al. (2009) do not rely on a semi-analytic approach, but instead carry out transfer of ionizing and LW radiation in a large, cosmological, N-body simulation. Their resolution, however, is limited to $10^8 \,\mathrm{M}_{\odot}$, which may be responsible for their $J_{LW,21}$ estimate being the lowest at high redshifts, as can be seen in Fig. 12.

There are numerous uncertainties in these estimates of $J_{LW,21}(z)$, particularly in the choice of star formation efficiency (which is likely to depend on $J_{LW,21}$ itself, as well as the redshift, halo mass and metallicity), LW escape fraction of radiation, mass and multiplicity of Pop III sources, and different prescriptions for star formation feedback. We note that all these models assume that Pop III sources have an extremely top-heavy IMF with a characteristic mass ~100 M_☉. In spite of these uncertainties, most of these estimates seem to converge around z = 10, but they still underpredict the LW intensity that would have accompanied reionization by z =9. Additionally, all models suggest that at $z \leq 15$ –20, the average LW radiation field is large enough to completely suppress cooling and star formation in haloes with $T_{\rm vir} < 10^4$ K.

Furthermore, as discussed in Ahn et al. (2009) and Holzbauer & Furlanetto (2012) (see also Dijkstra et al. 2008), the LW radiation field is expected to be very spatially uniform around the time of reionization, in the sense that isolated regions with $J_{LW,21}$ significantly above or below the mean were extremely rare. This homogeneity increases with decreasing redshift and increasing spatial scale. Compared to this spatially homogenous LW radiation feedback, chemical enrichment of the IGM by galactic outflows has been suggested to be extremely inhomogeneous (e.g. Scannapieco et al. 2002; Tornatore et al. 2007; Trenti et al. 2009). This suggests that the scenario we explore in this work, a chemically pristine atomic cooling halo subjected to a strong LW background, is a physically plausible scenario for the formation of metal-free stellar clusters.

7.2 Internal feedback

This work focused on the impact of an external LW radiation background and its effect on star formation in metal-free atomic cooling haloes. However, once a starburst begins, LW and photo-ionizing radiation feedback from internal sources will likely impact subsequent star formation. Glover & Brand (2001) explored the conditions under which a metal-free gaseous clump exposed to LW radiation can continue to collapse and form stars. They argue that star formation in gas clumps will be suppressed if the H₂ photo-dissociation time, t_{dis} , is shorter than the free-fall time of the clump. Glover & Brand (2001) derived that t_{dis} for a spherical, homogeneous cloud of density *n*, mass *M*, and distance *D* from a source which produces \dot{N}_{dis} LW photons per second is given by

$$t_{\rm dis} = 20 x_{\rm H_2} n^{2/3} M_{\rm solar}^{1/3} D_{\rm pc}^2 f_{\rm dis}^{-1} f_{\rm abs}^{-1} \left(\frac{\dot{N}_{\rm dis}}{10^{48} \, {\rm s}^{-1}} \right)^{-1} \, {\rm yr}, \tag{32}$$

where f_{abs} is the fraction of incident photons which are actually absorbed by the cloud, f_{dis} is the fraction of H₂ excitations by an LW photon which result in a successful dissociation, and M_{solar} and D_{pc} are measured in units of M_{\odot} and parsec, respectively. Considering the simulation here, a clump at a distance of ~1 pc from the source of LW photons (which we take to be the sink particle) has a characteristic mass of the order of the Jeans mass ~3000 M_{\odot}, density ~10⁵ cm⁻³ and an H₂ abundance ~10⁻³. If we reasonably assume that the central sink particle forms roughly ten 10 M_{\odot} stars (see Section 5) the LW photon production rate is $\dot{N}_{dis} \approx 10^{48} \text{ s}^{-1}$. The resulting H₂ photo-dissociation time $t_{dis} \approx$ $6 \times 10^4 \text{ yr}$ assuming that $f_{dis} = f_{abs} = 0.1$. The free-fall time is $t_{ff}(10^5 \text{ cm}^{-3}) \approx 1.5 \times 10^5 \text{ yr}$, therefore this clump will have its primary cooling agent, H₂, photo-dissociated and thus its further collapse suppressed.



Figure 13. Gas density as a function of distance from the sink particle 10^5 years after sink particle formation colour coded by the mass-weighted H₂ abundance. The required density for a given clump's free-fall time to equal its H₂ dissociation time is also shown by the solid lines, that were computed assuming all clumps have a mass of $3000 \text{ M}_{\odot} \approx M_J$ and H₂ abundance of $x_{\text{H}_2} = 10^{-3}$. The different solid lines correspond to three different choices for the LW photon production rate \dot{N}_{dis} : 10^{49} s^{-1} (top) is appropriate for a single 100 M_{\odot} stars, 10^{48} s^{-1} (middle) for ten 10 M_{\odot} stars, while 10^{47} s^{-1} (bottom) would be the rate from ten 5 M_{\odot} stars. Assuming a homogeneous clump, its density must lie above a solid line for its collapse to occur before its supply of H₂ is exhausted by photo-dissociation.

In reality, the effect of LW feedback on nearby collapsing clumps will depend strongly on their distances from the radiation source and on the level of pre-condensation in the gas, i.e. how dense the clump is when the neighbouring LW source turns on. In Fig. 13 we show the radius and density dependence of the H₂ abundance in cells with $x_{\rm H_2} > 10^{-5}$ at a time 10⁵ years after sink particle formation, roughly the Kelvin-Helmholtz time of massive stars that would be the primary producers of LW photons. The cells are colour coded by their H₂ abundance. We also show the density where $t_{\rm ff} = t_{\rm dis}$ for three different choices of the LW photon production rate. To derive this density, we assume that collapsing clumps have a total mass of 3000 M_{\odot},³ H₂ abundance of 10⁻³, and that $f_{dis} = f_{abs} =$ 0.1. Evidently the effect of LW radiative feedback on subsequent star formation is a strong function of $\dot{N}_{\rm dis}$ and the source distance. If star formation which occurs in the sink particle results in an LW photon production rate of $\dot{N}_{\rm dis} \approx 10^{48} \, {\rm s}^{-1}$, it is clear from Fig. 13 that further collapse and star formation will be strongly suppressed in the uncollapsed fraction of the self-shielding core, even given the large simplifications we have made in this analysis. This may have an adverse effect on the overall star formation efficiency and detectability of these systems.

7.3 Direct *JWST* observations of a metal-free stellar population

Since the basic characteristics and formation mechanisms of the first cosmic structures are very uncertain, one of the main goals of future observations with the *JWST* is to observe light from the first stars and galaxies. By modelling metal-free synthetic stellar

³ We note that the clumps visible in Fig. 13 at ~0.5 pc and ~1.5 pc are in fact not Jeans unstable and have masses well below 3000 M_☉. This downward mass revision will decrease their H₂ photo-dissociation time which depends weakly on mass, $t_{\rm dis} \propto M^{1/3}$.

spectra, Zackrisson et al. (2011) determined *JWST* exposure limits and colour criteria for high-redshift, metal-free galaxies. Given the uncertainties of these sources, Zackrisson et al. (2011) explored a large parameter space defined by the shape of the stellar IMF, nebular emission strength, stellar population age, stellar mass and formation redshift. Their most optimistic scenario involves a 3 Myr old stellar population with an extremely top-heavy IMF ($dn/dM \propto M^{-2.35}$ for 50 < M/M_{\odot} < 500) and maximal nebular emission, requiring a vanishing escape fraction of ionizing radiation, $f_{\rm esc} =$ 0. At z = 10, a cluster with stellar mass $M_* \approx 10^5 M_{\odot}$ and the above characteristics would just be detectable (at 10σ) with *JWST* in ultra-deep (100 h) broad-band exposures.

Given our finding that $\approx 1.5 \times 10^4 \,\mathrm{M_{\odot}}$ of cold gas becomes available for star formation in an atomic cooling halo at $z \approx 12.1$ exposed to an LW intensity of $J_{21} = 100$, it seems extremely unlikely this starburst could be detected by *JWST*, even if 100 per cent of the mass turns into stars with an extremely top-heavy IMF. It is conceivable, however, that a weaker UV background could still have suppressed star formation until the assembly of an atomic cooling halo, but could have allowed for a larger global star formation efficiency. An inspection of Fig. 12 suggests that a background LW intensity $J_{LW,21} \gtrsim 1$, at least for $z \lesssim 30$, would have fully suppressed star formation in haloes not capable of atomic cooling.

To get a sense of how the mass of cold gas depends on J_{21} , we proceed as follows. First, recall equation (18) which expresses the density at which H_2 cooling becomes effective, n_{cool} , as a function of J_{21} and x_e . This cooling density partially determines the mass of cold $T \lesssim 10^3$ K gas, $M_{\rm cold}$, available for the first burst of star formation. Once a halo reaches the atomic cooling limit, the accretion rate of gas on to the self-shielding core, \dot{M}_{cold} , will not a strong function of J_{21} . With this, we can estimate the cold gas mass as $M_{\rm cold} \approx \dot{M}_{\rm cold} t_{\rm ff}(n_{\rm cool}) \propto J_{21}^{-1/3} x_{\rm e}^{1/3}$. The abundance of free electrons would be higher at lower densities, but by how much is difficult to determine given the highly non-equilibrium electron abundance. For example, if x_e is 10 times higher than in our simulation at $n_{\rm cool}$ and if $J_{21} = 10$, the mass of cold gas would be $M_{\rm cold} \sim$ $10^{2/3} \times 1.5 \times 10^4 \,\mathrm{M_{\odot}} \approx 7 \times 10^4 \,\mathrm{M_{\odot}}$. If we furthermore assume a relatively high star formation efficiency, $f_* = 0.1$, which represents the fraction of cold gas that will eventually turn into stars, we find a total stellar mass of $M_* \sim f_* M_{\rm cold} \sim 7000 \,{\rm M}_{\odot}$. Even if these stars form with an extremely top-heavy IMF, a possibility recent simulations suggest is unlikely (e.g. Stacy et al. 2010; Clark et al. 2011a; Greif et al. 2011), the analysis of Zackrisson et al. (2011) implies this cluster will not be detectable with the JWST.

One effect, however, which may render these targets within the JWST detection limit is gravitational lensing. Low-redshift galaxy clusters are capable of magnifying high-redshift sources by factors of $\mu \sim 10$ –100. Indeed, the recently discovered $z \approx 9.6$ galaxy MACS 1149-JD1 (Zheng et al. 2012) is gravitationally lensed by a foreground galaxy cluster with a magnification factor of $\mu \approx 14$, though lensing was not strictly required for its detection. To this end, Zackrisson et al. (2012) estimated the conditions under which the JWST could detect gravitationally lensed, metal-free stellar populations behind the $z \approx 0.546$ galaxy cluster MACS J0717.5+3745, an ideal gravitational lens for high-redshift stellar sources. Mock halo catalogues of metal-free galaxies, generated with the methodology of Trenti et al. (2009), were simulated to lie beyond this particular galaxy cluster and the expected number of metal-free stellar populations as a function of limiting magnitude was generated. The primary source of uncertainty in these estimates is ϵ , the efficiency of Pop III.2 star formation, defined as $M_{*,\text{popIII.2}} = \epsilon M_{\text{halo}}(\Omega_{\text{b}}/\Omega_{\text{m}})$. This efficiency could be broken down as $\epsilon = f_* f_{\text{cold}}$, where f_{cold} is the fraction of a halo's baryonic mass which is cold and available for star formation and f_* is, as before, the fraction of cold gas that will eventually become incorporated into stars.

Zackrisson et al. (2012) find that with efficiencies as low as $\epsilon \approx 10^{-3}$, clusters of metal-free stars with moderately top-heavy IMFs, $M_{char} = 10 \, M_{\odot}$, will be visible in deep *JWST* lensing surveys around MACS J0717.5+3745. Our optimistic $J_{21} = 10$ scenario, described above, results in an efficiency $\epsilon \approx (7000 \, M_{\odot}/3 \times 10^7 \, M_{\odot})(\Omega_m/\Omega_b) \approx 10^{-2.9}$. Thus, according to the estimates of Zackrisson et al. (2012) and our relatively crude estimate for the Pop III.2 star formation efficiency, it appears that *JWST* will marginally detect metal-free stellar populations in deep, foreground cluster lensing enhanced surveys. This conclusion, though, is very approximate and more detailed studies are needed to fully assess the observational prospects for detecting these primitive, low-luminosity stellar clusters.

8 SUMMARY AND CONCLUSIONS

We have performed a high-resolution cosmological simulation focused on the collapse of metal-free gas in a region of the Universe subjected to a strong molecule-dissociating LW background. We resolved densities up to 10^8 cm⁻³ and length scales down to ~1000 au. This simulation utilized a fully non-equilibrium primordial chemistry network coupled with a non-local column density calculation to accurately compute the H₂ and HD photo-dissociation rates. Additionally, our use of sink particles allowed us to evolve the simulation for many free-fall times past the first gravitational collapse.

Here we summarize the main findings of this work:

(i) With an LW intensity $J_{21} = 100$ in a 1 Mpc³ (comoving) box, effective H₂ cooling first occurs in a 3 × 10⁷ M_☉ halo at $z \approx$ 12.1. This cooling results in a thermal instability and a cold, dense core develops in which H₂ shields itself from LW radiation. Upon sink particle creation at $n = 10^8$ cm⁻³, the central core containing self-shielding, cold gas has a mass of ~10⁴ M_☉, characteristic size ~5 pc, temperature ~400 K, mean rms velocity $v_{\rm rms} \approx 6$ km s⁻¹ and Mach number ≈2. Mach numbers increase to ≈2–4 within 3.5 × 10⁵ years.

(ii) Within the inner $\sim 10 \text{ pc}$ of the halo, the gas flow becomes supersonic only when H₂ cooling becomes effective. The gas density PDF in the cold, self-shielding gas is approximately lognormal and has a width consistent with the turbulent Mach number of the flow given expectations derived from idealized simulations in which turbulence is driven by a mixture of solenoidal and compressive forcing. With time, the density PDF acquires a high-density powerlaw tail as self-gravitating gas decouples from the turbulent flow.

(iii) The rate of gaseous collapse on scales comparable to the size of the self-shielding region is suppressed compared to what would be expected if all the gas in the self-shielding core was collapsing at its free-fall rate. By the time the sink particle grows to ~6 per cent of the total mass of the self-shielding cloud, no other sinks have formed, suggesting any additional sites of fragmentation remain unresolved in the simulation. We find an upper-limit to the star formation rate per free-fall time of SFR_{ff} ≈ 0.1 on scales of ~10 pc. We argue this is due to the additional effective pressure provided by infall-driven supersonic turbulence on large scales and centrifugal support on smaller ones.

(iv) HD cooling was found to be thermodynamically insignificant for the entirety of the gas evolution. We attribute this to partial photo-dissociation of H_2 and the low free electron fraction when H_2 cooling becomes significant. Given the relatively low LW intensity needed for HD formation to be suppressed, $J_{LW,21} \sim 0.1$, in comparison with the relatively high intensity required to delay gaseous collapse until the formation of atomic cooling haloes, $J_{LW,21} \sim 10$, it seems unlikely that HD cooling is ever significant in Pop III.2 star formation, at least in the scenario explored here.

(v) Given the similarities between the thermodynamic behaviour of Pop III forming haloes with and without LW feedback, and the complete absence of significant HD cooling in both cases, we suggest that Pop III.2 star formation delayed by LW radiation is very similar to Pop III.1 star formation. Additionally, the similarities of our results with other simulations that probed higher densities and smaller spatial scales (e.g. Stacy et al. 2010; Clark et al. 2011a; Greif et al. 2011) seem to suggest that Pop III.2 stellar masses in the LW-delayed mode are likely comparable to Pop III.1 masses, ${\sim}1{-}40\,M_{\odot}$, possibly even lower.

ACKNOWLEDGMENTS

CSS is grateful to Paul Ricker, Eiichiro Komatsu, John Wise, Erik Zackrisson, Jeremy Ritter and many others for enlightening conversations. CSS also thanks Robi Banerjee and Chris Lindner for providing software used to produce some of the visualizations here. The FLASH code was in part developed by the DOE- supported Alliance Center for Astrophysical Thermonuclear Flashes (ACS) at the University of Chicago. The authors acknowledge the Texas Advanced Computing Center (TACC) at The University of Texas at Austin for providing HPC resources that have contributed to the research results reported within this paper. This study was supported in part by NSF grants AST-0708795 and AST-1009928, by the NASA grants NNX08AL43G and NNX09AJ33G, and by support provided by the Texas Cosmology Center (TCC). CF acknowledges funding provided by the Australian Research Council under the Discovery Projects Scheme (grant no. DP110102191). This research has made use of NASA's Astrophysics Data System.

REFERENCES

- Abel T., Anninos P., Zhang Y., Norman M. L., 1997, New Astron., 2, 181
- Abel T., Bryan G. L., Norman M. L., 2000, ApJ, 540, 39
- Ahn K., Shapiro P. R., 2007, MNRAS, 375, 881
- Ahn K., Shapiro P. R., Iliev I. T., Mellema G., Pen U.-L., 2009, ApJ, 695, 143
- Bader G., Deuflhard P., 1983, Numer. Math., 41, 373
- Barkana R., Loeb A., 2001, Phys. Rep., 349, 125
- Bate M. R., Bonnell I. A., Price N. M., 1995, MNRAS, 277, 362
- Bate M. R., Bonnell I. A., Bromm V., 2003, MNRAS, 339, 577
- Beers T. C., Christlieb N., 2005, ARA&A, 43, 5
- Begelman M. C., Volonteri M., Rees M. J., 2006, MNRAS, 370, 289
- Bergin E. A., Tafalla M., 2007, ARA&A, 45, 339
- Bertschinger E., 2001, ApJS, 137, 1
- Bonazzola S., Heyvaerts J., Falgarone E., Perault M., Puget J. L., 1987, A&A, 172, 293
- Bonnor W. B., 1956, MNRAS, 116, 351
- Bromm V., Larson R. B., 2004, ARA&A, 42, 79
- Bromm V., Loeb A., 2003, ApJ, 596, 34
- Bromm V., Yoshida N., 2011, ARA&A, 49, 373
- Bromm V., Kudritzki R. P., Loeb A., 2001, ApJ, 552, 464
- Bromm V., Coppi P. S., Larson R. B., 2002, ApJ, 564, 23
- Bromm V., Yoshida N., Hernquist L., McKee C. F., 2009, Nat, 459, 49 Cen R., 1992, ApJS, 78, 341
- Chandrasekhar S., 1951, R. Soc. Lond. Proc. Ser. A, 210, 26
- Cho W., Kim J., 2011, MNRAS, 410, L8
- Ciardi B., Ferrara A., 2005, Space Sci. Rev., 116, 625
- Ciardi B., Ferrara A., Abel T., 2000, ApJ, 533, 594

- Clark P. C., Glover S. C. O., Klessen R. S., Bromm V., 2011a, ApJ, 727, 110
- Clark P. C., Glover S. C. O., Smith R. J., Greif T. H., Klessen R. S., Bromm V., 2011b, Sci, 331, 1040
- Colella P., Woodward P. R., 1984, J. Comput. Phys., 54, 174
- Collins D. C., Padoan P., Norman M. L., Xu H., 2011, ApJ, 731, 59
- Couchman H. M. P., Rees M. J., 1986, MNRAS, 221, 53
- Dijkstra M., Haiman Z., Mesinger A., Wyithe J. S. B., 2008, MNRAS, 391, 1961
- Draine B. T., Bertoldi F., 1996, ApJ, 468, 269
- Dubey A., Antypas K., Ganapathy M., Reid L., Riley K., Sheeler D., Siegel A., Weide K., 2009, Parallel Computing, 35, 512
- Dubey A., Antypas K., Daley C., 2011, Parallel Comput., 37, 2
- Dubey A., Daley C., Zuhone J., Ricker P., Weide K., Graziani C., 2012, ApJ, submitted
- Dunlop J. S., 2012, preprint (arXiv:1205.1543)
- Ebert R., 1955, Z. für Astrophys., 37, 217
- Eisenstein D. J., Hut P., 1998, ApJ, 498, 13
- Elmegreen B. G., Scalo J., 2004, ARA&A, 42, 211
- Evans N. J., II et al., 2009, ApJS, 181, 321
- Federrath C., Glover S. C. O., Klessen R. S., Schmidt W., 2008a, Physica Scripta Volume T, 132, 014025
- Federrath C., Klessen R. S., Schmidt W., 2008b, ApJ, 688, L79
- Federrath C., Roman-Duval J., Klessen R. S., Schmidt W., Mac Low M.-M., 2010a, A&A, 512, A81
- Federrath C., Banerjee R., Clark P. C., Klessen R. S., 2010b, ApJ, 713, 269
- Federrath C., Sur S., Schleicher D. R. G., Banerjee R., Klessen R. S., 2011, ApJ, 731, 62
- Ferrara A., 1998, ApJ, 499, L17
- Flower D. R., Le Bourlot J., Pineau des Forêts G., Roueff E., 2000, MNRAS, 314, 753
- Frebel A., Bromm V., 2010, preprint (arXiv:1010.1261)
- Frebel A. et al., 2005, Nat, 434, 871
- Frisch U., 1995, Turbulence. The Legacy of A. N. Kolmogorov. By Uriel Frisch. Cambridge Univ. Press, Cambridge
- Fryxell B. et al., 2000, ApJS, 131, 273
- Fumagalli M., O'Meara J. M., Prochaska J. X., 2011, Sci, 334, 1245
- Furlanetto S. R., Loeb A., 2005, ApJ, 634, 1
- Galli D., Palla F., 1998, A&A, 335, 403
- Gammie C. F., 2001, ApJ, 553, 174
- Gardner J. P. et al., 2006, Space Sci. Rev., 123, 485
- Girichidis P., Federrath C., Banerjee R., Klessen R. S., 2011, MNRAS, 413, 2741
- Glover S. C. O., 2011, in Cernicharo J., Bachiller R., eds, Proc. IAU Symp. Vol. 280, The Molecular Universe. Cambridge Univ. Press, Cambridge, p. 313
- Glover S. C. O., Brand P. W. J. L., 2001, MNRAS, 321, 385
- Glover S. C. O., Mac Low M.-M., 2007, ApJS, 169, 239
- Glover S. C. O., Federrath C., Mac Low M.-M., Klessen R. S., 2010, MNRAS, 404, 2
- Goldreich P., Lynden Bell D., 1965, MNRAS, 130, 97
- Greif T. H., Bromm V., 2006, MNRAS, 373, 128
- Greif T. H., Johnson J. L., Klessen R. S., Bromm V., 2008, MNRAS, 387, 1021
- Greif T. H., Johnson J. L., Klessen R. S., Bromm V., 2009, MNRAS, 399, 639
- Greif T. H., Glover S. C. O., Bromm V., Klessen R. S., 2010, ApJ, 716, 510
- Greif T. H., Springel V., White S. D. M., Glover S. C. O., Clark P. C., Smith R. J., Klessen R. S., Bromm V., 2011, ApJ, 737, 75
- Greif T. H., Broom V., Clark P. C., Glover S. C. O., Smith R. J., Klessen R. S., Yoshida N., Springel V., 2012, MNRAS, 424, 399
- Haiman Z., Thoul A. A., Loeb A., 1996, ApJ, 464, 523
- Haiman Z., Rees M. J., Loeb A., 1997, ApJ, 476, 458
- Haiman Z., Abel T., Rees M. J., 2000, ApJ, 534, 11
- Heger A., Fryer C. L., Woosley S. E., Langer N., Hartmann D. H., 2003, ApJ, 591, 288
- Hennebelle P., Chabrier G., 2008, ApJ, 684, 395
- Hennebelle P., Chabrier G., 2011, ApJ, 743, L29
- Holzbauer L. N., Furlanetto S. R., 2012, MNRAS, 419, 718

- Hosokawa T., Omukai K., Yoshida N., Yorke H. W., 2011, Sci, 334, 1250
- Hummel J., Pawlik A., Milosavljevic M., Bromm V., 2012, ApJ, 755, 72
- Inoue A. K., 2011, MNRAS, 415, 2920
- Johnson J. L., 2011, preprint (arXiv:1105.5701)
- Johnson J. L., Bromm V., 2006, MNRAS, 366, 247
- Johnson J. L., Greif T. H., Bromm V., 2008, MNRAS, 388, 26
- Kainulainen J., Beuther H., Henning T., Plume R., 2009, A&A, 508, L35
- Karlsson T., Bromm V., Bland-Hawthorn J., 2011, preprint (arXiv:1101.4024)
- Kennicutt R. C., Jr, Evans N. J., II, 2012, preprint (arXiv:1204.3552)
- Klessen R. S., 2000, ApJ, 535, 869
- Kolmogorov A., 1941, Akademiia Nauk SSSR Doklady, 30, 301
- Komatsu E. et al., 2011, ApJS, 192, 18
- Kratter K. M., Murray-Clay R. A., 2011, ApJ, 740, 1
- Kritsuk A. G., Norman M. L., 2002, ApJ, 569, L127
- Kritsuk A. G., Norman M. L., Padoan P., Wagner R., 2007, ApJ, 665, 416
- Kritsuk A. G., Norman M. L., Wagner R., 2011, ApJ, 727, L20
- Krumholz M. R., McKee C. F., 2005, ApJ, 630, 250
- Krumholz M. R., Tan J. C., 2007, ApJ, 654, 304
- Krumholz M. R., McKee C. F., Klein R. I., 2004, ApJ, 611, 399
- Krumholz M. R., Klein R. I., McKee C. F., 2012, ApJ, 754, 71
- Latif M. A., Schleicher D. R. G., Spaans M., Zaroubi S., 2011a, A&A, 532, A66
- Latif M. A., Zaroubi S., Spaans M., 2011b, MNRAS, 411, 1659
- Mac Low M.-M., Klessen R. S., 2004, Rev. Mod. Phys, 76, 125
- McGreer I. D., Bryan G. L., 2008, ApJ, 685, 8
- McKee C. F., Ostriker E. C., 2007, ARA&A, 45, 565
- McKee C. F., Tan J. C., 2008, ApJ, 681, 771
- Machacek M. E., Bryan G. L., Abel T., 2001, ApJ, 548, 509
- Maio U., Ciardi B., Dolag K., Tornatore L., Khochfar S., 2010, MNRAS, 407, 1003
- Mesinger A., Bryan G. L., Haiman Z., 2006, ApJ, 648, 835
- Molina F. Z., Glover S. C. O., Federrath C., Klessen R. S., 2012, MNRAS, 423, 2680
- Nelson R. P., Langer W. D., 1997, ApJ, 482, 796
- O'Shea B. W., Norman M. L., 2008, ApJ, 673, 14
- O'Shea B. W., Abel T., Whalen D., Norman M. L., 2005, ApJ, 628, L5
- O'Shea B. W., McKee C. F., Heger A., Abel T., 2008, First Stars III, 990, 13
- Oh S. P., Haiman Z., 2002, ApJ, 569, 558
- Oh S. P., Haiman Z., 2003, MNRAS, 346, 456
- Omukai K., 2001, ApJ, 546, 635
- Omukai K., Tsuribe T., Schneider R., Ferrara A., 2005, ApJ, 626, 627
- Omukai K., Schneider R., Haiman Z., 2008, ApJ, 686, 801
- Osterbrock D. E., Ferland G. J., 2006, Astrophysics of Gaseous Nebulae and Active Galactic Nuclei, 2nd edn. University Science Books, Sausalito, CA
- Ostriker E. C., Stone J. M., Gammie C. F., 2001, ApJ, 546, 980
- Padoan P., Nordlund Å., 2002, ApJ, 576, 870
- Padoan P., Nordlund Å., 2011, ApJ, 730, 40
- Padoan P., Nordlund A., Jones B. J. T., 1997, MNRAS, 288, 145
- Padoan P., Nordlund Å., Kritsuk A. G., Norman M. L., Li P. S., 2007, ApJ, 661, 972
- Palla F., Salpeter E. E., Stahler S. W., 1983, ApJ, 271, 632
- Pan T., Kasen D., Loeb A., 2012, MNRAS, 2809
- Passot T., Vázquez-Semadeni E., 1998, Phys Rev. E., 58, 4501
- Press W. H., Schechter P., 1974, ApJ, 187, 425
- Price D. J., Monaghan J. J., 2007, MNRAS, 374, 1347

- Price D. J., Federrath C., Brunt C. M., 2011, ApJ, 727, L21
- Prieto J., Padoan P., Jimenez R., Infante L., 2011, ApJ, 731, L38
- Prieto J., Jimenez R., Martí J., 2012, MNRAS, 419, 3092
- Prunet S., Pichon C., Aubert D., Pogosyan D., Teyssier R., Gottloeber S., 2008, ApJS, 178, 179
- Regan J. A., Haehnelt M. G., 2009a, MNRAS, 396, 343
- Ricker P. M., 2008, ApJS, 176, 293
- Ricotti M., Gnedin N. Y., Shull J. M., 2001, ApJ, 560, 580
- Ritter J. S., Safranek-Shrader C., Gnat O., Milosavljevic M., Bromm V., 2012, preprint (arXiv:1203.2957)
- Rydberg C. E., Zackrisson E., Scott P., 2010, in Raue M., Kneiske T., Horns D., Elsaesser D., Hauschildt P., eds, Cosmic Radiation Fields: Sources in the Early Universe. PoS(CRF 2010)026, SISSA, Trieste, p. 2
- Safranek-Shrader C., Bromm V., Milosavljević M., 2010, ApJ, 723, 1568
- Scalo J., Vazquez-Semadeni E., Chappell D., Passot T., 1998, ApJ, 504, 83
- Scannapieco E., Ferrara A., Madau P., 2002, ApJ, 574, 590
- Schaerer D., 2002, A&A, 382, 28
- Schneider N. et al., 2012, A&A, 540, L11
- Shang C., Bryan G. L., Haiman Z., 2010, MNRAS, 402, 1249
- Shapiro P. R., Kang H., 1987, ApJ, 318, 32
- Sheth R. K., Tormen G., 2002, MNRAS, 329, 61
- Solomon P. M., Woolf N. J., 1973, ApJ, 180, L89
- Stacy A., Greif T. H., Bromm V., 2010, MNRAS, 403, 45
- Stacy A., Greif T. H., Bromm V., 2012, MNRAS, 422, 290
- Stahler S. W., Palla F., 2005, The Formation of Stars. Wiley-VCH, Weinheim, Germany
- Stecher T. P., Williams D. A., 1967, ApJ, 149, L29
- Stiavelli M., Trenti M., 2010, ApJ, 716, L190
- Tanaka M., Moriya T. J., Yoshida N., Nomoto K., 2012, MNRAS, 422, 2675
- Tegmark M., Silk J., Rees M. J., Blanchard A., Abel T., Palla F., 1997, ApJ, 474, 1
- Toomre A., 1964, ApJ, 139, 1217
- Tornatore L., Ferrara A., Schneider R., 2007, MNRAS, 382, 945
- Trenti M., Stiavelli M., 2009, ApJ, 694, 879
- Trenti M., Stiavelli M., Michael Shull J., 2009, ApJ, 700, 1672
- Truelove J. K., Klein R. I., McKee C. F., Holliman J. H., II, Howell L. H., Greenough J. A., 1997, ApJ, 489, L179
- Turk M. J., Abel T., O'Shea B., 2009, Sci, 325, 601
- Vazquez-Semadeni E., 1994, ApJ, 423, 681
- Wang P., Li Z.-Y., Abel T., Nakamura F., 2010, ApJ, 709, 27
- Wise J. H., Abel T., 2005, ApJ, 629, 615
- Wise J. H., Abel T., 2007a, ApJ, 665, 899
- Wise J. H., Abel T., 2007b, ApJ, 671, 1559
- Wise J. H., Turk M. J., Norman M. L., Abel T., 2012, ApJ, 745, 50
- Wolcott-Green J., Haiman Z., 2011, MNRAS, 412, 2603
- Wolcott-Green J., Haiman Z., Bryan G. L., 2011, MNRAS, 418, 838
- Yoshida N., Omukai K., Hernquist L., Abel T., 2006, ApJ, 652, 6
- Yoshida N., Oh S. P., Kitayama T., Hernquist L., 2007, ApJ, 663, 687 Zackrisson E., Rydberg C.-E., Schaerer D., Östlin G., Tuli M., 2011, ApJ,
- 740, 13
- Zackrisson E. et al., 2012, preprint (arXiv:1204.0517)
- Zheng W. et al., 2012, preprint (arXiv:1204.2305)
- Zuckerman B., Evans N. J., II, 1974, ApJ, 192, L149

This paper has been typeset from a TeX/LATeX file prepared by the author.