

Geographical analysis of Agro-Environmental Measures for reduction of chemical inputs in Tuscany

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Abstract

The agro-environmental policies included in rural development plans are acquiring increasing importance in European Community strategies. These policies represent the meeting point between demand and supply of positive externalities. The difficulty of assessing real environmental efficiency is one of the elements characterizing agro-environmental measures. This difficulty is essentially related to the identification of suitable parameters for evaluating farms according to their impact on the territory. This impact is mainly related both to chemical inputs and to the territorial characteristics of the farm. Different types of fertilizers, pesticides and herbicides are currently used in production processes; however, the analysis has focused only on nitrates, as they represent the most critical types of chemicals related to soil pollution.

A case study is provided by the analysis of AE measures in Tuscany for the reduction of nitrates in organic and integrated farms. Using Spatial MultiCriteria Analysis, integrated and organic farms were classified according to their geographical locations and their release of nitrates into the soil. This classification permits the highlighting of farms that make the greatest economic efforts to reduce pollution and therefore it could determine environmental benefits.

Considering that the trend of policy strategies is towards a reduction of monetary resources, this work could help decision makers choose the right allocation of future resources.

Keywords: GIS; Multicriteria analysis; chemical inputs; Rural Development Plan; environmental policies

44 **1 Introduction**

45

46 By the early 90s of the last century, consumer behaviour had become increasingly oriented towards
47 environmentally friendly products. As a result of this trend, the agro-environmental policies of rural
48 development plans (RDPs) became increasingly important within European Community policy
49 strategies.

50 One of the targets of RDPs was to encourage reliance on environmentally friendly practices based on
51 organic and integrated farming. Accordingly, by adopting some agro-environmental (AE) measures,
52 funds were distributed to farms that were more efficient from an environmental point of view.

53 With regard to agricultural activities, the use of fertilizers represents a critical threat to the
54 environment. The use of fertilizers in agricultural activity represents a powerful method of increasing
55 land productivity; however, when not required, fertilizer input can lead to negative effects both on
56 the farmer's income and on the environment. In the context of all chemical inputs used during
57 production processes, nitrates play an important role in environmental contamination because they
58 represent the most critical types of chemicals related to soil pollution. The literature includes some
59 studies on land contamination from nitrates that are considered to raise important issues regarding
60 chemical input into the soil, (e.g., Primdahl et al. 2003; Bahlai et al. 2011). These studies were
61 developed in order to scientifically analyse the relationship between agricultural practices and the
62 dynamics of nitrates concentrations. The authors have proven that the contamination of surface water
63 by nitrates is mainly related to the fact that the most common agronomic systems are characterized
64 by long periods (in fall and spring) during which the principal risk factors from contamination are
65 manifested simultaneously. As argued by Roggero and Toderi (2002), excess soil water with a high
66 concentration of nitrates from the natural decomposition of organic matter is also favoured by soil
67 dehydration due to summer evaporation and the absence of vegetation over most of the arable land.

68 Nitrates mitigation requires several measures, such as changes in land use, changes in management
69 practices, the use of buffer zones or protected areas and changes in legislation and regulation. The
70 problem of possible water pollution by nitrates from agricultural sources is clarified by the Nitrates
71 Directive 91/676/EEC. Within this directive, it is stated that while the use of organic fertilizers and
72 fertilizers containing nitrogen is necessary for European Community agriculture, excessive use of
73 fertilizers constitutes an environmental risk.

74 In Tuscany, the Nitrates Directive has been implemented through Legislative Decree 152/99 and
75 Legislative Decree 152 /06, by establishing areas vulnerable to nitrates (ZVN) and protected areas
76 (PA) for the protection of surface water and groundwater for human consumption.

77 The AE measures introduced by the RDP of Tuscany that mainly contribute to the reduction of
78 nitrates are measure 214a1 "Organic Farming" and measure 214a2 "Integrated Farming". Currently,
79 each farm receives a score based on minimum requirements for the use of fertilizer products: the final
80 score is also influenced by the type of agriculture¹ and by whether the farm belongs to a ZVN or a
81 PA. Locations in all other areas, defined as ordinary areas (ZO), result in no score.

82 A final ranking gives access to payments² that should cover the additional costs related to the method
83 of production and the probable income losses from conversion from conventional agriculture to
84 organic or integrated agriculture.

85 Considering nitrates as the chemical fertilizers most critical for the environment, the aforementioned
86 legislation does not give a specific reward to those companies whose use of these products may have
87 a greater impact.

¹ For example, orchards, vineyards and olive groves receive the highest amounts.

² Vademecum Programma di Sviluppo Rurale (PSR) 2007-2013 della Regione Toscana

<http://www.regione.toscana.it/documents/10180/70126/testo%20vademecum-2/8ef0d954-b160-4d78-8d6e-50c27f0e2f55> [last access 10th January 2018]

88 Indeed, payments based on the “binary” definition of areas that give a score (ZVN and PA) and areas
 89 that do not give a score (ZO), without providing a classification based on degrees of environmental
 90 risk, could be considered a limitation of current policies.
 91 This study aims to improve the current distribution of payments by including in the selection criteria
 92 of farms worthy of funding, aspects related to the different impacts that the use of such substances
 93 could have, depending on the permeability of the soil and the farm’s distance from rivers. This would
 94 allow us to overcome the limitation of payments based on a binary definition of a farm’s location.
 95 Considering the above-mentioned variables and the chemical inputs, this study provides a farm
 96 classification system for whether farms are in areas vulnerable to nitrates or protected areas, or in
 97 ordinary areas. Using a spatial multicriteria analysis, all organic and integrated farms that have
 98 received funds have been georeferenced and classified by using a multidimensional index called NIV
 99 (nitrate impact value).
 100 Considering a potential reduction of funding in the future, this work could provide a useful tool for
 101 selecting the farms that contribute most to achieving the objectives linked to AE measures.
 102 The paper is organized as follows: section 2 describes the materials and method, section 3 gives the
 103 results, section 4 provides a discussion and section 5 presents the conclusions.

104 2 Materials and Method

105

106 2.1 Case Study

107 The case study is concerned with the analysis of AE measures in Tuscany for the reduction of nitrates
 108 in organic and integrated farms that have received funding (Figure 1). The reference year is 2012
 109 (2007-2013 Rural Development Programme).

110 The analysis was based on the use of georeferenced companies with agro-environmental
 111 commitments (organic and integrated); a database of information on companies that received agro-
 112 environmental payments was used for this study. This relied on the extraction of data from the
 113 Agenzia Regionale Toscana per le Erogazioni in Agricoltura (ARTEA)³ (SI) database system,
 114 integrated with information on the companies’ structures and their technical and economical
 115 characteristics.

116 The population density in Tuscany is approximately 16.3 inhabitants/km², i.e., a population of
 117 3,761,616 inhabitants is distributed over an area of approximately 23,000 km². The most populated
 118 areas are located in the north of Tuscany (in the province of Firenze), with 373,446 inhabitants
 119 (ISTAT 2013). The territorial morphology is 90% hilly and includes mountain areas that strongly
 120 characterize the landscape. The primary sector occupies 2.7% of the total occupied area in Tuscany
 121 and includes approximately 45,000 workers.

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123

124

a) Tuscany	b) Integrated and organic farms in Tuscany

125 **Figure 1. Case study**

126

127 According to the ARTEA database, there are 72,686 farms in Tuscany. They are mainly located in
 128 the provinces of Arezzo, Grosseto and Siena and the utilized agricultural area is over 754,300
 129 hectares. There are 4,055 organic and integrated farms⁴ with a utilized agricultural area of about

³ ARTEA <https://www.artea.toscana.it/sezioni/artea.asp> [last access 10th January 2018]

⁴ Number based on the activated agro-environmental contracts.

130 187,534 hectares (Table 1). The areas related to measure 214a1 (organic agriculture) are mainly
131 covered by cereals and forage crops, while the areas related to measure 214a2 (integrated agriculture)
132 are covered by cereals, forage crops and vineyards. Grosseto has the highest area of forage crops
133 related to each measure, followed by Siena, Arezzo and Firenze (cereals, forage crops and vineyards).
134 Wheat, grapes, alfalfa, olives and sunflowers are other crops related to agro-environmental measures.

135
136 **Table 1. Statistics on organic and integrated farms in 2012 (source: ARTEA database)**

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139 2.2 Definition of Model

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141 There are several studies in the literature that analyse agro-environmental policies from different
142 points of view. Padel et al. (1999), Nicholas et al. (2006) and De Maya et al. (2011) underline the
143 complexity of agro-environmental measures at a national scale. Jaraitė e Kažukauskas (2011) perform
144 a regression analysis and panel fixed effects (FE) analysis at a national scale in order to evaluate the
145 cross-compliance effect of the use of fertilizer and pesticides. Ziolkowska (2010) uses a hierarchical
146 analysis to examine the relationship between different agro-environmental measures in Poland. At a
147 regional scale, MiPAAF (Ministero delle Politiche Agricole Alimentari e Forestali)⁵ produces an
148 annual report on intermediate evaluation of the RDP. De Blasi and Fucilli (2007) analyse the annual
149 report of MiPAAF from a theoretical point of view. Bartolini et al. (2015) test the impact that new
150 policy measures will have on the provision of bio fuel crops by applying a dynamic mathematical
151 programming model in a sample of farms in the province of Pisa. Marconi et al. (2015) performed a
152 spatial analysis based on a geographical distribution of agro-environmental measures on a municipal
153 scale.

154 A limit that emerges from these works is the scale of detail, that at most reaches the municipal level.
155 With the aim of overcoming this limit, the present paper is based on spatial multicriteria analysis
156 (able to work with non-monetary variables) with a high level of detail due to the high spatial
157 resolution. Each farm, together with its variables, was georeferenced using a pixel resolution of 75
158 metres. The flexibility of the approach adopted represents added value, and it can easily be scaled up
159 for use in other territorial contexts. Furthermore, the highly detailed spatialization of agro-
160 environmental measures represents a further development of the existing studies in the literature.

161 Spatial multicriteria analysis uses the capacities of GIS to solve multicriteria models in order to
162 support decision-making in spatial planning processes and obtain results that are easy to interpret
163 (Malczewski 2006a; 2006b). This methodology is appropriate for territorial analysis, as confirmed
164 by Chen et al. (2010), Cozzi et al. (2015), Riccioli et al. (2016), Gonzalez et al. (2018) and it is widely
165 adopted in the literature, with “over 300 papers published between 2000 and 2009 reporting MCDA
166 applications in the environmental field” (Huang et al. 2011).

167 In this paper, the spatial multicriteria decision analysis has three main phases: (i) choice of evaluation
168 criteria estimated by multidimensional indicators, (ii) aggregation of criteria maps and (iii) analysis
169 of the results.

170 The choice of evaluation criteria is based on geographical location and chemical inputs into the soil
171 of organic and integrated farms. A set of evaluation criteria estimated by multidimensional indicators
172 was chosen from the existing literature (Sweeney 1993; Krutz et al. 2005; Borin et. al. 2005; Ormerod
173 et al. 2010). Influenced by the availability of georeferenced data and relying on the indicator scheme
174 developed by Lazarsfeld (1969), the following three indicators were used:

175

- 176 1. run-off index (CK),
- 177 2. distance from rivers (D_{rivers}),

⁵ <http://www.reterurale.it/flex/cm/pages/ServeBLOB.php/L/IT/IDPagina/5090> [last access 10th January 2018]

178 3. input of chemical substances (C_{input}).
179

180 Each indicator was associated with territorial entities (pixels) and shown in thematic layers called
181 criteria maps (see subsections 3.1, 3.2 and 3.3).

182 Using these indicators, it is possible to classify the farms according to the type of soil in their location,
183 their distance from rivers and their chemical input into the soil. Only the third indicator depends on
184 the decisions of the farmer, while the first two indices are exclusively related to the location of the
185 farm.

186 Therefore, the first two indices were aggregated using weighted linear combination (WLC); the result
187 (called the vulnerability index) represents the geographical characteristics of the farm. The final
188 index, called the NIV (nitrate impact value) index, was found by adding the chemical inputs to the
189 vulnerability index using an overlay with multiply operation. A flow chart for the aggregation process
190 is shown in Table 5.
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192 2.2.1 Run-Off Index (CK)
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194 Intensive agriculture has allowed the increase of the crops but has also introduced many
195 environmental problems, such as loss of soil fertility, pollution of groundwater and surface water,
196 increase in energy consumption and loss of biodiversity. The current situation is unsustainable.

197 Organic and integrated farming systems represent an alternative to conventional techniques because
198 they are potentially more effective in increasing and maintaining the organic matter in the soil. This
199 is essential for maintaining fertility, and is more effective in reducing pollution from fertilizers,
200 pesticides and herbicides. The existing mitigation measures are more stringent in areas where soils
201 are particularly vulnerable due to high risk of leaching and variable weather.

202 The evaluation of the surface water run-off is exhaustively developed in the literature (Asciuto et al.
203 1988; Corrado et al. 1988; Guo et al. 2001; Merlo and Croitoru 2005; Baumann et al. 2007). Most
204 authors have studied the soil permeability. Simsek et al. (2006) proposed an approach that takes into
205 account the vulnerability of groundwater to contamination. They consider following factors: the depth
206 of the groundwater, the permeability of the unsaturated zone, the thickness of the impermeable strata
207 and the topographic slope. To calculate the surface run-off index (CK) Kennessey's method was used
208 (Kennessey 1930). Using this method, it is possible to classify surface run-offs using physiographic
209 and climatic data.

210 From an ecological-environmental point of view, a high value of the run-off index (high CK) indicates
211 high permeability of the land and the possibility of avoiding groundwater contamination from
212 chemicals used for agricultural activity.

213 Using Kennessey's method with three parameters, it is possible to calculate the average annual run-
214 off coefficient or run-off index (CK) for the case study area, as shown in Equation 1.
215

$$216 \quad CK = CA + CP + CV \quad \text{Equation 1}$$

217 where

218 CK = annual run-off coefficient

219 CA = slope

220 CP = permeability

221 CV = land use.
222

223 High CK values indicate high surface run-off and low CK values indicate high values of deep
224 infiltration.
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226 In order to calculate the run-off coefficient, the values of the various parameters were standardized
227 and compared with the aridity coefficient (Equation 2).

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$$Ia = [P/(T+10) + 12 p/t]/2$$

Equation 2

where

Ia = aridity index

P = annual average precipitation

T = annual average temperature

p = precipitation of driest month

t = temperature of driest month.

The resulting values were subsequently processed into values between zero and one, as shown in Table 2.

Table 2. Run-off coefficients

2.2.2 Distance from Rivers (D_{rivers})

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Considering that agricultural activities (especially if practiced by conventional methods) affect the amount of pollution in watersheds, it can be assumed that companies located far from rivers (watersheds) tend to be minor sources of pollution. This is confirmed by several studies in the literature (Gleick 2003; Ormerod et al. 2010).

Rivers can be subject to anthropogenic pressures. The use of agricultural land represents one of the principal reasons for contamination and habitat deterioration in European rivers (Davies et al. 2009). In this context, agro-environmental measures would reduce the negative effects of the agricultural impact on watersheds (Heathwaite et al. 1998; Borin et al. 2005). However, these effects cannot be eliminated. In accordance with the Nitrates Directive 91/676/EEC and Directive 152/2006, ARPAT (Agenzia regionale per la protezione ambientale della Toscana) has produced a database for monitoring water in nitrate-vulnerable areas. In 2014, the monitoring network was based on around 2,066 sample areas, covering the whole Tuscan region⁶. Poole et al. (2013) propose evaluating the ecological efficiency of agro-environmental measures with respect to the distance between watersheds. In this case, an increased distance between a body of water and the farm would indicate less contamination from the farm.

In accordance with the above, a map of the distance from rivers was created by calculating the fuzzy distance (in metres) from watersheds (map produced by the Region of Tuscany⁷), since “the fuzzy distance decay membership function is used to indicate proximity to a given feature” (Al-Ahmadi et al. 2009). Rather than having a single crisp threshold that denotes distance from a feature, the fuzzy distance decay function is capable of describing the degree of vulnerability of rivers towards chemical inputs, which increases with decreasing distance.

2.2.3 Chemical Input (C_{input})

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The objectives of adequate fertilization are the maintenance of a vegetative-productive balance of crops and the improvement of the chemical and physical characteristics of the soil, by avoiding an excessive input of nutrients, thereby protecting the quality of the watersheds (Gomiero et al. 2011).

⁶ <http://www.arpato.toscana.it/datiemappe/mappe/mappa-del-monitoraggio-delle-acque-delle-zone-vulnerabili-ai-nitrati> [last access 10th January 2018]

⁷ <http://www.regione.toscana.it/-/geoscopio-wms> [last access 10th January 2018]

274 Integrated and organic farms use a lower quantity of fertilizers, pesticides and herbicides and carry
275 out fewer treatments than conventional farms, since chemical inputs are regulated by agronomic
276 technical standards (Annex 2 of Regional Law 25/99)⁸. The amount of chemical input, distinguished
277 by type of agriculture, is based on the difference between conventional farms and integrated or
278 organic farms in the input of nitrogen fertilizers (kg/ha) into the soil.

280 The amount of nitrate input from conventional farms is revealed by data sheets for technical and
281 agronomic standards (available from the ARTEA database); the amount of nitrate input from
282 integrated farms (nitrate input is not allowed in organic production) is determined by the method of
production (R.L. 25/99).

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285 2.2.4 Aggregation of Indicators

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287 As suggested by Malczewski (1999), weighted linear combination is one of the methods allowing
288 compensation and determination of the value of each alternative, defined by pixels, as the average
289 value of each criterion multiplied by the relevant constraint. Due to its simplicity of use, this method
290 has been widely applied in other studies on environmental analysis (De Araújo and Macedo 2002;
291 Comber et al. 2010; Carver et al. 2013; Orsi et al. 2013).

292 The method is implemented via the following formula.

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294

$$295 V_{indexj} = \sum_{j=1}^n c_{ij} \cdot w_i$$

296
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Equation 3

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where

300 V_{indexj} = index of characteristics of farms based on their location referred to the j-th pixel (vulnerability
301 index)

302 c_{ij} = value of the i-th indicator of the j-th pixel

303 w_i = the weight of the i-th indicator ($\sum w_i = 1$)

304 n = the number of indicators.

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306 In the second phase, the NIV was calculated: chemical inputs were aggregated using the index of
307 characteristics of farms based on their locations (V_{index}) via an overlay with multiply operation
308 (Equation 4).

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$$310 NIV_j = V_{indexj} C_{nj}$$

Equation 4

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312

where

313 NIV_j = nitrate impact value index of the j-th pixel

314 V_{indexj} = index of characteristics of farms based on their location referred to the j-th pixel (vulnerability
315 index)

316 C_{nj} = chemical input of the j-th pixel.

317

318 It has been said that “thinking in terms of map algebra can make the overlay process efficient and
319 convenient” (Davis 2001), and indeed this operation allows to emphasize the average values of the
320 vulnerability of farms.

⁸ <http://www.regione.toscana.it/pan/manuali-di-riferimento> [last access 10th January 2018]

321

322 **3 Results**

323 3.1 Map of Run-Off Index (CK)

324 The run-off index calculation was performed using a digital elevation model of Tuscany (75-metre
325 spatial resolution), precipitation and lithological maps (scale 1:250,000) and the Corine Land Cover
326 map for 2012, developed within the CLC project as per the European Standard on Geographic
327 Information (ENV 12657).

328 Figure 2 shows lower permeability for high values of CK (darker colours). Due to a reduced
329 infiltration of polluting chemicals, high values of CK indicate lower pollution of groundwater and of
330 rivers.

331 Figure 2 shows the areas with the highest run-off index (represented by dark colours, CK = 90): these
332 are mainly located in hilly and mountainous regions, such as the provinces of northern Tuscany (along
333 the Apennines mountains and in the area of Mount Amiata in the province of Grosseto). Dechorgnat
334 et al. (2011) state that “Generally, in soils with high permeability, nitrate predominates and if it is not
335 absorbed by plant roots or utilized by microorganisms, it is available for leaching.” Hence, vulnerable
336 soils are represented by areas with lower values of CK (light colours in Figure 2).

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338

339 **Figure 2. Map of run-off index**

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342 3.2 Map of Distance from Rivers (D_{rivers})

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344 The map of distance from rivers was produced using the map of water basins provided by Regione
345 Toscana⁹.

346 The map shows the distance from watersheds, where darker values indicate a greater distance (3,270
347 metres) from rivers, and consequently lower pollution. These values are evident in the southern part
348 of the province of Arezzo, in the east part of the province of Siena and in the south of the province of
349 Grosseto.

350 Lighter colours indicate shorter distances from rivers, and consequently show the areas that are most
351 vulnerable to chemical pollutants.

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354 **Figure 3. Map of distance from rivers**

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357 3.3 Map of Chemical Inputs (C_{input})

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360 The map of chemical inputs is shown in Figure 4, where darker colours indicate the maximum
361 difference in chemical inputs into the soil (between conventional and integrated or organic farms),
362 representing the best results from an environmental impact point of view. This is due to the more
363 stringent and constraining rules applied to integrated and organic farming and is in line with the
principles of environmental protection and the reduction of pollution characterizing these principles.

⁹ Available at http://www.datiopen.it/it/opendata/Regione_Toscana_Fiumi_torrenti_corsi_d_acqua [last access 16th April 2018]

364 Areas with higher values (farms with a lower contribution of nitrates) are mainly located in the
365 provinces of Pisa, Siena, Arezzo and Grosseto.

366

367

368 **Figure 4. Map of chemical inputs**

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371 3.4 Aggregation Process

372

373 The three indicators adopted were examined from a statistical point of view. A correlation matrix was
374 calculated in order to produce correlation coefficients (Table 3). Correlation coefficients with high
375 values correspond to indicators that are strongly correlated with others, and therefore redundant
376 (Eastman 2009). The aggregation of indicators was performed with no redundancy.

377

378 **Table 3. Correlation matrix for indicators**

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380

381 In order to compare values having different units of measurement, a normalization method was used.
382 This was based on fuzzy logic (or the infinite values method) where the logical variable can take on
383 any continuous truth value in the interval [0,1] (Zadeh 1965; Chen and Hwang 1992). Table 5 includes
384 the normalization parameters used for the indicators. Each indicator has a minimum and a maximum
385 value. Through the normalization process, a maximum value was attributed to each of the three
386 previous characteristics, represented by control point *a* for the run-off and distance-from-rivers
387 indices and control point *b* for the chemical inputs index. It is important to underline that control
388 points do not measure the absorption of nitrate in the soil, but rather they measure the degree of
389 impact, e.g., the distance-from-river control point *a* (0 metres) indicates the highest degree of impact,
390 while control point *b* (3,270 metres, shown in Figure 3) indicates the lowest degree of impact.

391 The absorption of nitrogen in the soil follows a linear function in both horizontal and vertical
392 directions (Goss et al. 1985; Johnsson et al. 1987; Molina and Smith 1998; Morari et al. 2012). The
393 permeability of the soil and the distance from rivers are related to vertical and horizontal movements
394 of nitrates in the soil respectively. Combining both factors, the movements of nitrates maintain a
395 linear trend; this linearity of movement is directly related to the problem of loss of nitrate due to the
396 leaching process. Nitrates are not retained by soils; they move with soil water and have the potential
397 to enter into groundwater. Nitrate leaching occurs because nitrates have a very weak affinity for
398 forming surface complexes with soil minerals, and most soils adsorb cations more strongly than
399 anions (Strahm and Harrison 2006).

400 In accordance with the above, the normalization process for the indicators was based on a linear
401 function (Table 5).

402 In order to calculate the NIV index, two types of map algebra aggregations were performed. Run-off
403 and distance-from-rivers indices were aggregated using the WLC method, and the result was denoted
404 V_{index} . The NIV index was obtained by adding chemical inputs (C_{inputs}) to V_{index} through an overlay
405 with multiply operation.

406 In the first phase, using weighted linear combination, the two indicators related to a farm's location
407 were aggregated. The permeability of the soil and the distance to rivers were weighted using an
408 analytic hierarchy process (Saaty 1980). This process is widely adopted in territorial planning,
409 especially in combination with multicriteria evaluation (Long et al. 2012; Kayastha et al. 2013; Valle
410 Junior et al. 2015; Gdoura et al. 2015).

411 A matrix of indicator pairs was developed with the aim of pairwise comparison of their relative
412 importances in the evaluation of the vulnerability index. "The comparison is quantified using a
413 number between 1/9 (extremely low importance) and 9/9 (extremely high importance). This number

414 (n) will populate the lower triangle below the main diagonal of the square matrix, while its reciprocal
415 (1/n) will populate the upper triangle” (Valle Junior et al. 2015). As in previous works (Gdoura et al.
416 2015; Chaudhary et al. 2016; Petrini et al. 2016), the pairwise comparison process was based on
417 expert opinions: after consulting a focus group including professors of soil chemistry and pedology
418 within our university, the run-off index was considered “moderately more important” (rating scale
419 number = 3) than the distance-from-rivers index. Table 4 shows the comparison matrix.

420
421 **Table 4 Comparison matrix**

422
423 The main eigenvector of the matrix was calculated, the components of which are the final weights of
424 the factors (Table 5). The factor weights were found to be consistent, with a consistency ratio of 0.05.
425 “This value indicates the probability that the ratings were randomly assigned. Values less than 0.10
426 indicate good consistency. When values exceed 0.10, the matrix of weightings should be re-evaluated,
427 and a consistency index matrix will be presented” (Eastman 2009).

428 The vulnerability index was created by aggregating CK and D_{rivers} using weighted linear combination.
429 The obtained vulnerability index was aggregated with C_{input} using an overlay with multiply operation.
430 The flow chart for the aggregation process is shown in Table 5.

431
432 **Table 5. Normalization parameters and aggregation of indicators**

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435 *3.4.1 Map of NIV Index*

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437 **Figure 5. Map of NIV index**

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440 The map of NIV index is shown in Figure 5 where darker (higher) values are related to the deserving
441 organic and integrated companies that are mainly located on more permeable soils, are close to rivers
442 and release smaller amounts of chemical input into the ground (compared to conventional methods
443 of cultivation).

444 Over 332,000 pixels were examined, representing 4,055 organic and integrated farms and covering
445 about 187,533 hectares. It can be observed that 89% of the area examined is included in NIV classes
446 50-100 (over 167,500 hectares), 10% belongs to NIV class <50 (about 18,700 hectares) and only 1%
447 is included in NIV classes >100 (about 1,218 hectares). The higher values of NIV index (NIV classes
448 >100) are mainly located in the central areas of the province of Siena and in the south parts of
449 Grosseto and Arezzo. Pisa and Livorno also reveal the presence of high values of NIV (>100). Farms
450 included in these classes of NIV are not present in the provinces of Firenze, Massa, Pistoia, Lucca
451 and Prato. Results are shown in Table 6.

452
453 **Table 6. Hectares of organic and integrated farms in 2012 sorted by NIV classes**

454
455 The analysed surfaces of organic and integrated farms represent 24.86% of the total agricultural
456 surface area in Tuscany (754,344 hectares¹⁰).

457 NIV class 80-100 represents 7.8% of the total agricultural surface area, NIV class 50-65 represents
458 7.6% and NIV class 65-80 represents 6.7%. The remaining classes (NIV classes >100 and NIV class
459 < 50) represent 2.65% of the total agricultural surface area in Tuscany.

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¹⁰ Source VI ISTAT Agricultural Census database (2010). Online, available at <http://dati-censimentoagricoltura.istat.it/Index.aspx> [last access 10th January 2018]

461 Starting from the ARTEA database, it is possible to analyse the payments made as a result of the
462 Rural Development Programme 2007-2013, related to measures 214a1 and 214a2. Their distribution,
463 sorted by NIV classes, is shown in Table 7. The total amount of RDP 2007-2013 payments was about
464 138 million euros. In 2012, payments amounted to about 35.5 million euros: organic and integrated
465 farms in the province of Siena received over 10.7 million euros, representing 30.2% of the total
466 amount. Farms in Grosseto and Arezzo received over 6.7 million euros (19% and 18.6% of the total
467 respectively). Farms located in the province of Firenze received over 5.7 million euros.

468
469 **Table 7. Funds by province and NIV class in 2012**

470
471 The surface areas of organic and integrated farms belonging to areas vulnerable to nitrates or
472 protected areas amount to 36,150 hectares: over 62% (22,600 hectares) relates to farms with NIV >
473 65, while 27% (9,750 hectares) relates to farms with NIV > 80 (Table 8).

474
475 **Table 8. Number of hectares for organic and integrated farms in ZVN and protected areas in 2012 sorted by NIV**

476 **4 Final Remarks and Conclusions**

477
478 The general hypothesis is based on the fact that payments should be directed towards companies with
479 higher values of NIV. Indeed, farms located in areas with high permeability, close to rivers, should
480 significantly reduce their use of chemicals to ensure a level of impact similar to that of other farms
481 located in areas with less permeability and far from rivers. The lower income resulting from the
482 reduction of chemical inputs (lower revenues and higher management costs) should be offset by
483 higher payments. This would find a justification in the social benefit that would result from reduced
484 impacts related to the use of chemicals.

485 Expecting a progressive reduction of monetary resources in future environmental policies, many
486 farms, without funding, could return to conventional agriculture (less expensive than organic and
487 integrated agriculture). Taking into account the last two RDP programmes (2007-2013 and 2014-
488 2020), the reduction in resources related to agro-environmental measures has been estimated at 23.4
489 million euros (-17%)¹¹.

490 This reduction is expected to result in a decrease in the number of farms that will have access to
491 finance and a reduction of the amount per farm. As a result of these reductions, the companies
492 remaining without contributions could be those with the lowest scores in the ranking (see section 1)
493 and farms located in the ordinary zones.

494 It is important to emphasize that not all the sites located in ZVN and PA are characterized by the
495 same permeability of the soil and/or the same proximity to rivers. Consequently, the impact produced
496 by the nitrates will be different according to the location of the farms within the above-mentioned
497 areas. At the same time, it is possible to hypothesize that some ZO sites may be more sensitive to
498 nitrate impacts, due to the permeability of the soil and the distance from rivers.

499 The proposed model could improve the choice of companies to be financed, and each farm could be
500 evaluated independently of its location in a specific area (ZVN, PA or ZO).

501 In 2012, about 35 million euros were allocated. Applying the estimated average reduction rate
502 observed for the entire program (-17%) gives a reduction of six million euros.

503 Applying the current legislation, only farms outside areas vulnerable to nitrates and protected areas
504 are excluded. Using our model, however, all farms belong to NIV class <50 and almost a quarter of
505 those belong to NIV class 50-65 would be excluded from financing (Table 7).

¹¹ Source Artea <http://www.artea.toscana.it/sezioni/artea/>

506 Considering the farms located in ZVN and PA (Table 8), our model would ensure that funding was
507 maintained only to companies that cultivate areas that are mostly inside these areas (more than two
508 thirds of the total farm area). At the same time financing would also be maintained for farms located
509 in ZO which show a high sensitivity to impact from nitrates.

510 Agro-environmental measures offer a significant contribution to the maintenance of a territory, but
511 the efficiencies of these measures are difficult to estimate. The evaluation of agro-environmental
512 measures constitutes a key point in the justification of interventions that aim to allocate significant
513 financial resources. Indeed, considering the substantial resources invested, the expected results have
514 not always been achieved. Accordingly, evaluation tools are needed which are more specific.

515 This study uses information from administrative sources (ARTEA) with a high level of detail. Using
516 a spatial multicriteria analysis, all organic and integrated farms in Tuscany that have received agro-
517 environmental payments were examined.

518 Generally speaking, chemical inputs, the permeability of the soil and the distance from rivers are
519 important indicators for determining the efficacy of environmental measures. However, it is important
520 to consider other variables.

521 In order to improve the analysis, a more complete set of indicators should be used. According to the
522 European Commission, “A set of 45 indicators was identified to describe the general context in which
523 policy measures are designed, planned and implemented. They form part of the monitoring and
524 evaluation framework for the CAP 2014-2020 and are used in rural development programmes for a
525 comprehensive overall description of the current situation of the programming area”¹².

526 These indicators are georeferenced at different scales: agricultural areas, age structure of farm
527 managers and livestock units are available at a regional scale (NUTS 2), population density, age
528 structure and labour productivities are available at a provincial scale (NUTS 3), etc¹³.

529 A future recommendation is to aim at spatialization with a high resolution for these indicators. Indeed,
530 the use of a georeferenced data set with high resolution represents a new frontier in spatial territorial
531 planning (Nelson and Kennedy 2009; Zandersen and Tol 2009; Bernetti et al. 2011; Baerenklau et al.
532 2010; Bottalico et al. 2016).

533 Despite the numerous variables that can be implemented in the proposed model, the NIV index
534 represents an important parameter for selecting farms for financing, in order to obtain a more efficient
535 distribution of contributions aimed at reducing the environmental impact related to agricultural
536 activities. Given a reduction in funds, it would be preferable for farms with a lower NIV index to
537 return to conventional techniques, because these farms make the lowest economic efforts to reduce
538 pollution.

539 In this way, application of the model would guarantee the financing of farms with a high nitrate
540 impact value, even if they are located outside the areas vulnerable to nitrates and protected areas, by
541 considering different impacts of nitrates on the environment related to the permeability of the soil
542 and the distance from rivers.

543

544 **Reference**

545

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¹² http://ec.europa.eu/agriculture/cap-indicators/context/index_en.htm [last access January 10, 2018]

¹³ More details are available at http://ec.europa.eu/agriculture/cap-indicators/context/2015/indicator-table_en.pdf [last access 10th January 2018]

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