# Distillation Protocols: Output Entanglement and Local Mutual Information 

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#### Abstract

A complementary behavior between local mutual information and average output entanglement is derived for arbitrary bipartite ensembles. This leads to bounds on the yield of entanglement in distillation protocols that involve disinguishing. This bound is saturated in the hashing protocol for distillation, for Bell-diagonal states.


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Introduction.-Distillation of entanglement [1,2] is a key issue in attaining nonclassical tasks in quantum communication protocols [3]. In a typical communication protocol, entanglement must be shared between distant partners (Alice and Bob). Since channels are invariably noisy, the partners usually end up with mixed state entanglement, which must then be distilled into pure form via local operations and classical communication (LOCC), to make them amenable to the envisaged quantum communication protocol.

The aim of this paper is twofold. We obtain an upper bound on local mutual information, $I^{\text {LOCC }}$, of arbitrary bipartite ensembles. We then use this bound to provide bounds on the yield of entanglement in any distillation protocol that uses local distinguishing of ensembles of states. The obtained bounds are then compared with the yield in the existing distillation protocols (e.g. [1,2,4]) and similar generalizations thereof, and also in some other cases, in which the distillation is based on a distinguishability protocol [5,6]. As a spin-off, we obtain a complementarity relation between local mutual information and average output entanglement.

Generalized universal Holevo-like upper bound on local mutual information.-To begin, we obtain a generalized Holevo-like bound on local mutual information for arbitrary bipartite ensembles. Suppose then that a source prepares the ensemble $\mathcal{R}=\left\{p_{x}, \varrho_{x}^{A B}\right\}$ and sends the $A$ part to Alice and the $B$ part to Bob. The task of Alice and Bob is to estimate the identity $x$ of the sent state. If Alice and Bob are together, so that they are allowed to perform global operations, the mutual information is bounded by the Holevo quantity [7], $\chi_{\mathcal{R}}=$ $S(\varrho)-\sum_{x} p_{x} S\left(\varrho_{x}\right)$, where $\varrho$ is the average ensemble state $\sum_{x} p_{x} \varrho_{x} . S(\cdot)$ is the von Neumann entropy and is defined for a state $\varrho$ as $S(\varrho)=-\operatorname{tr} \varrho \log _{2} \varrho$. We will however need the following result $[8,9]$, which is a generalization of the Holevo bound on mutual information.

Lemma 1: If a measurement on ensemble $Q=\left\{p_{x}, \varrho_{x}\right\}$ produces result $y$ with probability $p_{y}$, and leaves a postmeasurement ensemble $Q^{y}=\left\{p_{x \mid y}, \varrho_{x \mid y}\right\}$, then the mutual information I (between the identity of state in the en-
semble and measurement outcome) extracted from the measurement has the following bound:

$$
\begin{equation*}
I \leq \chi_{Q}-\bar{\chi}_{Q^{y}} . \tag{1}
\end{equation*}
$$

Here $\bar{\chi}_{Q^{y}}$ is the average Holevo bound for the possible postmeasurement ensembles, i.e., $\sum_{y} p_{y} \chi_{Q^{y}}$.

Suppose now that Alice and Bob are far apart, so that they are able to perform only local operations and communicate classically between the operations. In this scenario, universal Holevo-like upper bound on local mutual information for an arbitrary bipartite ensemble $\left\{p_{x}, \varrho_{x}^{A B}\right\}$ was obtained in [9]

$$
\begin{equation*}
I^{\mathrm{LOCC}} \leq S\left(\varrho^{A}\right)+S\left(\varrho^{B}\right)-\max _{Z=A, B} \sum_{x} p_{x} S\left(\varrho_{x}^{Z}\right) \tag{2}
\end{equation*}
$$

Here $\varrho_{x}^{A(B)}=\operatorname{tr}_{B(A)}\left(\varrho_{x}^{A B}\right)$, and $\varrho^{A(B)}=\operatorname{tr}_{B(A)} \sum_{x} p_{x} \varrho_{x}^{A B}$. In this paper, we will prove a generalization of this bound. Precisely, we show that

$$
\begin{align*}
I^{\mathrm{LOCC}} \leq & S\left(\varrho^{A}\right)+S\left(\varrho^{B}\right)-\sum_{x} p_{x} S\left(\varrho_{x}^{B}\right) \\
& -\sum_{a, b, \ldots,(n)} p_{a, b, \ldots,(n)} S\left(\sum_{x} p_{x \mid a b, \ldots,(n)} \varrho_{x \mid a b, \ldots,(n)}^{A}\right) \tag{3}
\end{align*}
$$

Here $\left\{p_{x \mid a b, \ldots,(n),}, \varrho_{x \mid a b, \ldots,(n)}^{A B}\right\}$ is the postmeasurement ensemble obtained after the measurement in the $n$th step, and $p_{a, b, \ldots,(n)}$ is the probability of the sequence of measurement outcomes in steps $1,2, \ldots, n$. Our generalization in (3) is related to the previous bound in (2), in a similar way as Lemma 1 is related to the original Holevo bound.

We will now prove the inequality in (3). To start the protocol for obtaining the identity $x$ of the given ensemble $\mathcal{R}=\left\{p_{x}, \varrho_{x}^{A B}\right\}$, Alice makes a measurement [10], and suppose that she obtains an outcome $a$, with probability $p_{a}$. Suppose that the postmeasurement ensemble (for outcome $a$ at Alice) is $\mathcal{R}_{a}=\left\{p_{x \mid a}, \varrho_{x \mid a}^{A B}\right\}$.

The results presented in this paper are in terms of mutual information, which when maximized over all measurement strategies gives the "accessible information". All the results are of course true for the extreme case of the best measurement strategy (for attaining
maximal mutual information), but are true also for any other nonextreme measurement strategy. The mutual information gathered from the measurement of Alice has the following bound due to Lemma $1: I_{1}^{A} \leq \chi_{\mathcal{R}^{A}}-\bar{\chi}_{\mathcal{R}_{a}^{A}}$. Here $\chi_{\mathcal{R}^{A}}$ is the Holevo quantity of the $A$ part of the ensemble $\mathcal{R}$, i.e., of the ensemble $\mathcal{R}^{A}=\left\{p_{x}, \varrho_{x}^{A}\right\}$. And $\chi_{\mathcal{R}_{a}^{A}}$ is the Holevo quantity of the $A$ part of the ensemble $\mathcal{R}_{a}$. The subscript 1 in $I_{1}^{A}$ indicates that the information is extracted from the first measurement.

After Alice communicates her result to Bob, his ensemble is $\mathcal{R}_{a}^{B}=\left\{p_{x \mid a}, \varrho_{x \mid a}^{B}\right\}$, with $\varrho_{x}^{B}=\operatorname{tr}_{A}\left(\varrho_{x}^{A B}\right)$. Suppose now that Bob performs a measurement and obtains outcome $b$ with probability $p_{b}$, so that the postmeasurement ensemble (at his part) is $\mathcal{R}_{a b}^{B}=\left\{p_{x \mid a b}, \varrho_{x \mid a b}^{B}\right\}$, where $\varrho_{x \mid a b}^{B}=\operatorname{tr}_{A}\left(\varrho_{x \mid a b}^{A B}\right)$. So (again due to Lemma 1), the information extracted in Bob's measurement has the following bound: $I_{2}^{B} \leq \bar{\chi}_{\mathcal{R}_{a}^{B}}-\bar{\chi}_{\mathcal{R}_{a b}^{B}}$.

This procedure of measuring and communicating the result goes on for an arbitrary number of steps, and by the chain rule for mutual information (see, e.g., [11]), the mutual information obtained in all steps is $I^{\mathrm{LOCC}}=I_{1}^{A}+$ $I_{2}^{B}+I_{3}^{A}+\cdots$. Note that this quantity depends on the measurement strategy followed by Alice and Bob.

Now we (repeatedly) use the following facts: (i) The von Neumann entropy is concave (i.e., $S\left(p_{1} \varrho_{1}+p_{2} \varrho_{2}\right) \geq$ $p_{1} S\left(\varrho_{1}\right)+p_{2} S\left(\varrho_{2}\right)$, for arbitrary density matrices $\varrho_{1}$ and $\varrho_{2}$, and probabilities $p_{1}$ and $p_{2}$ ) and positive. (ii) A measurement on one subsystem cannot change the state at a distant subsystem. (iii) The average change (initial minus final) of von Neumann entropy due to a measurement on one subsystem cannot be less than the average change in a distant subsystem. So, for example, after the first measurement by Alice, we have $\sum_{x} p_{x} S\left(\varrho_{x}^{A}\right)-$ $\sum_{a} p_{a} \sum_{x} p_{x \mid a} S\left(\varrho_{x \mid a}^{A}\right) \geq \sum_{x} p_{x} S\left(\varrho_{x}^{B}\right)-\sum_{a} p_{a} \sum_{x} p_{x \mid a} S\left(\varrho_{x \mid a}^{B}\right)$. (iv) The Holevo quantity is positive.

Then after $n$ steps of measurements, we obtain the inequality (3).

We have assumed that the last measurement is performed by Alice. The last term of the bound (3) is a contribution from this last measurement by Alice. We will see below that the final result is free from this asymmetry. Moreover, for the same measurements, but using the above items (i)-(iv) in a different way, one can reach the inequality (3), but with $A$ and $B$ interchanged, i.e., we also have

$$
\begin{align*}
I^{\mathrm{LOCC}} \leq & S\left(\varrho^{A}\right)+S\left(\varrho^{B}\right)-\sum_{x} p_{x} S\left(\varrho_{x}^{A}\right) \\
& -\sum_{a, b, \ldots,(n-1)} p_{a, b, \ldots,(n-1)} S\left(\sum_{x} p_{x \mid a b, \ldots,(n-1)}\right. \\
& \left.\times \varrho_{x \mid a b, \ldots,(n-1)}^{B}\right) \tag{4}
\end{align*}
$$

Note that now the last term is a contribution from the next-to-last measurement, which (due to the assumption
that Alice performed the last measurement) is performed by Bob. Inequalities (3) and (4) give us upper bounds on local mutual information, for arbitrary bipartite ensembles. These inequalities are true for any measurement strategy of Alice and Bob. In particular, they are true for the one which maximizes $I^{\mathrm{LOCC}}$. This is then the socalled locally accessible information ( $I_{\text {acc }}^{\mathrm{LOCC}}$ ).

The last terms in the bounds on local mutual information in inequalities (3) and (4) respectively are negative quantities, due to the positivity of von Neumann entropy. Leaving it out, we have the inequality (2).

Input and output entanglements.-We now try to write the bounds on local mutual information in (3) and (4) in a more revealing form. To that end, note that the von Neumann entropy of either of the local density matrices of a bipartite state is no smaller than the entanglement of formation [2], and the entanglement of formation is a lower bound for any asymptotically consistent measure of bipartite entanglement [12].

Then, the last term in the upper bound of Eq. (4) is $\leq-\sum_{a, b, \ldots,(n-1)} p_{a, b, \ldots,(n-1)} E\left(\sum_{x} p_{x \mid a b, \ldots,(n-1)} \varrho_{x \mid a b, \ldots,(n-1)}^{A B}\right)$, which in turn [by the fact that entanglement cannot increase (on average) under LOCC] is no greater than

$$
\begin{equation*}
-\sum_{a, b, \ldots,(n)} p_{a, b, \ldots,(n)} E\left(\sum_{x} p_{x \mid a b, \ldots,(n)} \varrho_{x \mid a b, \ldots,(n)}^{A B}\right) \tag{5}
\end{equation*}
$$

where $E$ denotes any asymptotically consistent measure of bipartite entanglement. The last term of (3) is directly $\leq$ the right-hand side of (5), by the fact that the von Neumann entropy of local density matrix is $\geq$ any asymptotic entanglement measure. The right-hand side of (5) (without the minus sign) is just the average entanglement that we obtain at the output in the $n$ step local measurement protocol between Alice and Bob. We denote it by $\bar{E}_{\text {out }}$. Note that from here on, the results are independent of whether it was Alice or Bob who ended the protocol.

Referring back to the inequalities (3) and (4), we have

$$
\begin{equation*}
I^{\mathrm{LOCC}} \leq S\left(\varrho^{A}\right)+S\left(\varrho^{B}\right)-\max _{Z=A, B} \sum_{x} p_{x} S\left(\varrho_{x}^{Z}\right)-\bar{E}_{\mathrm{out}} \tag{6}
\end{equation*}
$$

It is possible to write Eq. (3) in an even more revealing way. Note that $S\left(\varrho^{A}\right)+S\left(\varrho^{B}\right) \leq N$, where $N$ is the number of qubits (two-dimensional quantum systems) in the Alice-Bob system. That is, $N=\log _{2} d_{A} d_{B}$, where $d_{A}$ and $d_{B}$ are, respectively, the dimensions of the Hilbert spaces of Alice's and Bob's particles. Moreover, we have $S\left(\varrho_{x}^{B}\right) \geq \mathcal{E}\left(\varrho_{x}^{A B}\right)$, where again $\mathcal{E}$ denotes any asymptotically consistent measure of bipartite entanglement [2,12]. The quantity $\sum_{x} p_{x} \mathcal{E}\left(\varrho_{x}^{A B}\right)$ is the average input (initial) entanglement in the Alice-Bob system. We denote it by $\overline{\mathcal{E}}_{\text {in }}$. We use a separate notation for the asymptotic entanglement measure for the input states than that in the output states, to underline the fact that they can be different measures. It is known that there exist several asymptotically consistent measures of bipartite entanglement
(see [13]). We will come back to this point later. So finally we have

$$
\begin{equation*}
I^{\mathrm{LOCC}} \leq N-\overline{\mathcal{E}}_{\text {in }}-\bar{E}_{\text {out }} \tag{7}
\end{equation*}
$$

Equation (7) can also be obtained from Eq. (4), with the additional assumption of monotonicity under LOCC of $E$. Before connecting above bounds on local mutual information with entanglement distilled in distillation protocols, let us note some interesting features of these inequalities.

Complementarity between extracted and unused infor-mation.-One way of interpreting the result in Eq. (7) is to note that the terms $I^{\text {LOCC }}$ and $\bar{E}_{\text {out }}$ depend on the measurement protocol followed by Alice and Bob. The other two terms ( $N$ and $\overline{\mathcal{E}}_{\text {in }}$ ) are fixed for a given ensemble. So, writing the inequality as $I^{\mathrm{LOCC}}+\bar{E}_{\text {out }} \leq N-\overline{\mathcal{E}}_{\text {in }}$, we see that the left-hand side can be interpreted as a sum of "extracted information" ( $I^{\text {LOCC }}$ ) and "unused information" ( $\bar{E}_{\text {out }}$ ). Independently (i.e., considered separately), the extracted and unused informations depend on the measurement strategy followed by Alice and Bob. However for all strategies, the sum of the extracted and unused informations is bounded by $N-\overline{\mathcal{E}}_{\text {in }}$.

On bound entanglement with nonpositive partial trans-pose.-Another interesting feature of the inequality (7) is that the entanglement measures $E$ and $\mathcal{E}$ need not be the same measures. They must only be no greater than the von Neumann entropy of either of the local density matrices. In particular, any asymptotically consistent measure of bipartite entanglement satisfies such conditions (see [13]). This may have nontrivial consequences. For example, we may require that $\mathcal{E}$ must be a convex function, and keep $E$ to be such that it need not necessarily be convex [14]. The only entanglement measure for which there is some evidence for nonconvexity is for distillable entanglement [2], and this is related to the phenomenon of bound entanglement [15]. Precisely, it was shown in Ref. [16] that distillable entanglement can be proven to be nonconvex, if there exists a certain bound entangled state [17], having nonpositive partial transpose (NPT) [18]. Bound entanglement, and more particularly NPT bound entanglement, is not a well understood phenomenon of quantum mechanics. We believe that the inequality (7), may have important consequences for NPT bound entangled states. The point that we make here is also to be seen with respect to the fact that below we actually relate the output entanglement $E_{\text {out }}$ to entanglement distilled in different distillation protocols, and bound entanglement is precisely that entanglement which cannot be distilled.

Bound on entanglement distillable via protocols correcting all errors.-We will now consider distillation protocols based on full distinction between the possible pure states in a decomposition of $m$ copies a bipartite state $\rho$. Suppose therefore that Alice and Bob share $m$ copies of the state $\rho$ given by

$$
\begin{equation*}
\rho=\sum_{i} p_{i}\left|\psi_{i}\right\rangle\left\langle\psi_{i}\right| \tag{8}
\end{equation*}
$$

where $\left|\psi_{i}\right\rangle$ are eigenvectors of $\rho$. Alice and Bob can imagine that they actually share some string of the form $\psi_{i_{1}} \otimes \ldots \otimes \psi_{i_{m}}$. Now we propose the following strategy for distillation. Alice and Bob try to fully distinguish between all strings. That is, they apply some LOCC operation that tells them what is the string that they share. Usually during such distinguishing, they destroy the string to some degree. For example, the protocol of distinguishing two pure orthogonal states, given in [5], destroys the states completely. Yet in the hashing protocol for distilling entanglement, Alice and Bob are able to distinguish strings without destroying all entanglement they share [2].

In the case of full distinguishing (in some distillation protocol $P$ ), the accessible information is $m S(\rho)$. The initial entanglement per input pair is equal to $\bar{S}_{A} \equiv$ $\sum_{i} p_{i} S\left(\rho_{i}^{A}\right)$, where $\rho_{i}^{A}$ is the local density matrix of $\left|\psi_{i}\right\rangle$. Since we have full distinguishing, the final entanglement is pure entanglement, so that it can be converted reversibly by LOCC, into singlets $\left|\psi^{-}\right\rangle=\frac{1}{\sqrt{2}}(|01\rangle-|10\rangle)$ [19]. Thus the output entanglement is the entanglement $D_{P}$ that has been distilled in such protocol $P$. Using the inequality (6) we have then

$$
\begin{equation*}
S \leq S_{A}+S_{B}-\bar{S}_{A}-D_{P} \tag{9}
\end{equation*}
$$

where for ease of notation, we have used the notations $S \equiv$ $S(\rho), S_{A} \equiv S\left(\operatorname{Tr}_{B} \rho\right)$, and $S_{B} \equiv S\left(\operatorname{Tr}_{A} \rho\right)$. This gives

$$
\begin{equation*}
D_{P} \leq S_{A}+S_{B}-S-\bar{S}_{A} \tag{10}
\end{equation*}
$$

Note that since $\left|\psi_{i}\right\rangle$ are pure, $\bar{S}_{A}=\sum_{i} p_{i} S\left(\operatorname{Tr}_{B}\left|\psi_{i}\right\rangle\left\langle\psi_{i}\right|\right)=$ $\sum_{i} p_{i} S\left(\operatorname{Tr}_{A}\left|\psi_{i}\right\rangle\left\langle\psi_{i}\right|\right)=\bar{S}_{B}$. So the last term in the above inequality (10) can be replaced by $\bar{S}_{B}$. For the case of Bell-diagonal states (i.e. states that are diagonal in the canonical maximally entangled basis [20]), we have $S_{A}=$ $S_{B}=\bar{S}_{A}=\log _{2} d$ so that in that case, inequality (10) gives us

$$
\begin{equation*}
D_{P}(\rho) \leq \log _{2} d-S(\rho) \tag{11}
\end{equation*}
$$

This result is compatible with the fact that the quantity $\log _{2} d-S(\rho)$ can be attained by hashing methods that reveal all errors [2,4].

It is also instructive to consider a hypothetical protocol, in which Alice and Bob would divide their $m$ systems into two groups $G_{1}$ and $G_{2}$ of length $m_{1}$ and $m-m_{1}$ respectively. Now by applying some LOCC actions, Alice and Bob would aim to get to know the identities of the states of systems from $G_{1}$, while $G_{2}$ would serve as a resource to do this and would be destroyed during protocol. The protocol differs from the previous one, as in the present case Alice and Bob do not aim to distinguish between states of systems from this latter group.

Suppose now that such a protocol $\left(P^{\prime}\right)$ exists. Then the output entanglement is $m_{1} \bar{S}_{A}$, the input one is $m \bar{S}_{A}$, while
the mutual information is equal to $m_{1} S(\rho)$. The entanglement $D_{P^{\prime}}$ distillable in this protocol is therefore equal to the output entanglement divided by $m$ :

$$
D_{P^{\prime}}=\frac{m_{1} \bar{S}_{A}}{m}
$$

We obtain the following constraint for $r \equiv \frac{m_{1}}{m}$ :

$$
\begin{equation*}
r \leq \frac{S_{A}+S_{B}-\bar{S}_{A}}{S+\bar{S}_{A}} \tag{12}
\end{equation*}
$$

which finally leads to

$$
\begin{equation*}
D_{P^{\prime}} \leq \frac{S_{A}+S_{B}-\bar{S}_{A}}{S+\bar{S}_{A}} \bar{S}_{A} \tag{13}
\end{equation*}
$$

(We remember that $\bar{S}_{A}=\bar{S}_{B}$.) For Bell-diagonal states it gives the following bound:

$$
\begin{equation*}
D_{P^{\prime}}(\rho) \leq \frac{\left(\log _{2} d\right)^{2}}{\log _{2} d+S(\rho)} \tag{14}
\end{equation*}
$$

(For Bell-diagonal states in $2 \otimes 2$, this reduces to $D_{P^{\prime}}(\rho) \leq \frac{1}{1+S(\rho)}$.) The bound is always nonzero, even for separable states. This means that the inequality (6) is not the only restriction on local mutual information in this complicated situation. This is however not surprising, as in the considered protocol, we assumed that using a part of the string, we can get the whole information about the rest of the string, but nothing about the used part. What one expects is that at the some point, one perhaps would also gain some information about the used part. Note here that the bound in (14) is for those distillation protocols in which one bases on a distinguishing protocol.

Conclusions.-We have shown that it is possible to obtain bounds on the yield in distillation protocols, basing on distinguishability, of bipartite states, from a complementarity connecting local mutual information with average output entanglement, for the case of bipartite ensembles. For Bell-diagonal states, saturation of this bound is obtained in the hashing protocol for distillation. It is consistent with results of [21], where to beat hashing bound, degenerate codes were applied. Whether any distillation protocol is a distinguishing process remains an open question.
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Note added.-After completion of our work, we came to know of the recent related work in Ref. [22].
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