

1 Pervaporation performance of crosslinked PVA membranes in
2 the vicinity of the glass transition temperature

3 Liang Liu, Sandra E. Kentish*

4 Department of Chemical Engineering, School of Chemical and Biomedical Engineering, The
5 University of Melbourne, Vic. 3010, Australia

6 *Corresponding author.

7 E-mail address: sandraek@unimelb.edu.au

8
9 **Abstract**

10 This work investigates the pervaporation performance of crosslinked poly (vinyl alcohol) PVA
11 membranes for ethanol dehydration near the glass transition. The solubility of water and
12 ethanol mixture in the membranes was measured as a function of feed composition and sorption
13 temperature, and the data was modelled by perturbed-chain statistical associating fluid theory
14 (PC-SAFT). Importantly, this approach allows the solubility of the two components to be
15 determined individually. Model results show that the heat of sorption of water and ethanol was
16 constant across the temperature range. Water permeance generally decreased when operational
17 temperature increased, indicating a solubility-controlled transport behavior. The permeance
18 also increased when water feed concentration increased. Activation energy analysis provided
19 more insights about the influence of membrane properties on the mass transport mechanism.
20 At 90 wt% ethanol feed composition, the apparent activation energy (E_a) for water permeation
21 changed from 9.6 kJ mol⁻¹ when temperature was <70 °C to -9.1 kJ mol⁻¹ when temperature
22 was >80 °C. When the feed composition decreased to 80 wt% ethanol, a transition was
23 observed at a lower temperature range (60-65 °C). These changes were related to changes in
24 the activation energy of diffusion, given the heat of sorption was constant. The permeability of
25 ethanol was lower due to its larger molecular size, but a similar transition was observed for the
26 80 wt% ethanol case.

27 **Keywords:** Poly (vinyl alcohol); mixture sorption; PC-SAFT; glass transition.

28

29 **Introduction**

30 Pervaporation (PV) is a novel membrane separation technology with high efficiency and
31 energy saving benefits for liquid mixture separation, in particular, for azeotropic mixtures [1–
32 3]. The membrane contacts with the liquid mixture on the feed side, while permeate is removed
33 as a vapour [4]. The mass transport is driven by the vapour pressure difference between the
34 feed solution and the permeate vapour. The solution-diffusion model is applicable for the
35 transport of penetrants through such a membrane [5]. One component in the feed solution can
36 be preferentially removed due to its higher affinity with the membrane polymer and/or higher
37 diffusivity in the membrane. PV membranes have been developed for different applications
38 including dehydration of organic solvents [6–8], removal of volatile organic compounds from
39 water [9] and organic-organic separation [10]. Among these applications, dehydration of
40 organic solvents is best developed. The solubility of water is high due to the use of a hydrophilic
41 polymer and the diffusivity of water is also high because of its small molecular size compared
42 with organic solvents. Hence, a high water selectivity can be achieved.

43 Many hydrophilic polymers have been investigated as pervaporation membranes for organic
44 solvent dehydration [11,12]. Poly (vinyl alcohol) (PVA) is one of the most well-known as it
45 has high hydrophilicity, is easy to process and is readily available [2]. However, pristine PVA
46 is not suitable for membrane applications because it can dissolve in aqueous solutions.
47 Various cross-linkers have been used to improve the performance of PVA-based pervaporation
48 membranes, such as glutaraldehyde [13–15], citric acid and maleic acid [16]. Another strategy
49 to improve membrane performance is to develop a mixed matrix membrane (MMM), where an
50 inorganic phase is introduced into the polymer matrix [3].

51 Although much experimental work have been reported in the literature for pervaporation [16],
52 there is limited theoretical modeling work due to the complexity of the water-organic solvent
53 mixture, which has significant non-ideality. Sorption isotherms can be convex (Type I isotherm)
54 often described empirically by the dual mode sorption model; an S-shape (Type II isotherm)
55 often described using the Guggenheim-Anderson-de Boer (GAB) model; or concave (Type III
56 isotherm) [17].

57 Lue et al.[18] reported that the UNIQAC-HB (UNIversal QUAsi Chemical model accounting
58 for the hydrogen bonding effect) could provide a model for mixed ethanol/water sorption in
59 PDMS at 298 K. The Perturbed-Chain Statistical Associating Fluid Theory (PC-SAFT)
60 equation of state is an advanced model that can model polymer systems [19–21] and might

61 provide a better approach for modeling sorption of such organic liquid/water mixtures. It
62 provided excellent results for the sorption of five different volatile organic carbons (VOCs) in
63 two glassy polymers (i.e. Matrimid 5218 and P84) [22].

64 The transport behavior of penetrants in pervaporation membranes is generally analyzed as a
65 function of temperature and/or feed concentration, and activation energy (E_a) is widely used
66 [16,23,24]. A wide range of E_a from positive to negative has been reported [24]. Nevertheless,
67 a single value of E_a is usually reported within the operational temperature range. However in
68 other work, this activation energy has been observed to change. We found the activation energy
69 of water changed from a positive value at 30 to 50 °C to an negative value at 50-150 °C for a
70 Sulphonated Poly(Ether Ether) Ketone (SPEEK) [25]. This change could not be related to a
71 simple glassy to rubbery transition. Rather we speculated that the falling diffusion coefficient
72 with increasing temperature related to ‘antiplasticisation’. This is a well known phenomenon
73 caused by a loss of free volume in the polymer, as the penetrant accumulates in the larger voids.
74 The Wessling group reported similar results [26], but in a later paper argued that such changes
75 related to relaxation phenomena rather than antiplasticisation [27]. Similarly, Sato et al.[28]
76 studied the behavior of a range of polymers exposed to benzene and water vapor and showed
77 that water tended to cause antiplasticisation in polymers that were rubbery or close to the glass
78 transition temperature, but plasticization occurred for water vapor when the polymer was fully
79 glassy.

80 In this work, crosslinked PVA membranes for ethanol dehydration were prepared using
81 glutaraldehyde as a cross-linker. First of all, the liquid sorption capacity of the membrane was
82 studied at various solution compositions including pure ethanol, 90 wt%, 85 wt%, 80 wt%, 75
83 wt% ethanol concentration and pure water from 45 to 90 °C. Then the sorption data was
84 modelled and analyzed using the PC-SAFT model. The influence of both operational
85 temperature (45-90 °C) and feed composition (80, 85 and 95 wt%) on the pervaporation
86 performance was investigated. Finally, the transport behavior of both water and ethanol was
87 evaluated by analyzed the permeance, apparent activation energy and sorption and diffusion
88 selectivity of both components in the PVA membrane.

89 **Experimental**

90 Poly (vinyl alcohol) (average molecular weight: 89000-98000, 99+% hydrolyzed) was
91 purchased from Sigma Aldrich and was used without purification. 25 wt% glutaraldehyde

92 aqueous solution was provided by Merck. Hydrochloric acid of 32 wt% was purchased from
93 Ajax Finechem. Ultrapure water was produced using Millipore Elix®20.

94 A 10 wt% PVA aqueous solution was prepared at 90 °C under vigorously stirring and then was
95 cooled down to room temperature. Hydrochloric acid and glutaraldehyde was then introduced
96 at a molar crosslinking ratio of glutaraldehyde to vinyl alcohol monomer of 0.05. The molar
97 ratio of hydrochloric acid to vinyl alcohol monomer was 0.05. PVA membranes were then
98 fabricated by casting the solution onto a glass plate using a casting knife of 400 µm thickness,
99 and were dried at room temperature overnight. They were then dried at 60 °C for 4 hours then
100 annealed at 130 °C for 1.5 hours under vacuum. The membrane thickness was measured using
101 a micrometer and was in the range of 40-60 µm with a variation less than 5 µm for each
102 membrane All membranes were kept in a vacuum desiccator before use.

103 All membranes were dried under vacuum for 24 hours before liquid sorption measurement.
104 Then the membranes were weighed using a digital microbalance and immersed in pure water,
105 75 wt% (ethanol concentration), 80 wt%, 85 wt%, 90 wt% and pure ethanol solutions in sealed
106 bottles at temperatures from 45 to 90 °C. After 24 hours, the membranes were wiped clean
107 with a tissue and then again weighed as quickly as possible. This process was repeated 2-3
108 times until sorption equilibrium was reached. Each liquid sorption measurement was repeated
109 twice.

110 In a separate sequence of sorption experiments, some very thick membranes (500-600 µm)
111 were prepared to increase the total mass of sorbed penetrant. Ethanol and water solutions were
112 absorbed as above at 45 °C. The composition of the sorbed mixture in the membrane at 75
113 wt%, 80 wt% and 85 wt% was then determined by desorption at ambient temperature into a
114 cold trap under vacuum for five hours. The water/ethanol mixture collected in the cold trap was
115 analyzed by a Varian 7890B gas chromatograph (GC) with an Agilent HP-5 column (30
116 m*0.32 mm*0.25 µm) and a flame ionization detector (FID).

117 The sorption data was analyzed using the PC-SAFT equation of state [19,29,30]. This model
118 is based on perturbation theories, where the total interaction of molecules is described by a
119 reference fluid in which no attractive interactions occur, but which is perturbed by attractive
120 interactions. The PC-SAFT model uses a hard-chain fluid as the reference fluid. The attractive
121 interactions can be separated into dispersive interactions, association interactions and other
122 interactions depending on specific systems [31]. The general expression of the model is shown

123 in Eq. 1, where the residual Helmholtz free energy (A^{res}) is consisted of a hard chain
 124 contribution (A^{hc}), a dispersion contribution (A^{disp}) and an association contribution (A^{assoc}).

$$125 \quad A^{res} = A^{hc} + A^{disp} + A^{assoc} \quad (1)$$

126 For a non-associating component, only the hard-chain term and the dispersion term is used to
 127 model its thermodynamic properties and only three pure-component parameters are needed:
 128 the segment diameter (σ_i), the segment number (m_i) and the dispersion energy parameter
 129 (ϵ/k). For associating components (e.g. water and ethanol), the association term is added and
 130 two additional parameters (i.e. the association energy ($\epsilon^{A_iB_i}$) and the association volume ($k^{A_iB_i}$))
 131 are used. The number of association sites (N^{ass}) can be determined by consideration of the
 132 chemical structures. Table 1 shows the pure component PC-SAFT parameters for PVA, ethanol
 133 and H₂O.

134 Table 1: pure-component PC-SAFT parameters

	M (g/mol)	m^{seg}/M^a (mol/g)	σ (Å)	ϵ/k (K)	N^{ass} (-)	$k^{A_iB_i}$ (-)	$\epsilon^{A_iB_i}/k$ (K)	Ref.
PVA	98000	0.0357	3.2993	302.2	2227/ 2227	0.025107	2808.15	[32]
ethanol	46.069	0.05172	3.1771	198.23 7	1/1	0.03238	2653.24	[19]
H ₂ O	18.015	0.06687	$\sigma(T)^b$	353.94 49	1/1	0.04509	2425.67	[21]

135 Note: a: Segment number (m) depends on the molecular mass (M) of a polymer and it is
 136 determined from the product of m^{seg}/M (second column) and M (first column).

$$137 \quad b: \sigma(T) = 2.7927 + 10.11 \times e^{\left(-\frac{0.01775 * T}{K}\right)} - 1.417 \times e^{\left(-\frac{0.01146 * T}{K}\right)}$$

138
 139 For binary mixtures, mixture parameters such as the segment diameter (σ_{ij}) and the dispersion
 140 energy (ϵ_{ij}/k) can be calculated by Berthelot-Lorentz combining rules using the pure-
 141 component PC-SAFT parameters:

$$142 \quad \sigma_{ij} = \frac{1}{2}(\sigma_i + \sigma_j) \quad (2)$$

$$143 \quad \epsilon_{ij} = \sqrt{\epsilon_i \epsilon_j} (1 - k_{ij}) \quad (3)$$

144

145 where k_{ij} is the binary interaction parameter and is introduced to correct the segment-segment
 146 interactions of unlike chains [29].

147 Phase equilibrium criteria (Eq. 4) are applied to calculate the composition of the
 148 water/ethanol/PVA mixture:

$$149 \mu_i^{liq}(T,p, x_i^{liq}) = \mu_i^{pol}(T,p, x_i^{pol}) \quad (4)$$

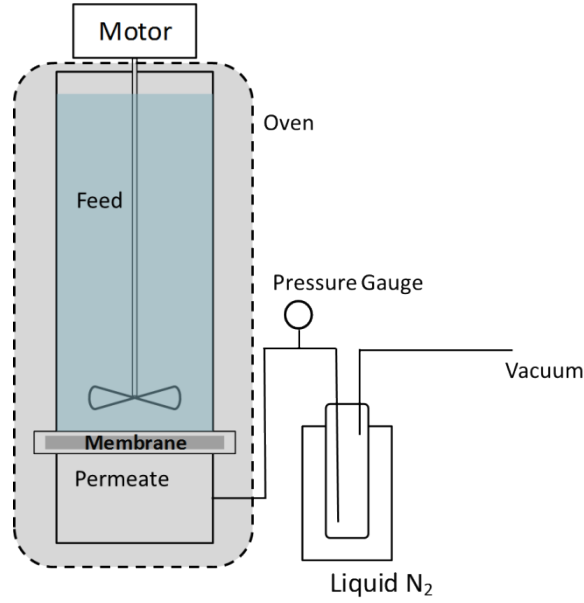
150 where μ_i^{liq} and μ_i^{pol} are the chemical potential of component i (i.e. water or ethanol) in the liquid
 151 and polymer phase, respectively. x_i^{liq} and x_i^{pol} are the molar fraction of component i in the
 152 liquid and polymer phase, respectively.

153 The molar fraction of water and ethanol in the PVA membranes was determined numerically,
 154 using MATLAB 2014 to minimize the objective function [29].

$$155 \text{Min} = \sum_{j=1}^{N^{exp}} \left(\frac{C_j^{exp} - C_j^{calc}}{C_j^{exp}} \right)^2 \quad (5)$$

156
 157 where C_j^{exp} and C_j^{calc} are the total sorbed concentrations of ethanol and water within the
 158 membrane (g/g) determined experimentally and calculated from Eq. 4, and N^{exp} is the number
 159 of experimental data points. For binary mixtures (pure ethanol or pure water in PVA), only one
 160 binary interaction parameter (k_{ij}) was used as the fitting parameter and this was determined by
 161 pure liquid sorption data at each test temperature. For ternary mixtures, an extra interaction
 162 parameter accounting for water/ethanol interaction was used with this determined from the
 163 binary mixture data to fit the sorption data provided in Fig. 2.

164
 165 The pervaporation performance of the membrane was tested using a customized rig, as shown in
 166 Fig. 1. The membrane surface area was 12.56 cm² and the thickness was 46.3 μm. 500 ml feed
 167 solutions with 90, 85 and 80 wt% ethanol were used and a stirrer was utilized to minimize
 168 concentration polarization [33]. The operational temperature was controlled by an oven. The
 169 permeate side was maintained under vacuum and permeate vapours were collected in a cold
 170 trap immersed in liquid N₂. The membrane was kept in contact with the liquid feed at 40 °C
 171 overnight prior to measuring the permeability for each feed concentration. For each
 172 temperature, the permeate stream was collected over an interval of 0.5-2 hours and this
 173 measurement was repeated in triplicate. The permeate composition was then analyzed by a gas
 174 chromatography as described above.



175

176

Fig. 1: Schematic diagram of the pervaporation set-up

177 The permeate flux J was determined by Eq. (6):

178
$$J = \frac{\Delta m}{A\Delta t} \quad (6)$$

179 where Δm , A and t are the permeate mass, membrane area and operating time, respectively.

180 The separation factor (SF) was calculated by Eq. (7):

181
$$SF = \frac{y_1/y_2}{x_1/x_2} \quad (7)$$

182 where subscripts 1 and 2 are water and ethanol, x and y are the weight fraction of the
183 components in the feed and permeate sides.

184 The permeance (P_i) of water and ethanol and selectivity (α) are further calculated by Eq. (8)
185 and (9):

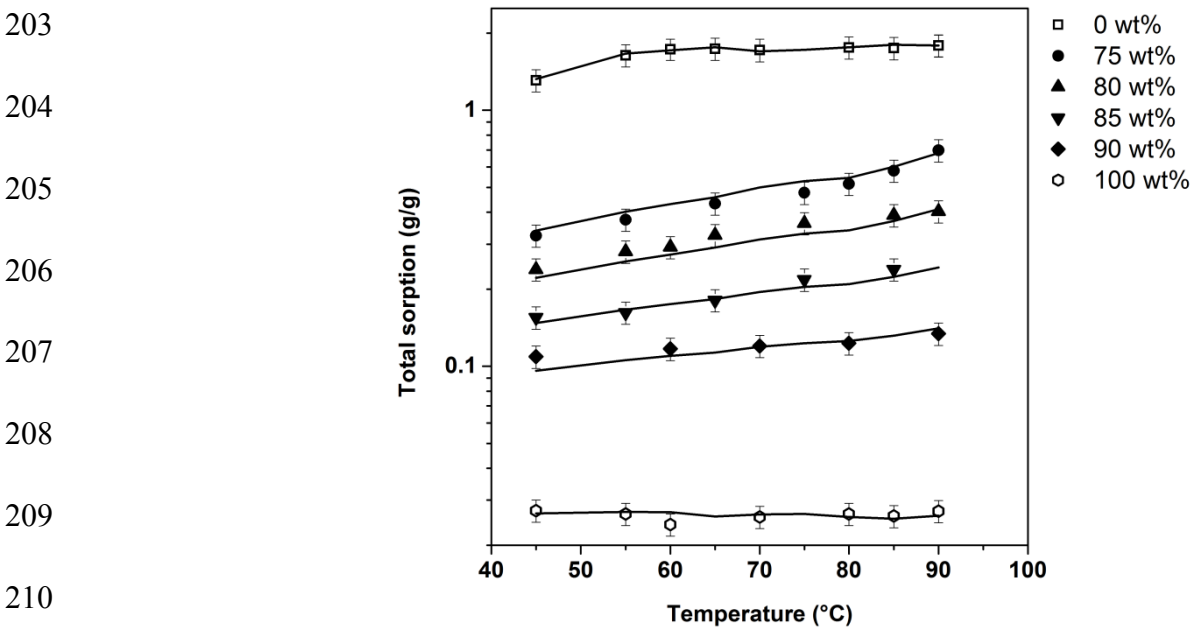
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$$P_i = \frac{J_i}{x_{n,i} \gamma_i p_i^{sat} - y_{n,i} p_i^p} \quad (8)$$

187
$$\alpha = \frac{P_i}{P_j} \quad (9)$$

188 where P_i and J_i are the membrane permeance and flux of component i , $x_{n,i}$ and $y_{n,i}$ are the molar
 189 fractions on the feed and permeate sides. γ_i and p_i^{sat} are the activity coefficient and saturated
 190 vapour pressure of component i , which was calculated using the NRTL model in Aspen Plus
 191 V8.6. p_i^p is the permeate pressure which was set to zero.

192 Results and Discussion

193 Fig. 2 shows the total sorption of both ethanol and water into the PVA membrane at different
 194 ethanol weight concentrations as a function of temperature. For pure ethanol, the mass sorption
 195 was ~ 0.025 g/g (PVA) across the whole experimental temperature range. However, when the
 196 ethanol concentration was lowered to 85 wt% and below, the total sorption became more
 197 dependent upon temperature. At 75 wt% ethanol concentration, for instance, total sorption
 198 increased from 0.32 at 45 °C to 0.70 g/g (PVA) at 90 °C. Similar results have been reported
 199 for PVA/APTEOS hybrid membranes [34]. It was a different case for pure water due to the
 200 inherent hydrophilicity of the membrane. The mass sorption was much higher compared with
 201 pure ethanol and it increased from 1.30 at 45 °C to 1.72 g/g (PVA) at 60 °C, then remained at
 202 around this value from 60 to 90 °C.



211 Fig. 2: Total water/ethanol sorption of the PVA membranes as a function of temperature
 212 and ethanol feed concentration (wt%). The lines are fitting results from the PC-SAFT model.

213

214 To further analyze the sorption behavior of the membrane, the PC-SAFT equation of state was
 215 applied to model this sorption data (Fig. 2). Only three interaction parameters were used in the
 216 model for this ternary mixture and all followed linear relationships with temperature with
 217 $R^2 > 0.994$ (see Table 2). It is clear that the predictions of the PC-SAFT model agree well with
 218 experimental data.

219 To further verify the model, the composition of the sorbed mixture within very thick
 220 membranes was estimated at 45 °C. These experiments indicated the water concentrations in
 221 the desorbed vapors at 75 wt%, 80 wt% and 85 wt% ethanol feed concentrations were 74 wt%,
 222 69 wt% and 66 wt%, respectively. This data is consistent with model predictions of 69 wt%,
 223 65 wt% and 61 wt%.

224 Although the PC-SAFT model is an equation of state, which is only valid for equilibrium
 225 systems, it provided excellent results for glassy PVA, which is in a non-equilibrium state. We
 226 have observed similar results when modelling water sorption into other glassy polymers [35]
 227 and is because the excess free volume in such polymers plays an insignificant role in the
 228 sorption process. Indeed, a type III sorption isotherm was reported for water sorption in PVA,
 229 confirming that there was little water sorption in the excess free volume [36]. Another
 230 explanation is that there may be a transition from a glassy to rubbery state as sorption occurs.
 231 This is very likely to happen in our case as the amount of sorption is high.

232 Table 2: Binary Interaction Parameters (k_{ij}) determined from PC-SAFT modelling of the total
 233 sorption uptake of water and ethanol into PVA, as a function of temperature (t , °C).

PVA/H ₂ O	PVA/ethanol	H ₂ O/ethanol
$0.00124*t-0.11244$	$0.000465*t-0.01999$	$0.000242*t-0.03635$

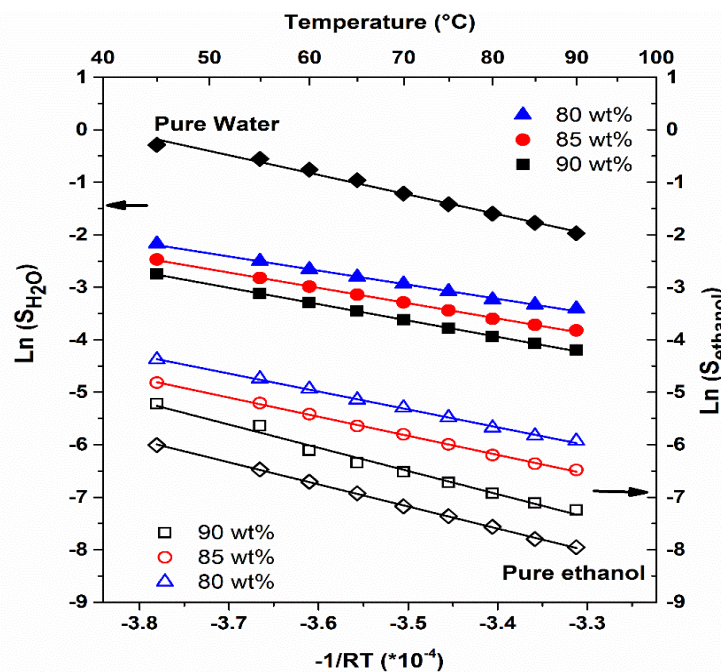
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235 Using this approach, it is possible to separate the mass sorption of both water and ethanol in
 236 the PVA membrane. The heat of sorption (ΔH) of each component in the membrane can also
 237 be readily calculated from Eq. (10):

$$238 \quad S \equiv \frac{C}{P} = S_0 e^{-\Delta H/RT} \quad (10)$$

239 where S is solubility; C is sorption concentration; P is sorption pressure; S_0 is pre-exponential
 240 factor; R is ideal gas constant and T is sorption temperature.

241 Fig. 3 reports the Arrhenius plot of water and ethanol solubility in PVA membranes as a
 242 function of temperature. The solubility of both water and ethanol decreases with temperature.
 243 Both water and ethanol solubility increased with increasing water content in the feed solution
 244 as the water swelled the polymer causing an increase in free volume and chain flexibility. The
 245 calculated heat of sorption was -37 and -42 kJ mol^{-1} for pure water and ethanol which is very
 246 close to the corresponding heat of vaporisation (i.e. 40.6 kJ mol^{-1} for water and 38.6 kJ mol^{-1}
 247 for ethanol) [16,24] (see Table 3). Further investigation is needed to confirm the state of both
 248 penetrants in the membrane.

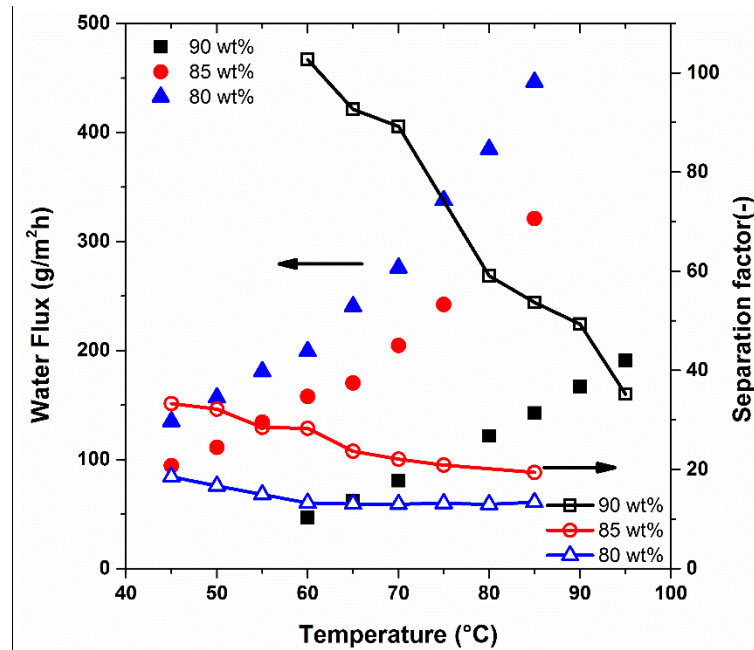


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250 Fig. 3: Arrhenius plot of water and ethanol solubility in PVA (lines: fitting results).

251 The influence of operational temperature and feed compositions on membrane performance
 252 was carefully investigated and the results are shown in Fig. 4. In general, the water flux
 253 increased when operational temperature increased, while the separation factor displayed an
 254 opposite trend. The ideal selectivity showed a similar trend to the separation factor (data not
 255 shown). These trends are expected as the driving force (i.e. vapor pressure) is an exponential
 256 function of temperature. Moreover, the fractional free volume of the polymer matrix increases
 257 when temperature increases, resulting in an increase of the diffusivities of penetrants. At 90 wt%
 258 ethanol feed concentration, water flux increased from 47 $\text{g m}^{-2} \text{h}^{-1}$ at 60 $^{\circ}\text{C}$ to 191 $\text{g m}^{-2} \text{h}^{-1}$ at

259 95 °C while the separation factor decreased from 103 to 35, indicating the influence of
 260 temperature on ethanol flux was more significant than on the water flux. When the ethanol feed
 261 concentration was dropped to 85 wt%, there was a significant increase in the water flux
 262 compared with 90 wt% ethanol feed concentration.

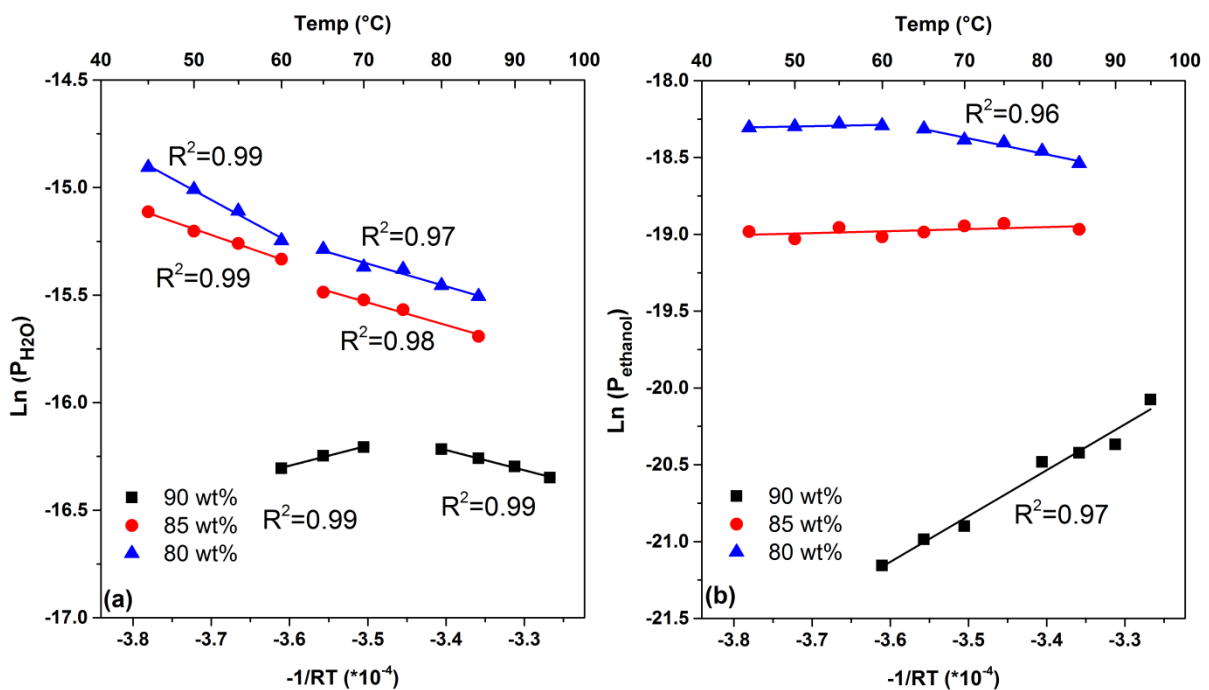


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264 Fig 4: Pervaporation performance of the PVA membrane as a function of operational
 265 temperature and feed concentration. Note: lines are provided as a guide only.

266 The water flux and separation factor is widely reported to represent pervaporation performance
 267 [3,4,37] but there is significant variation in the reported literature data. For example, a PVA
 268 membrane using glutaraldehyde as a cross-linker showed a flux of 50 g m⁻² h⁻¹ and separation
 269 factor of 180 at 90 wt% ethanol feed concentration at 30 °C for ethanol dehydration [20]. On
 270 the other hand, a commercial PERVAP 2510 membrane had a flux of 2456 g m⁻² h⁻¹ and
 271 separation factor of 15 at 80 wt% ethanol feed concentration at 80 °C [38]. Indeed, these
 272 changes arise not only from the intrinsic properties of the membrane but are affected by
 273 operational conditions such as feed composition, operational temperature and permeate
 274 pressure [39] and membrane thickness. To better investigate membrane properties, the water
 275 and ethanol permeance values were calculated according to Eq. (4) (Fig. 5). When reported on
 276 this basis, some surprising trends emerge in the water permeance data. It is clear that there is a
 277 transition temperature range for each feed concentration, with different transport behavior
 278 occurring on either side of this transition. This transition temperature decreased from 70-80 °C
 279 to 60-65 °C when the ethanol feed concentration decreased from 90 to 80 wt%. It is

280 hypothesized that these temperatures correspond to a glass transition occurring due to sorption
 281 of the water/ethanol mixture, resulting in a change of transport behavior. The pristine PVA
 282 membrane has a glass transition temperature (T_g) of 95-100 °C, supported by differential
 283 scanning calorimetry (data not shown) and it is expected that this transition temperature would
 284 decrease upon penetrant addition [27]. As the membrane is hydrophilic, the magnitude of this
 285 decline would be expected to be greater at 80 wt% feed ethanol concentration than that at 90
 286 wt% due to the greater water uptake. On the other hand, there was only a transition observed
 287 at 80 wt% feed concentration for ethanol, with no obvious change in the permeance gradient at
 288 90 and 85 wt%.



289

290 Fig. 5: Arrhenius plot of water (a) and ethanol permeance (b) as a function of feed
 291 ethanol concentration (lines are a linear regression). The experimental error of permeance
 292 was within $\pm 5\%$.

293 The apparent activation energy is a combined effect of the heat of sorption (ΔH) and the energy
 294 of diffusion (E_d), and $E_a = \Delta H + E_d$. A positive E_a indicates that diffusion is dominant in the
 295 transport process and a negative E_a means that the transport is governed by sorption.

296 The E_a of water changed from 9.3 to -9.4 kJ mol⁻¹ at 90 wt% after glass transition, suggesting
 297 a transition from diffusion controlled transport to sorption controlled transport. When the
 298 temperature was below 70 °C, the degree of swelling was small (due to the high concentration
 299 of ethanol) and hence the membrane was in a glassy state. Under these circumstances, diffusion

300 was the limiting factor, evidenced by an E_d of 41 kJ mol⁻¹, Similar results have been reported
301 for a PVA membrane using citric acid as cross-linker [16]. When the temperature was above
302 80 °C, the E_d was reduced to 22 kJ mol⁻¹. This reduction may not be simply explained by a
303 glassy to rubbery transition, which should lead to an increase of E_d , as evidenced by both
304 experimental and theoretical work [40]. It is also unlikely to relate to a change in crystallinity,
305 as this should reduce at higher temperatures, again leading to an increase of E_d .

306 An alternative assessment might be made based on our prior work with a comparable
307 hydrophilic polymer, Sulphonated Poly(Ether Ether)Ketone (SPEEK) [25]. We observed that
308 the activation energy for diffusion changed from a positive value at low temperatures to a
309 negative one at higher temperatures. We speculated that the falling diffusion coefficient with
310 increasing temperature related to an increase in the total water concentration within the
311 membrane at higher temperatures due to the higher saturation partial pressure of water. This
312 reduction in diffusion coefficient with increasing penetrant concentration is known as
313 ‘antiplasticisation’. It is commonly attributed to solvent molecules accumulating in the larger
314 free volume voids and reducing the total free volume available for diffusion [41–45]. However
315 in the present case, at 90 wt% ethanol, the total sorbed concentration is at its lowest (see Fig.
316 2) so antiplasticisation through clustering of water and/or ethanol molecules would appear
317 unlikely.

318 Alternatively, the behavior may relate to the relationship between ‘bound’ or ‘non-freezing’
319 water; and ‘bound’ ethanol molecules which are hydrogen bonded to the PVA polymer. Such
320 bound molecules are less mobile and thus have lower diffusion coefficients [46]. However,
321 bound water has also been shown to contribute most strongly to the plasticisation of the
322 polymer by disrupting polymer to polymer hydrogen bonds [47]. Free volume models of
323 plasticization and antiplasticisation do not take such strong polymer/solvent interactions into
324 account [40]. For 90 wt% ethanol, it is possible that there is a loss of bound water at higher
325 temperatures, which allows more polymer-polymer bonding to occur, and thus increases in free
326 volume with temperature to be smaller.

327 At 85 wt% feed concentration, the E_a was -12.8 kJ mol⁻¹ before glass transition occurred,
328 indicating a sorption-controlled transport behavior. The membrane had a higher degree of
329 swelling when the water concentration increased (Fig. 2). It is worthwhile noting that the
330 influence of penetrant concentration in the membrane also played a vital role in the transport
331 behavior. It is known that a penetrant dissolved in the polymer can swell the polymer matrix.

332 A higher degree of swelling can also result in an increase of chain mobility even though the
333 polymer is in a glassy state. Hence, the penetrant can pass through the polymer matrix easier
334 and lower energy is needed (i.e. a reduction of E_d). Indeed, the E_a was further decreased to -
335 20.2 kJ mol⁻¹ at 80 wt% feed concentration when the membrane was in a glassy state. It is
336 interesting that the E_a was -10.5 kJ mol⁻¹ when the membrane was in rubbery state. Considering
337 the heat of sorption was constant (Fig. 3), the increase of E_a suggests that there was an increase
338 of E_d after glass transition occurred.

339 The E_a of ethanol was 29.9 kJ mol⁻¹ at 90 wt% ethanol feed concentration across the full range
340 of temperatures. Ethanol has a much larger kinetic diameter (4.5 Å) than water (2.65 Å) [48];
341 hence, a much higher E_d is needed for ethanol to permeate through the membrane. This E_d was
342 significantly reduced at 85 wt% feed concentration due to the increased membrane swelling.
343 At 80 wt% ethanol feed concentration, a transition was again observed when the temperature
344 was higher than 65 °C. There appears to be a decline in E_d after the glass transition, which is
345 similar to that of water at 90 wt%. Again, it is possible that this relates to changes in the
346 hydrogen bonding or clustering within the polymer as temperature and absolute concentrations
347 change.

348

349 Table 3: Activation energy of water and ethanol in the membrane at different ethanol feed
 350 concentrations.

351 For transport of water molecules:

	90 wt% ethanol		85 wt% ethanol		80 wt% ethanol	
ΔH_s (kJ mol ⁻¹)	-31		-29		-27	
Transition temperature (T _t)	70-80 °C		60-65 °C		60-65 °C	
	< 70 °C	> 80 °C	<60 °C	>65 °C	<60 °C	>65 °C
E_a (kJ mol ⁻¹)	9.6	-9.1	-12.8	-10.5	-20.2	-10.9
E_d (kJ mol ⁻¹)	41	22	16	19	7	16

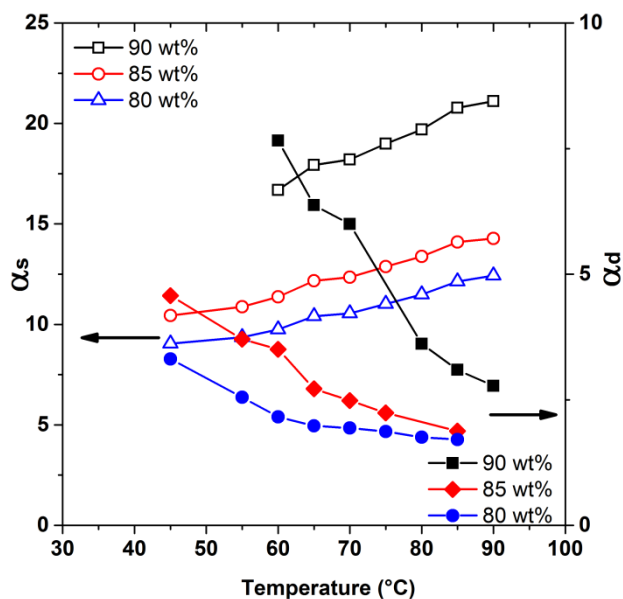
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353 For transport of ethanol molecules:

	90 wt% ethanol		85 wt% ethanol		80 wt% ethanol	
ΔH_s (kJ mol ⁻¹)	-44		-36		-34	
Transition temperature (T _t)	-		-		60-65 °C	
					<60 °C	>65 °C
E_a (kJ mol ⁻¹)	29.9		1.6		1.4	-10
E_d (kJ mol ⁻¹)	74		38		35	24

354

355 To separate the contribution of solubility and diffusivity to the total membrane selectivity,
 356 sorption selectivity (α_s) and diffusion selectivity (α_d) is calculated using the results from PC-
 357 SAFT model (Fig. 3) and the data is shown in Fig. 6. The sorption selectivity α_s slightly
 358 increased when temperature increased for all three feed concentrations and was higher at 90 wt%
 359 feed concentration than at 80 wt%. On the other hand, diffusion selectivity (α_d) decreased as
 360 temperature increased. Specifically, it decreased from 7.7 at 60 °C to 2.83 at 90 °C at 90 wt%
 361 ethanol. This is because the ethanol diffusion coefficient changes more dramatically with
 362 temperature (Fig. 5 and Table 3) due to its larger kinetic diameter. At 80 wt% ethanol
 363 concentration, α_d was in the range of 1.7-3.3, which was much lower than that at 90 wt% at all
 364 temperatures. This reduction may be attributed to the high degree of swelling of the membrane
 365 (Fig. 2), resulting in a more flexible chain structure.



366

367

368

Fig. 6: Sorption (α_s) and diffusion (α_d) selectivity of water over ethanol for the PVA membrane. Note: lines are provided as a guide only.

369 Conclusions

370 Crosslinked PVA membranes were prepared using glutaraldehyde as a cross-linker. The
 371 sorption of water/ethanol mixture in the membrane was affected by both solution composition
 372 and sorption temperature. The mixture sorption data was successfully modeled by PC-SAFT
 373 model and the sorption of each component was determined individually. The solubility of both
 374 water and ethanol decreased with temperature, and the influence of feed concentration was
 375 marginal.

376 The pervaporation data of the membrane was then analyzed in terms of permeance and ideal
 377 selectivity. Different from water flux, water permeance decreased when temperature increased.
 378 A significant increase in water permeance was observed when the ethanol feed concentration
 379 decreased from 90 to 80 wt%. Apparent activation energy analysis revealed more information
 380 about the transport of water and ethanol through the membrane. For water, there was a
 381 transition in the operational temperature range across which different E_a were observed. The
 382 E_a changed from 9.6 to -9.1 kJ mol⁻¹ at 90 wt% feed concentration due to the influence of the
 383 glass transition, but in a manner that was not consistent with the usual increase in diffusion
 384 coefficient that occurs when a polymer becomes rubbery. For ethanol, the E_a was 29.9 kJ mol⁻¹
 385 at 90 wt% feed concentration, indicating that the mass transport was controlled by diffusion.
 386 It decreased from 1.4 to -10 kJ mol⁻¹ at 80 wt% feed concentration, again in a manner not

387 commonly observed for a glassy to rubbery transition. The sorption selectivity of the
388 membrane increased when the ethanol feed concentration increased and the diffusion
389 selectivity also achieved a higher value at high ethanol feed concentration (i.e. 90 wt%).

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Author/s:

Liu, L; Kentish, SE

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