2	A comparison of multicomponent electrosorption in capacitive deionization
3	and membrane capacitive deionization
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#### 14 Abstract

15 In this study, the desalination performance of Capacitive Deionization (CDI) and Membrane 16 Capacitive Deionization (MCDI) was studied for a wide range of salt compositions. The 17 comprehensive data collection for monovalent and divalent ions used in this work enabled us 18 to understand better the competitive electrosorption of these ions both with and without ion-19 exchange membranes (IEMs). As expected, MCDI showed an enhanced salt adsorption and 20 charge efficiency in comparison with CDI. However, the different electrosorption behavior of 21 the former reveals that ion transport through the IEMs is a significant rate-controlling step in 22 the desalination process. A sharper desorption peak is observed for divalent ions in MCDI, 23 which can be attributed to a portion of these ions being temporarily stored within the IEMs, 24 thus they are the first to leave the cell upon discharge. In addition to salt concentration, we 25 monitored the pH of the effluent stream in CDI and MCDI and discuss the potential causes of 26 these fluctuations. The dramatic pH change over one adsorption and desorption cycle in CDI 27 (pH range of 3.5 to 10.5) can be problematic in a feed water containing components prone to 28 scaling. The pH change, however, was much more limited in the case of MCDI for all salts.

29 Keywords: capacitive deionization; electrosorption; desalination; sulfate; nitrate; calcium.

#### 31 Introduction

32 The shortage of fresh water has become a severe problem in our time owing to population and 33 economic growth, as well as the impacts of climate change. This has made desalination of sea 34 and brackish water stand out as an increasingly necessary answer to resolve the water crisis. 35 Among desalination technologies, capacitive deionization (CDI) has attracted attention as an 36 energy-efficient and promising electrochemical desalination technology, especially for low 37 salinity brackish water (Bouhadana et al. 2010, Subramani and Jacangelo 2015). In the most 38 common approach to CDI, the influent stream passes between two high-capacitance electrodes 39 made of porous carbon materials to which an electrical voltage or current is applied. As a result, 40 anions and cations are temporarily stored on the porous surface of the oppositely charged 41 electrode and a deionized stream with lower ion concentration flows out of the cell. Ion 42 electrosorption is based on the formation of electrical double layers (EDLs) inside the 43 micropores (< 2 nm) of the electrodes (Porada et al. 2013). After a period of operation, the 44 electrodes become saturated and require regeneration. In this step, the cell voltage or current is 45 reduced to zero and adsorbed ions are released into a wastewater stream. To summarize, a CDI 46 cycle consists of two steps, ion adsorption and ion desorption. While CDI is only economic for 47 relatively dilute solutions, it has low energy consumption as it removes ions from the 48 electrolyte rather than separating water from the salty stream, such as in reverse osmosis and 49 distillation (Asquith et al. 2015, Liu et al. 2015).

To improve performance, ion-exchange membranes (IEMs) can be placed in front of the electrodes. This approach, which is one of the most recent developments in CDI, is called Membrane Capacitive Deionization (MCDI) (Biesheuvel and van der Wal 2010). In this case, cation and anion exchange membranes placed in front of the negatively and positively charged electrodes, respectively, will only allow counter-ions to move from the bulk solution toward the electrode. By blocking almost all of co-ions, the desalination process is more efficient as

there is less co-ion repulsion. Furthermore, the use of IEMs enables us to reverse the polarity 56 57 of the cell during desorption, which leads to a more complete expulsion of counter-ions from 58 the micro and macropores of the carbon (Zhao et al. 2012a). In addition to the favorable features 59 of CDI including low energy consumption, easy regeneration and maintenance (Wang et al. 60 2015), MCDI operation is more stable which makes this technique an attractive water treatment 61 technology for industrial applications (Kim et al. 2010). Biesheuvel et al. (Biesheuvel et al. 62 2011), Zhao et al. (Zhao et al. 2012a) and Dykstra et al. (Dykstra et al. 2016a) have presented 63 comprehensive ion transport models for desalination using MCDI.

64 Ion charge and size plays an important role, given that the CDI process is based on temporary 65 adsorption of ions inside the EDLs of the carbon micropores. However, few studies on CDI 66 performance have focused on salts other than NaCl. Pioneers of this work were Gabelich et al. 67 (Gabelich et al. 2002) who investigated the sorption capacity of carbon aerogel electrodes for 68 various monovalent and divalent ions. They reported that monovalents are preferentially 69 removed over divalent ions due to smaller hydrated radii. Zhao et al. (Zhao et al. 2012b) similarly observed preferential adsorption of Na<sup>+</sup> over Ca<sup>2+</sup>; however, they reported Na<sup>+</sup> 70 replacement with Ca<sup>2+</sup> later during adsorption. In contrast, Xu et al. (Xu et al. 2008) and 71 72 Mossad et al. (Mossad et al. 2013, Mossad and Zou 2012) recognized ionic charge as the factor 73 controlling the electrosorption preference in a competitive environment. It is worth mentioning 74 that the last three research groups studied the CDI performance with an electrolyte consisting 75 of non-equal concentration of ions. In other words, as the ion concentration is one of the 76 variables influencing the removal rate of that specific ion, it is questionable to attribute the 77 preferential electrosorption sequence reported by them to ionic charge alone. A few studies 78 have investigated the effect of ion properties on electrosorption while keeping the 79 concentration equal for different salts. Seo et al. (Seo et al. 2010) reported selective ion removal 80 for a mixture of cations including sodium, potassium, magnesium and calcium at different flow

81 rates. They attributed the adsorption sequence to the pore size and structure of the carbon 82 material. In another work, Huyskens et al. (Huyskens et al. 2013) measured the ion removal 83 for various monovalent and divalent salts; however, their result was not in agreement with that 84 of Seo et al (Seo et al. 2010). Later, Han et al. (Han et al. 2014), in a comprehensive data 85 collection on removal of various monovalent ions in CDI using different activated carbon 86 cloths, showed that sorption capacity and competitive ion removal can be tuned by varying the 87 accessible surface area of carbon and its micro to meso-porosity ratio. Discrepancies between 88 these reports indicate that more research is needed to fully understand the competitive 89 electrosorption of different ions, especially in the presence of divalent ions. In the area of EDL 90 modelling, (Suss 2017) extended the existing models by accounting for ion volume exclusion 91 interactions to demonstrate selective ion removal based on ion size.

92 The focus in MCDI has predominantly been on the removal of different salts using novel 93 electrodes or IEMs (Kim et al. 2016, Kim and Choi 2012). However, very few research groups 94 have compared the removal rate of different ions in MCDI using commercially available IEMs. 95 In 2012, Kim et al. (Kim et al. 2013) manipulated the removal of chloride and nitrate in single 96 and mixed solutions by varying the current density in MCDI. In a recent publication, Tang et 97 al. (Tang et al. 2017b) studied the removal of sulfate in MCDI and observed more sulfate 98 removal in a mixture of sulfate and chloride with equal molar concentrations. As diffusion of 99 the ions through the IEMs occurs prior to ion adsorption inside the micropores, these are crucial 100 in controlling the diffusion. To date, little effort has been made to compare the competitive 101 removal of different cations and anions in CDI to that in MCDI at milliequivalent 102 concentrations.

Another phenomena that is mostly overlooked in this area is the pH fluctuation over one adsorption/desorption cycle. Only recently have He et al. (He et al. 2016) and Gao et al. (Gao et al. 2017) addressed this issue over a range of CDI operating conditions. Tang et al. (Tang et

106	al. 2017a) probed into details of pH fluctuation in batch mode operation of CDI and MCDI by
107	monitoring the concentration of H2O2 and dissolved oxygen, and measuring the electrode
108	potentials. Yet, we believe this phenomenon requires more research especially for a wide range
109	of monovalent and divalent salts.

In this work, we aim to investigate the role of ion affinity to both the carbon electrode and the ion exchange membrane. To cover a wide range of ionic properties, experiments are conducted with NaCl, KCl, CaCl<sub>2</sub>, NaNO<sub>3</sub>, and Na<sub>2</sub>SO<sub>4</sub> for single and mixed electrolyte solutions in both CDI and MCDI cells. To better understand the competitive electrosoption process, experiments were conducted at milliequivalent concentrations.

#### 115 Materials and methods

#### **116 1.1 Materials**

117 In this work, we utilized the analytical grade of all chemicals. Activated carbon (AC Norit SA 118 4, Cabot Norit Activated Carbon, USA), polyvinylidene fluoride (PVDF, Mw ~530,000, 119 Sigma-Aldrich), N-N dimethylformamide (DMF, 99.8%, Merck Millipore) and graphite sheet 120 (DSN 530, Suzhou Dasen Electronics Material Co., China) were utilized for electrode 121 fabrication. Sodium chloride (NaCl, 99.7%), potassium chloride (KCl, 99%), calcium chloride 122 (CaCl<sub>2</sub>, 99%), sodium nitrate (NaNO<sub>3</sub>, 99%) and sodium sulfate (Na<sub>2</sub>SO<sub>4</sub>, 99%) were used to prepare electrolyte solutions. Solutions were prepared using water purified with a Millipore 123 124 RIOs Large with a resistance of 1 M $\Omega$  cm.

#### 125 **1.2 (M)CDI setup and Electrosorption experiment**

Preparation of carbon electrodes using AC Norit SA4 as the carbon source, PVDF as the binderand DMF as the solvent is explained in detail in our previous work (Hassanvand et al. 2017).

Electrodes of 10 cm by 20 cm with a narrow channel of 0.3 cm by 8 cm were fabricated using graphite sheet as the current collector. The carbon content was limited to  $6.5 \pm 0.5$  mg cm<sup>-2</sup> and the apparent thickness of the electrode materials cast on the current collector was  $150 \pm 15 \,\mu\text{m}$ obtained from SEM images. The prepared electrodes were then characterized using a surface and pore analyzer and cyclic voltammetry. The BET surface area was calculated as  $540 \pm 4 \,\text{m}^2$ g<sup>-1</sup>, and pore size distribution was indicative of a microporous structure with pore diameters ranging from 0.7 to 1.5 nm. For more details see our previous work (Hassanvand et al. 2017).

135 The CDI cell consists of two parallel AC electrodes sheets with a 0.9 mm gap which is filled 136 by a non-conductive spacer (Low Foulant spacer 34 mil, Sterlitech). Anion and cationexchange membranes (Neosepta AMX, thickness of 170 µm, and Neosepta CMX, thickness of 137 138 140 µm) are placed in front of the carbon electrodes, to configure the MCDI cell. All layers in 139 the stack are compressed into a poly(carbonate) housing. A DC power module (N6731B, 140 Agilent) in a modular power system mainframe (N6700B, Agilent) was used as the power 141 source and electrical voltage and current across the cell was recorded at a rate of 1 reading per 142 second.

Adsorption was conducted at a constant electrical voltage of 1.5 V while desorption followed at zero voltage in CDI and a reversed polarity of -1.5V in MCDI. In the single-pass mode of operation, the feed solution passed through the cell at a flow rate of 20 ml min<sup>-1</sup> using a peristaltic pump (NEMA 4X, Watson Marlow) and conductivity and pH (S470-kit, Mettler Toledo) of the effluent was monitored. Conductivity data are converted to salt concentration using the calibration curve corresponding to each salt. Salt adsorption (*Q*) in (mmol<sub>salt</sub> g<sub>carbon</sub><sup>-1</sup>) can be calculated as below:

$$Q = \frac{\left(\int_0^{t_{ads}} (C_{in} - C_t) dt\right) \dot{V}}{M_{carbon}}$$
(1)

150 where  $t_{ads}$  is the adsorption duration,  $C_{in}$  is the inlet salt concentration,  $C_t$  is the outlet salt 151 concentration at any time t,  $\dot{V}$  is the volumetric flow rate, and  $M_{carbon}$  is the total mass of 152 carbon in the electrodes.

Experiments were conducted in a series of single-salt and multi-salt electrolyte solutions using NaCl, KCl, CaCl<sub>2</sub>, NaNO<sub>3</sub>, Na<sub>2</sub>SO<sub>4</sub>. The feed concentration in single-salt and mixed solution experiments was selected as 10 mM for 1:1 salts and 5 mM for CaCl<sub>2</sub> and Na<sub>2</sub>SO<sub>4</sub> to maintain the ionic strength of the individual ions constant. Each experiment was repeated twice and the results presented are after a number of sufficient absorption/desorption cycles to ensure consistent behavior between each cycle. Table 1 summarized the properties of the selected ions.

Table 1. Physical properties of the studied ions (Mossad and Zou 2012, Nightingale 1959, Robinsonand Stokes 1970, Sata 2004).

161 To further evaluate the cell performance, energy consumption and charge efficiency are 162 determined. Total charge ( $\sigma$ ) can be obtained by integrating the electrical current ( $I_e$ ) passed 163 through the cell over the adsorption time.

$$\sigma = \int_{0}^{t_{ads}} I_e(t) dt$$
(2)

164 Then knowing the voltage applied ( $V_{Cell}$ ), energy consumption (Wh m<sup>-3</sup>) during an adsorption 165 step is calculated from the following equation:

$$E = \frac{V_{Cell} \cdot \sigma}{3600 \left( t_{ads} \cdot \dot{V} \right)} \tag{3}$$

166 Charge efficiency is defined as the ratio of salt removed over the amount of charge transferred 167 through the cell. As efficiency is unitless, the charge is divided by the Faraday constant to be 168 expressed as moles of electrons; and the amount of salt adsorbed is placed in terms of moles 169 into Eq. (4) as below:

$$\Lambda = \frac{Q \cdot M_{carbon}}{\sigma/F} \tag{4}$$

170 The normalized charge efficiency takes account of the requirement for multiple charges to be 171 transferred for a multivalent salt of valence z (Eq. (5))

$$\Lambda_n = \frac{Q_n \cdot M_{carbon}}{\sigma/F} = \frac{z \cdot Q \cdot M_{carbon}}{\sigma/F}$$
(5)

172 The concentration of individual cations (Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup>) was analyzed using Inductively coupled 173 plasma (ICP-OES 720 ES, Varian). The instrument detection limits is 0.03  $\mu$ g L<sup>-1</sup> for Ca, 1  $\mu$ g 174 L<sup>-1</sup> for Na, and 10  $\mu$ g L<sup>-1</sup> for K. An ion-chromatograph (IC-Dionex, ICS-1000) was utilized to 175 determine the concentration of Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup>. A Dionex IONPAC AS-13 column was 176 utilized with a retention time of 15 min and flow rate of 1 mL min<sup>-1</sup>.

177 **4. Results and discussion** 

#### 178 4.1 Comparative Electrosorption capacity of different cations

179 4.1.1 Feed solutions containing a single salt

180 To probe the comparative removal of different cations, 10 mM solutions of NaCl, KCl or a 5 181 mM solution of CaCl<sub>2</sub> was fed to the (M)CDI cell in a single pass mode. Fig. 1(a) shows the 182 concentration variation over one cycle in CDI. It was previously reported for CDI that, at the 183 same initial concentration, the hydrated radius governs the sorption capacity (Gabelich et al. 184 2002). Similarly, in this experiment, KCl is removed marginally faster from the electrolyte in 185 comparison with NaCl in terms of mmol of salt adsorbed per gram of carbon material (Fig. 2(a)). Dykstra et al. (Dykstra et al. 2016b) attributed the marginal selective removal of 186 187 potassium over sodium in CDI to the higher diffusivity coefficient of the former. Suss (Suss 188 2017) in his recent publication on EDL modelling achieved greater separation of potassium 189 over sodium by accounting for ion volume exclusion interaction. On the other hand, the 190 difference between monovalent and divalent cations is readily distinguishable. The molar electrosorption capacity of CaCl<sub>2</sub> is much lower than that of NaCl and KCl as shown in Fig. 191 2(a), 0.07 mmol g<sup>-1</sup> for CaCl<sub>2</sub> versus 0.13 and 0.15 mmol g<sup>-1</sup> for NaCl and KCl, respectively. 192 However, it must be noted that for each Ca<sup>2+</sup> two electrons are consumed. The normalized 193 194 equivalent capacity (valence  $\times$  molar adsorption capacity) of CaCl<sub>2</sub> is competitive with that of the monovalent ions (0.14 mmol  $g^{-1}$ ). There are no significant differences in this normalized 195 charge efficiency when equivalent concentrations of the  $Na^+$ ,  $K^+$  and  $Ca^{2+}$  are set equal in the 196 197 feed.

However, it is noticeable that in the CDI cell, the  $Ca^{2+}$  adsorbs more slowly than the monovalent ions, with the lowest concentration during adsorption occurring later than for K<sup>+</sup> and Na<sup>+</sup>; and the concentration at the end of the adsorption cycle not fully recovering. Similarly, while the desorption peak occurs at the same time for all three ions, the recovery to the feed concentration is slightly extended for calcium. These effects probably reflect the slower diffusion rate of calcium, given its larger size.

Close inspection of Fig. 1(a) reveals a minor increase at the beginning of each adsorption step, with this increase greatest for KCl. As regeneration occurs at zero voltage, some undesired coion adsorption happens during this desorption step. Consequently, when the cell voltage is reapplied during the subsequent adsorption step, an abrupt repulsion of these co-ions occurs, which then results in a repulsion peak (Zhao et al. 2013). The extent of co-ion adsorption is greatest for KCl consistent with the normalized capacity and its small hydrated radius.

In the next series of experiments, ion-exchange membranes were placed in front of the electrodes to provide an MCDI arrangement (Fig. 1(b)). In these experiments, while the adsorption duration was kept similar to CDI, a shorter desorption time was required as described in Section 3.2 above. When using IEMs, there are fewer differences in concentrations between ions and the charge efficiency is significantly higher (Fig. 2(b)) as has been noted by other authors (Zhao et al. 2012a, Zhao et al. 2013). The repulsion peak at the beginning of the adsorption step is also no longer present, confirming that the peak observed in CDI arises from co-ion repulsion and does not arise from immobile chemical charges or inverted CDI, as described by Biesheuvel et al. (Biesheuvel et al. 2015) and Gao et al. (Gao et al. 2016).

**Fig. 1.** Changes in effluent salt concentration obtained experimentally in (a) CDI, and (b) MCDI cell in the treatment of NaCl, KCl and CaCl<sub>2</sub> individually as single salt experiments.

Fig. 2. Experimental salt adsorption and charge efficiency of (a) CDI, and (b) MCDI cell in the treatment of NaCl, KCl and CaCl<sub>2</sub> individually as single salt experiments.

219 In the MCDI cell the salt adsorption of NaCl is slightly higher than that of KCl, although they 220 both have similar charge efficiency, 94.8 % and 96.2 %, respectively (Fig. 2(b)). It is apparent 221 that, for these monovalent ions, the effect of hydrated radii is no longer relevant, suggesting 222 that the IEMs themselves are now governing the mass transfer. Similarly, with equivalent ionic 223 strength of cations, CaCl<sub>2</sub> is behaving very similar to the monovalent electrolytes in contrast to 224 what was observed in CDI. While the salt adsorption capacity is identical, there is evidence of 225 marginally smaller charge efficiency, which may arise from the slow diffusion of Ca<sup>2+</sup> through 226 the IEM and into the porous carbon electrode.

Molar salt adsorption was determined to be 0.12 mmol g<sup>-1</sup> for CaCl<sub>2</sub> flowing in the MCDI cell which has almost doubled in comparison to the CDI case. Indeed, the normalized charge efficiency of MCDI is uniformly high. This enhancement is owing to co-ion blockage and regeneration at reversed voltage when using MCDI. When the polarity is reversed, the counterions, i.e. the ions having opposite charge to the electrode during adsorption, not only leave the micropores of the carbon electrodes, but the macropores also become depleted. Hence, in the following adsorption step, there is more driving force for the ions of opposite charge to diffuse
through the macropores and adsorb into the electrical double-layers inside the micropores.
Conversely, in CDI, when the voltage drops to zero, the adsorbed ions are repulsed; however,
their concentration in the macropores of the carbon only drops to that of the bulk stream.

237 4.1.2 Feed solutions containing a salt mixture

238 Deionization experiments were next conducted using a single solution containing a mixture of 239 10 mM of NaCl, KCl and 5 mM CaCl<sub>2</sub> in a single-pass mode. As a salt mixture is used, the 240 concentrations of each salt must be determined by ion analysis, rather than simple conductivity. 241 Hence, after observing five consecutive stable adsorption-desorption cycles as evidenced by 242 conductivity monitoring, samples were taken at the exit of the cell for individual ion analysis 243 at a number of time points during the ensuing cycle. Fig. 3 depicts the result of competitive 244 removal of the three different cations in CDI and MCDI, respectively, while Table 2 provides 245 the equivalent adsorption capacity, based on an integration of the areas over the respective 246 absorption and desorption periods.

As shown in Fig. 3(a), for CDI, in the early stages of electrosorption, the potassium and sodium ions recover from co-ion repulsion faster and adsorb onto the cathode faster than calcium. However, after five minutes of adsorption, the effluent concentration of monovalent ions is higher than that of calcium, which now dominates the adsorption process. Similarly, potassium is the first to desorb from the cathode. These observations are similar to that reported previously in CDI (Seo et al. 2010, Zhao et al. 2012b).

**Fig. 3.** Competitive electrosorption of Na<sup>+</sup>, K<sup>+</sup> and Ca<sup>2+</sup> based on ion analysis of the effluent stream in (a) CDI, (b) MCDI in the mixed salt experiment. Symbols represent ion analyses, while lines are a guide for the eye.

Table 2. Equivalent adsorption of each ion oven one cycle of CDI and MCDI in the case of mixedcations obtained from Fig. 3.

255 The preferential ion sorption can be explained through the interplay of two phenomena: (i) 256 diffusion kinetics, (ii) adsorption equilibria. Due to the smaller hydrated radii of monovalent 257 ions, they diffuse more rapidly into the micropores, resulting in initially greater uptake of KCl 258 > NaCl > CaCl<sub>2</sub>. However, adsorption equilibria, either determined experimentally (Hou and 259 Huang 2013) or predicted by modified-Donnan EDL-theory (Zhao et al. 2012b), indicates that  $Ca^{2+}$  is more strongly adsorbed and will ultimately replace these ions in a mixed ion solution. 260 261 Zhao et al. (Zhao et al. 2012b) demonstrated these effects to show how the operating process 262 can be manipulated to achieve an effluent rich in either monovalent ions (over short time 263 frames) or divalent ions (over long timeframes). Seo at al. (Seo et al. 2010) also showed that 264 sodium removal efficiency is lower than calcium and magnesium owing to substitution of the 265 adsorbed Na<sup>+</sup> by divalent ions over time. Hou and Huang (Hou and Huang 2013) find that in an equimolar mixture of salts, Ca<sup>2+</sup> is adsorbed most strongly, followed by K<sup>+</sup> and then Na<sup>+</sup>. 266 267 The activated carbon used by Hou and Huang showed a wider pore size distribution to that 268 used in this work (Hassanvand et al. 2017), which might facilitate the diffusion of larger 269 hydrated ions such as calcium. Our own results show K<sup>+</sup> with the strongest normalized sorption capacity, but this is closely followed by Ca<sup>2+</sup> (Table 2).Ion analysis shows a different trend for 270 271 the MCDI experiments. As noted in the single salt experiments, it is apparent that there is much 272 less significant discrepancy among the effluent concentration of each cation during the adsorption step when using IEMs (Fig. 3(b)). Similar to CDI, Ca<sup>2+</sup> adsorption dominates after 273 274 an initial period where K<sup>+</sup> is more dominant. However, the differences in ion concentration is more noticeable during the desorption step. It is clear that Ca<sup>2+</sup> leaves the MCDI cell faster 275 276 relative to monovalent ions. This rapid release is somewhat unexpected given the large size and hence slow diffusion rate of the  $Ca^{2+}$  ion. We believe that this effect arises from the large 277

capacity for  $Ca^{2+}$  uptake within the cation exchange membrane itself. It is well known that due 278 279 to the strong electrostatic force between divalent cations and the fixed charged groups within 280 an IEM, that divalent counterions will be dominant within this phase in mixed cation systems. 281 This is the basis of Donnan exclusion theory (Mulder 1996, Strathmann 2004) and has been observed by a number of workers including (Joshi and Kwak 1981) Ayala-Bribiesca et al. 282 283 (Ayala-Bribiesca et al. 2006) and Shee et al. (Shee et al. 2008). As a result, when the polarity is reversed, divalents are expelled quickly. In contrast, monovalents require more time to 284 285 appear at the cell exit as they need to leave the micro and macro pores of the carbon, and then 286 diffuse through the IEMs. Potassium's higher adsorption and desorption rate in comparison to 287 sodium can be attributed to its smaller hydrated radii and higher diffusivity coefficient which 288 helps it to electromigrate faster in comparison to Na<sup>+</sup>.

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## 4.2 Comparative Electrosorption capacity of different anions

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## 4.2.1 Feed solutions containing a single salt

291 Fig. 4(a) shows how the effluent concentration varies over one cycle out of five consecutive 292 stable cycles when running single-salt experiments in CDI where the anion was varied. The 293 total salt adsorption of NaCl and NaNO3 are identical within experimental error (Fig. 7(a)), 294 although other workers have found that NO<sub>3</sub><sup>-</sup> has greater sorption capacity than Cl<sup>-</sup> (Li et al. 295 2016). The differences in the shape of the sorption and desorption curves between these two 296 salts probably reflect the much greater co-ion adsorption observed for NO<sub>3</sub><sup>-</sup> as indicated by the 297 large peak at the beginning of the desorption cycle, when these adsorbed ions are repulsed by 298 the application of a charge. It is known that NO<sub>3</sub><sup>-</sup> is a relatively hydrophobic anion, as indicated 299 by a low ratio of hydrated to ionic radius (Hydration ratio, Table 1) (Li et al. 2016), and this 300 results in significant adsorption to the carbon when there is no charge applied. This substantial 301 co-ion adsorption and desorption results in low charge efficiency (Fig. 7(a)) and is also the 302 reason that the net sorption capacity is not greater than that for NaCl. The normalized salt adsorption for Na<sub>2</sub>SO<sub>4</sub> is low, relative to both NaCl and NaNO<sub>3</sub> which may relate to slow diffusion of the SO<sub>4</sub> anion due to its larger hydrated radius – this is reflected in a slightly later adsorption minimum concentration and desorption maximum concentration. The charge efficiency is comparable to NaNO<sub>3</sub>, although there is no evidence of co-ion repulsion effects in this case. This again may be because the slow diffusion rate results in a broader and flatter co-ion repulsion peak that is not evident in the experimental data.

309 As with the cation experiments, the performance becomes more uniform when IEMs are 310 installed for the MCDI experiments (Fig. 4(b)) with significantly higher adsorption capacity 311 and charge efficiency in comparison to that of CDI. The peaks at the beginning of the 312 adsorption cycle are also again absent. This is because co-ion adsorption now cannot occur and 313 all charge is consumed in adsorbing the anions onto the anode. The normalized charge 314 efficiency for NaCl and NaNO<sub>3</sub> is identical and close to one which is representative of an 315 energy efficient technique. Similar to the data for CaCl<sub>2</sub> in Fig. 2(b), the charge efficiency for 316 Na<sub>2</sub>SO<sub>4</sub> is slightly lower, which can be attributed to a smaller diffusivity coefficient of the 317 divalent ions through the IEMs and into the carbon.

- Fig. 4. Changes in effluent salt concentration in (a) CDI, and (b) MCDI cell in the treatment of NaCl,
  NaNO<sub>3</sub> and Na<sub>2</sub>SO<sub>4</sub> individually as single salt experiments.
- Fig. 5. Experimental salt adsorption and charge efficiency of (a) CDI, and (b) MCDI cell in the
   treatment of NaCl, NaNO<sub>3</sub> and Na<sub>2</sub>SO<sub>4</sub> individually as single salt experiments.
- 322 4.2.2 Feed solutions containing a salt mixture

Ion analysis results of the effluent stream in a mixed salt solution of NaCl, NaNO<sub>3</sub> and Na<sub>2</sub>SO<sub>4</sub> are shown in Fig. 6 from which the equivalent adsorption has been obtained and presented in Table 3. During the adsorption step in mixed salt CDI,  $SO_4^{2-}$  and Cl<sup>-</sup> are adsorbed at a faster rate in the early electrosorption period. Similar to the behavior of the multi cation experiment, 327 the concentration of the first two adsorbed ions then recovers quickly to be replaced by the 328 NO<sub>3</sub><sup>-</sup> ion. As discussed above, the strong hydrophobicity of NO<sub>3</sub><sup>-</sup> means that a significant 329 concentration is adsorbed onto the cathode during the preceding desorption step and so the 330 initial 2-3 minutes of the adsorption cycle is dominated by repulsion of these co-ions. Once 331 these ions have been recovered, the stronger sorption capacity of NO<sub>3</sub><sup>-</sup> allows it to replace the Cl<sup>-</sup> and SO<sub>4</sub><sup>2-</sup> ions. Chen et al. (Chen et al. 2015) investigated the competitive electrosorption 332 333 of mixed Cl<sup>-</sup> and NO<sub>3</sub><sup>-</sup> and mixed Cl<sup>-</sup> and SO<sub>4</sub><sup>2-</sup> solutions and reported a similar ion substitution 334 phenomenon for NO<sub>3</sub><sup>-</sup>. They compared this behavior to ion exchange processes in which NO<sub>3</sub><sup>-</sup> 335 is similarly selectively adsorbed relative to Cl<sup>-</sup>. In another work, Tang et al. (Tang et al. 2015) 336 attributed the higher ion removal rate of nitrate to a stronger non-electrostatic attraction 337 between carbon and this ion.

338 As shown in Fig. 6(b), similar behavior to that of cation mixed salt desalination (Fig. 3(b)) was observed for MCDI. Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup> were removed from the salty stream at similar rates 339 340 during adsorption, as a result, the salt adsorptions of all anions are quite similar. Operating 341 MCDI under constant current, Tang et al. (Tang et al. 2017b) reported that the sorption ratio of sulfate to chloride is a function of electrical current applied. Therefore the trend we observed 342 343 here might be slightly different at lower or higher cell voltages. Nonetheless, the fact that 344 equimolar concentrations of sulfate and chloride was used by these authors (instead of 345 milliequivalent concentrations) might explain the higher adsorption of sulfate in their study in 346 comparison to ours.

347 The effect of ion properties is more noticeable when it comes to desorbing the ions from carbon 348 electrode. There is a sharper peak of sulfate desorption in the first two minutes of desorption. 349 As discussed for calcium above, we believe that this is due to the greater sorption of this 350 divalent anion within the anion exchange membrane in a mixed ion solution. The hydrated radii of Cl<sup>-</sup> and NO<sub>3</sub><sup>-</sup> are similar (see Table 1) and therefore, they are desorbed at comparable rates. However, as shown in Table 3, the equivalent adsorption capacity for NO<sub>3</sub><sup>-</sup> is highest, reflecting its greater affinity for the carbon electrodes (lower hydration ratio).

354 From Fig. 3(b) and Fig. 6(b), it is apparent that diffusion through the membrane matrix can be 355 the rate controlling step which synchronizes the transport of the different cations and anions. 356 Most importantly, the membranes act as a storage reservoir for divalent ions, which means that 357 desorption of these ions is more rapid. This reinforces the hypothesis that during desorption, 358 ionic charge is the governing factor in MCDI, followed by hydrated radii. The more the ionic 359 charge and the smaller the hydrated radii, the faster an individual ion is desorbed. This is an 360 important finding from an application point of view as it shows that the use of ion exchange 361 membranes can significantly alter the selective electrosorption mechanisms of CDI. Careful 362 control of the desorption cycle time could provide a solution concentrated in calcium sulfate. 363 This salt is a well-known fouling agent and hence its removal could facilitate a downstream 364 reverse osmosis or evaporation operation.

365 As discussed in different sections of this study, comparing CDI experimental performance of two different works seems quite complicated as there any many critical variables involved, 366 367 including the carbon properties (specific surface area, pore size distribution, surface groups, 368 and specific capacitance), cell configuration (electrode thickness, distance between the 369 electrodes, and spacer type), and operational parameters (feed concentration, flow rate, and 370 applied voltage). This issue further highlights the importance of developing a comprehensive 371 dynamic transport model in this area which can reflect the effect of such properties. 372 Verification of desalination rate of different salts in CDI and MCDI in a single-pass mode 373 enables us to predict the performance of these energy efficient techniques in a wide range of 374 operational condition and in treating multi-component feed.

Fig. 6. Competitive electrosorption of Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup> by ion analysis of the effluent stream in (a)
CDI, (b) MCDI in the mixed salt experiment. Symbols represent ion analyses, while lines are a guide for the eye.

375 Table 3. Equivalent adsorption of each ion oven one cycle of CDI and MCDI in the case of mixed376 anions obtained from Fig. 6.

To test the stack capacity after the completion of all experiments, NaCl removal was compared with the initial performance. As the effluent salt concentration was identical to this initial performance, it can be claimed that the capacity of (M)CDI cell remained constant and thus that (M)CDI is a stable desalination method.

#### 381 4.3 pH fluctuation

The pH fluctuation that occurs during adsorption and desorption of various salts during CDI and MCDI has not been studied comprehensively. We believe that monitoring the pH change over one cycle of adsorption and desorption is helpful to probe this issue in more detail. It should be noted that the data presented here is for a pair of carbon electrodes that had been used for at least 20 cycles. The pH of solutions that have been exposed to a fresh electrode fluctuates more significantly, as the surface of the fresh carbon contains functional groups that are initially reactive with water.

Fig. 7(a,b) demonstrate the fluctuation of effluent pH in CDI and MCDI for single-salt electrolytes passing through the cell in a single pass mode. While all the desalination experiments start with a feed solution of pH of  $6.2 \pm 0.3$ , the initial effluent pH is influenced by the previous treatment cycles. During adsorption of salt solution in the CDI configuration, the pH first increases and then decreases (Fig. 7(a)). The pH fluctuation reveals that Faradaic reactions (oxidation-reduction) occurs in tandem with ion electrosorption (non-faradaic effect) 395 (He et al. 2016). This dramatic fluctuation in pH could lead to salt precipitation and subsequent 396 fouling of the electrodes in industrial applications, which is undesirable. Lee et al. (Lee et al. 397 2010) observed a similar pH trend at cell voltages above 1.0 V. They attributed two phenomena 398 to this pH change: (i) reduction of dissolved oxygen at the cathode, see Eq. (6); and (ii) 399 Oxidation of anions such as Cl<sup>-</sup> at the anode which is then followed by disproportionation of 400 Cl<sub>2</sub> (Lee et al. 2010, Zhao et al. 2014). The oxygen reduction reaction may occur through a 401 four-electron (Eq. (6)) or two-electron (Eq. (7)) pathway where the latter is followed by 402 reduction of peroxide as shown in Eq. (8) (Kinoshita 1988, Song and Zhang 2008).

$$O_2 + 2H_2O + 4 e^- \rightarrow 4OH^ E^0 = 0.401 V$$
 (6)

$$O_2 + H_2 O + 2 e^- \rightarrow H O_2^- + O H^- \qquad E^0 = -0.065 V$$
 (7)

$$HO_2^- + H_2O + 2 e^- \to 30H^- \qquad E^0 = 0.867 V$$
 (8)

$$2Cl^{-} \rightarrow Cl_2(aq) + 2e^{-}$$
  $E^0 = 1.360V$  (9)

$$Cl_2(aq) + H_2O \rightarrow HCl + HOCl$$
 (10)

403 Therefore oxygen reduction in the early stages of the adsorption cycle results in a pH increase 404 through hydroxyl ion production. At ambient pressure and temperature, the maximum oxygen solubility in a solution of 10 mM salt is  $8.2 \pm 0.1$  mg L<sup>-1</sup> which can result in a pH of around 405 406 11 corresponding to Eq. (6) or a combination of Eqs. (7) and (8). For a closed system, as might 407 be used in an industrial application, this value is expected to be lower. It is worth noting that 408 while Tang et al. (Tang et al. 2017a) monitored oxygen reduction reactions in acidic solutions 409 by H<sub>2</sub>O<sub>2</sub> formation, oxygen reduction will proceed via Eq. (6) or (7) in neutral or alkaline 410 solutions(Song and Zhang 2008).

411 However, it is apparent that Cl<sup>-</sup> oxidation (Eqs. 9 and 10) is not a valid justification for the 412 subsequent drop in pH, as this sharp drop can also be observed for other anions, NaNO<sub>3</sub> and 413 Na<sub>2</sub>SO<sub>4</sub>. He et al. (He et al. 2016) measured HOCl concentration and also argued that Cl<sup>-</sup> 414 oxidation was not the cause. That research group suggested that, at 1.5 V, water splitting (Eq. 415 (11)) and carbon oxidation (Eq. (12)) most likely contribute to the pH decrease after reaching 416 an early peak. They argued that the redox potential would be lower at high pH values which 417 makes these reactions feasible (He et al. 2016).

$$\frac{1}{2}H_2 O \to \frac{1}{4}O_2 + H^+ + e^- \qquad E^0 = 1.23 V \tag{11}$$

$$\frac{1}{4}C + \frac{1}{2}H_2O \rightarrow \frac{1}{4}CO_2 + H^+ + e^- \qquad E^0 = 0.7 - 0.9V \qquad (12)$$

418 Nonetheless, as Eq. (12) occurs, carbon is consumed which leads to electrode mass loss (Porada 419 et al. 2013). Considering the stability of the (M)CDI device noted above, the likelihood of 420 significant carbon oxidation is quite low. If carbon material at the anode was significantly 421 involved in electrochemical reactions, the desalination performance would diminish with time.

# Fig. 7. Effluent pH values in (a) CDI, and (b) MCDI during one adsorption desorption cycle of single salts experiments.

422 It is noteworthy that while the pH change during salt adsorption in CDI appears large, with a 423 peak of 10.5 and a minimum of 3.5, the change in  $H^+$  concentration is limited to only 0.3 mM. 424 This is negligible in comparison with the changes in salt concentration reported in this work. 425 As a result, the changes in the H<sup>+</sup> concentration do not affect the salt concentration 426 measurements significantly. It is also worth mentioning that the large pH swing is also related 427 to the relatively high voltage (1.5 V) used in these experiments. In processes sensitive to such 428 pH fluctuations, we suggest operating at lower voltage despite the fact the salt removal would 429 decrease.

430 On the other hand, as represented in Fig. 7(b), the range of pH change in MCDI is smaller than431 that of CDI, especially the initial increase. There are some possible explanations for this.

432 Firstly, placing the CEM in front of the cathode reduces the access of dissolved oxygen to the 433 carbon surface. This argument was also used in the recent publication by Tang et al. (Tang et 434 al. 2017a). Secondly, for the oxygen that does reach the cathode, the OH<sup>-</sup> produced (see oxygen reduction reactions) cannot easily penetrate through the cation exchange membrane to reach 435 436 the bulk solution. As the initial pH jump does not occur; therefore, the redox potentials of 437 reactions (11) and (12) are not met. Finally, not only is the occurrence of these last two 438 equations reduced, but the transport of the H<sup>+</sup> ions produced into the bulk stream is hindered 439 by the anion-exchange membrane. We also assume that any hydroxyl and hydronium ions so 440 trapped behind each ion-exchange membrane can enhance the counter-ion attraction. 441 Marginally different pH patterns for Na<sub>2</sub>SO<sub>4</sub> and CaCl<sub>2</sub> might reflect greater water splitting, as 442 reflected in the slightly lower charge efficiency noted for these systems, but this would require 443 further investigation.

A better understanding of the pH fluctuation would assist in the development of mathematical models to account for the contribution of hydronium and hydroxyl ions. Recently, Dykstra et al. (Dykstra et al. 2017) incorporated one pathway for oxygen reduction and carbon oxidation into an ion transport model of MCDI to calculate the pH changes. However, given the much greater pH fluctuation in the case of CDI, all Faradaic reactions must be added to the relevant ion transport models to calculate the portion of voltage being dissipated within these side reactions.

#### 451 **Conclusion**

In this work, activated carbon electrodes were prepared and then were utilized in a CDI and (M)CDI setup. Single salt electrolyte solutions of NaCl, KCl, CaCl<sub>2</sub>, NaNO<sub>3</sub>, Na<sub>2</sub>SO<sub>4</sub> were tested as were solutions containing mixtures of three cations and three anions. The results show that the charge efficiency of  $NO_3^-$  and  $SO_4^{2-}$  is lower in CDI than for other anions which can be attributed to strong co-ion adsorption and to slow rates of diffusion. Salt adsorption and charge efficiency boosted significantly when IEMs were introduced in front of the electrodes. Importantly, once IEMs were in place, there was a change in the order of desorption, with divalent cations and anions giving a stronger desorption peak. We believe that this relates to the IEMs acting as storage reservoirs for these ions reducing the path length of diffusion before release. The limited pH change in MCDI adds to the advantage of this technique over CDI since a vigorous pH increase and decrease is not favorable to fresh water production.

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600

#### 602 **Figure Captions:**

603 Fig. 1. Changes in effluent salt concentration obtained experimentally in (a) CDI, and (b)

604 MCDI cell in the treatment of NaCl, KCl and CaCl<sub>2</sub> individually as single salt experiments.

605 Fig.2. Experimental salt adsorption and charge efficiency of (a) CDI, and (b) MCDI cell in the

- treatment of NaCl, KCl and CaCl<sub>2</sub> individually as single salt experiments.
- 607 **Fig.3.** Competitive electrosorption of Na<sup>+</sup>, K<sup>+</sup> and Ca<sup>2+</sup> based on ion analysis of the effluent

608 stream in (a) CDI, (b) MCDI in the mixed salt experiment. Symbols represent ion analyses,

609 while lines are a guide for the eye.

- 610 Fig. 4. Changes in effluent salt concentration in (a) CDI, and (b) MCDI cell in the treatment of
- 611 NaCl, NaNO<sub>3</sub> and Na<sub>2</sub>SO<sub>4</sub> individually as single salt experiments.
- 612 Fig. 5. Experimental salt adsorption and charge efficiency of (a) CDI, and (b) MCDI cell in the

613 treatment of NaCl, NaNO<sub>3</sub> and Na<sub>2</sub>SO<sub>4</sub> individually as single salt experiments.

614 **Fig.6.** Competitive electrosorption of  $Cl^-$ ,  $NO_3^-$  and  $SO_4^{2-}$  by ion analysis of the effluent stream

615 in (a) CDI, (b) MCDI in the mixed salt experiment. Symbols represent ion analyses, while lines616 are a guide for the eye.

Fig. 7. Effluent pH values in (a) CDI, and (b) MCDI during one adsorption desorption cycle ofsingle salts experiments.

# 620 **Table Captions:**

Table 1. Physical properties of the studied ions (Mossad and Zou 2012, Nightingale 1959,
Robinson and Stokes 1970, Sata 2004).

Table 2. Equivalent adsorption of each ion oven one cycle of CDI and MCDI in the case ofmixed cations obtained from Fig. 3.

Table 3. Equivalent adsorption of each ion oven one cycle of CDI and MCDI in the case ofmixed anions obtained from Fig. 6.





























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