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Title: Natural recombination in alphaherpesviruses: insights into viral evolution through full genome sequencing and sequence analysis

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Abstract: Abstract:

Recombination in alphaherpesviruses was first described more than sixty years ago. Since then, different techniques have been used to detect recombination in natural (field) and experimental settings. Over the last ten years, next-generation sequencing (NGS) technologies and bioinformatic analyses have greatly increased the accuracy of recombination detection, particularly in field settings, thus contributing greatly to the study of natural alphaherpesvirus recombination in both human and veterinary medicine. Such studies have highlighted the important role that natural recombination plays in the evolution of many alphaherpesviruses. These studies have also shown that recombination can be a safety concern for attenuated alphaherpesvirus vaccines, particularly in veterinary medicine where such vaccines are used extensively, but also potentially in human medicine where attenuated varicella zoster virus vaccines are in use. This review focuses on the contributions that NGS and sequence analysis have made over the last ten years to our understanding of recombination in mammalian and avian alphaherpesviruses, with particular focus on attenuated live vaccine use.

Highlights:

- Natural (field) recombination in alphaherpesviruses is linked with live attenuated vaccine usage.
- Natural recombination drives evolution and pathogenicity of alphaherpesviruses.
- Advances in next-generation sequencing techniques have helped to provide accurate data in regards to natural (field) recombination.



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17 different techniques have been used to detect recombination in natural (field) and experimental  
18 settings. Over the last ten years, next-generation sequencing (NGS) technologies and bioinformatic  
19 analyses have greatly increased the accuracy of recombination detection, particularly in field  
20 settings, thus contributing greatly to the study of natural alphaherpesvirus recombination in both  
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32

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## 50 **1. Introduction**

51 Viruses belonging to the order *Herpesvirales* have a double stranded DNA genome and have been  
52 isolated from a wide variety of host including mammals, birds, reptiles, fish and invertebrates  
53 (Davison, 2010). Three large subfamilies arose within the family *Herpesviridae* over 80 million  
54 years ago. One of these, the subfamily *Alphaherpesvirinae*, includes avian and mammalian  
55 alphaherpesvirus lineages (Davison, 2010; McGeoch *et al.*, 1995). The evolution of many viruses in  
56 this subfamily has been attributed, in part, to the process of recombination. The contribution that  
57 recombination makes to the evolution and diversity of alphaherpesviruses is of particular  
58 importance as these viruses have a DNA polymerase with a highly efficient proof-reading activity  
59 and exonuclease activity (Javier *et al.*, 1986; Lee *et al.*, 2012; Thiry *et al.*, 2005), resulting in low  
60 point genetic mutation rates. In herpes simplex virus-1 (HSV-1) the mutation rate is as low as 0.026  
61 to 0.0027 (Drake and Hwang, 2005).

62 Recombination is the process in which new genetic material (offspring) is generated by shuffling  
63 two different DNA sequences from viruses infecting the same host cell at the same time. High rates  
64 of *in vivo* intra-species homologous recombination have been demonstrated after experimental co-  
65 inoculation of different strains of HSV-1 into mice (Kintner *et al.*, 1995), bovine herpesvirus 1  
66 (BoHV-1) into calves (Schynts *et al.*, 2003), and pseudorabies virus (PRV) into sheep and pigs  
67 (Christensen and Lomniczi, 1993; Henderson *et al.*, 1990). *In vitro* intra-species co-inoculation  
68 experiments have demonstrated recombination in different alphaherpesviruses strains into cell  
69 cultures, including HSV-1 (Kintner *et al.*, 1995), BoHV-1 (Muylkens *et al.*, 2009), varicella zoster  
70 virus (VZV) (Dohner *et al.*, 1988), feline herpesvirus 1 (FeHV-1) (Fujita *et al.*, 1998) and PRV  
71 (Henderson *et al.*, 1990). *In vitro* recombination has been detected at a lower rate than in *in vivo*  
72 experiments, possibly due to the reduced number of viral replication cycles possible in cell cultures.  
73 Therefore, factors that influences the number of viral replication cycles, such as latency/reactivation  
74 and use of vaccines that replicate after vaccination, should be considered as factors that may  
75 increase the likelihood of recombination. Recombination occurs most commonly between different

76 strains of the same virus species (intra-species recombination) but inter-species recombination is  
77 also possible and has been detected in experimental studies between caprine herpesvirus-1 and -2,  
78 and also between BoHV-1 and bovine herpesvirus-5 (BoHV-5) (Meurens *et al.*, 2004). In field  
79 samples inter-species recombination has been detected between equine herpesviruses 1 and 4  
80 (EHV-1 and EHV-4, respectively) (Pagamjav *et al.*, 2005).

81 Many biological features of alphaherpesviruses, including their infection of epithelial surfaces,  
82 rapid infectious cycle, establishment of latent infection with periodic reactivation and high  
83 prevalence of infection in many host populations, create a favourable environment for co-infection  
84 of host cells, and hence for recombination. The viral, host and cell conditions that influence the  
85 likelihood of recombination *in vivo* and *in vitro* under laboratory conditions have been reviewed  
86 previously (Thiry *et al.*, 2005). The molecular basis of alphaherpesvirus recombination has also  
87 been recently reviewed and is hypothesized to be similar to that described for lambda  
88 bacteriophages (Lo Piano *et al.*, 2011; Weller and Sawitzke, 2014).

89 Early studies of alphaherpesvirus recombination used strain virulence as a marker to detect  
90 recombinants (Wildy, 1955). Analysis of partial genome sequences were then used extensively to  
91 study recombination in several alphaherpesviruses, using tools such as PCR followed by restriction  
92 endonuclease cleavage fragment analysis of PCR products (PCR plus restriction fragment length  
93 polymorphism [PCR-RFLP]), gene deletion mutants, PCR hydrolysis probe assays and  
94 bioinformatic comparisons of partial genome sequences to detect recombination (Bowden *et al.*,  
95 2004; Christensen and Lomniczi, 1993; Dangler *et al.*, 1993; Dohner *et al.*, 1988; Glazenburg *et al.*,  
96 1994; Henderson *et al.*, 1990; Javier *et al.*, 1986; Kintner *et al.*, 1995; Muylkens *et al.*, 2009;  
97 Norberg *et al.*, 2004; Sakaoka *et al.*, 1995; Sakaoka *et al.*, 1994; Schynts *et al.*, 2003; Umene and  
98 Sakaoka, 1997). More recently, lower costs, improved technologies and greater access to next  
99 generation sequencing (NGS) techniques (Capobianchi *et al.*, 2013; Pareek *et al.*, 2011), statistical  
100 analysis (Bruen *et al.*, 2006; Posada, 2002) and software to detect and estimate the likelihood of  
101 recombination (Huson and Bryant, 2006; Kosakovsky Pond *et al.*, 2006; Kuhner, 2006; Lole *et al.*,

102 1999; Martin *et al.*, 2010; Martin *et al.*, 2011; Martin *et al.*, 2015; Pond and Frost, 2005; Wilson  
103 and McVean, 2006) have helped us to better understand recombination, and thereby provide  
104 insights into the role of recombination in the natural evolution of alphaherpesviruses (Burrell *et al.*,  
105 2015; Hughes and Rivaller, 2007; Kolb *et al.*, 2013; Kolb *et al.*, 2015; Lamers *et al.*, 2015; Lee *et*  
106 *al.*, 2013; Lee *et al.*, 2012; Newman *et al.*, 2015; Norberg *et al.*, 2015; Norberg *et al.*, 2007;  
107 Norberg *et al.*, 2006; Norberg *et al.*, 2011; Peters *et al.*, 2006; Szpara *et al.*, 2014; Vaz *et al.*, 2016a;  
108 Vaz *et al.*, 2016b; Ye *et al.*, 2016; Zhao *et al.*, 2015).

109 This review aims to summarise and update our understanding of natural recombination in  
110 alphaherpesviruses and the influence of natural recombination on viral evolution, focusing on the  
111 contributions that full genome sequencing and sequence analysis have made to this field over the  
112 last 10 years. This review covers natural recombination in human alphaherpesviruses, other  
113 mammalian alphaherpesviruses and avian alphaherpesviruses.

114

## 115 **2. Natural recombination in human alphaherpesviruses**

116 Herpes simplex virus-1, -2 and VZV are important causes of human disease worldwide. Infection  
117 with HSV-1 is commonly associated with ulcerated oral lesions and HSV-2 with genital lesions,  
118 although both viruses can cause lesions at both anatomical sites (Lowhagen *et al.*, 2002).

119 Additionally, HSV-1 can cause keratitis and subsequent blindness, along with sporadic encephalitis  
120 (Liesegang, 2001). Infection with VZV causes varicella (chickenpox) and herpes zoster (shingles)  
121 (Zerboni *et al.*, 2014). Evidence of natural recombination has been available since 2004 for HSV-1,  
122 2003 for VZV, and 2007 for HSV-2. These studies have demonstrated that these three human  
123 alphaherpesviruses show differences in recombination (Table 1).

124

### 125 **2.1 Herpes simplex virus-1**

126 Early HSV-1 studies in mice demonstrated that a high proportion of recombinant viruses were  
127 generated following co-inoculation, and many of the recombinants had higher levels of virulence



128 than the parental strains (Brandt and Grau, 1990; Kintner *et al.*, 1995). This potential for  
129 recombination to result in increased virulence highlighted the importance of studying HSV-1  
130 recombination in clinical settings. In 2004, Bowden *et al.*, sequenced approximately 4% of the  
131 HSV-1 genome in 14 clinical samples from the UK and Korea, performed phylogenetic network  
132 analyses on the data to estimate recombination, and concluded that recombination plays a major  
133 role in generating diversity within HSV-1 (Bowden *et al.*, 2004). In the same year, Norberg *et al.*,  
134 sequenced approximately 2.3% of the HSV-1 genome in 28 clinical samples from Sweden, also  
135 detecting recombination (Norberg *et al.*, 2004).

136 From 2011 onwards, techniques shifted to detection of natural HSV-1 recombination by  
137 bioinformatic analysis of whole genome sequences obtained by NGS, providing a higher level of  
138 accuracy in identifying and characterising these phenomena (Kolb *et al.*, 2011; Kolb *et al.*, 2013;  
139 Norberg *et al.*, 2011; Szpara *et al.*, 2014). In 2011 the genomes of ten clinical and two laboratory  
140 HSV-1 strains isolated in 1972, were sequenced and analysed. Significant recombination was  
141 detected, including recombination events between the HSV-1 field strains F and 17. Additionally,  
142 the full genome sequences of these 12 HSV-1s facilitated classification of HSV-1 into 3 clades: A,  
143 B and C (Norberg *et al.*, 2011). Kolb *et al.* reached similar conclusions about the number of HSV-1  
144 clades (Kolb *et al.*, 2011). In regard to recombination, Kolb *et al.*, (2011) showed that some field  
145 strains (TFT401 and CJ970) were unstable in their phylogenetic classification using the whole  
146 genome sequence and identified cross over points using similarity plots in the UL1, UL11, UL43,  
147 UL49A, US4 and US7 genes. Additionally, they found that some nucleotide sequences that code for  
148 selected proteins resulted in variable phylogenetic groupings depending on the parameters used to  
149 build the phylogenetic trees. They concluded that recombination was likely and that each viral  
150 genome is a unique mosaic (Kolb *et al.*, 2011).

151

152 The most recent and comprehensive studies of HSV-1 genetic diversity, recombination and genome  
153 evolution are those that have examined full genome sequences of HSV-1 from four continents. Kolb

154 *et al.*, (2013) examined 31 full genome sequences as well as partial genome sequences obtained  
155 from the NCBI reference database. Szpara *et al.*, (2014) examined whole genome sequences  
156 obtained by NGS of 20 field strains of HSV-1 obtained from China, Japan, Kenya and South Korea  
157 and compared them with the genome sequences available for HSV-1 strains from the United States  
158 (US), Europe and Japan. Both these studies confirmed that recombination in HSV-1 is widespread,  
159 frequent, historical and ongoing (Kolb *et al.*, 2013; Szpara *et al.*, 2014). In addition, these two  
160 studies found that the HSV-1 strains clustered into six groups, rather than the three groups  
161 described previously. The clustering correlated with the geographic origin of the isolates,  
162 highlighting the need to include isolates from different regions in order to comprehensively  
163 examine phylogeny and recombination (Kolb *et al.*, 2013; Szpara *et al.*, 2014).  
164 The high levels of HSV-1 recombination detected in these studies have been hypothesised to be due  
165 to the co-existence of many different HSV-1 strains within the same geographical region (Norberg  
166 *et al.*, 2004; Schmidt-Chanasit *et al.*, 2009) and to the high number of HSV-1 replication cycles that  
167 which may arise from more frequent HSV-1 reactivation from latency, compared to HSV-2 or VZV  
168 (Kaufman *et al.*, 2005; Wang *et al.*, 2010). This suggests that the latency and reactivation  
169 characteristics of alphaherpesviruses are also factors that can influence recombination, with viruses  
170 that establish and re-activate from latency more frequently having a higher likelihood of  
171 recombination.

172

## 173 2.2 Herpes simplex virus-2

174 The first study to detect recombination in HSV-2 using bioinformatics analyses of partial DNA  
175 sequences from clinical isolates was published by Norberg *et al.*, (2007). Approximately 3.5% of  
176 the genome of 47 clinical isolates of HSV-2 from Norway, Sweden, and Tanzania was examined  
177 and it was found that HSV-2 was clustered into 2 genogroups, rather than the 3 groups seen in  
178 HSV-1 isolates using similar techniques. Isolates from Norway and Sweden were clustered into  
179 only one genogroup. It was hypothesised that the lower level of genetic diversity seen in HSV-2,

180 compared to HSV-1, was directly related to recombination (Norberg *et al.*, 2007). Importantly,  
181 however, these conclusions were based on the analysis of only a limited number of samples, and of  
182 partial genome sequences. Only three genes within the unique short (US) region of the HSV-2  
183 genome were examined (US4, US7 and US8) (Norberg *et al.*, 2007). Studies on HSV-1 had  
184 detected variation in diversity across the whole genome, which can influence recombination  
185 analysis (Szpara *et al.*, 2014). Thus, in order to comprehensively examine recombination in HSV-2,  
186 and also compare recombination between HSV-1 and HSV-2, an analysis of HSV-2 recombination  
187 analyses at the whole genome level was required.

188 Prior to 2014 there were only two full genome sequences for HSV-2, one published in 1998 (Dolan  
189 *et al.*, 1998), and another one in 2014 (Colgrove *et al.*, 2014). Kolb *et al.*, (2015) determined the  
190 complete genome sequences of an additional six HSV-2 clinical isolates. Bootscan analysis of the  
191 eight complete genome sequences demonstrated that the HSV-2 genomes were mosaics, suggesting  
192 frequent recombination randomly along the genome (Kolb *et al.*, 2015). In a separate study, 34 near  
193 complete genome sequences were determined for HSV-2 isolates from Africa, USA and Japan.

194 Bootscan and phylogenetic analyses of these sequences suggested that HSV-2 had five major  
195 crossover points and that recombination in HSV-2 did not occur as frequently as in HSV-1  
196 (Newman *et al.*, 2015). Another recent study has reported that a new HSV-2 variant (HSV-2v) from  
197 west and central Africa (mostly from immunocompromised patients infected with human  
198 immunodeficiency virus) differed significantly from the classical HSV-2 prototype and contained a  
199 UL30 gene (encoding DNA polymerase) that clustered closely with the chimpanzee herpesvirus  
200 (ChHV), providing evidence of an inter-species recombination event (Burrell *et al.*, 2015). Herpes  
201 simplex virus 2 and ChHV have genetically similar genomes with 88.3% pairwise identity and  
202 88.3% identical sites between ChHV (Genbank accession number JQ360576) and HSV-2,  
203 (Genbank accession number Z86099) as determined using Multiple Alignment with Fast Fourier  
204 Transformation (MAFFT) version 7 within Geneious V8.0.4 (Kato and Standley, 2013). A high  
205 level of identity between viruses has been shown to play a role in promoting recombination. This

206 level of identity is similar to what has been described for EHV-1 and -4, another pair of  
207 alphaherpesviruses in which natural inter-species recombination has been detected (Pagamjav *et al.*,  
208 2005).

209

### 210 2.3 Varicella zoster virus

211 Varicella zoster virus is the only human alphaherpesvirus for which live attenuated vaccines are in  
212 widespread use. These live attenuated vaccines (Takahashi *et al.*, 1974) contain a heterogeneous  
213 mixture of related VZV haplotypes (Depledge *et al.*, 2014) and are used in several countries  
214 including Japan, Korea, the US, Canada, Australia, Germany, Costa Rica, Uruguay, and Qatar  
215 (Norberg *et al.*, 2015). As VZV recombination has been observed in cell culture (Dohner *et al.*,  
216 1988) there is potential for natural recombination, including between vaccine and wild type viruses,  
217 to occur (Quinlivan *et al.*, 2009). This has been the focus of a number of studies examining VZV  
218 recombination. VZV recombination has been investigated using partial and full genome sequence  
219 analyses to identify viral groups (clades), and to detect recombination events (Norberg *et al.*, 2015;  
220 Norberg *et al.*, 2006; Norberg *et al.*, 2011; Peters *et al.*, 2006).

221 Early studies used restriction endonuclease digestion profiles to examine differences between VZV  
222 genomes (Takada *et al.*, 1995). Later, DNA sequencing and bioinformatic analyses were used to  
223 classify, determine similarities and detect recombination events between VZV isolates. Single  
224 nucleotide polymorphism (SNP) analysis (Wagenaar *et al.*, 2003), heteroduplex mobility assays to  
225 locate informative SNPs along the genome (Barrett-Muir *et al.*, 2003) and targeted sequencing of  
226 different regions of the VZV were used to detect and compare SNP patterns (Loparev *et al.*, 2004).  
227 These studies showed that recombination occurs among VZV isolates and also enabled the  
228 classification of VZV isolates into different phylogenetic groups. Loparev *et al.*, (2004) were able  
229 to classify 326 VZV isolates from the six continents into the European (E), Japanese (J) and the  
230 Mosaic (M) groups by sequencing and analysing approximately 0.3% of the full VZV genome  
231 sequence. This study also sequenced and analysed approximately 6.9% of the full VZV genome

232 sequence of 16 isolates. Specifically, the M group was identified as carrying SNP patterns of both  
233 the E and J group, and it was hypothesised that M strains resulted from recombination after mixed  
234 infection (Loparev *et al.*, 2004). A separate study classified isolates into four major clades, with  
235 clade A containing European/North American (Dumas) isolates, clade B Japanese (vaccine-Oka)  
236 isolates, clade C Asian-like isolates sharing some European/North American features, and clade D  
237 containing European/North American-like isolates sharing some features of the Asian strains  
238 (Wagenaar *et al.*, 2003). These classifications provided the first insight into natural recombination  
239 in VZV, but more detailed understanding was later achieved by full genome sequence analyses.  
240 Complete genome sequence analyses were first used to detect natural VZV recombination in 2006  
241 (Norberg *et al.*, 2006; Peters *et al.*, 2006). The two studies reporting these findings had different  
242 foundations but both reached similar conclusions about recombination. One investigated  
243 recombination within the clades C and D (Asian-like and European/North American-like,  
244 respectively) at the full genome level (Peters *et al.*, 2006). This study determined the full genome  
245 sequences of 11 VZV isolates that were considered representative for Canada and the USA, and  
246 compared them to seven other sequences that were publicly available (Peters *et al.*, 2006). They  
247 detected evidence of recombination within a Canadian isolate (VZV-8) within clade C that  
248 contained mixtures of genetic characteristics from clade A (Dumas strain from Europe/North  
249 America) and clade B (pOka strain from Japan). It was hypothesised that this isolate arose as a  
250 result of a recombination event between the vaccine strain vOka from Japan and VZV field strains  
251 from Canada, as the vaccine strain is used in Canada (Peters *et al.*, 2006). However, as the vaccine  
252 contains mixtures of distinct genetic subtypes (Quinlivan *et al.*, 2005; Vassilev, 2005) and the  
253 sequences available at the time in 2006 did not include all the strains within the vaccine, it was not  
254 possible to reach this conclusion definitely (Peters *et al.*, 2006).

255 The second study (Norberg *et al.*, 2006) determined the full genome sequences of two VZV strains  
256 (DR and 123) that were classified previously into group M (mosaic) by Loparev *et al.*, (2004) and  
257 thus contained genetic features from groups E (European) and J (Japanese). At the whole genome

258 level, the DR and 123 strains were shown to be well separated from each other and from groups E  
259 and J. Thus, group M was further divided into M1, represented by the 123 strain, and M2,  
260 represented by the DR strain. Bootscan analysis suggested that that the DR and 123 strains contain  
261 putative recombination dependent sites. Specifically, some genomic regions clustered with pOka,  
262 while other regions of the VZV genome clustered with the European strains (Norberg *et al.*, 2006).  
263 Subsequent phylogenetic network analysis revealed that the SVETA strain, which is a Russian  
264 isolate and was thought to belong to clade 1 (European clade), had been involved in an intra-clade  
265 recombination event (Norberg *et al.*, 2011), and significant intra-clade recombination events were  
266 found among other VZV isolates (Zell *et al.*, 2012).

267 After intra-clade recombination was observed, it was hypothesised that an increase in the number of  
268 full genome sequences available for analysis would reveal a greater number of recombinants.  
269 Additionally, it was hypothesised that human migration, along with widespread distribution of the  
270 attenuated VZV vaccine strains (Takahashi *et al.*, 1974), may contribute to an apparent  
271 disappearance of VZV clades as recombination events would result in isolates becoming genomic  
272 mosaics similar to HSV-1 (Norberg *et al.*, 2004; Sauerbrei and Wutzler, 2007; Sauerbrei *et al.*,  
273 2008). However, recent studies that have included several isolates from different continents have  
274 shown that the only clade to consistently display a relatively high level of recombination is the  
275 clade that contains the pOka and vOka vaccine strains (Norberg *et al.*, 2015). Detection of  
276 recombination in the other clades appears to be dependent on the analytical method used to detect  
277 evidence of recombination (Norberg *et al.*, 2015).

278 The relatively low rate of recombination in VZV compared to HSV-1 may be due to the distinct  
279 biology and epidemiology of VZV (Kaufman *et al.*, 2005; Schmidt-Chanasit *et al.*, 2009; Wang *et*  
280 *al.*, 2010) as well as geographical separations of strains (Norberg *et al.*, 2004; Schmidt-Chanasit *et*  
281 *al.*, 2009). However, ongoing monitoring of recombination in field isolates of VZV is needed, as  
282 recombination between attenuated viruses such as vaccine strains has been detected, to create  
283 recombinant virulent progeny in other alphaherpesviruses (Lee *et al.*, 2012), as well as in several

284 other virus families (Becher *et al.*, 2001; Camus-Bouclainville *et al.*, 2011; Chong *et al.*, 2010;  
285 Cuervo *et al.*, 2001; Dahourou *et al.*, 2002; Holmes *et al.*, 1999; Liu *et al.*, 2003; Norberg *et al.*,  
286 2013; Seligman and Gould, 2004; Wenhui *et al.*, 2012).

287

### 288 **3. Natural recombination in other mammalian herpesviruses**

289 Over the past ten years natural recombination has been assessed in alphaherpesviruses from five  
290 non-human mammalian hosts; EHV-1, EHV-4, EHV-9, FeHV-1 and PRV (Greenwood *et al.*, 2012;  
291 Pagamjav *et al.*, 2005; Vaz *et al.*, 2016a; Vaz *et al.*, 2016b; Ye *et al.*, 2016) (Table 2). This  
292 represents only a small proportion of the alphaherpesviruses of importance in veterinary medicine.  
293 There have been, however, other efforts to better understand recombination in a wider range of  
294 mammalian alphaherpesviruses using experimental *in vitro* and *in vivo* studies, including BoHV-1,  
295 BoHV-5 (Meurens *et al.*, 2004; Muylkens *et al.*, 2009; Schynts *et al.*, 2003), PRV (Christensen and  
296 Lomniczi, 1993; Henderson *et al.*, 1990) and FeHV-1 (Fujita *et al.*, 1998). Live attenuated vaccines  
297 are used extensively in veterinary medicine and there is clear evidence that attenuated live vaccines  
298 can naturally recombine to generate more virulent and dominant progeny (Lee *et al.*, 2012).  
299 Therefore, the study of natural recombination in vaccinated and unvaccinated animals is of  
300 importance in improving animal health and disease control in the field of veterinary medicine.

#### 301 **3.1 Equine alphaherpesviruses**

302 Equine herpesviruses 1 and 4 cause significant losses in horse industries worldwide (Allen *et al.*,  
303 2004). Equine herpesvirus-1 and 4 are genetically similar (Telford *et al.*, 1998), but they differ  
304 significantly in terms of their pathogenesis and epidemiology (Allen *et al.*, 2004; Patel and Heldens,  
305 2005). Infection with EHV-1 causes respiratory disease in young horses, myeloencephalitis in older  
306 horses, abortion in mares and systemic perinatal disease (Allen *et al.*, 2004; Patel and Heldens,  
307 2005). Infection with EHV-4 also causes upper respiratory tract infection, but EHV-4 infection  
308 rarely induces systemic disease or abortions in mares, probably because of its limited capacity to

309 infect mononuclear cells, and therefore produce viraemia (Patel and Heldens, 2005;  
310 Vandekerckhove *et al.*, 2011). The differences in the epidemiology of EHV-1 and EHV-4 have  
311 been well described (Allen *et al.*, 2004; Patel and Heldens, 2005). One key epidemiological  
312 difference between these viruses is the substantially lower prevalence of infection with EHV-1  
313 compared to that seen for EHV-4 (Gilkerson *et al.*, 1999).

314 Natural recombination in EHV was first reported by Pagamjav *et al.*, (2005). The field isolate  
315 EHV-1 B was shown to have arisen as a result of an inter-species recombination event between  
316 EHV-1 and EHV-4, and then spread among horse populations to become a dominant strain  
317 (Pagamjav *et al.*, 2005). The recombination event described by Pagamjav *et al.*, (2012) was the first  
318 evidence of natural inter-species recombination involving EHV-1. In 2012 another natural  
319 recombination event involving EHV-1 and equid herpesvirus 9 (EHV-9) was detected in a zoo in  
320 Germany by Greenwood *et al.*, (2012) following analysis of the sequence of six virus genes using a  
321 distance based method within the Recombination Analysis Tool 1.0 (RAT v1.0). Interestingly, the  
322 recombinant was isolated from a polar bear with fatal encephalitis, even though neither EHV-1 nor  
323 EHV-9 naturally infect polar bears (Greenwood *et al.*, 2012). More recent work has suggested that  
324 the recombination event involving these two viruses most likely occurred in zebras and was then  
325 transmitted to the polar bear (Abdelgawad *et al.*, 2016). This recombination event has some  
326 similarities to the one detected between the HSV-2 and ChHV (Burrel *et al.*, 2015), as both reports  
327 shown recombination within the UL30 gene (Burrel *et al.*, 2015; Greenwood *et al.*, 2012). The  
328 significance of the UL30 gene as a site of recombination, and potentially as an influence on the host  
329 range of the resultant recombinant viruses, warrants investigation in future studies.

330 The high level of genetic similarity between EHV-1 and EHV-9, and also between EHV-1 and  
331 EHV-4 (Telford *et al.*, 1992; Telford *et al.*, 1998) are likely to have facilitated these inter-species  
332 recombination events (Pagamjav *et al.*, 2005). Alignment of EHV-1 genome sequences (Genbank  
333 accession numbers: AY464052, KF644566, KF644567, KF644568, KF644570, KF644572,  
334 KF644576, KF644577, KF644578, KF644579, KT324724, KT324725, KT324726, KT324727,



335 KT324728, KT324729, KT324729, KT324730, KT324731, KT324732, KT324733, KT324734,  
336 NC\_001491) and EHV-4 partial and full genome sequences (Genbank accession numbers:  
337 KT324735, KT324736, KT324737, KT324738, KT324739, KT324740, KT324741, KT324742,  
338 KT324743, KT324744, KT324745, KT324746, KT324747, KT324748, NC\_001844) showed there  
339 was 86.6% pairwise identity and 70.6% identical sites between EHV-1 and EHV-4. Alignments  
340 between whole genome sequences of EHV-1 (Genbank accession numbers listed above) and EHV-  
341 9 (Genbank accession number: NC\_011644) showed 98% pairwise identity and 87.9% identical  
342 sites. Both alignments were done by using MAFFT version 7 within Geneious V8.0.4 (Kato and  
343 Standley, 2013).

344 Recently, our laboratory has determined the complete genomic sequences of 11 EHV-1 and 14  
345 EHV-4 isolates from Australia and New Zealand (Vaz *et al.*, 2016a). Phylogenetic analysis of  
346 EHV-4 isolates revealed evidence of widespread recombination. In contrast, analyses of the 11  
347 EHV-1 isolates from Australia and New Zealand, along with another 13 international EHV-1  
348 isolates, detected limited or no evidence of recombination, depending on the method of analysis  
349 used. Pathogenesis and epidemiology can influence recombination as both these factors have an  
350 impact on the ability of viral infections to overlap in space (i.e. the same cell) and time (Thiry *et al.*,  
351 2005). Other factors that promote alphaherpesviruses recombination include similar high loads of  
352 each co-infecting virus, similar levels of virulence and invasiveness, and similar tissue distributions  
353 of the co-infecting viruses (Thiry *et al.*, 2005). EHV-1 and EHV-4 infections of the respiratory tract  
354 of horses result in similar viral titres, replication kinetics and durations of virus infection (Allen *et*  
355 *al.*, 2004). A key difference between the pathogenesis of the two viruses lies in the ability of EHV-1  
356 to efficiently penetrate the basement membrane of the respiratory mucosa (Vandekerckhove *et al.*,  
357 2011) and disseminate to other sites, including the vascular endothelium of the placenta and central  
358 nervous system, via a leukocyte-associated viraemia (Gryspeerd *et al.*, 2010). This involves  
359 additional episodes of viral amplification compared to EHV-4, which would seemingly increase the  
360 opportunities for recombination in EHV-1 if all other factors were constant. However, the much

361 higher frequency of natural recombination in EHV-4 compared to EHV-1 suggests that other  
362 factors, such as a lower prevalence of infection with EHV-1, may reduce opportunities for co-  
363 infection and may have a greater impact on natural recombination (Vaz *et al.*, 2016a). It is  
364 interesting to note that, similar to the situation with HSV-1 and HSV-2, less recombination is  
365 evident in the less genetically diverse EHV-1, compared to the more genetically diverse EHV-4, but  
366 the relationship between the level of recombination and the level of genetic diversity requires  
367 further investigation.

368

### 369 3.2 Pseudorabies virus

370 Pseudorabies virus is the aetiological agent of Aujeszky's disease in pigs and causes economic loss  
371 in the pig industry. Although PRV has been the target of eradication programs in some countries, it  
372 remains endemic in some regions including Asia, west/east Europe and South America. Pigs  
373 infected with PRV display a range of clinical signs, depending of the age of the affected animal,  
374 including neurological, respiratory and reproductive disease. Pseudorabies virus can also infect and  
375 cause disease in a wide variety of other hosts (Mettenleiter, 2008), including dogs, cats, cattle and  
376 small ruminants.

377 A live attenuated vaccine, Bartha-K61, has been used to control disease due to PRV infection in  
378 many countries, including China. Despite vaccination programs, disease outbreaks caused by new  
379 PRV variants have been reported in China since 2011 (Luo *et al.*, 2014). The first study using  
380 whole genome sequence analysis to detect natural recombination in PRV has been published  
381 recently (Ye *et al.*, 2016). This study has shown that a historical Chinese PRV strain (SC) isolated  
382 during the 1980s is a recombinant derived from an endemic Chinese PRV strain and a Bartha-like  
383 strain (Ye *et al.*, 2016). Experimental studies have shown that Bartha-K61 can induce protection  
384 against the SC strain but not against the new variants (Luo *et al.*, 2014). The SC strain has genomic  
385 regions similar to the Bartha strain, so it has been hypothesised that these similar regions may  
386 account for the capacity of the Bartha strain vaccine to protect against the SC strain, but not the new

387 variants, and that this difference in protective immunity may have permitted the new variants to  
388 circulate in pig herds and cause disease (Ye *et al.*, 2016)

389

### 390 3.3 Feline herpesvirus-1

391 Recombination between FeHV-1 isolates has been demonstrated *in vitro* (Fujita *et al.*, 1998), but  
392 only one study has investigated natural recombination in FeHV-1 using NGS (Vaz *et al.*, 2016b).  
393 Feline herpesvirus-1 is the aetiological agent of feline viral rhinotracheitis and also a common cause  
394 of ocular lesions in cats (Maes, 2012). Inactivated and attenuated vaccines are used widely, but do  
395 not prevent infection (Jas *et al.*, 2009). Previous studies using techniques such as restriction  
396 endonuclease digestion of the genome, and analysis of partial genomic sequences suggested low  
397 levels of diversity among several isolates (Maeda *et al.*, 1995). Comparison of the whole genomes  
398 of 24 historical and contemporary FHV-1 clinical isolates and 2 US-origin commercial vaccine  
399 viruses in use worldwide confirmed that FeHV-1 isolates are highly homogeneous and has revealed  
400 no evidence of recombination (Vaz *et al.*, 2016b). This is the first alphaherpesvirus in which  
401 recombination has been shown to occur under experimental (*in vitro*) conditions, but not under  
402 natural *in vivo* conditions. Low rates of FeHV-1 recombination *in vivo* have been hypothesised  
403 previously, as FeHV-1 is more homogeneous than other alphaherpesviruses (Fujita *et al.*, 1998), but  
404 analyses of a larger number of FeHV-1 clinical isolates, from more diverse geographical regions are  
405 required in order to fully assess recombination during natural FeHV-1 infection.

406

## 407 **4. Natural recombination in avian alphaherpesviruses**

408 Natural recombination has been described in infectious laryngotracheitis virus (ILTV) and Marek's  
409 disease virus type 1 (MDV-1, also called *Gallid herpesvirus-2*, *GaHV-2*) (Hughes and Rivailler,  
410 2007; Lee *et al.*, 2013; Lee *et al.*, 2012) (Table 3). Marek's disease virus induces T cell lymphomas  
411 in susceptible birds (Morrow and Fehler, 2004), while ILTV causes upper respiratory tract disease

412 in chickens (Garcia *et al.*, 2013). Both diseases are highly contagious and cause economic losses in  
413 poultry industries worldwide. Live attenuated vaccines are widely used to help control both these  
414 diseases.

415

#### 416 4.1 Marek's disease virus

417 Four complete GaHV-2 genome sequences (CVI988, GA, Md5 and Md11) were compared in 2007  
418 and genes with unusually high degrees of synonymous divergence were identified, suggesting the  
419 past homologous recombination events (Hughes and Rivaller, 2007). This study identified three  
420 clusters of orthologous genes based on their patterns of synonymous substitutions in order to use  
421 them for further recombination analysis (Hughes and Rivaller, 2007). Eight loci within the four  
422 GaHV-2 genomic sequences were highly homogenous, suggesting homologous recombination  
423 between the vaccine strain CVI988, the highly virulent field strain (Md5) and the virulent Md11  
424 strain. Additionally, phylogenetic analyses of the GA, Md5 and Md11 strains found that the virulent  
425 GA strain generally clustered separately from the highly virulent Md5 and Md11 strains, but further  
426 analyses of the UL 49.5 and RL ORF12 genes detected a high level of homogeneity between the  
427 GA, Md5 and Md11 strains, suggesting that recombination had resulted in the transfer of virulence  
428 factors between these strains. The approach used in this study to detect recombination differed from  
429 those used for other alphaherpesviruses, such as HSV-1, HSV2, VZV, EHV-1, EHV-4, FeHV-1 and  
430 ILTV (Bowden *et al.*, 2004; Hughes and Rivaller, 2007; Kolb *et al.*, 2013; Kolb *et al.*, 2015; Lee *et*  
431 *al.*, 2013; Lee *et al.*, 2012; Norberg *et al.*, 2015; Norberg *et al.*, 2007; Norberg *et al.*, 2006; Norberg  
432 *et al.*, 2011; Peters *et al.*, 2006; Szpara *et al.*, 2014; Vaz *et al.*, 2016a; Vaz *et al.*, 2016b). Instead,  
433 the method used was extrapolated from those used to detect sites of homologous recombination  
434 within bacterial genomes. This approach examines the synonymous substitution distribution  
435 patterns among orthologous protein coding genes, with higher synonymous substitutions per site  
436 providing evidence of recombination (Hughes and Langley, 2007).

437 Analyses of GaHV-2 genome sequences for evidence of recombination, using methods similar to  
438 those used for other alphaherpesviruses, would help to further explore these findings. To this end,  
439 we examined the 15 GaHV-2 genome sequences publicly available at NCBI database (Table 4) for  
440 recombination using the SplitsTree 4 software and RDP4 software packages, as detailed previously  
441 (Vaz *et al.*, 2016a; Vaz *et al.*, 2016b). These analyses revealed evidence of recombination within  
442 the unique short region of the GaHV-2 genome using the SplitsTree 4 software (Figure 1C) (Huson  
443 and Bryant, 2006) and in all regions of the GaHV-2 genome using RDP4 software (Table 5).  
444 Together these results provide evidence of recombination in GaHV-2 but further study into the  
445 importance of recombination for GaHV-2 evolution and genome diversification is warranted,  
446 including examination of a larger number of GaHV-2 field isolates.

447

#### 448 4.2 Infectious laryngotracheitis virus

449 Natural recombination in ILTV was first described by our laboratory in the context of attenuated  
450 vaccine use in Australia (Lee *et al.*, 2012). This study provided clear evidence of natural  
451 recombination in ILTV, and also demonstrated safety concerns associated with the use of live  
452 attenuated alphaherpesvirus vaccines, a risk that had previously only been hypothesised. Prior to the  
453 detection of ILTV recombinants, two new genotypes of ILTV were shown to be dominant in  
454 Australia. These new genotypes, named as class 8 and 9 ILTV, had similar PCR-RFLP patterns, and  
455 clustered close to the Class 7 genotype (which includes the Serva vaccine strain) (Blacker *et al.*,  
456 2011). These studies, together with similar findings around the world led to the hypothesis that live  
457 attenuated ILTV vaccines could displace wild type strains and cause outbreaks of disease (Garcia  
458 and Riblet, 2001; Graham *et al.*, 2000). However, the subsequent whole genome sequencing studies  
459 showed that natural recombination between the vaccine strains in use in Australia was responsible  
460 for the rise of the virulent Class 8 and 9 ILTV strains (Lee *et al.*, 2012). These conclusions were  
461 supported by the use of the BootScan algorithm within the SimPlot program, which revealed the  
462 locations of breakpoints for intra-species recombination events involving the Serva and Australian

463 origin SA2 and A20 vaccine strains (Lee *et al.*, 2012). These findings echoed earlier studies that  
464 showed that recombination between two attenuated HSV-1 strains could generate more virulent  
465 strains in a mouse model of infection (Javier *et al.*, 1986).

466 In order to further investigate natural recombination in ILTV, full genome sequence data of other  
467 Australian ILTV isolates, along with full genome sequences of isolates from the US, were  
468 compared. The analyses revealed extensive recombination networks between ILTV isolates from  
469 both Australia and the US, and also uncovered new phylogenetic relationships between isolates  
470 (Lee *et al.*, 2013). The importance of recombination in the biology and epidemiology of ILTV was  
471 then demonstrated further in 2016 in a study showing that a new virulent genotype of ILTV (Class  
472 10 ILTV) had emerged in Australian poultry flocks as a result of recombination and had become  
473 dominant in some geographical areas (Agnew-Crumpton *et al.*, 2016). These studies that have  
474 detected and characterised natural recombination in ILTV have provided new insights into the  
475 epidemiology of the disease caused by this virus and have explained the continuing occurrence of  
476 disease outbreaks associated with novel viral genotypes in Australia.

477

## 478 **5 Conclusions**

479 Alphaherpesviruses have been shown to display a high rate of recombination *in vitro* and *in vivo*  
480 under experimental conditions. However, under natural conditions, detection of recombination  
481 varies from limited or absent, in FeHV-1 and EHV-1 (Vaz *et al.*, 2016a; Vaz *et al.*, 2016b) to  
482 widespread, in HSV-1, EHV-4 and ILTV (Kolb *et al.*, 2015; Lee *et al.*, 2013; Lee *et al.*, 2012;  
483 Szpara *et al.*, 2014; Vaz *et al.*, 2016a). These findings suggest that the contribution that  
484 recombination makes to genomic diversification and evolution in alphaherpesviruses varies across  
485 the different virus species. This highlights the importance of complementing studies that examine  
486 recombination in experimental settings with studies that look into recombination in field isolates  
487 from naturally infected hosts. The most comprehensive studies in this area have included analyses

488 of a large number of historical and contemporary whole genome sequences of field isolates from  
489 diverse geographical regions.

490 Most studies of natural alphaherpesvirus recombination have focused on human alphaherpesviruses.  
491 However, attenuated herpesvirus vaccines are used in both human medicine (against VZV) and  
492 veterinary medicine. Their use is particularly widespread in livestock species, poultry and pets.  
493 Recombination involving vaccine strains has been described in VZV, MDV (GaHV-2), ILTV  
494 (GaHV-1) and PRV, but the recombination events in these virus species have differed in their  
495 nature and consequences. In ILTV, two vaccine strains recombined to produce a virulent  
496 recombinant that became a dominant field strain (Lee *et al.*, 2012). In PRV, recombination occurred  
497 between a vaccine strain and a field strain, potentially contributing to vaccine-induced selection and  
498 protection of another, genetically less similar, field strain (Ye *et al.*, 2016). These studies provide  
499 examples of how vaccines and recombination can have an impact on viral evolution and alter the  
500 selection pressure on a viral population to result in greater dissemination of more pathogenic  
501 viruses. As live attenuated vaccines are favoured in veterinary medicine, their impact on viral  
502 ecology and evolution should be evaluated and monitored at a population level. Targeted  
503 monitoring of recombination after the introduction of new vaccine strains would be helpful for  
504 detecting the rise of new, potentially more virulent strains. Consideration of recombination risks in  
505 the assessment of vaccine safety during the process of registration could also be advantageous.  
506 Currently, natural recombination has not been assessed in BoHV-1 or herpesvirus of turkeys (HVT)  
507 but live vaccines using these viruses are in widespread use in the cattle and poultry industries,  
508 respectively, with the latter being used as vaccine vector to express exogenous proteins from other  
509 avian pathogens (Kapczynski *et al.*, 2015; Li *et al.*, 2011; Roh *et al.*, 2016). Examining natural  
510 recombination in these two viruses should be included in future research in order to determine  
511 potential risks to animal health.

512 Over the last 10 years the development of new more sophisticated and accessible NGS techniques,  
513 along with advances in computational and statistical analysis, has dramatically enhanced the study

514 of natural recombination in alphaherpesviruses. Detection of recombination using more than one  
515 approach is recommended and can now be achieved using a number of different bioinformatic  
516 analysis software. Commonly used programs include the Recombination Detection Program (RDP),  
517 SplitsTree software, the Genetic Algorithm Recombination Detection (GARD) program and  
518 SimPlot software. The latest version of RDP (RDP4) was made available in 2015 (Martin *et al.*,  
519 2015) and includes nine non-parametric recombination detection methods (Martin *et al.*, 2010).  
520 SplitTree software uses phylogenetic and reticulate networks, in addition to the pairwise homology  
521 test (PHI test), to search for the presence of recombination in a given set of aligned sequences  
522 (Huson and Bryant, 2006). The GARD program searches for evidence of segment-specific  
523 phylogenies. GARD is a likelihood-based model selection procedure that searches multiple  
524 sequence alignments for evidence of recombination breakpoints and identifies putative recombinant  
525 sequences (Kosakovsky Pond *et al.*, 2006). SimPlot allows the analysis of sequence alignments, and  
526 searches for breakpoint locations. This program ignores sites containing gaps, and produces a  
527 similarity plot identifying the sequence position and the similarity value at each point in the  
528 sequence (Lole *et al.*, 1999). The application of more than one method is desirable to increase the  
529 robustness and consistency of the results, since a true recombination event should be independent of  
530 the method of analysis.

531 Sequence quality is also crucial to the accurate detection of recombination events in full genome  
532 sequences. Consideration of a measurement of quality such as Phred score and avoiding low  
533 sequence quality and parental sequence uncertainty is strongly recommended, since detection of  
534 breakpoint locations, and thus recombination, may be unreliable in low quality sequences (Posada,  
535 2002; Posada and Crandall, 2001). Inclusion of detailed information describing the methods used in  
536 recombination analyses is also desirable, specifically in regard to the preparation of the sequences  
537 that are used for recombination analysis such as the trimming of short sequence repeats (SSR),  
538 tandem repeat regions (TRR), and terminal repeated regions (TR). It is recommended to remove  
539 these sequences from analyses as they can bias recombination analysis (Dutch *et al.*, 1995; Lee *et*



540 *al.*, 2015; Newman *et al.*, 2015; Vaz *et al.*, 2016a). Finally, studies focused on natural herpesvirus  
541 recombination should aim to include high quality information about the samples and virus isolates  
542 so that the results from recombination analyses can be considered in the context of relevant clinical  
543 and epidemiological information. Pairing recombination analyses with an understanding of the  
544 epidemiology and pathogenesis of the viruses offers the greatest potential to understand the  
545 importance of recombination and the role that it may be playing in virus evolution. Indeed, some of  
546 the most important findings relating to recombination and the involvement of attenuated vaccines  
547 have come from studies that have integrated recombination, epidemiological and viral pathogenesis.  
548 Maintaining such a focus in future studies will be important for achieving a comprehensive  
549 understanding of alphaherpesvirus recombination.

550 This review has highlighted the significance of natural recombination in many viruses of  
551 importance to human and veterinary medicine and has demonstrated the power of using full genome  
552 sequencing and sequence analysis to examine natural herpesvirus recombination. It is likely that  
553 continued advances in technology and methodologies, further reductions in the cost of NGS  
554 techniques and improved bioinformatics tools to assess recombination will enable further  
555 developments in the field of recombination, in particular by facilitating the affordable examination  
556 of increasing numbers of clinical isolates from diverse widespread geographical regions.

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#### 560 **Conflict of interest**

561 The authors declare there is no conflict of interest in submission of this paper.

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944 **Figure captions:**

945 **Figure 1.** Recombination network trees generated using SplitsTree4 from alignment of 15  
946 publically available GaHV-2 genome sequences on Genbank. **A)** Alignment of complete genomes  
947 excluding the terminal sequence repeats. **B)** Internal repeat region. **C)** Unique short region. The  
948 multiple reticulate networks indicate recombination events between the isolates. The bar indicates  
949 the rate of evolution in sequence substitution per site. *P* values for the PHI test for detecting  
950 recombination, as implemented in SplitsTree4, are shown and were highly significant for the  
951 complete genome and for the unique short region. **D)** Unique long region. Details about the  
952 nucleotide sequences used in these analyses are shown in Table 4.

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**Table 1. Timeline and summary of key studies examining natural recombination in human alphaherpesviruses.**

Year	Summary of study findings	Reference
<b>Herpes simplex virus 1 (HSV-1)</b>		
2004	Evidence of recombination in partial genome sequences (4% of the genome) from 14 clinical samples from UK compared with samples from Seoul and South Korea.	Bowden <i>et al.</i> , 2004
2004	Evidence of recombination in partial genome sequences (2.3% of the genome) in 28 clinical samples from Sweden.	Norberg <i>et al.</i> , 2004
2011	Whole genome sequencing and analysis of seven clinical isolates shows one isolate had variable phylogenetic features, potentially due to recombination.	Kolb <i>et al.</i> , 2011
2013	Widespread recombination was detected following whole genome sequencing of 31 clinical isolates from diverse geographical regions.	Kolb <i>et al.</i> , 2013
2014	Widespread recombination was found following whole genome sequencing of 20 field strains obtained from China, Japan, Kenya and South Korea and comparison with those available from the US, Europe and Japan..	Szpara <i>et al.</i> , 2014
<b>Herpes simplex virus-2 (HSV-2)</b>		
2007	Analysis of partial genome sequences (3.5% of the genome) from 47 diverse clinical isolates shows HSV-2 has fewer genogroups than HSV-1.	Norberg <i>et al.</i> , 2007
2015	Whole genome sequencing and analysis of six clinical isolates, along with analysis of two other available genome reveals evidence of recombination.	Kolb <i>et al.</i> , 2015
2015	Analysis of 34 near complete genome sequences from clinical isolates from diverse geographical regions shows that recombination is present but is less frequent than in HSV-1	Newman <i>et al.</i> , 2015
<b>Varicella zoster virus (VZV)*</b>		
2003	Analysis of SNP patterns from geographically diverse isolates classified VZV into four major groups and showed evidence of potential recombination	Wagenaar <i>et al.</i> , 2003
2004	Analysis of SNP patterns from geographically diverse isolates determined three major groups and showed evidence of potential recombination in one group/	Loparev <i>et al.</i> , 2004
2006	Whole genome sequencing of 11 representative isolates from North America identified recombination potentially involving the VZV vaccine strain.	Peters <i>et al.</i> , 2006
2006	Whole genome sequencing of isolates previously identified by Loparev <i>et al.</i> , (2004) detected recombination, potentially involving the VZV vaccine.	Norberg <i>et al.</i> , 2006
2011	Whole genome sequencing of a Russian clinical isolate showed significant intra-clade recombination events	Norberg <i>et al.</i> , 2011
2012	Whole genome sequencing of 21 isolates revealed two novel genotypes and evidence of recombination	Zell, <i>et al.</i> , 2012
2015	Whole genome sequences of 37 isolates from diverse geographical regions confirmed that viruses in the Japanese vaccine-like group consistently display higher levels of recombination.	Norberg <i>et al.</i> , 2015

\* Live attenuated vaccine is in use

**Table 2. Timeline and summary of key studies examining natural recombination in other (non-human) mammalian alphaherpesviruses.**

Year	Summary of study findings	Reference
<b>Equine herpesvirus-1*, -4* and -9 (EHV-1, -4 and -9)</b>		
2005	Analysis of partial genome sequence detected the first natural inter-species recombination reported between EHV-1 and EHV-4.	Pagamjav <i>et al.</i> , 2005
2012	Analyses of partial genome sequences of an isolate from a polar bear in a zoo revealed inter-species recombination between EHV-1 and EHV-9	Greenwood <i>et al.</i> , 2012
2016	Whole genome sequencing of 11 EHV-1 and 14 EHV-4 isolates from Australia and New Zealand, and comparison with other available genomes, showed widespread recombination in EHV-4 but not in EHV-1.	Vaz <i>et al.</i> , 2016a
<b>Pseudorabies virus (PRV)*</b>		
2016	Whole genome sequencing of isolates from China demonstrated recombination involving a vaccine-like strain, potentially altering selection pressures in vaccinated pig populations	Ye <i>et al.</i> , 2016
<b>Feline herpesvirus-1 (FeHV-1)*</b>		
2016	Analyses of 24 whole genome sequences from clinical samples and 2 genome sequences of US origin vaccines found no evidence of recombination.	Vaz <i>et al.</i> , 2016b

\* Live attenuated vaccine is in use

**Table 3. Timeline and summary of key studies examining natural recombination in avian alphaherpesviruses.**

<b>Year</b>	<b>Summary of study findings</b>	<b>Reference</b>
<b>Marek's disease virus (MDV)*</b>		
2007	Four whole genome sequences were analyzed and recombination was identified between a vaccine strain and a highly virulent field strain	Hughes and Rivallier <i>et al.</i> , 2007
<b>Infectious laryngotracheitis virus (ILTV)*</b>		
2012	Whole genome sequence analyses of newly emerged field isolates found that two attenuated vaccine strains had recombined to generate virulent viruses.	Lee <i>et al.</i> , 2012
2013	Whole genome sequence analyses of current and historical isolates in Australia and the US revealed extensive recombination networks	Lee <i>et al.</i> , 2013
2016	A newly emerged virulent field strain in Australia was sequenced and shown to be a recombinant virus.	Agnew-Crompton <i>et al.</i> , 2016

**\* Live attenuated vaccine is in use**

**Table 4. Publically available full genome sequences of GaHV-2 used in recombination analyses.**

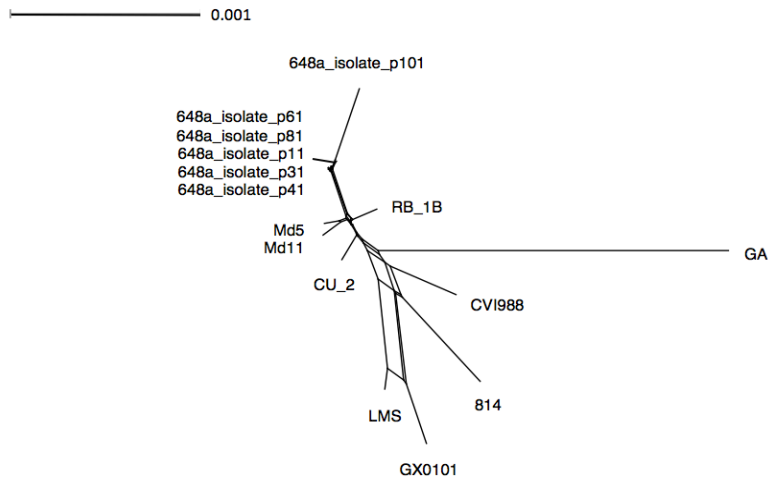
<b>Isolate (GenBank accession number)</b>	<b>Year of isolation (Reference)</b>	<b>Country</b>	<b>Comments</b>	<b>Genome sequence reference</b>
<b>GA</b> (AF147806)	1964 (Eidson and Schmittle, 1968)	USA	Isolated from ovarian tumour	(Lee <i>et al.</i> , 2000)
<b>Md5</b> (AF243438)	1980 (Witter <i>et al.</i> , 1980)	USA	Very virulent isolate from spleen of commercial broilers	(Tulman <i>et al.</i> , 2000)
<b>Md11</b> (AY510475)	1980 (Witter <i>et al.</i> , 1980)	USA	Isolated and maintained in duck embryo fibroblast	(Niikura <i>et al.</i> , 2006)
<b>CVI988</b> (DQ530348)	1972 (Rispiens <i>et al.</i> , 1972)	Worldwide use	Vaccine used since 1990	(Spatz <i>et al.</i> , 2007a)
<b>RB-1B</b> (EF523390)	1982 (Schat <i>et al.</i> , 1982)	USA	Highly oncogenic	(Spatz <i>et al.</i> , 2007b)
<b>CU-2</b> (EU499381)	1973 (Smith and Calnek, 1973)	USA	Mildly virulent	(Spatz and Rue, 2008)
<b>814</b> (JF742597)	1980	China	Isolated from healthy chickens	(Zhang <i>et al.</i> , 2012)
<b>LMS</b> (JQ314003)	2007	China	Isolated from broilers with severe disease	(Cheng <i>et al.</i> , 2012)
<b>648A</b> (JQ806361, JQ806362, JQ809691, JQ809692, JQ820250 and JQ836662)	1994 (Witter, 1997)	USA	Serial passages of isolate 648A (passages p11, p31, p41, p61, p81, p101)	(Spatz <i>et al.</i> , 2012)
<b>GX0101</b> (JX844666)	2001 (Zhang <i>et al.</i> , 2012)	China	Isolated from layers with severe tumours	(Su <i>et al.</i> , 2012)

Table 5. Recombination breakpoint analysis of GaHV-2 genome sequences using RDP4

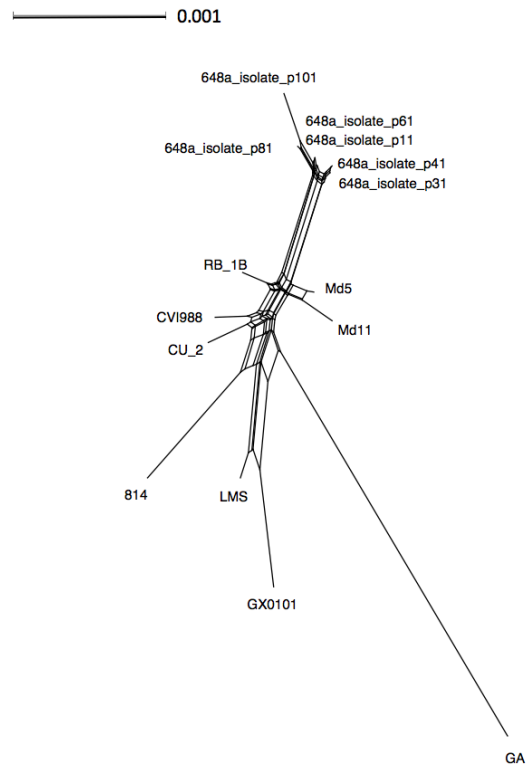
Genome region	Breakpoint (in alignment)		Possible viruses involved in recombination event <b>R:</b> Recombinant, <b>M:</b> Major parent, <b>m:</b> Minor parent	Method of breakpoint detection in RDP4 software
	Breakpoint beginning 99% CI*	Breakpoint ending 99% CI*		
<b>Internal/terminal repeat</b>	18291 – 19335	19926 - 21087	<b>R:</b> GA, <b>M:</b> unknown, <b>m:</b> GX0101	GENECONV, MaxChi, 3Seq.
<b>Unique long</b>	7627 – 9282	9365 – 9689	<b>R:</b> 648a isolate_p101, <b>M:</b> 648a isolate_p81, <b>m:</b> unknown.	GENECONV, Bootscan, MaxChi, Chimaera, 3Seq
	51568 – 73297	108359 – 3016	<b>R:</b> GA, <b>M:</b> unknown, <b>m:</b> Md5	MaxChi, Chimaera, SiScan, 3Seq.
	91057 – 58003	91057 - 58003	<b>R:</b> GX0101, <b>M:</b> 814, <b>m:</b> unknown	GENECONV, MaxChi, SiScan, 3Seq.
	82371 – 93738	93740 – 101624	<b>R:</b> 814, <b>M:</b> unknown, <b>m:</b> Md5	MaxChi, Chimaera, 3Seq.
<b>Unique short</b>	2289 – 3999	5959 – 7480	<b>R:</b> CVI988, <b>M:</b> LMS, <b>m:</b> GX0101	GENECONV, MaxChi, Chimaera, SiScan, 3Seq.

\*CI = confidence interval

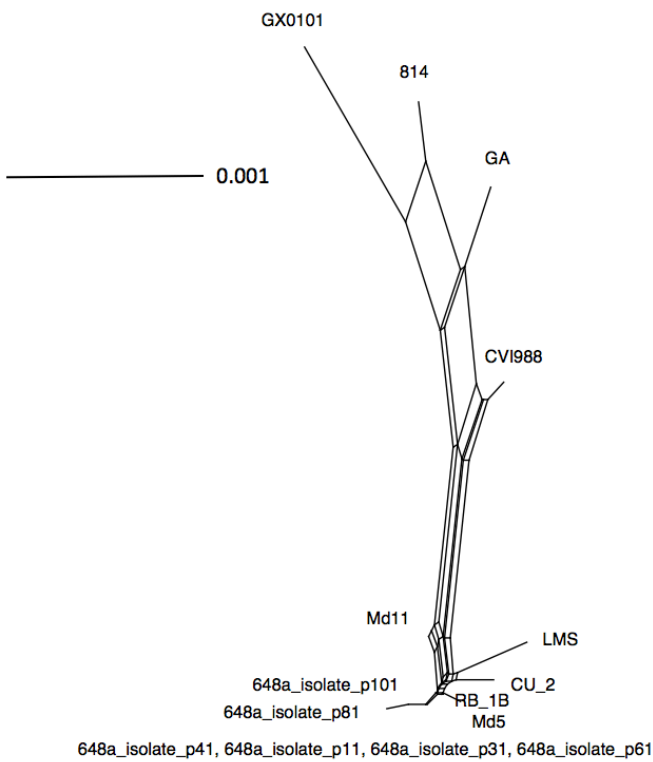
A) Complete genome ( $p = 9.751E-9$ )



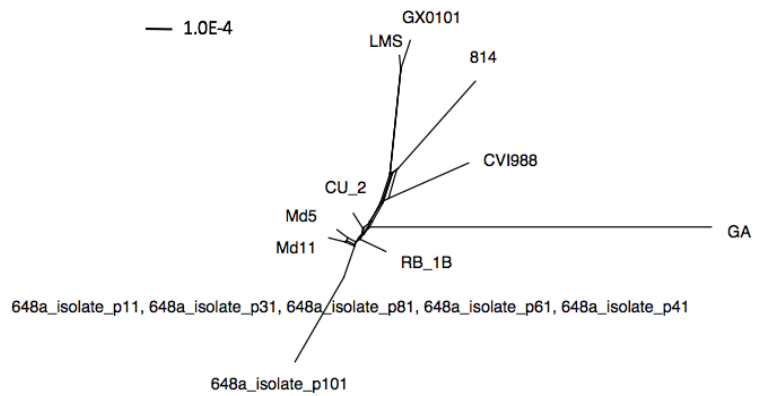
B) Internal repeat ( $p = 0.05766$ )



C) Unique short ( $p = 0.002421$ )



D) Unique long ( $p = 0.2554$ )



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