

14 **Abstract**

15 Membrane Capacitive Deionization (MCDI) is an energy efficient, electrochemical desalination
16 technology, in which ions are removed from a salty stream upon applying a constant voltage or
17 current. The ions are stored in carbon electrodes and then released back into the stream by reversing
18 the polarity. In this work, we aimed to assess the feasibility of using a brine stream to regenerate the
19 MCDI unit in order to improve water recovery. We further aimed to determine the optimum residence
20 time in the MCDI unit. To achieve these objectives, we first enhanced the ion transport model
21 previously developed for MCDI by independently measuring the counter-ion and co-ion diffusion
22 coefficients in the ion-exchange membranes. These experiments allowed for an asymmetric model of
23 the MCDI unit where the voltage drop across the cation exchange membrane was greater than that
24 across the anion exchange membrane. Using this revised model, we found that in batch operation, a
25 brine to feed water concentration ratio of around two was optimum. In continuous operation, over
26 40% enhancement in water recovery could be achieved when the regeneration brine was partially
27 recycled, but water productivity dropped. We further showed that the maximum desalination capacity
28 did not increase beyond a critical residence time in the MCDI cell, while the water recovery
29 decreased.

30 **Keywords**

31 Membrane Capacitive Deionization; Brine Regeneration; Water recovery

32 **1. Introduction**

33 Desalination of sea and brackish water has become more common over the past decades to meet
34 freshwater requirements for domestic, agricultural and industrial demands. Desalination capacity,
35 energy demand, regeneration method and water recovery are the main features distinguishing the
36 technologies developed for this purpose, which are generally thermally or membrane based [1, 2].
37 Capacitive Deionization (CDI) is an alternative, electrochemical water treatment method in which
38 ions are temporarily adsorbed in electrical double layers of two oppositely charged porous carbon
39 electrodes [3]. Therefore the desalination capacity is reliant on the carbon material used in the
40 electrode fabrication [4, 5]. To enhance the performance of CDI, Membrane Capacitive Deionization
41 (MCDI) has also been proposed, where ion-exchange membranes (IEMs) are placed in front of the
42 charged electrodes to inhibit the co-ions, i.e. ions carrying the same charge as the surface, from
43 reaching the electrodes [6, 7]. In CDI or MCDI, ions or other charged species are removed from the
44 concentrated feed. However, this is not the case for most other desalination technologies in which
45 water is separated from the polluted stream. It is thus apparent that at lower concentrations of the
46 charged species in comparison with that of water, the energy consumption of CDI based processes
47 will be significantly lower than the latter [8]. Therefore, CDI or MCDI is mostly employed as an
48 energy-efficient method for brackish water remediation where the salinity is limited to 1000 mg L⁻¹
49 [9].

50 Once the carbon pores reach their saturation limit, the CDI or MCDI unit is not capable of adsorbing
51 ions anymore. Therefore, a regeneration step is required to deplete the electrode materials of the
52 charged species. In CDI, the previously adsorbed ions are released back to the liquid phase by
53 dropping the system voltage to zero, while in MCDI it is more common to release these ions by
54 reversing the polarity. As a result the stack undergoes repetitive adsorption/desorption cycles [10].
55 Ease of regeneration adds to the merits of this promising desalination method [11].

56 Whilst many attempts have been made toward synthesis of novel carbon materials with improved
57 physico-chemical properties for CDI processes [12, 13], less research has been conducted on the
58 operational aspects of both CDI and MCDI. Zhao et al.[14] were the first to optimize the salt

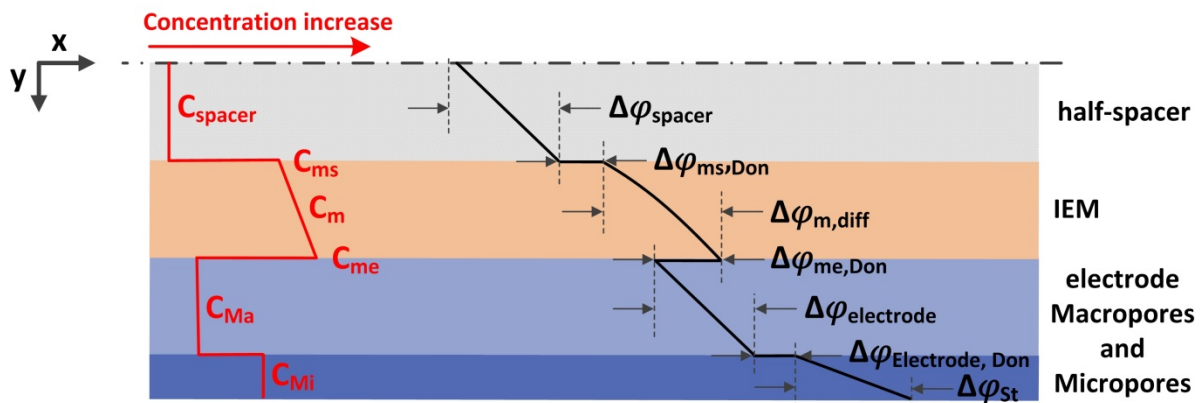
59 adsorption in MCDI by varying operational variables within both constant voltage and constant
60 current modes. Recently, García-Quismondo et al.[15] considered new operational modes to increase
61 energy efficiency in CDI by varying charge and discharge current densities and also investigated the
62 use of a concentrated brine stream during discharge. Yet more research is needed to improve the
63 design aspects of MCDI. To illustrate, feed water recovery, i.e. the volumetric ratio of desalinated
64 water produced to that of the feed water, is one such operational metric used to evaluate water
65 treatment processes [16]. In the field of MCDI, the higher the feed water recovery, the less feed water
66 volume is wasted during the desorption step. In this work, we specifically consider the potential of
67 regenerating the MCDI unit with a stream of higher salt concentration than the feed to increase the
68 feed water recovery. Since this is a very critical question, more exploration is required to determine
69 its feasibility especially in the presence of ion-exchange membranes. If a more concentrated stream
70 can be utilised in the desorption step, a certain amount of the water for regeneration can be recycled a
71 number of times which then leads to much greater water recovery.

72 In this paper, we explore this concept using both experimental techniques and a theoretical model.
73 The modified Donnan Theory developed by Biesheuvel et al. [17, 18] is the most well developed
74 model available in the literature to describe the storage of ions in the EDLs of micropores (< 2 nm) of
75 carbon electrodes. The same research group has also proposed a dynamic ion transport model to
76 describe the performance of the MCDI unit [17, 19, 20]. Tang et al. [21] has recently used a similar
77 approach to model the removal of fluoride in CDI. This ion transport model includes all the mass and
78 charge balances throughout the MCDI unit and is combined with modified Donnan theory to describe
79 the ion storage in the electrical double layers (EDLs). Nonetheless, this theoretical method is based on
80 various simplifying assumptions. In this work we avoid some of these assumptions by (i) measuring
81 the diffusion coefficients in the ion-exchange membranes; (ii) using these values to adjust the voltage
82 distribution across the two half-cells and (iii) including the ion activity coefficient in the solution and
83 the membrane phases. The novel features introduced into the mathematical approach strengthen the
84 model, as estimation of some parameters is replaced with direct measurements. The improved ion

85 transport model was then utilised to determine the impact of partially recycling the regeneration brine,
 86 and further to determine the optimum residence time in the MCDI unit.

87 2. MCDI model

88 To describe the deionization process the modified Donnan (mD) Theory proposed by Biesheuvel et al.
 89 [18] is used to describe the ion storage in the electrical double layers (EDLs) of the carbon electrode
 90 (Section 2.1). Mass transfer equations then enable the prediction of the ion flux from the flow channel
 91 formed by a spacer through the ion-exchange membranes to access these EDLs (Section 2.2). In this
 92 approach, the effect of faradaic reactions and also the contribution of hydronium and hydroxyl ions
 93 have been neglected. Fig. 1 gives an overview of the MCDI arrangement of one of two half-cells that
 94 is described by the model. While macro and micro-pores are distributed within the carbon electrode,
 95 to better demonstrate the concentration and potential drop, they are drawn in series in Fig. 1.



97 **Fig. 1.** Schematic illustration of counter-ion concentration (C) and dimensionless voltage (ϕ) distribution over
 98 a half-cell.

99 2.1 Modified Donnan Theory in the micropores

100 Modified Donnan theory uses the Boltzmann distribution to correlate the concentration in the
 101 micropores of the carbon where a surface charge is applied, to that of the macropores where the
 102 concentration of anions and cations is identical. A term μ_{att} is introduced to account for the physical
 103 adsorption of ion i into the carbon surface at zero voltage (Eq. (1)) [17]:

$$C_{i,Mi} = C_{Ma} \cdot \exp(-z_i \cdot \Delta\varphi_{electrode,Don} + \mu_{att}) = C_{Ma} \cdot \exp(-z_i \cdot \Psi/V_T + \mu_{att}) \quad (1)$$

104 where $C_{i,Mi}$ is the concentration of ion i in the micropores of carbon, C_{Ma} is the salt concentration in
 105 the macropores, and z_i is the valency of ion type i , $\Delta\varphi_{electrode,Don}$ is the Donnan potential difference,
 106 which in turn can be expressed in terms of the electrical potential difference (Ψ) between the macro
 107 and micro pores, and the thermal voltage $V_T = k_B T/e$ (≈ 25.5 mV at room temperature), where e is
 108 the electrical charge of an electron, k_B is the Boltzmann constant and T is the absolute temperature
 109 [18]. In contrast to the conventional EDL model of Gouy-Chapman-Stern where a distribution of the
 110 electrical potential is considered inside the pores, modified Donnan Theory considers $\Delta\varphi_{electrode,Don}$
 111 as a constant. The reason behind this assumption is that the micropore size within the carbon material
 112 used is commonly small enough to result in overlapping EDLs.

113 The charge concentration ($C_{charge,Mi}$) is defined as the difference between the concentration of
 114 cations in the micropores with that of the anions. For a monovalent salt, $C_{charge,Mi}$ can be calculated
 115 from Eq. (2) as

$$C_{charge,Mi} = C_{cation,Mi} - C_{anion,Mi} = -2 \cdot C_{Ma} \cdot \exp(\mu_{att}) \cdot \sinh(\Delta\varphi_{electrode,Don}) \quad (2)$$

116 According to the modified Donnan Theory [22], this charge concentration is proportional to the Stern
 117 layer potential difference ($\Delta\varphi_{St}$) as Eq. (3).

$$\Delta\varphi_{St} \cdot V_T \cdot (C_{St,0} + \alpha \cdot C_{charge,Mi}^2) = -F \cdot C_{charge,Mi} \quad (3)$$

118 where F is the Faraday constant, $C_{St,0}$ is the Stern layer capacitance at zero voltage and α
 119 demonstrates the charge dependency of the Stern layer capacity.

120 **2.2 Dynamic ion transport model of MCDI**

121 During the adsorption step, the salt concentration falls along the direction of the flow in the MCDI
 122 unit as the ions are being removed from the bulk stream. Neglecting axial dispersion, one can write
 123 Eq.(4) to describe the concentration in the spacer compartment (C_{spacer})

$$\frac{\partial C_{spacer}}{\partial t} = -v \cdot \frac{\partial C_{spacer}}{\partial x} - \frac{j_{iy}}{\delta_{spacer}} \quad (4)$$

124 where δ_{spacer} is the thickness of the spacer which defines the flow channel width, v is the velocity in
 125 the flow channel and j_{iy} is the flux of ion type i moving in direction y , perpendicular to the flow
 126 direction, to reach the ion-exchange membrane. Assuming a quasi-steady-state condition for the ion-
 127 exchange membrane, the flux of ions leaving the flow channel equals the flux passing through the ion-
 128 exchange membrane, which is identical to the flux of ions entering the electrode. In the absence of
 129 convective flow, the flux of ion i through the membrane is composed of two terms: diffusion flux due
 130 to the chemical potential gradient, and electromigration due to the electrical potential gradient [23,
 131 24]. Therefore, j_{iy} can be written as

$$j_{iy} = -D_{i,m} \left(\frac{\partial C_{i,m}}{\partial y} + \bar{C}_{i,m} \frac{\partial \ln \gamma_{i,m}}{\partial y} + z_i \bar{C}_{i,m} \frac{\partial \varphi_m}{\partial y} \right) \quad (5)$$

132 where D_i is the diffusivity coefficient and γ_i is the activity coefficient of ion i . Subscript m refers to
 133 the values within the membrane, while the overbar indicates the average concentration within the
 134 membrane.

135 Since the membrane is assumed to be in equilibrium with the adjacent solution, one can write the
 136 equality of chemical potentials of dissociated monovalent ions in both phases, i.e. membrane and the
 137 adjacent solution [23]. For instance, at the membrane/spacer interface we obtain

$$a_{+,m} \cdot a_{-,m} = a_{+,spacer} \cdot a_{-,spacer} \quad (6)$$

138 where a is the activity of each ion.

139 It is noteworthy that the activity coefficient of the counter-ions in an ion-exchange membrane is
 140 significantly lower than that of the free aqueous solution [25]. This is because of the high
 141 concentration of the counter-ions within this phase and the electrostatic interaction between the
 142 counter-ions and fixed charges in the structure of the ion-exchange polymer[26]. Since the
 143 concentration of co-ions in the membrane is much lower than that of counter-ions, the activity
 144 coefficient of these ions does not exhibit as significantly non-ideal behavior as the counter-ions.
 145 However, the value in the IEM is still smaller than that in the bulk solution. The activity coefficient of

146 the counter and co-ions in the IEM is determined using Manning's counter-ion condensation theory
 147 (See Appendix. A) [26].

148 Given the need for electroneutrality in the ion-exchange membrane with $C_{fix,m}$ as the concentration of
 149 fixed charges (the concentrations of counter-ion and co-ion within the membrane on the spacer side
 150 can be determined by solving Eq. (7) simultaneously with Eq. (6).

$$\sum_i z_i \cdot C_{i,m} + z_{fix} \cdot C_{fix,m} = 0 \quad (7)$$

151 where $C_{fix,m}$ is the concentration of fixed charges within the membrane.

152 As discussed earlier, the ions enter the carbon electrode consisting of macro and micro pores with
 153 different volume fractions. An ion mass balance inside the electrode results in

$$\frac{\partial}{\partial t}(\phi_{Ma} \cdot C_{Ma} + \phi_{Mi} \cdot C_{i,Mi}) = \frac{J_{iy}}{\delta_{electrode}} \quad (8)$$

154 where ϕ is the volume fraction, and $\delta_{electrode}$ is the thickness of the carbon layer. Subscripts *Ma* and
 155 *Mi* refer to the macro and micro-pores of the electrode, respectively.

156 The accumulation of $C_{charge,Mi}$ in the micropores as a function of current density passing through the
 157 unit (*I*) is expressed by

$$\frac{\partial}{\partial t}(\phi_{Mi} \cdot C_{charge,Mi}) = \frac{I}{F \cdot \delta_{electrode}} \quad (9)$$

158 **2.3 Potential Differences**

159 As shown in Fig. 1, there are several potential drops across the system, including across the spacer
 160 filled flow channel, at the membrane interfaces, within the membrane, across the macropores in the
 161 porous electrode and inside the electrical double layer of the micropores. The sum of these values
 162 equals the applied potential, in the case of no external resistance. In the following part we describe
 163 the potential drops over each compartment.

164 The potential drop over half of the spacer filled flow channel is a linear function of the current density
 165 according to

$$V_T \cdot \frac{\partial \varphi_{spacer}}{\partial y} \approx V_T \cdot \frac{2\Delta\varphi_{spacer}}{\delta_{spacer}} = - \frac{I}{\kappa_{spacer}} = - V_T \cdot \frac{I}{F \sum_i z_i^2 \cdot C_i \cdot D_i} \quad (10)$$

166 where κ_{spacer} is the ionic conductivity in the flow channel, which can be expressed as $F \sum_i z_i^2 \cdot C_i \cdot D_i$,
 167 and D is the effective diffusion coefficient of ion i [27]. Values of $1.33 \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$ and $2.03 \times$
 168 $10^{-9} \text{ m}^2 \text{ s}^{-1}$ can be used for the diffusion coefficient of Na^+ and Cl^- in an aqueous solution,
 169 respectively [28]. Considering the flow channel is thin, we can use the linear approximation as shown
 170 Eq.(10).

171 The ion-exchange membrane is placed between two solutions of the same electrolytes at different
 172 concentrations. The membrane potential difference ($\Delta\varphi_m$) in such a system consists of a diffusion
 173 potential difference ($\Delta\varphi_{m,diff}$) within the membrane and the Donnan potentials at the interfaces as

$$\Delta\varphi_m = \Delta\varphi_{m,diff} + \Delta\varphi_{ms,Don} + \Delta\varphi_{me,Don} \quad (11)$$

174 where $\Delta\varphi_{ms,Don}$ and $\Delta\varphi_{me,Don}$ are the Donnan potentials attained at the spacer/membrane and
 175 membrane/electrode macropore interfaces, respectively [23]. These can be described by Eq. (12) and
 176 (13):

$$\Delta\varphi_{ms,Don} = - \frac{1}{z_i} \cdot \ln \frac{C_{i,ms} \cdot \gamma_{i,ms}}{C_{i,spacer} \cdot \gamma_{i,spacer}} \quad (12)$$

$$\Delta\varphi_{me,Don} = - \frac{1}{z_i} \cdot \ln \frac{C_{i,Ma} \cdot \gamma_{i,Ma}}{C_{i,me} \cdot \gamma_{i,me}} \quad (13)$$

177 $C_{i,ms}$ is the concentration of ion i at the membrane interface with the spacer, while $C_{i,me}$ is the
 178 concentration of ion i at the membrane interface with the electrode macropores.

179 Furthermore, the diffusion-related potential term in Eq. (11) is related to the current density by

$$V_T \cdot \frac{\partial \varphi_{m,diff}}{\partial y} = - \frac{I}{\kappa_m} \quad (14)$$

180 where κ_m is the electrical conductivity of the cation/anion selective membrane (S m⁻¹) [27].

181 Assuming the potential difference across the membrane to be linear, we obtain

$$\frac{\Delta\varphi_{m,diff}}{\delta_m} = - \frac{I}{F \cdot D_{i,m} \cdot \bar{C}_{i,m}} \quad (15)$$

182 where $\bar{C}_{i,m}$ is the arithmetic average of ion i concentration at the two membrane interfaces. Using

183 Eqns. (11) to (15), the potential drop over the respective cation and anion exchange membranes can

184 be calculated individually.

185 The equivalent conductance of the solution within the macropores participating in the voltage drop

186 across the electrode is given by Eq. (16) [29]

$$V_T \cdot \frac{\Delta\varphi_{electrode}}{\delta_{electrode}} = - \frac{I}{\kappa_{electrode}} \quad (16)$$

187 Finally, the distribution of voltage across all the layers and interfaces can be described by:

$$\frac{V_{Cell}}{V_T} + 2\Delta\varphi_{spacer} + \Delta\varphi_{CEM} + \Delta\varphi_{AEM} + 2\Delta\varphi_{electrode} + 2(\Delta\varphi_{electrode,Don} + \Delta\varphi_{St})_{EDL} = 0 \quad (17)$$

188 where V_{Cell} is the electrical voltage applied across the cell and $\Delta\varphi_{electrode,Don}$ and $\Delta\varphi_{St}$ are as defined

189 in Section 2.1.

190 **2.4 MCDI unit Discretisation**

191 The equations above divide the unit into two asymmetric half-cells perpendicular to the flow

192 direction. To account for dispersion effects, the electrode unit is also divided into N sub-cells in the

193 direction of flow. Then, for each sub-cell k and time step Δt , we find the relationship between the

194 concentration in the flow channel ($C_{spacer,k}$), the ion transport rate through the membrane and the ion

195 storage rate in the carbon micropores as a function of time (t). As each sub-cell is considered as an

196 ideal mixed flow reactor, Eq.(4) can be expressed as Eq. (18):

$$\frac{(C_{spacer,k,t} - C_{spacer,k,t-1})}{\Delta t} = - \frac{N}{t_{spacer}} (C_{spacer,k,t} - C_{spacer,k-1,t}) - \frac{J_{iy,k,t}}{\delta_{spacer}} \quad (18)$$

197 where k is the sub-cell number, running from 1 for the inlet, to $(N+1)$ for the effluent, and Δt is a
198 time step of 10 seconds between $t-1$ and t . The residence time in the flow channel is given by \bar{t}_{spacer} .
199 The time step should be selected small enough that its further reduction does not affect the modelling
200 results. Eqs. (1), (4), (8), (9), and (17) are solved simultaneously to find $C_{spacer,k,t}$ in mol m⁻³ in the
201 k^{th} sub-cell at time t .

202 **3. Materials and methods**

203 **3.1 Materials**

204 The analytical grade of all chemicals was utilized. Polyvinylidene fluoride (PVDF, Mw ~530,000 g
205 mol⁻¹, Sigma-Aldrich), N-N dimethylformamide (DMF, 99.8%, Merck Millipore) and activated
206 carbon (AC Norit SA 4, Cabot Norit Activated Carbon, USA) were utilised for electrode preparation.
207 Sodium chloride (NaCl, 99.7%, ChemSupply) was used to prepare salt solutions at different
208 concentrations. Sodium hydroxide (NaOH, 97.0%, ChemSupply), hydrochloric acid (HCl, 37.0%,
209 ChemSupply), caesium chloride (CsCl, 99.9%, Sigma Aldrich) and sodium iodide (NaI, 99.0%, Ajax
210 FineChem) were used for membrane characterization. Solutions were prepared using MilliQ water
211 with minimum electrical resistance of 18.2 MΩ cm.

212 **3.2 MCDI set-up**

213 To prepare the porous carbon electrodes, PVDF was dissolved in DMF at 100 °C. Powdered activated
214 carbon was then added to the mixture in a mass ratio of AC to PVDF of 1:10. Following 2 h of mixing
215 and evaporation of excess solvent, the dense slurry was then cast on a graphite sheet (DSN 530,
216 Suzhou Dasen Electronics Material Co., China) as a current collector. The electrode was placed in a
217 fan-forced oven at 110 °C overnight and then in a vacuum oven at 80 °C for 2 h to fully evaporate the
218 remaining solvent. The carbon electrode was characterised by scanning electron microscopy, surface
219 area and pore size analysis and cyclic voltammetry. These details can be found in the Appendix B.

220 The capacitive deionization cell was formed from two 10 cm by 20 cm electrodes with a narrow
221 channel of 0.3 cm by 8 cm cut into one end of each to allow for water entry and exit . Each electrode
222 carries a carbon mass of $6.5 \pm 0.5 \text{ mg cm}^{-2}$ with an average thickness of $150 \pm 15 \text{ }\mu\text{m}$. As a MCDI
223 cell, cation and anion exchange membranes (Neosepta CMX, thickness of $170 \text{ }\mu\text{m}$, and Neosepta
224 AMX, thickness of $140 \text{ }\mu\text{m}$) were introduced in front of the negatively and positively charged
225 electrodes, respectively. To prevent short circuiting and to form the flow channel, the 0.9 mm gap
226 between the membranes was filled with a non-conductive spacer (Low Foulant spacer 34 mil,
227 Sterlitech). A poly(carbonate) frame maintained all the layers in place. A DC power module
228 (N6731B, Agilent) in a modular power system mainframe (N6700B, Agilent) was employed to apply
229 a constant electrical voltage and also monitor the current passing through the unit. A peristaltic pump
230 (NEMA 4X, Watson Marlow) was used to control the flow rate of the feed. The data measured by
231 conductivity and pH probes (S470-kit, Mettler Toledo) was recorded to a laboratory computer. A
232 schematic diagram of the MCDI set-up and all the layers within the MCDI cell are provided in
233 Appendix C. (See Fig. C1).

234 **3.3 Membrane characterization**

235 The permeability of the counter-ions through the ion-exchange membrane was measured directly. In
236 this approach, a membrane coupon was clamped between two chambers of a glass diffusion device
237 (PermeGear Side-Bi-Side cell, USA). For the CMX cation exchange membrane, one chamber was
238 filled with NaCl solution while the other was filled with HCl of the same concentration. The
239 membrane was pre-soaked in the same NaCl solution for 48 hours to reach equilibrium. By
240 monitoring the pH values in the salt solution chamber as a function of time, the number of H^+ ions
241 transferred can be determined, which due to electroneutrality must be identical to that of Na^+ ions
242 travelling in the opposite direction. From the rate at which H^+ is transferred, the permeability of Na^+ ($P_{+,m}$)
243 can be obtained from Eq.(19) [30], as the mobility of Na^+ is much less than that of H^+ and
244 hence is rate limiting.

$$\ln \left(1 - 2 \frac{C_{H^+}^{Salt\ side}(t)}{C_{H^+}^{Acid\ side\ initial}} \right) = -2 \frac{A}{V \cdot \delta_m} \cdot P_{+,m} \cdot t \quad (19)$$

245 where $C_{H^+}^{Salt\ side}$ and $C_{H^+}^{Acid\ side\ initial}$ are concentration of H^+ ions in salt solution chamber and the
 246 initial concentration of H^+ ions in acid solution, respectively, A is the orifice area, V is the volume of
 247 each chamber, and t is time. A similar experiment was carried out to study the anion exchange
 248 membrane, AMX, where NaCl and NaOH solutions of the same concentration were used to exchange
 249 counter-ions. The temperature was kept constant at 23 ± 2 °C; hence, pK_w was taken as constant at 14.

250 Similarly, the permeability of co-ions through CMX and AMX can be measured by replacing the acid
 251 and base solutions with water. In this case, to maintain electroneutrality, each co-ion transferring from
 252 the salt chamber is accompanied by a counter-ion. The salt concentration increase in the water
 253 chamber is measured by a conductivity probe. The permeability of the co-ion can then be determined
 254 using a similar equation to Eq. (20) by substituting C_{H^+} with C^{sol} and $P_{+,m}$ with $P_{-,m}$.

255 In another set of experiments, the concentration of fixed charges ($C_{fix,m}$), the counter-ion partition
 256 coefficient ($K_{+,m}$) and that of the co-ion ($K_{-,m}$) of the same membrane was measured by the method
 257 recently proposed by [26]. In brief, a circular piece of membrane was first equilibrated at the same
 258 NaCl concentration (C^{sol}) as that used for the permeability measurements described above. The
 259 volume of the swollen membrane disk (V_m) was determined by measuring its diameter and thickness.
 260 The CMX membrane was then moved to a solution of CsCl at higher concentration to release the
 261 adsorbed sodium and uptake caesium ions; conversely, the AMX membrane was moved to a
 262 concentrated NaI solution to exchange adsorbed chloride in the membrane matrix with iodide ions.
 263 Knowing the swollen volume of the membrane, the partition coefficient can be obtained as the ratio of
 264 counter-ion concentration in the swollen membrane to that of the solution as Eq. (20)

$$K_{\pm,m} = \frac{(C_{\pm}^{external} \cdot V^{external})}{C^{sol} \cdot V_m} \quad (20)$$

265 where $C_{\pm, final}^{external}$ refers to the final concentration of the counter-ion in either CsCl or NaI solutions
 266 and V is the volume. As it is generally known that $P_{\pm, m} = D_{\pm, m} \cdot K_{\pm, m}$, one can then determine the
 267 diffusivity coefficient of the counter-ion diffusing through the membrane which is used during
 268 modelling in Eqs. (5) and (15).

269 It is apparent that the concentration of counter-ions in the membrane ($C_{\pm, m}$) can be calculated from
 270 Eq. (21)

$$C_{\pm, m} = \frac{(C_{\pm, final}^{external} \cdot V^{external})}{V_m} \quad (21)$$

271 On the other hand, the concentration of co-ions in the membrane ($C_{-, m}$) can be obtained by replacing
 272 the external solution with DI water. In other words, the membrane which has been equilibrated with
 273 NaCl solution is then moved to DI water to release the adsorbed co-ions back into water. Therefore,
 274 Equations (20) and (21) can be used to determine the partition coefficient and concentration of both
 275 types of ions in the membrane. The diffusivity coefficient of the co-ion can be determined similarly
 276 from $P_{-, m} = D_{-, m} \cdot K_{-, m}$. The outcome of this experiment, i.e. determination of counter and co-ions
 277 concentrations, is then also used to calculate the concentration of fixed groups on the IEM (See
 278 Section 2.2).

279 **3.4 Electrosorption experiment**

280 Two modes of operation were used in MCDI experiments. In batch mode operation, the salt solution
 281 was continuously fed into the flow channel at a flow rate of 40 ml min⁻¹ from a 150 ml recycle
 282 reservoir, and returned to the same reservoir, for 15 minutes. A conductivity probe was placed in the
 283 reservoir to monitor the change in salt concentrations. During the adsorption step, a constant electrical
 284 voltage of 1.5 V was applied to the electrodes. The salt adsorption per cycle, Q ($mmol_{salt} g_{carbon}^{-1}$)
 285 , was calculated as

$$Q = \frac{(C_{initial} - C_{final}) V_{reservoir}}{M_{carbon}} \quad (22)$$

286 where $C_{initial}$ and C_{final} are the initial and final concentrations in the reservoir, $V_{reservoir}$ is the
 287 volume of the reservoir, and M_{carbon} is the total mass of carbon in the electrodes. During the
 288 desorption step, the voltage was reversed until the initial concentration was achieved in the recycle
 289 reservoir.

290 In the single-pass mode of operation, the feed solution passed through the cell at a flow rate of 20 ml
 291 min^{-1} and the conductivity of the effluent was monitored. The same charge and discharge voltages
 292 were applied. In this case, the salt adsorption per cycle is measured as:

$$Q = \frac{\left(\int_0^{t_{ads}} (C_{feed} - C_t) \cdot dt \right) \cdot \dot{V}}{M_{carbon}} \quad (23)$$

293 where \dot{V} is the volumetric flow rate, C_{feed} is the feed salt concentration, C_t is the outlet salt
 294 concentration at any time t , and t_{ads} is the adsorption cycle duration.

295 In both adsorption and desorption steps, the *charge consumption* (σ) was determined by integrating
 296 the electrical current through the cell;

$$\sigma_{ads/des} = \int_0^{t_{ads/des}} I_e(t) \cdot dt \quad (24)$$

297 where t_{ads} and t_{des} are the duration of the adsorption and desorption cycles, respectively. Multiplying
 298 the charge consumption by cell voltage gives the energy consumption of each step. In addition, the
 299 *charge efficiency* (Λ) is defined as the moles of salts adsorbed/ or released per moles of electron
 300 transferred

$$\Lambda_{ads/des} = \frac{Q \cdot M_{carbon}}{\sigma_{ads/des} / F} \quad (25)$$

301 The feed water recovery is another critical term which is defined as the ratio of the volumes of
 302 desalinated water produced to that of the feed over one full cycle;

$$\text{Water recovery} = \frac{\text{volume of desalted water produced during adsorption}}{\text{total volume of feed water}} \quad (26)$$

303 While the water productivity is given as:

$$304 \quad \text{Water productivity} = \frac{\text{adsorption cycle time}}{\text{total cycle time (adsorption and desorption)}}$$

305 To determine the parameters required for the mD model, *equilibrium experiments* were performed. In
 306 these sets of experiments, a constant voltage of 1.5 V was applied to the CDI cell operating in batch-
 307 mode until the concentration of salt in the recycle reservoir plateaued. At equilibrium, there is no
 308 ionic transport; which means, the applied voltage is only distributed over the EDL potential drops.
 309 This experiment was carried out at four different salt concentrations (ranging from 5 to 50 mM).
 310 Certain parameters of the model (μ_{att} , $C_{St,0}$, and α), were adjusted to fit the modelling results of
 311 equilibrium salt adsorption and charge efficiency to that of the experimental data.

312 To collect the data for Section 4.5, the experiments were initially conducted by keeping the adsorption
 313 time constant at 15 minutes for all the feed concentrations and extending the desorption step as
 314 needed to ensure that all adsorbed ions were released back to the recycle reservoir. On the other hand,
 315 to investigate regeneration using a brine stream, desorption was performed using a separate recycle
 316 reservoir at a different initial concentration, ($C_{initial}$) which is greater than the original feed water
 317 concentration. The ratio of $C_{initial}$ for each regeneration step to the original feed concentration is
 318 called the ‘brine to feed concentration ratio’. When desorption was complete, a quick air flush
 319 depleted the MCDI unit of any residual brine. Therefore the quality of water produced during the
 320 adsorption steps remained constant.

321 To determine the lag time in response and the minimum number of uniform sub-cells required for unit
 322 discretisation, the flow channel was characterised through the response to a pulse injection of dye.
 323 The method and relevant equations for this analysis are described in the Appendix E.

324 The activity coefficient of the ions Na⁺ and Cl⁻ in the solution was computed using Aspen Plus V8.6
 325 (Aspen One) employing the Pitzer thermodynamic model. The activity data was generated with
 326 respect to increasing ionic strength (from 0.01 to 0.3 M) at 25 °C.

327 MATLAB R2016a was utilised as the computational software to solve the set of equations discussed
 328 in Section 2. A block diagram of the sequence of parameter determination and mathematical
 329 calculation is depicted in the Appendix F (see Fig. F1). A numerical approach was employed for
 330 optimization sequences in which the algorithms use the simplex search method of Lagarias et al. [31].

331 4. Results and discussion

332 4.1 Characterization of the MCDI Cell

333 Initial experiments focused on determining the parameters needed for the MCDI model to be
 334 effective. The parameters determined experimentally for the carbon electrodes are summarised in
 335 Table 1. Details of the experimental procedures used to determine these parameters and further
 336 characterization details are provided in the Appendix D.

337 **Table 1.** Summary of Parameters Determined Experimentally

Carbon Electrodes		
Thickness (μm)		150 ± 15
Surface area (m ² g ⁻¹)		540 ± 4
Micropore Size (nm)		0.7 – 1.5
Electrode Micropore Volume Fraction (%)		40
Electrode Macropore Volume Fraction (%)		30
Specific Capacitance (F g ⁻¹)		40
Ion Exchange Membranes		
Counter-ion Diffusion Coefficient ($D_{+,m}$) (m ² s ⁻¹)	CMX	(1.1 ± 0.2) × 10 ⁻¹¹
	AMX	(1.5 ± 0.2) × 10 ⁻¹¹
Concentration of fixed charges ($C_{fix,m}$) (mmol per litre of swollen membrane)	CMX	1148 ± 16
	AMX	1152 ± 56

338 The permeability coefficient of the counter-ions (Na^+ in case of CMX and Cl^- in case of AMX) was
339 measured using the method explained in Section 3.2. The observed increase in H^+ and OH^-
340 concentration in the salt chamber of the diffusion cell over more than 3 hours can be found in Fig. S4.
341 Results were obtained using the first hour of the data collected, where the rate of change is constant
342 (see Table 1). The concentration of the fixed charges in the membrane (Table 1) and the partition
343 coefficients under the experimental conditions (Table S.1) was determined through the sorption
344 experiment described in Section 3.2. At 10 mM of NaCl, the concentration of counter and co-ions in
345 CMX were determined as 1149 ± 15 mM and 1.1 ± 0.7 mM, while that in the AMX were 1154 ± 54
346 mM and 1.7 ± 0.7 mM. While Kamcev et al. [26] employed a different type of IEM, the
347 concentration of counter and co-ions in the membrane we obtained is comparable with their published
348 results (1060 Na^+ and 0.1 Cl^- mmol per litre of swollen cation exchange membrane, and 1005 Cl^- and
349 0.08 for Na^+ mmol per litre of swollen anion exchange membrane, at the external concentration of 10
350 mM NaCl). It is noteworthy that they defined the concentration as moles of ion per volume of the
351 water sorbed which needs to be converted to the volume of swollen membrane prior to comparison.

352 From these results, the diffusion coefficients can be obtained (see Table 1). CMX and AMX are
353 mechanically rigid structures while the fixed charges on the IEMs contribute to strong electrostatic
354 interactions. Hence, in comparison with the diffusion coefficients of the same ions in water, small
355 values are expected (see Table 1). Utilizing a similar experimental approach, values with the same
356 order of magnitude have been reported for monovalent ions diffusing through a range of cation/anion
357 exchange membranes in the literature [32]. In addition, a smaller diffusivity coefficient of Na^+
358 through CMX relative to that of Cl^- through AMX was expected owing to the reduced ionic mobility
359 of the former [33].

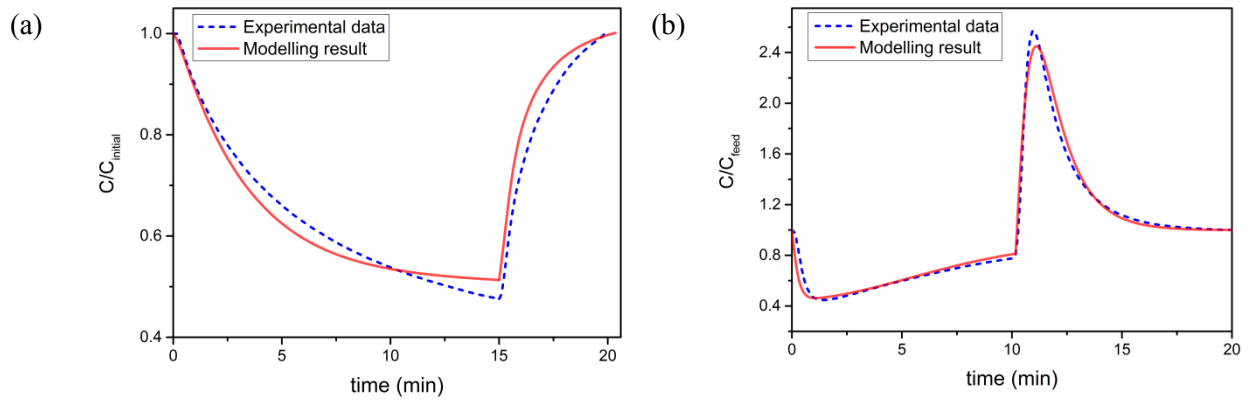
360 It is worth mentioning that whilst the permeability coefficients and sorption values are strong function
361 of concentration, this effect is reduced for the diffusion coefficient. The values obtained here are thus
362 taken as constant across all concentrations used in this work. While any mathematical modelling is
363 constructed on some simplifying assumptions, the theoretical approach can be strengthened by
364 accurate determination of model parameters. Prior mathematical approaches to MCDI modelling have

365 neither provided any specific methodology for the measurement of the diffusion coefficients in the
366 IEMs, nor justified the values selected [14, 34]. By eliminating these estimations from the
367 mathematical approach, the reliability of the MCDI model can be enhanced.

368 Finally, the response of the MCDI cell to a pulse input of dye was used to determine the lag time in
369 response as well as the minimum number of spatially uniform regimes required for the model to
370 account for dispersion effects. For the MCDI unit utilised in this work, at the lowest flowrate used in
371 experiments (20 ml min^{-1}), there is a mean residence time of 67s, a lag time of 14 seconds and a
372 minimum of 2 sub-cells must be considered when discretising the computational domain of the flow
373 channel. Mean residence time equals the volume of the flow channel divided by the volumetric flow
374 rate. Further information, including the dimensionless age distribution, can be found in the Appendix
375 E (see Fig. E1).

376 **4.2 Model validation**

377 Equilibrium adsorption and desorption experiments of MCDI were first conducted at four different
378 feed concentrations ranging from 5 to 50 mM to validate the mathematical model. The outcome of the
379 validated model can then be compared with the performance of the MCDI unit under both batch and
380 single-pass mode of operation. Fig.2 (a) shows the typical concentration change in the recycle
381 reservoir during one full cycle of batch mode adsorption and desorption while Fig.2 (b) depicts the
382 salt concentration of the effluent stream over single-pass mode of operation. This data was used to
383 determine μ_{att} , $C_{\text{St},0}$ and α by minimising the sum of squared errors (see Table 2). Fig.2 shows that
384 there is a very good agreement between the model and the experimental data.



385 **Fig. 2.** Ion transport model validation, Molar concentration of NaCl solution (a) as a proportion of the initial
 386 concentration (10 mM) in the recycle reservoir as a function of time during batch-mode MCDI, (b) as a
 387 proportion of the feed concentration (10 mM) during single-pass mode MCDI vs time.

388
 389
 390
 391

392 **Table. 2.** List of parameters determined from model optimization

μ_{att}	dimensionless physical attraction potential	(1.8 ± 0.2)
$C_{St,0}$	Stern layer capacitance at zero cell voltage	$(3.2 \pm 0.4) \times 10^8 \text{ F m}^{-3}$
α	charge dependency coefficient of the Stern layer capacity	$(16 \pm 2) \text{ F m}^3 \text{ mol}^{-2}$

393 In the MCDI model developed in this work, the flux of co-ions through the ion-exchange membrane is
 394 ignored. This assumption was made following calculations which showed that the flux of co-ions was
 395 significantly smaller than the flux of counterions. This arises from very small concentration of co-ions
 396 in the IEMs. The contribution of the co-ions to the increase in salt concentration in the macropores of
 397 the carbon arises not from the flux of these ions electromigrating through the IEM, but rather from the
 398 repulsion of co-ions from the micropores upon applying an electrical voltage. During the initial
 399 period, both before a voltage is first applied and in the initial cycles where the membranes are
 400 reaching steady state, there is some leakage of co-ions into the macropores. This is both because the
 401 membrane is initially soaked in the co-ion/counter-ion mixture and retains some of these ions on its
 402 surface and because co-ion diffusion across the membrane during these initial cycles is high due to the
 403 stronger concentration gradient during this period. However, under the stable dynamic conditions of
 404 adsorption/desorption cycles of MCDI, the flow of co-ions is principally an exchange between the

405 macro and micropores, rather than transport through the membrane [14, 34]. The results of our model
406 indeed show that during stable dynamic operation, the flux of co-ions repulsed from the carbon
407 micropores during the adsorption cycle matches the flux of co-ions adsorbed during desorption.

408 Charge efficiency of MCDI treating a feed of 10 mM NaCl in single-pass mode (as depicted in Fig. 2
409 (b)) was experimentally measured at 94.9%. Considering that the co-ion flux is negligible, we
410 strongly believe that the charge efficiency below 100% is attributed to other factors such as faradaic
411 reactions due to carbon surface oxidation, and electromigration of hydronium and hydroxyl ions [35,
412 36]. It is worth mentioning that these factors have not been considered in the MCDI theory employed
413 in this work.

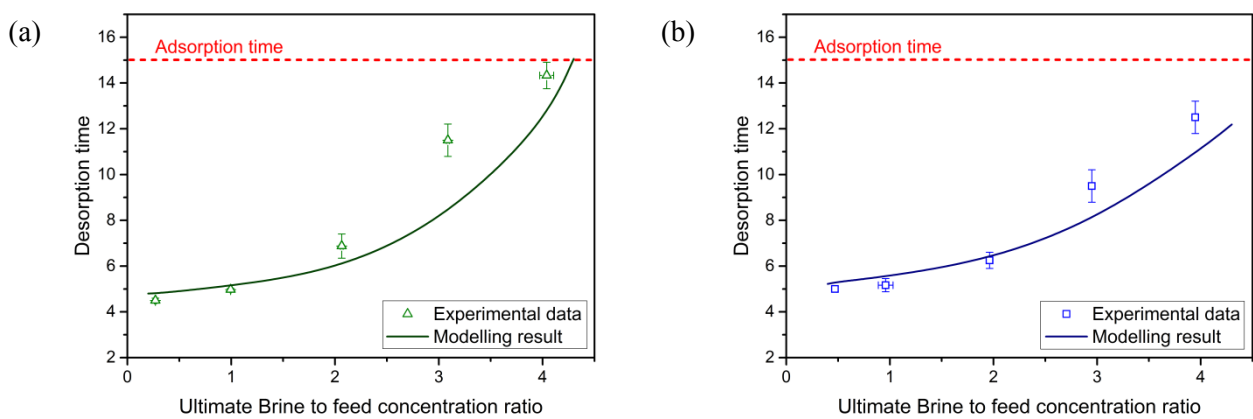
414 Additionally, given the diffusion coefficients of the CMX and AMX membranes measured and
415 reported in Section 4.1, the potential drop over the cation-exchange membrane is found to be higher
416 than that of the anion-exchange membrane. This result is consistent with the fact that the CMX
417 membrane has greater electrical resistance compared with the AMX one [37]. To maintain the
418 electroneutrality in the spacer compartment, the flux of Cl^- migrating through the AMX must be equal
419 to the flux of Na^+ migrating through the CMX and so the potential drops over these two ion exchange
420 member are unequal. To date, no MCDI model has identified and included this effect.

421 **4.3 Regeneration of MCDI cell using a brine stream**

422 The validated model was now used to investigate whether a higher concentration of brine could be
423 used for MCDI regeneration. To answer this question, we first studied the effect of the brine to feed
424 concentration ratio on regeneration duration and feed water recovery during batch operation, using
425 both experiments and modelling. Fig. 3(a) and (b) show the increase in desorption time with
426 increasing concentration of the brine stream for initial feed water salt concentrations of 5 and 10 mM,
427 respectively using batch operation. The ions adsorbed in the EDLs of carbon micropores are repulsed
428 once the cell voltage is reversed and transfer back to the macropore voids and then diffuse through the
429 ion-exchange membrane to get to the flow channel. Referring back to Eq. (5), the concentration
430 difference on both sides of the membrane contributes to the flux of ions diffusing through this layer. It

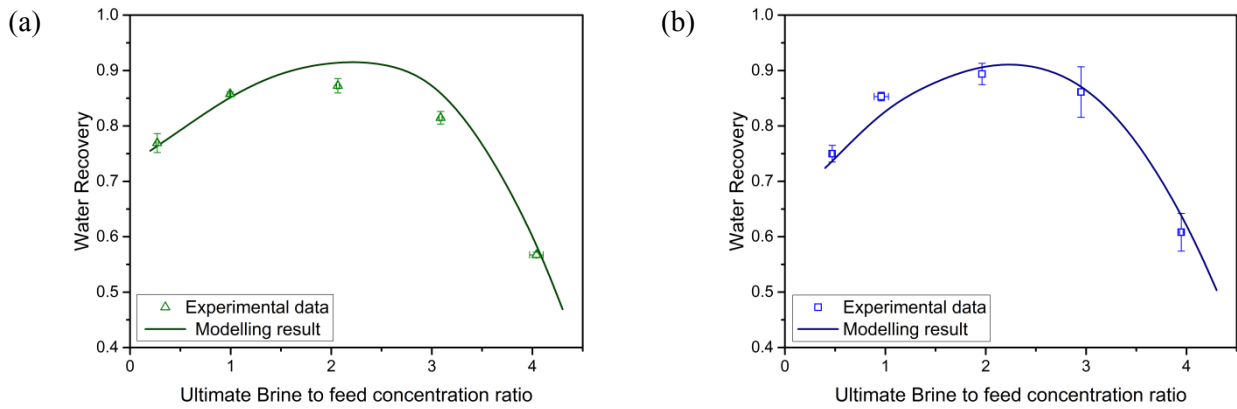
431 is apparent that by increasing the concentration of the brine regeneration stream, the flux of ions
 432 decreases, and consequently, desorption time extends. It is not practical to spend more time on
 433 regenerating the operation unit than the time used to produce fresh water. As a result, further
 434 increasing the brine to feed ratio above 4, would not be practical in the present case.

435 The solid line in Fig. 3(a) and (b) demonstrates that the desorption time obtained from the
 436 mathematical model at different brine to feed concentration ratios is consistent with the experimental
 437 data, as can only be achieved when a comprehensive ion transport model is employed.



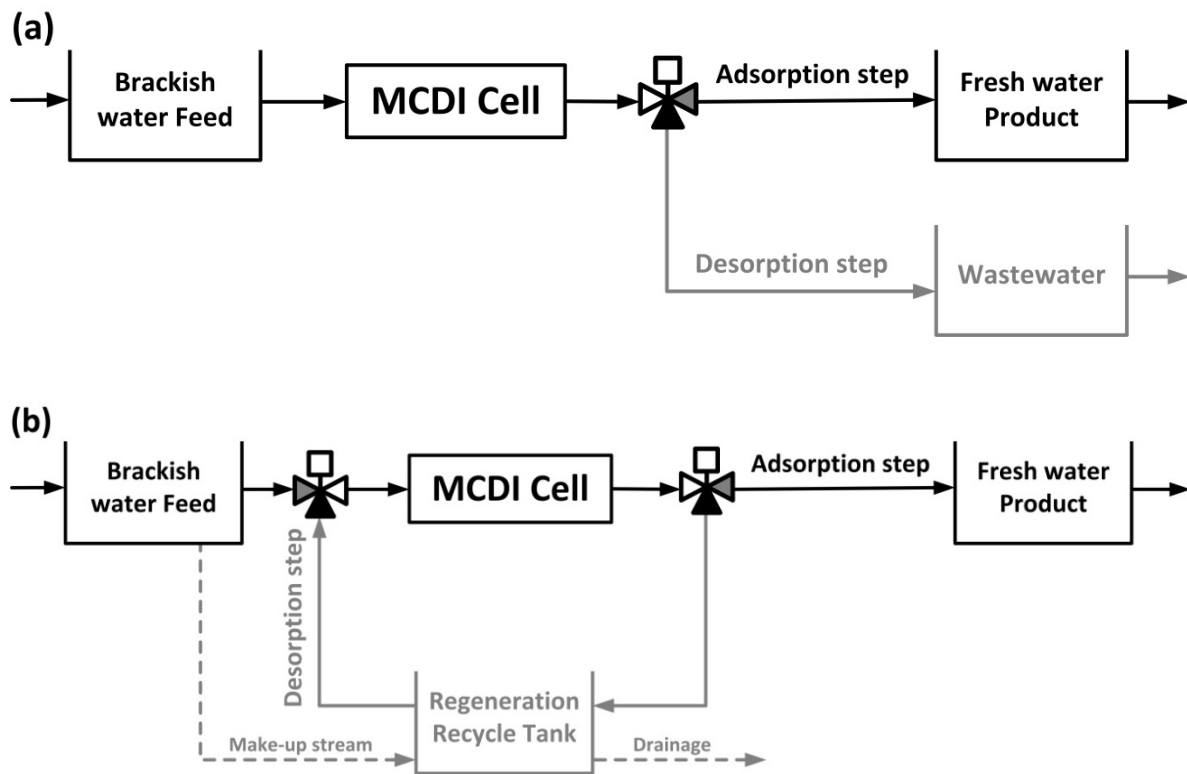
438 **Fig. 3.** The effect of brine concentration on desorption time in a batch-mode operation of MCDI, (a) Initial
 439 concentration of 5 mM, (b) initial concentration of 10 mM. The solid line represents modelling result.

440 To further investigate the desorption performance with more concentrated brines in batch operation,
 441 we calculated the water recovery considering the fact that water can be saved by re-using the brine
 442 stream. The water recovery in this case is shown in Fig. 4(a) and (b) employing the experimental data
 443 points represented in Fig. 3(a) and (b), respectively. The water recovery initially increases due to a
 444 reduction in feed water used for regeneration but falls at higher brine concentrations due to the
 445 extension in the desorption time. Under the operational conditions given in Section 3.3, the optimal
 446 water recovery occurs when the brine stream is around twice the initial feed concentration (either 5 or
 447 10 mM).



448 **Fig. 4.** The effect of ultimate brine to feed concentration ratio on water recovery (a) Initial concentration of 5
 449 mM, (b) initial concentration of 10 mM. The adsorption time was kept constant at 15 min.

450 For industrial applications, the MCDI unit will generally be used in a single-pass mode. Fig. 5(a)
 451 depicts the conventional single-pass operation mode where the same brackish water feed is fed into
 452 the MCDI cell both during adsorption and desorption. However, utilizing the same concepts as
 453 described above, we proposed a set-up with a separate regeneration recycle tank as illustrated in Fig.
 454 5(b). In this case, the effluent stream produced during regeneration can be collected and partially re-
 455 used for the next desorption step. By adding a feed-and-bleed feature to this regeneration tank, we can
 456 keep the concentration at a specific level to maximize water recovery. Although this concentration is
 457 higher than the feed, regeneration is still practical.



458 **Fig. 5.** MCDI set-up, (a) conventional operational mode, (b) with addition of regeneration recycle tank. Grey
 459 line demonstrates the flow direction during desorption step.

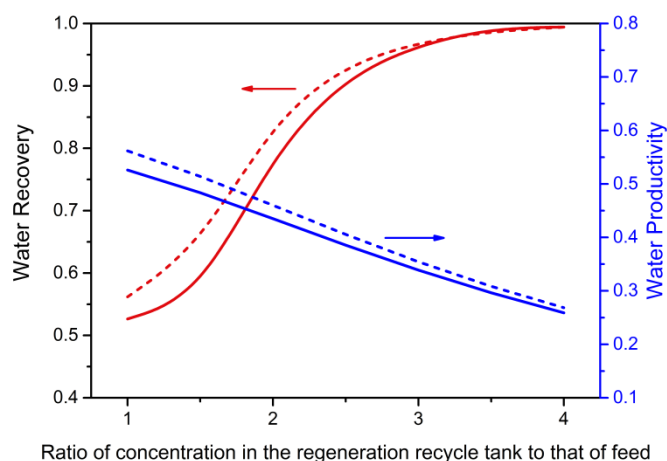
460

461 The water recovery and productivity for the configuration depicted in Fig. 5(b) is shown in Fig.6 as a
 462 function of the ratio of the concentration in the regeneration recycle tank to the concentration of the
 463 feed. As described in Section 3.4, water productivity is determined as the time the MCDI set-up is
 464 under operation for desalted water production to the total time spent on adsorption and desorption. To
 465 obtain this data, the mathematical approach explained earlier was employed in a single-pass mode
 466 (adsorption with 10 mM NaCl solution as the brackish feed water, and desorption assuming a constant
 467 concentration in the regeneration recycle tank). For each case, the desorption step was maintained for
 468 sufficient time to release all the adsorbed ions back into the regeneration recycle tank. This was
 469 determined mathematically by equating the area above the regeneration tank concentration versus
 470 time curve during desorption to the area under the feed concentration versus time curve during
 471 adsorption. Recycling the brine stream improves water recovery by 47% at 20 ml min⁻¹ and 43% at 40

472 ml min⁻¹ desorption flow rate in comparison with the conventional operating mode (Fig. 6). As
473 expected, water productivity drops since the desorption time is prolonged.

474 The energy consumption per cycle does not change significantly since the total amount of adsorbed
475 salt is maintained constant within each adsorption step. This is not consistent with the recent
476 publication by García-Quismondo et al. [15], who observed an enhancement in energy efficiency
477 when a concentrated brine stream was used during regeneration. These discrepancies may arise due
478 to the definition of energy efficiency used by them and due to the different operational modes used.

479 In the recent review article written by Suss et al. [16], the authors briefly suggested that water
480 recovery of CDI systems might be enhanced through *brine management*. The outcome of this section,
481 has answered this important question as to whether or not a brine stream can be re-circulated.



482
483 **Fig. 6.** Water recovery and productivity at different ratios of concentration in the regeneration recycle tank to
484 that of the feed. Flow rate at desorption is set at 20 ml min⁻¹ for solid line and 40 ml.min⁻¹ for dashed line.

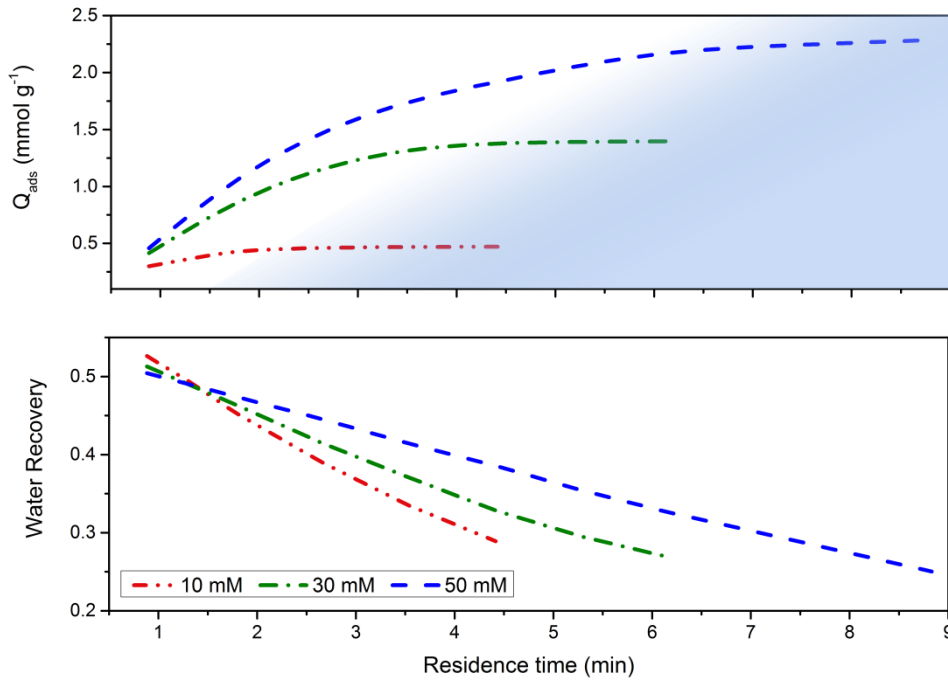
485 It is noteworthy that the concentration of the stream leaving the MCDI cell varies with time.
486 Therefore, the input to the regeneration recycle tank is not at constant concentration over the
487 desorption period. However, it is more practical, from an industrial point of view, to maintain the
488 flow rate from this tank and the tank concentration at a certain value. In practice, this would be
489 achieved by utilising a large regeneration tank volume and by varying the flowrate of feedwater
490 diverted into the tank over time.

4.4 Determination of optimum residence time in the MCDI unit

491

492 In dealing with high salinity brackish water, one might be tempted to simply increase the residence
493 time in the MCDI unit to provide sufficient salt adsorption. In this work, we aimed to investigate
494 whether or not there is a limit to the residence time in a MCDI unit beyond which performance falls,
495 using the mathematical model. This information is vital to effective design of MCDI systems. To
496 increase residence time one can increase the length of the MCDI unit in the direction of flow, or
497 decrease the volumetric flow rate. As shown in Fig. 7, increasing the residence time in the cell in this
498 manner (while maintaining a constant adsorption cycle time) leads to greater maximum desalination
499 capacity only to a certain extent, with Q_{ads} eventually reaching a plateau. Further increases in unit
500 length or reductions in flowrate are not advantageous. Water recovery also declines continuously by
501 increasing the residence time. As an example, for a feed of 10 mM, increasing this time from 2.7 min
502 to 4.4 min results in a 10% fall in water recovery while the increase in salt adsorption per cycle is
503 limited to 1%. The result is comparable if the adsorption cycle time is extended proportionally with
504 the residence time in the MCDI cell. Similarly in this case, after a certain residence time in the MCDI
505 unit, the rate at which salt adsorption grows is small in comparison with the rate at which water
506 recovery drops.

507 To understand this effect further, Figure 8 shows the concentration of the electrolyte solution in the
508 flow channel during regeneration, as a function of time and position along a unit of 0.2 m length at 10
509 mM feed concentration. This Figure shows that the salt concentration reaches a significantly higher
510 concentration towards the end of the unit than in the entrance region, owing to ions being released
511 back to the stream. That is, towards the end of the unit, the brine to feed concentration ratio is much
512 higher. Extended regeneration time is then inevitable as discussed in Section 4.5.

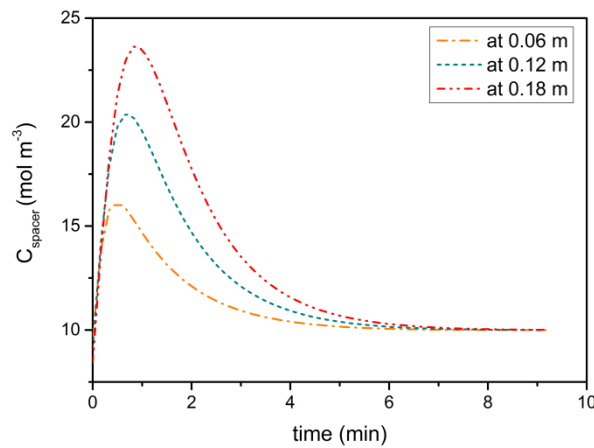


513

514

515

Fig. 7. Variation in salt adsorption and water recovery as the residence time in the MCDI unit is increased. The cell width and all other operating conditions were kept constant.



516

517

518

Fig. 8. C_{spacer} versus time during desorption at 0.06, 0.12 and 0.18 m along the MCDI unit under conventional single-pass mode of operation at 10 mM feed concentration.

519 5. Conclusion

520

521

522

In this work, we have investigated whether or not a brine stream, which is more concentrated than the feed solution, is capable of regenerating a MCDI unit and whether there is an optimum residence time. To date, no other research group has tackled these important research questions.

523

524

To approach these problems, we first extended the MCDI model available in the literature, to specifically account for the activity coefficients of the counter-ions and co-ions within the membranes

525 themselves. Further, we used additional independent experiments to determine the diffusion
526 coefficients within these membranes. Importantly, these experiments allowed for an improved model,
527 where the voltage drop across the cation exchange membrane was greater, due to the lower ionic
528 mobility of Na^+ in comparison with Cl^- . The extension of the model to include these features has not
529 been reported to date in the field of MCDI. Further, the use of the revised model resulted in a good fit
530 between the model and experimental data, with only three adjustable parameters.

531 The combined use of the revised model with batch experiments demonstrated that there is a trade-off
532 between the increase in water recovery from the re-use of brine and the decrease in water productivity
533 owing to desorption time extension. We showed that a brine to feed concentration ratio of around two
534 provided optimum performance in a batch mode. Over 40% enhancement in water recovery could be
535 achieved by recycling brine in continuous operation, but the optimum brine to feed ratio depended
536 upon the relative importance of water recovery and productivity.

537 In the last part of this study, we again employed the mathematical model to determine the optimal
538 residence time in the MCDI unit. By increasing the length of the unit, and proportionally the
539 adsorption time, we observed a trade-off between the enhancement in desalination capacity and a fall
540 in water recovery. Residence times beyond a certain value were ineffective under the process
541 conditions employed here.

542 **Acknowledgements**

543 Armineh Hassanvand acknowledges The University of Melbourne for the IPRS (International
544 Postgraduate Research Scholarship) and APA (Australian Postgraduate Awards) scholarships, which
545 are funded by the Australian Government. George Chen and Sandra Kentish acknowledge research
546 funding from the Australian Research Council's Industrial Transformation Research Program (ITRP)
547 scheme (Project Number IH120100005). The ARC Dairy Innovation Hub is a collaboration between
548 The University of Melbourne, The University of Queensland and Dairy Innovation Australia Ltd..
549 George Chen acknowledges the support from an Early Career Researcher (ECR) Grant awarded by
550 the Melbourne School of Engineering, The University of Melbourne. We are grateful to Dr. Ranjeet

551 Singh (The University of Melbourne) for assistance with SEM images, David Danaci (The University
 552 of Melbourne) for pore size analysis, Vanessa Gunner (The University of Melbourne) for data
 553 analysis, and Kezia (Membrane Futures Pty Ltd, Australia) who provided expertise in membrane
 554 characterization. We similarly acknowledge valuable input from the reviewers of the paper. We would
 555 also like to acknowledge the Particulate Fluid Processing Centre at the University of Melbourne) for
 556 infrastructure support.

557 **Appendices**

558 **A. Manning's counter-ion condensation theory**

559 Assuming that the ion-exchange membrane behaves similarly to a polyelectrolyte solution, Manning's
 560 counter-ion condensation theory can be employed to determine the activity coefficients in the IEM.
 561 For a 1:1 salt, the activity coefficient of the counter and co-ions in the ion-exchange membrane can be
 562 estimated from Eq. (A.1) and (A.2), respectively.

$$\gamma_{+,m} = \frac{\frac{1}{\xi} \cdot \frac{C_{fix,m}}{C_{-,m}} + 1}{\frac{C_{fix,m}}{C_{-,m}} + 1} \exp \left[-\frac{\frac{1}{2} \cdot \frac{C_{fix,m}}{C_{-,m}}}{\frac{C_{fix,m}}{C_{-,m}} + 2\xi} \right] \quad (\text{A.1})$$

$$\gamma_{-,m} = \exp \left[-\frac{\frac{1}{2} \cdot \frac{C_{fix,m}}{C_{-,m}}}{\frac{C_{fix,m}}{C_{-,m}} + 2\xi} \right] \quad (\text{A.2})$$

563 where ξ is the dimensionless linear charge density of the polyelectrolyte chain with values between 1
 564 to 4 [26]. Kamcev et al. [26] has estimated the value of ξ for three cation and anion exchange
 565 membranes across a range of salt concentrations. A typical value of 2 is used in this work.

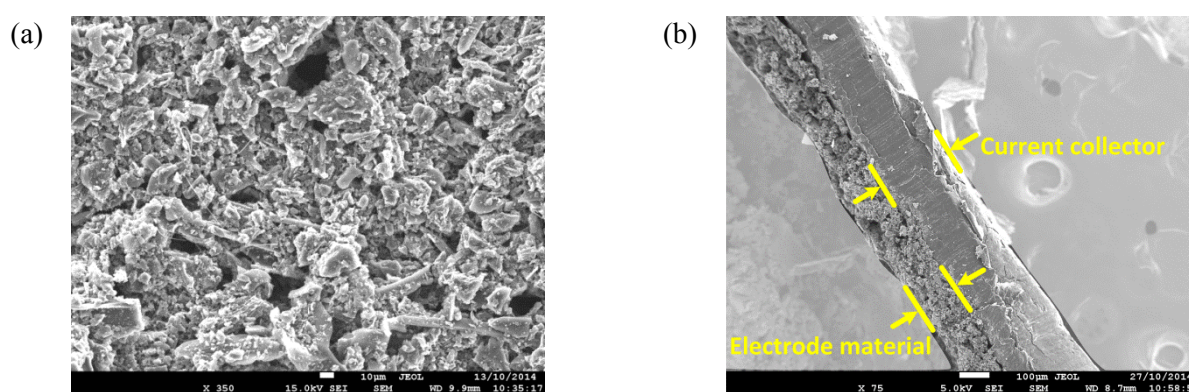
566 **B. Electrode characterization**

567 Scanning electron microscope (SEM) images of the electrodes were obtained using a Jeol JSM-7001F
 568 scanning electron microscope. All samples were sputter coated with Iridium prior to imaging and the
 569 instrument was operated at 15 kV at a working distance of 10 mm. A surface and pore size analyser
 570 (Micromeritics ASAP 2010) was used to determine the nitrogen adsorption-desorption isotherm. The
 571 specific surface area and pore size distributions were obtained from these isotherms using Brunauer-
 572 Emmett-Teller (BET) and Density Functional Theory (DFT) methods, respectively. The

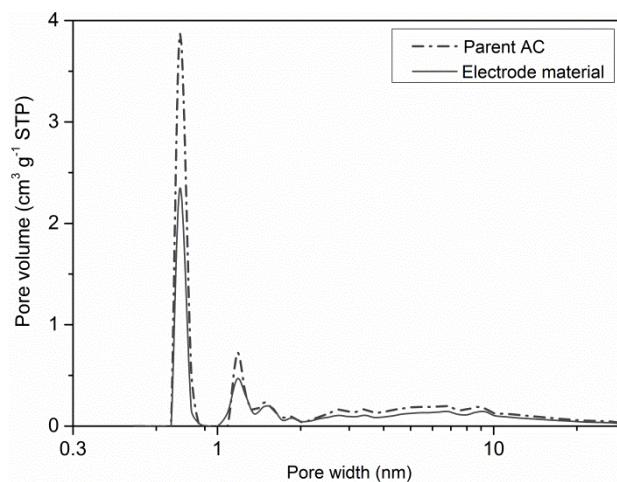
573 electrochemical performance of the AC electrodes was investigated with cyclic voltammetry (CV)
574 using a potentiostat (Solatron 1287) equipped with Corware software. CV was conducted in a three-
575 electrode system in which the carbon electrode, a graphite sheet, and an Ag/AgCl electrode were used
576 as the working, the counter and the reference electrodes, respectively.

577 SEM images shown in Fig. B1 depict the surface and cross-section views of the carbon electrode. As
578 shown in Fig. B1(a), the slurry has been cast uniformly on the graphite sheet which yields physical
579 stability. The strong contact between carbon layer and graphite is indicative of sufficient usage of
580 PVDF as the adhesive binder. Micropore volume fraction of the electrode material, determined using
581 the DFT method, is 55% (Fig. B2). Such a microporous structure leads to the electrical double layer
582 overlapping inside the pores. It is noteworthy that in the calculation of electrode micropore volume
583 fraction, the voidage of the electrode was also considered. Φ values employed in the theoretical model
584 are corresponding to the *electrode* micro and macro pore volume fractions.

585 The CV curve of the AC electrodes is plotted in Fig. B3. The specific capacitance of the electrode was
586 quantified to be 40 F g^{-1} at a scan rate of 5 mV s^{-1} . The rectangularity of the CV curves is indicative of
587 ideal capacitor behaviour [38] while the symmetry of the curves indicates pure electrosorption and
588 desorption, with no Faradaic reactions occurring on the electrode surface [38], validating the
589 assumption used in Section 2.

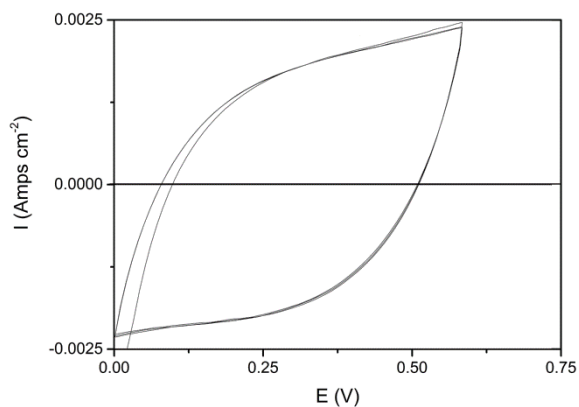


590 **Fig. B1.** SEM images of activated carbon electrodes, (a) surface and (b) cross-section views.



591

592 **Fig. B2.** Pore size distribution determined from the DFT method. Dash-dot line: Norit SA4 as the parent source
 593 of activated carbon, and solid line: Electrode material prepared as powder.

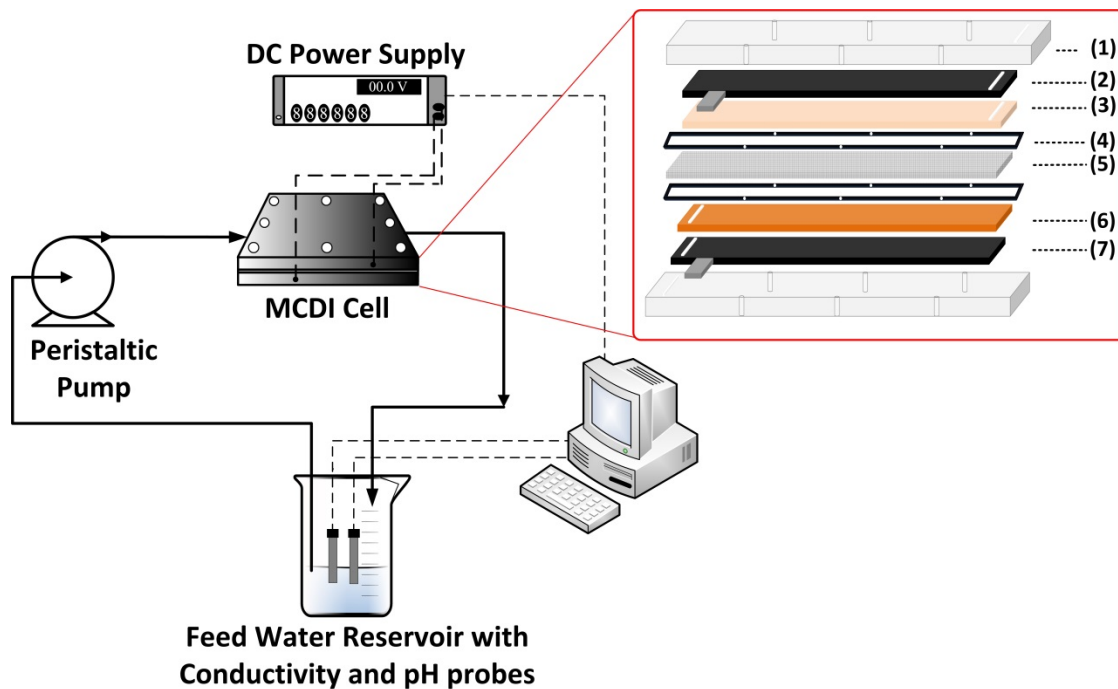


594

595 **Fig. B3.** Cyclic voltammetry (scan rate of 5 mV/s) for the AC electrode in 1 M NaCl solution.

596 **C. MCDI set-up**

597 A schematic diagram of the MCDI set-up in batch mode operation and all the layers placed inside the
 598 poly(carbonate) frame are depicted in Fig. C1.

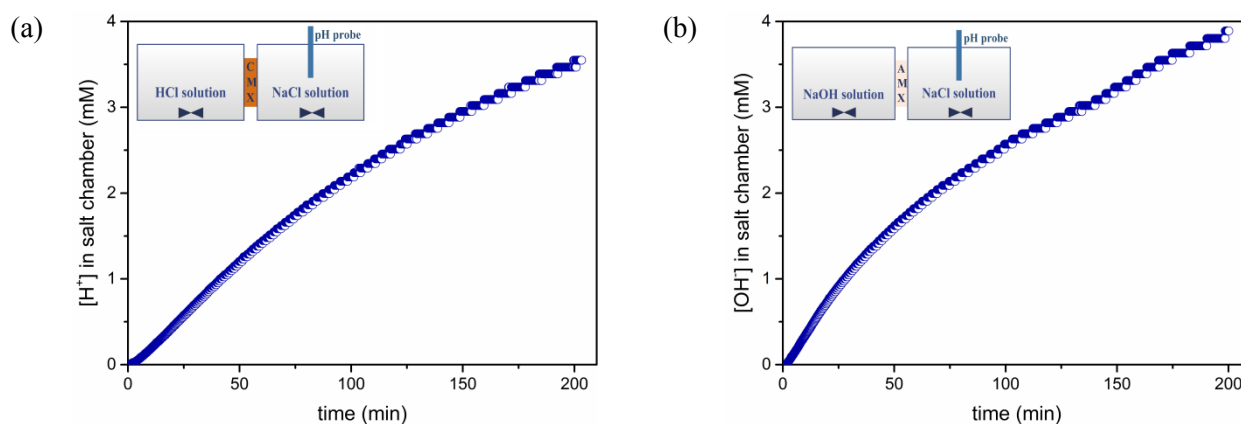


599

600 **Fig. C1.** Schematic diagram of the MCDI cell and set-up in batch mode operation. Within the MCDI cell, (1)
 601 poly(carbonate) frame, (2) carbon electrode (anode), (3) anion-exchange membrane, (4) silicon gasket, (5)
 602 spacer, (6) cation-exchange membrane, (7) carbon electrode (cathode).

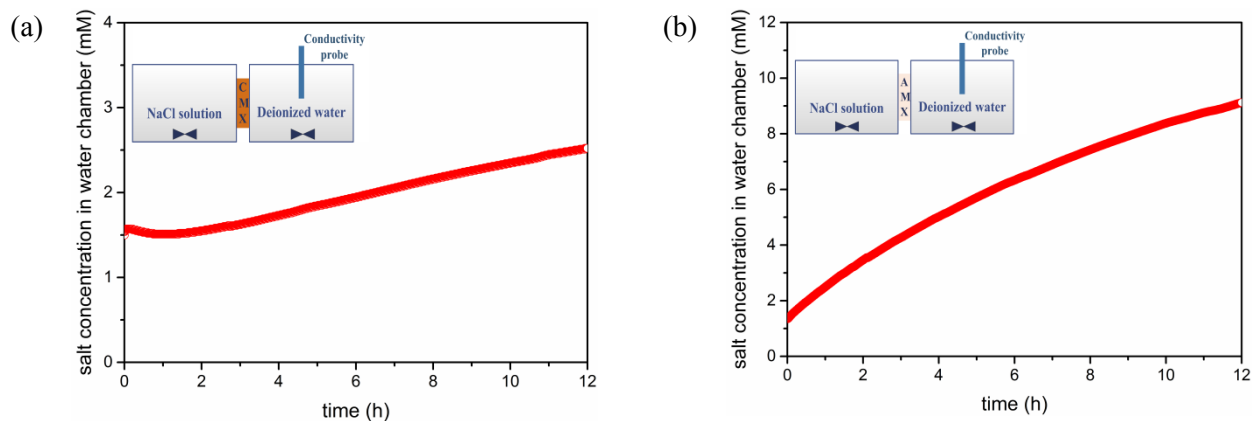
603 **D. Permeability test conducted on ion-exchange membranes**

604 The permeability coefficient of the counter-ions (Na^+ in case of CMX and Cl^- in case of AMX) was
 605 measured using the method explained in Section 3.3. The observed increase in H^+ and OH^-
 606 concentration in the salt chamber of the diffusion cell over more than 3 hours can be found in Fig. D1.
 607 The permeability coefficients were obtained using the first hour of the data collected, where the rate
 608 of change is constant (see Table D1).



609 **Fig. D1.** Measured H^+ and OH^- concentrations in the salt chamber of the diffusion cell, used to determine the
 610 diffusivity coefficients of Na^+ and Cl^- in the CMX and AMX, respectively. The initial concentrations of all
 611 solutions were set at 10 mM.

612 Conversely, the increase in the salt concentration due to the permeation of the co-ions through CMX
 613 and AMX is illustrated in Fig. D2(a) and (b), respectively. The permeability coefficient of the co-ion
 614 is collected over the first 3 hours of the data collection due to the slower rate of co-ion transport.



615 **Fig. D2.** Measured salt concentrations in the water chamber of the diffusion cell, used to determine the
 616 diffusivity coefficients of co-ions in the CMX and AMX, respectively. The initial concentrations of salt
 617 solutions were set at 10 mM.

618 **Table. D1.** Permeability coefficients and Partition Coefficients of counter and co-ions in IEMs

Co-ion Diffusion Coefficient ($D_{-,m}$) ($\text{m}^2 \text{s}^{-1}$)	CMX	$(5 \pm 2) \times 10^{-12}$
	AMX	$(3 \pm 1) \times 10^{-11}$
Counter-ion Permeability Coefficient ($P_{+,m}$) ($\text{m}^2 \text{s}^{-1}$)	CMX	$(1.3 \pm 0.2) \times 10^{-9}$
	AMX	$(1.5 \pm 0.2) \times 10^{-9}$
Co-ion Permeability Coefficient ($P_{-,m}$) ($\text{m}^2 \text{s}^{-1}$)	CMX	$(5.4 \pm 0.8) \times 10^{-13}$
	AMX	$(5.7 \pm 0.5) \times 10^{-12}$
Counter-ion Partition Coefficient ($K_{+,m}$) ¹	CMX	117 ± 2
	AMX	101 ± 6
Co-ion Partition Coefficient ($K_{-,m}$) ¹	CMX	0.11 ± 0.07
	AMX	0.17 ± 0.07

619

620 E. Flow channel characterization

621 The response to a pulse injection to the feed enables the residence time distribution of the MCDI unit
 622 to be determined. Using the N-tanks in series model, the number of mixed flow unit cells that are
 623 needed to describe the MCDI system can then be evaluated [39]. While water was passing the MCDI

¹ The unit of partition coefficients is (mol per litre of swollen membrane / mol per litre of external solution).

624 cell at a flow rate of 20 ml min⁻¹, 1 mM Methylene blue solution, was injected into the inlet of the unit
 625 in a very short interval of time. The tracer concentration in the exit stream ($C_{effluent}(t)$) was
 626 measured using corresponding calibration line for methylene blue conductivity versus its
 627 concentration. This concentration was then used to determine the mean residence time (\bar{t}) and
 628 variance (σ^2), and thus the dimensionless age distribution (E_θ) and the number of mixed flow unit
 629 cells (N) (Eqs. (E1-E3)).

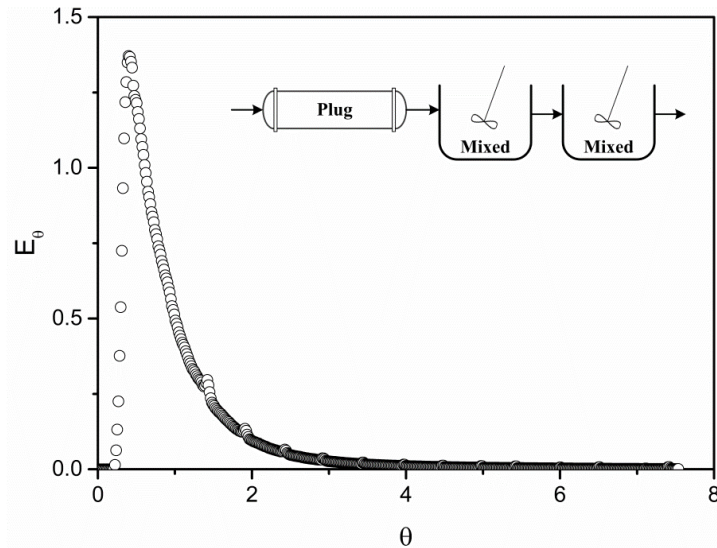
$$\bar{t} = \frac{\int_0^\infty t \cdot C_{effluent}(t) \cdot dt}{\int_0^\infty C_{effluent}(t) \cdot dt}, \quad \sigma^2 = \frac{\int_0^\infty t^2 \cdot C_{effluent}(t) \cdot dt}{\int_0^\infty C_{effluent}(t) \cdot dt} - \bar{t}^2 \quad (E1)$$

$$E_\theta = \frac{C_{effluent}}{\int_0^\infty C_{effluent}(t) \cdot dt} \cdot \bar{t}, \quad \theta = \frac{t}{\bar{t}} \quad (E2)$$

$$\sigma_\theta^2 = \frac{\sigma^2}{\bar{t}^2} = \frac{1}{N} \quad (E3)$$

630 First, from tracer injection, the mean residence time was obtained as 67 seconds using Eq. (E1). Then
 631 the dimensionless age distribution E_θ versus dimensionless time θ , shown in Fig. 1, suggests that the
 632 MCDI cell used in this work is behaving similar to a plug flow reactor followed by two mixed flow
 633 cells. The lag time in the early stages of E_θ corresponds to a plug flow reactor with no axial mixing
 634 with a residence time of 14 seconds. Then the subsequent number of fully-mixed tanks determines the
 635 minimum number of unit cells to be considered in the mathematical approach. To ensure the outcome
 636 of this experiment is applicable to all flow rates, the lowest flow rate used in the experimental work
 637 was selected to collect the resident time distribution data. It is apparent that at lower flow rates, E_θ
 638 expands, which corresponds to greater axial dispersion. Hence, it is crucial to obtain the response to
 639 the pulse input at the lowest operating flow rate for each MCDI unit to extract the minimum number
 640 of cells (N) required for modelling.

641 This experiment demands special care to make sure that the total amount of the tracer entering the
 642 system eventually leaves the cell. Otherwise, the RTD result will be affected by adsorption of the
 643 tracer on the carbon particles or the ion-exchange membranes. This was the case in the present work.

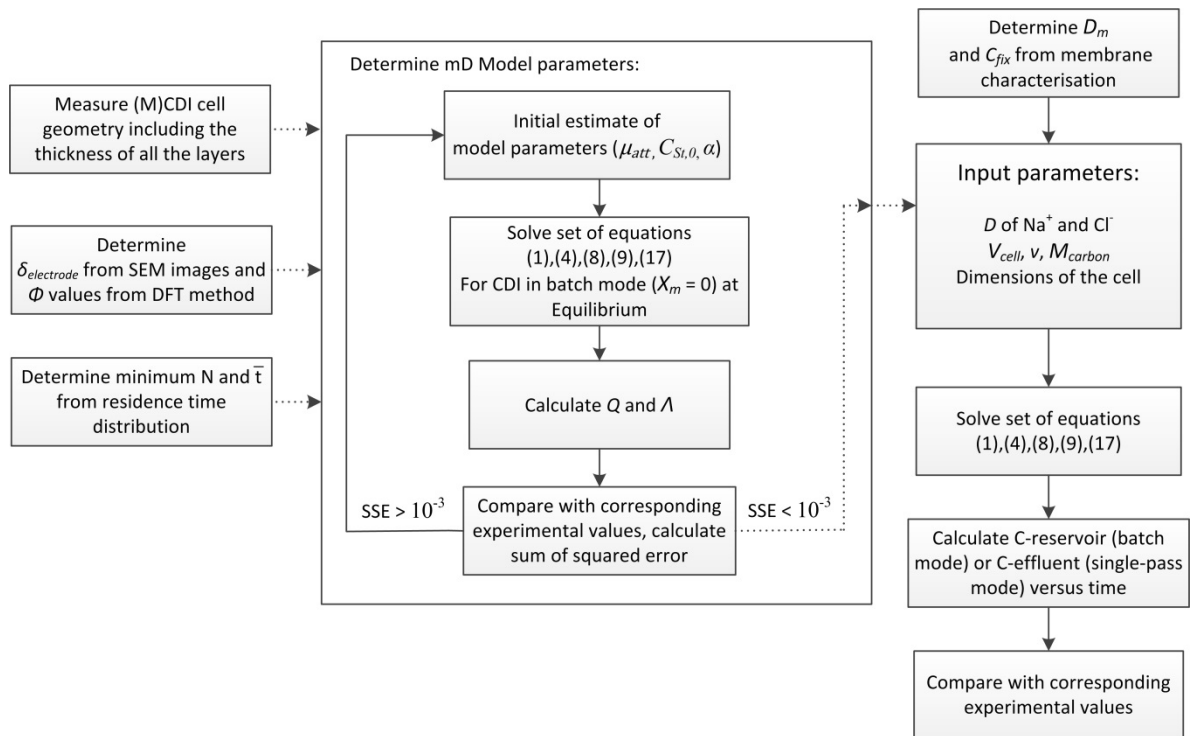


644

645 **Fig. E1.** The respond to the pulse injection of 1 mM Methylene blue solution to the inlet of MCDI cell at a flow
 646 rate of 20 ml min⁻¹. Corresponding compartment model is presented on top right corner.

647 **F. Modelling sequence**

648 Modelling sequence explained in sections 2.4 and 3.4 is summarized in Fig. F1.



649

650 **Fig. F1.** Sequence of input parameter determination and mathematical modelling.

List of Symbols

a	activity	[mol m ⁻³]
A	orifice area of the diffusion cell	[m ²]
C	concentration	[mol m ⁻³]
C _{charge}	cation and anion concentration difference	[mol m ⁻³]
C _{St,0}	Stern layer capacitance at zero voltage	[F m ⁻³]
D	diffusion coefficient	[m ² s ⁻¹]
e	electrical charge of an electron	1.602 × 10 ⁻¹⁹ [C]
E	age distribution function	
F	Faraday Constant	96487 [C mol ⁻¹]
I	current density	[A m ⁻²]
I _e	electrical current passing through the cell	[A]
j _{iy}	flux of ion <i>i</i> per area of the IEM in <i>y</i> direction	[mol s ⁻¹ m ⁻²]
K	partition coefficient	-
k _B	Boltzmann constant	1.38 × 10 ⁻²³ [m ² kg s ⁻² K ⁻¹]
M _{carbon}	mass of carbon material in one pair of the electrodes	[g]
N	number of sub-cells in the mathematical approach	-
P	permeability	[m ² s ⁻¹]
Q	salt adsorption per cycle	[mmol _{salt} g _{carbon} ⁻¹]
t	time	[s]
\bar{t}	residence time	[s]
T	absolute temperature	[K]
v	velocity in the flow channel	[m s ⁻¹]
V _{cell}	electrical potential applied to the MCDI unit	[V]
V	volume	[m ³]
\dot{V}	volumetric flow rate	[m ³ s ⁻¹]
V _T	thermal voltage ($k_B T/e$)	25.5 [mV]
z	valency	-
Greek letters		
α	charge dependency coefficient of the Stern layer capacity	[F m ³ mol ⁻²]
γ	activity coefficient	-
δ	thickness	[m]
Δφ	dimensionless potential drop	-
θ	dimensionless time	-
κ	equivalent conductance	[S m ⁻¹]
Λ	charge efficiency	-
μ _{att}	dimensionless physical attraction potential	-
σ	charge consumption	[A s]
σ ²	variance of residence time distribution	[s ²]
Φ	volume fraction	-
Ψ	electrical potential	[V]
Subscripts		
+	counter-ion	
-	co-ion	
ads/des	adsorption or desorption step	
bulk	adjacent aqueous solution	
Diff	due to diffusion	
Don	Donnan potential	
El	due to electric potential	
final	final condition of the recycle reservoir	
fix	fixed charges on the ion-exchange membrane	

i	refers to ion type i
initial	initial condition of the recycle reservoir
m	membrane
Ma	macropores of carbon
me	membrane/electrode interface
Mi	micropores of carbon
ms	membrane/spacer interface
reservoir	recycle reservoir in the batch-mode experiment
spacer	flow channel compartment
St	Stern layer
superscripts	
-	average value
sol	solution

651

652 **References**

- 653 1. Subramani, A. and J.G. Jacangelo, *Emerging desalination technologies for water treatment: A*
654 *critical review*. *Water Res.*, 2015. **75**: p. 164-187.
- 655 2. AlMarzooqi, F.A., et al., *Application of Capacitive Deionisation in water desalination: A*
656 *review*. *Desalination*, 2014. **342**: p. 3-15.
- 657 3. Oren, Y., *Capacitive deionization (CDI) for desalination and water treatment — past, present*
658 *and future (a review)*. *Desalination*, 2008. **228**(1-3): p. 10-29.
- 659 4. Gao, X., et al., *Complementary surface charge for enhanced capacitive deionization*. *Water*
660 *Res.*, 2016. **92**: p. 275-282.
- 661 5. Biesheuvel, P.M., M.E. Suss, and H.V.M. Hamelers, *Theory of water desalination by porous*
662 *electrodes with fixed chemical charge*. arXiv.org, e-Print Arch., *Condens. Matter*, 2015: p. 1-
663 10.
- 664 6. Porada, S., et al., *Review on the science and technology of water desalination by capacitive*
665 *deionization*. *Progress in Materials Science*, 2013. **58**(8): p. 1388-1442.
- 666 7. Biesheuvel, P.M. and A. van der Wal, *Membrane capacitive deionization*. *J. Membr. Sci.*,
667 2010. **346**(2): p. 256-262.
- 668 8. Asquith, B.M., J. Meier-Haack, and B.P. Ladewig, *Poly(arylene ether sulfone) copolymers as*
669 *binders for capacitive deionization activated carbon electrodes*. *Chem. Eng. Res. Des.*, 2015.
670 **104**: p. 81-91.
- 671 9. Zhao, R., et al., *Energy consumption in membrane capacitive deionization for different water*
672 *recoveries and flow rates, and comparison with reverse osmosis*. *Desalination*, 2013. **330**(0):
673 p. 35-41.
- 674 10. Omosebi, A., et al., *Asymmetric Electrode Configuration for Enhanced Membrane Capacitive*
675 *Deionization*. *ACS Appl. Mater. Interfaces*, 2014: p. Ahead of Print.
- 676 11. Wang, C., et al., *Parameter optimization based on capacitive deionization for highly efficient*
677 *desalination of domestic wastewater biotreated effluent and the fouled electrode*
678 *regeneration*. *Desalination*, 2015. **365**: p. 407-415.
- 679 12. Liu, Y., et al., *Review on carbon-based composite materials for capacitive deionization*. *RSC*
680 *Adv.*, 2015. **5**(20): p. 15205-15225.
- 681 13. Villar, I., et al., *Carbon materials as electrodes for electrosorption of NaCl in aqueous*
682 *solutions*. *Adsorption*, 2011. **17**(3): p. 467-471.
- 683 14. Zhao, R., et al., *Optimization of salt adsorption rate in membrane capacitive deionization*.
684 *Water Research*, 2013. **47**(5): p. 1941-1952.
- 685 15. Garcia-Quismondo, E., et al., *New Operational Modes to Increase Energy Efficiency in*
686 *Capacitive Deionization Systems*. *Environ. Sci. Technol.*, 2016. **50**(11): p. 6053-6060.
- 687 16. Suss, M., et al., *Water desalination via capacitive deionization: what is it and what can we*
688 *expect from it?* *Energy & Environmental Science*, 2015. **8**(8): p. 2296-2319.
- 689 17. Biesheuvel, P.M., et al., *Theory of membrane capacitive deionization including the effect of*
690 *the electrode pore space*. *Journal of Colloid and Interface Science*, 2011. **360**(1): p. 239-248.
- 691 18. Biesheuvel, P.M., et al., *Attractive forces in microporous carbon electrodes for capacitive*
692 *deionization*. *J. Solid State Electrochem.*, 2014. **18**(5): p. 1365-1376.
- 693 19. Porada, S., et al., *Effect of electrode thickness variation on operation of capacitive*
694 *deionization*. *Electrochimica Acta*, 2012. **75**(0): p. 148-156.
- 695 20. Kim, T., et al., *Enhanced charge efficiency and reduced energy use in capacitive deionization*
696 *by increasing the discharge voltage*. *Journal of colloid and interface science*, 2015. **446**: p.
697 317-326.
- 698 21. Tang, W., et al., *Investigation of fluoride removal from low-salinity groundwater by single-*
699 *pass constant-voltage capacitive deionization*. *Water Research*, 2016. **99**: p. 112-121.
- 700 22. Porada, S., et al., *Water Desalination Using Capacitive Deionization with Microporous Carbon*
701 *Electrodes*. *ACS Appl. Mater. Interfaces*, 2012. **4**(3): p. 1194-1199.

- 702 23. Sata, T., *Ion exchange membranes: preparation, characterization, modification and*
703 *application*. 2004: Royal Society of Chemistry.
- 704 24. Helfferich, F.G., *Ion exchange*. 1962: Courier Corporation.
- 705 25. Mackie, J. and P. Meares. *The diffusion of electrolytes in a cation-exchange resin membrane.*
706 *I. Theoretical*. in *Proceedings of the Royal Society of London A: Mathematical, Physical and*
707 *Engineering Sciences*. 1955. The Royal Society.
- 708 26. Kamcev, J., D.R. Paul, and B.D. Freeman, *Ion Activity Coefficients in Ion Exchange Polymers:*
709 *Applicability of Manning's Counterion Condensation Theory*. *Macromolecules*, 2015. **48**(21):
710 p. 8011-8024.
- 711 27. Sonin, A.A. and R.F. Probst, *Hydrodynamic theory of desalination by electrodialysis.*
712 *Desalination*, 1968. **5**(3): p. 293-329.
- 713 28. Robinson, R.A. and R.H. Stokes, *Electrolyte solutions, [electronic resource] the measurement*
714 *and interpretation of conductance, chemical potential, and diffusion in solutions of simple*
715 *electrolytes*. 1970: London, Butterworths [1965, reprinted 1970]2d ed., rev.
- 716 29. Strathmann, H., *Ion-exchange membrane separation processes*. Vol. 9. 2004: Elsevier.
- 717 30. Geise, G.M., B.D. Freeman, and D.R. Paul, *Sodium chloride diffusion in sulfonated polymers*
718 *for membrane applications*. *Journal of Membrane Science*, 2013. **427**: p. 186-196.
- 719 31. Lagarias, J.C., et al., *Convergence properties of the Nelder--Mead simplex method in low*
720 *dimensions*. *SIAM Journal on optimization*, 1998. **9**(1): p. 112-147.
- 721 32. Miyoshi, H., *Diffusion coefficients of ions through ion-exchange membranes for Donnan*
722 *dialysis using ions of the same valence*. *Chemical engineering science*, 1997. **52**(7): p. 1087-
723 1096.
- 724 33. Heintz, A., E. Wiedemann, and J. Ziegler, *Ion exchange diffusion in electromembranes and its*
725 *description using the Maxwell-Stefan formalism*. *Journal of membrane science*, 1997. **137**(1):
726 p. 121-132.
- 727 34. Zhao, R., P.M. Biesheuvel, and A. van der Wal, *Energy consumption and constant current*
728 *operation in membrane capacitive deionization*. *Energy Environ. Sci.*, 2012. **5**(11): p. 9520-
729 9527.
- 730 35. Shapira, B., E. Avraham, and D. Aurbach, *Side Reactions in Capacitive Deionization (CDI)*
731 *Processes: The Role of Oxygen Reduction*. *Electrochim. Acta*, 2016. **220**: p. 285-295.
- 732 36. Gao, X., et al., *Capacitive Deionization Using Alternating Polarization: Effect of Surface*
733 *Charge on Salt Removal*. *Electrochimica Acta*, 2017. **233**: p. 249-255.
- 734 37. Długołęcki, P., et al., *Transport limitations in ion exchange membranes at low salt*
735 *concentrations*. *Journal of Membrane Science*, 2010. **346**(1): p. 163-171.
- 736 38. Lee, J., et al., *Hybrid capacitive deionization to enhance the desalination performance of*
737 *capacitive techniques*. *Energy Environ. Sci.*, 2014. **7**(11): p. 3683-3689.
- 738 39. Levenspiel, O., *Chemical Reaction Engineering, 3rd Edition*. 1998: Wiley. 656 pp.

739

Highlights

- The ion transport model for membrane capacitive deionization(MCDI) was extended
- An asymmetric voltage distribution and activity coefficients were included
- The feasibility of using a brine stream for MCDI regeneration was assessed
- Brine recycling increased water recovery but productivity decreased
- Residence time increases in the MCDI unit were ineffective beyond a certain range



Minerva Access is the Institutional Repository of The University of Melbourne

Author/s:

Hassanvand, A; Chen, GQ; Webley, PA; Kentish, SE

Title:

Improvement of MCDI operation and design through experiment and modelling:
Regeneration with brine and optimum residence time

Date:

2017-09-01

Citation:

Hassanvand, A., Chen, G. Q., Webley, P. A. & Kentish, S. E. (2017). Improvement of MCDI operation and design through experiment and modelling: Regeneration with brine and optimum residence time. DESALINATION, 417, pp.36-51.
<https://doi.org/10.1016/j.desal.2017.05.004>.

Persistent Link:

<http://hdl.handle.net/11343/156393>

File Description:

Accepted version