

1 **Activation of TRIF-dependent and independent immune responses**
2 **by neisserial heat shock protein complex vaccines**

3

4 **A commentary on:**

5 Han J, Ng G, Cecchini P, Chionh Y, Saeed M, Naess L, Joachim M, Blandford L,
6 Strugnell R, Colaco C & **Sutton P**. (2016) Heat shock protein complex vaccines
7 induce antibodies against *Neisseria meningitidis* via a MyD88-independent
8 mechanism. *Vaccine* 34: 1704-1711.

9

10

11 Garrett Z Ng^{1*}, Jia-Xi Han^{1*}, Camilo A Colaco², & Philip Sutton^{1,3,4#}

12

13 1 Mucosal Immunology, Murdoch Childrens Research Institute, Royal Children's
14 Hospital, Melbourne, Parkville, VIC 3052, Australia

15 2 ImmunoBiology Ltd., Babraham Research Campus, Babraham, Cambridge, UK

16 3 Centre for Animal Biotechnology, Faculty of Veterinary and Agricultural
17 Science, University of Melbourne, Parkville, VIC 3010, Australia

18 4 Department of Paediatrics, University of Melbourne, Parkville, VIC 3010,
19 Australia

20

21

22 *Authors contributed equally

23

24 #Author for Correspondence: Tel: +61-3-9936-6751; Fax: +61-3-9348-1391;

25 Email: phil.sutton@mcri.edu.au

26

27

28

29

30 **ABSTRACT**

31 Heat shock protein Complex (HspC) vaccines are composed of Hsp purified from
32 pathogenic bacteria along with their chaperoned protein cargo. Mouse studies have
33 shown that HspC vaccines can induce a strong immune response against pathogenic
34 bacteria without addition of an exogenous adjuvant. These vaccines are now entering
35 clinical trials. It was predicted, but not previously tested, that HspC vaccines induce
36 an immune response due to the activation of Toll-Like Receptors (TLR) by their
37 component Hsp. Recently we tested this supposition and found that while this held
38 true for the cellular response to neisserial HspC vaccines, strong antigen-specific
39 antibody responses were surprisingly generated in mice deficient in MyD88 and thus
40 most TLR signaling. This suggested an unidentified mechanism by which HspC
41 vaccines induce an antibody response. We have now examined the antigenic profile of
42 this response and found no evidence that this is due to the induction of T-independent
43 antibodies. Examination of the MyD88-dependent signaling pathways involved in the
44 cellular response to neisserial HspC showed that both TRIF-dependent and TRIF-
45 independent pathways are activated, each resulting in the secretion of different
46 cytokines. Hence the mechanism of action of HspC vaccines is clearly more
47 complicated than originally thought.

48

49

50

51

52

53

54 **Keywords:**

55 Heat shock protein complex, vaccine, *Neisseria lactamica*, *Neisseria meningitidis*,
56 TRIF, Toll-like receptors, macrophages

57

58

59

60

61 **INTRODUCTION**

62 Heat shock proteins (Hsp) are highly conserved molecules possessing a range of
63 properties, including the abilities to act as protein chaperones and to trigger innate
64 immune responses via the activation of Toll-Like Receptors (TLR).^{1, 2} The
65 combination of these properties has led to the development and testing of a novel
66 technology, involving the use of heat shock protein complexes (HspC) as vaccines.³

67 HspC vaccines comprise Hsp from pathogenic bacteria, which are purified along
68 with their chaperoned bacterial protein cargo. Mouse studies have shown that HspC
69 vaccines, when delivered either by injection or via a mucosal route, can induce a
70 strong immune response against pathogenic bacteria from which the HspC are
71 purified, and importantly without the addition of an exogenous adjuvant.⁴⁻⁶ The
72 chaperoned bacterial cargo provides the vaccine antigen, whilst the intrinsic ability of
73 the component Hsp to activate innate immune receptors is believed to provide the
74 vaccines' adjuvant activity.

75 While it was strongly believed that HspC vaccines trigger an immune response via
76 the activation of cell surface TLR, and in particular TLR2 and TLR4, there was no
77 empirical evidence to support this supposition. In a study recently published in
78 *Vaccine* we reported the first evaluation of the mechanism of action of an HspC
79 vaccine.⁶ This showed that, as predicted, the cellular response of mice to neisserial
80 HspC vaccines was completely dependent on the TLR adaptor protein MyD88.
81 Surprisingly however, we found that the antibody response to this vaccine was
82 MyD88-independent, suggesting that the humoral-response to this vaccine was
83 induced by a mechanism that did not involve TLR activation.

84 **HSPC VACCINE INDUCED CELLULAR RESPONSE**

85 In this recent study we found that vaccination of wildtype mice with neisserial HspC
86 induced a Th1-dominant cellular response, but that this response was completely
87 absent in MyD88 deficient mice.⁶ As TLR2 and TLR4 are both dependent on MyD88
88 this is consistent with the original premise that HspC induce immunity via activation
89 of cell surface TLR. However there remain several unanswered questions.

90 First, it is not yet understood why neisserial HspC vaccination of mice induced a
91 strong Th1-type response (including vaccine-induced memory), yet no Th17-type
92 response was detectable. HspC vaccines prepared from other bacteria are potent
93 inducers of Th1-type responses in mice,⁴ as are Hsp,^{7, 8} so the fact we observe a Th1

94 profile with neisserial HspC is not surprising. The ability of Hsp to induce Th17-type
95 responses, though less well studied, has also been reported.⁹ We have not yet
96 determined why a Th17 response was not detectable following neisserial HspC
97 vaccination or direct stimulation of mouse splenocytes.⁶ However it is perhaps worth
98 noting that we have previously detected an increased Th17 response at the site of
99 infection in mice prophylactically vaccinated with HspC against *Helicobacter pylori*,⁵
100 which suggests the ability of HspC to induce a Th17 response might either vary
101 between the bacterial source of the formulation, or require a live *in vivo* challenge
102 (something we have not performed for the *Neisseria* studies).

103 Second, it is not yet known precisely which TLRs are activated by the HspC. In our
104 recent study we were able to demonstrate that neisserial HspC activated multiple
105 TLRs including TLR2, but the response to this vaccine was not completely dependent
106 on either TLR2 or TLR4. We have since further dissected the pathways activated by
107 neisserial HspC. The signaling pathways downstream of MyD88 that follow TLR2
108 and TLR4 activation can largely be differentiated by analysis of another TLR adapter
109 protein called TRIF (TIR-domain-containing adapter-inducing interferon- β). TLR4
110 activation induces signaling down two pathways, one of which involves TRIF, while
111 TLR2 activation is largely believed to be TRIF-independent (although there is some
112 evidence of TRIF involvement in TLR2 signaling).¹⁰ While TRIF is also essential for
113 signaling via intracellular TLR3, it is not believed to be involved with any other TLR.

114 We therefore generated TRIF-deficient RAW264.7 macrophages (supplemental
115 Figure S1) and measured their cytokine response to stimulation with *N. lactamica*
116 HspC, revealing an intriguing extra level of complexity. While the secretion of some
117 cytokines in response to *N. lactamica* HspC was unaffected by TRIF deficiency (for
118 example TNF α), others such as IL-6 were shown to be TRIF-dependent (Figure 1). In
119 addition to supporting our previous observation that neisserial HspC activates
120 multiple TLR, these findings also indicate that activation of individual TLRs by HspC
121 can result in differential cytokine secretion.

122 As mentioned above, *N. lactamica* HspC induces a Th1-type memory response.⁶ We
123 thus evaluated IL-12 secretion (as a key driver of Th1-immunity) in the RAW264.7
124 cells we generated for this current study. Unfortunately we found that RAW264.7
125 cells do not secrete IL-12 when activated via TLR4, as previously reported.¹¹

126 **ANTIBODY RESPONSE**

127 An unexpected finding from our evaluation of their mechanism of action was that
128 immunization of mice with both *N. lactamica* and *N. meningitidis* HspC induced
129 antigen-specific antibody responses, even in MyD88 deficient mice.⁶ If anything, the
130 response to these vaccines was greater in mice lacking the ability to signal via all the
131 MyD88-dependent TLR than in wildtype mice. This was surprising, given our initial
132 premise that HspC vaccines adjuvant an immune response via TLR activation.

133 Our study did not identify the mechanism by which these HspC vaccines induced a
134 strong antibody response, and this remains to be determined. However, we did
135 examine the possibility that the HspC were inducing a T-cell independent antibody
136 response. This possibility was raised by studies on T-independent antibody responses
137 induced in B-cells activated with peptide liposomes that have been shown to be
138 mediated via pathways involving TRIF and not MyD88.¹²

139 T-independent antigens typically either induce an antibody response via the
140 activation of TLR (excluded above) or are specific types of antigen, such as
141 polysaccharides, against which an antibody response is generated without T cell
142 help.¹³ We explored the latter possibility, but while minor differences were apparent
143 in the antigens detected by HspC-specific antibodies generated by HspC vaccination
144 of wildtype and *Myd88*^{-/-} mice (Figure 2), the profiles of the western blots were
145 overall very similar. As no antibodies against specific types of antigens were evident
146 in the *Myd88*^{-/-} mice, the ability of neisserial HspC to induce antibodies in the absence
147 of MyD88 does not appear to be the result of a T-independent humoral response.

148 More work is therefore required to identify how this occurs. It is possible that it is
149 via a TRIF-dependent, MyD88-independent pathway as has been suggested for
150 peptide liposomes.¹² It should also be remembered that MyD88 deficient mice still
151 have functional TLR3 although it seems unlikely, though not impossible, that the
152 vaccine would act via this intracellular innate receptor.

153 **SUMMARY**

154 In summary, HspC vaccines are providing a potentially valuable new vaccine
155 technology that allows the generation of a cellular and antibody response to
156 pathogenic bacterial antigens without the complication of needing to add an
157 exogenous adjuvant. This has clear advantages with respect to safety, manufacturing

158 and progression through clinical trials. Indeed, this technology has recently completed
159 its first clinical trial in a pneumococcal vaccine clinical trial.

160 The mechanism of action of these vaccines is clearly more complicated than
161 originally thought, particularly with respect to how antibody responses are generated.
162 How these vaccines can induce a strong antibody response, without an exogenous
163 adjuvant, and without activation of core TLRs remains a fascinating question.

164

165

166 **ACKNOWLEDGEMENTS**

167 This work was supported by the Victorian Government's Operational Infrastructure
168 Support Program, by ARC Linkage Grant LP120100226 from the Australian
169 Research Council and by ImmunoBiology Limited.

170

171

172 **CONFLICT OF INTEREST STATEMENT**

173 CAC is an employee of ImmunoBiology Limited, a company developing vaccines
174 targeted to dendritic cells using Heat shock proteins. The work was partially funded
175 by Immunobiology Limited.

176

177

178

179

180

181

182

183 **REFERENCES**

184 1. Colaco CA, Bailey CR, Walker KB, Keeble J. Heat shock proteins:
185 stimulators of innate and acquired immunity. *Biomed Res Int* 2013; 2013:461230.

186 2. Osterloh A, Breloer M. Heat shock proteins: linking danger and pathogen
187 recognition. *Medical microbiology and immunology* 2008; 197:1-8.

188 3. McNulty S, Colaco CA, Blandford LE, Bailey CR, Baschieri S, Todryk S.
189 Heat-shock proteins as dendritic cell-targeting vaccines--getting warmer. *Immunology*
190 2013; 139:407-15.

- 191 4. Colaco CA, Bailey CR, Keeble J, Walker KB. BCG (Bacille Calmette-Guerin)
192 HspCs (heat-shock protein-peptide complexes) induce T-helper 1 responses and
193 protect against live challenge in a murine aerosol challenge model of pulmonary
194 tuberculosis. *Biochemical Society transactions* 2004; 32:626-8.
- 195 5. Chionh YT, Arulmuruganar A, Venditti E, Ng GZ, Han JX, Entwisle C, et al.
196 Heat shock protein complex vaccination induces protection against *Helicobacter*
197 *pylori* without exogenous adjuvant. *Vaccine* 2014; 32:2350-8.
- 198 6. Han JX, Ng GZ, Cecchini P, Chionh YT, Saeed MA, Naess LM, et al. Heat
199 shock protein complex vaccines induce antibodies against *Neisseria meningitidis* via a
200 MyD88-independent mechanism. *Vaccine* 2016; 34:1704-11.
- 201 7. Wan T, Zhou X, Chen G, An H, Chen T, Zhang W, et al. Novel heat shock
202 protein Hsp70L1 activates dendritic cells and acts as a Th1 polarizing adjuvant. *Blood*
203 2004; 103:1747-54.
- 204 8. Saygili T, Akincilar SC, Akgul B, Nalbant A. *Aggregatibacter*
205 *actinomycetemcomitans* GroEL protein promotes conversion of human CD4+ T cells
206 into IFN γ IL10 producing Tbet+ Th1 cells. *PloS one* 2012; 7:e49252.
- 207 9. Pawaria S, Binder RJ. CD91-dependent programming of T-helper cell
208 responses following heat shock protein immunization. *Nature communications* 2011;
209 2:521.
- 210 10. Petnicki-Ocwieja T, Chung E, Acosta DI, Ramos LT, Shin OS, Ghosh S, et al.
211 TRIF mediates Toll-like receptor 2-dependent inflammatory responses to *Borrelia*
212 *burgdorferi*. *Infection and immunity* 2013; 81:402-10.
- 213 11. Saito S, Matsuura M, Hirai Y. Regulation of lipopolysaccharide-induced
214 interleukin-12 production by activation of repressor element GA-12 through
215 hyperactivation of the ERK pathway. *Clinical and vaccine immunology : CVI* 2006;
216 13:876-83.
- 217 12. Pihlgren M, Silva AB, Madani R, Giriens V, Waeckerle-Men Y, Fettelschoss
218 A, et al. TLR4- and TRIF-dependent stimulation of B lymphocytes by peptide
219 liposomes enables T cell-independent isotype switch in mice. *Blood* 2013; 121:85-94.
- 220 13. Defrance T, Taillardet M, Genestier L. T cell-independent B cell memory.
221 *Current opinion in immunology* 2011; 23:330-6.
- 222 14. Stent A, Every AL, Ng GZ, Chionh YT, Ong LS, Edwards SJ, et al.
223 *Helicobacter pylori* thiolperoxidase as a protective antigen in single- and multi-
224 component vaccines. *Vaccine* 2012; 30:7214-20.

225 **FIGURE LEGENDS**

226

227 **FIGURE 1**

228

229 TRIF-dependency of the macrophage response to neisserial HspC

230 TRIF-deficient RAW264.7 mouse macrophages (TRIF KO) were made as described
231 in supplemental information. *N. lactamica* HspC was prepared as described.⁶ Cell
232 culture experiments and enzyme linked immunosorbent assay (ELISA) were
233 performed as described previously for splenocytes,⁶ except RAW264.7 macrophages
234 were serum starved overnight prior to stimulation. The experiment was performed
235 twice (both shown).

236

237

238 **FIGURE 2**

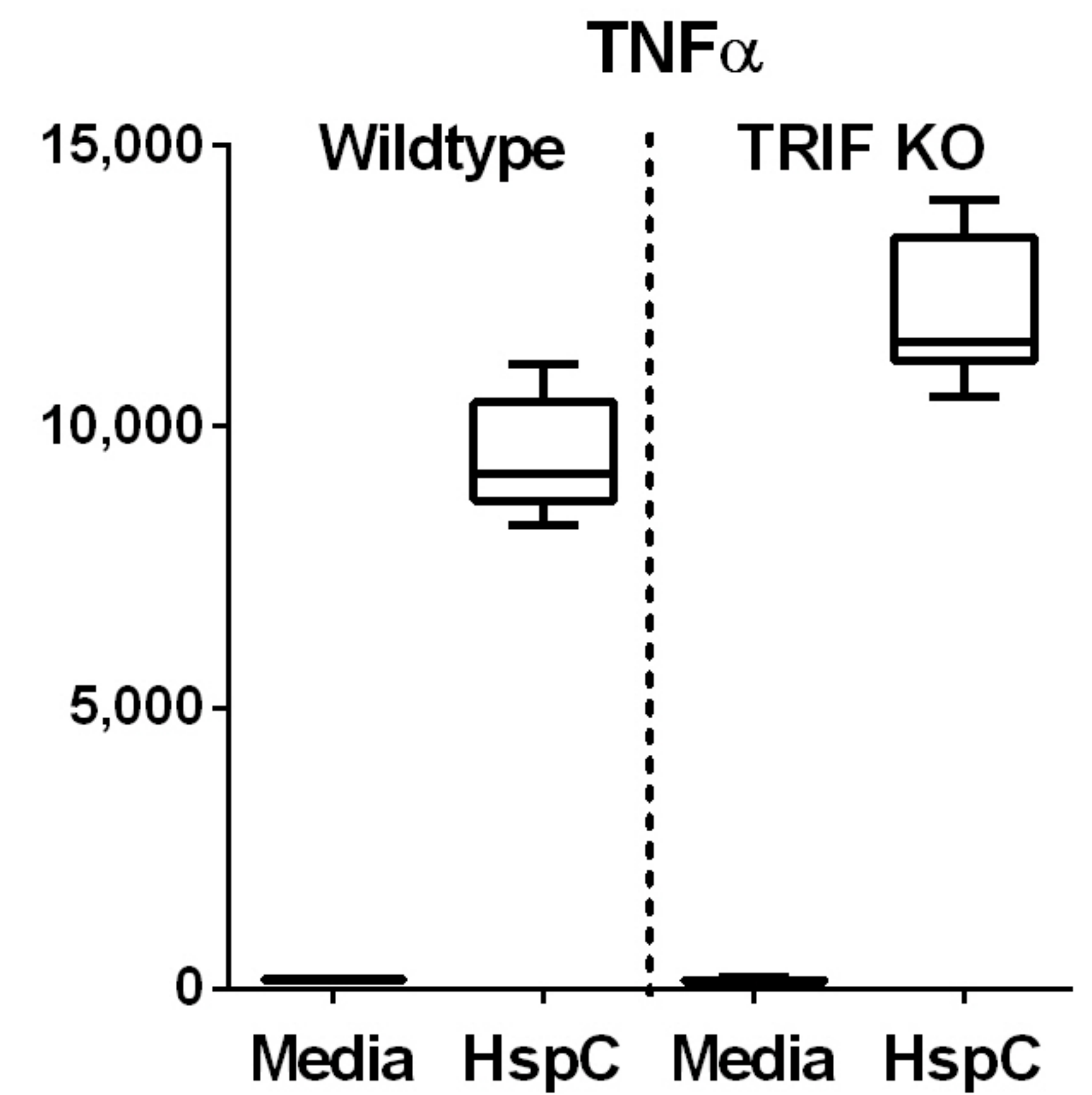
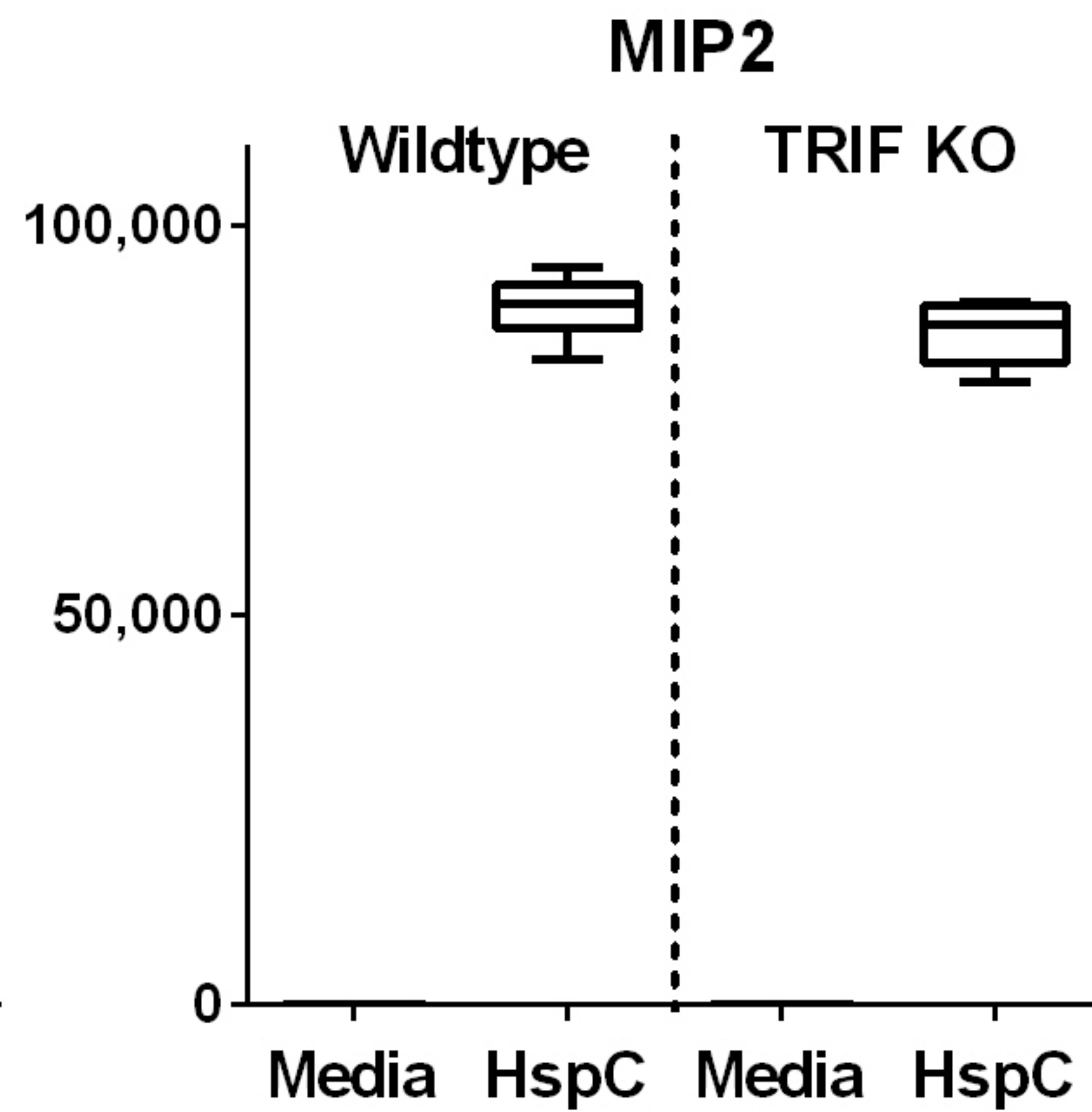
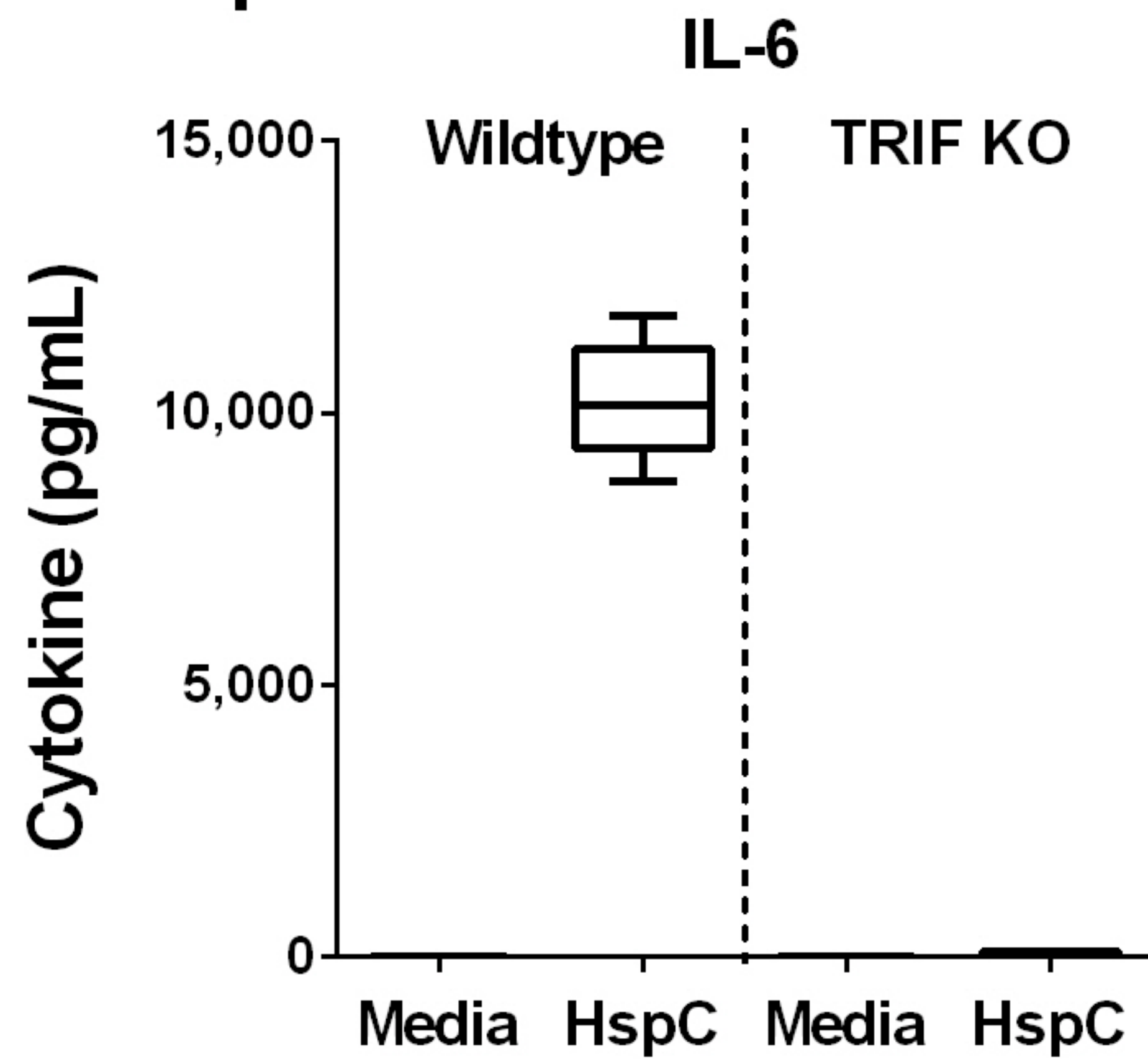
239

240 Lack of evidence for T-independent antigen response in neisserial HspC vaccinated
241 mice

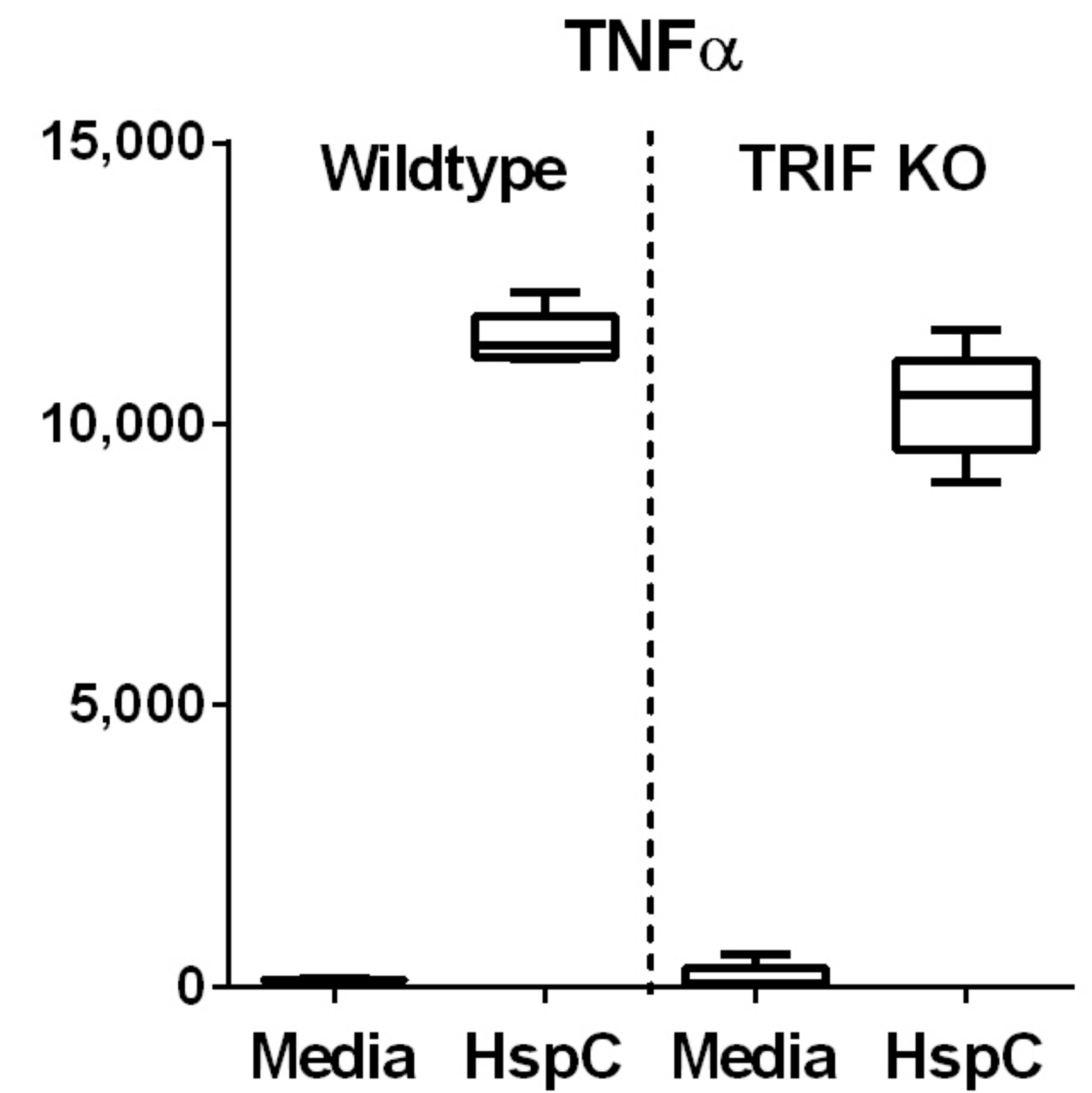
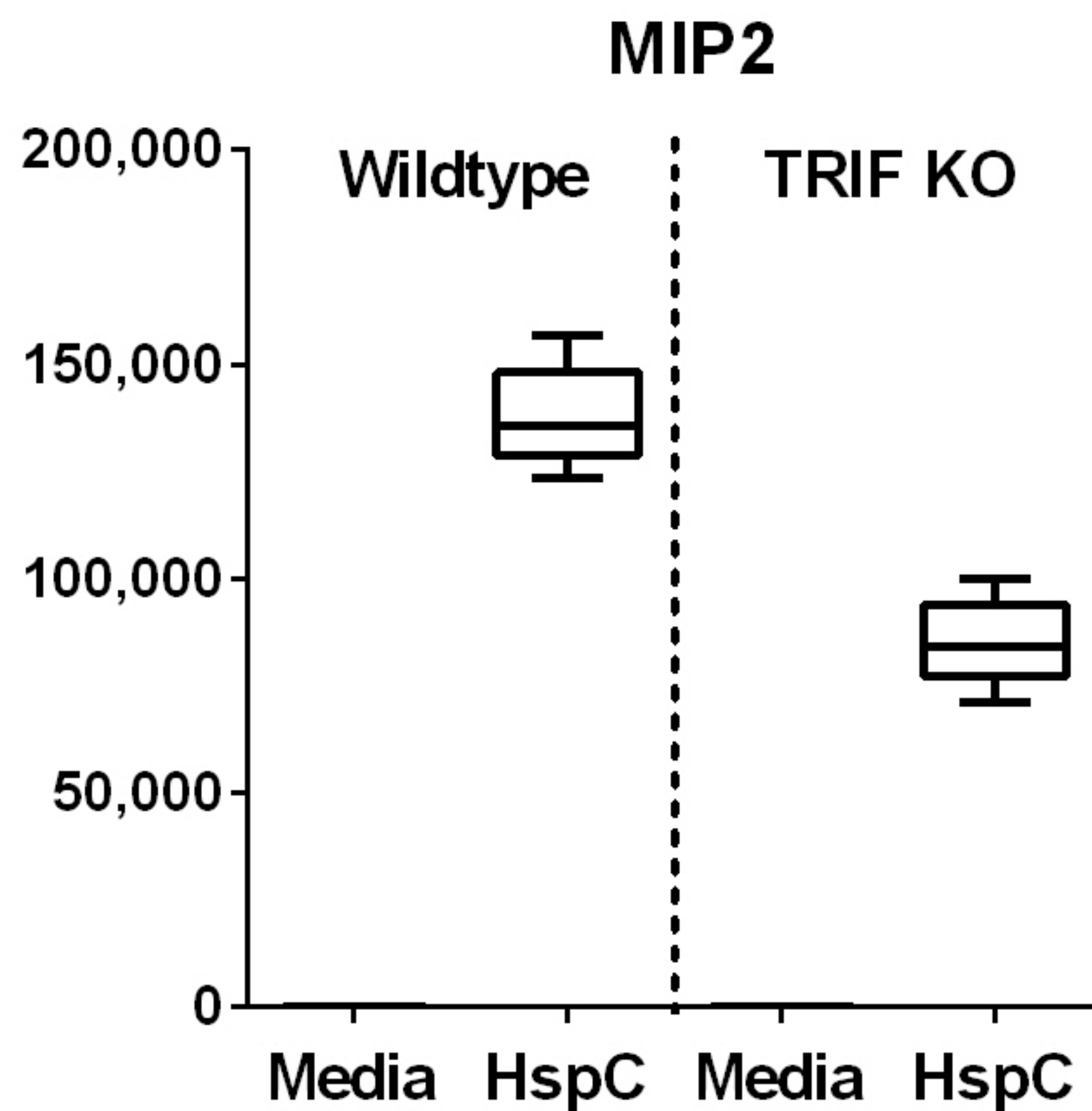
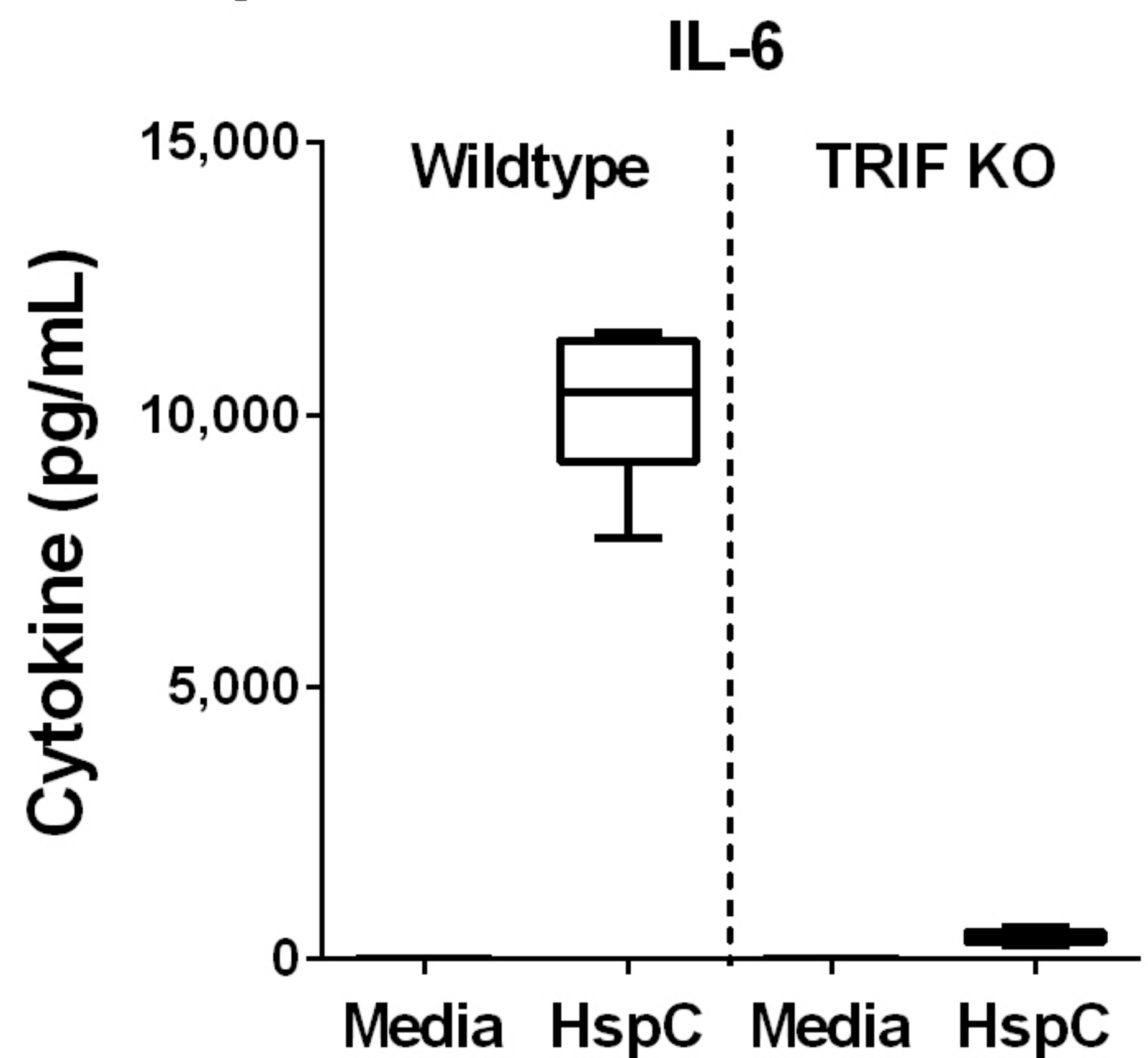
242 To evaluate whether the humoral response observed in HspC-vaccinated *Myd88*^{-/-}
243 mice in our published study might be due to a T-independent antibody response, sera
244 from wildtype and *Myd88*^{-/-} mice vaccinated with *N. meningitidis* HspC (collected
245 from the experiment presented in Figure 4, ref⁶) were analyzed for immunoreactivity
246 with HspC antigen by western blot (using technique modified from¹⁴).

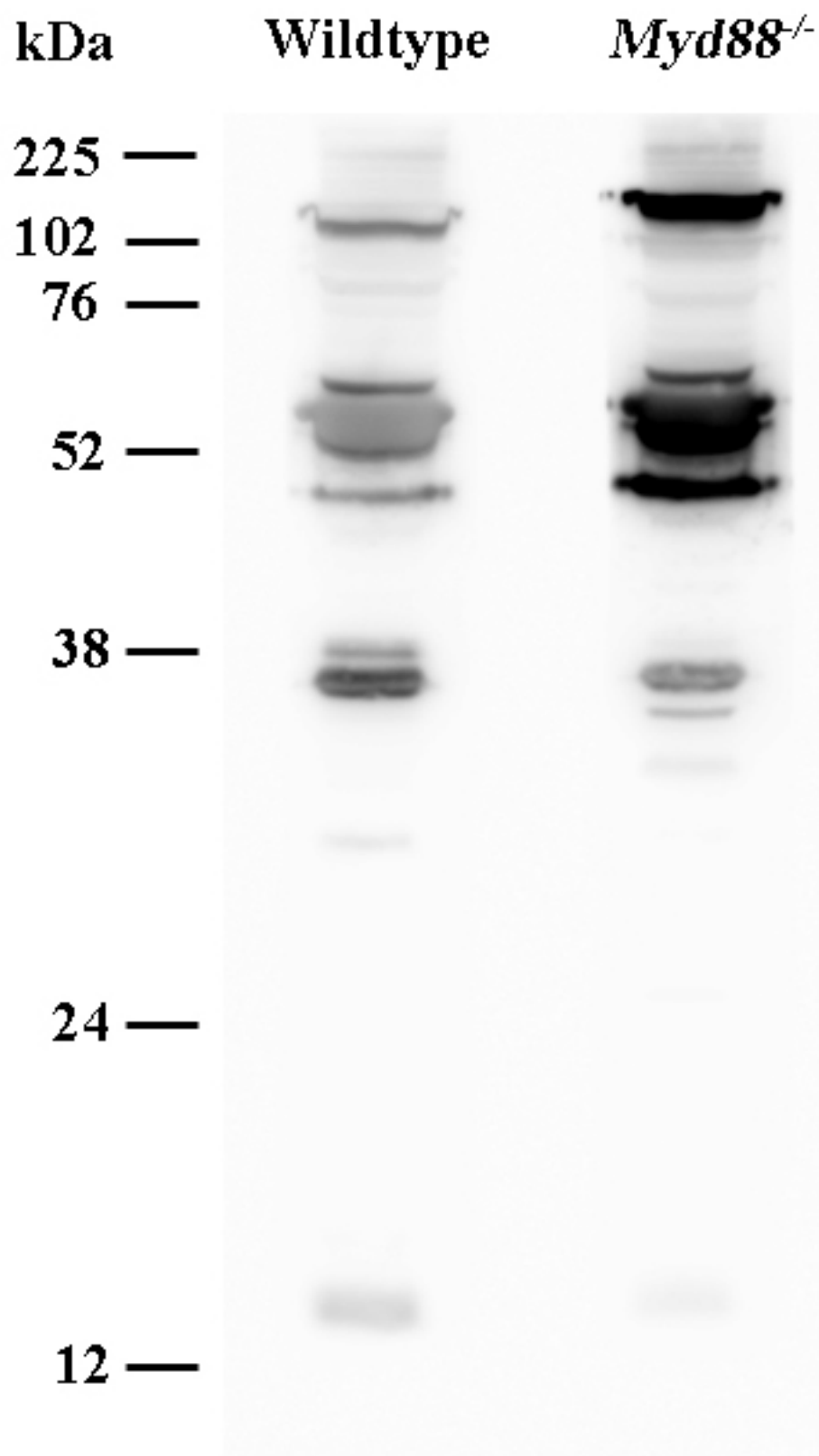
247

Experiment 1



Experiment 2







Minerva Access is the Institutional Repository of The University of Melbourne

Author/s:

Ng, GZ; Han, J-X; Colaco, CA; Sutton, P

Title:

Activation of TRIF-dependent and independent immune responses by neisserial heat shock protein complex vaccines

Date:

2016-01-01

Citation:

Ng, G. Z., Han, J. -X., Colaco, C. A. & Sutton, P. (2016). Activation of TRIF-dependent and independent immune responses by neisserial heat shock protein complex vaccines. HUMAN VACCINES & IMMUNOTHERAPEUTICS, 12 (11), pp.2797-2800.

<https://doi.org/10.1080/21645515.2016.1197455>.

Persistent Link:

<http://hdl.handle.net/11343/123477>

File Description:

Accepted version